1	Inbreeding and adaptation to captivity depress the response to stress
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22	Lay Summary
23	We explored the stress hormone changes (glucocorticoids) during a captive breeding program. Stress
24	affects reintroduction success and survival in the wild. Using 326 wild mice bred in captivity we show
25	that generations in captivity and inbreeding reduced glucocorticoids. This shows the positive
26	association of glucocorticoids and fitness in the wild.
27	

## 28 Abstract

The success of reintroductions using captive-bred populations of wild species is potentially impacted by adaptations to non-natural captive environments. Little research has been done into how physiological traits change from wild to captive populations. We do not yet understand how glucocorticoid secretion patterns, a critical aspect of the stress response and other underlying life-history traits, change in the captive environment. Here we used 326 white-footed mice (Peromyscus leucopus) to test how the baseline concentrations of fecal glucocorticoid metabolites (fGM) change as this wild rodent adapts to captivity and becomes increasingly inbred over several generations. Breeding protocols did not influence FGM but showed a strong decrease with generations in captivity, an effect driven by both plastic and genetic effects. We also found that juvenile fGM concentrations strongly predict adult fGM concentrations. This allows intra-generation effects, such as habituation, to be transformed into inter-generation effects. Lastly, the relationship between inbreeding and baseline fGM concentrations suggests that the intensity of baseline adrenal activity and mounted stress response is positively associated with fitness. Also, because the relationship is significantly stronger for females than for males, the result gives us some insight into the sex-specific adaptive value of fGM concentrations. 

#### 56 Introduction

57 Captive breeding has allowed wild endangered species to be propagated and re-introduced 58 successfully back into their natural habitats (Frankham, Ballou & Briscoe, 2002; Soorae, 2016). 59 However, the overall success of reintroductions is still low (Balmford, 2000). This may happen in part 60 because in captivity the effects of inbreeding, genetic drift or inadvertent selection on fitness-related 61 traits lead to a departure from the value displayed by their wild ancestors (Frankham, 2008; Lacy, 62 1993). In fact, captivity poses strong selective forces that can generate both rapid and considerable 63 shifts in phenotypic traits (Christie, Marine, French & Blouin, 2012; Frankham, 2008; Williams & 64 Hoffman, 2009) as well as restructuring of genetic variance (Lacy, Malo, Alaks, 2018). Thus, slowing 65 evolution in captivity seems necessary for successful reintroduction of captive-bred animals into their 66 native habitats (Lacy, 2009).

67 Despite the fact that genetic adaptation to captivity has been long recognized as a conservation 68 issue requiring research attention (Frankham & Loebel, 1992), we are only now starting to better 69 understand its effects on different taxa (Williams & Hoffman, 2009) and on different traits. There has 70 been research on life history (Price, 1972), behavioral (Lacy, Alaks & Walsh, 2013; McPhee, 2004) 71 and morphological traits (Lacy et al., 2013; O'Regan & Kitchener, 2005). It is worth noting however 72 that, except for model organisms such as *Drosophila* (Frankham, 2008) that are quite distantly related 73 to the multitude of endangered vertebrate taxa focus of captive breeding programs, most of the 74 research is not experimental and co-factors such as inbreeding are usually not controlled for (Lacy et 75 al., 2013). Fewer efforts have been devoted to characterize physiological changes in captivity (Malo, 76 Martinez-Pastor, Alaks, Dubach & Lacy, 2010).

Hormones are recognized as one of the drivers of life-history trade-offs (Ketterson & Nolan Jr, 1992; Zera & Harshman, 2001) and their response to captivity can affect fitness-related traits in ways that might be detrimental after reintroduction. The effect of multiple generations of captive breeding on physiological traits remains unexplored. Specifically, we lack understanding about how the hormones that underlie the response to stress might be affected. In captivity, one of the effects of the artificial environment on reproduction and survival can be chronic, continuous stress (Marti &

Armario, 1998). This can affect individuals, which respond plastically, or the captive population,
which can respond to those selective forces.

