# 1 Agroecological farming promotes yield and biodiversity but may

# <sup>2</sup> require subsidy to be profitable.

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### 14 Abstract

15 1. Intensive arable agriculture uses agrochemicals to replace ecosystem services (e.g. pest 16 control and soil health) while simultaneously degrading others (e.g. pollination). 17 Agroecological farming aims to reduce this reliance. Whether these practices maintain yields 18 at a scale relevant to farm business viability is unclear. 19 2. In a 4-year replicated study across 17 English farms we assessed the ability of farmer co-20 designed agroecological systems to support regulating services, beneficial invertebrates, crop 21 yield, and profitability. We test three management systems: 1) 'Business-as-usual (BAU)' 22 control; 2) 'Enhancing-ES' supporting beneficial invertebrates with wildflower field margins 23 and protecting soils with cover crops; 3) 'Maximising ES' with the further addition of soil 24 organic matter and in-field strips to bring beneficial invertebrates into the crop. 25 3. Soil carbon stocks were highest in the Maximising-ES system. Predation and pollination 26 ecosystem services were higher in the Enhancing-ES and Maximising-ES systems, as were 27 earthworms and other populations of beneficial predatory and pollinating invertebrates. Pest snail biomass was also lowest in the Enhancing-ES and maximising-ES systems, although 28 29 aphid numbers were higher. 30 4. The Enhancing-ES and Maximising-ES systems increases yields of cereals and oilseed rape. 31 However, the loss of productive agricultural land and establishment costs exceeded the value 32 of increased yields. Only Enhancing-ES breaks even only with agri-environmental subsidies. 5. These results highlight that while evidence for the role of ecosystem services in supporting 33 34 crop yield can be found, overcoming economic constraints within conventional farming 35 systems is likely to be a key barrier to widespread uptake. Agri-environmental subsidy 36 payments can offset these costs, but only for moderate interventions. Transition to more 37 sustainable farming systems need to overcome these economic constraints with new policy 38 interventions.

40 Keywords: Agroecological; Arable; Biodiversity; Ecosystem services; Farming; Profit; Sustainable
41 intensification; Yield.

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## 43 6. Introduction

44 The intensification of global agriculture has devolved reliance on natural ecosystem services to 45 agrochemicals and crop breeding, while simultaneously losing semi-natural habitats (Stoate et al. 46 2009; Pywell et al. 2015; Priyadarshana et al. 2024). In the short term this has increased yields at a 47 level inconceivable in previous centuries but has come with negative externalities like biodiversity loss, nutrient losses and pollution burdens (Stoate et al. 2009; Tscharntke et al. 2012; Woodcock et 48 49 al. 2016b; Defra 2023). Further, the reliance on agrochemicals may have resulted in 'intensification 50 traps' whereby declines in biodiversity and linked ecosystem services drive ever greater dependence 51 on high input intensive agriculture (Burian et al. 2024). This may over the long term threaten food 52 production through evolution of pesticide resistance (Hawkins et al. 2019) and reduced resilience to 53 climate change (Kane et al. 2021). Increased public awareness of the unsustainable nature of these 54 systems has prompted both ground up interest (e.g., organic and regenerative farming movements) 55 and top-down policy approaches to elicit system change, e.g. the EU Farm-to Fork strategy or the UK 56 Sustainable Farming Incentives (Cusworth, Garnett & Lorimer 2021; Jaworski et al. 2024).

Longer-term agricultural sustainability has become increasingly mainstream (Pywell *et al.*2015; Wezel *et al.* 2020; Heller *et al.* 2024). Such agroecological systems enhance regulating and
supporting ecosystem services by increasing soil organic matter (Heller *et al.* 2024), reducing periods
of bare soil (e.g. with post harvest temproary cover crops; Hufnagel, Reckling & Ewert 2020; Heller *et al.*2024) as well as creating semi-natural habitat to increase natural pest control and pollination
(Batary *et al.* 2015; Pywell *et al.* 2015; Priyadarshana *et al.* 2024). These approaches can be

supported by agri-environmental scheme payments dictated by policy, as well as through industry,
natural capital markets, or farmer led initiatives (Batary *et al.* 2015; Pywell *et al.* 2015; Hufnagel,
Reckling & Ewert 2020).

