Ocean warming drives abrupt declines in fish productivity at global scale

3 Authors: Mathieu Pélissié^{1,*}, Vincent Devictor¹, Olaf P. Jensen² & Vasilis Dakos¹

4 Affiliations:

- ¹Institut des Sciences de l'Évolution de Montpellier (ISEM), Univ. Montpellier, CNRS, IRD,
 Montpellier, France
- 7 ²Center for Limnology, University of Wisconsin–Madison, Madison, WI, USA
- 8 *corresponding author (Mathieu Pélissié)
- 9 Email: <u>mathieu.pelissie@ens-lyon.fr</u>

10 Abstract

11 Marine life is under multiple pressures, including climate change and overfishing. Environmental change and 12 variability can threaten fishery sustainability, especially when it results in large, abrupt and persistent shifts in 13 productivity of fish stocks. Reports of abrupt shifts in marine systems are not uncommon, but a global 14 assessment of their occurrence and drivers is seriously lacking. Here, we systematically classified the 15 temporal dynamics of fish stock productivity from agency-assessed fisheries worldwide. Among the 315 fish 16 stocks with time series available, we detected at least one productivity abrupt shift for more than a quarter of 17 the stocks. Using an integrative modeling approach including life history, environmental conditions, and 18 fishing intensity variables, we showed that abrupt declines are over-represented in stocks where sea surface 19 temperature increases have been larger during the period covered by fish stock monitoring, while abrupt 20 increases are more likely under lower fishing intensity. We investigated the link between productivity abrupt 21 shifts and stock collapses. We found that abrupt declines in productivity preceded stock collapses by ten to 22 twenty years in 25% of the cases, suggesting that some major stock collapses could be anticipated if abrupt 23 shift were more systematically detected and examined. Overall, our results highlight the importance of 24 considering productivity abrupt shifts to prevent a pervasive risk of fish population collapse in warming 25 oceans.

26 Significance Statement

Using the largest global stock assessment dataset for marine fisheries, we found a large proportion of abrupt shifts in the trajectories of productivity time series for 315 fish stocks. We evidenced that abrupt productivity declines were over-represented in marine regions with highest warming rates. We also demonstrate that abrupt productivity declines preceded stock collapses by about a decade in a quarter of the stocks that shifted. Our results shed light on a likely warming-related timeline to fisheries collapse and call for more systematic examination and early detection of abrupt shifts. This paper contributes to setting priorities in managing stocks that would be more likely to undergo strong and persistent shifts in their productivity.

34 **Keywords:** abrupt shift, fisheries, nonlinear dynamics, stock assessment, warming temperatures

35 Introduction

36 Marine ecosystems are facing intense anthropogenic pressure (Georgian et al., 2022; Halpern et al., 2008), 37 putting the survival of marine species along with the food security and economies of many coastal human 38 populations at risk (FAO, 2022). Although the capture of wild fish from the oceans has been maintained at 39 high levels since its peak in the 1990s (Pauly and Zeller, 2016), it does not imply that all stocks are 40 sustainably exploited (FAO, 2022). Indeed, despite the overall success of some management strategies 41 (Frank and Oremus, 2023; Melnychuk et al., 2021), overexploitation and stock collapses are still major threats 42 (Georgian et al., 2022) with no guarantee that recent improvements in fishery sustainability (Hilborn et al., 43 2020) can be maintained in the face of climate change.

44 One particular challenge for fisheries management is the ability to avoid abrupt, strong, and persistent 45 declines of exploited stocks, which undermine sustainability goals (King et al., 2015; Levin and Möllmann, 46 2015). Even though the existence of such so-called "regime shifts" in fisheries has long been documented 47 (deYoung et al., 2004; Hare and Mantua, 2000; Steele, 1998), such events have yet to be broadly integrated 48 within stock assessment and management (Conversi et al., 2015; Squotti et al., 2022). Regime shifts are 49 usually considered at the ecosystem level involving the synchronous change in multiple variables from fish to 50 phytoplankton (deYoung et al., 2008). But it has been argued that abrupt shifts at the level of fish populations 51 could be indicative of putative regime shifts (Daskalov et al., 2007; Pedersen et al., 2020). In fisheries, 52 examples of once plentiful stocks that crashed to very low levels are not uncommon, notably with the case of 53 the Peruvian anchoveta (Idvll, 1973), North Atlantic cod (Myers et al., 1997), or Western Atlantic bluefin tuna 54 (Safina and Klinger, 2008). However, beyond these emblematic examples the extent to which regime shifts in 55 fisheries occurred in recent decades might be underestimated.

56 Accounting for regime shifts within fisheries management can be hindered by two major knowledge gaps. 57 First, they are hard to detect. The search for regime shifts in time series often relies on the sole use of 58 breakpoint detection algorithms without relevant alternative non-abrupt models (Spake et al., 2022), which 59 can limit the confidence in the conclusions (Rudnick and Davis, 2003). Second, regime shifts are overlooked 60 in most stock assessments, despite evidence that regime shift models are frequently a better descriptor of 61 stock dynamics (Vert-pre et al., 2013). Attempts to account for regime shifts and non-stationarity remain 2

scarce and insufficient to improve current practices (Levin and Möllmann, 2015; Sguotti et al., 2022). Instead,
the usual standard for evaluating the sustainability of management strategies relies on fixed thresholds –
related to biological reference points like minimal biomass or maximal fishing mortality – to trigger actions
(Walters and Martell, 2004), despite recent efforts to introduce more dynamic reference points (Berger, 2019;
Hodgdon et al., 2022).

68 Although the notions of regime shifts and stock collapses appear strongly connected, they are not equivalent. 69 Regime shifts are usually characterized by an abrupt temporal trajectory with a final state potentially 70 persistent in time (Bestelmeyer et al., 2011). In contrast, stock collapses relate to stock depletion based on 71 fixed arbitrary thresholds related to stock size or catch (Yletvinen et al., 2018) that give no indication about the 72 circumstances preceding the collapse nor the persistence of the collapse state. For instance, (Vert-pre et al., 73 2013) showed that abrupt shifts from high to low surplus production levels – a proxy for stock productivity 74 corresponding to the change in abundance in the absence of fishing (Walters et al., 2008) - can happen quite 75 regularly and were unrelated to abundance levels for a substantial proportion of stocks. Although this finding 76 implies that abrupt shifts in productivity do not necessarily lead to stock collapse, the relationship between the 77 two phenomena has not been systematically explored. In addition to not fully understanding the 78 consequences of abrupt shifts in productivity, we also poorly understand what causes them. The relative 79 contributions of climate and exploitation to stock collapse has been well investigated (Möllmann and 80 Diekmann, 2012), but usually focused on a few data-rich stocks (Beaugrand et al., 2022; Pershing et al., 81 2015). Broader syntheses of stock collapse mostly focus on a single pressure at a time, either fishing intensity 82 (Essington et al., 2015), climate change (Free et al., 2019), or life history (Pinsky et al., 2011) but rarely all 83 pressures together (Pinsky and Byler, 2015). Overall, we lack a global overview of the prevalence of abrupt 84 shifts in fisheries productivity, along with information on their drivers, and potential link with stock collapses.

