| 1 | Insights from | the initial stage | of a nation-wid | e environmental | l relicensing | of hydropower |
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- 2 facilities: a biologists' perspective on court verdicts
- 3
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12 Abstract

13 Hydropower, utilized for centuries, is promoted globally as renewable energy. The perceived socio-14 economic benefits have often outweighed environmental concerns, as reflected in operational permits. 15 In 2022, Sweden began re-licensing approximately 2000 hydroelectric facilities under the National Plan 16 for Modern Environmental Conditions. We extracted data from 33 completed court cases, all involving 17 relatively small hydropower facilities, with 22 resulting in withdrawal and dam removal, and 11 18 receiving decisions for remedial measures. The primary focus of measures was longitudinal 19 connectivity; other environmental aspects received less attention and monitoring requirements were 20 almost non-existent. We recommend measures using adaptive design, prioritizing functionality and 21 monitoring over detailed technical specifications. Greater attention should be given to habitat in affected 22 reaches; addressing e.g. flow, and water levels. In conclusion, this nation-wide process provides a 23 unique opportunity to implement measures that could benefit the whole riverine ecosystem.

24

Keywords: Dam removal, Ecological rehabilitation, Environmental law, Fish migration, Hydroelectric
 production, River connectivity

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29 INTRODUCTION

Hydropower facilities and their associated dams and reservoirs have existed for centuries (Almeida et al. 2022). Hydroelectricity is globally promoted as a renewable resource, but ecological costs are often high (He et al. 2024). Impoundments upstream of dams turn river rapids into lake-like reservoir environments and downstream sections have altered flow-, sedimentation-, and physiochemical dynamics impacting the river ecosystems (He et al. 2024). For mobile organisms like fishes, dams block up- and downstream movements, in effect fragmenting both habitats and populations, leading to population decline or even local extinction of migratory species (Jonsson et al. 1999; Limburg and

Waldman 2009). Importantly, similar issues can apply to relatively resident species, which need to
move in relation to changed environmental conditions and disperse to maintain genetic diversity (Jones
et al. 2021; Schiavon et al. 2024). Dams are considered to be a threat to almost 4000 aquatic, semiaquatic, and terrestrial species worldwide (He et al. 2024).

41 A variety of measures have been implemented to mitigate the ecological effects of hydropower. 42 This includes various fish passage solutions (Katopodis and Williams 2012; Silva et al. 2018) which 43 initially were focussed mainly on aiding upstream passage of salmonids, but later also cover two-way 44 passage of whole fish communities (Mallen-Cooper 1999; Calles et al. 2013a). Other measures relate 45 to flow regulation effects, which can be mitigated by implementing multifaceted natural flow variability 46 (environmental flows, or e-flow) (Poff et al. 2010; Richter et al. 1997; Acreman et al. 2014). A main 47 concern related to environmental flow is lost power production, but models indicate that the annual loss 48 need not be substantial (Widén et al. 2022), although regulatory capacity might be reduced. In practice, 49 however, regulation on minimum flow is more commonly applied than environmental flows 50 (Arthington et al. 2006; Malm Renöfält et al. 2010), despite the riverine ecosystems' dependence on 51 natural and seasonal variation in flow magnitude, rate of change, frequency, duration, and timing (Poff 52 et al. 2010; Acreman et al 2014). For temperature-, oxygen-, and gas supersaturation effects, remedial 53 measures are available but seldom implemented (Poole and Berman, 2001; Li et al., 2022). Relating to 54 all mitigation measures at hand, continuous monitoring of the applied measures in combination with 55 adaptive management is required for successful mitigation performance (Birnie-Gauvin et al. 2017; 56 Nyqvist et al. 2017).

57 In Sweden, the usage of dams for water-powered energy generation dates back many centuries in 58 the form of e.g., mill dams (Swedish National Heritage Board 2021). The first Swedish hydroelectric 59 plant was constructed in 1882; a small-scale private plant at Rydal in the river Viskan (Perers et al. 60 2007). Large-scale production plants were inaugurated in the 1910's (Olidan in river Göta älv in 1910, 61 Porjus in river Luleälven, in 1915, and Älvkarleby in river Dalälven in 1917; Ödmann et al. 1982; Perers 62 et al. 2007). The main construction period lasted between 1910 and 1970's, with a culmination from 63 1940's to 1960's in association with the development of the national power grid which made production 64 in the north accessible to the rest of the country (Ödmann et al. 1982; Perers et al. 2007; Lindström and 65 Ruud 2017). Construction levelled off when the potential for further large-scale development became 66 limited without causing deterioration to the last few free-flowing large rivers, with associated critique 67 from environmentalists (Arheimer and Lindström 2014; Köhler and Ruud 2019). This hydroelectric 68 development has resulted in a present-day state where Sweden has around 2000 dams associated to 69 hydroelectricity production (Lindblom and Holmgren 2016).

