- 1 Title: Harbingers of change: towards a mechanistic understanding of anticipatory
- 2 plasticity
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14 Abstract:

- Phenotypic plasticity is a central avenue through which organisms cope with environmental heterogeneity by responding to cues of current environmental change to maximize fitness. If environmental change is impending and cues can reliably predict future conditions, organisms can also mount adaptive responses in anticipation of these changes if they possess the mechanistic architecture to do so (i.e., anticipatory plasticity).
- Anticipatory plasticity has been documented across the tree of life, but how organisms detect
 and integrate cues of future conditions and mount anticipatory responses remains ambiguous.
 We synthesize theoretical principles from sensory biology and animal communication with
 recent advances in physiological ecology and extrapolate these insights to identify candidate
 mechanisms that may underpin anticipatory plasticity.
- We discuss how ecological rhythms, cue detection and perception, and three major
 physiological mechanisms—the epigenome, neuroendocrine system, and the commensal gut
 microbiota—can contribute to the evolution and maintenance of anticipatory plasticity. We then
 integrate across these components by focusing on anticipatory plasticity in reproductive
 phenotypes (i.e., anticipatory reproduction).
- We close by detailing a series of open questions related to both the proximate causes and
 evolutionary consequences of anticipatory plasticity. We hope to shed light on the potential
 mechanisms that facilitate anticipatory plasticity in the face of environmental variation, and
 how these mechanisms may influence how organisms and populations respond to
 anthropogenic change.
- 35

36 **1 INTRODUCTION**

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38 "It is difficult to predict, especially the future." -Niels Bohr

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40 Heterogeneous environments are widespread in nature, and compared to theoretically uniform 41 environments, impose higher fitness costs for the organisms that inhabit them (Levins, 1968). In the 42 absence of reliable information about shifting environmental conditions, organisms can minimize 43 these costs by employing a number of different strategies to cope with unpredictable change 44 (Dantzer, 2023). For instance, organisms can produce a single, canalized phenotype (Waddington, 45 2014): the remarkably consistent production of the complex Drosophila compound eye preserves the 46 optimal development and function of this important trait despite environmental instability (Tsachaki & 47 Sprecher, 2012). Bet-hedging is another strategy to deal with heterogeneity when reliable information 48 is unavailable (Kvalnes et al., 2018; Simons, 2011). By producing a mix of phenotypes through 49 random phenotypic variation, bet-hedging can maximize geometric mean fitness of the population 50 over the long-term when fitness optima oscillate, ensuring that at least some phenotypes will match 51 environmental demands (Tufto, 2015). This strategy may pre-adapt populations to the impending 52 effects of human-induced rapid environmental change (HIREC), which are expected to be largely 53 unpredictable (Crowley et al., 2016; van Baaren et al., 2024).

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55 But when reliable information about environmental conditions is available, phenotypic plasticity-in 56 which a single organism can produce multiple different phenotypes--is expected to evolve (Scheiner, 57 1993; West-Eberhard, 2003). Also termed "responsive plasticity", phenotypic changes in response to 58 cues of current environmental conditions are widespread in nature, occurring during development, 59 within generations, and/or across generations (Snell-Rood, 2013; West-Eberhard, 2003). In response 60 to changes in rainfall, birds can flexibly shift breeding behavior and timing (Nussey et al., 2005); in 61 response to drought, plants can alter leaf morphology to reduce water loss (Gratani, 2014); in 62 response to changes in photoperiodic shifts, insects can adjust rates of development and growth (De 63 Block & Stoks, 2003). These responses, when adaptive, can facilitate population persistence even if 64 they constrain genetic responses to selection by shielding genotypes from environmental variation 65 and selection (Huey et al., 2003; Muñoz & Losos, 2018; Price et al., 2003).

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In some cases, organisms can respond to predictive cues, or "harbingers", of the future environment
rather than the current one (i.e., "cued" or anticipatory plasticity, (Dantzer, 2023; West-Eberhard,
2003)). Anticipatory plasticity necessarily elongates the temporal lag between when organisms
receive a cue and when the environmental conditions indexed by that cue will occur, and thus when

71 fitness benefits can be reaped (Mariette, 2020). This lag can occur within an organism's lifetime or

72 span generations [e.g., anticipatory parental effects (Marshall & Uller, 2007)]. Although anticipatory 73 plasticity has received comparatively less attention, environmental heterogeneity strongly favors its 74 evolution (Jablonka et al., 1995; Lachmann & Jablonka, 1996), and anticipatory responses are 75 expected to evolve under two conditions: first, cues of the future environment must be available and 76 reliable (Bonamour et al., 2019; Levins, 1968; Reed et al., 2010; Scheiner, 1993). Unreliable cues can 77 hamper the evolution of anticipatory responses, and the lag between predictive cue and future 78 environment introduces considerable risk of error in both cue fidelity and perception (Gavrilets & 79 Scheiner, 1993; Moran, 1992; Reed et al., 2010). Second, organisms must possess the sensory and 80 physiological architecture necessary to sense and integrate harbingers (Kronholm, 2022). These 81 predictive cues may be complex to integrate, particularly when transmitted through ecological features 82 different from the conditions they predict (Levins, 1968).

83

84 As HIREC continues to rapidly reshape ecosystems, discerning how organisms will respond to future 85 instability, and whether global change will alter the predictive architecture already in place in some 86 populations, becomes paramount (Donelan et al., 2020). In this review, we synthesize what is 87 presently known about anticipatory plasticity with recent advances in physiological ecology and 88 sensory biology to begin building a mechanistic understanding of the causes and consequences of 89 anticipatory plasticity. We discuss the evidence for anticipatory plasticity and the ecological context in 90 which these responses may evolve, while outlining candidate physiological mechanisms that may 91 govern cue integration and phenotypic coordination. Through this synthesis, we aim to shed light on 92 the conditions in which environmental variability favors the evolution of anticipatory plasticity over 93 other alternative strategies. We additionally aim to contribute to a mechanistic framework from which 94 future studies can employ modern physiological and statistical tools to interrogate the bounds of 95 anticipatory phenotypic plasticity in light of global change.

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97 2 ECOLOGICAL RHYTHMS OF ANTICIPATION

98 Unlike random events with no intrinsic cyclicity, rhythmic environmental changes can generate a 99 landscape of predictability for organisms in which future conditions are perpetually imminent and 100 organisms are always some inevitable distance from that condition. Such rhythms may allow 101 organisms to fine-tune their responses to predictive information, similar to Bayesian updating (Valone, 102 2006). The duration of these rhythms (short vs. long) and their regularity (regular vs. episodic) can 103 vary as a function of environmental grain, which may favor different types of anticipatory plasticity. For 104 instance, in predictable coarse-grained environments, anticipatory parental effects may offer an 105 alternative to polymorphisms if parents can use predictive cues of the offspring environment to adjust 106 offspring development (Bell & Hellmann, 2019; Burgess & Marshall, 2014; Lind et al., 2020; Marshall 107 & Uller, 2007). Below, we discuss ecological rhythms common in studies of anticipatory plasticity.

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109 **2.1 Seasonal environments**

Seasonal environments are defined by regular annual changes such as gradual changes in photoperiod, temperature, and precipitation. These shifts generate predictable annual fluctuations in vegetation growth, organismal behavior, and biodiversity. The cyclical and short-term nature of seasonal changes may make them more predictable than irregular or episodic changes, favoring the fine-tuned detection of both abiotic and biotic predictive cues of future conditions (Tolla & Stevenson, 2020). In seasonal environments, anticipatory plasticity often occurs in response to predictive cues of a hostile, dangerous, or nutritionally depleted future environment.

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118 Organismal responses to seasonal change drive many of the phenological shifts common in seasonal 119 environments, with many of those responses being anticipatory in nature (Réale et al., 2003). In a 120 clear display of anticipatory behavioral plasticity, migratory birds flee to warmer regions as winter 121 approaches (Kölzsch et al., 2015), and Atlantic salmon (Salmo salar) shift foraging behaviors ahead 122 of seasonal changes in energetic demand (Bull et al., 1996). Many taxa, including insects and small 123 mammals exhibit remarkable metabolic flexibility in response to seasonal cues of impending 124 nutritional scarcity (Heldmaier et al., 2004). Insects slow development and metabolic activity during 125 dormancy or diapause (Kostál, 2006), which has evolved multiple times across different taxa (Tauber 126 & Tauber, 1981) and life history stages (Denlinger, 1986), suggesting broad adaptive value of this 127 anticipatory response. In Arctic ground squirrels (Urocitellus parryii), both the onset of hibernation 128 occurs and the timing of emergence include a cascade of anticipatory metabolic changes ahead of a 129 seasonal reduction in resources (Sheriff et al., 2012). Organisms can also enhance survival despite 130 impending seasonal hostility through anticipatory morphological shifts in traits like coloration and 131 reproductive structures. Arctic foxes (Vulpes lagopus) and snowshoe hares (Lepus americanus) 132 undergo seasonal molting of their brown coats, growing white fur ahead of winter (Hersteinsson & 133 Hersteinsson, 1989; Nagorsen, 1983) and enhancing predator escape (Merilaita & Lind, 2005). Many 134 salmon undergo morphological changes to gonad size regulated by shifts in sex steroid production 135 prior to swimming upstream to spawn (Truscott et al., 1986).