85 Populations of many vertebrate species experiencing stressful conditions, show elevated 86 glucocorticoid levels (for rodents see Boyle, de la Sancha, Pérez, Kabelik, 2021). Individual baseline 87 glucocorticoid levels (rather than stress-induced) have been used as a general indicator of an 88 organism's ability to respond to stress, but there is still debate about whether physiological responses 89 to stress are positively or negatively associated with individual or population fitness (Dickens & 90 Romero, 2013). Two main hypotheses have been proposed, the Cort-Fitness and the Cort-Adaptation 91 hypotheses. The Cort-Fitness Hypothesis states that because chronic stress can create a negative 92 energy balance or allostatic overload that can severely handicap individuals, glucocorticoid levels are 93 negatively associated with fitness (McEwen, 1998; McEwen & Wingfield, 2003). Although there are 94 many studies apparently supporting the negative association with fitness (Blas, Bortolotti, Tella, Baos 95 & Marchant, 2007), there is heterogeneity in the sign of the relationship between glucocorticoids and 96 fitness proxies. For example, several studies support the idea that baseline glucocorticoid levels 97 positively influence reproductive and viability fitness (Cabezas, Blas, Marchant & Moreno, 2007; 98 Comendant, Sinervo, Svensson & Wingfield, 2003; Meylan & Clobert, 2005), suggesting that 99 glucocorticoid levels can be used as indicators of individual and population quality (Bonier, Martin, 100 Moore & Wingfield, 2009; Dingemanse, Edelaar & Kempenaers, 2010). These later results have been 101 taken as support of the Cort-Adaptation hypothesis, which states that the positive relationship between 102 glucocorticoids and fitness is due to the hormone effects during the reproductive period (Bonier et al., 103 2009; Crossin, Trathan, Phillips, Gorman, Dawson, Sakamoto & Williams, 2012; Escribano-Avila, 104 Pettorelli, Virgos, Lara-Romero, Lozano, Barja, Cuadra & Puerta, 2013). Overall, our knowledge 105 about the effects of glucocorticoids on different biological functions remains limited, with the stress 106 response only being one of many. The above hypotheses about the glucocorticoid-driven adrenal 107 response can be confounded with general possibly seasonal and reproductive-related glucocorticoid 108 elevation that has differing functions influencing, for instance, activity levels, vigilance, foraging, and 109 interactions with other hormones. Captive populations offer a powerful tool to study this question as

they allow repeated sampling of individuals to control for seasonality-driven elevations glucocorticoidfluctuations.

Given that departures of baseline glucocorticoid levels in the captive population from the levels set by natural selection in wild populations can impact reproduction and survival —and hence reintroduction success, understanding how captivity impacts glucocorticoids and the stress axis in general concerns conservation biology. At the same time, from an evolutionary perspective, understanding how responsiveness to stressors evolves in captivity is relevant to our understanding of the forces generating phenotypic changes, including selection.

118 Our aim with this study was two-fold. First, we longitudinally measured fecal glucocorticoid 119 metabolite concentrations (fGM), via non-invasive fecal hormone metabolite monitoring across 120 populations and generations of a wild rodent species, the white-footed mice (Peromyscus leucopus 121 *noveboracensis*), to investigate the effects of generations in captivity, breeding protocols, inbreeding, 122 and genetic drift on general adrenal activity. We accounted statistically for a variety of potentially 123 confounding individual and environmental factors that can influence fGM, such as habituation, 124 seasonality, sex, and age. Second, given that inbreeding depression, due to the expression of 125 deleterious alleles (Charlesworth, 2009), leads to a decrease in fitness-related traits, the relationship 126 between the coefficient of inbreeding and a fitness-trait has been used to reveal the sign of the 127 relationship between fitness and the trait (Ketola & Kotiaho, 2009; Ketola & Kotiaho, 2012; Mallet & 128 Chippindale, 2011). Here we use for the first time the sign of the relationship between the coefficient 129 of inbreeding (f) and stress response (baseline fGM) to test the relationship between fitness and the 130 response to stress.

131

# 132 Materials and Methods

133 Mice, Breeding Protocols, and Replicates

In October 2001 fifty-one white-footed mice were trapped at Volo Bog State Natural Area,
Lake County, IL, USA, using Sherman box traps baited with peanut butter. After quarantine and
disease testing, mice were brought into a research facility at the Brookfield Zoo, Chicago Zoological
Society, Brookfield, IL, USA, to create the founder population. Nineteen pairings were set up, of