Historically, the perceived socio-economic benefits of increased energy production outweighed environmental concern, which is reflected in the legally bound operational permits, or the lack thereof in some cases (Ödmann et al. 1982; Schäfer 2021; Lindström and Ruud 2017). Hydropower plants often operate under original permits that have remained valid without re-evaluation under modern 74 environmental laws (Svensson 2004). Consequently, hydroelectric production has historically faced 75 fewer environmental mitigation requirements than other industries (Schäfer 2021). Even if history 76 writers have claimed that no serious criticism was raised against the negative environmental effects 77 until the mid-1900's (Ödmann et al. 1982; Jakobsson 2002), this perspective likely overlooks silent or 78 silenced opposition to river regulation; not the least the experiences and opinions of the Sámi, who 79 endured land appropriation, forced relocations, loss of water access, destruction of reindeer grazing 80 lands, and other major environmental changes in their homelands associated to with early large-scale 81 hydropower development (Össbo 2023a, b).

82 In 2019, Swedish environmental law was updated to require hydroelectric plants to comply with 83 modern environmental legislation (SFS 1998:808, chapter 11, §§27-28, updated by SFS 2018:1407). 84 Importantly, an EU directive (2000/60/EC) establishing a water policy framework was implemented in 85 2000. Based on this directive, and the legal update, the Swedish government tasked the Swedish Agency 86 for Marine and Water Management (SwAM) with coordinating efforts to modernize environmental 87 conditions at hydropower facilities. A National Plan for Modern Environmental Conditions for 88 Hydropower (NAP) was later formulated to renegotiate the environmental permits of all hydropower 89 facilities with permits older than 40 years (Swedish Government, M2019/01769). This plan involves 90 re-licensing each plant through Environmental Court negotiations, preceded by a collaborative process 91 including powerplant owners, authorities and interest groups, to align with current national and EU 92 legislation. To safeguard electricity production and grid balance, key facilities will face less stringent 93 requirements (Swedish Government, M2019/01769). Operators who find modernization too costly may 94 choose to cease operations and remove associated dams (Swedish Government, M2019/01769). This 95 large-scale process, covering around 2000 hydropower plants and dams, commenced in 2022 and is 96 estimated to take approximately 20 years. In December 2022, however, the Ministry of Environment 97 decided to pause the process, initiating a 12-month suspension on January 30, 2023 (Ministry of 98 Environment, M2022/02251). The Ministry of Climate and Enterprise has since extended the pause 99 several times, with the latest extension lasting until July 1, 2025 (SFS 2024:285). Due to Sweden's non-100 compliance with the Water Framework Directive, the European Commission launched an infringement 101 procedure in December 2024, issuing a formal notice [INFR(2024)2236].

Fewer than 40 re-licensing court trials have been completed so far. Nonetheless, several issues have already emerged, including conflicts between electricity production and environmental considerations, fairness in trials, and uncertainties around water-body definitions and classification, ensuring best-practice measures, and monitoring of measure functionality and effects (e.g. County Administrative Boards 2022; Levin 2022; Government Offices of Sweden 2024; Pettersson and Bladh 2024; Sandberg 2024). This underscores the significant need for information and knowledge ahead of the remaining retrials.

Here we evaluate the court verdicts completed so far, focusing on those with a legally binding requirements for remedial measures. We summarize the listed measures and monitoring obligations,

- 111 with particular attention to the critically endangered European eel, *Anguilla anguilla* (Pike et al. 2020).
- 112 Gaps in the requirements are identified, and we provide recommendations for measures and monitoring
- 113 to be included in future retrials.

114 MATERIALS AND METHODS

115 In Sweden, all court decisions are public due to the principle of public access to information (SFS 116 2009:400; Riksdag of Sweden 2009). The court decisions on the re-licensing of the environmental legal 117 conditions for hydropower plants can hence be requested and accessed by anyone. We identified 118 completed retrials via the web application "Strömmen", provided by the Swedish Agency for Marine 119 and Water Management (SwAM, 2024). For the 33 retrials that have been completed until the start of 120 2025 (i.e., decisions that cannot be overruled, in Swedish: har vunnit laga kraft), the court decisions 121 were obtained by requesting them from the respective courts. The decisions were requested and received 122 via email in pdf format (by BJ). From Strömmen, the following information was extracted (by BJ and 123 DN): name of hydropower facility, river, court case number (if applicable supreme court number), court 124 name, decision (retraction of permission - i.e., removal of facility, or granted to continue with modern 125 environmental conditions). From the court verdicts and related discussion in the document, BJ and DN 126 extracted the following information: water flow of the hydropower plant (Q), effect of the hydropower 127 plant (in kW), mean annual flow of the river (MQ), mean low flow of the river (MLQ), upstream passage 128 solutions, downstream passage solutions, requirements concerning type of guidance, maximum angle 129 of rack (in cases where the type of guidance was an alfa or beta rack), maximum gap width of rack (in cases where the type of guidance was an inclined or angled rack), amount of water discharge through 130 131 bypass, eel ramps, type of fishway, required slope of fishway, flow in fishway, minimum flow in 132 fishway, hydropeaking, flow requirements, and monitoring requirements. To obtain specific data related 133 to the European eel, the words "ål", "ålen" and "ålyngel" (i.e., eel, the eel and eel elvers in Swedish) 134 was searched for in the court verdicts (by BJ). In what context eel was mentioned was noted, and other 135 relevant comments in relation to context were also noted (by BJ). Information was extracted from the 136 court verdicts or the comments on the court verdicts, and, while sometimes complemented by 137 information provided elsewhere, the discussions leading to verdict were not taken into account.

