

1 Cellular Innovations and Diversity in the Lepidopteran Compound Eye

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5 **Abstract**

6 Lepidoptera, having co-diversified with flowering plants and adapted to various diel niches, present a remarkable
7 system for studying compound eye cell type diversity. Here we synthesize the latest research regarding lepidopteran
8 eye evolution across different timescales, from species-level variation to family-level changes, and mechanistic
9 levels, from broad anatomical variation to molecular mechanisms responsible for spectral tuning. Opsin duplication,
10 differential expression, and co-expression, combined with lateral filtering pigments, generate diverse spectral
11 sensitivities in photoreceptors. Lateral filtering is particularly important for the convergent evolution of red vision.
12 These diverse photoreceptors combine to form a handful of ommatidial types distributed differentially across eye
13 regions, potentially specializing for distinct behavioral tasks. The coordinated development of these complex retinal
14 mosaics requires precise regulatory mechanisms that we are only beginning to understand. Notably, only a subset of
15 these ommatidial types contribute to color vision, highlighting the need for more research on their roles in motion
16 and polarization vision. We also review support cells providing essential functions such as light insulation or
17 reflection. Future research should focus on identifying ecological pressures driving visual system evolution, genetic
18 bases of diverse retinal mosaics, and neural integration of visual information in Lepidoptera.

19 **Keywords:** Butterfly, Color vision, Filtering pigment, Moth, Ommatidia, Opsin, Photoreceptor, Spectral sensitivity.

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24 **1. Introduction**

25 Typical of crustaceans and hexapods (including insects), the compound eye is one of two
26 principal visual systems in the animal kingdom, alongside the single-lens camera-type eyes
27 found in vertebrates and cephalopods (Harzsch and Hafner 2006). Butterflies and moths
28 (Lepidoptera) represent one of four major insect superradiations, alongside Coleoptera,
29 Hymenoptera, and Diptera, with most lineages diversifying rapidly with the rise of flowering
30 plants (angiosperms) in the Cretaceous (Heikkilä et al. 2012; Wahlberg et al. 2013; Mitter et al.
31 2017; Espeland et al. 2018; Chazot et al. 2019; Kawahara et al. 2019). As a predominantly
32 herbivorous clade, Lepidoptera has among the fastest diversification rates of any insect order
33 (Ehrlich and Raven 1964; Wiens et al. 2015; Kawahara et al. 2023). This close association with
34 angiosperms, as herbivores during the larval stage and pollinators as adults, has likely driven the
35 remarkable diversification of the lepidopteran visual system in order to identify suitable host
36 plants and detect flowers. Additionally, Lepidoptera exhibit a wide range of diel activity patterns
37 (day vs. night), with more than 40 independent transitions to diurnality, further driving the
38 diversification of the Lepidoptera compound eye (Kawahara et al. 2018).

39 Numerous comprehensive reviews have explored insect color vision and the diversity of retinal
40 mosaics (Briscoe and Chittka 2001; Stavenga and Arikawa 2006; Wernet et al. 2015; Arikawa
41 2017; Song and Lee 2018; Schnaitmann et al. 2020; van der Kooi et al. 2021; McCulloch et al.
42 2022a). However, recent developments have created new opportunities to expand on this
43 foundation. The availability of high-quality Lepidoptera genomes has greatly enhanced our
44 ability to investigate the genetic basis of visual diversity (Mulhair et al. 2023; Wright et al.
45 2024). Furthermore, an increasing number of studies have linked compound eye structure and
46 function to butterfly behavior, ecology, and evolution (Wainwright et al. 2023; Rossi et al. 2024;

47 Wright et al. 2024; Dang et al. 2025; VanKuren et al. 2025). Together, these advances underscore
48 the need for a comprehensive, up-to-date review of the lepidopteran visual system. In this
49 review, we summarize both shared patterns and clade-specific features of compound eye cell
50 types in the Lepidoptera.

51 **2. Basic structure of the Lepidoptera compound eye**

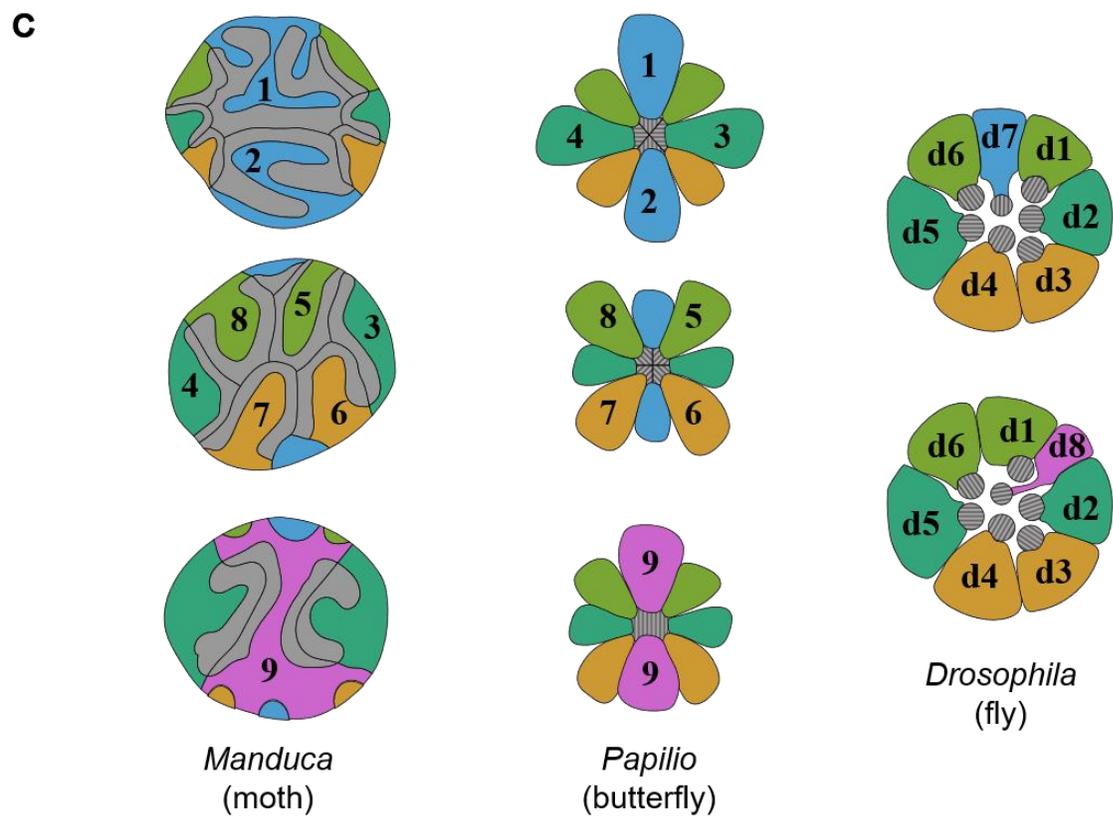
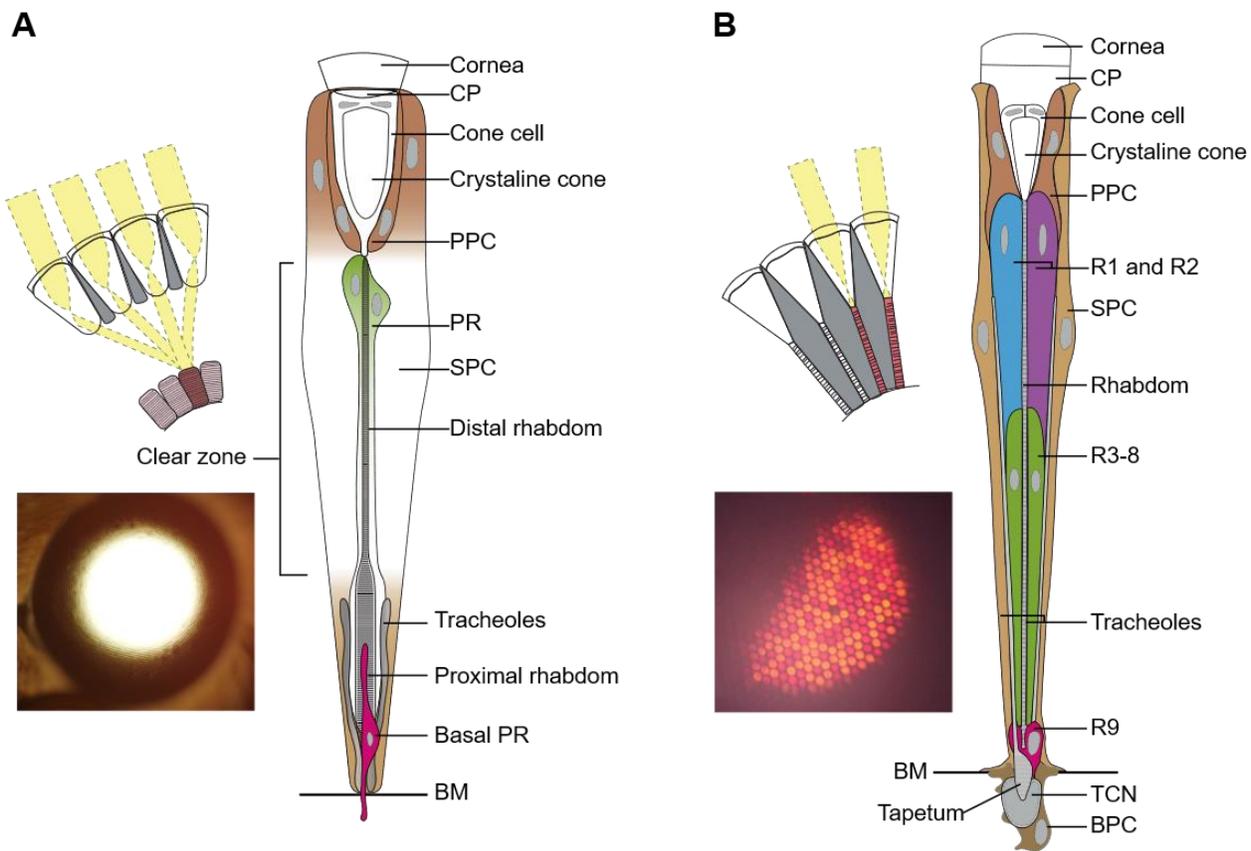
52 The compound eye consists of many repeated individual units called ommatidia. Most butterflies
53 (superfamily Papilionoidea) studied thus far have the ancestral afocal apposition eye (Fig. 1B),
54 where the lens in each ommatidium forms a small, inverted image (Exner 1891; Nilsson 1989;
55 Land and Nilsson 2012; Meyer-Rochow and Lindström 2025). Another major compound eye
56 type, the refracting superposition eye (Fig. 1A), is found in diurnal HesperIIDae (Orridge et al.
57 1972), nocturnal Hedylidae (Yack et al. 2007), and many moth families (Pirih et al. 2018).
58 Unlike apposition eyes, superposition eyes form a single erected image deeper in the eye by
59 combining light from many lenses (Exner 1891; Nilsson 1989; Land and Nilsson 2012; Meyer-
60 Rochow and Lindström 2025). A pigment-free clear zone exists between the dioptric structures
61 and the proximal light-sensing receptors, allowing light entering from different lenses to pass
62 through. An intermediate eye type, which lacks the clear zone but otherwise resembles a
63 superposition eye, has also been found in several miniature moth species, likely reflecting the
64 theoretical size limit imposed by superposition optics. (Meyer-Rochow and Gál 2004; Honkanen
65 and Meyer-Rochow 2009; Fischer et al. 2012, 2014).