The aim of this study is to identify potential regime shifts in fish stocks globally, by looking at abrupt shifts in productivity. Here, we systematically classify the dynamics of 315 productivity time series, estimated from assessments of marine fish stocks from around the world, to address the following questions: (i) How prevalent are productivity abrupt shifts (PAS) and how are they distributed in space and time? (ii) Are PAS

3

89 related to species life history, environmental conditions or fishing pressure? (iii) Are PAS associated with 90 stock collapses?

91 Results

92 Productivity Abrupt Shifts (PAS) prevalence and distribution

We classified 315 fish stock productivity time series into basic trajectory types based on shape and trend and found all types of trajectories over the period 1950–2020 (Fig. 1). Quality scores indicated that selected models, especially for abrupt and quadratic trajectories, performed well and were robust to the removal of individual data points (Fig. 1, Fig. S1). Still, the variance explained by the models remained low given the intrinsically high variability of productivity time series (Fig. S1). The full list of trajectories is available in the supplement (Table S1).

99 Globally, PAS were found for more than a guarter of stocks (25.7%, N=81), with roughly equal numbers of 100 negative (13.3%, N=42) and positive (12.4%, N=39) PAS (Fig. 2A). The other types of trajectories (quadratic, 101 linear, and no change) were found in similar proportions. Balanced proportions between different trajectory 102 types were also found at the scale of FAO major fishing areas (Fig. 2B), with no significant difference across 103 areas (Chi-square test, p = 0.32). The direction of shifts was however unevenly distributed in space (Chi-104 square test, p = 0.02), with regions like the North-West Atlantic and North-West Pacific comprising a higher 105 proportion of negative PAS (30% and 29% respectively), whereas the region with most positive PAS (32%) 106 was the South-West Pacific. Similar patterns were found using large marine ecosystems (LMEs) as grouping 107 areas (Fig. S2). Considering taxonomic groups, we found significant differences in the proportions of PAS 108 against non-abrupt trajectories across the five most numerous orders in number of stocks (Chi-square test, 109 p = 0.01), with notably a higher proportion of positive PAS in Perciformes and of negative PAS in 110 Clupeiformes (Fig. S3).

111 Over time, the occurrence of positive PAS were spread from the 1960's to the 2010's and the distribution did 112 not differ significantly from the coverage of time series (Fig. 2C, Kolmogorov-Smirnov test, p = 0.32). 113 However, the distribution of negative PAS differed from coverage (Kolmogorov-Smirnov test, p = 0.04) and 114 tended to cluster during the 1980's (Fig. 2D), before the maximum of time series available was reached

4

between 1994 and 2000. The observation of PAS being less frequent after 2010 could arise from a lack of available data along with the difficulty of detecting very recent shifts.

117 Drivers of Productivity Abrupt Shifts (PAS)

118 We tested which drivers among life history, environment (sea surface temperature, SST), and fishing intensity 119 (exploitation rate, ER) were more related to the occurrence of PAS using generalized additive mixed models 120 to account for phylogeny and spatial location. We found that the main drivers of PAS differed between 121 negative and positive PAS. Negative PAS were mostly influenced by environmental conditions like positive 122 trends in SST occurring before the shift (p = 0.005, Fig. 3A) and marginally by lower average SST (p = 0.054, 123 Fig. 3A) compared to other trajectories. Positive PAS were influenced by fishing intensity and life history and 124 were associated with higher trends in ER (p = 0.005, Fig. 3B), higher age at maturity (p = 0.048, Fig. 3B), and 125 marginally lower trends in SST (p = 0.054, Fig. 3B).

126 Clearly, as both models for negative and positive productivity abrupt shifts explained between 13% and 14% 127 of the total variance, the occurrence of PAS was only partly explained by the variables selected. But the 128 identity and relative importance of those variable is not a matter of model structure. Indeed, analysis of PAS 129 using an entirely different method (hierarchical partitioning) identified the same significant predictors (Table 130 S2–S3).

131 Productivity Abrupt Shifts PAS and stock collapse

132 We also tested the extent to which negative PAS were associated with stock collapse defined as being below 133 25% of the average stock biomass recorded to date following (Essington et al., 2015). While not all negative 134 PAS led to stock collapse (only 11 out of 42 stocks did, 26%, Fig. 4A), among the stocks that did collapse, we 135 found a higher proportion of negative PAS (23%, Fig. 4A) compared to those that did not collapse (12%, Fig. 136 4A). Collapsed stocks also tended to have more decreasing and fewer increasing productivity trajectories 137 (Fig. 4A). On average, collapsed stocks experienced a stronger magnitude of negative PAS than stocks that 138 did not collapse (t-test p = 0.06, Fig. 4B). Most of the negative PAS occurred between 4 to 12 years before 139 the stock collapsed, while no such temporal lags were found for positive PAS (Fig. 4C).

140 The influence of SST on PAS and collapse was further investigated at the scale of large marine ecosystems 141 (LMEs). We evaluated the relationship between warming rate (SST change) between 1950 and 2020 and the 142 proportion of stocks that underwent a PAS or a collapse. We found a significant positive linear relationship 143 between negative PAS and warming rate (p = 0.006, Fig. 4D), meaning that LMEs with the most rapid 144 warming rate were also those with the highest proportion of stocks that underwent negative PAS. However, 145 we only found a not significant positive linear relationship between warming rate and the proportion of 146 collapsed stocks (p = 0.205, Fig. 4F), even when using different definitions of collapse (0.25 , Fig.147 S4). That is, the LMEs warming most rapidly had more frequent negative PAS, but this did not translate into 148 more frequent collapse of stocks in these LMEs.