138

139 **RESULTS**

Of the 33 completed cases, 22 resulted in permit withdrawal and dam removal (typically at the owner's request) (Fig. 1). In the remaining 11 cases, the court allowed continued hydropower production, contingent on meeting modern environmental conditions (Fig. 1). Ten of these are small-scale plants (<1.5 MW), and one is a regulation dam for downstream hydropower (Table 1). All verdicts emphasize longitudinal connectivity and fish passage, requiring downstream fish passage solutions; 10 of 11 also mandate improved upstream passage (Table 2, and see "passage" subheading). Environmental flow

- 146 received less attention, though most verdicts include minimum flow requirements through fishways and
- some restrict hydropeaking (Table 2, and see "flow" subheading).
- 148



149

Fig. 1. Map of Sweden showing all 33 completed court case locations. The 22 facilities with withdrawn permits
 (dams to be removed) are indicated with blue points. The 11 facilities granted continued hydropower production
 (conditional on meeting modern environmental standards) are indicated with red triangles, with each facility

- 153 labelled by name.
- 154

- 155 Table 1. Descriptive data for the 11 facilities granted continued hydropower production (conditional on meeting
- 156 modern environmental standards), detailing name of the facility, river catchment, court, court case number,
- 157 water flow of the hydropower plant (Q), effect of the hydropower plant (in kW), mean annual flow of the river
- 158 (MQ), and mean low flow of the river (MLQ). Missing information in the court decisions is denoted not
- 159 provided (NP). Note that Kaserna is a regulation dam and not a hydropower facility (hence, water flow and
- 160 effect is not applicable (NA) at this site.

| | | | | Hydrop | oower plant | Riv | er |
|---------------|--------------|------------|------------|----------|-------------|-----------|-------------------------|
| Facility | Catchment | Court | Court case | Q (m³/s) | Effect (kW) | MQ (m³/s) | MLQ (m ³ /s) |
| Husbykvarn | Tämnarån | Nacka | M 593-22 | 6.00 | 340 | 6.12 | 1.03 |
| Ullfors | Tämnarån | Nacka | M 611-22 | 5.00 | 80** | 6.12** | 1.03 |
| Fada | Kilaån | Nacka | M 628-22 | 1.10 | NP | 0.03 | 0.01 |
| Skeppsta | Trosaån | Nacka | M 629-22 | 0.80 | 55 | NF | 0.05 |
| Kengis bruk | Torneälven | Umeå | M 2448-22 | 6.80 | 220 | 157 | 22.6 |
| Kärramölla | Stensån | Vänersborg | M 332-22 | 1.10 | 16 | 1.04 | 0.18 |
| Lingforsen | Fylleån | Vänersborg | M 3419-22 | 1.10 | NP | 1.58 | 0.13 |
| Sandhult Näs | Rolfsån | Vänersborg | M 3466-22 | 0.15 | 15 | 0.07 | 0.01 |
| Ellenö | Örekilsälven | Vänersborg | M 351-22 | 9.80 | 360 | 7.30 | NP |
| Stigen Västra | Örekilsälven | Vänersborg | M 395-22 | 2.50 | 120 | 0.66 | 0.10 |
| Kaserna | Örekilsälven | Vänersborg | M 415-22 | NA | NA | 10.00 | 1.70 |

161 **Provided by the County Administrative Board.

162 **Table 2.** Descriptive data for the 11 facilities granted continued hydropower production (conditional on meeting modern environmental standards), detailing name of the

163 facility, and requirements concerning type of guidance, maximum angle of rack (in cases where the type of guidance was an alfa or beta rack), maximum gap width of rack

164 (in cases where the type of guidance was an inclined or angled rack), amount of water discharge through bypass, eel ramps, type of fishway, required slope of fishway, flow in

165 fishway, minimum flow in fishway, and hydropeaking. Missing information in the court decisions is denoted not provided (NP). Note that Kaserna is a regulation dam and

166 not a hydropower facility (hence, water flow and effect is not applicable (NA) at this site.