66 Considerable research effort has attempted to quantify the benefits of agroecological 67 farming practices in supporting biodiversity, beneficial invertebrates and soil functions (Tscharntke et 68 al. 2012; Batary et al. 2015; Pywell et al. 2015; de Graaff et al. 2019; Heller et al. 2024). Some 69 studies have identified benefits for regulating ecosystem services (e.g. pest control and pollination) 70 and yield (Pywell et al. 2015; Woodcock et al. 2016a; de Graaff et al. 2019). However, the extent to 71 which yield gains offset economic losses associated with establishment costs and land lost from 72 productive agriculture (e.g. wildflower field margins don't directly produce crops) is rarely considered 73 (Pywell et al. 2015). The dynamic characteristics of both economic and biological systems make 74 such assessments complex, particularly when the contribution of regulating and supporting 75 ecosystem services have high variability. Understanding these impacts over multi-year timescales is 76 necessary to quantify how the cost of interventions and their associated benefits alter through time. 77 Such research needs to be undertaken across the real-world heterogeneity of commercial farms to 78 understand how viable agroecological farming practices are in practice (Pywell et al. 2015; DeLonge, 79 Miles & Carlisle 2016). This evidence is crucial for farmer decisions to adopt sustainable 80 agroecological systems (Goulet, Aulagnier & Fouilleux 2023).

Here we address these issues through a multi-site experiment across a 4 year rotation of 17 English arable farms. Superimposed over normal management practices for each farm were three field scale management systems: i) 'Business as usual' (BAU) considered as a conventional management control; ii) 'Enhancement of ecosystem services' (Enhanced-ES), a simple agroecological farming system incorporating wildflower strips at field margins to promote populations of beneficial insects and cover crops to reduce soil erosion over the winter; iii) 'Maximization of ecosystem services' (Maximise-ES), which adds to the Enhancing-ES management 88 system in-field flower strips to reduce field sizes and promote spill-over of beneficial insects in to the 89 crop with addition of organic matter to soils. These systems were co-developed with farmers to be 90 compatible with conventional arable farms. We considered the impacts of these systems on 91 beneficial invertebrates, regulating ecosystem services (pollination and pest control), supporting 92 services (soil carbon), and ultimately yield and profitability. We tested the hypotheses: H1) 93 Agroecological management practices enhance beneficial invertebrates and associated regulating 94 ecosystem services; H2) Improving these regulating services led to increased crop yields; H3) 95 Increases in yield are sufficiently high to offset land lost from production and management costs 96 leading to a net benefit for farm profitability.

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## 98 **2. Materials and Methods**

#### 99 2.1 Experimental design

The experiment was undertaken over four years and replicated across 17 farms in England. Crops and their rotations varied between farms, but typically included winter wheat, other cereals (spring and winter oats and barley), oilseed rape and a break crop (e.g. beans; Table S3). All farms were managed using agrochemicals.

104 We identified agroecological farming practices in collaboration with our cohort of farmers 105 that would be suitable for integration into their farming systems. These were: 1) created non-crop 106 semi-natural habitat: These provide foraging resources, refuges and overwintering habitat for 107 beneficial invertebrates including pollinators, predators and parasitic invertebrates (Pywell et al. 108 2015). We created two types of non-crop habitat interventions that could be integrated into our 109 management systems. The first was 6m wide wildflower strips sown on field edges, which have been 110 widely demonstrated to support populations of beneficial invertebrates (Batary et al. 2015; Pywell et 111 al. 2015; Woodcock et al. 2016a). The second was 6m wide in-field strips established every 96m

112 (three spray boom widths) running the length of the field to break up the cropped area. These in-113 field strips were intended to promote movement and spill-over of beneficial invertebrates into the 114 crop (Defra 2023). Both were established by sowing 22 species of flowering forbs and grasses (Table 115 S1). 2) Cover crops: When Spring sown crops (e.g. spring barley) are sown cover crops provide 116 vegetative ground cover over the winter period protecting soils that would otherwise leave bare and 117 so prone to erosion. They also improve drainage and act as green manure. Cover crops were 118 established using low-cost agricultural varieties of black oats, radish, and some flowering plants 119 (Table S2). 3) Organic matter, Farmyard manure from cattle, but also in some cases as green 120 composted waste, applied in the winter prior to the first experimental harvest year. This would 121 provide direct soil fertilisation, reduce compaction, and support beneficial soil fauna (Pulleman et al. 122 2005).

123 Building again on farmer led co-design the three classes of management practices were 124 combined into management systems along a hypothesised gradient of agroecological enhancement 125 (Fig. 1). Each management system was applied to a randomly selected field on that farm (three fields 126 per farm, one corresponding to each of the management systems). Mean field sizes were 11.1 ha 127 (SE±0.53, range 5.33-22.1 ha). Within a farm and for a given year the three fields were part of the 128 same rotation, i.e. growing the same crop. These were established at 15 of the farms in autumn 129 2017 (monitored over 4 harvest years from 2018 to 2021), with the remaining two farms established 130 in autumn 2018 (monitored from 2019-2021; Table S3). The three management systems were: 131 Business as usual (BAU) control: here the conventional crop specific management practices typical to a given farm were in operation. Soil fertility and pest control depended primarily on inorganic 132 133 fertilisers and pesticides; Enhancement of ecosystem services (Enhancing-ES): normal crop 134 management practices continued, however, wildflower field margins were along  $\geq$  50% of the 135 perimeter of the field (Table S4). Cover crops were sown preceding spring crops; Maximization of 136 ecosystem services (Maximising-ES): In addition to practices used in the Enhancing-ES system 137 between 1 and 3 in-field strips were established depending on the size of the field (Table S4). In

addition, 30 tonnes ha<sup>-1</sup> of organic matter was added in the winter before the first sampling year.