149 **Discussion**

150 In this work we distinguished productivity abrupt shifts (PAS) in fisheries time series from gradual productivity 151 trajectories using a systematic classification of trajectory types based on shape and trend (no change, linear, 152 quadratic, abrupt). We found that PAS were detected in more than 25% of stocks worldwide and that PAS 153 occurrence varied in space and time. We provide evidence that large negative PAS frequently preceded stock 154 collapses and were associated with a higher warming rate. Those findings could have critical implications for 155 fisheries management in warming oceans.

156 Our results expand on the well-documented examples of regime shifts (e.g., (Blöcker et al., 2023; Möllmann 157 et al., 2021)), giving a more complete picture of the prevalence of such shifts. Our classification aptly 158 identifies stocks like Newfoundland cod (Myers et al., 1997), Baltic sea cod (Möllmann et al., 2021), or 159 Japanese sardine (Watanabe et al., 1995), which underwent among the most prominent and rapid abrupt 160 collapses previously characterized (full list available in Table S1). More importantly, we also identify others 161 that were surprisingly not extensively treated in the literature (e.g., Greenland halibut off Labrador Shelf -162 Grand Banks in the 1990s). Assuming that retrospective analyses of productivity trajectories can give good 163 insights into how stocks are likely to react in the future, our approach enables the identification of stocks that 164 could be more prone to abrupt decline and thus require more careful management.

6

The prevalence of abrupt shifts we found (25%) is somewhat below those from previous analyses (46% for (Sellinger et al., 2024), 39% for (Vert-pre et al., 2013)). The differences in prevalence could be explained by the set of stocks analyzed, the different relationships considered (stock-recruitment in (Sellinger et al., 2024)), the different models used (productivity-abundance relationship in (Vert-pre et al., 2013)), and perhaps most importantly because our classification involved the congruence of two independent models to confirm a trajectory as abrupt, making the attribution of abruptness more strict but also probably more reliable. Our trajectory classification without the confirmation step doubles the prevalence of abrupt shifts (51%, Fig. S5).

172 Among the life history, climate change, fishing intensity related factors that have been proposed to affect 173 stock collapses either regionally or globally, we found that trend in SST to be the most significant variable 174 related to the occurrence of negative PAS. Climate change, alone or in conjunction with other factors, had 175 already been stated as one of the most prominent drivers of marine regime shifts (Rocha et al., 2015) and 176 stock collapses (Pinsky and Byler, 2015). Interestingly, the large marine ecosystems that underwent the 177 largest SST increases between 1982 and 2006 (> 1°C, (Belkin, 2009)) are also those for which we found the 178 largest proportions of stocks with negative PAS during the same period, namely the Baltic and North Sea, the 179 East China Sea and Sea of Japan, and Newfoundland - Labrador Shelf. As fishing intensity alone did not 180 explain negative PAS, we did not explore potential interactions between fishing and temperature that have 181 previously been examined for global (Pinsky and Byler, 2015) and regional (Rouyer et al., 2014) stock 182 collapses. However, we found an effect of fishing intensity related to positive PAS. This effect might in fact 183 correspond to the early stages of a fishery when the productivity of unfished stocks is usually low because the 184 stock is assumed to be near carrying capacity. It corresponds to the basic principle of maximum sustainable 185 yield, that productivity is maximized for intermediate levels of fish abundance corresponding to fishing 186 mortality rates near those associated with maximum sustainable yield (F_{MSY}, (Walters and Martell, 2004)). No 187 sign of abrupt recovery after collapse was found in the data, except for the herring in the North Sea, which 188 recovered rapidly following reduction in fishing pressure - a result already documented by (Dickey-Collas et 189 al., 2010).

We accounted for life history essentially through maturity related metrics and principal habitat, but in contrastwith previous studies (Pinsky et al., 2011; Pinsky and Byler, 2015) we found no significant effect of those life

192 history traits on negative shifts. Only the age at maturity (which is negatively correlated with somatic growth 193 rate, Fig. S6A) tended to be positively associated with positive shifts, meaning that rapid productivity 194 increases were more often found in slower growing, later maturing species. This pattern is consistent with the 195 periodic life history strategy (Winemiller and Rose, 1992) where delayed maturation and consequent large 196 numbers of eggs can result in occasional very large recruitment events when these eggs encounter favorable 197 conditions for early life survival. These periodic strategists often exhibit years of minimal or even negative 198 productivity punctuated by occasional large year classes that create a period of high productivity as the year 199 class ages and grows.

200 We also found support for a link between negative PAS and subsequent stock collapse for a guarter of the 201 stocks that shifted negatively. This sequence of events could be expected with productivity decline impacting 202 stock abundance and biomass. However, collapse following negative PAS was far from inevitable, a fact likely 203 explained by the high prevalence of harvest control rules, which reduced fishing pressure as abundance 204 declines (Punt, 2010). Our results also suggest that gradual stock collapses were associated with lower levels 205 of warming, while areas that underwent rapid warming were more likely to experience negative abrupt shifts. 206 There is evidence for such warming-driven declines notably in the case of insufficient management adaptation 207 (Pershing et al., 2015) but a more extensive coverage of stocks with lower warming rate would be necessary 208 to confirm this. These results are all robust to different definitions of stock collapse (Fig. S7).

Additional analyses would be needed to ascertain whether the abrupt shifts in productivity of individual fish stocks that we detected here correspond with ecosystem-wide regime shifts. , Such analysis would require time series of other ecosystem components, many of which (e.g., zooplankton abundance) are unavailable at a global scale (deYoung et al., 2008; Lees et al., 2006). Still, the fact that abrupt shifts occur is meaningful, even in the absence of a broader regime shift, as they have serious implications for management regardless of the mechanisms driving such shifts (Beaugrand et al., 2022; Möllmann and Diekmann, 2012).

While the RAM Legacy database, on which this analysis was based, has broad geographic coverage, it does not reflect the actual distribution of fisheries worldwide and is biased towards intensively monitored stocks

8

217 mostly from wealthy nations at temperate latitudes (Ricard et al., 2012). Fisheries from tropical latitudes are 218 more often data limited or monitored for a lower amount of time, which limits reliable shift detection. Yet, the 219 correlation we found between warming rate and negative PAS suggests that marine areas like the North-West 220 and South Atlantic, or South Indian Oceans that are projected to be hotspots of rapid temperature increase in 221 the next decades (Cheng et al., 2022) require careful monitoring and management. This is a challenging task 222 since it has been suggested that regional fisheries agencies are still struggling to account effectively for 223 climate change in their practices (Sumby et al., 2021). As our results contribute to the understanding of fish 224 stock long-term dynamics, they could pave the way for advancing the anticipation of productivity abrupt shifts 225 to improve effective fishing regulation in the face of rising global change pressures.

