

1 **TITLE:** Cooperation, status, and altruism in a mixed society of Amazonian parrots

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18 accepted for publication.

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25 **ABSTRACT** 186 words

26 Parrots are a highly intelligent taxon whose complex behaviors in wild societies require description.
27 Here we observed 12 species of parrots, macaws, and parakeets in mixed flocks foraging on
28 exposed cliffs in southeast Perú. For each species, we developed a single bootstrapped index of
29 sociality from 9 derived metrics of abundance, chronology, functional roles, and agonistic
30 interactions. This multivariate index emphasizes species that join flocks in large numbers,
31 participate early, serve in functional roles, interact with others, and are socially dominant. We used
32 Random Forest (“RF”) algorithms to build nonlinear multiple regressions to assess and rank the
33 influence of a suite of taxonomic and morphometric factors on sociality. The RF models performed
34 well ($R^2 = 0.96$) and indicate species with smaller brains (controlled for body size) and lower
35 dispersal abilities are most social, though the underlying mechanisms may be indirect. In addition,
36 we document a distinct sequence of species flock participation where subordinate species serve
37 as nuclear species that initiate flock assembly and foraging, while dominant species serve as
38 sentinels, foraging after subordinates. This suggests cooperation in this mixed society features
39 sequenced tradeoffs and reciprocal altruism.

40 **INTRODUCTION** 599 words

41 Animal societies occur in a variety of forms, taxa, and settings and are important in structuring
42 ecological communities (Ehrlich and Ehrlich 1973, Emlen and Demong 1975, Van Schaik 1983,
43 Holldobler and Wilson 2009, Doody et al. 2013). Cooperating groups are popular because they
44 confer benefits for and reduces risks to member fitness, with the primary advantages being
45 increased provisions and decreased depredation (Krebs and Davies 1993, Raihani 2021). For these
46 reasons—and facilitated by their shared morphological and behavioral traits—most animal
47 societies consist of a single species. Stable and highly organized mixed societies, however, are
48 especially widespread in birds (Darling 1938, Morse 1970, Barnard and Thompson 1985, Chapman
49 et al. 1989, Hart and Freed 2003) and particularly abundant in tropical forest songbird communities
50 (Moynihan 1962, Munn and Terborgh 1979, Powell 1985, Thiollay 1999, Mangini et al. 2023).

51 Most descriptions of such “mixed flocks” classify groups based on their principal food
52 resource or physical niche (Powell 1985, Terborgh et al. 1990, Mangini et al. 2023). For example,
53 mixed flocks that cooperatively forage within a stable home range are distinguished from those that
54 feed on prey fleeing from swarms of army ants (e.g., *Eciton burchellii*), and from those congregating
55 at fruiting trees (Daily and Ehrlich 1994)—even though their species composition might overlap
56 (Munn and Terborgh 1979, Powell 1985, Jullien and Thiollay 1998). In addition, mixed flocks that
57 forage in the forest understory have a characteristically distinct microhabitat and composition than
58 canopy flocks—even though both might be territorial and structured by nuclear, sentinel, and
59 leading species (Munn and Terborgh 1979). Indeed, is it possible for all these kinds of mixed flocks
60 to exist simultaneously, yet completely independently, in the same patch of forest (Terborgh et al.
61 1990).

62 While they share many traits with such mixed flocks, multispecies foraging groups of
63 parrots (e.g., Chapman et al. 1989, Gilardi and Munn 1998) do not receive as much focus,
64 encouraging descriptions of their occurrence, organization, and behavior. Conveniently, parrot
65 flocks may be easily observed as they are conspicuous and often aggregate at geographically fixed
66 food resources. Early naturalists, for example, commonly noted large Carolina parakeet
67 (*Conuropsis carolinensis*) flocks at mineral licks in the United States (Wilson 1811, Harper 1857)—
68 a trait that contributed to the species’ exploitation and demise (Cokinos 2000). In Perú, mixed
69 societies of parrots, macaws, and parakeets gather in large numbers, daily, at exposed cliff banks
70 to forage on clays and minerals. Here, previous studies have documented broad visitation
71 patterns, habitat use, and motivations for consuming clays by such parrot groups (Gilardi and
72 Munn 1998, Gilardi et al. 1999, Brightsmith and Muñoz-Najar 2004, Brightsmith and Villalobos
73 2011). However, much remains unexplored about their structure, organization, and social status,
74 as well as how cooperation, altruism, and vigilance (Trivers 1971, Daily and Ehrlich 1994, Clutton-
75 Brock et al. 1999, Raihani 2021, Farine 2022) functions within these groups.

76 Through a partnership between an indigenous community, tourism business, and university
77 researchers (Brightsmith et al. 2008, Stronza and Durham 2008) we studied mixed parrot societies
78 along the Tambopata river in southeast Perú. We monitored parrot flocks and their behavior,
79 expanded on previous methods to quantify bird sociality (Jullien and Thiollay 1998, Van Houtan et
80 al. 2006), and documented cooperation, roles, and antagonistic interactions. We further used
81 machine learning to explore how sociality might be explained by a suite of taxonomic and
82 morphometric traits for each species. As a result, this study helps describe the dynamics of mixed
83 parrot flocks, expands on the literature of mixed bird flocks, examines potential morphological
84 factors encouraging geophagy, and explores how cooperation and altruism functions in different
85 mixed animal societies.

86 **METHODS** 1554 words

87 *Field Site & Species Monitoring*

88 The Tambopata Research Center (“TRC”, 13.136358° S, 69.609541° W) is in the province of
89 Tambopata, department of Madre de Dios, in southeast Perú. Moist tropical broadleaf lowland
90 forests—terra firme, várzea, and bamboo—characterize this hyperdiverse region (Erwin 1984,
91 Foster et al. 1994). TRC lies within the 2,800 km² Tambopata National Reserve, immediately
92 adjacent the Tambopata River and the 11,000 km² Bahuaja-Sonene National Park. Here human
93 access, use, and resource extraction are controlled (Kirkby et al. 2010, Asner and Tupayachi 2017).
94 Approximately 750 m south of TRC, along the river, the study site is a 10m tall × 100m wide cliff of
95 exposed clay (“collpa”).

96 During the dry season June–October 1999, we observed parrot activity (INRENA permit no.
97 53-99-9-INRENA-DGANPFS-DANP) from an observation blind at the collpa base, ~25m across a
98 small stream (Fig. 1). All counts and behavioral observations were facilitated by binoculars (8×32,
99 Leica, Trinovid BA), with camouflaged spotting scopes (15-40× zoom eyepiece, Bushnell,
100 Spacemaster) and video cameras (Hi8 with 10× zoom, Sony, no. CCD-v801) stabilized by tripods
101 (Velbon, no. EFL4). At 30 min before twilight (civil dawn data: <https://www.timeanddate.com/>),
102 researchers began listening for the first audible parrot calls while hiking to the observation blind
103 (Fig. 1a). During this hour, ≤ 15 species of parrots and macaws gather in the emergent trees and
104 vegetation above the cliff. In the blind, we recorded the timing and composition of the first sortie
105 that flies circles above the collpa (the “dance”) searching for a place to land (Van Houtan 1999).
106 When this lead group lands, the dawn flock begins. At 5 min intervals, we recorded the number of
107 individual birds from each species on the collpa, until the flock disbands. Though the dawn flock
108 activity required 2 observers, ≥ 1 remained until 16:00, recording sporadic parrot flocks throughout
109 the day. This protocol preceded (Van Houtan 1999, Van Houtan and Alvarez-Loayza 2006) and was
110 only partially followed by subsequent monitoring efforts (Brightsmith and Muñoz-Najar 2004,
111 Brightsmith and Villalobos 2011).

112 In addition to bird counts, observers recorded flock disturbances, sentinel alarms, and
113 agonistic interactions between species. Though birds may congregate in monospecific clusters on
114 the collpa (e.g., Fig. 1b-d), they mix and interact, sometimes agonistically. When one individual
115 displaces another (through gape lunges, bites, wing beats, body pushing (Serpell 1982, Marcuk et
116 al. 2020), or by dropping debris) we recorded the “winner” and “loser” species. We also recorded
117 flushes, defined as when > 50% of perched or foraging birds abruptly dispersed and—when
118 possible—noted the likely visual or audio cause. For flushes preceded by alarms, we recorded the
119 sentinel species (Fig. 1e). We reviewed 40 hours of recorded video to confirm field observations
120 and record additional behavioral data.