139 Farmers participating in this study applied pesticides consistently across all three treatments.

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#### 141 **2.2.** Quantifying crop regulating ecosystem services

142 We focused all assessments on wheat, barley, oats (spring or winter sown crops) and winter oilseed 143 rape. These represent the largest and most economically important crops in the UK. Sampling was undertaken along four transects in each field at 12, 24, 48 and 96m intervals from the crop boundary 144 (16 in-crop sampling points per field; Fig. 1). The following assessments were undertaken at each 145 146 sampling point (Supplementary Methods for full detail). Slug predation: Slug predation by ground 147 beetles was assessed using six artificial slugs (3 small at 15 x 3mm, and 3 large at 5 x 30mm) made 148 from non-toxic plasticine placed out in May and June. Visual predators, like ground beetles, will 149 attack these fake slugs (Howe, Lövei Gabor & Nachman 2009). The average number of artificial slugs 150 with bites at sampling point was quantified. **Aphid predation:** At each sampling point a 2 × 10 cm 151 piece of card with five live Sitobion avenae aphids glued to it was attached to a wheat tiller. These 152 were left in place for 24 hours and the average number of aphids eaten was assessed at the field 153 scale (Winqvist et al. 2011). These assessments were undertaken in May and June on winter sown 154 cereals only. Aphid parasitism: Ten cereal stems or 10 racemes of oilseed rape were hand searched 155 in April and June for parasitized aphid mummies. The total mean abundance per sampling point was 156 determined. Pollination services: For oilseed rape two plants of similar size were identified at each 157 sampling point during the pre-flowering phase in March. Insect pollinators were excluded from one 158 plant with a fine mesh net bag. The other plant was the control exposed to insect pollinators. 159 Pollination attributable to pollinators was determined as the average yield of the control plant minus 160 that of the bagged plant in a field. Soil carbon stocks: Soil organic carbon stocks (g. cm<sup>3</sup>) were 161 estimated as bulk density × percentage soil carbon determined from in field soil cores and

accounting for inorganic carbonates. This was assessed once at the end of the study after the finalharvest (winter 2021).

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#### **2.3.** *Quantifying beneficial invertebrates supporting regulating services.*

166 The average sampling point abundance of beneficial invertebrates for each field was annually 167 assessed (Supplementary Methods). Parasitic wasps in the crop: A vortis suctions sampler was used 168 to collect parasitic wasps associated with (i) wheat crop pests; and (ii) oilseed rape pests in April and 169 June each year. Ground active predators: Abundance (activity density) of ground beetles, rove 170 beetles and spiders was assessed using pitfall traps at each sampling point in April and June (20 days 171 annual sampling). Canopy active predators: In April and June inspection of cereal tillers or oilseed 172 racemes was used to count the summed abundance of predatory invertebrates, principally 173 aphidophagous hoverflies, lacewings, ladybirds and spiders. Crop earthworm counts: Eight 20 x 20 174 x 20 cm soil monolith were extracted in October and hand sorted counting deep burrowing anecic 175 earthworms. *Pollinator communities in field margin areas*: In June and July 10 x 2 m off-crop 176 transects running along the field edge were used to sampling bees, hoverflies and parasitic wasps 177 following restrictions for weather given by Pollard and Yates (1993) (Fig. 1).

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## 179 **2.4.** Quantifying occurrence of crop pest species

Aphids: All aphids were counted during inspection of cereal tillers and oilseed racemes when
 quantifying canopy active predators. Snails and slugs: Wheat mash baited saucer traps were used in
 May of each year to sample slugs and snails. Average biomass for each group was derived. Arable
 Weeds: A 0.5 × 0.5 m quadrat was placed at each in-crop sampling point in June and counts of all
 economically important arable weed plants. We also counted tillers of Black grass (Alopecurus
 myosuroides) as a major pest in wheat.