226 Materials and Methods

227 Fisheries data

228 Time series of catch and stock biomass were download from the freely available RAM Legacy Stock 229 Assessment database (RAMLDB v4.61, (Ricard et al., 2012)). From those, we estimated stock productivity (or 230 surplus production) time series, which is a biological variable particularly relevant to investigate putative 231 regime shifts (Vert-pre et al., 2013). Abrupt shifts in productivity time series have been used as hallmark of 232 regime shift in some particular fish stocks (Blöcker et al., 2023; Möllmann et al., 2021). Surplus production 233 can be assimilated to stock productivity if it is independent to biomass, which has been suggested to be the 234 case for most of the stocks (Vert-pre et al., 2013). We therefore refer to surplus production as productivity. 235 The productivity S(t) in the year t was estimated from catch and total biomass time series according to the 236 following formula:

$$S(t) = B(t+1) - B(t) + C(t)$$

with B(t) stock total biomass and C(t) catch in the year t. While catch values are raw data, often assumed to be measured without error, biomass estimates are model outputs and concerns have been raised when considering model outputs as input data (Brooks and Deroba, 2015). Here, the greatest concern is that interannual smoothing of biomass time series by the assessment model could cause us to miss true abrupt

shifts. This concern is minimized by the fact that most biomass time series in the database are from highly flexible statistical catch at age models that allow for substantial process error (e.g., internnual variation in reproductive output or recruitment, (Ricard et al., 2012; Thorson et al., 2014)). Our data filtering criteria (described below) removed a small number of stocks where smooth biomass trajectories were clearly a model artifact..

248 The RAMLDB includes stock assessments from several phyla including mollusks, crustaceans, and 249 vertebrates (fishes). For consistency, we only focused on marine fish stocks including both ray-finned and 250 cartilaginous fishes, and ignored data before 1950 that sometimes corresponded to model extrapolations. 251 Fish productivity time series with no missing data points were estimated for 397 stocks but a total of 82 were 252 discarded either because they had time series length below 25 years (68 stocks) or because biomass 253 estimates were apparently generated from deterministic models which could only produce smooth changes 254 (14 stocks). The classification was thus performed on 315 stocks corresponding to 161 taxa, among which 255 158 were at the species and 3 at the genus level. Median time series length was 41 years and the longest 256 time series was 71 years. To allow for inter-stock comparisons, productivity was normalized by average stock 257 biomass following (Essington et al., 2015).

258 Time series classification

259 Productivity time series were fitted with four different types of model – intercept-only (no change), linear trend, 260 guadratic trend, and abrupt change – and Akaike Information Criterion corrected for small sample size (AICc) 261 were computed for each model fit. The best trajectory was considered to be the model with lowest AICc and 262 validated following (Pélissié et al., 2024). To validate abrupt trajectories, an independent breakpoint detection 263 method (asdetect, (Boulton and Lenton, 2019)) was run and we checked whether both methods agreed on 264 the shift date with a tolerance of five years. For guadratic and linear cases, we tested the significance of the 265 higher order coefficient. We used the classification with default parameters for asdetect method (anomalous 266 rate of change equal to three medians absolute deviations and detection threshold set to 0.15) that have been 267 tested to be most reliable for time series of at least 25 time points (Pélissié et al., 2024). To avoid uncertain 268 shifts, shifts less than five year from the start or the end of the time series were not considered. If the abrupt

269 shift model and the asdetect method agreed that an abrupt shift occurred but disagreed on the year of the 270 shift, the year identified by the abrupt shift model was used. We also computed three classification quality 271 score to assess different aspects of model reliability, namely the relative support for each fitted model (AICc 272 weight), model choice robustness to individual data point removal (Leave-One-Out cross validation), and the 273 ratio between variation not explained by the model and the overall variation (normalized RMSE). This 274 framework permits the identification of at most one shift in each time series, which is assumed to be the 275 largest one if several might be present. The standardization by stock average biomass changes the absolute 276 AICc values but does not affect model ranking or best model choice.

277 Spatial data

278 A stock represents a consistent population unit that is spatially bounded. Most stock polygons were extracted 279 from the dataset used by (Free et al., 2019). An addition of 64 stock polygons to the dataset was made 280 following Free's methodology either by combining fishing subareas or divisions, approximating polygons to 281 already existing ones, or digitizing fishing areas based on individual assessment data. All added polygons 282 were processed using QGIS v3.16. Stocks were grouped by FAO Major Fishing Areas as directly available 283 from RAMLDB dataset. We also grouped stocks by Large Marine Ecosystems (LMEs) that represent 284 biogeographically more relevant units. We assigned each stock to the LME with which stock polygons 285 overlapped most, except for high seas fisheries for which they were assigned to main ocean areas.

286 Explanatory variables

287 Potential explanatory variables were gathered for each taxa or stock, spanning life history, environmental, and 288 fishing-related pressure. To avoid missing data, we used imputed life history traits from the FishLife database 289 (Thorson, 2020) and selected two relevant variables among the least correlated ones, namely age and length 290 at maturity (Fig. S6A). Primary habitat was retrieved from FishBase (Froese and Pauly, 2023) with classes 291 grouped as pelagic (pelagic-neritic, pelagic-oceanic, bathypelagic) and demersal (bathydemersal, 292 benthopelagic, demersal, reef-associated). Sea surface temperature (SST) was used as the most relevant 293 environmental variable using data from the Met Office HadISST1 dataset (Rayner et al., 2003) from 1870 to 294 2020 on a monthly basis (then averaged annually) and with one degree spatial resolution. Annual SST maps

295 were clipped based on stock or LME boundaries and averaged to obtain a single SST time series per stock 296 (Free et al., 2019). Exploitation rate (ER) was used as a proxy for fishing intensity and corresponds to the 297 ratio of catch to total biomass in the same year. Exploitation rate relative to that at maximum sustainable yield 298 U/Umsy may have been a better proxy since it accounts for intrinsic differences in productivity among stocks 299 but was not available for 15% of the stocks. SST and ER means and trends were estimated from the start of 300 productivity time series up to the shift if any was detected or to the latest year available otherwise. The 301 absence of strong multicollinearity of explanatory variables was determined by performing pairwise Pearson 302 correlation tests (Fig. S6B).