121 *Summarizing Flock Activity & Sociality*

122 We develop quantitative metrics from several observed traits of flock participation. We
123 characterize 9 components in 4 categories: (i) abundance, (ii) chronology, (iii) functional roles, and
124 (iv) interactions (see Table 1). As we are interested in mixed-species dynamics, we restrict all
125 analyses to observations of multi-species flocks.

126 To account for the broad range of species sizes (70–1200 g (Brightsmith and Villalobos
127 2011)), we describe abundance by biomass and separately by individuals, normalizing each by
128 observer effort (hr⁻¹ day⁻¹). Second, we calculate chronology across the full day and then within the
129 span of the dawn flock. The former is the time of the maximum abundance (in 5-min bins) for each
130 species. Due to the correlation between dawn and flock formation—Fig. 2, $R^2 = 0.77$, $p < 0.0001$ —

131 we rescale this time series by dawn time. (By contrast, unadjusted clock hours contain seasonal
 132 artifacts.) The latter chronology metric is the time (scaled 0–1) representing the maximum value of
 133 a locally weighted regression (“loess” (Cleveland and Devlin 1988)) fit to dawn flock abundance.
 134 Third, for functional roles, we tabulate the raw occurrences where each species participated in the
 135 pioneering dawn dance sortie and separately as an alarming sentinel. Fourth, we developed 3
 136 metrics to describe agonistic interactions. To account for status, displacement rate is the number
 137 of wins divided by the total interactions. Interaction rate is the number of interactions divided by
 138 total daily individual abundance for each species. Interaction breadth is the number of species
 139 interacted with divided by the total number of flocking species ($n = 12$). Table 1 summarizes these
 140 descriptions.

141 Non-parametric bootstrapping derived a single index of sociality from the observed traits.
 142 For each species, we sampled the full set of component values $n \times n$ times (9 recursive samples for
 143 each 9 components), with replacement, where each component is equally weighted by category (to
 144 account for the uneven distribution). We sum the results, divide by n , and replicate the process
 145 2000 times. Each replicate, as a result, represents the average cumulative value of 9 randomized
 146 full series draws from the complete set of 9 independent metrics. The resulting values are a
 147 multivariate and representative index of parrot sociality.

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category	component	description
i. abundance	1 biomass	aggregate biomass of birds of each species (kg hr-1 day-1), corrected for observer effort
abundance	2 individuals	aggregate number of individual birds of each species (birds hr-1 day-1), corrected for observer effort
ii. chronology	3 full day	daily hour of maximum species abundance, relatively scaled to sunrise
chronology	4 dawn flock	time of peak value of a LOESS regression fit to dawn flock abundance, rescaled 0-1
iii. functional roles	5 pioneer	tabulated occurrences where each species served in the pioneering dawn "dance" sortie
functional roles	6 sentinel	tabulated occurrences each species sounded a warning alarm call that flushed the flock
iv. interactions	7 displacement rate	number of times a species displaced another divided by the total agonistic interactions for that species
interactions	8 interaction rate	total number of agonistic interactions with other species divided by the species' total daily individual abundance (component #2)
interactions	9 interaction breadth	proportion of all species ($n = 12$) with which each species interacted

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151 **Table 1. Four categories of 9 total observed components of parrot sociality.** Flock monitoring
 152 revealed 9 traits relevant for flock formation, participation, anti-predator vigilance, and status (see
 153 Figs 2-5). See Methods for more details.

154

155 *Species Level Covariates*

156 The ornithology collections of 10 institutions provided specimen access and morphometric data.
 157 These are the Carnegie Museum of Natural History, Museum of Comparative Zoology (Harvard
 158 University), University of Kansas Biodiversity Institute, Natural History Museum (Universidad
 159 Nacional Mayor de San Marcos, Perú), Florida Museum of Natural History (University of Florida),
 160 Burke Museum of Natural History and Culture (University of Washington), Bernice Pauahi Bishop

161 Museum, Museum of Vertebrate Zoology (University of California), and the North Carolina Museum
162 of Natural Sciences. From study skins and spread wings, wing rules measured the wing chord (or
163 closed wing length, L_w) and the span from the carpal joint to the first secondary feather's tip (S_1) of
164 each wing. Vinyl measuring tapes recorded the curved and digital calipers the straight culmen and
165 lower mandible lengths. Body mass (Brightsmith and Villalobos 2011) and brain volume (Schuck-
166 Paim et al. 2008) is from published studies. Lacking the brain volume of *Brotogeris cyanoptera*, we
167 averaged the values of 6 congeners. To visualize forms, we imaged spread wings and bills of focal
168 species or their congeners on gridded surfaces.

169 To understand flight, we used L_w and S_1 to calculate the hand-wing index, total wing area
170 index, and total wing load. The hand-wing index ("HWI") is correlated with dispersal ability
171 (Claramunt et al. 2012, Claramunt and Wright 2017), an important trait in Amazonian forest birds
172 (Van Houtan et al. 2007). Derived from L_w and S_1 , $HWI = 100 * ((L_w - S_1) / L_w)$. Total wing area is a key
173 aerodynamic metric (Pennycuick 2008, Gagné et al. 2018b), summarized by an index ("TWA") that
174 equals $3S_1L_w$ (Claramunt and Wright 2017). Wing load is determined from reported body mass and
175 median TWA (Pennycuick 2008). Bill lengths captured factors relevant for dominance (Serpell
176 1982, Daily and Ehrlich 1994, Marcuk et al. 2020), we summed their straight and curved lengths.
177 However, due to the increased possibility for error in measuring curved bills, we excluded those
178 data. To describe cognitive aptitude we corrected for body size (Mace et al. 1981), taking the
179 residuals from best fit power model of brain volume and body mass (Krebs and Davies 1993). With
180 the taxonomic classifications of tribe, genus, and species (Schodde et al. 2013), these data are a
181 suite of potential model covariates.

182 *Random Forest Model Features*

183 In line with previous ecological studies (Gagné et al. 2018a, Gagné et al. 2018b, Becker et al. 2019,
184 Becker et al. 2020, Nicholson et al. 2023, Nicholson et al. 2024), we use Random Forest ("RF")
185 algorithms to build nonlinear multiple regressions to predict parrot sociality. RF models are a
186 machine learning tool with several advantages to linear least squares regression. RF models (i) are
187 scalable to large datasets including categorical and continuous features, (ii) accommodate both
188 nonlinearity and heteroscedasticity, (iii) present ensemble conclusions of decision trees made
189 from randomized subsets of predictor and response data, and (iv) visualize the partial influence of
190 multiple predictors on the model outcomes (Breiman 2001).

191 We trained an RF through resampling the dataset with 10-fold cross validation (repeated 5
192 times), set the number of model trees ($n_{tree} = 2000$) and by tuning the number of available
193 parameters at node splitting (m_{try}) for optimal performance. K -fold cross validation evaluates the
194 model by splitting the data into k equally-sized sets; fitting it on the $k-1$ sets and testing it on the
195 remaining held-out fold (Gareth et al. 2013). The routine loops for each k set, then summarizes
196 model performance by calculating the ensemble average R^2 across all k tests (we repeated the
197 entire process 5 times). We initially tuned and ran an RF using all available covariates ($n = 10$), but
198 as many variables were correlated, we retuned and ran an RF using only 5 inputs without
199 performance loss. These are: tribe, brain size, cumulative bill straight length, HWI, and wing load.

200 The R statistical language (RCoreTeam 2022) performed all analyses in macOS Sonoma
201 14.6.1 run on an 8-core M1 chip. The 'dplyr' and 'tidyr' libraries facilitated data wrangling (Wickham
202 et al. 2023) and the 'caret' and 'randomForest' libraries (Liaw and Wiener 2002, Kuhn et al. 2020)
203 trained, tuned, and fit RF regressions. The 'doParallel' library reduced computation times (Weston
204 and Calaway 2022). The 'pdp' library generated partial dependence data (Greenwell 2017). A third-
205 party repository (GitHub, *anonymized link*) contains data and code.