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#### 187 **2.5.** Measuring crop yield

188 Yield was directly measured in each field for cereals and oilseed rape at each of the 16 within field 189 sampling locations by hand harvesting the crop from 0.5 × 0.5 m quadrats. These were hand 190 processed to remove chaff before calculating mean yield (tonnes ha<sup>-1</sup>). We used precision yield from 191 GPS linked combine harvesters to validate these estimates. This was available from 36 field and year 192 combinations, (c. 24% of the fields monitored across years) and showed a strong correlation with in-193 field quadrat measurements (Fig. S1. Precision yield =  $0.93 + 0.77 \times quadrat$  yield, F<sub>1.34</sub>=46.7, p<0.001,  $R^2$ =0.58). As the quadrat-based approach underestimated yield relative to the precision agriculture 194 195 yields this equation was used as a correction factor. This was done to avoid systematic under-

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#### 198 **2.6.** Economics of agroecological farming systems

estimation of field productivity.

199 The following summarises the economic assessment, with full detail provided in the 200 Supplementary Methods and Table S5. To assess the annual balance of profit and loss for each 201 farming system (cereal and oilseed rape), a base line predicted profit per field (GBP £ per field) was 202 determined from the measured yield and crop prices (4 year mean of published commodity prices 203 2018-2021). We deducted the costs associated with of loss of productive land (e.g. the value of 204 foregone crop production), and consumable and management costs for the Enhancing-ES and 205 Maximising-ES systems for each field and year. For the UK governmental agri-environmental scheme 206 (AES) payments are available for field margins, infield strips and cover crops established in the 207 Enhancing-ES and Maximizing-ES systems (Defra 2023). We therefore also derived profit (GBP £ ha<sup>-1</sup>) 208 accounting for these subsidies (Table S5).

210 2.7. Analysis

211 We assessed the response of yield (crop tonnes ha<sup>-1</sup>) and profit (GBP £ ha-1) with and without AES 212 government subsidies to the management system (BAU, Enhancing-ES, Maximising-ES), year since 213 establishment, crop type (spring and winter sown wheat, barley and oats as well as oilseed rape) 214 and all interactions using general linear mixed models within Ime4 in the R Statistical Environment 215 (Bates et al. 2010; R\_Core\_Development\_Team 2023). Random effects for the intercepts were 216 specified as year since establishment nested within farm. Using the same generalised linear mixed 217 model structure, regulating service provision (i.e. slug predation, aphid predation and oilseed rape 218 pollination; soil carbon), beneficial invertebrate mean abundance (i.e. earthworms, ground beetles, 219 rove beetles, spiders, hoverflies, bees and parasitoids) and crop pests (e.g. slug and snail biomass or 220 weed counts) were assessed in response to management system, year and their interaction. The 221 response metrics were all continuous (e.g. average plot abundance) and so modelled initially using a 222 Gaussian distribution with identify link. Assessment of these initial models was undertaken using 223 the DHARMa package (Hartig 2022). In most cases deviations identified by this process were 224 addressed using a log<sub>e</sub> (N+1) transformation of the response. For continuous but overdispersed data 225 a Tweedie distribution with log link was used within glmmTMB (Brooks et al. 2017). Models were 226 simplified using deletion of least significant effects using either likelihood ratio tests F-test (normally 227 distributed) or  $\chi^2$  (Tweedie distributed). Data were excluded for three occasions where the crops in a 228 field for a particular year failed to establish. Some analyses were restricted to crops where that data 229 was collected (i.e., pollination and oilseed pest parasitoid abundance to oilseed rape, cereal 230 parasitoid abundance to cereal crops, and aphid predation to winter wheat).

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## 232 **3. Results**

Across the 17 sites and 4 years 246,232 surface active predators were collected from the crop

(115,191 ground beetles, 35,299 rove beetles and 95,742 spiders), 30,435 parasitic wasps and 11,791

aphids of which 16.7% (1,974) were parasitized. Within the field margins 2,431 bees and 4,477
hoverflies were recorded. Overall, 67.6% of crops were cereals (43.1% spring and 56.9 % winter
sown) with winter wheat being the most frequent of these (39.2%).

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## 239 **3.1. Supporting and regulating ecosystem services**

240 Supporting and regulating ecosystem services benefited from the establishment of agroecological 241 farming systems. Soil carbon stocks were found to differ between the three management systems 242 (F<sub>2, 33.2</sub>=13.8, p<0.001; Fig. 2a), being significantly higher within the Maximising-ES treatment than 243 the BAU control ( $t_{30}$ =3.14, p<0.001). Aphid predation was significantly higher in the Enhancing-ES 244 and Maximising-ES treatments (F<sub>2.51.4</sub> =9.79, p<0.01, Fig. 2b). Neither slug predation rates (F<sub>2,93.3</sub>=1.99, p>0.05; Fig.2c) nor counts of mummified aphids ( $\chi^2_1$ =4.99, p<0.1; Fig. 2d) differed 245 246 significantly between the management systems. However, in both cases there was a non-significant 247 trend for these to be higher in the Maximising-ES treatment. Seed set of oilseed rape attributable to 248 insect pollination differed between the management systems ( $F_{2,9}$  =6.19, p=0.02; Fig. 2e) with the 249 Enhancing-ES system being marginally higher than BAU (t<sub>9</sub>=2.13, p=0.06) and significantly higher for 250 the maximising-ES treatment ( $t_9$ =3.49, p<0.01). Overall effects of years since establishment were 251 identified for aphid parasitism ( $\chi^2_1$ =24.9, p<0.001) and aphid predation (F<sub>3,23.7</sub> =5.11, p<0.01). This 252 was not a continuing temporal trend but varied in magnitude between years. No year and 253 management system interactions were found (p<0.05).