66 The differences between superposition and apposition eyes can also be distinguished by their
67 eyeshine. Light entering the ommatidia reaches a reflective structure formed by tracheae, known
68 as the tapetum, which reflects the light back and produces the eyeshine. Dark-adapted moths

69 with superposition eyes exhibit a circular glow visible to the naked eye when illuminated (Fig.
70 1A). In contrast, many diurnal butterflies with apposition eyes display colorful and sometimes
71 heterogeneous eyeshine (Fig. 1B), due to the reflecting tapetum at the base of each ommatidium
72 (Exner 1891; Miller and Bernard 1968). The eyeshine represents the light not absorbed by the
73 pigments within each ommatidium (Stavenga 2002).

74 Each ommatidium contains photoreceptors as well as support cells such as pigment and cone
75 cells. Photoreceptors are sensory neurons that detect light and convert it into electrical signals.
76 The canonical insect ommatidium contains eight photoreceptors, which can be developmentally
77 subdivided based on their anatomical positions, specification sequences, and axonal projections.
78 In *Drosophila*, photoreceptors are classified as outer or inner photoreceptors according to the
79 position of their rhabdomeres within the open rhabdom (Friedrich et al. 2011). The rhabdomeres
80 of the two inner photoreceptors are stacked in tandem and located centrally within the
81 interrhabdomeral space. These inner photoreceptors are referred to as dR7 (distal) and dR8
82 (proximal), where the 'd-' prefix denotes the naming scheme by Dietrich (1909) in his study of
83 the retinal organization in higher Diptera. The inner photoreceptors are long visual fibers (LVFs),
84 projecting to the medulla, whereas the outer photoreceptors are short visual fiber (SVFs),
85 projecting to the lamina. In butterflies, a different photoreceptor naming scheme was introduced
86 by Ribi (1978) in his description of the retinal structure of *Pieris rapae*. Ribi (1978) named
87 photoreceptors R1-9 based on the position of their nuclei along the rhabdom and their
88 orientation. Although butterflies have a fused rhabdom without interrhabdomeral space,
89 photoreceptors R1, R2, and R9 were initially identified as homologous to inner photoreceptors in
90 *Drosophila* due to their projections to the medulla (Ribi 1987; Shimohigashi and Tominaga 1991,
91 1999). Specifically, R1 and R2, which have distal rhabdomeres, correspond to dR7, while the

92 basal R9 corresponds to dR8 (Friedrich et al. 2011). These homology assignments (Fig. 1C) are
93 further supported by similarities in cell body positioning and the sequence of photoreceptor
94 specification (Gao et al. 2025). Notably, R9 is unique in that both its cell body and rhabdomere
95 are highly restricted to the most proximal position. Furthermore, a recent study suggests that in
96 *Papilio*, R9 cells are SVFs terminating in the lamina rather than the medulla, in contrast to dR8
97 in *Drosophila* (Matsushita et al. 2022).



99 **Fig. 1. Anatomy and light paths of superposition and apposition compound eyes.**

100 (A) *Right side*: Anatomy of a superposition eye in the nocturnal corn borer moth (*Ostrinia nubilalis*), adapted from
101 (Belušič et al. 2017). The dioptric apparatus (cornea and crystalline cone) in the distal region is separated from the
102 proximal rhabdom by a clear zone. The nuclei of the basal PRs lie directly beneath the rhabdom. *Top left side*: Light
103 path in a superposition eye, where light from multiple ommatidia passes through the clear zone and converges on a
104 single proximal rhabdom, enhancing light sensitivity at the expense of acuity. *Lower left side*: Superposition
105 eyeshine image of *Helicoverpa armigera*. Image courtesy of Dr. Kentaro Arikawa. (B) *Right side*: Anatomy of an
106 apposition eye in the diurnal small tortoiseshell butterfly (*Aglais urticae*), adapted from (Kolb 1985). The bilobed
107 basal PR (R9) has its nucleus positioned adjacent to the rhabdom. *Top left side*: Light path in an apposition eye,
108 where each ommatidium is optically isolated by heavily pigmented SPCs; only light entering at specific angles
109 reaches the rhabdom. *Lower left side*: Apposition eyeshine image of *Heliconius cydno*. (C) Cross sections of the
110 rhabdoms in different tiers. The top row is the distal tier, and the bottom row is the most proximal tier. Homology
111 relationships among *Manduca* (modified from White et al. 2003), *Papilio* (modified from Arikawa and Stavenga
112 1997), and *Drosophila* (modified from Reinke and Zipursky 1988) are indicated by the matching colors.
113 Abbreviations: PR, photoreceptor; BM, basement membrane; PPC, primary pigment cell; SPC, secondary pigment
114 cell; BPC, basal pigment cell; CP, corneal process.

115 In contrast to the well-studied higher Diptera ommatidium, which contains one dR7 and one dR8
116 inner photoreceptor, the butterfly ommatidium includes an additional inner photoreceptor (two
117 dR7 and one dR8). Among the major winged insect (Pterygota) orders, this configuration of two
118 dR7 cells has only been observed in Lepidoptera and Hymenoptera, two groups that have been
119 studied extensively in the context of color vision (van der Kooi et al. 2021; Gao et al. 2025). The
120 nocturnal moth-butterfly (Hedylidae) represents a notable outlier within the butterfly
121 superfamily, possessing only eight photoreceptors per ommatidium (Yack et al. 2007). In
122 contrast, outside the butterfly superfamily, the number of photoreceptors within ommatidia is
123 more variable (Fig. 2). For example, the hawkmoth *Manduca sexta* has fly-like ommatidia (one
124 dR7 homolog) in the dorsal region and butterfly-like ommatidia (two dR7 homologs) in the

125 ventral region (White et al. 2003; Gao et al. 2025). In moth species with superposition eyes, each
126 ommatidium can contain 8-16 photoreceptors (Horridge and Giddings 1971; Horridge et al.
127 1977; Meyer-Rochow and Lau 2008; Belušič et al. 2017; Yang et al. 2024).

128 The key structural feature of photoreceptors is the rhabdomere, a dense array of microscopic
129 membrane protrusions known as microvilli, where a high density of visual pigments is found
130 within the microvillar membrane (Osorio 2007). Microvilli absorb plane-polarized light most
131 efficiently when their orientation is parallel to the light's e-vector (Labhart and Meyer 2002). The
132 rhabdomeres of all photoreceptors within an ommatidium collectively form the rhabdom. In
133 Lepidoptera, these rhabdomeres are closely packed together into a single fused rhabdom. The
134 combination of fused rhabdom and apposition eye is thought to represent the arthropod ancestral
135 state (Osorio 2007). In butterflies with apposition eyes, the rhabdoms are typically thin and rod-
136 shaped, whereas in moths with superposition eyes, they often exhibit star-like or rosette-shaped
137 configurations (Meyer-Rochow and Lindström 2025).

138 The spatial arrangement of the rhabdom is quite variable (Fig. 2). For instance, in Papilionidae
139 and Pieridae, the rhabdom is fully tiered: R1-4 cells contribute microvilli to the distal tier of the
140 rhabdom, while the proximal tier consists of R5-8 microvilli. At the most basal position, R9
141 contributes to a small section of the rhabdom (Ribi 1978; Arikawa and Uchiyama 1996). In
142 contrast, species in the family Nymphalidae generally have incompletely tiered rhabdoms, where
143 R3-8 contribute their microvilli along the entire length of the rhabdom (Gordon 1977).

144 Exceptions to these patterns occur in some butterfly and moth species. For example, the giant
145 butterfly-moth (*Paysandisia archon*) has two types of ommatidia. In type I, the distal rhabdom
146 consists exclusively of R1/2, and this configuration is also found in the butterfly *Parnassius*

147 *glacialis* (Matsushita et al. 2012). In type II, the distal rhabdom is split into two sub-rhabdoms,
 148 one formed by R2, R3, R5, R6 and the other by R1, R4, R7, R8 (Pirih et al. 2018).