To test the association between stock collapse and PAS, we defined a stock as collapsed if biomass in a given year was below 25% of the average stock biomass recorded to date following the definition by (Essington et al., 2015) and if such threshold was crossed for at least two consecutive years to limit artifacts. We focused on whether a stock ever collapsed and the first year of collapse. We repeated the analyses that involved collapsed status with alternative definitions used by (Yletyinen et al., 2018), namely 10% of maximum biomass and 15%, and 50% of average biomass.

309 Statistical analyses

We assessed the homogeneity of trajectories found across regions and taxonomic orders separately using Chi-square test and computed p-values by Monte Carlo simulation using 10⁵ replicates to deal with cases of low expected values.

313 We used logistic generalized additive mixed models (GAMM) to assess the effect of independent variables on 314 the occurrence of productivity abrupt shifts using the mqcv package (Wood, 2011). Positive and negative 315 shifts were considered separately as dependent variable against all other trajectories to contrast conditions of 316 abrupt shifts against all conditions available. The effect of life history, environmental conditions, and fishing 317 intensity were estimated as fixed effects by considering age at maturity, length at maturity, main habitat, 318 average and trend in SST, and average and trend in ER altogether. Numerical variables were standardized to 319 allow comparison. To account for space, stock centroid coordinates were considered as smooth terms. 320 Taxonomic orders and families were treated as random effect to account for broad phylogenetic relationships.

321 Two stocks from the Japanese Seto inland sea lacked SST data due to limited spatial resolution and were322 thus discarded for these analyses.

An alternative approach was also performed to assess the contribution of each predictor variable independently and in conjunction with the other predictors on the occurrence of abrupt shifts. This approach controls for the possible influence of unchecked multicollinearity among variables. We used the *hier.part* package (Nally and Walsh, 2004) to run hierarchical partitioning and randomization tests with 999 repetitions on positive and negative shift occurrence as binomial variables with a logit link function. Phylogeny was not used for these analyses because of excessive number of groups in each taxonomic level.

329 Sensitivity analyses

330 We also ran the classification without the step for abrupt shift confirmation to determine how frequently this 331 step was eliminating potential abrupt shift classifications. Without the confirmation step, the proportion of 332 stocks classified as PAS reached 51.4% with similar proportions of negative (26%) and positive (25.4%) shifts 333 (Fig. S5). We also repeated the GAMM analysis of predictors of PAS with this less strict classification for 334 PAS. In that case, we still found the effect of SST change (p = 0.049, Fig. S8A) for negative PAS, with 335 average SST and ER also found as significant predictor (p = 0.006 and p = 0.008 respectively, Fig. S8A). For 336 positive PAS, the effect of both average (p < 0.001) and trend in ER (p = 0.013) were found as well as a 337 positive effect of average SST (p = 0.034, Fig. S8B).

We repeated the GAMM with fishing intensity relative to that at maximum sustainable yield (U/Umsy) instead of exploitation rate (ER). U/Umsy accounts for intrinsic species differences in withstanding fishing pressure but was available only for 269 stocks. Similar effects to the initial models in Fig. 3 were found in estimates although not significant for SST change (p = 0.084), probably due to the loss of many stocks with negative PAS (14 out of 42, among which 6 also collapsed), with in addition a significant positive effect of trend in U/Umsy (p = 0.002, Fig. S8C). Similar effects to the initial models in Fig. 3 were also found for positive PAS with age at maturity as the only significant variable (p = 0.049, Fig. S8D).

13

- 345 We also tested the robustness of the results related to stock collapse by using different thresholds of collapse
- 346 (10% of maximum stock biomass, 15% and 50% of the average stock biomass), which gave similar results in
- terms of relationship with negative PAS (Fig. S7) and warming rate (Fig. S4).

348 Author Contributions

All authors designed research; M.P. performed empirical analyses; M.P. analyzed the data and all authors contributed to writing the paper.

351 Data availability

All analyses were conducted using R software (version 4.3.3). The R script to replicate all analyses is available on Github (<u>https://anonymous.4open.science/r/ocean_warming_fisheries</u>).

354 Acknowledgments

- We thank Rujia Bi for providing the code for processing SST data and Benoît Pichon for proof-reading, which contributed to improve the quality of the article. VDa acknowledges financial support from JCJC ANR22-
- 357 CE32-0001-01.