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255 **3.2. Populations of beneficial invertebrates** 

The provisions of within crop ecosystem services are underpinned by components of native biodiversity present within the crops and immediate surrounding habitat. There was evidence that the agroecological management systems increased population sizes for anecic earthworms 259 (Treatment × year: F<sub>6,97.6</sub> = 2.49, p=0.03; Fig 1f), spiders (F<sub>2,96.5</sub> = 7.36, p<0.01; Fig 1n), crop-canopy predators ( $\chi^2_2$ =8.03, p=0.02; Fig. 2k), bees (Treatment × year:  $\chi^2_6$ =14.2, p=0.03; Fig 2m) and hoverflies 260 (Treatment × year:  $\chi^2_6$ =13.7, p=0.03; Fig. 2n). For these the Maximizing-ES systems supported higher 261 populations than the BAU control, with the Enhancing-ES system supporting greater populations 262 263 than the control only for earthworms, spiders, bees, and hoverflies. For the earthworms and 264 hoverflies, the significant year and management system interaction showed that the first year of 265 monitoring had lower population sizes. Management system had no significant effect on within crop 266 abundance of ground beetles (F<sub>2,95.1</sub>=0.54, p>0.05; Fig2h), rove beetles (F<sub>2,96.9</sub>=1.90, p>0.05; Fig. 2j), 267 cereal pest parasitoids (F<sub>2,78.2</sub>=1.62, p>0.05), oilseed rape parasitoids (F<sub>2,18</sub>=0.08, p>0.05; Fig. 1g), or 268 the off crop field margin parasitoid density ( $F_{2,100.2}$ =0.18, p>0.05; Fig 2o). There was an unexpected significant negative effect of management treatment of margin-active parasitoids ( $\chi^2_2=0.04$ , p=0.04; 269 270 Tweedie distribution; Fig 2o) indicating abundances were lower in general in the maximising-ES 271 treatment than the BAU (z=2.58, p<0.01). Significant year effects were seen for earthworms ( $F_{3,37.0}$ 272 =6.80, P<0.001), crop-canopy predators ( $\chi^2_3$ =8.91, p=0.03), oilseed pest parasitoids ( $F_{3,9.0}$ =6.80, 273 p=0.01) and cereal pest parasitoids (F<sub>3, 28.1</sub>=2.96, p=0.04). No other significant effects were found 274 (p>0.05).

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#### 276 **3.3. Pest populations within the crop**

Creating farming systems that may benefit beneficial invertebrates runs the risks of also promoting pest populations. Aphid numbers responded to management system ( $\chi^2_2$ =6.00, p=0.05; Fig. 2s) with the Maximising-ES treatment having slightly higher numbers than the BAU control (z=2.14, p=0.03). Snail biomass was affected by an interaction between management system and year ( $\chi^2_6$ =15.7, p=0.02; Tweedie distributed; Fig. 2q), although the overall trend was for lower biomass in the enhancing-ES (z=-3.10, p=0.02) and maximising-ES treatments (z=-3.16, p<0.001) relative to the control. Management system had no effect on slug biomass ( $\chi^2_2$ =1.07, p>0.05; Fig. 2p) and arable weed abundance ( $\chi^2_2=0.23$ , p>0.05; Fig. 1s). While Black Grass tiller abundance was not significantly affected by management system, there was a trend of it being lower in the Enhancing-ES and Maximising -ES systems ( $\chi^2_2=0.89$ , p>0.05; Fig. 2r). Aphids ( $\chi^2_3=19.9$ , p<0.001), slug biomass ( $\chi^2_3=17.7$ , p<0.001) and arable weeds ( $\chi^2_3=19.4$ , p<0.001) showed inter annual variation. No other significant effects were identified (p>0.05).