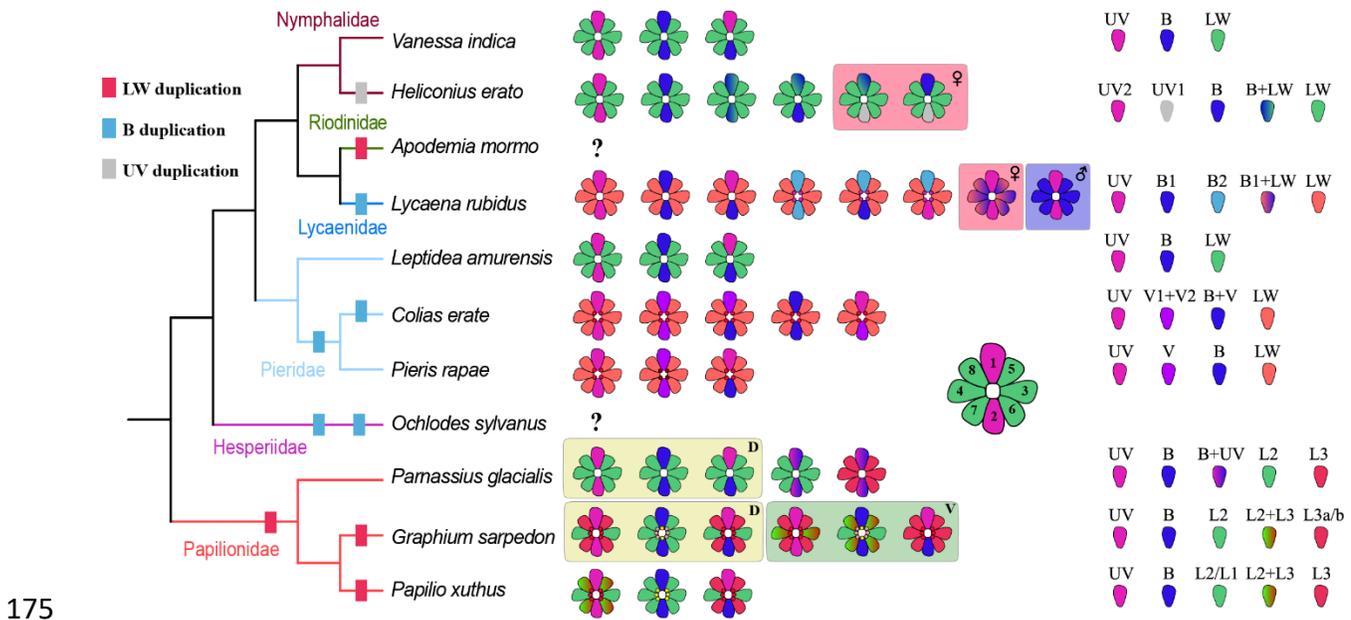
		Eyeshine	PR Number	Distal	Proximal	Basal
Tortricidae	<i>Adoxophyes orana</i>		8	7		1
Castniidae	<i>Paysandisia archon</i>		9	R1-2	R3-8	R9
Sphingidae	<i>Manduca sexta</i>		8-9	R1-2	R3-8	R9
Crambidae	<i>Ostrinia nubilalis</i>		9-12	8-11		1
Papilionidae	<i>Parnassius glacialis</i>		9	R1-2	R3-8	R9
	<i>Papilio xuthus</i>		9	R1-4	R5-8	R9
Hedylidae	<i>Macrosoma heliconiaria</i>		8	4	3	1
Hesperiidae	<i>Parnara guttata</i>		9	R1-2	R5-8	R9
Pieridae	<i>Pieris rapae</i>		9	R1-4	R5-8	R9
Nymphalidae	<i>Vanessa cardui</i>		9	R1-8		R9
	<i>Parantica sita</i>		9	R1-2	R3-8	R9

149
 150 **Fig. 2. Evolution of ommatidial anatomical structures in Lepidoptera.**

151 A phylogeny of representative species from various Lepidoptera families is shown, with butterflies (Superfamily
 152 *Papilionoidea*) highlighted in red branches. The family-level phylogeny is based on (Kawahara et al. 2019). In the
 153 eyeshine column: colorful hexagons, apposition eyes with heterogeneous eyeshine; yellow hexagons, apposition
 154 eyes with homogeneous eyeshine; black hexagons, apposition eyes without eyeshine; yellow circles, superposition
 155 eyes with eyeshine. For each species, the total number of photoreceptors per ommatidium is indicated, along with
 156 their grouping based on their contribution to the rhabdom, which is organized into two or three tiers. Photoreceptor
 157 naming follows the Ribi (1978) scheme. For species where photoreceptor homologies are uncertain, the number of
 158 photoreceptors in each tier is indicated (in red text). Across all species, regardless of eye type (apposition or
 159 superposition), the ommatidium consistently contains a distinct basal photoreceptor. References: *Adoxophyes* (Satoh
 160 et al. 2017); *Paysandisia* (Pirih et al. 2018); *Manduca* (White et al. 2003; Gao et al. 2025); *Ostrinia* (Belušič et al.
 161 2017); *Parnassius* (Matsushita et al. 2012); *Papilio* (Arikawa and Uchiyama 1996); *Macrosoma* (Yack et al. 2007);
 162 *Parnara* (Shimohigashi and Tominaga 1986); *Pieris* (Ribi 1978); *Vanessa* (Briscoe et al. 2003); *Parantica* (Nagloof
 163 et al. 2020).

164 **3. Evolution of lepidopteran opsin genes**

165 The spectral sensitivity of photoreceptors is primarily determined by the visual pigments they
 166 express. In arthropods, these visual pigments are composed of rhabdomeric-type opsin (r-opsin)
 167 proteins, members of the G protein-coupled receptor family, that covalently bind to the retinal-
 168 based chromophores and respond to different wavelengths of light (Henze and Oakley 2015).
 169 Ancestrally, Lepidoptera possess three types of r-opsins with distinct peak sensitivity: green-
 170 sensitive long-wavelength (LW) opsins, blue-sensitive short-wavelength (B) opsins, and
 171 ultraviolet-sensitive (UV) opsins (Briscoe and Chittka 2001; Stavenga and Arikawa 2006;
 172 Briscoe 2008). Most photoreceptors follow the 'One Receptor' rule of sensory neurons,
 173 expressing a single opsin gene per cell (Mazzoni et al. 2004). However, numerous instances of
 174 opsin co-expression have been observed in butterfly photoreceptors (Fig. 3).



175
 176 **Fig. 3. Evolution of retinal mosaics in butterflies.**

177 *Left panel:* the phylogeny of several butterfly species with well-characterized opsin expression patterns, with gene
 178 duplication events marked along the branches. The phylogeny is based on (Heikkilä et al. 2012; Espeland et al.

179 2018; Kawahara et al. 2019, 2023). *Middle panel*: ommatidial types for each species based on opsin expression. The
180 enlarged ommatidium indicates the position of R1–R8 cells (R9 not shown). Types that are sex-specific or region-
181 specific are highlighted with boxes (D: dorsal, V: ventral). The presence of perirhabdomal filtering pigments is
182 indicated for *Colias*, *Pieris*, *Graphium*, and *Papilio*. *Right panel*: the diversity of photoreceptor types that compose
183 the retinal mosaics. Co-expression is indicated by mixed colors within a cell and plus signs. References: *Vanessa*
184 (Briscoe et al. 2003; Pirih et al. 2020); *Heliconius* (McCulloch et al. 2017); *Apodemia* (Frentiu et al. 2007); *Lycaena*
185 (Sison-Mangus et al. 2006); *Leptidea* (Uchiyama et al. 2013); *Colias* (Ogawa et al. 2012); *Pieris* (Arikawa et al.
186 2005); *Ochodes* (Mulhair et al. 2023); *Parnassius* (Awata et al. 2010); *Graphium* (Chen et al. 2016); *Papilio*
187 (Arikawa 2003).

188 Outer photoreceptors (R3-8) mainly express LW opsins. The inner photoreceptor R9 has also
189 been shown to express LW opsins in species such as *Papilio glaucus* and *Vanessa cardui*
190 (Briscoe et al. 2003; Briscoe 2008). However, due to its small size and basal position within the
191 ommatidium, the opsin expression of R9 remains poorly understood in most species. Inner
192 photoreceptors R1 and R2 typically express UV or B opsins. Stochastic expression of UV or B
193 opsins in R1/2 results in three stochastically distributed ommatidial types: UV-UV, UV-B, and B-
194 B (Perry et al. 2016). This type of retinal mosaic is typical of most butterflies and moths (White
195 et al. 2003; Arikawa 2003) and is also found in honeybees (Wakakuwa et al. 2005).

196 One key mechanism for expanding the spectral diversity of photoreceptors is gene duplication
197 and divergence. Duplicated opsins can evolve distinct peak sensitivities by changing amino acids
198 in the chromophore-binding pocket, also known as spectral tuning. These opsin paralogs can
199 acquire novel expression patterns in new cell types or specialize among subsets of the original
200 cell type (Briscoe 2008). Gene duplications of opsins in Lepidoptera have been documented
201 since the early-day cDNA cloning and *in situ* hybridization studies (Kitamoto et al. 1998;
202 Briscoe 2000). Following the publication of the first moth genome (*Bombyx mori*; Xia et al.

203 2004) and the first butterfly genome (*Danaus plexippus*; Zhan et al. 2011), an increasing number
204 of lepidopteran genomes and transcriptomes have been sequenced using next-generation
205 sequencing technologies. These datasets have enabled broader taxonomic surveys of opsin gene
206 diversity (Sondhi et al. 2021; Kuwalekar et al. 2022). However, opsin gene copy number may be
207 underestimated in fragmented genome assemblies. This limitation is now being addressed with
208 chromosome-level genome assemblies produced using third-generation sequencing methods,
209 such as those generated by the Darwin Tree of Life project (Mulhair et al. 2023). Opsin gene
210 duplications are now recognized as more widespread across Lepidoptera than previously thought
211 (Table S1).

