# Relative High Fitness and Genome-wide Diversity May Facilitate Plastic and Active Foragers' Diversification

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#### 18 Abstract

Explaining the variation in diversification rates across the Tree of Life is an important 19 challenge for evolutionary biologists. Growing evidence suggests that key innovations 20 or historical contingency give rise to high diversification rates, but the genetic mech-21 anisms through which this process may occur remain poorly investigated. Based on 22 fitness landscapes, a high diversification is predicted to result from local adaptation as 23 species traverse along genotype space. To test this prediction, we conducted a com-24 parative analysis of 997 reptile species that vary in their locomotion while foraging. 25 The species ranged from those that travel long distances to acquire food to those that 26 barely move and acquire food in nearby sites or those that adopt a plastic strategy. We 27 found that plastic foragers and active foragers not only have high diversification rates 28 but may also have higher fitness compared to sit-and-wait foragers. While traversing 29 among heterogeneous environments, plastic foragers and active foragers could accel-30 erate the pace of evolution by exposing cryptic genetic variation to selection. This is 31 possible in plastic foragers because their larger genomes potentially facilitate variation 32 in gene expression. By contrast, higher genome-wide nucleotide diversity among active 33 foragers could make up for the small size of their genomes, allowing natural selection to 34 operate effectively to the point where divergence by ecological speciation could occur. 35 We used emerging genomic data and macroevolutionary observations supported by 36 microevolutionary processes to provide key insights into mechanisms of diversification. 37

38 Keywords: Genetic diversity, genome size, foraging mode, reproductive effort.

# 39 Significance statement

The ways by which organisms seek food vary along a continuum from stationary 40 to highly mobile foraging modes, although many species plastically switch between 41 modes. This range of locomotion may influence the pace of evolution based on the 42 extent to which novel genetic combinations arise as organisms encounter and colonize 43 new environments, leading to variation in diversification rates. Our observations sug-44 gest that as plastic and active foragers colonize new environments, local adaptation 45 could take place by exposing cryptic genetic variation to selection. As such, high 46 diversification rates may follow through ecological speciation. By contrast, a rela-47 tively low genetic diversity and fitness among sit-and-wait foragers might lead to low 48 diversification rates through stochastic processes like genetic drift and mutation. 49

# 50 Introduction

The process of evolution requires differences in fitness among individuals within species 51 given variation in their genomes and environments (1). Often, such variation in fitness 52 arises from plastic responses pushing populations into the realm of attraction of new 53 fitness peaks, which can lead to genetic differentiation (2). Accordingly, the relation-54 ship between genes and fitness is of fundamental importance to better understand the 55 evolutionary history of organisms. Ideally, a theory that links microevolutionary pro-56 cesses responsible for changes in fitness (e.g., genetic drift, mutation, selection) with 57 macroevolutionary patterns (e.g., speciation, diversification) should provide the basis 58 for describing how biodiversity evolves on Earth. To develop such a theory, researchers 59 rely on the notion of "fitness landscapes", which enables one to analyze how the process 60 of diversification can occur (3). 61

A prevailing prediction emerging from consideration of fitness landscapes is that 62 many speciation events, and indeed whole adaptive radiations, result from local adap-63 tation as species colonize new environments (4; 3). This prediction emerges from 64 several sources, including the introduction of novel genetic combinations as organ-65 isms explore large areas of the genotype space, and the increased likelihood of spatial 66 sorting, where organisms with distinct traits accumulate at the leading edge of a pop-67 ulation's expansion (5; 6). To test this prediction, one could focus on species that vary 68 in their locomotor ability while foraging. The foraging behaviors of organisms lie along 69 a continuum of locomotion, ranging from species that travel long distances to acquire 70

<sup>71</sup> food ("active foragers") to species that barely move and acquire food in nearby sites <sup>72</sup> ("sit-and-wait foragers"; 7; 8). Importantly, the ability of organisms to change their <sup>73</sup> foraging behavior in response to environmental variations ("plastic foragers") can be <sup>74</sup> specially observed in colonizing species inhabiting heterogeneous environments, where <sup>75</sup> encountering a new environment may result in selection pressures favoring divergence <sup>76</sup> from the ancestor (2; 6).