358 **References**

- Beaugrand, G., Balembois, A., Kléparski, L., Kirby, R.R., 2022. Addressing the dichotomy of fishing and climate in fishery management with the FishClim model. Commun Biol 5, 1146. https://doi.org/10.1038/s42003-022-04100-6
- Belkin, I.M., 2009. Rapid warming of Large Marine Ecosystems. Progress in Oceanography, Comparative Marine Ecosystem Structure and Function: Descriptors and Characteristics 81, 207–213. https://doi.org/10.1016/j.pocean.2009.04.011
- Berger, A.M., 2019. Character of temporal variability in stock productivity influences the utility of dynamic reference points. Fisheries Research, Recruitment: Theory, Estimation, and Application in Fishery Stock Assessment Models 217, 185–197. https://doi.org/10.1016/j.fishres.2018.11.028
- Bestelmeyer, B.T., Ellison, A.M., Fraser, W.R., Gorman, K.B., Holbrook, S.J., Laney, C.M., Ohman, M.D., Peters, D.P.C., Pillsbury, F.C., Rassweiler, A., Schmitt, R.J., Sharma, S., 2011. Analysis of abrupt transitions in ecological systems. Ecosphere 2, art129. https://doi.org/10.1890/ES11-00216.1
- Blöcker, A.M., Gutte, H.M., Bender, R.L., Otto, S.A., Sguotti, C., Möllmann, C., 2023. Regime shift dynamics, tipping points and the success of fisheries management. Sci Rep 13, 289. https://doi.org/10.1038/s41598-022-27104-y
- Boulton, C.A., Lenton, T.M., 2019. A new method for detecting abrupt shifts in time series. https://doi.org/10.12688/f1000research.19310.1
- Brooks, E.N., Deroba, J.J., 2015. When "data" are not data: the pitfalls of post hoc analyses that use stock assessment model output. Can. J. Fish. Aquat. Sci. 72, 634–641. https://doi.org/10.1139/cjfas-2014-0231
- Cheng, L., von Schuckmann, K., Abraham, J.P., Trenberth, K.E., Mann, M.E., Zanna, L., England, M.H., Zika, J.D., Fasullo, J.T., Yu, Y., Pan, Y., Zhu, J., Newsom, E.R., Bronselaer, B., Lin, X., 2022. Past and future ocean warming. Nat Rev Earth Environ 3, 776–794. https://doi.org/10.1038/s43017-022-00345-1
- Conversi, A., Dakos, V., Gårdmark, A., Ling, S., Folke, C., Mumby, P.J., Greene, C., Edwards, M., Blenckner, T., Casini, M., Pershing, A., Möllmann, C., 2015. A holistic view of marine regime shifts. Philosophical Transactions of the Royal Society B: Biological Sciences 370, 20130279. https://doi.org/10.1098/rstb.2013.0279
- Daskalov, G.M., Grishin, A.N., Rodionov, S., Mihneva, V., 2007. Trophic cascades triggered by overfishing reveal possible mechanisms of ecosystem regime shifts. PNAS 104, 10518–10523. https://doi.org/10.1073/pnas.0701100104
- deYoung, B., Barange, M., Beaugrand, G., Harris, R., Perry, R.I., Scheffer, M., Werner, F., 2008. Regime shifts in marine ecosystems: detection, prediction and management. Trends in Ecology & Evolution 23, 402–409. https://doi.org/10.1016/j.tree.2008.03.008
- deYoung, B., Harris, R., Alheit, J., Beaugrand, G., Mantua, N., Shannon, L., 2004. Detecting regime shifts in the ocean: Data considerations. Progress in Oceanography, Regime shifts in the ocean. Reconciling observations and theory 60, 143–164. https://doi.org/10.1016/j.pocean.2004.02.017
- Dickey-Collas, M., Nash, R.D.M., Brunel, T., van Damme, C.J.G., Marshall, C.T., Payne, M.R., Corten, A., Geffen, A.J., Peck, M.A., Hatfield, E.M.C., Hintzen, N.T., Enberg, K., Kell, L.T., Simmonds, E.J., 2010. Lessons learned from stock collapse and recovery of North Sea herring: a review. ICES Journal of Marine Science 67, 1875–1886. https://doi.org/10.1093/icesjms/fsq033
- Essington, T.E., Moriarty, P.E., Froehlich, H.E., Hodgson, E.E., Koehn, L.E., Oken, K.L., Siple, M.C., Stawitz, C.C., 2015. Fishing amplifies forage fish population collapses. Proceedings of the National Academy of Sciences 112, 6648–6652. https://doi.org/10.1073/pnas.1422020112
- FAO, 2022. The State of World Fisheries and Aquaculture 2022. Towards Blue Transformation, The State of World Fisheries and Aquaculture (SOFIA). FAO, Rome, Italy. https://doi.org/10.4060/cc0461en
- Frank, E., Oremus, K., 2023. Regulating Biological Resources: Lessons from Marine Fisheries in the United States. https://doi.org/10.2139/ssrn.4445576

- Free, C.M., Thorson, J.T., Pinsky, M.L., Oken, K.L., Wiedenmann, J., Jensen, O.P., 2019. Impacts of historical warming on marine fisheries production. Science 363, 979–983. https://doi.org/10.1126/science.aau1758
- Froese, R., Pauly, D., 2023. FishBase. World Wide Web electronic publication. version (10/2023). [WWW Document]. URL www.fishbase.org
- Georgian, S., Hameed, S., Morgan, L., Amon, D.J., Sumaila, U.R., Johns, D., Ripple, W.J., 2022. Scientists' warning of an imperiled ocean. Biological Conservation 272, 109595. https://doi.org/10.1016/j.biocon.2022.109595
- Halpern, B., Walbridge, S., Selkoe, K., Kappel, C., Micheli, F., D'Agrosa, C., Bruno, J., Casey, K., Ebert, C., Fox, H., Fujita, R., Heinemann, D., Lenihan, H., Madin, E., Perry, M., Selig, E., Spalding, M., Steneck, R., Watson, R., 2008. A Global Map of Human Impact on Marine Ecosystems. Science (New York, N.Y.) 319, 948–52. https://doi.org/10.1126/science.1149345
- Hare, S.R., Mantua, N.J., 2000. Empirical evidence for North Pacific regime shifts in 1977 and 1989. Progress in Oceanography 47, 103–145. https://doi.org/10.1016/S0079-6611(00)00033-1
- Hilborn, R., Amoroso, R.O., Anderson, C.M., Baum, J.K., Branch, T.A., Costello, C., de Moor, C.L., Faraj, A., Hively, D., Jensen, O.P., Kurota, H., Little, L.R., Mace, P., McClanahan, T., Melnychuk, M.C., Minto, C., Osio, G.C., Parma, A.M., Pons, M., Segurado, S., Szuwalski, C.S., Wilson, J.R., Ye, Y., 2020. Effective fisheries management instrumental in improving fish stock status. Proceedings of the National Academy of Sciences 117, 2218–2224. https://doi.org/10.1073/pnas.1909726116
- Hodgdon, C.T., Shank, B., Chen, Y., 2022. Developing a framework to estimate dynamic reference points for American lobster using a thermally explicit spawning stock biomass/recruitment relationship. Can. J. Fish. Aquat. Sci. 79, 2112–2125. https://doi.org/10.1139/cjfas-2022-0004
- Idyll, C.P., 1973. The Anchovy Crisis. Scientific American 228, 22–29.
- King, J.R., McFarlane, G.A., Punt, A.E., 2015. Shifts in fisheries management: adapting to regime shifts. Philosophical Transactions of the Royal Society B: Biological Sciences 370, 20130277. https://doi.org/10.1098/rstb.2013.0277
- Lees, K., Pitois, S., Scott, C., Frid, C., Mackinson, S., 2006. Characterizing regime shifts in the marine environment. Fish and Fisheries 7, 104–127. https://doi.org/10.1111/j.1467-2979.2006.00215.x
- Levin, P.S., Möllmann, C., 2015. Marine ecosystem regime shifts: challenges and opportunities for ecosystem-based management. Philosophical Transactions of the Royal Society B: Biological Sciences 370, 20130275. https://doi.org/10.1098/rstb.2013.0275
- Melnychuk, M.C., Kurota, H., Mace, P.M., Pons, M., Minto, C., Osio, G.C., Jensen, O.P., de Moor, C.L., Parma, A.M., Richard Little, L., Hively, D., Ashbrook, C.E., Baker, N., Amoroso, R.O., Branch, T.A., Anderson, C.M., Szuwalski, C.S., Baum, J.K., McClanahan, T.R., Ye, Y., Ligas, A., Bensbai, J., Thompson, G.G., DeVore, J., Magnusson, A., Bogstad, B., Wort, E., Rice, J., Hilborn, R., 2021. Identifying management actions that promote sustainable fisheries. Nat Sustain 4, 440–449. https://doi.org/10.1038/s41893-020-00668-1
- Möllmann, C., Cormon, X., Funk, S., Otto, S.A., Schmidt, J.O., Schwermer, H., Sguotti, C., Voss, R., Quaas, M., 2021. Tipping point realized in cod fishery. Sci Rep 11, 14259. https://doi.org/10.1038/s41598-021-93843-z
- Möllmann, C., Diekmann, R., 2012. Chapter 4 Marine Ecosystem Regime Shifts Induced by Climate and Overfishing: A Review for the Northern Hemisphere, in: Woodward, G., Jacob, U., O'Gorman, E.J. (Eds.), Advances in Ecological Research, Global Change in Multispecies Systems Part 2. Academic Press, pp. 303–347. https://doi.org/10.1016/B978-0-12-398315-2.00004-1
- Myers, R.A., Hutchings, J.A., Barrowman, N.J., 1997. Why Do Fish Stocks Collapse? The Example of Cod in Atlantic Canada. Ecological Applications 7, 91–106. https://doi.org/10.1890/1051-0761(1997)007[0091:WDFSCT]2.0.CO;2
- Nally, R.M., Walsh, C.J., 2004. Hierarchical Partitioning Public-domain Software. Biodiversity and Conservation 13, 659–660. https://doi.org/10.1023/B:BIOC.0000009515.11717.0b
- Pauly, D., Zeller, D., 2016. Catch reconstructions reveal that global marine fisheries catches are higher than reported and declining. Nat Commun 7, 10244. https://doi.org/10.1038/ncomms10244

