

1 **Deep-learning technology provides insights into the morphological evolution of**
2 **birds (preprint v1)**

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13 **Abstract**

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15 The evolution of biological morphology is critical for understanding the diversity of
16 the natural world, yet traditional analyses often involve subjective biases in the
17 selection and coding of morphological traits. This study employs deep learning
18 techniques, utilizing a pretrained ResNet34 model capable of recognizing over 10,000
19 bird species, to explore avian morphological evolution. We extracted weights from the
20 model's final fully connected (fc) layer to create vector representations of avian
21 species and assessed their similarities using cosine similarity metrics. The results
22 demonstrated multiple clustering patterns with or without biological meaning. Some
23 clustering results are consistent with traditional classifications based on morphology,
24 some are consistent with modern cladistic classifications, and some show behavioural
25 and ecological similarities. Despite these insights, some clusters indicated the
26 influence of non-biological image features on clustering outcomes. This study
27 underscores the potential and limitations of using deep learning approaches in
28 morphological evolution studies.

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30 **Key words:** bird, biodiversity, clustering, deep learning, morphological evolution.
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Introduction

The evolution of biological morphology plays a crucial role in shaping the diverse natural world we observe today. It provides insight into the adaptation and survival of species over time, influencing various ecological interactions and the functioning of ecosystems. Traditionally, analyses of morphological evolution have involved subjective elements, as even quantitative analyses based on morphological traits require human intervention in the selection and coding of these traits (Clark et al., 2023). This subjectivity can introduce biases, affecting the accuracy and reliability of evolutionary interpretations.

To address these limitations, our research employs advanced deep learning technologies, specifically Convolutional Neural Networks (CNNs). CNNs, popularized by LeCun et al. (1989), are designed to automatically learn hierarchical features from image data, making them exceptionally suited for visual recognition tasks. By utilizing pretrained image recognition models, we can leverage the learned weights as indicators of morphological traits of various species, providing a more objective basis for understanding biological evolution.

In our study, we utilized the model trained by Sun (2025) and calculated the cosine similarity between classes using the weights extracted from the fully connected layer (fc). This methodology enabled us to perform hierarchical clustering based on the cosine similarities, yielding insights into the morphological evolution of avian species. While this approach shows promise, it is important to note that it has significant limitations; some results may lack biological meaning. However, as the development of deep learning technologies and citizen science contributes to the vast accumulation of biological imagery, there is substantial potential for these methods to enrich our understanding of morphological evolution in the future.

Materials and Methods

Materials

In our study, we utilized the model provided by Sun (2025), which is based on the ResNet34 architecture and is capable of recognizing over 10,000 bird species with an accuracy of approximately 90%. The original weight data for this model is available on Hugging Face, a popular platform for sharing machine learning models and datasets.

Methods

We began by extracting the weights from the final fully connected layer (fc) of the ResNet34 model. Each class's weights were treated as a vector to analyze the relationships between different avian species based on these representations.

To assess the similarity between various species, we employed cosine similarity. Initially, all vectors were subjected to L2 normalization to ensure that they each had a unit length. Following this normalization, we performed dot product calculations on the normalized vectors. This method is equivalent to computing the cosine similarity of the original vectors, providing a meaningful metric for evaluating the relationships among the species. For this implementation, we utilized built-in functions from the PyTorch library, which facilitated efficient computation (Ansel et al., 2024).

Next, we conducted agglomerative hierarchical clustering using the average linkage method to merge clusters. This was executed with the hierarchical clustering functionalities implemented in the SciPy library (Gommers et al., 2025). The hierarchical structure of the clusters was output in Newick format, a widely used format in computational biology for tree structures. Finally, we utilized ETE3 to export the clustering dendrogram in SVG format and conducted manual analysis (Huerta-Cepas et al., 2016).

Result

Our methodology was successfully applied in the completion of the clustering process, resulting in a comprehensive hierarchical clustering output. The agglomerative clustering technique applied to the cosine similarity measures of the weight vectors yielded a dendrogram that illustrates the relationships between the different avian species based on their morphological features learned by the ResNet34 model.

Discussion

The results of our clustering analysis highlight some critical insights as well as important limitations of deep learning techniques in studying morphology. One significant concern is the issue of interpretability in deep learning models. These models often seek local optima rather than global solutions, leading to clustering outcomes that may not possess real biological meanings.

Currently, the discussion section covers only a limited number of taxa, and we aim to report potential patterns that may carry biological relevance. Notably, the four genera referred to as “fulvetta,” which were traditionally considered similar and related (Pasquet et al., 2006), did not fall into a single cluster. Instead, we found that *Fulvetta* and *Lioparus* clustered with most parrotbills (Paradoxornithidae), aligning with their modern taxonomic classification. On the contrary, the genera *Schoeniparus* (Pellorneidae) and *Alcippe* (Alcippeidae) fell into separate clusters, which suggests that deep neural networks can tell the morphological differences among the “fulvettas.”

Another intriguing finding involves the *Pseudopodoces humilis*, a species

morphologically similar to the genus *Podoces*, while is classified within the family *Paridae* based on molecular phylogeny. Yet, this species clustered with the “snowfinches” (*Onychostruthus*, *Pyrgilauda*, *Montifringilla*), indicating possible behavioural and ecological similarities. Both are secondary cavity-nesting birds, often found cohabiting with members of the family Ochotonidae in the Tibetan Plateau (Lu et al., 2011).

We observed that Galliformes and Tinamiformes were nested into a single cluster, pointing to similar morphology traits according to the model. Moreover, the clustering of most species of Strigiformes and Caprimulgiformes into adjacent clusters. However, some nightjar species were incorrectly placed within the owl cluster, potentially suggesting morphological convergence due to adaptations for nocturnality. Alternatively, this could merely reflect that most images were captured at night, leading the deep learning model to perceive them as similar.

Nonetheless, several groupings identified in our analysis evidently lack biological significance. For instance, the close clustering of the *Nymphicus hollandicus* and *Melopsittacus undulatus*, while not aligned with other members of Psittaciformes, is likely due to their wide captivity, leading the model to learn human presence or man-made objects in the images. Additionally, many extinct species clustered together, possibly due to their representation via skeletal images, artistic reconstructions, or other non-biological patterns. Furthermore, some of the recently described species or newly separated cryptic species grouped together, which might reflect insufficient image data, leading to underfitting of the model.

Looking ahead, we plan to expand our analysis by developing code to assess the morphological disparity among different orders. We believe that this methodology may also contribute to the study of avian vocalizations.

Code accessibility

Code: https://github.com/sun-jiao/osea_morpho_evo

Model: <https://huggingface.co/sunjiao/osea>

Conflict of interests

The author has no conflict of interests.

Author contribution statements

JS designed the project, programmed the python script and drafted the manuscript.

Supplementary files

- class_similarity.csv: similarity matrix of all “classes” (species)
- morphology_clustering.tre: the clustering result
- tree_output.svg: the image version of the clustering result

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