If populations can attain high fitness in heterogeneous environments as a conse-77 quence of plastic foraging behavior, then genetic differentiation is expected as gene 78 combinations favored under the locally prevailing conditions are not useful in distant 79 environments (9). As populations colonize new environments, however, plasticity can 80 be hindered by a lack of genetic variation, extensive gene flow, and genetic correlation 81 between genes for one trait and genes for plasticity of another trait (10). Further-82 more, a plastic response may come at the cost of carrying around additional genetic 83 machinery (11). Such a cost can be assessed if one analyzes the relationship between 84 the foraging behaviors of species and the sizes of their genomes. In this context, the 85 cost may be evident if plastic foragers have larger genomes but lower fitness than ac-86 tive foragers and sit-and-wait foragers. One way to account for differences in fitness 87 among species is to compare their annual reproductive output, which is a good pre-88 dictor of lifetime fitness (12). Intriguingly, a comparison between foraging behavior, 89 genome size, and reproductive output has not been previously evaluated among verte-90 brate species, motivating us to conduct a thorough investigation that may enable us 91 to make general conclusions about the ecology and diversification of species across the 92 Tree of Life. 93

Here, we examined the relationship between foraging behaviors and diversification 94 rates among 997 reptile species representing 56 families. In doing so, we considered 95 the predictions of fitness landscapes to assess the idea that effectively traversing the 96 genotype space might result in high diversification rate through local adaptation (13). 97 Because both plastic foragers and active foragers may more effectively explore hetero-98 geneous environments than sit-and-wait foragers, local adaptation may take place if 99 populations exploit new fitness peaks, leading to a relatively high diversification rate. 100 By contrast, restricted locomotion by sit-and-wait foragers could lead to relatively slow 101 diversification via stochastic processes such as population bottlenecks. These expec-102 tations are supported by the idea that local adaptation driven by natural selection to 103 suit specific environments generally leads to faster diversification than stochastic pro-104 cesses (14). Our study uses emerging genomic data and presents macroevolutionary 105 observations supported by microevolutionary processes to provide key insights into the 106 mechanisms of diversification. 107

#### 108 Results

A state-dependent diversification framework indicated that a model in which both the rates of speciation and extinction depend on foraging behavior was strongly supported  $(AIC_c = 10076.030, w = 1.000)$ . Overall, net diversification was indistinguishable among active and plastic foragers, but this rate was higher than that of sit-and-wait foragers (Figure 1C). Character state reconstruction revealed that active foraging appears to be the ancestral state of all reptiles, with a posterior probability of 0.639 at the root of the tree (Figure 1A). Although two major transitions from active foraging to

sit-and-wait foraging occurred in Gekkota and Iguania, bursts of frequent transitions 116 immediately followed within each of these clades (Figure 1B). Specifically, the highest 117 number of transitions took place from sit-and-wait to active foraging ( $\sim 64$ ), succeeded 118 by a similar number of transitions from sit-and-wait to plastic foraging (~ 57). The 119 accumulation of lineages from the root of the tree to the present showed that active 120 foragers dominated the landmasses for the first 200 million years since the origin of 121 reptiles in the Tree of Life. However, sit-and-wait foragers subsequently took over 122 (Figure 2A). 123

# 124 Figure 1.

<sup>125</sup> A phylogenetic-informed model revealed that the evolution of annual reproductive <sup>126</sup> output among reptiles is underlain by an interaction between body mass and for-<sup>127</sup> aging behavior (Figure 2B). In general, annual reproductive output increased with <sup>128</sup> body mass, but the highest rate of increase is observed in plastic foragers ( $\beta =$ <sup>129</sup> 0.134, *Std.Error* = 0.051, *t* = 2.603, *p* = 0.009).

### 130 Figure 2.

As with annual reproductive output, genome size also evolved in response to the interaction between body mass and foraging behavior across species (Figure 3). While genome size decreased with body mass in sit-and-wait foragers ( $\beta = -0.042$ , Std.Error = 0.017, t = -2.363, p = 0.020) and plastic foragers ( $\beta = -0.073$ , Std.Error = 0.021, t =-3.403, p < 0.001), the opposite pattern was evident in active foragers ( $\beta = 9.188$ , Std.Error = <sup>136</sup> 0.037, t = 246.673, p < 0.001). However, plastic foragers had the largest genomes <sup>137</sup> on average ( $\mu = 9.271, \sigma = 0.133, n = 12$ ) followed by sit-and-wait foragers ( $\mu =$ <sup>138</sup> 9.254,  $\sigma = 0.089, n = 29$ ).