212 **3.1. Long-wavelength opsin duplication and expression**

213 LW opsin duplications are widespread across Lepidoptera (Sondhi et al. 2021; Kuwalekar et al.
214 2022; Mulhair et al. 2023). Within the butterfly superfamily, LW opsin duplications have been
215 identified in Papilionidae, Riodinidae, Nymphalidae, and HesperIIDae (Fig. 3). They are also
216 common across multiple moth families. One of the most ancient opsin duplication events in
217 Lepidoptera is the duplication of LW opsin in the Noctuoidea superfamily, which occurred
218 approximately 80 million years ago. All current Noctuoidea species share an intronless *LWS2*
219 gene, likely produced by the retrotransposition of the ancestral *LWS1* copy (Mulhair et al. 2023).
220 At the base of Papilionidae, an LW opsin duplication event generated two opsins: the ancestrally
221 green-sensitive L2 and the red-sensitive L3. The peak absorption wavelength of L3 is
222 approximately 570 nm in *Papilio xuthus* (Kitamoto et al. 1998; Saito et al. 2019). *Parnassius*
223 *glacialis* butterflies (subfamily Parnassiinae) only have L2 and L3 LW opsin copies from the
224 ancestral duplication (Awata et al. 2010). In contrast, *Graphium sarpedon* (subfamily
225 Papilioninae), a butterfly with extreme spectral richness, possesses three LW opsins (L2, L3a,

226 and L3b), due to a duplication of L3 that is shared among the Leptocircini tribe. In *Graphium*,
227 dorsal R3-8 photoreceptors only express one LW opsin per cell (either L2 or L3a), while ventral
228 R3-8 photoreceptors can co-express two or three LW opsins in a single photoreceptor, generating
229 at least five types of long-wavelength-sensitive photoreceptors (Chen et al. 2016). In *Papilio*
230 butterflies, a separate, genus-specific duplication of L3 produced three total LW opsins: L2, L3,
231 and L1. Similar to *Graphium*, each R3-8 photoreceptor can express one or two LW opsins,
232 although the co-expression of all three LW opsins has not been observed in *Papilio* (Kitamoto et
233 al. 1998; Briscoe 2008).

234 Although LW opsin duplications have been documented in both diurnal and nocturnal
235 Lepidoptera, not all duplicated copies function in color vision or brightness contrast. For
236 example, in *Bombyx mori*, one duplicated LW opsin is expressed in the larval brain tissue, where
237 it regulates photoperiodic responses (Shimizu et al. 2001).

238 **3.2. Blue opsin duplication and expression**

239 The most well-characterized B opsin duplication events have been documented in the butterfly
240 families Lycaenidae and Pieridae (Fig. 3). In Lycaenidae, an ancestral B opsin duplication gave
241 rise to two B opsin copies, B1 and B2, which are shared across the family (Bernard and
242 Remington 1991; Sison-Mangus et al. 2006). These opsins are expressed in R1/2 photoreceptors
243 in distinct, non-overlapping patterns with each other and with UV opsins. As a result, *Lycaena*
244 *rubidus* exhibits six R1 and R2 subtype combinations: UV-UV, UV-B1, UV-B2, B1-B1, B1-B2,
245 and B2-B2 (Sison-Mangus et al. 2006). In *L. rubidus*, B1 also shows a novel expression pattern
246 in R3-8 photoreceptors, which ancestrally expressed only LW opsins. In females, these
247 photoreceptors in the dorsal eye co-express LW and B1 opsins, while in males, the same cells

248 only express B1. This sexually dimorphic expression pattern has been linked to sexual selection
249 and the prevalence of blue pigments on Lycaenidae wings (Sison-Mangus et al. 2006).

250 Two blue opsin duplication events have been identified in Pieridae. The first is an ancestral blue
251 opsin duplication that occurred at the base of the Coliadinae and Pierinae lineages, generating a
252 blue-sensitive opsin (B, λ_{\max} at 450nm) and a violet-sensitive opsin (V, λ_{\max} at 420nm) with a
253 spectral shift toward the UV range (Wakakuwa et al. 2010). In Coliadinae, the V opsin
254 underwent a second duplication (Arikawa et al. 2005; Awata et al. 2009). As a result, Coliadinae
255 species possess three blue opsins (B, V1, V2), while Pierinae species have two (B and V).
256 Surprisingly, the duplication of blue opsins does not increase the total number of ommatidial
257 types in *Pieris rapae*; only three ommatidial types (UV-UV, UV-B, and V-V) are observed.
258 Notably, V opsins are expressed only in ommatidia that lack the ancestral UV or B opsin
259 expression (Arikawa et al. 2005).

260 In *Colias erate*, the violet opsins V1 and V2 are always co-expressed in R1/2 photoreceptors.
261 Additionally, a novel photoreceptor subtype has been identified in *Colias* that expresses all three
262 blue opsins (B, V1, and V2), representing the highest number of co-expressed opsin genes within
263 a single photoreceptor (Ogawa et al. 2012). Beyond the well-characterized blue opsin
264 duplications in Pieridae and Lycaenidae, similar duplications have also been reported in several
265 Hesperiiidae butterflies and even in an Erebiidae moth species (Mulhair et al. 2023).

266 **3.3. Ultraviolet opsin duplication and expression**

267 Unlike LW and B opsin duplications, UV opsin duplications are rare in Lepidoptera. The only
268 confirmed UV opsin duplication event occurred in the common ancestor of all *Heliconius*
269 butterflies, generating UV1 and UV2 (Briscoe et al. 2010). In the *erato/sara/sapho* clade, the

270 ancestral *UVRh2* is located on an autosome but the duplicated *UVRh1* is located on the female-
271 specific W chromosome, resulting in sexually dimorphic UV opsin expression (Chakraborty et
272 al. 2023). In females of this clade, two distinct UV photoreceptor cell types have been identified,
273 each expressing either UV1 or UV2 (McCulloch et al. 2016, 2017). Behavioral studies further
274 support this sexual dimorphism, showing that female *H. erato* and *H. charithonia* possess true
275 UV color vision (Finkbeiner and Briscoe 2021; Chakraborty et al. 2023).

276 In the other major *Heliconius* clade (*melpomene/doris*), both *UVRh1* and *UVRh2* are located on
277 autosomes. Since the sister group of this *melpomene/doris* clade, *H. aoede*, only expresses
278 *UVRh2* in males (based on RNA-seq data), the most parsimonious explanation is that *UVRh1*
279 was initially duplicated onto the W chromosome and later translocated to an autosome in the
280 *melpomene/doris* lineage (McCulloch et al. 2017). Within this clade, female *H. doris* have an
281 additional UV photoreceptor cell type that co-expresses UV1 and UV2 almost equally, while *H.*
282 *ethilla* in the silvaniform lineage lost UV2 expression entirely due to the pseudogenization
283 (McCulloch et al. 2017). Even within a single *H. cydno* species complex, peak sensitivities of
284 UV photoreceptors vary significantly across subspecies and sexes, which are driven by shifts in
285 the relative expression level of UV1 and UV2 (Buerkle et al. 2022; VanKuren et al. 2025).

286 Overall, a single genus-specific UV opsin duplication event, followed by chromosomal
287 translocation and lineage-specific pseudogenization, has resulted in at least eight distinct R1/2
288 ommatidial types (McCulloch et al. 2017). This complex pattern of gene expression evolution
289 highlights that understanding spectral diversity requires not only broad taxonomic sampling, but
290 also dense sampling within genera, as closely-related species can exhibit substantial differences.

291 **3.4. Co-expression of multiple opsins**

292 As noted previously, photoreceptors broaden their spectral sensitivity by co-expressing multiple
293 opsin genes within the same cell (Arikawa et al. 2003). For example, *Colias* butterflies co-
294 express V1 and V2 opsins, derived from a duplication at the base of the Coliadinae subfamily
295 (Ogawa et al. 2012). Similar co-expression of opsins originating from genus- or family-level
296 duplications is also observed in other species (Arikawa et al. 2003; Briscoe et al. 2010; Chen et
297 al. 2016).

298 In contrast, the co-expression of opsins from different spectral classes (UV, B, LW) is much rarer
299 in Lepidoptera. In *Parnassius glacialis*, a subset of ventral R1/2 photoreceptors co-express UV
300 and B opsins (Awata et al. 2010), similar to the ventral stripe dR7 photoreceptors of the mosquito
301 *Aedes aegypti* (Hu et al. 2011). Even more surprising is the co-expression of B and LW opsins,
302 which are typically restricted to inner and outer photoreceptors, respectively. In *Lycaena rubidus*,
303 female R3-8 photoreceptors co-express B1 and LW opsins (Sison-Mangus et al. 2006). Across
304 the Heliconiini clade (including *Heliconius*, *Eueides*, and *Dryas*), multiple retinal mosaics
305 feature R1/2 photoreceptors that co-express B and LW opsins (McCulloch et al. 2017). These
306 broad-spectrum photoreceptors generate three additional ommatidial types (McCulloch et al.
307 2017; Chakraborty et al. 2023). Together, these examples illustrate the remarkable flexibility of
308 opsin expression in Lepidoptera, particularly the unexpected expression of outer photoreceptor
309 opsins in inner photoreceptors, and vice versa.