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#### 290 3.4. Yield and Profitability

291 Yield represents how effective our agroecological management systems have been in promoting key 292 ecosystem services. Crop yield differed between the management systems ( $F_{2,97.6}$ =6.01, p<0.01), with 293 higher yields within the cropped seen for both the Enhancing-ES ( $t_{97}$ =2.87, p<0.01) and Maximising-294 ES (t<sub>97</sub>=3.14, p<0.01)) management systems relative to BAU control (Fig 3a). Yield was also affected 295 by crop type (F<sub>6,47.1</sub>=29.5, p<0.001) but not an interaction between crop and management system 296 (p>0.05). Model estimates suggested that relative to the BAU control the enhancing-ES treatment 297 increased yields by 0.30 (SE  $\pm 0.11$ ) tonnes ha<sup>-1</sup> across all crops and by 0.32 (SE  $\pm 0.10$ ) tonnes ha<sup>-1</sup> for 298 the maximising-ES system. Yield was significantly affected by year, although there was no clear 299 temporal trend with the second and fourth years being higher yielding ( $F_{3,38.7}$ =6.36, p<0.01).

300 Agroecological management comes at a cost in terms of the management to establish it as 301 well as crop yields forgone to land converted to field margins and in-field strips (Table S4). Profit 302 affects farmer attitudes to viability and adoption for agroecological farming systems. Profit was 303 significantly affected by management system (F<sub>2,95.0</sub>=64.7, p<0.001), year since establishment (F<sub>3</sub>, 304  $_{32.1}$ =2.92, p<0.05) and crop type (F<sub>6,40.2</sub>=2.92, p<0.05). There was no interaction between crop and 305 management system (p>0.05). The cost of establishing the agroecological farming systems relative to 306 BAU meant that profit was significantly lower in the Enhancing-ES (t<sub>95</sub>=-3.86, p<0.001) and 307 Maximising-ES treatment ( $t_{95}$ =-11.1, p<0.001) (Fig3b). Model estimates suggested that the 308 Enhancing-ES system and maximising-ES systems were respectively on average 83.9 (SE ±21.7) and

240.6 (SE ±21.7) GBP £ ha<sup>-1</sup> year<sup>-1</sup> less profitable the BAU control. This reduced profitability is in part due to establishing field margins, in-field strips and cover crops (Fig S3). When AES subsidies are present, net profit is still significantly affected by management system ( $F_{2,94.9}$ =29.0, p<0.001), crop type ( $F_{6, 39.9}$ =11.33, p<0.001) and year ( $F_{3, 32.0}$ =2.95, p<0.05). However, the inclusion of AES subsidies meant that while the enhancing-ES system was no longer significantly different from BAU, the Maximising-ES treatment remained significantly less profitable ( $t_{95}$ =-6.95, p<0.001) by on average 144.1 (SE ±20.8) GBP £ ha<sup>-1</sup> year<sup>-1</sup> (Fig. 3c).

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## 317 **4. Discussion**

318 We have directly tested the efficacy of integrating agroecological measures into intensive 319 arable agriculture both from the perspective of effects on proximate drivers, like regulating 320 ecosystem services and beneficial invertebrates, as well as on end points of yield and profitability. 321 Ultimately farms are businesses, and farmer decisions for adoption will always include consideration 322 of economic viability (Sakrabani et al. 2023). Fostering agroecological farming requires evidence not 323 just its environmental effectiveness but also its farm economic implications. This is critical for 324 identifying economic drivers to elicit system change to promote greater sustainability, including 325 government agri-environmental payments, commodity premiums for sustainable systems and 326 natural capital markets.

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#### 328 **4.1. Regulating ecosystem services and beneficial invertebrates.**

Reintroducing key resources at local and landscape scales, often through creation of seminatural habitats, like wildflower field margins, is a key approach to promote populations of beneficial insects (Batary *et al.* 2015; Pywell *et al.* 2015; Priyadarshana *et al.* 2024). Approaches like in-field strips are an extension of this concept that facilitates spill-over of beneficial invertebrates from offcrop areas into the crop (Woodcock *et al.* 2016a; Defra 2023; Priyadarshana *et al.* 2024). These
interventions underlie our Enhancing-ES (field margins and cover crops) and Maximising-ES (field
margins, in-field strips, cover crops and organic matter) management systems. These were intended
to provide critical foraging, breeding and overwintering resources for beneficial invertebrates though
which we show here positive effects on spiders, earthworms, sward active predatory invertebrates,
as well as off-crop bees and hoverflies. Increases the population of these beneficial invertebrates
had knock on benefits for some regulatory ecosystem services.