206 **RESULTS** 1049 words

207 We documented 16 species of Neotropical parrots (subfamily Arinae) at the TRC collpa (Fig. 1): 6
208 macaws, 5 parrots, 3 parakeets, and 2 parrotlets. Of macaws, we counted red-and-green (*Ara*
209 *chloroptera*, “RGMA”), blue-and-yellow (*Ara ararauna*, “BYMA”), scarlet (*Ara macao*, “SCMA”),
210 chestnut-fronted (*Ara severus*, “CFMA”), and red-bellied (*Orthopsittaca manilatus*, “RBMA”). The
211 parrots are the mealy amazon (*Amazona farinosa*, “MEPA”), yellow-crowned amazon (*Amazona*
212 *ochrocephala*, “YCPA”), blue-headed (*Pionus menstruus*, “BHPA”), white-bellied (*Pionites*
213 *leucogaster*, “WBPA”), and orange-cheeked (*Pyrilia barrabandi*, “OCPA”). The parakeets are the
214 white-eyed (*Psittacara leucophthalmus*, “WEPA”), dusky-headed (*Aratinga weddellii*, “DHPA”), and
215 cobalt-winged (*B. cyanoptera*, “CWPA”). We observed dusky-billed (*Forpus modestus*) and
216 Amazonian (*Nannopsittaca dacchileae*) parrotlets at the collpa but only in monospecific flocks,
217 after dawn. One additional macaw—blue headed (*Primolius couloni*)—was more furtive and
218 occasionally seen perched in the trees above the feeding flocks. We consider neither *F. modestus*,
219 *N. dacchileae*, nor *P. couloni* further. With the available technology (see Methods), the small
220 macaws—RBMA and CFMA—evaded distinction while foraging and we lumped all their data as
221 “GRMA.” We further analyze 11 species and 1 species complex (GRMA).

222 Above and on the collpa, we observed various parrot group formations and activities (Fig.
223 1). The most pronounced activity occurred in mixed species aggregations immediately after dawn
224 (Fig. 1b). Smaller—predominantly single species (Fig. 1c-d)—groups occurred sporadically
225 throughout the day. All species foraging on the collpa also perched in the vegetation above it (Fig.
226 1e), where some would alarm birds eating clay below (Fig. 1f). Though up to 9 nine species and
227 nearly 350 birds could be seen foraging on the collpa at one time, dawn flocks typically had peaks
228 of richness of 6 species, abundance of 200 birds (Fig 1g-h) and activity 10-20 min. after flocks
229 formed. Dawn flocks followed a consistent sequence of events and behavior (Fig. 2): sunrise,
230 signaling calls, dance sortie of nuclear species, first landing of leading pioneers, steady sequence
231 of arriving and leaving birds, followed by the end of feeding. Though dawn flocks might last > 80
232 min. (Fig. 2b), the median duration was 53 min. The dance sortie was typically brief (median = 3
233 min., Fig. 2b), and was tightly fixed to the timing of morning twilight (Fig. d-e). Over 99 days,
234 researchers observed (Fig. 2f) 583 daylight hours biased toward dawn, with (Fig. 2g) a cumulative
235 749 observer hours spread over 79 discrete days.

236 Corrected for observer effort, species demonstrated distinct chronologies of activity, with
237 most ($n = 8$) preferring dawn flocks (Fig. 3a). Assessed by total and peak abundance (Fig. 3a-b), 2
238 species (BYMA, SCMA) regularly joined both dawn and day flocks, while 2 others preferred day
239 flocks (RGMA, CWPA). Whether ranked by (Fig. 3c) biomass or (Fig. 3d) individuals, BHPA and
240 MEPA are the most abundant species recorded. We observed 50 BHPA and 30 MEPA individual
241 birds hr^{-1} in each dawn flock. Most species ($n = 8$), however, have ≤ 5 birds hr^{-1} in the dawn flocks.
242 Within the dawn flocks, species also demonstrated chronology preferences (Fig. 4). Though
243 congeners, YCPA is the first species to arrive, while MEPA is second to last (Fig. 4a-b). The 3 earliest
244 arriving species comprise the only pioneers (Fig. 4c) who lead dance sorties. This included both
245 small macaws (CFMA, RBMA) that while easily differentiated in flight, were not distinguished by
246 their dorsal plumage while foraging.

247 Flushes were common in dawn flocks (Fig. 5a, total = 1200, mean = 15.5), though most
248 (999, 83.3%) were not attributed to a direct cause. For flushes that were (Fig. 5b), 163 (13.6%) were
249 anthropogenic and 38 (3.2%) natural causes, with the leading known cause being passing tourist
250 boats and tourists conspicuously viewing flocks from open trails above the collpa. (Birds of prey
251 were common, however, with *Falco deiroleucus* and *Astur bicolor* actively attempting depredations

252 of OCPA, WEPA, and DHPA.) Flushes preceded by sentinel alarms (Fig. 5c, $n = 187$) were unevenly
253 distributed across 10 species. Four of the most common sentinels were late foragers in dawn
254 flocks (Fig. 4a). Interspecific interactions revealed their frequency and status (Fig. 5d-e). BYMA,
255 YCPA, and GRMA frequently interacted with many species, while SCMA, CWPA, and RGMA
256 frequently interacted with few species (Fig. 5d). Status largely reflects body size (Fig. 5e). The
257 largest species, RGMA, was uniquely dominant, with a win rate $> 50\%$ versus all other species. The
258 smallest species, CWPA, was always subordinate (win rate = 0%).

259 We build a cumulative sociality score (Fig. 6a) from 9 individual metrics (Table 1). These
260 metrics reflect flock abundance (Figs. 3), chronology (Figs 3-4), functional roles (Figs. 4-5), and
261 interactions (Fig. 5). The cumulative metric appreciates species (e.g., BHPA, MEPA) that have high
262 abundance, participate in dawn flocks, perform functional roles, and score high across 3
263 interaction metrics. The index relegates species (CWPA, WBPA) that do not express these traits. A
264 weighted, non-parametric bootstrap sampling routine created 2000 sociality scores for each
265 species (Fig. 6b) that retains the rankings of the raw score accumulation (Fig. 6a) while randomizing
266 the raw data.

267 Museum specimens and published data reveal a range of morphometric indices relevant
268 for status (Fig. 7a-c), flight (Fig. 7d-e), and cognition (Fig. 7f). Large macaws have the largest bills
269 (Fig. 7c), where the small macaws and parakeets have the highest HWI values (Fig. 7d) suggesting
270 greatest dispersal ability. The amazons have the highest wing loads (Fig. 7e). The fitted power
271 model of brain size to body mass has an exponent of 0.70 (consistent with fitted relationships in
272 birds (Krebs and Davies 1993)), revealing significant differences among species, even congeners
273 (Fig. 7f).

274 The morphometric and taxonomic variables display a variety of pair-wise relationships to
275 our sociality index (Fig. 8). Sociality increases as brain size (adjusted for body mass) decreases (Fig.
276 8a). Aerodynamic metrics have inconsistent relationships (Fig. 8b,e), bill size has a mid-domain
277 peak (Fig. 8c) while the Androglossini tribe is above Arini (Fig. 8d). The trained, tuned, and
278 optimized RF model performs well ($R^2 = 0.959$), ranking brain size ahead of HWI, wing load, bill
279 length, and tribe (Fig. 8f). Model performance was insensitive both to removing correlated
280 predictors and resampling procedures (see Methods). Partial dependence plots (Fig. 8g-j) display
281 bivariate influences on model predictions (\hat{y}). The confluence of small brains, small HWIs, high
282 wing loads and medium bills predict high sociality (Fig. 8g-h). Tribe has a negligible influence (Fig.
283 8j).