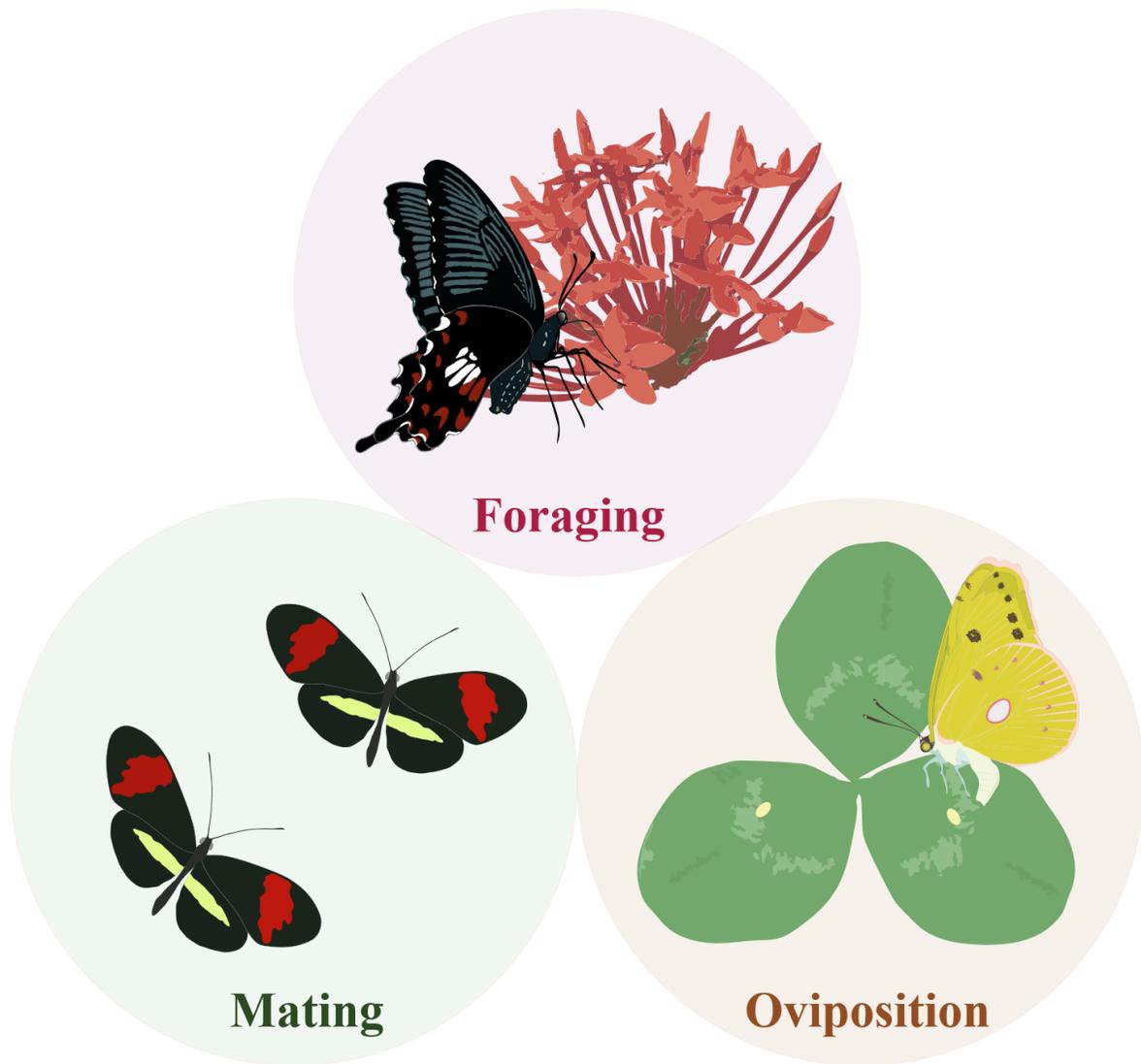
310 **3.5. Temporal expression pattern**

311 The first clusters of differentiated photoreceptors appear during the wandering larval stage in
312 *Manduca* moths (Monsma and Booker 1996; Champlin and Truman 1998). However, the
313 rhabdom is not completed until the end of pupal development or shortly after adult eclosion
314 (Monsma and Booker 1996; Arikawa et al. 2017). In *Papilio xuthus*, the onset of opsin gene

315 expression occurs during pupal development and follows a consistent temporal sequence: UV
316 and B opsins are expressed first, followed by L2, then L3, and finally L1 (Arikawa et al. 2017).
317 The ancestral green-sensitive L2 opsin initially appears in all R3-8 photoreceptors. In a subset of
318 ommatidia, L2 is later replaced by the red-sensitive L3 in R5-8 photoreceptors. The genus-
319 specific L1 opsin is only detectable after day 9 and is restricted to R3/4 photoreceptors, which
320 continue to co-express L2 (Arikawa et al. 2017). Interestingly, the temporal order of opsin
321 expression in *P. xuthus* mirrors the evolutionary sequence in which these opsins arose,
322 suggesting a case of "ontogeny recapitulating phylogeny"(Domazet-Lošo and Tautz 2010;
323 Kalinka et al. 2010). Whether this pattern holds true for other duplicated opsins remains
324 unknown. More comparative studies on the temporal expression pattern of duplicated opsin
325 genes need to be done, especially in species with multiple opsin duplications, such as the *Colias*
326 butterflies with their three middle-wavelength opsins.

327 **4. Lateral filtering and convergent evolution of red photoreceptors**

328 The evolution of red color vision may serve multiple functions, including mate recognition,
329 flower detection, and host plant discrimination for oviposition (Fig. 4). While red-sensitive
330 photoreceptors ($\lambda_{\max} > 565$ nm) are rare in Hymenoptera, they have evolved repeatedly and are
331 widespread in Lepidoptera, especially among diurnal butterflies (Briscoe and Chittka 2001). The
332 evolution of red-sensitive photoreceptors both expands the visual range and enhances
333 wavelength discrimination in the long-wavelength spectrum.



334

335 **Fig. 4. Behavioral ecology of red color vision.**

336 *Top: Papilio polytes* (family Papilionidae) feeding on red *Ixora* flowers. *Lower left: A male Heliconius melpomene*

337 (family Nymphalidae) chasing a conspecific female with bright red patches on the forewings. *Lower right: Colias*

338 *erate* (family Pieridae) laying eggs on *Trifolium* leaves.

339 Sensitivity to long wavelengths, including red light, allows butterflies to exploit nectar-rich red

340 flowers, which are typically pollinated by birds and avoided by bees (Johnson and Bond 1994;

341 Chen et al. 2020b). Butterflies in the family Papilionidae, Pieridae, and Nymphalidae are

342 common visitors to these butterfly-pollinated flowers (Hirota et al. 2013; Kiepiel and Johnson
343 2014). In addition to flower discrimination, the evolution of red-sensitive receptors may aid
344 butterflies in selecting young versus mature leaves for oviposition (Kelber 1999).

345 True nocturnal color vision has been demonstrated in three hawk moth species (Sphingidae),
346 where it might enhance flower detection during foraging (Kelber et al. 2002, 2003; Warrant and
347 Somanathan 2022). It can also play a role in oviposition. In the tomato leafminer moth, *Tuta*
348 *absoluta*, mutations in either the B or LW opsins have been shown to alter host plant preferences
349 (Tang et al. 2024). Though uncommon, red-sensitive photoreceptors have been identified in
350 multiple nocturnal moth species across diverse families, although the ecological significance of
351 red color vision in these species remains unclear (Langer et al. 1979; Eguchi et al. 1982; Satoh et
352 al. 2016; van der Kooi et al. 2021).

353 The presence of red photoreceptors can be detected in several ways: behaviorally through color
354 discrimination tests, functionally by electrophysiology, or indirectly by the identification of red
355 filtering pigments via histology or eyeshine. However, despite the widespread occurrence of red
356 photoreceptors in Lepidoptera, previous attempts to link their evolution to behavioral or
357 ecological traits have failed to identify consistent selective pressures across lineages (Briscoe and
358 Chittka 2001).

359 **4.1. Filtering pigments in Lepidoptera compound eyes**

360 The spectral sensitivity of photoreceptors is determined not only by the photosensitive opsins
361 they express but also by the presence of photostable filtering pigments within the ommatidia.
362 These photostable pigments are stored in membrane-bound compartments known as pigment
363 granules, which are lysosome-related organelles (Dell'Angelica et al. 2000). Pigment granules

364 are found in both pigment cells and photoreceptors, most of which exhibit relatively uniform
365 absorption across the 300–700 nm wavelength range (Langer and Struwe 1972). In both
366 butterflies and moths, the migration of these pigment granules within an ommatidium function
367 like a pupil, regulating light input to the rhabdom during light adaptation (Stavenga and Kuiper
368 1977; Satoh et al. 2017). In superposition eyes, two types of pupil mechanisms are involved in
369 light adaptation. In most nocturnal moths, pigment granules of secondary pigment cells (SPCs)
370 migrate across the clear zone. In diurnal moths and skipper butterflies, pigment granules of
371 primary pigment cells (PPCs) migrate around the proximal tip of the crystalline cone (Warrant
372 and McIntyre 1996). In some small nocturnal moths, both mechanisms are combined during light
373 adaptation (Warrant and McIntyre 1996). Pupillary response in butterflies with apposition eyes
374 also involve the radial migration of pigment granules within photoreceptors, in addition to
375 pigment granule migration in SPCs and contraction of PPCs (Stavenga and Kuiper 1977; Ribi
376 1978). Pigment granules of pigment cells can also absorb stray light from adjacent ommatidia,
377 ensuring that each ommatidium primarily receives axial light. This function enhances visual
378 acuity in species with apposition-type eyes (Linzen 1974).

379 Beyond functioning as pupil filters, some pigment granules exhibit maximal absorption at
380 specific wavelength ranges, thereby serving as spectral filters (Stavenga 1995). Among these, red
381 filtering pigments, characterized by strong absorption of wavelengths shorter than 600 nm, were
382 first identified in the butterfly species *Pieris rapae* (Ribi 1978). Unlike other pupillary pigments
383 in photoreceptors or pigment cells, these red pigment granules do not move substantially in
384 response to light, and are concentrated in clusters in the photoreceptor soma, near the rhabdom.
385 They absorb short-wavelength light as light pass through the rhabdom, a process known as
386 lateral filtering (Ribi 1978). As a result, the presence of red filtering pigments shifts the peak

387 sensitivity of photoreceptors toward longer wavelengths and narrows the sensitivity spectrum,
388 effectively creating distinct long-wavelength photoreceptors. This enables color opponency and
389 finer discrimination across the green-to-red spectrum (Fig. 5).

390 **4.2. The evolution of red photoreceptors in Papilionidae**

391 Papilionidae represents a special case in the evolution of red photoreceptors, characterized by
392 both LW opsin duplications and the presence of red filtering pigments. The duplication of LW
393 opsins enables a broader range of peak spectral sensitivities (Frentiu et al. 2007). Behavioral
394 experiments show that *Papilio xuthus* can discriminate wavelength differences as small as 1 nm
395 at approximately 560 nm (Koshitaka et al. 2008). Even in the red wavelength range around 620
396 nm, *P. xuthus* can distinguish between different shades of red, although the minimum
397 discriminable wavelength difference increases to 10 nm (Koshitaka et al. 2008).