138 which 12 produced litters. The most productive 10 pairs gave birth to > 240 individuals (in 5–10 139 litters each) that were randomly allocated to six experimental groups (3 breeding protocols x 2 140 replicates; 20 pairs maintained each generation per protocol and replicate) and that subsequently bred 141 in captivity for 9 generations up to this study. The three breeding protocols were as follows: 1) The 142 mean kinship, MK, protocol, in which mean kinship was minimized (and gene diversity maximized) 143 by pairing males and females with the lowest average kinships to the rest of the population (Ballou & 144 Lacy, 1995; Fernandez, Toro & Caballero, 2004); 2) The docility, DOC, protocol, in which artificial 145 selection for docility was practiced by pairing males and females with the lowest scores for voluntary 146 gnawing and flipping behaviors; and 3) The random, RAN, protocol, in which individuals were 147 assigned to pairs in a random manner. The MK protocol represents the standard genetic management 148 protocol followed for the breeding of many established zoo populations (Ballou, Lees, Faust, Long, 149 Lynch, Bingaman & Foose, 2010). The DOC protocol aimed to mimic the kinds of purposeful or 150 inadvertent selections for docility that often also occur in captive-breeding programs. The RAN 151 protocol serves as a control with no intentional genetic management. Details of the breeding and 152 husbandry protocols and a summary of the changes in reproduction and behaviour across generations 153 are provided in (Lacy et al., 2013). The animal care protocols and experiments described here 154 complied with all current laws and were approved by the Animal Care and Use Committee of the 155 Chicago Zoological Society. A total of 326 mice (161 males and 165 females) from generations 1 156 (founder population consisting of wild-caught mice), 2, 3, 6 and 9 were included in the present study 157 (Table 1). The original study planned monitoring fGM changes for 5 generations. The decrease in the 158 fGM differences between generations 1, 2 and 3, led us to space the sampling of subsequent 159 generations —allowing for 2 generations between samples — to maximize the probability of 160 capturing meaningful fGM changes during later generations. By including a replicate for each 161 breeding protocol, we can estimate and account for the effects of random genetic drift as a 162 contributing factor to any divergence among experimental populations. 163 Long-term measurement of fGM, without the confounding effects of reproductive condition,

164 was logistically incompatible with breeding. Given that we had to measure fGM in mice not used for 165 breeding, we therefore could not directly test if fGM levels were associated with higher reproduction by individual mice, or if there were maternal effects such that the fGM level of the dam influenced thefGM level in her offspring.

168

169 Inbreeding

We used the pedigree of the population to calculate the coefficients of inbreeding (*f*) for every individual. The MK breeding protocol is expected to reduce the rate of divergence from the original wild outbred population due to the combined effects of genetic drift, accumulated inbreeding, and selection. Thus, we predicted that this group would present higher glucocorticoid levels than the RAN and DOC groups that would be expected to adapt more rapidly to captivity. Also, as the MK group is expected to overall retain higher levels of additive genetic variation, we predicted it would present higher level of variance in fGM than RAN and DOC.

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#### 178 Sample Collection and Fecal Glucocorticoid Metabolite Extraction and Assay Analyses

179 Mice used in this study had fecal samples taken weekly (mean  $\pm$  sd samples/mouse = 21.73 $\pm$ 180 10.9) when mice were about two months of age, and then continued for about 6 months at which time 181 they were fully adult (mean  $\pm$  sd age across all samples =  $169 \pm 36$ ). We used fecal hormone 182 metabolite extraction to reduce any potentially stressful impact caused by more intensive blood 183 sampling protocols for longitudinal hormone monitoring. Samples were collected twice a week when 184 handling them briefly during cage cleaning. They appeared to readily habituate to the weekly 185 protocol. However, given that metabolites are deposited into the feces hours before the handling and 186 collection of the feces, any short-term stress related to handling would not impact fGM measures. All 187 samples were stored in zip-lock bags at -20°C until further processing.

The assay used for fGM analyses was physiologically and biologically validated (Touma & Palme, 2005) as detailed below, and has been shown to be sufficiently sensitive to detect biologically meaningful variation in glucocorticoid concentrations in other species of the same genus (Good, Khan & Lynch, 2003). Prior to extraction, samples were crushed and pulverized, since most samples were very dry and compact. Due to the relatively small size of individual samples (0.2-0.4 g) the entire sample was always used for extraction. To extract steroid metabolites, 0.5ml of 80% ethanol in 194 distilled water was added to the crushed and weighed sample in a 1.5 ml Eppendorf tube (e.g.,

195 Fanson, Wielebnowski, Shenk, Vashon, Squires & Lucas, 2010; Margulis, Atsalis, Bellem &

196 Wielebnowski, 2007). Capped tubes were vortexed for 5 minutes. Subsequently the tubes were

197 placed on a rotator overnight and then centrifuged for 15 min at 1500rpm. 250 µl of supernatant from

each sample tube was then transferred into 12x75mm polyethylene tubes and diluted with 750ul of

199 assay buffer. Extracts were stored at -20°C until assay analysis.