284

285 **DISCUSSION** 1400 words

286 While previous studies described geophagy in Neotropical parrots (Gilardi and Munn 1998, Gilardi
287 et al. 1999, Brightsmith and Muñoz-Najar 2004, Brightsmith and Villalobos 2011), here we develop
288 novel means to quantify various traits of cooperation and model their drivers with machine
289 learning. Our main result is deriving a multivariate index of sociality and relating it to relative brain
290 size. Fig. 8f shows that brain size is the strongest predictor of sociality, outperforming all factors.
291 Directly interpreted, this suggests that species with smaller brains are the most active in mixed
292 flocks, perhaps as they stand to gain the most from the advantages mixed societies provide. As
293 observed in other animal collectives, such cooperation might increase foraging opportunities,
294 foraging efficiency, and antipredator vigilance (Moynihan 1962, Ehrlich and Ehrlich 1973, Powell
295 1985, Terborgh 1990, Krebs and Davies 1993)—presumably increasing benefits and reducing risks
296 to survival and fitness (Krebs and Davies 1993). An alternative explanation for our results derives

297 from expensive tissue theory. As seen in mammals, frogs, and birds (Aiello and Wheeler 1995, Isler
298 and van Schaik 2006, Liao et al. 2016), this suggests species with larger brains possess a
299 comparative reduction in other metabolically demanding tissues, such as the gut. Here, small-
300 brained parrots may have high sociality scores because they have correspondingly larger digestive
301 tracts and, therefore, are most able to process soils and clays and extract their beneficial
302 nutrients. As we cannot test this idea directly, it merits further examination in this context, as well
303 as in mammal societies at mineral licks (Griffiths et al. 2020, Griffiths et al. 2023), and beyond.

304 The combination of pioneer, sentinel, and status data suggest reciprocal altruism functions
305 between species. Foraging on the exposed collpa (Fig. 1a) poses major risks, as birds perch
306 conspicuously in open areas with their vision restricted in foraging recesses (Fig. 1f). Flocks as a
307 result are hyper-vigilant, frequently alarming and flushing to safety (Fig. 5a). Here an interesting
308 tradeoff and separation of roles emerges. The 3 most vigilant sentinels (Fig. 5c: MEPA, SCMA,
309 BYMA) are the most antagonistic, dominant (Fig. 5de), and among the latter species in the dawn
310 flock sequence (Fig. 4ab). Nuclear species seldom serve as sentinels and the commonest
311 sentinels are never in the leading dance sortie (Fig. 4c). As a result, sentinel species guard before
312 feeding, sounding alarms from perches in vegetation above the collpa. When it is their turn to feed,
313 sentinels land on the collpa where they often displace the feeding positions of pioneer species who
314 previously established foraging perches. Though most single-species social groups have pioneer
315 and sentinel roles (Clutton-Brock et al. 1999), here the roles are split between species. Other
316 mixed-species bird flocks also split such roles between species, but in those groups the roles
317 occur while all species forage simultaneously (Moynihan 1962, Munn and Terborgh 1979, Powell
318 1985, Terborgh et al. 1990, Hart and Freed 2003, Van Houtan et al. 2006). In this parrot society, the
319 roles appear characteristically sequenced in time. Dominant, highly interactive sentinels like MEPA
320 might delay foraging by > 20 min after subordinate pioneers like BHPA.

321 Congeners that shared many morphological traits also had distinct behaviors. The only
322 Amazon parrots, MEPA and YCPA, have highly similar body size, bill, wing, and brain metrics (Fig.
323 7). Ultimately, these are the top 2 ranked species in the sociality index (Fig. 6), but they arrive there
324 via different means. YCPA is a core nuclear species that was most frequent in the nuclear dance
325 sorties (Fig. 4) where MEPA is a late arriving species in the dawn flock (Fig. 4a) and the most
326 common sentinel (Fig. 5c). Our observations suggest their participation in foraging assemblages is
327 almost mutually exclusive. Furthermore, the 3 large *Ara* macaws also have similar morphometrics
328 (Fig. 7), are key sentinels (Fig. 5c) and are dominant over other species (Fig. 5e). However, RGMA
329 rarely join dawn flocks, where BYMA and SCMA prefer them (Fig. 3a). Interestingly, BYMA has a
330 noticeably smaller brain than either RGMA or SCMA (Fig. 7f) which may contribute to its higher
331 sociality score (Fig. 6). Differences in the social preferences among closely related congeners in
332 such mixed societies deserves more attention.

333 Future inquiries will improve on the present analysis. In all respects, ultra-high-definition
334 digital imagery and video will advance the efficiency, ability, and precision of monitoring. This will
335 help resolve the identification of cryptic (e.g., GRMA) and uncommon (*N. dacchileae*, *P. couloni*)
336 species, attribution of flock threats and sentinel alarms (Fig. 5bc), reveal additional fine-scale
337 interactions between species (Fig. 5de), and additional behaviors within species groups, such as
338 nested or multiple hierarchal tiers (Papageorgiou et al. 2019, Camerlenghi et al. 2022) or mate
339 selection. Combined with machine learning, such improved technology may also document the
340 patterns, syntax, and significance of vocalizations. New estimations of total bird wing area (Fu
341 2022) may further assess flight performance, but require wingspans which are not measured from
342 folded-wing study skins. Capture, marking, and biotelemetry present significant logistical

343 challenges but would yield unprecedented insights into individual movement and habitat use.
344 (Photographic identification is a potential non-invasive, alternative technique (Núñez-López et al.
345 2021).) In combination with biotelemetry and monitoring, feather ‘omics using bulk or compound-
346 specific stable isotope analysis (Gagné et al. 2018b, Van Houtan et al. *in press*) would provide
347 important diagnostic data on diet and trophic status. Replicating the present monitoring and
348 analytical protocols in other locations, seasons, and over longer time periods is of value. Together,
349 these methods may help assess the significance and selective pressures for cooperation and
350 organization in dawn and day flocks of parrots foraging at mineral licks. Lastly, as they tend to
351 range widely and more frequently encounter human hazards (Woodroffe and Ginsberg 1998, Van
352 Houtan et al. 2007, Laurance et al. 2011), social species may become more prone to local
353 extinction. Future attention should be given to the relationship between sociality and population
354 status in these parrots, especially outside of protected areas.

355 As unsupervised statistical procedures have the potential of spurious correlations and
356 overfitting (Gareth et al. 2013), our final model reduced the number of variables, averaged results
357 from a large number of trees, and deployed cross-validation. The resulting RF avoids bias and is
358 curated to the smallest set of taxonomic and morphometric predictors with directly relevant
359 mechanisms. Bill size was the only unadjusted variable for body size as it is a leading predictor of
360 parrot aggression (Serpell 1982, Marcuk et al. 2020). We optimized model performance by tuning
361 the *mtry* hyperparameter from a possible range of values of 1:5. Factor rankings were insensitive to
362 tuning, but larger *mtry* values skewed ranks. Though our analysis is a trait-based approach
363 (Debastiani et al. 2021), it is constrained within the subfamily Arinae. Within this taxon, it remains
364 possible that the data are phylogenetically structured (Felsenstein 1985). While this does not
365 violate decision-tree-based model assumptions (as it does for LLS regressions (Bielby et al. 2010)),
366 we took several measures to identify potential artifacts. First, out of 10 predictors, species and
367 genus were the 2 poorest performing predictors in our initial RF model (14% and 2% relative Δ MSE,
368 respectively). Second, in our final RF, the only remaining taxonomic variable again performed
369 poorest (tribe: 3% relative Δ MSE, see Fig. 8f). Third, most of the remaining predictors (brain size,
370 HWI, wing load) in the final model were corrected for the broad taxonomic influence of body size.
371 Finally, we ran 2 *post hoc* RFs with the data split by tribe. In each single-tribe RF, brain size was
372 ranked highly (Arini: 100% relative Δ MSE, Androglossini: 94% relative Δ MSE).

373 This study provides a comprehensive framework for describing the abundance and
374 behavior of mixed societies of parrots, providing further evidence that birds are a compelling taxon
375 for studying animal collectives (Gonzalez 2019, Farine 2022). We observed distinct patterns of the
376 abundance and chronology of flock participation for 12 parrot species (Figs. 1-4). Beyond basic
377 membership, species displayed canonical functions as nuclear and sentinel species (Figs. 4-5).
378 Agonistic interactions (Fig. 5) revealed a dominance hierarchy, with several pioneer species being
379 subordinate and sentinels dominant: partitioning and delaying the sequenced foraging of sentinels.
380 Our multivariate index of sociality derived from these data was best explained by brain size (Figs. 6-
381 8), providing a basis for future studies to examine further the physiological benefits of geophagy
382 and the potential roles of cognition, gut processing, flight performance, or other species traits, as
383 well as any broader relationship between relative brain size and cooperation in mixed animal
384 societies.