398 Four types of filtering pigments have been identified in *P. xuthus* (tribe Papilionini). Each
399 ommatidial type shows a coordinated combination of R1/2 opsin expression and filtering
400 pigments in R1–8. Based on R1/2 opsin expression, the three types are: type I (UV-B), type II
401 (UV-UV), and type III (B-B) (Kitamoto et al. 1998). In the distal region, purple pupillary
402 pigment granules are found in R1/2 cells across all ommatidia. The R3-8 cells of each
403 ommatidium contain clusters of pigment granules, either red (type I and type II) or yellow (type
404 III), located within 1 μm of the rhabdomere boundary. Additionally, type II ommatidia possess
405 UV-absorbing fluorescent pigments, specifically 3-hydroxyretinols. These UV-absorbing
406 pigments modify the spectral sensitivities of UV receptors (R1/2) and double-peak green
407 receptors (R3/4) in type II ommatidia, converting them into narrow-band violet receptors and
408 single-peak green receptors, respectively (Arikawa and Stavenga 1997; Arikawa 2003).

409 In *P. xuthus*, red-sensitive proximal photoreceptors exhibit a narrow peak at 600 nm, resulting
410 from L3 opsin expression (λ_{\max} at 575 nm) combined with red perirhabdomal filtering pigments
411 (Arikawa et al. 1999). Histology studies show that L3 is exclusively expressed in the proximal
412 R5-8 cells of red ommatidia. (Arikawa 2003). This tight association between red filtering
413 pigments and the red-sensitive L3 is also suggested in the distantly-related *Parnassius glacialis*
414 (tribe Parnassiini), where a subset of the ventral ommatidia contain red pigments and express L3
415 in R3-8 (Awata et al. 2010). In another species, *Troides aeacus formosanus* of the tribe Troidini,
416 a sister tribe to Papilionini, two red receptors (λ_{\max} at 610 nm and 630 nm) are found in
417 ommatidia with pale-red and deep-red pigments, respectively (Chen et al. 2013; Condamine et al.
418 2018). The most striking example of the red receptor diversity is found in *Graphium sarpedon*, a
419 species of the tribe Leptocircini. Electrophysiological recordings reveal five distinct subclasses
420 of red receptors, including a deep-red receptor peaking at 640 nm, which has been histologically
421 identified as the L3a-expressing proximal photoreceptor (Chen et al. 2016).

422 **4.3. The evolution of red photoreceptors in Pieridae**

423 Despite having a single copy of the LW opsin gene, Pieridae butterflies possess some of the most
424 diverse red photoreceptors among Lepidoptera. Similar to *Papilio* butterflies, the rhabdom of
425 Pieridae is fully tiered. In *Colias* butterflies, the rhabdom in ventral ommatidia is divided into
426 proximal and distal tiers by a strong constriction, enhancing the filtering effect of the red
427 perirhabdomal pigments in R5-8 (Arikawa et al. 2009). The most red-shifted green photoreceptor
428 ever recorded in insects is found in *Colias erate*, with a peak sensitivity at 660 nm (Pirih et al.
429 2010). By varying the spatial distribution of red perirhabdomal pigments and introducing a
430 female-specific orange perirhabdomal pigment, female *C. erate* possess three red photoreceptor
431 types with peak sensitivity at 610 nm, 650 nm, and 660 nm (Ogawa et al. 2013). This expansion

432 pushes their color discrimination range close to the far-red limit of approximately 700 nm. In
433 contrast, male *C. erate* butterflies have only one type of red receptor with peak sensitivity at 660
434 nm. In the dorsal eye region, which is not sexually dimorphic, R5-8 in both sexes are maximally
435 sensitive at 600–620 nm, due to a moderate filtering effect from lower filtering pigment density
436 and weak constriction (Ogawa et al. 2013). Unlike *C. erate*, both male and female *Pieris rapae*
437 butterflies have three red photoreceptor types in their ventral ommatidia, with peak sensitivities
438 at 610 nm, 630 nm, and 640 nm. These spectral differences arise from the distinct red pigment
439 granules present in each of the three ommatidial types, likely due to varying pigment densities
440 within the granules (Blake et al. 2019).

441 If all photoreceptors contributed equally to color vision, Pieridae butterflies would be expected to
442 have strong color discrimination in the red range. However, field observations show that neither
443 *Colias* nor *Pieris* butterflies exhibit a preference for red flowers. In a feeding-based behavioral
444 experiment, *P. rapae* butterflies trained on red paper disks preferentially visited orange and
445 purple disks over red, suggesting either poor discrimination within the orange-red spectrum or
446 that red color vision is primarily utilized in non-feeding contexts, such as oviposition (Arikawa
447 et al. 2021).

448 In addition to red perirhabdomal pigments, *P. rapae* males have a fluorescent pigment in type II
449 ommatidia that emits fluorescence under 420 nm excitation. This pigment turns the violet-
450 sensitive R1/2 photoreceptor into double-peak blue receptors (Qiu et al. 2002; Arikawa et al.
451 2005). A similar filtering effect occurs in *C. erate* male type I ommatidia and female type II
452 ommatidia (Ogawa et al. 2012).

453 *Anthocharis* butterflies (subfamily Pierinae) represent a secondary loss of the ommatidial
454 heterogeneity in Pieridae. Only two ommatidial types are distinguishable, based on the

455 arrangement of red perirhabdomal pigments in R5-8. In round-type ommatidia, red pigments are
456 located in the distal half of the ommatidium, whereas in trapezoidal-type ommatidia, they are
457 confined to the proximal third (Takemura et al. 2007).

458 **4.4. The evolution of red photoreceptors in Lycaenidae**

459 Lycaenidae butterflies achieve long-wavelength color vision through a combination of spectral
460 tuning of their B and LW opsins and lateral filtering. The rhabdom structure of Lycaenidae is not
461 fully tiered, based on the electron microscopy study in *Eumaeus atala* (Liénard et al. 2021). R1
462 and R2 only contribute their microvilli to the distal portion of the rhabdom, while R3-8
463 contribute the majority of microvilli throughout the rhabdom (Liénard et al. 2021). In *Lycaena*
464 *rubidus*, a pink filtering pigment is found exclusively in the R5-8 of the ventral eye ommatidia
465 that express B2, a green-shifted B opsin (Sison-Mangus et al. 2006). Across Lycaenidae, many
466 species have also evolved red-shifted LW opsins with peak sensitivities between 564 nm and 571
467 nm, compared to the ancestral peak near 540 nm (Frentiu et al. 2007; Liénard et al. 2021). In
468 *Polyommatus icarus*, this coordinated shift in B and LW opsins, likely enables them to
469 discriminate color in the green wavelength range, up to 560 nm. However, behavioral
470 experiments show that *P. icarus* cannot differentiate colors in the red range (570–640 nm),
471 indicating that their long-wavelength color vision does not extend into the true red spectrum
472 (Sison-Mangus et al. 2008). One possible explanation is the absence of pink filtering pigments in
473 the distal ommatidia, which reduces spectral filtering for LW photoreceptors and consequently
474 limits their sensitivity in the red spectrum (Sison-Mangus et al. 2006).

475 **4.5. The evolution of red photoreceptors in Nymphalidae**

476 Although most Nymphalidae species possess only one LW opsin and one B opsin, true red color
477 vision has been verified through behavioral experiments in nymphalid species *Heliconius erato*
478 (Zaccardi et al. 2006) and *Danaus plexippus* (Blackiston et al. 2011). In *Heliconius*, two types of
479 filtering pigments have been identified, with peak absorbance at approximately 450 nm and 560
480 nm. The red pigment (λ_{max} at 560 nm) is likely ommin, a type of sulfur-containing ommochrome
481 commonly found in insect eyes (Langer and Struwe 1972). The presence of these red filtering
482 pigments is closely associated with the presence of red-sensitive photoreceptors in Nymphalidae.

483 A novel class of green-sensitive photoreceptors that hyperpolarize in response to red light (Fig.
484 6) has been identified across multiple Nymphalidae subfamilies (Belušič et al. 2021). These
485 green-positive, red-negative (G+R-) cells have been allocated to the R1/2 positions and are
486 observed exclusively in species with red eyeshine, which indicates the presence of red filtering
487 pigments (Belušič et al. 2021). The presence of G+R- R1/2 photoreceptors expands the retinal
488 mosaic from a simple arrangement of three ommatidial types (based on B and UV R1/2) to a
489 complex pattern comprising six distinct ommatidial types (Pirih et al. 2022). Co-expression of
490 LW and B opsins in R1/2 photoreceptors has been detected throughout the Heliconiini clade
491 using antibody staining (McCulloch et al. 2022b; Chakraborty et al. 2023). These cells likely
492 correspond to the G+R- photoreceptors involved in red-green color opponency. Within this
493 circuit, the red opponent units (R-) are thought to be the basal photoreceptors R9 (Belušič et al.
494 2021; Ilić et al. 2022; Pirih et al. 2022). While red-sensitive photoreceptors have been directly
495 recorded in multiple *Heliconius* species (McCulloch et al. 2017, 2022b; VanKuren et al. 2025),
496 the precise identity of these recorded red receptors (whether they correspond to the R9 cell or
497 R3–8 cells) remains unconfirmed.

511 receive direct inhibitory input from red-sensitive R9 photoreceptors (Belušič et al. 2021). The presence of red
512 perirhabdomal pigments shifts the sensitivity of R9 photoreceptors from green to red. **(B)** In Papilionidae, the role of
513 R9 remains unclear (marked with a dashed line). Green-sensitive R3–4 receive inhibitory input from proximal red-
514 sensitive R5–8, driven by a combination of red-sensitive opsin expression and lateral filtering (Chen et al. 2020a).
515 Abbreviations: La, lamina; Me, medulla; lvf, long visual fiber; svf, short visual fiber.

516 Complex retinal mosaics with red-reflecting ommatidia are found in both sexes of many
517 nymphalids, including early-diverging Danaini (Blackiston et al. 2011). However, the red
518 perirhabdomal pigments have been lost multiple times in Nymphalini (Briscoe and Bernard
519 2005) and Apaturini (Pirih et al. 2022), which retain ancestral trichromatic color vision,
520 consisting of UV-, blue- and green-sensitive photoreceptors. In Argynnini butterflies, females
521 have secondarily lost the red-reflecting ommatidia, while males retain an expanded retinal
522 mosaic with red-sensitive photoreceptors (Ilić et al. 2022). Overall, the gain and loss of red
523 lateral filtering pigments appear to be highly evolutionarily labile.