| | Downstream passa | age | | | Upstream | passage | | | Flow | | |
|-------------|--------------------|-------|----------------|------------|----------|-----------------|-------|------------|---------------|------------|--------------|
| Facility | Guidance | Angle | Gap width (mm) | Bypass (I) | Eel ramp | Fishway | Slope | Flow (I/s) | Min. flow (I) | No peaking | Reduced rate |
| Husbykvarn | Inclined rack | 35 | 18 | 90 | No | Nature-like** | 2,5% | 330 | NP | Х | |
| Ullfors | Inclined rack | 35 | 18 | 150 | No | Vertical slot | 7% | NP | NP | | |
| Fada | Rack (undefined) | 45* | 18 | NP | Yes | No | NA | NA | 26 | х | |
| Skeppsta | Inclined rack | 30 | 18 | NP | No | Nature-like | 1,2% | 50 | 50 | х | |
| | Large spill + | | | | | | | | | | |
| Kengis bruk | shutdown | NA | NA | NA | No | River | NA | NA | | | |
| Kärramölla | Rack (undefined) | 35 | 13 | 80 | No | Nature-like | 4%* | NP | 180 | | |
| Lingforsen | Rack (undefined) | 35 | 15 | 70 | Yes | Nature-like | 3% | 170 | 170 | х | Х |
| Sandhult | | | | | | | | | | | |
| Näs | Rack (undefined) | 35 | 15 | 30 | Yes | No | NA | NA | 5 | | |
| Ellenö | Inclined rack | 35 | 18 | NP | Yes | No | NA | NA | 220 | х | |
| Stigen | | | | | | | | | | | |
| Västra | Angled rack | 30 | 18 | 100 | Yes | No | NA | NA | 100 | Х | Х |
| Kaserna | Spill (no turbine) | NA | NA | NA | Yes | Vertical slot** | 5% | NP | 1000 | | |

167 *Provided in comment to the court decision (in Swedish: *domskäl*). ** Provided by the County Administrative Board.

168 **Downstream passage**

169 Guidance screens and associated bypasses are required at nine of the 11 facilities (Table 2). Among the 170 required guidance screens, four are inclined (alfa-rack), one is angled (beta-rack), and four are 171 undefined (Table 2). Maximum angles against the flow are 30° (*n*=2), 35° (*n*=6), and 45° (*n*=1), while 172 maximum gap widths are defined as 13 mm (n = 1), 15 mm (n = 2), or 18 mm (n = 6) (Table 2). Bypass 173 pipes leading fish downstream are required together with the rack except at *Fada*, where eels are to be 174 trapped in a traditional eel trap (in Swedish: *ålkista*) and transported downstream past the dam (transport 175 of other species is not mentioned). One facility requires an overlay plate for velocity refuge by the end 176 of the rack (*Lingforsen*). At one facility, the lack of such plate is explained by low water velocity making 177 it redundant (*Ellenö*). For six facilities where guidance racks were required, bypass discharge is defined 178 to 30-150 L s⁻¹. Based on turbine capacity stated in the verdicts, this corresponds to a median of 5.2 % 179 (range = 1.5% - 20 %, n = 6) of the maximum flow through the rack itself. Two facilities did not require 180 guidance screens, Kaserna (the regulation dam) and Kengis bruk. The facility Kaserna, being a 181 regulation dam, does not have a turbine but regulates flow for downstream hydropower plants and 182 therefore the court presumably assumes that fish will safely pass through the only route available. At 183 Kengis bruk, the dam does not cover the full width of the river, and remedial measures to improve 184 downstream passage include reducing the width of a temporary dam, closing the power plant for two 185 weeks during peak salmonid smolt migration (closure triggered by either temperature increases or peak 186 flow), and running the power plant with open sluice gates next to the turbine intake through the bulk of 187 the smolt run. Other fish species are not mentioned.

188

189 Upstream passage

At all facilities except Kengis bruk (where the dam does not span the full river width), some type of upstream passage solution is required (Table 2). This includes fishways that allows the entire fish community to pass, or at least a large part of it (n = 6), or eel ramps for juvenile eels (n = 6) (Table 2). Two verdicts require both an eel ramp and a technical fishway (Table 2). Where only eel ramps are required, this is based on assumptions that naturally, this reach would only be passable for eel.

Among the required fishways, four are nature-like and two vertical slot fishways, (Table 2). Slopes for nature-like fishways range from 1.2% to 4% (Table 2), while the vertical slot fishway has a maximum drop of 15 cm and a slope of 5-7%. Where specified (n = 4), water discharge to the fishways ranges from 50 to 330 L s⁻¹ (Table 2), or 5% to 17% of the river's mean annual flow (MQ) in the three cases with available data. One of the eel ramps requires the downstream bypass pipe to exit near the ramp to provide additional attraction water.