385

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409

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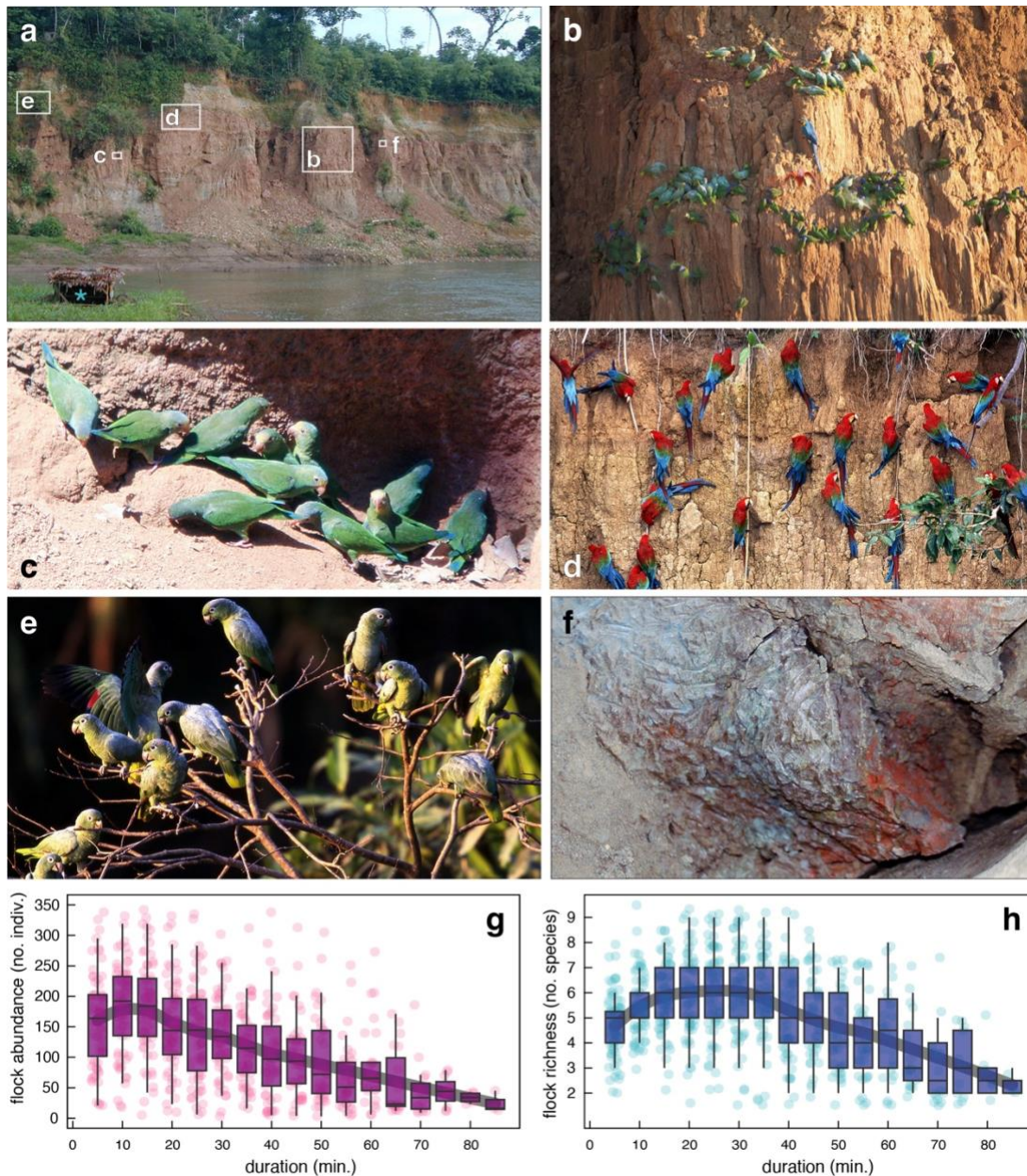
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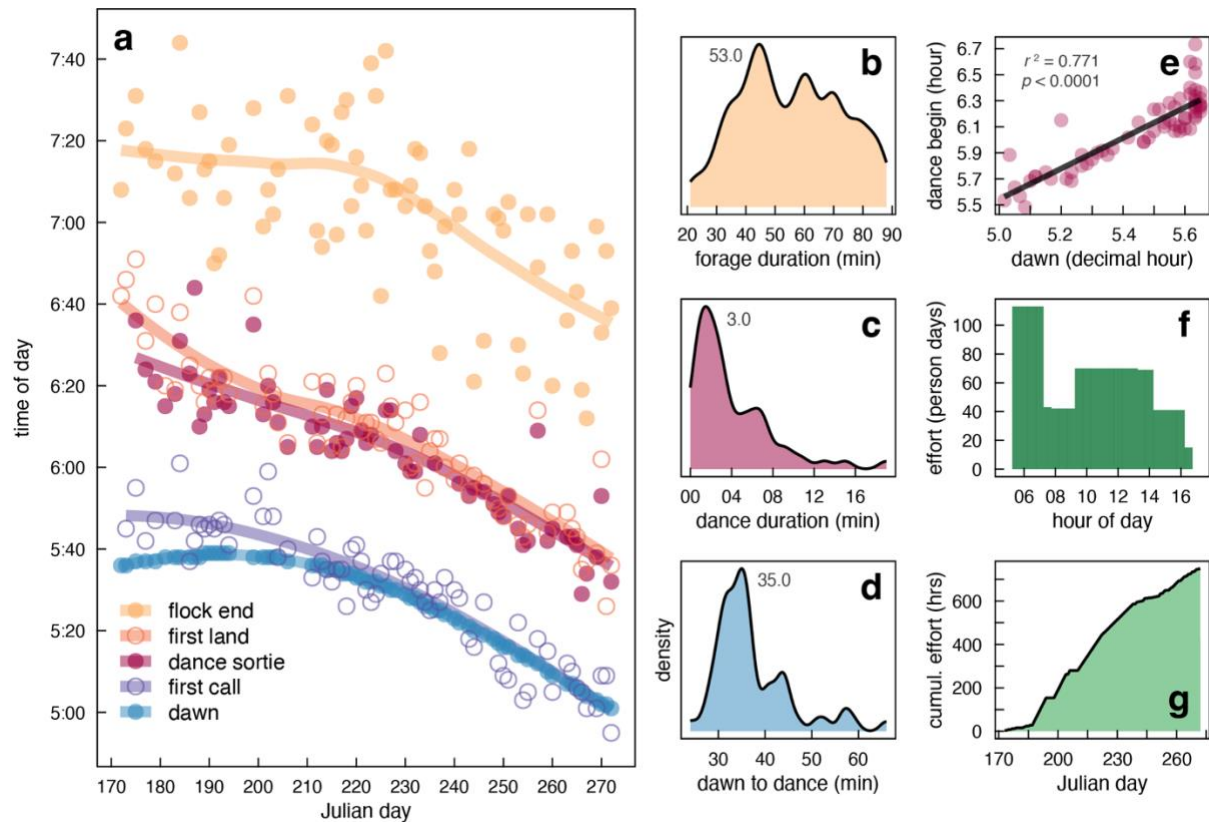
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551 **Figure 1. Parrots feeding on a clay cliff in Tambopata, Perú.** (a) A ~100m wide transect of exposed
 552 dirt revealing stratigraphic clay layers below *várzea* forest. Labelled cliff regions correspond to figure panels,
 553 with the Tambopata river and observer blind (denoted by *) in the foreground. (b) Mixed-species foraging
 554 aggregation that gathers near dawn, here contains 6 species: mealy amazon (*Amazona farinosa*), blue-
 555 headed parrot (*Pionus menstruus*), orange-cheeked parrot (*Pyrilia barrabandi*), chestnut-fronted macaw (*Ara*
 556 *severus*), blue-and-yellow macaw (*Ara ararauna*), and scarlet macaw (*Ara macao*). (c) Cobalt-winged
 557 parakeets (*Brotogeris cyanoptera*) and (d) red-and-green macaws (*Ara chloroptera*) forage throughout the
 558 day, often in single species groups. (e) Mealy amazons perched in a nearby tree serving as sentinels,
 559 sounding alarm calls to warn cliff-foraging birds of threats. (f) Bill markings on exposed cliff surface reveal
 560 mineral-rich clays. (g-h) Raw data (circles) and summaries (boxplots) of abundance and species richness of
 561 birds foraging in morning flocks ($n = 79$) from June-September 1999. Thick line is a locally weighted regression
 562 (“loess”), points are slightly jittered. Though foraging bouts may last over 80 minutes, peak abundance and
 563 richness occurs 10 and 20-25 minutes, respectively, after flock foraging begins. Images (c-e) provided by E.
 564 Hummel and used with permission, all other images from the authors.