524 **5. Regional differences and visual ecology**

525 Dorsal-ventral variation in the compound eye is common across Lepidoptera. In many species,
526 the dorsal region of the eye retains a more conserved and likely ancestral arrangement of
527 ommatidia, characterized by fewer ommatidial types and the absence of fluorescent or
528 perirhabdomal filtering pigments (Qiu and Arikawa 2003; Awata et al. 2010; Ogawa et al. 2013;
529 Chen et al. 2016). The dorsal and ventral regions of the eye can also differ structurally. In
530 *Leptidea amurensis*, the ventral eye exhibits a distinctive rough appearance caused by an
531 irregular distribution of facets in two distinct sizes (Uchiyama et al. 2013). The most extreme
532 example of this is found in the hawkmoth *Manduca sexta*, where the dorsal ommatidia
533 structurally resemble those of ancestral winged insects with only a single dR7 cell (White et al.

534 2003; Gao et al. 2025). These differences between ventral and dorsal eye regions likely reflect
535 their distinct roles in visual ecology. The ventral eye region is thought to be important for
536 behaviors such as host plant recognition and mate detection, while the dorsal eye may be more
537 important for predator detection. However, exceptions exist. In highly territorial *Lycaenae*
538 butterflies, the dorsal region is sexually dimorphic. Males express B1 opsins in R3-8
539 photoreceptors, which may enhance their ability to detect rival, conspecific males (Sison-
540 Mangus et al. 2006).

541 In many insects, including Lepidoptera, ommatidia in a small region of the compound eye,
542 known as the dorsal rim area (DRA), are anatomically specialized for detecting polarized
543 skylight (Labhart and Meyer 1999, 2002). Although debated, detection of polarized UV light
544 may play an important role in flight orientation in monarch butterflies (*Danaus plexippus*), which
545 are renowned for their long-distance migration (Sauman et al. 2005; Stalleicken et al. 2005). In
546 the monarch butterfly, each DRA ommatidium contains two anatomical types of photoreceptors
547 with mutually orthogonal microvilli, providing the basis for polarization antagonism (Reppert et
548 al. 2004). To avoid interference with color information, R1-8 in monarch DRA ommatidia
549 express UV opsins exclusively (Sauman et al. 2005). Additionally, the monarch DRA lacks
550 functional tapeta found in other parts of the eye (Labhart et al. 2009).

551 Compared to the monarch, which has approximately 100 ommatidia in the DRA, the nocturnal
552 hawkmoth *Manduca sexta* has a much larger DRA containing around 1,000 ommatidia (White et
553 al. 2003). Such an extensive DRA is also observed in other nocturnal moth species and may play
554 an important role in navigation under dim light (Meinecke 1981; Anton-Erxleben and Langer
555 1988; Belušič et al. 2017). In *M. sexta*, the tapetum in the DRA is also greatly reduced,
556 enveloping only the proximal ends of the photoreceptors, likely to enable a larger visual field.

557 Only a subset of R1/2 in DRA ommatidia express UV opsin, while the remaining R1/2 and all
558 R3–8 lack expression of UV, B, or LW opsins (White et al. 2003). In the European corn borer
559 moth, *Ostrinia nubilalis*, photoreceptors in the DRA express B or LW opsins (Belušič et al.
560 2017).

561 Interestingly, highly polarization-sensitive photoreceptors have also been found outside the
562 DRA. In *O. nubilalis*, distal blue-sensitive R1/2 photoreceptors in the main retina exhibit
563 stronger polarization sensitivity than photoreceptors in the DRA (Belušič et al. 2017). Similar
564 polarization-sensitive ommatidia outside the DRA have also been observed in *Drosophila* where
565 they may play a role in sensing the reflection from water (Wernet et al. 2012).

566 **6. Molecular logic underlying diverse retinal mosaics**

567 Comprehensive reviews on retinal mosaics across insects are available in (Wernet et al. 2015;
568 McCulloch et al. 2022a). Here, we highlight the unique challenges and opportunities in
569 uncovering the molecular logic that shapes the retinal mosaic in butterflies and moths. In *Papilio*
570 *xuthus*, previous studies have shown that two independent stochastic decisions regarding
571 expression of the transcription factor *spineless* in R1/2 photoreceptors give rise to three
572 ommatidial types (Perry et al. 2016). This mechanism is similar to the pale vs. yellow
573 ommatidial fate decision in *Drosophila* (Wernet et al. 2006). Stochastic *spineless* expression not
574 only determines the opsin identity in R1/2 (B or UV) but also coordinates other features of the
575 whole ommatidium, including LW opsin expression in R3-8 and the presence of red
576 perirhabdomal or fluorescent filtering pigments (Perry et al. 2016). This tight coordination of
577 filtering pigments and opsins across all photoreceptors within an ommatidium is likely crucial

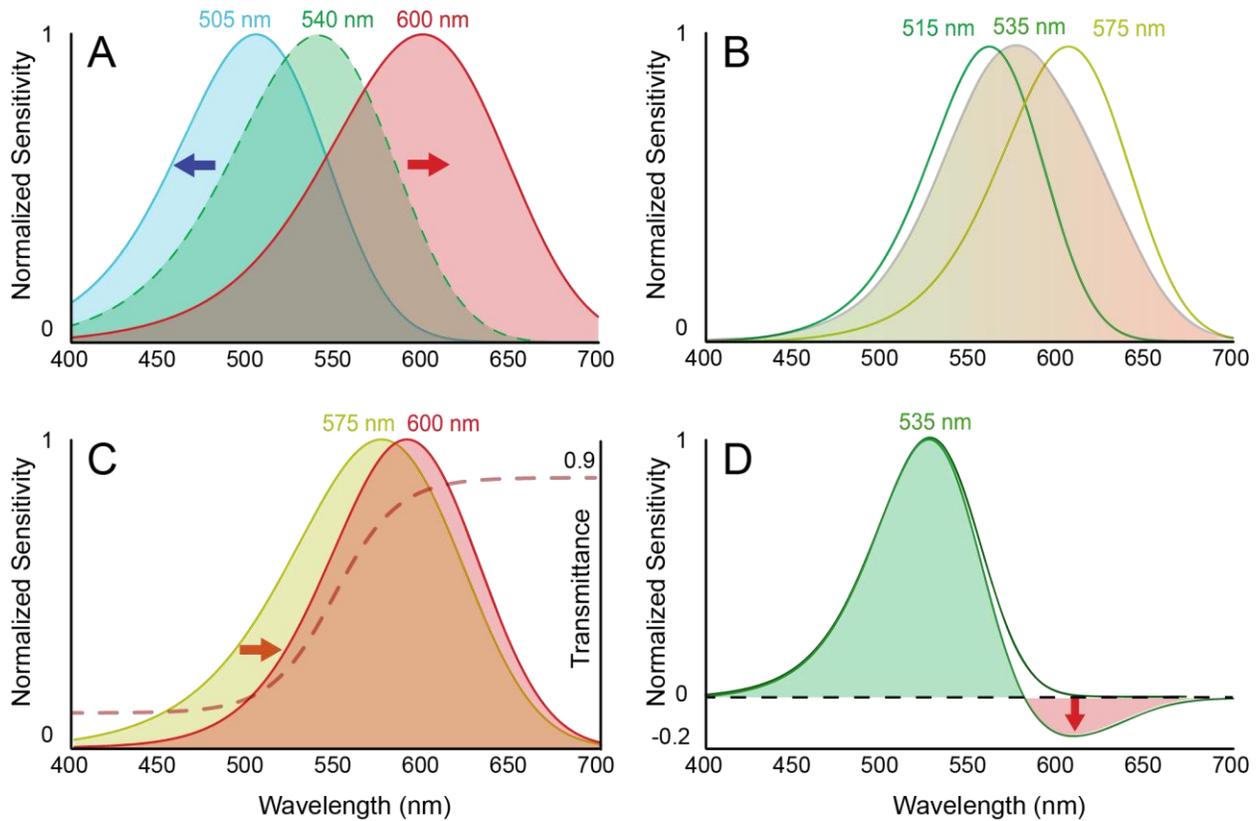
578 for efficient downstream visual processing, as axons of all nine photoreceptors from the same
579 ommatidium project through the same cartridge in the lamina (Matsushita et al. 2022).

580 In *Heliconius* and other Nymphalidae butterflies, the presence of red filtering pigments and
581 broadband green-sensitive R1/2 cells results in at least six types of ommatidia. However, the
582 underlying logic generating this expanded retinal mosaic remains unclear. A simple three-way
583 stochastic choice of broadband/UV/B photoreceptors cannot explain the relative proportion of
584 UV-B, B-B, and UV-UV observed. Furthermore, in females of the *Heliconius erato/sara/sapho*
585 clade, this complexity is increased by an additional stochastic choice between UV1 or UV2 in
586 R1/2.

587 The stochastic expression of *spineless* can be modified regionally to generate dorsal-ventral
588 specialization. In *Drosophila*, for example, the dorsal third of the retina contains yellow dR7
589 cells co-expressing Rh3 and Rh4, which are typically restricted to expressing only Rh4 (Mazzoni
590 et al. 2008). This co-expression is driven by reduced inhibition from lower *spineless* expression
591 and activation from the Iroquois complex transcription factors (Mazzoni et al. 2008; Thanawala
592 et al. 2013). The *Lycaena* butterflies, with both dorsal-ventral retinal specialization and sexually
593 dimorphic dorsal eyes, represent promising candidates to test the role of *spineless* and the
594 Iroquois complex in regional specialization in the context of sexual dimorphism (Sison-Mangus
595 et al. 2006). Dorsal–ventral differences in the distribution of filtering pigments are widespread in
596 butterflies. Investigating how filtering pigments are regulated during dorsal–ventral patterning,
597 and comparing these processes to pigment regulation in stochastic ommatidial differentiation,
598 may provide insights into broader mechanisms of tissue patterning.