340 Predation rates of aphids were highest within the enhancing-ES and maximising-ES 341 management systems. This corresponds with increased abundances of crop active predators, like 342 ladybirds, hoverfly larvae, and spiders. There was also a trend, albeit non-significant, of increasing 343 rates of aphid parasitism by Hymenoptera under the maximising-ES management system. Unlike 344 aphid predation, rates of slug predation showed no response to the agroecological farming systems, 345 a finding which was concordant with the absence under these management systems of populations 346 changes in ground or rove beetles. Why the creation of field margins and in-field strips had no 347 apparent benefit on abundance of crop ground and rove beetle populations is unclear. A meta 348 analytical review suggested that these taxa may be less sensitive to increased availability of semi-349 natural habitat than other invertebrate natural enemies (Shackelford et al. 2013). However, by 350 grouping these taxa together and ignoring individual species responses we may not be quantifying 351 community level responses between the management systems (Jowett et al. 2019). It is likely that a 352 focus on this metric may conceal specific responses for species with high pest attack or consumption 353 rates (Greenop et al. 2020). This may explain why the biomass of snails under the Enhancing-ES and 354 maximising-ES treatments was lower than the BAU treatment, with this being driven by populations 355 of specific species, e.g. large Pterostichus spp.. While pollination was assessed only for oilseed rape, 356 we found strong evidence that seed set was highest in the maximising-ES management systems. This 357 is consistent with the increased densities of bees and hoverflies within the sown field margin areas of 358 this management system.

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### 4.2. Crop yield benefits from agroecological farming systems.

361 While crop yield is only one aspect of agricultural production, with aspects of quality (e.g. oil 362 or protein content) and aesthetics (e.g. blemishes on fruits) being important, it remains of major 363 concern to farmers. When we focussed on the economically most important crops of cereals 364 (wheat, barley and oats) and oilseed rape, we found that yield responded positively to both 365 agroecological farming systems. Model predictions suggested an increase of c. 0.3 tonnes ha<sup>-1</sup> across 366 all crops for the Enhancing-ES and Maximising-ES, although average individual yields of crops 367 differed. Outside of increases in beneficial invertebrates and the ecosystem services they provide, 368 the addition of organic matter (principally farmyard manure) applied in the initial year of the 369 Maximising-ES management is also likely to have increased yields relative to the Enhancing-ES 370 system. However, while farm yard manure provides nitrogen, phosphorus and potassium, it has a 371 low bioavailability with 10% of these nutrients available for the first crop and 5% for the following 372 (AHDB 2023). Certainly, a recent meta-analysis suggests that organic amendments may have largely 373 substitutive (as opposed to additive) effects on yield when analysed with synthetic fertilisers 374 (MacLaren et al. 2022). Applied only before the first year of the study its contribution to the overall 375 trends in yields may therefore have been relatively small. In the medium term, adding organic 376 matter improves soil structure, nutrient supply, and microbial activity which may have accumulating 377 positive yield impacts. In the longer term, it enhances soil organic matter (as found in this study) and 378 so helps sequester carbon (Johnston, Poulton & Coleman 2009). In the Enhancing-ES where no 379 farmyard manure was applied, as well as more generally for the Maximising-ES treatment over the 4 380 years of the rotation, yield differences are therefore likely to have been linked to a large part with 381 maximising other regulating ecosystem services like pollination and pest control.

384 Profit margins are arguably more relevant than yield for farmer decisions as they integrate the 385 hidden costs associated with different management systems. We have shown that changes in 386 management that enhance beneficial invertebrates and regulating ecosystem services have positive 387 effect on yield. However, this increase comes at a cost in land forgone to production, as well as 388 establishment and subsequent management. Profitability of the investigated agroecological farming 389 systems is achieved only under certain circumstances. Critically as management interventions move 390 from trying to enhance ecosystem services (wildflower field margins and cover crops) to attempts to 391 maximise them (wildflower field margins and in-field strips, cover crops and organic matter) the cost 392 increases. This means that without agri-environmental scheme subsidies for wild flower field 393 margins, in-field strips and cover crops, neither of the agroecological farming systems would have 394 been profitable. Even, so subsidies as they currently exist are only sufficient to make the Enhancing-395 ES system comparable in profit to the BAU control. Without subsidies there is a negative correlation 396 between profit and the ratio of agri-environmental interventions (wildflower field margins and in-397 field strips) to cropped area (Fig. S3). Subsidising farmers for management that has societal benefits 398 (e.g. biodiversity) may be critical to facilitate transition to lower impact farming systems (Batary et al. 399 2015). The importance of such subsidies to ease the transition to agroecological farming therefore 400 remains critical.