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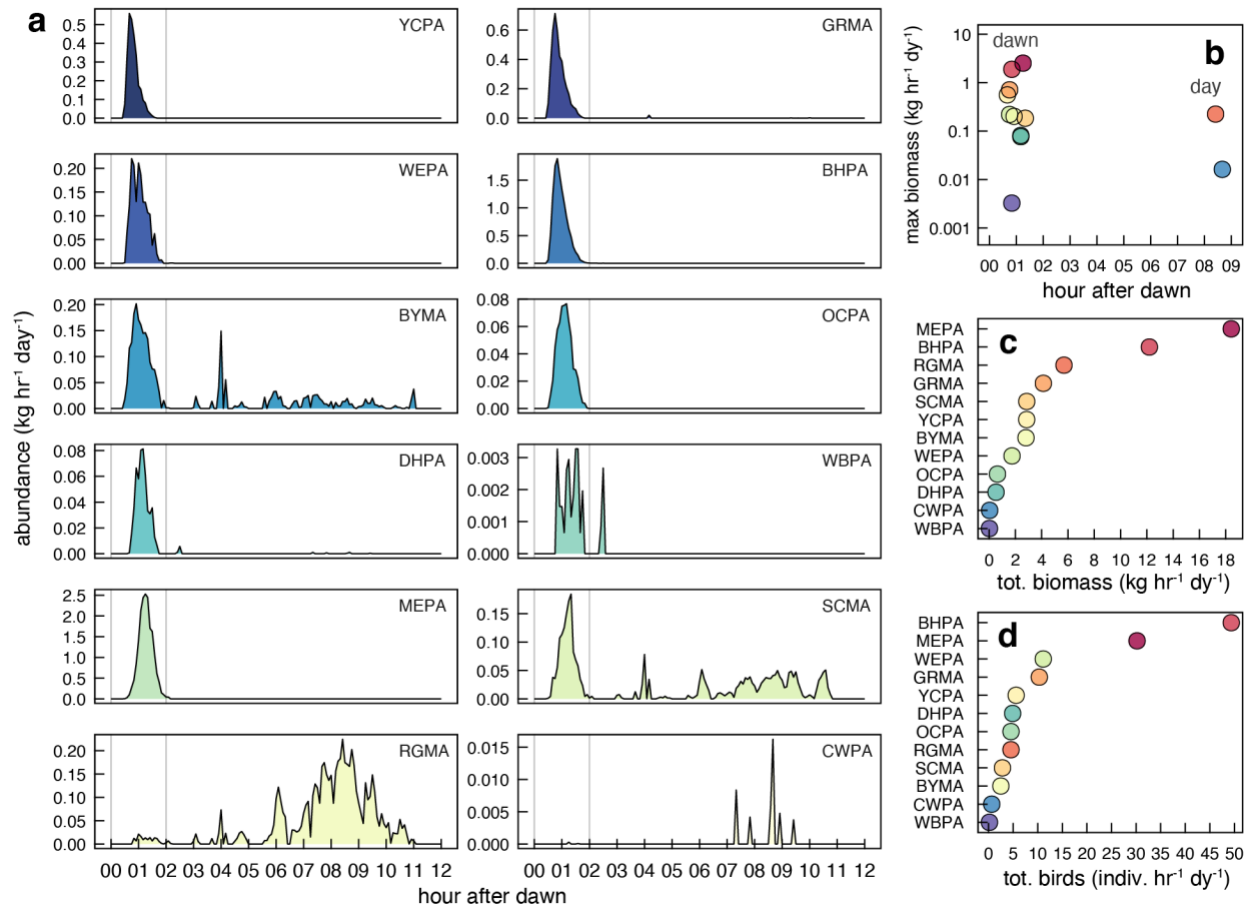


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568 **Figure 2. Dawn flocks of clay-foraging parrots have a characteristic behavioral sequence.** (a)

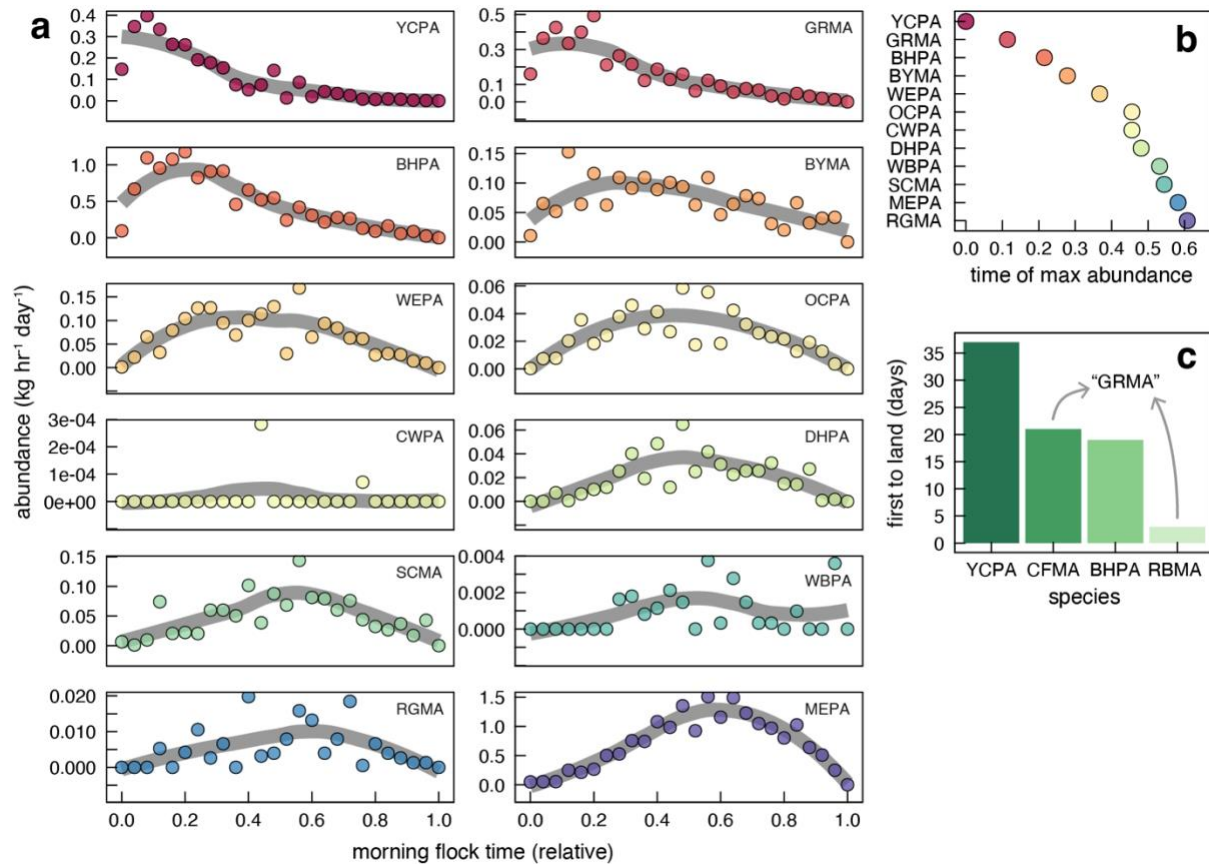
569 Raw observations (circles) and loess models (lines) document the chronology of dawn, first
 570 vocalization, multispecies sorties that encircle the cliff face searching for a landing site (“dance
 571 sortie”), first group landing, and foraging end. Density plots show the median duration of (b)
 572 morning flocks is 53 minutes and (c) dance flights is 3 minutes. The (d) median time elapsed from
 573 sunrise to the dance is 35 minutes, and (e) a linear model of dawn hour to dance hour suggests a
 574 causal relationship. Over a span of 99 days, observers monitored the clay cliff (f) from dawn until
 575 late afternoon, observing 583 daylight hours, with (g) 783 observer hours over 79 discrete days.