#### 139 Figure 3.

Importantly, active foragers have evolved the smallest genomes on average ( $\mu =$ 9.204,  $\sigma = 0.073$ , n = 58), but their genome-wide nucleotide diversity potentially exceeds that of plastic and sit-and-wait foragers (Figure 4).

# <sup>143</sup> Figure 4.

### 144 Discussion

Based on an analysis of nearly one thousand reptile species, we found that plastic 145 foraging and foraging actively are associated with higher diversification rates (Figure 146 1C). Previous hypotheses suggest that historical contingency has been a major de-147 terminant of the diversification pattern that we observe in modern-day reptiles (15). 148 The early evolution of specialized feeding-related traits in active foragers, such as jaw 149 prehension to capture larger prey, may have enabled them to dominate for almost 200 150 million years since the origin of reptiles (Figure 2A). The subsequent rise may be linked 151 to the emergence and spread of angiosperms (flowering plants) in the past 100 million 152 years (16; 17). The habitat created by large sizes in angiosperms potentially conferred 153 a competitive advantage to sit-and-wait foragers adopting arboreal lifestyles where 154

limited movements suited the restrictions that arboreality exerts on the locomotion of organisms (18; 19). The observation that many sit-and-wait foragers are arboreal while most active foragers are terrestrial supports this claim (15). While the number of active foragers and sit-and-wait foragers may be stabilizing in the present, plasticforaging lineages continue to grow monotonically, reflecting the diversifying effect of plasticity (Figure 2A).

Explaining variation in diversification rates across the Tree of Life is an important 161 challenge for evolutionary biologists. Most of the work on this topic has focused on 162 associations between key innovations or historical factors and diversification rates (20). 163 But the role of variation in fitness and its effects on diversification rates have received 164 less attention. According to theoretical models, the reproductive effort of organisms 165 should influence their lifetime fitness (21; 22; 12). Recent empirical evidence supports 166 this idea; for example, (23) showed that the fitness of an iteroparous species was 167 the highest at its peak annual reproductive output. In this study, not only was the 168 net diversification of plastic foragers relatively high, but they also evolved relatively 169 high reproductive effort (Figure 1C and Figure 2). Foraging plasticity, the ability of an 170 organism to change its foraging behavior in response to environmental variations, could 171 then accelerate the pace of evolution, in turn accelerating diversification rates. This 172 flexibility may be crucial for colonizing species, such as plastic and active foragers, 173 to survive and reproduce above maintenance levels and, hence, for the persistence 174 of species (24). Often, such plasticity is adaptive in that organisms that show a 175 plastic response tend to have higher fitness than those that do not (2). There are 176 many examples where animals respond to heterogenous environments with immediate 177

<sup>178</sup> behavioral changes. Many bird species show a realm of exploratory foraging behaviors,
<sup>179</sup> occasionally resulting in quite innovative foraging techniques (25; 26). These feeding
<sup>180</sup> innovations are correlated with species numbers (27), reflecting the contribution of
<sup>181</sup> plasticity to lineage diversification. But the question of how fitness variation through
<sup>182</sup> phenotypic plasticity can lead to species diversification remains puzzling.