The operation period is defined for three fishways and five eel ramps. Fishways must function year-round, except one site where winter conditions (e.g., ice cover) exempt operation. Eel ramps are mandated to operate from May (n = 4) or June (n = 1), to end of September (n = 2) or mid-October (n= 3). For three facilities (*Lingforsen, Ellenö*, and *Stigen Västra*), operation periods may be adjusted in

- 205 consultation with the County Administrative Board (i.e., the supervisory authority). For the facility 206 Stigen Västra it is further specified that based on climate change and/or new knowledge, operation 207 period adjustments may be needed. In addition, for three facilities (*Ellenö*, Stigen Västra, Kaserna), it 208 is specified for what eel sizes the ramp shall function for (10-40, or 0-70 cm), and the facility Stigen 209 Västra specifies that the eel ladder substrate should accommodate eel in many sizes, from 0-70 cm.
- Fishway placement is detailed in some verdicts, while others require the final setup to be determined in consultation with the County Administrative Board or a fish passage specialist. At one facility (*Lingforsen*), a chain barrier is prescribed to guide fish from the tailrace to the bypassed river where the fishway is located. At another facility (*Husbykvarn*), an additional fishway is required to connect the tailrace to the area downstream the spillway, where the primary fishway is situated. At one site (*Kärramölla*), the power plant must shut down one day per week from September to October to facilitate upstream migration (attraction to the fishway) of salmonid spawners.
- 217

218 Flow and habitat

- 219 Hydropeaking (i.e., short term shifts in turbined discharge to track electricity demands or prices, in 220 Swedish: korttidsreglering) is explicitly prohibited in six verdicts, while two verdicts mandate reduced 221 rates of change in spilled or turbined flow. Despite most dams having bypassed river reaches of different 222 lengths, minimum flow is typically just a consequence of discharge in the fish passage solutions. 223 Mandated minimum flow range from 5 to 610 L s⁻¹ (Table 2) or the current river discharge. Mean annual 224 flow (MQ) and mean low flow (MLQ) are available in the verdict background material for nine 225 facilities. For these, the median environmental flow constitutes 10 % (range: 3% - 17%) of the mean 226 MQ, or 100% (range: 50% – 131 s%) of MLQ. Dynamic or adaptive environmental flows are not 227 mentioned in any verdicts. Downstream habitat restoration to facilitate fish movement is required in 228 three cases (Lingforsen, Kärramölla, Ellenö). No other habitat measures are mentioned in any verdicts.
- 229

230 Monitoring

231 Monitoring requirements in the court verdicts for actions implemented to fulfil modern environmental 232 conditions are limited. For most facilities, monitoring requirements only concern registration of water 233 discharge, or confirming water discharge in fish passage solutions. Evaluation of the function of 234 remedial measures is only required in a few verdicts (Fada, Skeppsta, Kaserna, Kärramölla). At the 235 facility Fada, the functionality of up- and downstream passage facilities should be confirmed using the 236 best available monitoring technique during the first three years post-implementation. This verdict also 237 allows adjustment if conservation status targets (Swedish: bevarandestatus) or environmental quality 238 standards (Swedish: miljökvalitetsnorm) are not met. At the facility Skeppsta, a statement of 239 functionality from an expert is required, but the basis for the statement is not defined. At the facility 240 Kaserna (the regulation dam), evaluation of the functionality of the fish passage solution is also 241 required, again without specifying what the evaluation should contain. For the facility Kärramölla, the

regulatory authority should advise on the evaluation of passage solutions. Regarding eel ramps, monitoring is only mentioned for one facility (*Lingforsen*) where the eel ladder should be checked weekly, no additional information is given in the verdict.

245

246 Withdrawal of permit and dam removals

247 Of the 33 retrials completed so far, 22 led to dam removal (typically at the owner's request). These

248 cases fall outside of the main scope of this study, however, monitoring post-removal-effects is

249 important to understand ecosystem responses, and we therefore present data on dam removals in

short. Seven facilities were small scale (effect below 1.5 MW), and the remaining 15 were listed as

having "unknown" effect (some of which were dams, not hydropower plants). One dam, not even

remains, could be found (Damm vid Småvatten M 580-20). All verdicts list some form of restorative

and/or habitat enhancing measures, and most mandate monitoring efforts.