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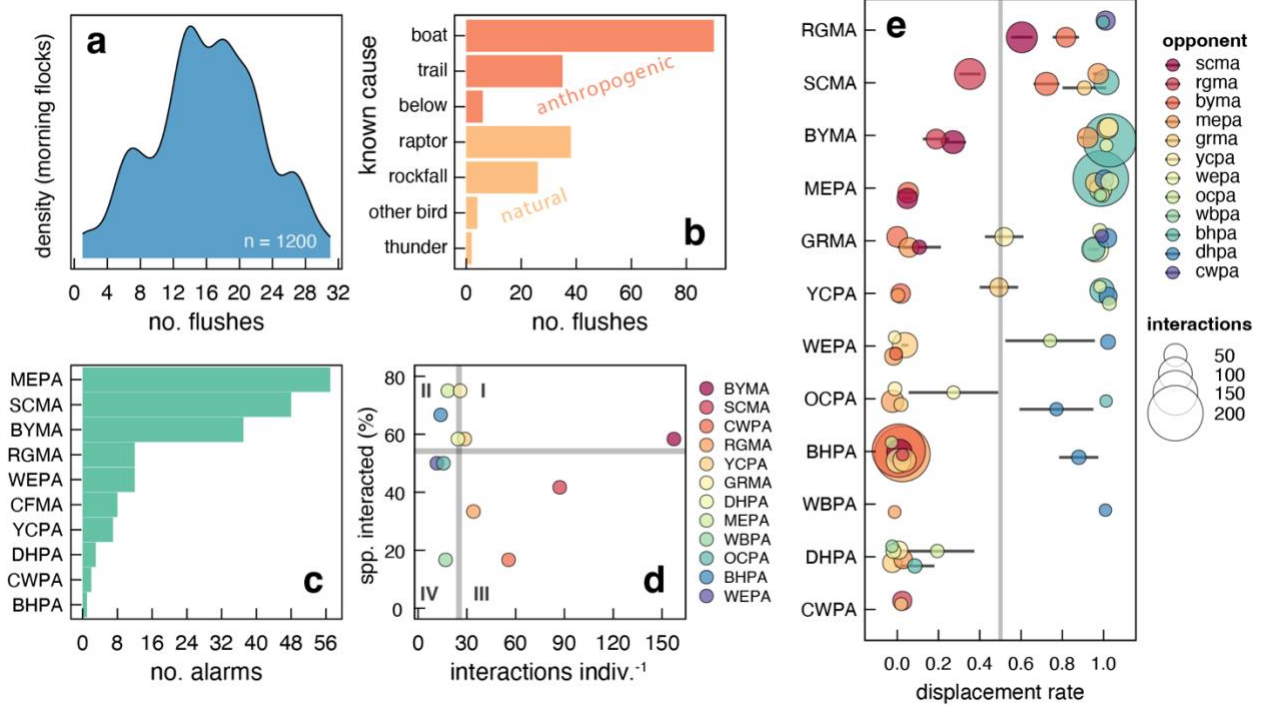
578 **Figure 3. Observed species abundance of dawn and day parrot flocks.** (a-b) Corrected for effort,
 579 species abundances map their chronology and peak occurrence. Uncommon in dawn flocks, (b)
 580 cobalt-winged parakeets (CWPA) and red-and-green macaws (RGMA) preferentially forage ~ 7-9
 581 hours after dawn. As the entire species set represents individuals with biomass of 70–1,200 g, we
 582 express total abundance in (c) biomass, and (d) number of individuals. Mealy amazon (MEPA) and
 583 blue-headed parrot (BHPA) top both lists. Panels in (a) are sorted chronologically by maximum
 584 species abundance, vertical grey lines mark the 2 hours after dawn. Filled-circle color symbology
 585 retained in (b-d). Due to the technological difficulty of distinguishing foraging small macaws on the
 586 cliff, “GRMA” combines red-bellied macaw (*Orthopsittaca manilatus*) and chestnut-fronted macaw
 587 (*Ara severus*) observations. Methods define all four-letter species codes.



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590 **Figure 4. Dawn flock sequencing and pioneer species.** (a-b) When considering dawn flocks
 591 alone, species show distinct early, middle, and late arrival tendencies. For each species in (a), grey
 592 line is a loess model of abundance, whose (b) maximum value captures sequence differences.
 593 Though congeners and both dawn-focused species, the yellow-crowned (YCPA) amazon is the first
 594 to arrive while the mealy amazon is last. (c) Observed pioneer species from dance sorties are first
 595 to land and therefore establish the dawn foraging events. Both small macaw species have
 596 significant pioneer roles.



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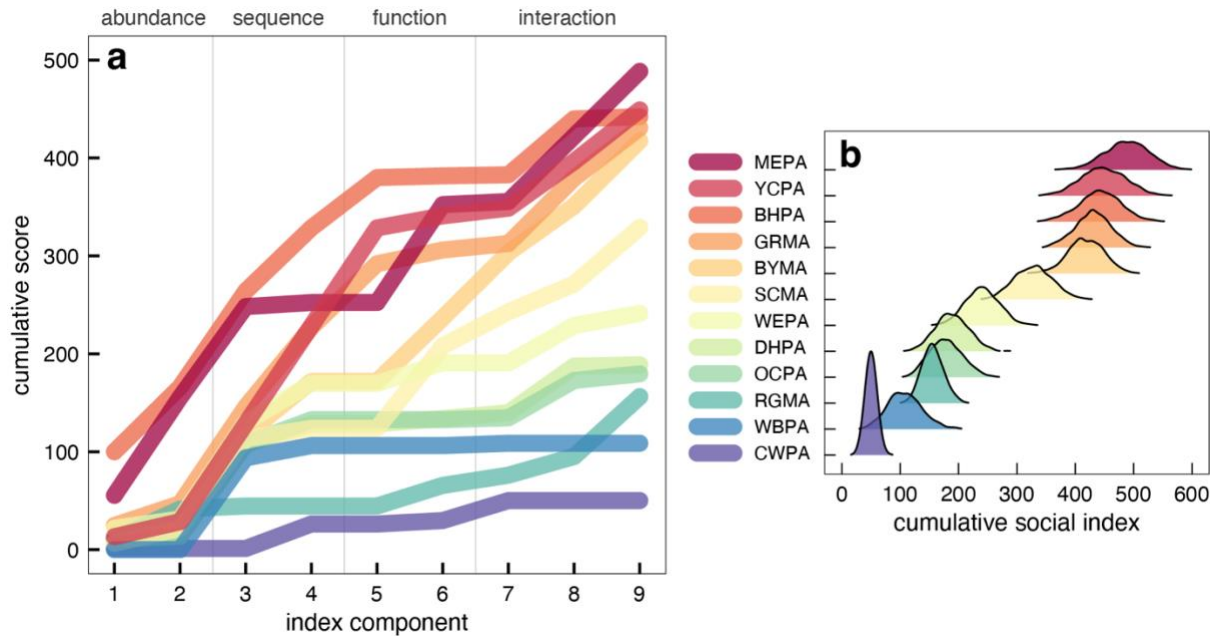
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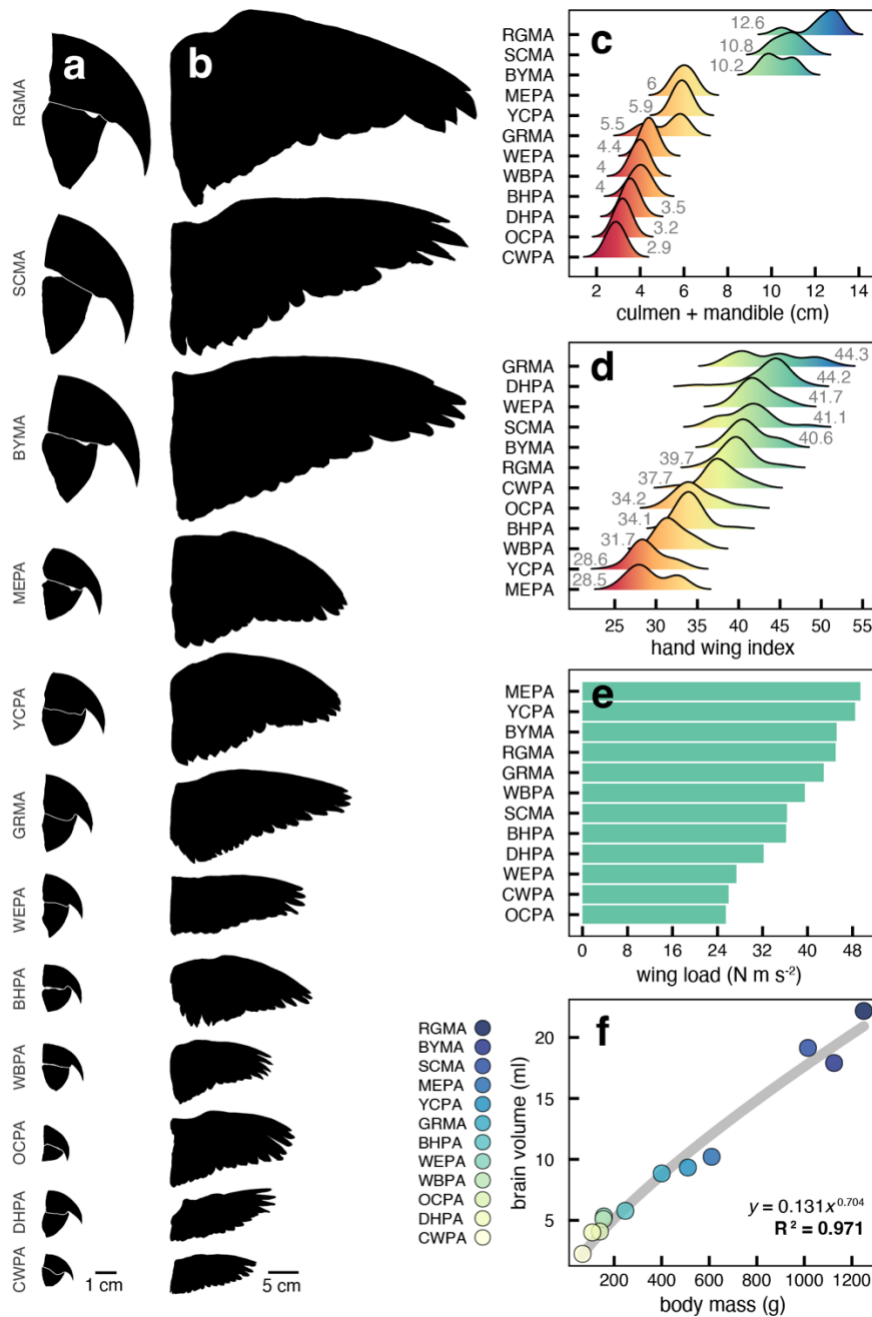
Figure 5. Flushes, sentinel alarms, and species interactions in flocks. (a) Flush events, where >50% of clay-foraging birds abruptly disperse from their cliff perches, are common and have (b) various causes. All anthropogenic known causes are ecotourism related: boats ferrying guests to view the dawn flocks, hikers exposed on the trails above the cliff, and unconcealed tourists on the observation beaches below. Flushes events are often preceded by alarm calls from sentinel birds perched in trees above the cliff. (c) Though 10 species served as sentinels, the most vigilant species (MEPA, SCMA) arrive late to forage in dawn flocks (Fig. 4ab). We observed 1,268 agonistic interactions between species, where an individual from one species displaces the foraging perch of another. Grey lines in (d) are the median values of each axis, defining four quadrants of interactions: (I) frequent with many species, (II) few with many species, (III) frequent with few species, and (IV) few with few species (see Methods). (e) Large-bodied macaws and amazons are dominant, winning most interactions. Vertical grey line is the 50% displacement (win) rate, circle size is the number of interactions, error bar is standard error.