To elucidate a potential answer to this question, let us consider the ability of plas-183 tic foragers to colonize and survive in new environments (e.g., 28). In the process of 184 colonizing new environments, local adaptation could take place by exposing cryptic ge-185 netic variation to selection (2). This is possible in plastic foragers because their large 186 genomes potentially contain more genes, more and longer introns, and more trans-187 posable elements (Figure 3). Transposable elements often facilitate gene duplication 188 and variation in gene expression (29; 30). As variation in gene expression is expected 189 to underlie plasticity in higher order traits, including fitness, genetic changes in se-190 quences regulating gene expression are likely to have a key role in lineage divergence 191 (31; 32; 33). If selection acts on the new genetic variation supplied by gene expression, 192 then different lineages might become adapted to and simultaneously develop genetic 193 preferences for different ecological niches (e.g., 34). Eventually, species utilizing differ-194 ent ecological niches evolve differences in mating preferences by a process analogous 195 to reinforcement (for compelling reviews, see 35; 36). By contrast, the relatively small 196 genomes of active foragers may limit the variation in gene expression or function given 197 the restricted capacity to acquire new genes by gene duplication (37). Yet, a high 198 genome-wide nucleotide diversity among active foragers could compensate for the rel-199 atively small size of their genomes (Figure 4A). Because nucleotide diversity  $(\pi)$  is 200

directly related to the effective population size  $(N_e)$ , active-foraging species with high 201 nucleotide diversity are expected to have large effective population sizes (e.g., 37). As 202 the efficiency of selection increases with effective population size, speciation by nat-203 ural selection could occur through ecological speciation (38; 39). Under this process, 204 natural selection acts in contrasting directions between environments, which drives 205 the fixation of different alleles, each advantageous in one environment but not in the 206 other, potentially causing populations to diverge into new species (40). However, if the 207 species happen to have relatively low nucleotide diversity, as in the case of sit-and-wait 208 foragers (Figure 4B), abundant resources and a lack of competitors might enable them 209 to seed populations that exploit different niches at low densities, potentially leading to 210 the diversification of species by stochastic processes like random mutation and genetic 211 drift (35; 41). 212

Overall, we provide a set of potential scenarios by which the process of diversifi-213 cation could occur in reptiles. Our framework places emphasis on the ways in which 214 variation in foraging behavior alters the vagility of organisms, allowing them to effec-215 tively traverse along the genotype space and explore different fitness peaks. Although 216 the mechanisms underlying our hypotheses sound appealing, alternative views should 217 also be considered. For instance, some evidence suggests that a high dispersal ability 218 is expected to favor higher rates of gene flow (42). In contrast to the predictions of 219 our hypotheses, over an evolutionary timescale gene flow is expected to suppress spe-220 ciation events and thus clade level diversification (43; 44). However, recent work has 221 shown that speciation with gene flow is also possible (44; 45). In the past decades, 222 the emerging field of speciation genomics has enabled us to transition from individual 223

gene to whole genome, improving our understanding of speciation with gene flow. Our 224 observations that plastic foragers have larger genomes and that active foragers have a 225 relatively high genome-wide genetic diversity pave the way for others to investigate the 226 issue of the relative importance of divergence hitchhiking and genome hitchhiking for 227 facilitating speciation with gene flow among reptiles. Although annual reproductive 228 output can be considered a good proxy for fitness, species survival must also be exam-229 ined. In essence, fitness is rather intricately associated to the reproduction and survival 230 of organisms. Future directions should address the question of whether foraging behav-231 ior and dispersal capacity are actually linked to each other. To our knowledge, studies 232 comparing dispersal among reptile species varying in foraging behavior are scarce in 233 the literature. Therefore, more compelling evidence is required to conduct meaningful 234 comparative analyses of dispersal and its connection to diversification rates among 235 reptiles. 236

### 237 Materials and Methods

#### 238 Ecological data source

We used a comprehensive database for integrating a diverse range of physiological, behavioral, and life history data to explore patterns of diversification among reptiles (e.g., 46). Specifically, our analyses focused on predicting diversification rates based on the foraging behaviors of species. We classified the foraging behaviors based on whether the species have been reported as active forgers, sit-and-wait foragers, or using a plastic strategy (e.g., 47); a categorization that, albeit crude, remains useful to <sup>245</sup> biologists for defining the extremes of a continuum. Our investigation included data of
<sup>246</sup> foraging behavior for 997 species of squamate reptiles distributed among 56 families.