254

Table 3. Descriptive data for 22 facilities where the retrial led to dam removal, detailing name of facility, river

256 catchment, court, court case number, effect of hydropower plant (unknown or small scale < 1.5 MW). Note that

some facilities are dams and not hydropower plants.

| Facility | River catchment | Court | Court case | Size |
|----------------------------------|---------------------------|------------|--------------------|-------------|
| Mölnbokvarnsdammen | Trosaån | Nacka | M 630-22 | Unknown |
| Bäckland Kraftverk | Ångermanälven/Gådeån | Östersund | M 286-22 | Small scale |
| Fansendammen | Testeboån | Östersund | M 2697-22 | Unknown |
| Grössjö kraftverk | Grössjöån | Östersund | M 284-22 | Unknown |
| Torringens* | Ljungan | Östersund | 1523-23 | Unknown |
| Ovansjö Kraftverk | Ljungan/Stångån | Östersund | M 107-23 | Unknown |
| Skärsätts Kraftverk | Ljungan/Stångån | Östersund | M 1521-23 | Unknown |
| Torrsjö kraftverk | Ljungan/Stångån/Torrsjöån | Östersund | M 1522-23 | Unknown |
| Kölsjödammen | Testeboån | Östersund | M 2699-22/M 545-24 | Unknown |
| Storfallets kraftverk | Kågeälven | Umeå | M 1957-22 | Unknown |
| Forslidens kraftverk | Rickleån | Umeå | M 3634-20 | Small scale |
| Damm vid Småvatten | Örekilsälven | Vänersborg | M 580-22 | Unknown |
| Damm vid Ålevatten | Örekilsälven | Vänersborg | M 578-22 | Unknown |
| Stora Holmevatten | Örekilsälven | Vänersborg | M 582-22 | Unknown |
| Jordals kraftverk | Örekilsälven | Vänersborg | M 368-22 | Unknown |
| Hultafors kraftstation | Rolfsån | Vänersborg | M 3476-22 | Small scale |
| Loviseholms kraftverk | Enningdalsälven | Vänersborg | M 16-22 | Small scale |
| Stockforsens kraftverk | Gullspångsälven | Vänersborg | M 2093-23 | Small scale |
| Ebbarps kraftverk Rössjöholms | Rönne å | Vänersborg | M 505-22 | Small scale |
| kraftstation | Rönne å | Växjö | M 5011-21 | Unknown |
| Söndraby kraftverk | Rönne å | Växjö | M 509-22 | Unknown |
| Västra kvarn | Rönne å | Växjö | M 495-22 | Small scale |

258

259 **DISCUSSION**

260 Since the initiation of the National Plan for Modern Environmental Conditions for Hydropower in 2000 261 to the first pause in 2023, a total of 33 cases have been completed. Of these, 11 facilities were granted 262 continued hydropower production, conditional on fulfilling modern environmental conditions. The 263 remaining 22 cases resulted in permit withdrawal and dam removal (typically at the owner's request). 264 All verdicts focus on longitudinal connectivity and fish passage and are based on present species 265 distribution and environmental conditions. When mentioned, monitoring requirements focus mainly on 266 abiotic factors (e.g., flow in fishway), with only a few verdicts formulating requirements based on 267 function or ecological effects.

268

269 Downstream passage versus guidelines

270 Given the historical relative absence of downstream passage solutions in Swedish rivers (Calles et al. 271 2013), it is encouraging that most verdicts include specific protection and guidance systems to allow 272 downstream passage of fish. Nine verdicts require low sloping racks with small gap-widths to hinder 273 fish from passing through turbines and guide them to a safe route. Versions of such solutions have 274 proven effective for eel (Calles et al. 2021; Tomanova et al. 2023) and juvenile and adult salmon 275 (Nyqvist et al. 2017, 2018; Tomanova et al. 2021). Gap width (Harbicht et al. 2022) and angle (Albayrak 276 et al. 2020) are important characteristic of the guidance rack function. In the assessed verdicts, gap-277 widths and sloping angle ranged between 13-18 mm 30-45°, respectively. Calles et al. (2013) defines 278 best available technique as gap-widths of 10-13 mm and angles $\leq 30^{\circ}$, but do not exclude good 279 performance at slightly higher values. Indeed, good guidance has been reported for gap widths of 15-280 20 mm, and angles of 26-30° (Calles et al. 2021; Tomanova et al. 2023). Passage performance also 281 depends on features like overlay plates and bypass entrance design (Albayrak et al. 2020), and low 282 passage performance is still possible (de Bie et al. 2018). Also, fish sizes up to 20-times the gap-width 283 can pass through these racks (Knott et al. 2023). Therefore, given the technical specifications defined 284 in the verdicts, good passage performance is possible but should not be assumed.

285

286 Upstream passage vs guidelines

Upstream passage solutions adapted for the entire fish community are only required where such passage historically existed. Described slopes in the verdicts aligns with some guidelines (FAO/DVWK 2002; SwAM 2020) but partially breach recommendations in others (Calles et al. 2013; Schmutz and Mielach 2013). Importantly, fish passage success is the product of multiple steps as the fish must approach, enter, pass through, and exit the fishway (Castro-Santos et al. 2009). While slope-recommendations focus on ensuring that the fish can pass through the fishway, the attraction (i.e., finding and entering the fishway) is typically an important source of failure (Bunt et al. 2012). Attraction efficiency depends

on water discharge proportion and entrance placement, and positions close to the barrier and main flow,

295 and discharges of at least 5%, is recommended for small rivers (Calles et al. 2013). Placement is 296 typically considered in the verdicts, and for the three facilities where fishway discharge and mean 297 annual discharge of the river was available, proportions ranged from 1%-10%, aligning somewhat with 298 the guidelines. The ideal fishway position, however, depends on discharge composition. For example, 299 a fishway may have high attraction when most water is turbined, and low attraction when much water 300 is spilled (Hagelin et al. 2019). As placement and water allocation often conflict with electricity 301 production, obtaining good passage conditions typically require data on functionality followed by 302 adaptive designs (Nyqvist et al. 2017).