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137 **2.2 Resource pulse environments**

Resource pulse events are infrequent, short-lived, and dramatic increases in a particular resource (Yang et al., 2008). Resource pulses are characterized by inherent temporal rhythms, occurring along a continuous scale. But unlike seasonal changes, these events occur episodically and intermittently rather than regularly over time (Yang et al., 2008). Distinguishing between environmental variability, seasonality, and resource pulses can be nebulous, but Yang et al. (2008) suggest a consumer-centric approach whereby pulses are defined by 1) their irregularity, brevity, and intensity *relative* to 144 consumers, and 2) the extent to which they cause perturbations to consumer ecosystems (Yang et al.,

- 145 2008). By this definition, many large-scale ecological events can be considered resource pulses,
- 146 including the terrestrial productivity triggered by El Niño-Southern Oscillation rainfalls (Thomsen et al.,
- 147 2018), coral reef spawning events (McCormick, 2003), and the 13- and 17-year emergence of
- 148 periodical cicadas (Williams & Simon, 1995), each which ephemerally increase food availability for
- 149 relevant consumers.
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151 In terrestrial ecosystems, mast seeding is a common type of resource pulse in which plants (including 152 trees like oak, maple, beech, and spruce) produce large quantities of seeds in some years but little to 153 no seeds in others (Kelly & Sork, 2002; Nowlin et al., 2008). The evolutionary function of mast 154 seeding may be a "swamp and starve" strategy in which trees overwhelm seed predators with more 155 food than they can consume (Fletcher et al., 2010; Zwolak et al., 2022). It may also serve to increase 156 pollinator efficiency (Moreira et al., 2014), or represent anticipatory plasticity by plants to increase 157 reproduction in years when offspring survival is favored (Pearse et al., 2016). In contrast to 158 unpredictable resource pulses like the El Niño-Southern Oscillation (Yang et al., 2008), mast seeding 159 appears predictable to many consumer populations [e.g., (Boutin et al., 2006; Tissier et al., 2020; 160 Vekhnik, 2019)]. While the precise mechanisms underlying consumer anticipation of upcoming mast 161 events remain unknown, the predictability of mast seeding may favor the evolution of anticipatory 162 plasticity as a counter-strategy by which organisms can optimally time phenotypic responses to 163 maximize fitness in the face of extreme variability (Boutin et al., 2006).

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165 Moreover, the strength of selection for anticipatory plasticity may hinge in part on the stability of the 166 pulsed resource. Some pulsed resources are highly destructible, like the sudden aggregation and 167 emergence of insects (Yang, 2004), while others can be collected and stored for future use, like seed 168 (Marcello et al., 2008). The duration of resource availability during and after a pulse may thus modify 169 the time lag between cue detection and the phenotypic response period. Stable resources that remain 170 accessible or can be stored for future use may extend the phenotypic response period, allowing for 171 lower precision and greater flexibility in the anticipatory response. By contrast, unstable resources that 172 are available for only a short period of time may favor fine-tuning of anticipatory responses to increase 173 precision (Figure 1). Thus, the strength of selection for anticipatory plasticity may increase linearly 174 with the perishability of the resource, such that organisms aim to maximize their use of a time-175 sensitive resource pulse while simultaneously minimizing the likelihood of failure to detect the cue or 176 respond incorrectly. This framework can be applied more generally to anticipated plasticity in 177 response to changes in non-pulsed resources, for example, by considering how easily seasonally-178 fluctuating mates or individual territories can be monopolized.



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Figure 1. Anticipatory plasticity as a function of pulsed resource stability. Stable or easily monopilzed
 pulsed resources may extend the future selective period in which an anticipatory response is favored, relaxing
 selection for precise detection of predictive cues. Perishable resources may favor the evolution of highly precise
 anticipatory responses because they shorten the selective period in which fitness benefits of anticipatory
 plasticity can be reaped.

185186 2.3 Social rhythms

187 Social environments are presumed to be largely unstable and unpredictable, but regular temporal and 188 spatial fluctuations in social partners, mates, and competitors can occur, generating predictable shifts 189 in the social environment that may favor the evolution of anticipatory plasticity. Changes in social 190 composition can cue changes in future mating opportunities, resource accessibility, and reproductive 191 risk. Populations of soapberry bugs (Jadera haematoloma) become male-biased due to seasonal 192 increases in female mortality in anticipation of a future environment with high rates of male-male 193 competition (Carroll & Salamon, 1995). In territorial North American red squirrels (Tamiasciurus 194 hudsonicus), females respond to anticipated increases in conspecific competition as a result of 195 resource pulse events by accelerating juvenile postnatal growth (Dantzer et al., 2013). In insects, 196 anticipation of resource-linked increases in density triggers anticipatory changes in sperm production, 197 motility, and maturation that maximize male reproductive success when male-male competition is high 198 (Gage, 1997). In some taxa, the dispersal of males into solitary life stages or extra-group coalitions 199 leads to the inevitable introduction of novel males into established social groups, increasing infanticide 200 risk (Lukas & Huchard, 2014). Such stratification of mating opportunities increases infanticide risk in 201 other taxa may lead to the evolution of regulatory mechanisms of female reproductive investment in 202 anticipation of likely offspring loss in the future (Roberts et al., 2012).

203

204 3 SIGNAL DETECTION THEORY AND PREDICTIVE CUES

205 Both responsive and anticipatory plasticity involve the transfer and receipt of information between 206 sender and receiver, and can thus be conceptualized through the lens of animal communication. 207 Indeed, the terms "cues" and "signals" have been used interchangeably in studies of phenotypic 208 plasticity, but are distinct phenomena. A cue describes ecological information that an organism uses 209 to make a decision; cues can facilitate organismal "eavesdropping" on ecological information 210 unintended for their perception (Bernal et al., 2007). By contrast, a signal is defined by its ability to 211 elicit an intended response from the receiver, and has evolved through natural selection because of 212 this intended response (Bradbury & Vehrencamp, 1998). Cues can evolve into signals when they gain 213 precision through ritualization (Tinbergen, 1952), and signals can double as cues because optimized 214 received detection can make signals more conspicuous to unintended targets (Roberts et al., 2007). 215 Because we almost universally lack data on how evolution has shaped the ecological information that 216 induces anticipatory plasticity, we will use the term cue to describe a feature of the environment 217 through which an organism can infer future conditions.

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219 Heterogeneous environments are inherently noisy because ecological information and the routes 220 through which information transfer occurs can constantly change. Moreover, organisms can receive 221 multiple cues indexing to multiple different environmental factors, and some of those cues can reflect 222 current conditions while others reflect future conditions. Cue modalities can vary across taxa, life 223 history stages, and ecologies because they require different sensory detection architecture. These 224 complexities generate potential for unclear transfer of information, creating a "cocktail party problem" 225 in which organisms need to discern relevant (e.g., related to fitness) cues from irrelevant background 226 noise (McDermott, 2009). The temporal lag inherent to anticipatory plasticity may further increase the 227 probability of cue infidelity and cue detection errors. Principles of signal detection theory can provide a 228 framework for understanding the strategies that organisms inhabiting noisy environments can use to 229 sense and respond to relevant cues while minimizing errors [(Getty, 1996), Box 1].

230

231 Box 1. Signal Detection Theory (SDT) offers a framework for understanding how relevant information 232 can be extracted and used by organisms in noisy environments (MacMillan, 2002). With its roots in 233 electronic engineering and later adoption by the fields of psychology and neuroscience, SDT offers a 234 powerful lens through which evolutionary biologists can interrogate how organisms communicate with 235 their broader environments to anticipate future conditions. The associations between ecological cues 236 and organismal responses are typically weak because of constraints related to both signal reliability 237 (how well a cue indexes its condition) and receiver bias (how well organisms respond to those cues) 238 (Getty, 1996)—these associations may be even weaker in anticipatory plasticity. In a given 239 environment, an organism can thus respond to the presence (or absence) of information in 1 of 4 240 ways: (1) by correctly detecting a cue and responding to it (a "hit"), (2) by responding in the absence 241 of a cue, or incorrectly detecting the cue ("false alarm", (3) by failing to respond to a cue when present 242 (a "miss"), or (4) by not responding in the absence of a cue ("correct rejection") (Figure 2A). Wiley

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243 (1994) applied this framework to animal communication to understand how organisms may balance 244 the unequal fitness costs of false alarms and misses. Wiley hypothesized that organisms can 245 minimize error costs by biasing their responses: when false alarms cost more than missed detections, 246 under-responsiveness to cues prevents costly overconfidence; when misses cost more than false 247 alarms, over-responsiveness to cues prevents costly missed detections (Figure 2B). Phenotypic 248 responses that appear maladaptive, including no response at all, may instead reflect an adaptive 249 balancing strategy to minimize fitness costs in heterogeneous environments. In attempting to discern 250 the cues organisms use to elicit anticipatory responses, consideration should thus be given to 251 seemingly non-adaptive responses that may obscure such broader strategies, particularly when 252 deception (e.g., by a previtem) and some degree of general uncertainty (e.g., when ecological 253 rhythms are episodic or irregular, such as in resource pulse ecosystems) are expected.