401 The unprofitability of the maximising-ES system, even with agri-environment subsidies, will 402 likely act as a barrier to its adoption. This failure to be profitable is associated in part with an 403 absence of subsidies for organic matter additions (Defra 2023). As a result, while addition of farm-404 yard manure increased soil carbon stocks, their use had an insufficient impact on yields to offset 405 losses in profit. In addition, the cost of bulk organic matter products may be high relative to any 406 short term (e.g. 4 year) capacity for them to increase yields. This issue is exasperated by the 407 polarisation of UK farming systems to either livestock or arable (often with a regional bias) (Stoate et 408 al. 2009). On farm composting may represent alternatives, albeit one with scaling issues, to 409 providing organic matter sources that don't rely on livestock, while more circular local supply

410 through municipal links (e.g. green composted waste) could also provide opportunities for low-cost 411 organic matter sources. It is worth noting that results from the precision yield data alone (collected 412 by certain types of combine harvesters and so available for only a limited number of sites) 413 demonstrated that the Maximising-ES system increased average yields by double that of the 414 Enhancing-ES system (c. 0.6 tonnes ha<sup>-1</sup>) (Fig. S2). Precision yield data accounts for within-crop 415 variability in yield (e.g. patches of high pest pressure) that may be missed by quadrats. Such an 416 increase if typical of other fields would increase the economic viability of more intensive 417 agroecological interventions.

418

### 419 **5. Conclusions**

420 Our results provide some optimism for an economic basis to promote the adoption of 421 complex agroecological farming systems. However, as the complexity of the agroecological 422 interventions increases they become less profitable within the current economic environment, even 423 with subsidies. In the absence of new financial drivers for adoption individual farmer attitudes will 424 likely remain the ultimate limitation to complex agroecological systems uptake, particularly where 425 these require significant investment of effort or deviation from experiential comfort zones (Comer et 426 al. 1999; Burian et al. 2024; Follett et al. 2024). Even so, evidence that some agroecological farming 427 systems can meet an economic breakeven point may encourage adoption. Attitudes within the 428 farming community acknowledge the need to future proof farming systems, particularly in the face 429 of declining soil health, pesticide resistance, and future environmental stresses like climate change 430 (Comer et al. 1999; Novickyte 2019; Jaworski et al. 2024). As a result, farmer attitudes may well be 431 shifting to increased acceptance to adopt such practices even at the risk of reduced short-term profit 432 if it infers longer term farm business sustainability benefits. Supporting farmers to better understand how effective agroecological farming practices have been on a site-by-site basis may also 433 434 be a critical step breaking farmers free from 'intensification traps' (Burian et al. 2024). Better

training in agroecological management and advances in farmer led ecosystem services monitoring
may further help to address uncertainty in these systems promoting adoption (McCracken *et al.*2015; Shirali *et al.* 2024). Overall, enhancing ecosystem services creates an opportunity to reduce
agrochemical inputs, saving costs and reducing environmental impacts.

439

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design by BA, SC, MS, CC, MN, JS, JB and RP. Field and lab work by LH, SH, MT, JS, JR, MW, with data
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443

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455 Conflict of interest: MN provides agronomic advice to farmers to establish field margins. Otherwise456 no conflicts of interest.

- 457 Data accessibility statement: R code and data available through GitHub at
- 458 <u>https://github.com/BenAWoodcock/Woodcock\_ASSIST\_agroecological\_enhancement</u>. If published
- 459 this will be made available through Zenodo with an associated DOI.

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578

#### 580 Figure legends

- 581 Fig. 1. Pictorial representation of the composite management systems applied to three fields in each
- 582 of 17-replicate UK farms, including a visualisation of the sampling strategy.
- 583 **Fig. 2.** Boxplots showing the effect of agroecological farming systems across the 17 farms and 4 years
- 584 on supporting and regulating ecosystem services, as well as pest populations. Letters indicate
- 585 significant differences from the BAU control. For each boxplot the central line represents the
- 586 median, with the box spanning the 25<sup>th</sup>-75<sup>th</sup> interquartile range.
- 587 **Fig. 3**. Boxplots comparing crop yield and profit for the three farm management systems over 4
- 588 years. Yield is expressed as an effect size (standard mean difference) to account for between crop
- 589 type differences in average yield.

Fig. 1 



	Management system treatment			
	Business as usual (BAU)	Enhancement of ecosystem services (Enhancing-ES)	Maximization of ecosystem services (Maximising-ES)	
Green infastructure In-crop ES services CES service spill-over				
Flower rich field margins	None	6m flower rich strips along 50% of the field edge.	6m flower rich strips along 50% of the field edge.	
Cover crops	None	Simple mixtures of oats, radishes and forbs sown before spring sown crops. None	Simple mixtures of oats radishes and forbs sow before spring sown crops. 6m wide in-field flowe	
In-field flower strips	None	None	strips every 96 m.	
Organic matter	None	None	Farmyard manure (30 t ha <sup>-1</sup> in year one only.	
	In-field sam	pling points and field ma	rgin transect	
<ul> <li>Field sampling point (16 per field).</li> <li>Pollinator sampling area.</li> <li>Cropped area.</li> <li>Field margins or in- field strips.</li> </ul>	150m 114 m 48 m 12m 96 m 48m 24m 12m			



Management system









M-ES