303 Some locations are considered naturally passable only by juvenile eels, and hence only 304 requiring an eel ramp. Design and placement of the eel ramp is important for its performance (Fjeldstad 305 et al. 2018). Other parameters that may affect the function of eel ramps include longitudinal and lateral 306 slope, climbing substrate, conveyance flow, flow direction, and crest shape, and concerns have been 307 raised that many eel ramps may function poorly (e.g., Watz et al. 2019; Williamson et al. 2025). Very 308 few verdicts specify design or placement, except the facility Fada, where the eel ramp should be 300 309 mm wide, and the facility Stigen Västra, where the ramp substrate should work for fish of many sizes 310 (however, without specifying type of substrate). It is also worrying that the operation period varies, 311 since no reasoning is provided. In Sweden, eel migrate upstream outside the periods specified in the 312 verdicts. For example, glass eel are trapped in the cooling water intake at the Ringhals nuclear power 313 plant already by January/February (Westerberg and Wickström 2016; Jaktén Langert et al. 2025) and 314 migrate upstream in river Viskan throughout October (Sjöholm and Käll 2024). Functioning eel ramps 315 are crucial not only for river connectivity but also for monitoring of recruitment (ICES WGEEL 2024). 316 Notably, the longest European eel recruitment series (1900-2017) comes from such monitoring at the 317 Olidan facility in river Göta älv (ICES WGEEL 2024, unfortunately, the data collection was 318 discontinued 2018). Only one verdict requires regular checks of the eel ramp (Lingforsen), but no 319 information is given on what the checks implies, or if data on eel counts should be collected. To ensure 320 the function of eel ramps and data collection on recruitment, guidelines regarding operation period, 321 placement, design, and monitoring will be needed in future verdicts.

322

323 Flow, habitat, and other impacts

324 All facilities receiving verdicts of modern environmental conditions are small run-of-the-river 325 hydropower plants with very limited water storage capacity. This likely explains the strong focus on 326 passage solutions and the relative omission of habitat and flow related measures, with these restricted 327 to fishway flows, prohibition against hydropeaking or restricted ramping rates. Nevertheless, several of 328 the dams have bypassed river reaches that are most likely degraded (compared to their original lotic 329 state) by water abstraction for hydropower production (Kiernan et al. 2012; Poff et al. 2010). Despite 330 this, environmental flows are only a consequence of flow through the fishway (i.e., all flow goes through 331 turbines or the fishway, there's no additional environmental flow), and habitat concerns in bypassed

332 stretches are largely restricted to downstream passability. Importantly, hydropower mitigation is related 333 to broader ecological functions beyond passage (He et al. 2024), even if impacts on fishes often 334 dominate media and stakeholder attention. Given the high frequency of damming, and hence the lack 335 of lotic river reaches, ignoring flow and habitat effects may be an opportunity lost for Swedish rivers 336 (Göthe et al. 2019).

337 The apparent focus on fish passage solutions in the verdicts also implies that other 338 environmental impacts are largely overlooked. For example, few non-fish related measures are 339 mentioned. While nature-like fishways can facilitate passage for other animals (e.g., invertebrates: 340 Streib et al. 2020), the same is not true for eel ramps. Moreover, flow conditions unrelated to passage, 341 and issues like temperature and gas supersaturation are ignored (Zaidel et al. 2021; Li et al. 2022; Poff 342 et al. 2010). As a result, even with modern environmental conditions, downstream habitats and non-fish 343 species (e.g. birds, semi-aquatic animals, and riparian plants) may remain as impacted as before (e.g., 344 Nilsson et al. 1997; He et al. 2024; Altanov et al. 2025). Even though the re-licensed facilities are 345 relatively small dams and short bypassed river reaches, this oversight may have significant national 346 implications for ecosystem values at risk. This is particularly relevant in Sweden, where the absolute 347 majority of hydropower facilities are small (approximately 1900 plants contribute 6% to the total 348 Swedish hydroelectricity, including $n \approx 1030$ micro-powerplants with effects under 125 kW, Lindblom 349 and Holmgren 2016), with only 208 power plants having an effect over 10 MW, producing 350 approximately 94% of the Swedish hydroelectricity (Lindblom and Holmgren 2016).