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Figure 2. Organisms exhibiting anticipatory plasticity may bias cue detection to minimize errors and their associated costs. (A) In a heterogeneous environment with available cues of future conditions, organisms can phenotypically respond in one of four ways (green = phenotype-environment matches, gray = mismatches or errors). (B) The relative costs of different errors influence the degree to which organisms respond to cues, with higher rates of responsiveness when misses are more costly, and lower rates of responsiveness when false alarms are more costly (Wiley, 1994).

261

262 3.1 Cue fidelity

263 For anticipatory plasticity to evolve, cues must accurately foreshadow not only future environmental 264 conditions, but the future selective environment, ensuring selection can act on the response (Moran, 265 1992; Reed et al., 2010). Unreliable cues increase mismatch between the optimal phenotype and 266 environment (Ashander et al., 2016; Reed et al., 2010), influencing the likelihood of a transition 267 between anticipatory plasticity and bet-hedging (Botero et al., 2015; Donelson et al., 2018; Tufto, 268 2015). Abiotic cues like photoperiod, temperature, and precipitation appear to be some of the most 269 consistent predictive cues of impending environmental change (Bradshaw & Holzapfel, 2007; 270 Whitman & Agrawal, 2009). Abiotic cues may offer enhanced reliability because they typically index

seasonal changes, and are relatively stable compared to biotic cues that inherently hinge on the
intrinsic traits and strategies of another organism. Indeed, social cues like vocalizations related to
population density and the availability and quality of mates present greater opportunity for uncertainty
and deception. Yet many anticipatory responses can be induced by biotic cues, alone or together with
abiotic cues.

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277 Because uncertainty is inevitable in changing environments, the fidelity of the predictive cues that 278 organisms use to induce anticipatory plasticity may depend on the lag length between cue perception 279 and the future environment. Indeed, this lag is thought to be a major constraint in the evolution of 280 phenotypic plasticity writ large (DeWitt, 1998). Fidelity may decrease with an increase in lag (e.g., 281 time between detection of a mast cue and the mast event itself; time between generations in species 282 with elongated periods of gestation), because environmental stochasticity during this period can 283 render otherwise reliable cues unreliable and increased mortality risk can leave the fitness benefits of 284 an anticipatory response unrealized. Further, changes in the internal somatic state of an organism 285 during this period (e.g., due to infection or injury), may impair or hinder an anticipatory response. As 286 the lag length increases, cues may therefore grow more unreliable as selective pressures favoring the 287 evolution of anticipatory plasticity in the system decline.

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289 **3.2 Cue modalities**

290 Organisms can perceive predictive cues across multiple levels: at the highest level, information can 291 be transduced across abiotic versus biotic pathways, as discussed above. Among biotic pathways, 292 cues can be transferred across conspecific or heterospecific routes. Theory predicts that organisms 293 will respond more robustly to information transmitted by conspecifics than by heterospecifics 294 (Andersson, 1994), but organisms can respond similarly to both types of cues (Ord & Stamps, 2009). 295 Indeed, conspecific cues appear to facilitate much of the organismal eavesdropping inherent to 296 anticipatory plasticity, and in some cases, these conspecific cues can be central to the ecology and 297 evolution of a species (Bernal et al., 2007). At the lowest level, cues can be transduced through 298 distinct sensory modalities-we discuss some of the most common modalities identified in documented 299 cases of anticipatory plasticity below.

300

301 3.2.1 Visual cues

Photoperiodic change is a common modality for predictive cues in seasonal environments. Increasing
 or decreasing day lengths can foreshadow widespread changes in both the biotic and/or abiotic
 environment associated with seasonality. Zooplankton use photoperiodic cues to induce diapause in
 anticipation of the future increase in predation risk associated with seasonal change (Slusarczyk,
 1995). Biotic visual cues are common triggers for responsive plasticity as they can provide valuable

307 information about current conditions, but they can also provide information about future conditions. 308 The turrets of mud produced by cicada nymphs on the soil surface may alert mice to an upcoming 309 emergence, facilitating adaptive shifts in foraging behavior and reproduction (Marcello et al., 2008). 310 Visual exposure to specific food items during development can refine adult dietary preferences based 311 on expected future food availability in cuttlefish [Sepia officinalis, (Darmaillacg et al., 2008)], and 312 ground shadows of avian predators overhead can elicit movement responses in anticipation of falling 313 prey in chickens (Wilson & Lindstrom, 2011). Changes in the appearance of buds and other 314 reproductive structures may cue an upcoming resource pulse for consumers of masting species of 315 trees (Boutin et al., 2006), while visual cues of fire may indicate an upcoming resource boom for 316 consumers of fire-stimulated flowering plants (Beck et al., 2024).

317

318 3.2.2 Chemical cues

319 Both intra- and interspecific chemical cues can elicit anticipatory plasticity in the organisms that 320 perceive them. In response to anticipated increases in sperm competition cued by social odors of rival 321 conspecifics, bank voles (Myodes glareolus) developed larger seminal vesicles (Lemaître et al., 322 2011). Many anticipatory and cyclical behaviors, including those of spawning fishes, are regulated by 323 conspecific cues, as well as chemical cues related to environmental quality (Buchinger et al., 2015). In 324 palaemonid shrimps (Palaemon argentinus) chemical alarm cues produced by conspecifics induce 325 anticipatory hatching and the production of larger larvae in (Ituarte et al., 2019). Kairomones, chemical 326 cues in which only the eavesdropper benefits, can trigger anticipatory behaviors that facilitate 327 predation or escape (Brown et al., 1970; Ruther et al., 2002). Organic compounds like amino acids 328 found in mucus other excretions (e.g., urine) can serve as kairomones inducing phenotypic change. 329 For instance, the development of anti-predator morphology is induced in Daphnia in response to 330 predatory fish mucus (Brönmark & Hansson, 2012). Yet despite support for chemically-mediated 331 anticipatory responses, precise identification of predictive chemical cues remains absent from many 332 studies (Lutnesky & Adkins, 2003).

333

334 The synchronized seeding of masting trees may shed light on the cue modalities that seed consumers 335 use to predict upcoming food booms in resource pulse ecosystems. Thought to be triggered largely by 336 weather patterns like summer temperatures and precipitation, mast seeding is typically highly 337 synchronous at local, but not continental, scales (LaMontagne et al., 2020; Pearse et al., 2016). Trees 338 in close proximity may synchronize reproduction by responding to the same localized weather cues 339 (Rees et al., 2002), but the underlying physiological mechanisms mediating masting remain 340 ambiguous. One mechanism may be related to volatile organic compounds [VOCs, (Hagiwara & 341 Shiojiri, 2020; Kon et al., 2005; Pearse et al., 2016)]. VOCs are a central pathway of conspecific 342 communication in plants (Holopainen, 2004), and can serve as both cues and signals of impending

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conditions (Ninkovic et al., 2021). For example, plants can use conspecific VOCs produced in
response to predation to prime defense systems in anticipation of future predation attempts (Morrell &
Kessler, 2014). VOCs may also transmit information related to future resource abundance to
heterospecifics. Although eavesdropping has been traditionally associated with visual and acoustic
cues [e.g., predators eavesdropping on the mating calls of their prey, (Lehmann & Heller, 1998)],
chemical cues can facilitate heterospecific eavesdropping that appears central to some species
ecology and evolution (Bernal & Page, 2023).

350

351 3.2.3 Acoustic cues

352 Abiotic acoustic cues related to the movement of things like water, wind, and ice can foreshadow 353 upcoming seasonal change, and biotic cues like conspecific vocalizations can foreshadow the future 354 social environment (Rosenthal & Ryan, 2000). In multiple species of field crickets, juveniles adaptively 355 adjust maturation rates in response to mating calls in the rearing environment that index the density 356 and quality of reproductive competitors and mates in the future adult environment (DiRienzo et al., 357 2012; Kasumovic et al., 2011). Territorial vocalizations can serve a defense purpose and can 358 reinforce territorial boundaries while also serving as cues of both current and future population density 359 (Siracusa et al., 2017). In field crickets (*Teleogryllus oceanicus*), juvenile males reared in an 360 environment abundant in the long-range calls of other males invested more in reproductive tissues 361 and attained better adult condition compared to males reared in acoustically silent environments 362 (Bailey et al., 2010).