- Pedersen, E.J., Koen-Alonso, M., Tunney, T.D., 2020. Detecting regime shifts in communities using estimated rates of change. ICES Journal of Marine Science 77, 1546–1555. https://doi.org/10.1093/icesjms/fsaa056
- Pélissié, M., Devictor, V., Dakos, V., 2024. A systematic approach for detecting abrupt shifts in ecological timeseries. Biological Conservation 290, 110429. https://doi.org/10.1016/j.biocon.2023.110429
- Pershing, A.J., Alexander, M.A., Hernandez, C.M., Kerr, L.A., Le Bris, A., Mills, K.E., Nye, J.A., Record, N.R., Scannell, H.A., Scott, J.D., Sherwood, G.D., Thomas, A.C., 2015. Slow adaptation in the face of rapid warming leads to collapse of the Gulf of Maine cod fishery. Science 350, 809–812. https://doi.org/10.1126/science.aac9819
- Pinsky, M.L., Byler, D., 2015. Fishing, fast growth and climate variability increase the risk of collapse. Proceedings of the Royal Society B: Biological Sciences 282, 20151053. https://doi.org/10.1098/rspb.2015.1053
- Pinsky, M.L., Jensen, O.P., Ricard, D., Palumbi, S.R., 2011. Unexpected patterns of fisheries collapse in the world's oceans. PNAS 108, 8317–8322. https://doi.org/10.1073/pnas.1015313108
- Punt, A.E., 2010. Harvest Control Rules and Fisheries Management, in: Handbook of Marine Fisheries Conservation and Management. Oxford University Press, Inc., New York, NY, pp. 582–594.
- Rayner, N.A., Parker, D.E., Horton, E.B., Folland, C.K., Alexander, L.V., Rowell, D.P., Kent, E.C., Kaplan, A., 2003. Global analyses of sea surface temperature, sea ice, and night marine air temperature since the late nineteenth century. Journal of Geophysical Research: Atmospheres 108. https://doi.org/10.1029/2002JD002670
- Ricard, D., Minto, C., Jensen, O.P., Baum, J.K., 2012. Examining the knowledge base and status of commercially exploited marine species with the RAM Legacy Stock Assessment Database. Fish and Fisheries 13, 380–398. https://doi.org/10.1111/j.1467-2979.2011.00435.x
- Rocha, J., Yletyinen, J., Biggs, R., Blenckner, T., Peterson, G., 2015. Marine regime shifts: drivers and impacts on ecosystems services. Philosophical Transactions of the Royal Society B: Biological Sciences 370, 20130273. https://doi.org/10.1098/rstb.2013.0273
- Rouyer, T., Fromentin, J.-M., Hidalgo, M., Stenseth, N.C., 2014. Combined effects of exploitation and temperature on fish stocks in the Northeast Atlantic. ICES Journal of Marine Science 71, 1554–1562. https://doi.org/10.1093/icesjms/fsu042
- Rudnick, D.L., Davis, R.E., 2003. Red noise and regime shifts. Deep Sea Research Part I: Oceanographic Research Papers 50, 691–699. https://doi.org/10.1016/S0967-0637(03)00053-0
- Safina, C., Klinger, D.H., 2008. Collapse of Bluefin Tuna in the Western Atlantic. Conservation Biology 22, 243–246.
- Sellinger, E.L., Szuwalski, C., Punt, A.E., 2024. The robustness of our assumptions about recruitment: A reexamination of marine recruitment dynamics with additional data and novel methods. Fisheries Research 269, 106862. https://doi.org/10.1016/j.fishres.2023.106862
- Sguotti, C., Färber, L., Romagnoni, G., 2022. Regime Shifts in Coastal Marine Ecosystems: Theory, Methods and Management Perspectives, in: Reference Module in Earth Systems and Environmental Sciences. Elsevier. https://doi.org/10.1016/B978-0-323-90798-9.00004-4
- Spake, R., Barajas-Barbosa, M.P., Blowes, S.A., Bowler, D.E., Callaghan, C.T., Garbowski, M., Jurburg, S.D., van Klink, R., Korell, L., Ladouceur, E., Rozzi, R., Viana, D.S., Xu, W.-B., Chase, J.M., 2022.
 Detecting Thresholds of Ecological Change in the Anthropocene. Annu. Rev. Environ. Resour. 47, 797–821. https://doi.org/10.1146/annurev-environ-112420-015910
- Steele, J.H., 1998. Regime Shifts in Marine Ecosystems. Ecological Applications 8, S33–S36. https://doi.org/10.2307/2641361
- Sumby, J., Haward, M., Fulton, E.A., Pecl, G.T., 2021. Hot fish: The response to climate change by regional fisheries bodies. Marine Policy 123, 104284. https://doi.org/10.1016/j.marpol.2020.104284
- Thorson, J., Jensen, O., Zipkin, E., 2014. How variable is recruitment for exploited marine fishes? A hierarchical model for testing life history theory. Canadian Journal of Fisheries and Aquatic Sciences 71, 973–983. https://doi.org/10.1139/cjfas-2013-0645