The foraging behavior of organisms has become a paradigm in evolutionary biology 247 because of its connection to many traits including the locomotion of species, which 248 potentially affects their dispersal capacity (8). For example, while active foragers 249 disperse over long distances to acquire food, sit-and-wait species barely disperse and 250 forage in nearby sites. If these premises are met, then investigating the relationship 251 between foraging behaviors and the fitnesses of species enables the assessment of a 252 prevailing view of fitness landscapes. That is, effectively traversing along the genotype 253 space might result in high diversification rates through local adaptation (13; 4; 3). 254 To assess this prediction, we first collected data of annual reproductive output among 255 reptile species. The annual reproductive output can be considered a good proxy for 256 fitness because it is generally a good predictor of the long-term fitness of organisms (12; 257 22). We defined the annual reproductive output as the product between the average 258 clutch sizes of species and their average number of clutches per year. We then regressed 259 this quantity on the maximum body mass of the species (q). By regressing the product 260 between the average clutch size and the average number of clutches per year, the slope 261 of the linear relationship can be interpreted as reproductive effort—proportion of mass 262 allocated to reproduction—which enabled us to avoid statistical issues associated with 263 the analysis of ratios. To account for species relatedness, the regression was informed 264 by a time-calibrated phylogeny of squamate reptiles (48). The regression model was 265 fitted in the free software for statistical computing R (49), using the function qls from 266 the library "nlme" (50). 267

#### 268 Ancestral state reconstruction

Because historical contingency may have played an important role in the diversification 269 of species that we observe today (51), we inferred the evolutionary history of foraging 270 behaviors among reptiles. To do so, we fitted a set of continuous-time, discrete-state 271 Markov chain models to sample the character histories from their posterior probability 272 distribution (52), across a time-calibrated phylogeny of squamate reptiles (48). The 273 models consisted of a an equal-rates (ER) model, in which the rate of change between 274 the three states of the character were assumed to be equivalent. We also fitted an 275 all-rates-different model (ARD), which enables transitions among states to occur at 276 different rates. Lastly, we fitted a symmetrical model, which enables pairs of states 277 to change at different rates but changes among all states are theoretically possible. 278 To fit the models, we used the default arguments of the function *make.simmap* from 279 the "phytools" library of R (53), and simulated  $1 \times 10^5$  character maps. We then 280 summarized the number of state changes and the posterior probabilities of each internal 281 node generated from the character map simulations. We selected the most likely model 282 based on an information-theoretic approach such as the Akaike Information Criterion 283 (AIC).284

# 285 State-dependent diversification framework

To explore whether the foraging behavior of species influenced speciation and extinction rates, we relied on state-dependent speciation and extinction models (SSE). These models are a birth-death process where the diversification rates are dependent on the state of an evolving character (54). Because the data of foraging behavior consisted of

a discrete character with 3 levels, we used the MuSSE method—a Multi-State Charac-290 ter extension of the Binary State Speciation and Extinction Model (BiSSE). In doing 291 so, we first defined a likelihood function, and then optimized it as required by the 292 library diversitree of R (55). The likelihood function requires a phylogenetic tree (i.e., 293 48), a vector of numbers ranging from 1 to 3 (where 1 = active foraging, 2 = plastic294 foraging, and 3 = sit-and-wait foraging, the number of states (k = 3), and a vec-295 tor specifying the proportion of species in each character state. We computed this 296 proportion based on the ratio of the number of species we had data for within each 297 foraging state to the total number of squamate species currently reported on Reptile 298 Database (see http://www.reptile-database.org). Subsequently, we constrained 299 this general likelihood function to fit different competing models. We started with a 300 null model, in which all birth and death rates are equal between states. Next, we fitted 301 the most complex model in which all rates of speciation and extinction depended on 302 the character state for our multi-state character. Also, we fitted models in which only 303 the speciation rate ( $\lambda$ ) varied between states, only the extinction rate ( $\mu$ ) varied, and 304 one in which neither  $\lambda$  nor  $\mu$  varied, but the transition rates differed between types of 305 transitions (e.g., ordered, unordered, etc.). As previously described, we compared the 306 models' goodness of fit based on  $AIC_c$  and selected the most likely one for inferences. 307 Finally, we used the most likely model to run a Bayesian Markov chain Monte Carlo 308 simulation (MCMC) with  $1 \times 10^5$  steps to take, an exponential prior distribution, and 309 the control parameter (w) suggested by (54). 310

We complemented the state-dependent diversification framework with a lineagethrough-time plot, which consists of a visual representation of how the number of lineages within clades changed over time, essentially tracing the diversification history of the clades (56). Importantly, the interpretation of this analysis remains inconclusive because a simple comparison of the total number of species between clades of different ages does not necessarily reflect consequences of species interactions even though species numbers differed impressively.