363

364 3.3 Catalyst cues

365 The Pareto principle or "80-20 rule" may be observed in situations of anticipatory plasticity whereupon 366 80% of the response of a population to an ecological cue reflecting future environmental conditions 367 are instigated, or catalyzed, by 20% of the individuals in that population using "catalyst cues". This is 368 analogous to leadership or keystone individuals in animal societies where an individual ("leader") has 369 power over other individuals, such as their daily activities [movement and time budgets: (Marshall et 370 al., 2012; Owen-Smith et al., 2010)]. In this situation, a small number of individuals (~20%) may wield 371 tremendous influence on where and how the other 80% of individuals spend their time. It is 372 conceivable that the same principles may operate in cases of anticipatory plasticity, where plasticity 373 exhibited by a small number of individuals (leaders) elicits the same plastic response in other 374 individuals (followers). This could be labeled a form of "social power", such as where an individual or 375 group of individuals has coveted access to reliable cues of the future environment, exhibits adaptive 376 plasticity to those cues, and others follow. Those cues the catalyzing individual or keystone individual 377 gives to others could be inadvertent, like sensory cues emitted by reproducing individuals. 378

379 **3.4 Co-opted cues**

380 Cues that induce anticipatory plasticity may be transmitted through modalities directly related to the 381 ecological factors they reflect, but they do not have be (Levins, 1968). Indeed, heterogeneous 382 environments can generate substantial environmental covariance across multiple distinct 383 environmental factors which may result in cue co-opting, whereby a cue related to one ecological 384 feature provides predictive information about an entirely different feature. For instance, in eastern 385 chipmunks, the abundance of one food item (red maple) serves as a cue of future abundance of 386 another more fitness-relevant food item [beech seed, (Tissier et al., 2020)]. The use of co-opted cues 387 may offer greater flexibility for receivers especially if they are composed of multiple different cue 388 modalities, for example, by allowing an organism to detect one only component of the cue during a 389 particular life history stage but a different component of the cue in a different stage. Moreover, 390 because seasonality generates high rates of environmental covariance whereby many ecological 391 factors change at once, co-opted cues may be more likely in seasonal environments.

392

393 3.5 Multimodal and mosaic cues

394 Environmental information can be composed of multiple distinct sensory parts (i.e., a multimodal cue) 395 further transduced across multiple distinct modalities, resulting in a mosaic cue whose fidelity hinges 396 on the sum of its parts. When detectable, mosaic cues can boost organismal fitness by fine-tuning 397 processes of cue detection and response, particularly in highly variable environments (Dore et al., 398 2018). For example, Drosophila spp. use redundant auditory, olfactory, and tactile cues of fluctuating 399 intraspecific competition to adaptively adjust reproductive behavior (Bretman et al., 2011; Maguire et 400 al., 2015). Larval cane toads rely on a combination of abiotic (light levels) and biotic (chemical cues of 401 conspecifics) cues to stimulate aggregation (Raven et al., 2017), and scatter hoarding rodents like 402 chipmunks, gray squirrels, and deer mice use a combination of olfactory cues, visual cues, and 403 memory cues to locate previously buried food items (Ramirez & Steury, 2024; Wall, 2000).

404

Complex cues can provide highly detailed information about environmental conditions and may boost 405 406 the fidelity of predictive cues, particularly when the temporal lag between cue detection and the future 407 environment is long. If one sensory modality is altered but the others stay the same, organisms may 408 still be able to mechanistically decipher relevant information. Carnivorous bats can circumvent 409 hampering of acoustic cues by noise when hunting by shifting to using visual cues to locate prev 410 (Gomes et al., 2016). Cue shifting can also occur within the same sensory modality, like when fiddler 411 crabs (Uca vomeris) switch from visual cues of predator speed to visual cues of predator retinal size 412 during predation attempts (Hemmi & Pfeil, 2010). Multimodal and mosaic cues may offer organisms 413 "escape routes" by adding complexity to cues and modifying the magnitude of risk associated with the

- 414 time lag between cue detection and future conditions; however, these cues may be more susceptible
- to disruption if each component is necessary to transmit relevant information.
- 416

417 4 PHYSIOLOGICAL COORDINATORS OF ANTICIPATORY PLASTICITY

The high level of flexibility inherent to anticipatory plasticity may necessitate coordination by similarly flexible physiological machinery. Here, we discuss three distinct but related physiological mechanisms that may contribute to the mechanistic architecture underpinning anticipatory plasticity because they rapidly respond to environmental input and contribute to many of the phenotypes common in documented cases of anticipatory plasticity (e.g., behavior, reproduction, metabolism/growth) through multiple mechanistic pathways.

424

425 4.1 The epigenome

426 Alterations to chromosomal regions via chemical modifications of histones, DNA, and RNA (hereafter, 427 epigenetic change) represent a promising mechanism by which organisms can coordinate anticipatory 428 plasticity. Epigenetic alterations offer significant flexibility because they can shift patterns of gene 429 expression without changes to the underlying sequence of amino acids and/or nucleotides [i.e., no 430 change to the underlying genome, (Turner, 2009)]. Environmental fluctuations, including abiotic shifts 431 in factors like ambient temperature and biotic changes in resource availability, induce epigenetic 432 change in organisms with downstream effects on phenotypic expression (Jaenisch & Bird, 2003). 433 Many of these fluctuations are the same as those that induce anticipatory plasticity, suggesting that 434 the epigenome may be a major mechanism linking predictive cues of future environmental change to 435 anticipatory responses. Epigenetic mechanisms of anticipatory plasticity can evolve in spite of 436 variation in genetic architecture, particularly when cue fidelity is high (Kronholm, 2022).

437

438 Epigenetic effects may be central to anticipatory parental effects, acting as a principal driver of 439 parental adjustments of offspring phenotype in response to cues of future conditions (Guerrero-440 Bosagna et al., 2018; Kronholm, 2022). For example, in fruit flies (Drosophila melanogaster) and 441 nematodes (*Caenorhabditis elegans*), small RNAs are produced in response to nutritional stress. 442 These small RNAs are inherited by offspring and subsequently alter offspring genetic expression to 443 facilitate environmental matching (Duempelmann et al., 2020; Rechavi et al., 2014). Small RNAs 444 present in seminar fluid may elicit anticipatory responses in females (Curley et al., 2011; Mashoodh et 445 al., 2023), and can be transmitted across generations, suggesting epigenetic mediation of anticipatory 446 parental effects even in the absence of parental care (Eaton et al., 2015). Epigenetic inheritance thus 447 opens the door for environmentally induced change in parents to alter developmental trajectories of 448 offspring in an anticipatory manner (Wang et al., 2017), facilitating an epigenetic-environmental 449 matching across generations (Marshall & Uller, 2007).

450

451 As a role for epigenetic inheritance in anticipatory parental effects gains support, comparatively fewer 452 studies have investigated potential epigenetic contributions to within-generation anticipatory 453 responses. Yet many of the anticipatory phenotypic changes common in seasonal environments (e.g., 454 migration, hibernation) are strongly encoded by circannual rhythms (Helm & Lincoln, 2017). These 455 circannual rhythms are regulated primarily by the epigenome, and many of the underlying candidate 456 gene pathways driving seasonal phenotypes are governed by DNA methylation (Alvarado et al., 457 2014). As the most "proximate" type of epigenetic change, DNA methylation may be central to within-458 deneration anticipatory plasticity. Indeed, shifts in DNA methylation patterns accompany anticipatory 459 physiological responses following exposure to glucocorticoid hormones in experimental rodent studies 460 (Thomassin et al., 2001). Eastern chipmunks (Tamias striatus) adaptively increase reproductive 461 output ahead of a pulse in beech seed production (Tissier et al., 2020) and they also exhibit 462 concomitant increases in DNA methylation (Leung et al., 2020). In non-resource pulse years, 463 however, rates of DNA methylation are low, and reproduction is minimal or absent entirely, suggesting 464 that epigenetic variation may support anticipatory reproductive plasticity in this population (Leung et 465 al., 2020).

466

467 4.2 The neuroendocrine system

468 In vertebrates, the neuroendocrine system is an integrator network that can sense cues of 469 environmental conditions and coordinate downstream phenotypic responses (Dantzer, 2023; Martin et 470 al., 2011). Complex physiological linkages between the nervous system (i.e., the brain) and the 471 endocrine system (i.e., various organs and glands) serve to integrate intrinsic and extrinsic cues, 472 resulting in both transient and long-term shifts in phenotype. The neuroendocrine system mediates 473 many physiological processes central to organismal survival and fitness, including reproduction, 474 growth, development, behavior, and the stress response, as well as governing linkages among these 475 phenotypes.