599 **7. Spectral sensitivity and color vision**

600 Photoreceptor spectral sensitivity is shaped by a combination of molecular and optical features
 601 (Fig. 6), including opsin gene duplication and divergence, spectral tuning of opsin protein
 602 sequences, co-expression of multiple opsins, and lateral filtering by perirhabdomal pigments
 603 (van der Kooi et al. 2021; Mulhair et al. 2023). In many butterfly lineages, these mechanisms
 604 combine to produce extreme photoreceptor diversity (Arikawa et al. 1987; Ogawa et al. 2013;
 605 Chen et al. 2013, 2016; McCulloch et al. 2017; Blake et al. 2019). For example, in *Graphium*
 606 *sarpedon*, as many as 15 distinct spectral sensitivities have been identified due to a combination
 607 of multiple opsin duplications and distinct lateral filtering pigments (Pirih et al. 2022)



608

609 **Figure 6. Mechanisms that modify photoreceptor spectral sensitivity**

610 (A) *Gene duplication and divergence.* In *Apodemia mormo*, an ancestral LW opsin underwent duplication. The two
 611 resulting copies have since accumulated amino acid substitutions, producing a red-shifted opsin and a blue-shifted
 612 opsin (Frentiu et al. 2007). (B) *Opsin co-expression.* In *Papilio xuthus*, co-expression of opsins L2 and L3 generates

613 a broadband photoreceptor with peak sensitivity around 535 nm (Arikawa et al. 2003). **(C) Lateral filtering.** In
614 *Papilio xuthus*, the proximal R5–8 photoreceptors in type I ommatidia express L3 (λ_{\max} 575 nm). The red filtering
615 pigment acts as a short-wavelength absorbing filter that reduces sensitivity in the short wavelength range, thereby
616 narrowing the bandwidth and shifting the peak sensitivity to 600nm. The red dashed line represents the
617 transmittance curve of the red filtering pigment, which is nearly transparent above 580nm (Arikawa et al. 1999). **(D)**
618 *Direct inhibition.* In *Charaxes jasius*, green photoreceptors that receive direct inhibitory input from red
619 photoreceptors (λ_{\max} 620 nm) retain their peak sensitivity at 535 nm, but display a narrower spectral bandwidth and
620 a hyperpolarizing response in the red wavelength region (Belušič et al. 2021). The figure displays only the α -bands.
621 The β -bands, which are typically present, have been omitted for clarity.

622 Color vision depends on both photoreceptor diversity and the neural circuits that compare signals
623 from these diverse photoreceptors (Schnaitmann et al. 2020). Such comparisons are encoded by
624 color-opponent neurons that exhibit excitation at certain wavelengths and inhibition at others. In
625 *Drosophila*, color-opponent processing occurs as early as the photoreceptor stage, where direct
626 inhibitory synapses only form between the long visual fibers of dR7 and dR8 photoreceptors in
627 optic chiasm or medulla (Schnaitmann et al. 2018; Kind et al. 2021). In *Papilio* butterflies,
628 however, extensive inter-photoreceptor inhibitions exist among long visual fibers (R1/2) and
629 short visual fibers (R3-8 and R9) within the lamina, contributing to the spectrally complex visual
630 system (Matsushita et al. 2022). These photoreceptors with spectral opponency have also been
631 recorded in other Papilionidae and Nymphalidae species (Chen et al. 2013, 2020a; Belušič et al.
632 2021; Ilić et al. 2022; Pirih et al. 2022; VanKuren et al. 2025).

633 Despite the high diversity of photoreceptor types in Lepidoptera, not all contribute to color
634 opponency or color vision at the same time. The minimum discriminable wavelength difference
635 function of foraging *Papilio xuthus* exhibits three minima, indicating that only four classes of
636 receptors contribute to color vision (tetrachromatic), despite the presence of at least eight distinct

637 spectral sensitivity types (Koshitaka et al. 2008). The photoreceptors not contributing to
638 tetrachromacy during foraging are all confined to type II ommatidia (Koshitaka et al. 2008).
639 These excluded photoreceptors are likely specialized for non-chromatic functions such as motion
640 detection or polarization vision, or they may be involved in color vision for mating or
641 oviposition. For instance, R3/4 in *P. xuthus* exhibit the fastest response latencies among
642 photoreceptors (Kawasaki et al. 2015), a characteristic that may facilitate motion detection using
643 chromatic contrast (Stewart et al. 2015).

644 **8. Non-photoreceptor cells in compound eye**

645 Retinal development has been well characterized in *Drosophila melanogaster*, where the adult
646 compound eye arises from a monolayer of undifferentiated epithelium known as the eye-antennal
647 disc (Kumar 2012). During larval and pupal stages, photoreceptor neurons are specified first,
648 followed by the recruitment of cone cells and primary pigment cells. Cells that do not adopt one
649 of these fates subsequently differentiate into secondary or tertiary pigment cells (Kumar 2012).
650 In Lepidoptera, retinal development follows a similar sequence, at least for photoreceptor
651 recruitment (Monsma and Booker 1996; Gao et al. 2025). Our current understanding of non-
652 photoreceptor cells in Lepidoptera is primarily based on ultrastructure studies using electron
653 microscopy.

654 Each ommatidium typically has four cone cells, two primary pigment cells (PPCs), and six
655 secondary pigment cells (SPCs), which are shared between adjacent ommatidia (Ribi 1978; Kolb
656 1985). Cone cells secrete the dioptric apparatus, including cornea and crystalline cone. In
657 *Drosophila*, they can also direct cell type differentiation during ommatidia development and
658 support homeostasis in adult photoreceptors (Charlton-Perkins et al. 2017, 2021). In *Pieris*, the

659 PPCs envelop the cone cells and the distal half of the crystalline cone. These pigment cells help
660 regulate light influx by contracting distally during light adaptation. SPCs cover the proximal half
661 of the crystalline cone and the photoreceptors down to the basement membrane, shielding stray
662 light from nearby ommatidia. During light adaptation, pigment granules in SPCs accumulate in
663 the distal region. Another set of pigment cells, basal pigment cells (BPCs), are located below the
664 basement membrane. These cells insulate photoreceptor axons and, together with SPCs, form the
665 dense pigmentation layer at the base of the ommatidium (Ribi 1978). BPC pigment granules
666 differ significantly in size compared to those of PPCs, SPCs, and photoreceptors (Fischer et al.
667 2012). This suggests that the subretinal pigment layer consists of a novel pigment cell type. In
668 other insects, the subretinal pigment layer is derived from secondary/tertiary pigment cells
669 (Tomlinson 2012) or lateral rim pigment cells (Mohr et al. 2020). Whether BPCs originate from
670 subretinal or retinal tissue remains unclear. Comparative transcriptomic analysis with PPCs,
671 SPCs, and other subretinal glial cells may help resolve their developmental origin.

672 In Lepidoptera, tracheal cells form the tapetum at the base of the rhabdom. In nocturnal moths,
673 the tapetum consists of numerous tracheoles with alternating air and cytoplasm, forming an
674 interference reflector that mirrors unabsorbed light back through the rhabdom. The tapetum is
675 located just above the basement membrane. In many diurnal butterflies, this ancestral tracheal
676 tapetum has been modified into a few branches at the proximal end of the rhabdom (Ribi 1979).
677 The tapetum has been independently lost at least three times in butterflies: once at the base of the
678 Papilionidae family, and twice within Pieridae, specifically in *Leptidea amurensis* and
679 *Anthocharis scolymus* (Takemura et al. 2007; Uchiyama et al. 2013).

680 **9. Conclusions and future directions**

681 The compound eyes of butterflies and moths exhibit remarkable diversity in cellular
682 composition, spectral tuning, and spatial organization. The spectral diversity arises from a
683 combination of opsin gene duplication and divergence, opsin co-expression, lateral filtering, and
684 direct inhibition among photoreceptors. Different lineages, such as Papilionidae, Lycaenidae, and
685 Nymphalidae, have evolved distinct mechanisms to expand sensitivity into the long-wavelength
686 range, through a combination of red filtering pigments, LW opsin duplication and divergence,
687 and green-shifted B opsin. These innovations, along with regional specializations like dorsal–
688 ventral patterning and polarization-sensitive DRA ommatidia, reflect tight coordination among
689 anatomical, molecular, and functional components of the eye. For example, in *Papilio xuthus*,
690 five opsins and three types of filtering pigments are integrated into just three ommatidial types.
691 We have only begun to understand the developmental mechanisms that underlie this precisely
692 regulated coordination of the various features of ommatidia (Perry et al. 2016).

693 Despite progress in characterizing eye structure and photoreceptor diversity, many fundamental
694 questions remain. The molecular logic underlying complex retinal mosaics, particularly in
695 species with more than three ommatidial types like *Heliconius*, is still unknown. Similarly, the
696 developmental origin and function of lesser-known cell types, such as basal pigment cells, and
697 the role of photoreceptors not involved in color vision remain poorly understood. These
698 photoreceptors may contribute to spatial and motion vision, or even wavelength-specific
699 behaviors that are ecologically important but largely unexplored. Additionally, the rapid turnover
700 in eye designs across Lepidoptera, including repeated shifts between apposition and
701 superposition eyes, raises questions about how intermediate forms remain functional.
702 Understanding the impact of opsin or filtering pigment changes on downstream visual circuits
703 will be key to understanding how color processing is preserved or reshaped during evolution.

704 To address these gaps, future research should focus on three main areas. First, identifying the
705 ecological pressures that drive visual system diversification will clarify the adaptive value of
706 specific photoreceptor types and spectral sensitivities. Second, dissecting the genetic and
707 regulatory basis of compound eye variation, especially with the help of comparative genomics
708 and single-cell multi-omics, will illuminate how new eye designs evolve and what constraints
709 shape them. Finally, much remains to be learned about visual processing circuits in butterflies
710 and moths, particularly given their unique features like the multi-tiered rhabdom structure,
711 diverse opsin and pigment variation in R1-8, and the R9 photoreceptor projecting to the lamina
712 (Matsushita et al. 2022). Understanding how these differences influence color perception and
713 behavior will provide deeper insight into the evolution of sensory systems more broadly.

714 **Supplemental Table S1**

715 Summary of opsin gene copy numbers in Lepidoptera species.

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