#### 318 Genomic data source

Because genetic diversity changes as organisms move their alleles around the genotype 319 space, important variation in the genetic makeup among species should be observed 320 (57). Accordingly, we first examined the association between genome size and the 321 foraging behavior of species. To do this, we obtained data of genome size from The 322 National Center for Biotechnology Information (NCBI; https://www.ncbi.nlm.nih. 323 gov/) and The Animal Genome Size Database (58). The genome size dataset that we 324 compiled included 99 squamate species distributed among 29 families. To model the 325 association between foraging behavior and genome size among species, we fitted a set 326 of phylogenetic-corrected models and evaluated their goodness of fit based on  $AIC_c$ 327 values. In addition to accounting for the effect of relatedness between species, we also 328 accounted for potential confounding factors such as body mass. To do so, we used the 329 function gls from the library "nlme" of R (50). 330

Furthermore, we compared the genome-wide genetic diversity of an active forager (*Podarcis muralis*) with that of a sit-and-wait forager (*Anolis carolinensis*). To do this, we obtained whole genomes from one population of each species (n = 5). The genomic sequences obtained for *P. muralis* and *A. carolinenesis* are available on NCBI

under the Bioproject numbers PRJNA715201 and PRJNA533001, respectively. We 335 performed a quality-control check of the samples (paired-end sequences) with FastQC 336 (59), and filtered out reads of low quality with Trimmomatic (60). After quality con-337 trol, we aligned the reads to the reference genome of *P. muralis* (PodMur 1.0) and 338 A. carolinensis (rAnoCar3.1.pri) using bwa from samtools (61). We then ran the Hap-339 *lotypeCaller* algorithm from the software GATK4 (62) to identify single nucleotide 340 polymorphisms (SNPs) across the genomes of the study species. This pipeline gener-341 ated the variant-calling format files (VCF) that we later used to compute the nucleotide 342 diversity ( $\pi$ ). The nucleotide diversity is a measure of genetic variation within a pop-343 ulation, which is calculated as the average number of nucleotide differences per site in 344 pairwise comparisons of DNA sequences. To accomplish this task, we used *vcftools* to 345 quantify the nucleotide diversity over  $10kb (1 \times 10^4 bp)$  windows of the genome. Finally, 346 we presented the average nucleotide diversity at a chromosome level for each species 347 with the associated standard deviation. 348

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#### 352 Data Accessibility Statement

A fully reproducible workflow of the data analyses, including R scripts and additional supporting material, is available in the following repositories: Github https: //dylan-padilla.github.io/speciation-foraging/. A dryad link will be avail<sup>356</sup> able upon acceptance: .

# 357 Conflict of interest

<sup>358</sup> The authors have declared no competing interests.

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### 500 Figures with captions



Figure 1: A) Random sample of  $1 \times 10^5$  simulated character maps depicting the evolution of foraging behaviors among 997 reptile species. Clades where major transitions have occurred are indicated as follows: G = Gekkota, I = Iguania. B) Expected number of changes between the states of the character under the most likely model. The high probability density (HPD) reflects the variance of changes between states given the assumed model. C) Net diversification rates between clades defined by the foraging behavior of species. For each clade, the net diversification was computed as the difference between speciation rates ( $\lambda$ ) and extinction rates ( $\mu$ ).



Figure 2: A) Lineage-through-time plot illustrating the accumulation of lineages within clades defined by the foraging behavior of species. B) Relationship between the annual reproductive output of species as a function of their body mass and foraging behavior.



Figure 3: Evolution of genome size in response to the interaction between body mass and foraging behavior among reptile species.



Figure 4: A) Genome-wide nucleotide diversity estimated from a population of a sitand-wait forager (*Anolis carolinensis*). B) Genome-wide nucleotide diversity estimated from a population of an active forager (*Podarcis muralis*). Solid dots represent the average nucleotide diversity per chromosome and across the mitochondrial genome, which is abbreviated as MT. The bars associated indicate the standard deviation.