476

477 The hypothalamic-pituitary-adrenal (HPA) axis, a cascade of hormonal feedbacks that begins with 478 integration of environmental information by the brain and culminates in the production of 479 glucocorticoid hormones (GCs) by the adrenal glands, is central to the physiological stress response 480 and regulation of metabolism (Sapolsky et al., 2000). Anticipatory increases in GCs can be induced in 481 response to a variety of cues, including those of conspecific rivals or predators (Boonstra, 2013), or 482 merely in anticipation of the metabolic demands associated with either reproduction (in seasonally 483 breeding animals) or migration (Romero, 2002). The HPA axis has thus been viewed as a primary 484 mechanism mediating many anticipatory responses, particularly those that involve integration of 485 predictive cues of environmental stress like anticipatory parental effects (Hau et al., 2016). Such

486 effects may occur via direct transfer of GCs to offspring, or indirectly by altering parental care 487 behavior (Champagne & Meaney, 2006; Moore & Power, 1986; Wilcoxon & Redei, 2007). For 488 instance, anticipatory maternal adjustments to offspring growth in response to increased conspecific 489 competition are triggered by maternal GCs in red squirrels (Dantzer et al., 2013). Similar parental 490 effects have been uncovered in sticklebacks (Giesing et al., 2011) and mice (Santarelli et al., 2014), 491 whereby mothers facilitate offspring phenotype-environment matching through altered GC production 492 and/or transfer. In European starlings (Sturnus vulgaris), higher concentrations of yolk GCs enhance 493 flight performance in fledglings (Chin et al., 2009). Other studies have documented concomitant, 494 rather than causal, changes in GCs with anticipatory responses suggestive of HPA axis involvement. 495 For instance, repeated predation attempts result in lower GC production and smaller clutches in 496 female birds (Travers et al., 2010). GCs and associated enzymes (e.g., 11β-HSD I and II) are central 497 to anticipatory physiological shifts in migrating birds, particularly before long migrations, in response to 498 photoperiodic cues of impending seasonal change (Pradhan et al., 2019).

499

500 The preparatory changes associated with migration are also mediated by the hypothalamic-pituitary-501 gonadal (HPG) axis, which includes the release of many of sex steroids like estrogens, progesterone, 502 testosterone (Ramenofsky et al., 2012). Prior to migration, changes in the HPG axis can enhance 503 future flight performance by causing reproductive organs to shrink (Bauchinger et al., 2007); the 504 preparatory release of androgens stimulated by photoperiodic cues can also increase fat stores that 505 serve as the main fuel source for migration (Tonra et al., 2011). Anticipatory increases in testosterone 506 production may enhance organismal performance in future competitive encounters (Gleason et al., 507 2009). Integration of both abiotic (photoperiodic) and biotic (demographic) cues by the HPG axis 508 underlie sexual plasticity in fish (Liu et al., 2017), which can have implications for anticipatory 509 responses to predicted composition of the social environment (Le Roy et al., 2017). Finally, the HPG 510 axis offers a potential route through which plant secondary metabolites may influence reproductive 511 physiology. Phytohormonal compounds like phytoestrogens found in plants can bind to estrogen 512 receptors in vertebrate consumers of those plants, potentially altering reproductive physiology (Fidler 513 et al., 2008; Labov, 1977).

514

515 Other hormonal cascades beyond the HPA and HPG axes may also play an important role in 516 anticipatory plasticity. For instance, melatonin is released by the pineal gland and is critical for the 517 maintenance of circadian rhythms, which are inherently anticipatory in nature, and which can mediate 518 reproductive behavior (Ohta & Konishi, 1992). Ghrelin and leptin–hormones related to metabolism, 519 appetite, and fat storage–may be key to anticipatory adjustments in metabolic phenotype ahead of 520 changes in resource availability. Indeed, administration of leptin, which stimulates appetite, can 521 modify brood numbers by acting as a cue of energetic supply in great tits [*Parus major*, (Lõhmus &

522 Björklund, 2009)]; ghrelin, which stimulates appetite, regulates preparatory feeding behavior in

523 hibernators like ground squirrels [Spermophilus lateralis, (Healy et al., 2010)]. In some species,

524 oxytocin and vasopressin are crucial to the integration of olfactory cues and may therefore be involved

525 in the integration of predictive cues related to conspecific densities and predator abundance (Bielsky 526 & Young, 2004).

527

528 4.3 The commensal gut microbiota

529 The past few decades have ushered in a growing appreciation for a regulatory role for the trillions of 530 commensal and symbiotic microorganisms like bacteria that inhabit different host body sites in the 531 broader physiology, behavior, and fitness of their hosts. Microbial symbionts, particularly those in the 532 gastrointestinal tract where the microbiota is especially dense and diverse (hereafter, gut microbiota), 533 are highly sensitive to both intrinsic (i.e., within-host) and extrinsic (i.e., environmental) inputs. As 534 such, gut microbiota may be powerful transducers of predictive cues, and microbial sensing may 535 expand the range of cues that a host can detect [e.g., by integrating non-photic cues to adjust host 536 circadian rhythms, (Choi et al., 2021)]. Because microbiota have co-evolved alongside their hosts 537 over evolutionary time (Lim & Bordenstein, 2020), they may ultimately serve as a mechanism of rapid 538 ecological adaptation by providing the mechanistic architecture necessary to facilitate the evolution of 539 anticipatory plasticity (Alberdi et al., 2016).

540

541 Gut microbiota can contribute to host responsive plasticity, for example by reorganizing in response to 542 immediate shifts in diet (Baniel et al., 2021; David et al., 2014), toxin exposure (Kohl et al., 2014), and 543 thermoregulatory demands (Khakisahneh et al., 2020; Moeller et al., 2020; Zhang et al., 2018). While 544 data on gut microbial contributions to anticipatory plasticity remain sparse, gut microbiota may confer 545 metabolic flexibility in light of impending environmental change, extending the range of phenotypes 546 that can be produced in response to predictive cues by increasing energy available for hosts to draw 547 from. Gut microbiota can enhance nutrient assimilation during periods of nutritional shortfalls through 548 the production of microbial metabolites like short-chain fatty acids (SCFAs), which serve as de novo 549 energy sources for hosts (den Besten et al., 2013). Gut microbial production of SCFAs can buffer 550 hosts during periods of resource scarcity (Mallott et al., 2022), promoting organismal adaptation to 551 extreme environments (Zhu et al., 2024). In birds, the metabolic demands of migration may be 552 compensated for, at least in part, by preparatory changes in gut microbiota that enhance host energy 553 harvest (Risely et al., 2018), and increased fat deposition in hibernating animals ahead of winter is 554 regulated by functional change in the gut microbiota (Sommer et al., 2016; Xiao et al., 2019; Zhou et 555 al., 2022). Intriguingly, microbial contributions to host metabolism may even allow hosts to 556 compensate for some of the physiological costs of anticipatory responses, minimizing associated lifehistory trade-offs [e.g., by fueling catch-up growth while minimizing oxidative damage, (Dantzer et al.,
2013, 2020)].

559

560 Gut microbiota may also mediate anticipatory shifts in host behavior and reproduction by interacting 561 with other host physiological systems. Gut microbiota communicate with the host neuroendocrine 562 system through a bidirectional "gut-brain axis", for which evidence now exists beyond the lab in free-563 living and wild populations (Noguera et al., 2018; Petrullo, Ren, et al., 2022; Stothart et al., 2016). 564 Through this axis, gut microbiota may drive anticipatory behavioral responses by sensing cues of 565 future conditions, altering microbial production of hormones or other molecules, and eliciting 566 behavioral or reproductive change [e.g., by increasing mating or foraging behavior ahead of changes 567 in food and/or density, (Davidson et al., 2020; Schretter, 2020)]. Gut microbiota may also serve as a 568 secondary detection system following primary integration of a predictive cue by the HPA axis. Indeed, 569 gut microbiota appear to play a role in neuroendocrine integration of olfactory cues in rodents 570 (Bienenstock et al., 2018), and microbial synthesis of hormones and other molecules can serve as 571 olfactory cues for conspecific communication in some species (Ezenwa & Williams, 2014). Further, 572 gut microbiota may integrate dietary cues to induce downstream epigenetic modifications that underlie 573 anticipatory phenotypic adjustments (Gilbert, 2005; Jaenisch & Bird, 2003). Interactions between 574 commensal gut microbiota and intestinal pathogens may cue anticipatory changes in reproductive 575 investment [e.g., via fecundity compensation (Schwanz, 2008)], suggesting that microbial sensing of 576 pathogens may index future mortality risk and/or conspecific density. As host interaction with 577 symbiotic microbiota during development primes later-life immune function and pathogen resistance 578 (Knutie et al., 2017), gut microbiota may also induce anticipatory modulation of the immune system in 579 response to cues of the future pathogenic environment (Bäumler & Sperandio, 2016).