- 351
- 352

353 Lack of monitoring requirements

354 Given the inherent compromise between energy production and function, fish passage design is not an 355 exact science. A fishway may follow all guidelines yet still perform poorly due to issues with attraction, 356 entrance, or conditions after passage (Nyqvist et al. 2016; Hagelin et al. 2019). Hence, bypasses can 357 become mortality traps instead of safe passage routes (Nyqvist et al. 2016), and even well-functioning 358 fishways can cause delays due to low attraction efficiency (Hagelin et al. 2019). It is therefore worrying 359 that most court verdicts gloss over post-construction monitoring, with only three explicitly requiring 360 evaluation of function. Additionally, the empirical evidence for many mitigation measures is relatively 361 vague, making detailed design requirements without corresponding functionality requirements, 362 problematic (Rogosch et al. 2024). Monitoring, evaluation, and adaptation are key for successful 363 restoration in general, particularly for fish passage solutions (Rogosch et al. 2024). Some verdicts allow 364 adaptive passage solution adjustments if conservation status targets or environmental quality standards 365 are not met. This is, in general, a sound approach that inevitably rely on monitoring. It is however 366 important to consider that environmental indices, used in e.g. ecological status assessment, are 367 indicative, not definitive, with respect to ecological status assessment, and carry substantial

uncertainties and in some cases flaws (Löfgren et al. 2009; Näslund et al. 2022). Hence, clear
 monitoring objectives are necessary for proper evaluations.

370 The hydropower facilities that have completed re-licensing so far are small, making substantial 371 monitoring appear costly relative to production values. Instead of being a potential argument against 372 monitoring and evaluation, however, this can be seen as an incitement for an industry-wide approach: 373 coordinated monitoring across sites could be more productive than isolated efforts. For example, 374 studying fish passage efficiency and environmental flows at multiple locations could inform on what 375 works under specific conditions (Weber et al. 2018). Such an approach would evaluate specific 376 mitigation effort types while also expanding our knowledge on mitigation solutions in general. Results 377 could suggest adaptations for existing solutions and inform future court processes. Requiring 378 functionality and monitoring appears fundamental for a successful re-licensing process, and it's 379 omission a lost opportunity to the detriment of our rivers.

380

381 Dam removals – opportunities from a wider management perspective

382 Of the 33 retrials, 22 led to permit withdrawals and dam removals, typically at the owner's request. 383 Given that dam removal eliminates environmental issues related to longitudinal connectivity and natural 384 flow dynamics (provided sufficient post-removal river channel restoration), this is encouraging from a 385 river ecology perspective. It is also noteworthy that dam removals present an opportunity to contribute 386 to the 25000 km of free-flowing river sections mandated (at the EU-wide level) by the recently 387 implemented EU Nature Restoration Regulation (EU 2024/1991). This, however, requires consideration 388 of additional environmental measures in terms of restoring lateral river connectivity in reaches up- and 389 downstream of the removed dam, likely by other actors than the dam owners. Synchronized planning 390 and a holistic approach at larger spatial scales could benefit river- and riparian ecosystems and create 391 synergistic positive effects on ecosystem services (Stoffers et al. 2024). Taking the opportunity to 392 monitor ecological effects of the dam removals, from a central agency perspective, could also inform 393 future restoration- and hydropower mitigation projects, including insights on ecosystem recovery rates.

394

395 Conclusion

396 We conclude that while fishways (and/or eel ramps) are covered in most verdicts where continued 397 hydropower production was granted, the focus on a few design components rather than actual 398 functionality risks poor passage efficiency. The lack of monitoring requirements means that even if 399 passage efficiency is high, it will be undocumented. We propose that fishways should enable passage 400 for the entire fish community, which typically requires site specific adaptive design and monitoring of 401 functionality (Nyqvist et al. 2017; Silva et al. 2018). For eel ramps, guidelines on placement, design, 402 and monitoring should be followed (e.g., Fjeldstad et al. 2018; Watz et al. 2019; Williamson et al. 2025), 403 and the operation period should cover the entire migration period (Westerberg and Wickström 2016; 404 Sjöholm and Käll 2024; Jaktén Langert et al. 2025). Beyond passage, other parameters should be

| 405 | covered, inducing but not limited to habitat restoration, ensuring flow and avoiding dry stretches, |
|--|--|
| 406 | avoidance of warming and gas supersaturation, and inclusion of non-fish organisms (e.g., Nilsson et al. |
| 407 | 1997; Zaidel et al. 2021; He et al. 2024; Altanov et al. 2025). We emphasize that dam removals represent |
| 408 | an unprecedented chance to contribute to improved ecological integrity in our waters, as well as to the |
| 409 | mandated goals within the recently implemented EU Nature Restoration Regulation (EU 2024/1991). |
| 410 | The vast nation-wide Swedish retrial process provides a unique opportunity to implement measures and |
| 411 | monitoring to improve not only connectivity, but the whole riverine ecosystem. |
| 412 | |
| 413 | |
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