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614 **Figure 6. Multivariate index derives and ranks parrot sociality.** (a) Our sociality index
 615 accumulates values from nine independent measured components (each rescaled to 0-100)
 616 across four distinct categories to capture a full suite of metrics that are important for mixed-
 617 species flocks of foraging parrots. These are abundance (1 = individuals, Fig. 3d; 2 = biomass, Fig.
 618 3c), sequence (3 = peak biomass hour, Fig. 3b; 4 = dawn flock order, Fig. 4b), function (5 = first
 619 landing, Fig. 4c; 6 = alarming sentinels, Fig. 5c), and interaction (7 = species interacted, Fig. 5d; 8 =
 620 interaction rate, Fig. 5d; 9 = displacement rate, Fig. 5e). (b) Using random sampling with
 621 replacement and equal category weighting, nonparametric bootstrapping generated a cumulative
 622 social index, replicated 2,000 times for each species (see Methods). The output (b) provides a
 623 robust convergence of species index values and rank order. Color legend shared in both panels.



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626 **Figure 7. Relevant morphological metrics comprise potential predictors of sociality.** Silhouette

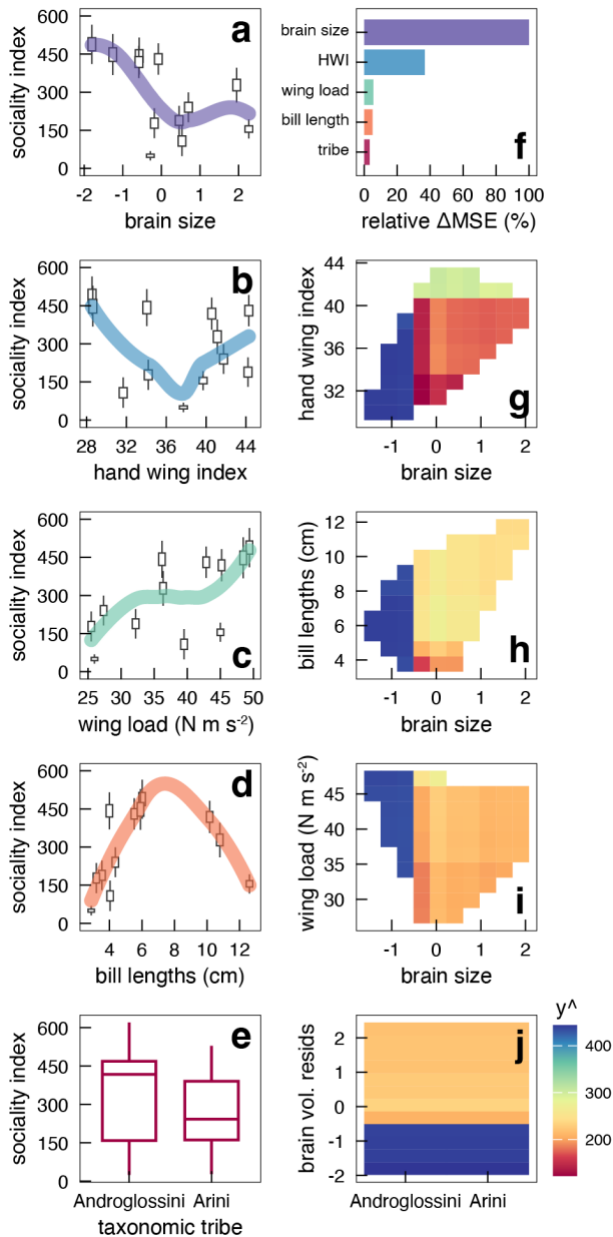
627 tracings of species (a) bills and (b) wings demonstrate morphometric patterns. (d) Aggregate

628 culmen and mandible lengths increase with dominance (see Fig. 5e), (c) hand wing indices predict

629 dispersal ability (Claramunt and Wright 2017), and (e) wing loads affect flight efficiency. Listed grey

630 values in (c-d) are sample medians. (f) Residuals from best fit of the power model of brain volume

631 to body mass (Krebs and Davies 1993) compare brain sizes.



632

633

634 **Figure 8. Small brain size and small hand wing indices drive sociality.** (a-e) Raw pairwise
 635 comparisons of sociality against five covariates show broad pre-model variable relationships. (a-d)
 636 Trends are loess regressions; boxplots describe species indices from Fig. 6b. (f) Pre-trained and
 637 optimized RF models ($n_{tree} = 2000$, $m_{try} = 5$) indicate brain size and hand wing index are the
 638 primary model drivers ($R^2 = 0.96$), bar colors correspond to (a-e) symbology. (g-j) Partial
 639 dependency plots display RF outputs, highlighting variable interaction in modeled predictions of
 640 sociality (y^{\wedge}), and the persistent importance of brain size.