580

581 Finally, the origin source of the many commensal microbial communities is the parental microbiome, 582 which is vertically transmitted to offspring during development in mammals and other taxa 583 (Funkhouser & Bordenstein, 2013; Murphy et al., 2023). Thus, in response to predictive cues about 584 the offspring environment, parents may calibrate their own microbiota to facilitate transmission of a 585 customized suite of microbiota to offspring, shaping microbially-mediated developmental trajectories 586 in anticipation of future conditions (Amato et al., 2024; Murphy et al., 2023). In support of this 587 hypothesis, recent studies suggest first-time nonhuman primate mothers transmit more milk-digesting 588 microbiota to offspring, maximizing offspring capacity for milk nutrient assimilation in anticipation of 589 the lactational constraints associated with primiparity [vervet monkeys, (Petrullo, Baniel, et al., 2022); 590 gelada monkeys, (Baniel et al., 2022)]. In oviparous taxa, however, eggshells present a barrier to 591 vertical transmission: while they contain some maternal-origin microbiota, eggshells also contain 592 environmental microbiota from the nest, which may swamp or impede microbially-mediated maternal

593 effects (van Veelen et al., 2018). Moreover, although deterministic transmission may facilitate 594 transgenerational anticipatory plasticity when the offspring environment is predictable, stochastic 595 transmission may be an alternative bet-hedging strategy to maximize microbial variation among 596 offspring when the offspring environment is instead unpredictable (Björk et al., 2019; Bruijning et al., 597 2022; Donaldson-Matasci et al., 2013). Future studies investigating contributions of the commensal 598 microbiota to anticipatory plasticity will therefore benefit from testing alternative hypotheses of 599 deterministic (e.g., via precise transmission) and stochastic (e.g., via imprecise transmission) 600 strategies as a function of cue reliability.

601

602 5 ANTICIPATORY REPRODUCTION

603 The adjustment of one or more reproductive phenotypes in anticipation of future conditions (hereafter, 604 anticipatory reproduction) is a major mechanism regulating population density and structure in some 605 systems (Vekhnik, 2020). Anticipatory reproduction has been documented in a variety of taxa and can 606 occur at any point in the period before, during, and after reproduction (Figure 3). It can have profound 607 impacts beyond individual fitness: for instance, anticipatory increases in reproductive output ahead of 608 a resource pulse can be a counter-strategy by consumers to eliminate the lag in a population's 609 numerical response to an increase in prey (Boutin et al., 2006; Tissier et al., 2020), subverting 610 predictions of classic population ecological models (Ostfeld & Keesing, 2000). Below we discuss 611 some of the main types of anticipatory reproduction across taxa, and their underlying physiological 612 mechanisms where known.

	Reproductive phenotype		Example species
e delay	Delayed fertilization		Guppy (Poecilia reticulata)
Reproductive delay	Embryonic diapause	Least weasel (Mustela nivalis)	Fruit fly (Drosophila melanogaster)
	Embryonic resorption/litter size plasticity	European rabbits (Oryctolagus cuniculus)	North American red squirrel (Tamiasciurus hudsonicus)
	Spontaneous abortion	Norway rat (Rattus norvegicus)	Gelada monkeys (Theropithecus gelada)
	Pace of maturation	Indianmeal moth (Plodia interpunctella)	Australian redback spiders (<i>Latrodectus hasselti</i>)

613

Figure 3. The remarkable breadth of anticipatory reproduction. Anticipatory shifts in reproductive phenotype
 spans a wide array of taxa, manifested through various reproductive strategies and highlighting the adaptive
 mechanisms that enable species to successfully navigate by optimizing functional traits like reproductive timing
 and litter sizes. This broad range of taxa–from insects to non-human primates–underscores the evolutionary
 significance of anticipatory reproductive mechanisms in fluctuating environments.

619

620 5.1 Reproductive delay

Reproductive delays can occur between mating and fertilization (delayed fertilization), fertilization and implantation (embryonic diapause), and during embryonic development following implantation.

623 Because similar underlying mechanisms are presumed to regulate delays at all three stages, many

- 624 organisms exhibit capacity for anticipatory reproductive delay at more than one stage. Some taxa, like
- bats, can exhibit delays at all three stages (Burns, 1981). Genetic mechanisms underlying arrested
- 626 reproductive delays include epigenetic changes (e.g., small RNAs, histone modifications), circadian
- 627 clock shifts, and signaling pathways of cell cycle arrest (Hand et al., 2016). Changes in the function of
- the HPG axis (including changes in the synthesis of estrogen, progesterone, and prolactin) are also

- thought to play a role in reproductive delays (Krishna & Bhatnagar, 2011). The stage at which
 reproductive delays occur may result from constraints within these underlying mechanisms of arrested
 development, and/or differences in the timing of cue perception.
- 632

633 5.1.1 Delayed fertilization

634 Delayed fertilization is often present in species in which sperm is stored in the female reproductive 635 tract following copulation (Wimsatt, 1975). In bats, delayed fertilization is thought to be an anticipatory 636 response to seasonal change ahead of hibernation, though not all bats that exhibit delayed fertilization 637 hibernate (Racev & Entwistle, 2000). The ability of females to delay fertilization and maximize 638 reproductive success appears to also elicit anticipatory counter-strategies in males (Orr & Zuk, 2013). 639 In guppies, prolonged sperm storage facilitates adaptive timing of female reproduction, but increases 640 sperm competition. Males respond to expected rates of competition through sperm-priming in which 641 the final stages of sperm maturation are accelerated (Cardozo et al., 2020; Cattelan et al., 2018). 642 Similarly, male bats in species with delayed fertilization typically grow larger testes than those in 643 species without this type of delay (Orr & Zuk, 2013).

644

645 5.1.2 Embryonic diapause

646 Previously synonymous with delayed implantation, embryonic diapause is a distinct type of 647 reproductive delay characterized by a period of suspended development at the blastocyst stage prior 648 to implantation that can occur in insects, fish, mammals, and other taxa (Hand et al., 2016; Renfree & 649 Fenelon, 2017). Following embryonic diapause, implantation can be immediate or delayed (Renfree & 650 Fenelon, 2017). Diapausing mammals typically inhabit seasonal environments where day lengths, 651 precipitation, and ambient temperatures are unstable, and embryonic diapause offers an opportunity 652 for females to adaptively pause offspring development until conditions become more favorable 653 (Sandell, 1990). For instance, some weasels and badgers can reproduce as early as 3-5 months of 654 age but not give birth until the following year (Wright, 1942; Yamaguchi et al., 2006). In an extreme 655 example, solitary American black bears (Ursus americanus) can optimize reproductive fitness through 656 repeated conceptions with different paternities at multiple developmental stages (e.g., superfetation), 657 which are then diapaused and resumed together ahead of optimal conditions (Himelright et al., 2014). 658 However, some taxa exhibit embryonic diapause even where conditions are largely stable [e.g., in the 659 tropics, (Wimsatt, 1975)]. In some cases, predictive cues inducing embryonic diapause have been 660 identified but the underlying physiological mechanisms remain unknown; roe deer (*Capreolus* 661 capreolus) use photoperiod changes to adaptively pause embryonic development ahead of winter 662 without any change in HPG axis function (Aitken, 1974).

663

664 **5.2 Reproductive output**

665 The resorption of embryos in polytocous mammals is a flexible anticipatory strategy to adjust 666 reproduction at one of its earliest stages, and can be partial (some embryos resorbed), or less often, 667 complete (entire litters resorbed). In European rabbits (Oryctolagus cuniculus), up to 60% of litters can 668 be resorbed depending on the timing and onset of the breeding season (Brambell, 1944), and in 669 European brown hares (Lepus europaeus) and swamp rabbits (Sylvilagus aquaticus), resorption 670 occurs regularly (Conaway et al., 1960; Schroeder et al., 2011). Similar effects have been found in 671 house mice (*Mus musculus*), in which females can adjust sex-ratios through embryonic resorption 672 (Krackow, 1992). In montane voles (Microtus montaus), dietary and photoperiodic cues interact to 673 regulate resorption rates: resorption rates were lower (and thus litter sizes larger) in response to 674 experimental increases in sprouted wheat (Pinter & Negus, 1965), which is high in both fiber and 675 phytohormones that may interact with rodent reproductive physiology (Labov, 1977). These findings 676 echo recent work in livestock pigs in which litter sizes can be experimentally increased by modulating 677 the gut microbiota with a high fiber diet (Che et al., 2011; Veum et al., 2009), pointing to a potential 678 role for commensal microbiota in regulating resorption rates (and ultimately, litter sizes) in polytocous 679 mammals. In some cases, predictive cues related to future food scarcity can trigger complete 680 embryonic resorption. In the edible dormouse (Glis glis) that inhabits a resource pulse ecosystem, 681 complete resorption occurs across all reproductively active females in anticipation of food-poor years 682 (Vekhnik, 2019). Captivity can also increase rates of embryonic resorption, suggesting underlying 683 mechanisms related to the physiological stress response: while American mink (Negolae vison) can 684 strategically monopolize paternity through flexible embryonic resorption in the wild; in captivity, nearly 685 half of all embryos are consistently resorbed (Hansson, 1947).