- Thorson, J.T., 2020. Predicting recruitment density dependence and intrinsic growth rate for all fishes worldwide using a data-integrated life-history model. Fish and Fisheries 21, 237–251. https://doi.org/10.1111/faf.12427
- Vert-pre, K.A., Amoroso, R.O., Jensen, O.P., Hilborn, R., 2013. Frequency and intensity of productivity regime shifts in marine fish stocks. PNAS 110, 1779–1784. https://doi.org/10.1073/pnas.1214879110
- Walters, C.J., Hilborn, R., Christensen, V., 2008. Surplus production dynamics in declining and recovering fish populations. Can. J. Fish. Aquat. Sci. 65, 2536–2551. https://doi.org/10.1139/F08-170
- Walters, C.J., Martell, S.J.D., 2004. Fisheries Ecology and Management. Princeton University Press, Princeton, NJ, USA.
- Watanabe, Y., Zenitani, H., Kimura, R., 1995. Population decline off the Japanese sardine Sardinops melanostictus owing to recruitment failures. Can. J. Fish. Aquat. Sci. 52, 1609–1616. https://doi.org/10.1139/f95-154
- Winemiller, K.O., Rose, K.A., 1992. Patterns of Life-History Diversification in North American Fishes: implications for Population Regulation. Can. J. Fish. Aquat. Sci. 49, 2196–2218. https://doi.org/10.1139/f92-242
- Wood, S.N., 2011. Fast stable restricted maximum likelihood and marginal likelihood estimation of semiparametric generalized linear models. Journal of the Royal Statistical Society: Series B (Statistical Methodology) 73, 3–36. https://doi.org/10.1111/j.1467-9868.2010.00749.x
- Yletyinen, J., Butler, W., Ottersen, G., Andersen, K., Bonanomi, S., Diekert, F., Folke, C., Lindegren, M., Nordström, M., Richter, A., Rogers, L., Romagnoni, G., Weigel, B., Whittington, J., Blenckner, T., Stenseth, N., 2018. When is a fish stock collapsed? https://doi.org/10.1101/329979



360 Figure 1. Examples of productivity time series classified into trajectory types based on shape and trend. 361 Some time series were best described by either linear (A-C), guadratic (D-F), or abrupt trajectories (G, H), 362 and overall trend either positive (A, D, G), or negative (C, F, H). No change is a types of shape that 363 corresponds to a trajectory without trend (B, E). Each panel shows fish stock productivity time series 364 normalized by the average stock biomass (black line) with the best model fit (solid blue line) and standard 365 deviation (dashed lines) following the classification procedure detailed in the methods. Three classification 366 quality scores are specified for each time series: AICc weight (wAICc), leave-one-out score (LOO), and 367 normalized root mean square error (NRMSE). Timepoints that if removed in the LOO process would result in 368 the same shape are highlighted by orange dots. For abrupt trajectories (G, H), the location of breakpoints is 369 indicated by vertical lines, the pink background corresponds to the breakpoint uncertainty for the asdetect 370 method, and the distribution of breakpoint locations from LOO time series are represented by color bars. Note 371 that scales are not the same for the different panels. Species name and (stock ID) are also displayed. Fish 372 silhouettes come from https://www.phylopic.org/.



Figure 2. Overview and spatial distribution of all productivity trajectory types alongside the temporal distribution of productivity abrupt shifts (PAS). (A) Overall proportion of all productivity trajectory types, with the inner pie chart indicating trajectory shape and the outer layer specifying the trend. (B) Spatial distribution of all trajectory types by FAO major fishing areas. The size of the charts is indicative of the number of stocks, which are also displayed. (C-D) Temporal distribution of positive (C) and negative PAS (D) (color bars and density) with in gray the coverage (i.e., number of stocks with data available in each year) of the time series classified.



Productivity abrupt shifts (PAS)

380 Figure 3. Generalized additive mixed model (GAMM) coefficient estimates for models accounting for negative 381 (A) and positive (B) PAS against all other trajectories. Positive estimates mean that PAS are more often found 382 for larger values of the variable and conversely. Dependent variables are arranged from top to bottom with 383 age at maturity, length at maturity, main habitat (pelagic as reference category), mean (SSTbar) and linear 384 trend (deltaSST) in sea surface temperature, mean (ERbar) and linear trend (deltaER) in exploitation rate. 385 Mean and trend in SST and ER were computed from the first year of productivity available up to the shift if 386 any was detected. Model estimates (circles) with confidence intervals at 95% (horizontal bars) are presented 387 and significant estimates are indicated with filled circles. All numeric variables were standardized.



388 Figure 4. Relationship between PAS and stock collapse at stock level (A-C) and at the scale of Large Marine 389 Ecosystems (LMEs) related to ocean warming rate (D-F). (A) Proportion of trajectories depending on whether 390 stocks ever reached collapsed state (defined as 25% of the mean biomass) with actual number of stocks 391 indicated. (B) Standardized PAS magnitude for negative (dark red) and positive (dark blue) shifts and 392 collapsed state. (C) Distribution of PAS location relative to the first year as collapsed (vertical dotted line), with 393 positive PAS (top panel in blue) and negative PAS (bottom panel in blue). (D-F) Relationship between the 394 proportion of PAS (D, E) or stock collapse (F) within LMEs and Sea surface temperature (SST) rate of change 395 between 1950 and 2020. LMEs with less than two stocks were not included. Significant linear regression are 396 drawn with a solid line, and dashed line otherwise.