686

687 In taxa with fast generation times and relatively low costs of reproduction, reproductive output can be 688 flexibly adjusted in response to predictive cues of both coarse- and fine-grained environmental 689 change. In mammals, however, reproduction-especially lactation-is long and energetically costly 690 (Speakman, 2008). Yet anticipatory reproduction continues to be documented in a variety of 691 mammals, especially in resource pulse ecosystems [e.g., (North American and Eurasian red squirrels, 692 (Boutin et al., 2006); yellow-necked mice, eastern chipmunks (Tissier et al., 2020), white-footed mice 693 (Marcello et al., 2008), edible dormice (Fidler et al., 2008)]. In these ecosystems, many herbivores like 694 rodents and ungulates rely heavily on pulsed seed, which comprise the majority of their diets 695 (Bogdziewicz et al., 2016). As a result, granivorous rodents like edible dormice (*Glis glis*) and eastern 696 chipmunks (*Tamias striatus*) typically only reproduce during mast years and remain inactive in years 697 when this phenomenon does not occur (Fidler et al., 2008; Tissier et al., 2020). Among ungulates, 698 wild boar (Sus scrofa) exhibit anticipatory reproduction depending on oak (Quercus spec.) masting by

adjusting estrus timing depending on a future oak mast, facilitating earlier or delayed mating
 depending on future resource availability (Cachelou et al., 2022).

701

702 The key challenge for consumer populations inhabiting resource pulse ecosystems is how to 703 maximize fitness in a rapidly changing environment. Some animals migrate (Kitchell et al., 1999). 704 while others use scatter- or larder hoarding to cope with the instability generated by resource pulse 705 cycles (Larsen et al., 1997; Zwolak et al., 2021). Increased reproductive output in anticipation of a 706 mast event serves as a potential counter-strategy by consumers to circumvent the lag in their 707 numerical response to a pulse in food by ensuring that inventies receive an optimal food supply when 708 their needs are greatest, promoting growth and survival. Presently, the underlying mechanisms that 709 mediate anticipatory increases in reproductive output in resource pulse ecosystems remains largely 710 unknown. Behavioral mechanisms like habitat selection do not appear to play a role (Dri et al., 2022). 711 To begin to build a framework for understanding the mechanistic underpinnings of anticipatory 712 reproductive rate increases in mammals, we can synthesize across what we know of reproductive rate 713 adjustments in other taxa (BOX 2).

714

715 BOX 2. A case study in Yukon red squirrels. Female North American red squirrels (Tamiasciurus 716 hudsonicus) in the southwest Yukon, Canada, exhibit anticipatory reproduction ahead of a resource 717 pulse at multiple levels. In the spring and summer months, before a 3-7 year boom in their primary 718 food source-masting white spruce (Picea glauca)-females reproduce earlier, increase in the number 719 of matings, have larger litters, and successfully recruit more offspring into the breeding population 720 (Boutin et al., 2006; McAdam et al., 2019). In some cases, females successfully wean two litters 721 (Boutin et al., 2006; Petrullo et al., 2023). While the ultimate mechanisms driving this response 722 include increased maternal reproductive success and higher lifetime fitness (Boutin et al., 2006; 723 McAdam et al., 2019; Petrullo et al., 2023), to date, the proximate mechanisms that facilitate 724 increased reproductive output remain unknown. Enhanced maternal access to food is a plausible 725 explanation (White, 2007, 2013), but no evidence for this exists in red squirrels: models suggest that 726 additional food is not necessary for increased reproductive output (Boutin et al., 2013), and food 727 supplementation and larger food hoards do not increase litter sizes (Larsen et al., 1997; Petrullo et al., 728 2023). Alternatively, dietary shifts that prioritize certain nutrients like amino acids, which are crucial for 729 mammalian reproduction, may fuel anticipatory plasticity (White, 2007). These nutrients could be 730 found in other non-pulsed dietary items available during mast years. For instance, eastern chipmunks 731 (Tamias striatus) increase reproductive output simultaneously with increased consumption of red 732 maple ahead of a pulse in their primary food source [beech seed, (Tissier et al., 2020)]. This suggests 733 that diet-switching may cue anticipatory reproduction in this population or perhaps reflect a closely 734 related mechanism.

735 However, there is no evidence of diet-switching in Yukon red squirrels in mast years. Instead, 736 squirrels may anticipate spruce mast events through exposure to springtime chemical cues that 737 reliably predict food availability in the coming fall. Specifically, the consumption of immature sexual 738 structures (e.g., the buds of white spruce), which emerge during the breeding season, may alert 739 consumers to an upcoming mast event via two distinct but not mutually exclusive pathways 740 (Descamps et al., 2008; Elliott, 1978). First, immature structures like buds contain VOCs and other 741 substances like phytohormones (Simons & Grinwich, 1989), which can influence the reproductive 742 physiology of consumers (Berger et al., 1981; Labov, 1977). Buds may differ in phytohormonal 743 composition and/or concentration in resource pulse years such that their consumption in the months 744 preceding a pulse induce anticipatory reproduction in a dose-dependent manner. Second, spruce 745 buds may contain different macronutrient profiles compared to mature seed. Indeed, bud consumption 746 induces a distinct microbial signature in the red squirrel gut microbiome that includes increases in taxa 747 producing SCFAs [e.g., Oscillospira, (Ren et al., 2017)]. These shifts mirror those induced via 748 increased fiber consumption, which in turn increases litter sizes in livestock (Veum et al., 2009). If bud 749 consumption increases in mast years, nutritional and/or chemical cues may therefore trigger 750 anticipatory reproduction by way of gut microbial reorganization. Such mechanisms may extend 751 beyond this system: for instance, chipmunks and boar gather most of their food from the forest floor, 752 limiting their access to immature seeds in the canopy. However, they may still pick up chemical and/or 753 nutritional cues of an impending beech mast by consuming springtime beech or oak flowers (Berger et 754 al., 1981; Tissier et al., 2020). Together, these hypotheses suggest possible neuroendocrine and/or 755 microbial mechanisms linking the chemical signals emitted by masting species of trees to anticipatory 756 reproductive change in their consumers.

757

758 **5.3 Spontaneous abortion**

759 Some animals can decrease investment in offspring-or terminate it entirely-in anticipation of a hostile 760 future environment for offspring. When paternity is certain, infanticide can increase male fitness by 761 accelerating a female's return to reproductive receptivity and preventing investment in offspring 762 unrelated to a new male. But infanticide presents a problem to females due to the high biological 763 costs of female reproduction. In some animals, females can use cues of novel males to induce 764 spontaneous abortion and avoid future infanticide in what has been termed the "Bruce effect" (Bruce, 765 1960). The Bruce effect has been documented in lab mice (Bruce, 1960) and Norway rats (Marashi & 766 Rülicke, 2012), and in wild populations of marmots [Marmota marmota, (Hacklander, 1999)] and bank 767 voles [Myodes glareolus, (Eccard et al., 2017)]. Evidence for this phenomenon also exists in 768 nonhuman primates: in gelada monkeys (Theropithecus gelada), 80% of gestations ended in 769 spontaneous abortion following take-over events where an extra-group male seizes a social group, 770 typically killing unrelated offspring (Beehner & Bergman, 2008; Roberts et al., 2012). In both

- examples, spontaneous abortion severs maternal investment in offspring doomed to a fate of
- infanticide. It also returns females to estrus sooner, facilitating earlier mating with the novel male. The
- proximate mechanism that underpins the Bruce effect remains largely elusive: in rodents, males may
- ⁷⁷⁴ "hack" female physiology via urinary or olfactory chemical cues (e.g., male estradiol reducing female
- prolactin (deCatanzaro, 2023), but females may also use chemical cues of novel males to adaptively
- terminate reproductive investment (Zipple et al., 2019). In geladas, a comparatively smaller capacity
- for olfactory cue detection use may suggest a different modality, including visual cues and/or non-
- target effects of the physiological stress response (Roberts et al., 2012).
- 779

780 5.4 Adjusted pace of maturation

781 Adjustments to maturation rates in response to predictive cues of the future competitive and/or mating 782 environment appear to be highly context-specific. In response to cues of high conspecific density, 783 larvae of the male Indianmeal moth (Plodia interpunctella) slow reproductive maturation to facilitate 784 the production of larger testes and more sperm, enhancing future competitive ability (Gage, 1997). 785 When cues instead index a low-density environment, males mature more rapidly as they will need to 786 spend more time locating females (Gage, 1997). During development, male Australian redback 787 spiders (Latrodectus hasselti) respond to chemical cues of females by accelerating maturation (at the 788 expense of body condition), but slow maturation (to facilitate attainment of better body condition) in 789 response to chemical cues of rival males (Kasumovic & Andrade, 2006). Similar manipulation of rates 790 of reproductive maturation in response to density cues have been described in vellow dung flies 791 (Blanckenhorn et al., 2007) and web-building spiders (Neumann & Schneider, 2016).

792

793 6 OPEN QUESTIONS

794

795 **6.1 Disentangling anticipatory plasticity from responsive plasticity**

796 Because of the elongated time lag between predictive cue detection and future conditions inherent to 797 anticipatory plasticity, distinguishing anticipatory responses can be difficult, but aided by two guiding 798 principles. First, responsive plasticity can be adaptive (i.e., favored and/or maintained by natural 799 selection), but does not have to be, while anticipatory plasticity is expected to always be adaptive: by 800 matching phenotypes to future environmental conditions, anticipatory plasticity should always lead to 801 increased fitness (Whitman & Agrawal, 2009). Measurement of direct fitness outcomes are thus 802 central to distinguishing between anticipatory and responsive plasticity. However, given the potential 803 for errors due to the extended time lag inherent to anticipatory plasticity, investigations should account 804 for potential error mitigation strategies (Wiley, 2006), for instance by considering both short- and long-805 term fitness outcomes (Ghalambor et al., 2007; Petrullo et al., 2023). Fitness measures would ideally 806 span the costs and gains associated with each phenotype expressed across each iteration of the

- environment in a factorial framework (DeWitt, 1998; Wiley, 2006). We recognize the difficulties of
 doing this in the field without long-term data on individual fitness across different environments; under
 controlled laboratory conditions, or through experimental manipulations like cross-fostering,
 phenotypes can be intentionally mismatched to future conditions in a factorial manner and resulting
 fitness outcomes guantified and compared (Storm & Lima, 2010).
- 812

813 Second, anticipatory plasticity is expected to evolve from responsive plasticity when cue reliability is 814 high and when organisms possess the intrinsic mechanisms necessary to support anticipatory 815 changes (Whitman & Agrawal 2009). Comparative studies in populations of the same species may 816 reveal otherwise hidden anticipatory responses (Cachelou et al., 2022), and comparative biological 817 surveys can illuminate the proximate mechanisms that mediate anticipatory plasticity (Guindre-Parker, 818 2018; Rubenstein et al., 2016; Vitousek et al., 2019). For instance, measuring physiological 819 responses to predictive cues in different populations of the same species (e.g., where one inhabits a 820 seasonal or resource pulse environment and the other does not) can offer insight into the 821 physiological systems that govern anticipatory responses. Further, studies in species in which 822 anticipatory plasticity is expected to be favored (e.g., because it would substantially boost fitness) but 823 does not occur can shed light on anticipatory constraints (Sandell, 1984). Despite relying on pulses of 824 catkins from masting trees, Siberian flying squirrels (*Pteromys volans*) do not exhibit anticipatory 825 reproduction in advance of a mast (Selonen & Wistbacka, 2016). Failure to foreshadow upcoming 826 mast may suggest unreliable (or absent) predictive cues, and/or physiological constraints that prevent 827 anticipatory modulation of reproductive output. Indeed, female flying squirrels can only increase 828 reproductive output when body condition improves, and as capital breeders, they may be unable to 829 use diet-switching or stored food to fuel anticipatory reproduction ahead of a mast (Selonen & 830 Wistbacka, 2016).

831

832 Finally, integrative methodological approaches that capitalize on recent technological advances offer 833 promise in revealing otherwise inconspicuous anticipatory responses. For instance, in suspected 834 cases of anticipatory reproduction in resource pulse ecosystems, coupling non-invasive observations 835 of feeding behavior with fecal DNA metabarcoding and/or metagenomics to track shifts in dietary 836 intake and composition (Ando et al., 2020; Srivathsan et al., 2016; Taberlet et al., 2007) can rule out 837 diet-switching hypotheses. Similar genomic approaches can be used to capture chemical or 838 phytohormonal exposure within the gut microbiota (Chanclud & Lacombe, 2017; lason, 2005), 839 providing insight into predictive cue modalities. In the absence of direct fitness measures, 840 experimental manipulation of factors driving responsive plasticity can provide support for adaptive 841 organismal anticipation of future conditions. In papilonid butterflies (Iphiclidies podalirius), 842 experimental manipulation of current food quality, photoperiod, and temperature-immediate cues

- inducing responsive plasticity-failed to explain seasonally-induced differences in body size,
- 844 suggesting that body size variation is instead an anticipatory response to upcoming seasonal change
- 845 (Esperk et al., 2013).
- 846

6.2 Predicting the future in a changing world

848 Global climate change has led to more extreme and unpredictable weather events in many parts of 849 the world (Thornton et al., 2014). As a result, climate warming may impede cue transmission and 850 recognition (Kelley et al., 2018; Sih et al., 2011), and/or decrease cue fidelity (Bonamour et al., 2019). 851 Increased artificial light at night can alter the reliability of photoperiodic cues (van Geffen et al., 2014). 852 and eutrophication caused by nutrient pollution may diminish an aquatic organism's ability to perceive 853 relevant shifts in photoperiod (Candolin, 2009). Visual cues can be obstructed by smog and 854 urbanization in terrestrial organisms (Proppe, 2022), air pollution can inhibit detection of olfactory and 855 chemical cues (Lürling & Scheffer, 2007; Weiss, 2022), and anthropogenic noise can interfere with an 856 organism's ability to detect important auditory cues (Kelley et al., 2018). These effects may shift 857 reliance toward co-opted, multimodal, and mosaic cues, which may be more robust to climate-induced 858 degradation of cue fidelity in affected populations (Abarca, 2019; Fuxjäger et al., 2019).

859

860 For anticipatory plasticity to evolve, moderate levels of environmental change are favored-if 861 environmental conditions change too fast, plasticity may cause phenological mismatches (Kronholm, 862 2022). Already, female ground squirrels in the Arctic have begun to emerge from hibernation before 863 males, reducing both male and female reproductive success (Chmura et al., 2023). Light and noise 864 pollution are disrupting phenological patterns of cross-species interactions (McMahon et al., 2017), 865 and molting-a typically adaptive anticipatory response to seasonal change-may become maladaptive 866 if snow cover becomes reduced (Zimova et al., 2018). Agricultural and industrial chemicals containing 867 xenoestrogens can bind to estrogen receptors, mimicking chemical cues that induce anticipatory 868 changes in reproduction and wrongly foreshadowing future conditions (Ottinger et al., 2011). To 869 minimize damage, populations relying on anticipatory plasticity to maximize fitness must therefore be 870 able to detect and reject outdated or deceptive cues to avoid the fitness costs associated with 871 phenotype-environment mismatching (McNamara et al., 2011)

872

Nonetheless, while anthropogenic change may reduce the reliability of cues, phenotypic plasticity may
remain a faster strategy to enhance fitness in response to environmental change than genetic
evolution [(Snell-Rood et al., 2018), but see (Fox et al., 2019)]. Populations with anticipatory
mechanistic architecture already in place may be better able to more flexibly synchronize key life
history traits like growth and reproduction to future food availability (Sun et al., 2018), facilitating

adaptation to shifts in resources caused by climate change (Charmantier et al., 2008; Clement et al.,

879 2023). Indeed, the epigenome-a potential mechanism underlying anticipatory plasticity-may speed 880 adaptive evolution during its earliest stages (Kronholm & Collins, 2016). Additionally, moderate levels 881 of gene flow driven by climate change may favor the evolution of anticipatory plasticity (Leimar & 882 McNamara, 2015). Alternatively, newly unreliable cues will weaken selection for plasticity (Gavrilets & 883 Scheiner, 1993), but can still preserve organismal fitness if they generate developmental variation 884 among individuals that increases the likelihood of a match to a future environment (Donaldson-885 Matasci et al., 2013). A transition from anticipatory plasticity to bet-hedging, and/or toward integrative 886 strategies combining the two, may thus be inevitable in populations where cue fidelity is disintegrating 887 as a result of global change (Cohen, 1966; Donaldson-Matasci et al., 2013).

888

889 7 CONCLUDING REMARKS

890 Compared to responsive plasticity, anticipatory plasticity has been comparatively understudied 891 despite its apparent ubiquity across phylogenies and ecologies, and its potential to serve as a 892 mechanism of rapid organismal adaptation to environmental change. Here, we provide a needed 893 overview of historic and recently documented cases of anticipatory plasticity, while at the same time 894 identifying commonalities and integrating across taxa to outline potential candidate mechanisms that 895 may underlie anticipatory plasticity. A better understanding of the commonalities between taxa that 896 exhibit anticipatory plasticity can help to pinpoint the ecological substrates, and underlying sensory 897 and physiological mechanisms that facilitate anticipatory responses. Consideration of populations in 898 which anticipatory plasticity is expected to be favored but is not observed may shed light on the 899 mechanistic constraints associated with predicting future conditions and mounting adaptive responses 900 in anticipation of those conditions. Advances in our understanding of the mechanistic architecture that 901 facilitates anticipatory plasticity are likely to come from highly integrative, ecophysiological studies that 902 leverage classic physiological tools and 'omics approaches to combine experimental frameworks with 903 field-based studies of wild animals in their natural environments (Aubin-Horth & Renn, 2009). Given 904 the scope at which HIREC continues to impact organisms and their broader ecosystems, a better 905 understanding of how anticipatory plasticity may help-or hurt-how populations respond to global 906 climate change remains a priority in the fields of functional ecology and organismal biology.

907

908 AUTHOR CONTRIBUTIONS

This study was conceived by Lauren Petrullo and Ben Dantzer. All authors (LP, BD, NJM, CT)

910 contributed to the writing and editing of this manuscript and approved the final version.

911

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918 CONFLICT OF INTEREST STATEMENT

919 The authors have no conflict of interests to disclose.

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