200 We used a commercially available corticosterone EIA assay (Arbor Assays, Ann Arbor, MI, USA,

201 catalogue #K014-H) to quantify fecal corticosterone and check for cross reactivity with other

202 glucocorticoids. This assay cross-reacts with corticosterone (100%), deoxycorticosterone (28.6%),

and progesterone (1.7%). All other cross-reactivities were less than 1%. Assay analyses were

204 performed according to kit instructions. Briefly, 100µl of standard, control, or sample were added to

205 each well, immediately followed by 50µl of conjugate and antibody. Plates were incubated for 2h

while shaking. After washing three times to remove unbound steroids, 200µl of substrate solution

207 was added to each well. The reaction was stopped after 1 hour. Plates were read with a single filter at

208 405nm using an optical density plate reader (Dynex MRX Revelation). All samples were assayed in

209 duplicate. Assay sensitivity was 27 pg/well.

The assay was biochemically validated for *Peromyscus* by demonstrating 1) parallelism between serially diluted extracts and the standard curve, and 2) significant (>80%) recovery of exogenous corticosterone added to fecal extracts. To monitor precision and reproducibility, low (~70% binding) and high (~30% binding) quality control samples were run on each plate. Intra-assay coefficients of variation were 7.5% and 11.4% (n=19) for low and high controls, respectively. The inter-assay coefficients of variation were 12.4% and 9.8% (n=75), respectively. Data are expressed as ng/g fecal weight.

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218 Physiological Assay Validation

To ensure that the chosen corticosterone EIA assay detects biologically relevant changes in adrenal activity, we conducted an adrenocorticotropic hormone (ACTH) challenge (for more details see ESM: *S1. Physiological Assay Validation* and figure S1). Comparison of results from mice

222	receiving ACTH injections to control mice receiving saline injections showed that the corticosterone
223	EIA assay appropriately reflected adrenal activity due to ACTH challenge. A 3 to 6-fold increase in
224	fGM concentrations was measured between 4-7 hours after ACTH injection (figure S1).
225	

### 226 Biological Assay Validation

227 We also collected pre- and post-trap samples from 44 (22 males and 22 females) wild-caught 228 mice. Already deposited fecal samples were collected from the trap of each wild-caught mouse as it 229 was removed from the trap. Traps were checked approximately every four hours (based on the 230 measured lag-time as a consequence of ACTH challenges), so that corticosterone measured in the 231 feces should reflect the levels of blood-circulating glucocorticoids prior to capture or "pre-trap" 232 levels (Good et al., 2003). In addition, fecal samples were collected from each animal while they were 233 being handled during removal from the trap and then twice a week for two subsequent weeks while 234 the mice were already in captivity.

Remarkably, among the wild-caught mice about half showed significantly higher pre-trap fGM than after they were brought into captivity. The other half showed low corticosterone, both preand post-trapping. Of the mice that showed a marked decline in corticosterone, the decrease typically occurred within one or two days after capture. Overall, we observed considerable variation in corticosterone among mice in both pre-trap and permanent captive conditions (figure S2), thus further demonstrating that the corticosterone EIA assay did detect significant shifts in hormone levels that occurred in response to major events and changes in the environment.

242

### 243 Baseline fGM

We measured individual baseline fGM as the average concentration by individual, calculated from the monthly means. Given that all individuals had fGM measured during the same age interval (6 months), age is not expected to account for differences in baseline fGM between individuals. Initially, arithmetic and harmonic means were calculated, but given the high correlation between the monthly estimates of these two measures (r = 0.94-0.99, p < 0.0001) we only used arithmetic means.

As in other studies with rodents (Vuarin, Pillay, Schradin 2019), basal fGM showed repeatability,
displaying smaller variation within than between individuals.

251

#### 252 *Habituation*

253 Habituation is defined as a decrease in an individual's response intensity to a novel stressor as 254 it becomes a familiar stressor due to repetitive experiences (Cyr & Romero, 2009). Individual time 255 series data – not individual average values – were used to test for the effect of habituation to captivity. 256 A significant negative effect of sample collection date on individual fGM was used to ascertain the 257 presence of habituation. Two types of habituation responses were distinguished. First, a habituation 258 response to the change from the wild to the captive environment was tested for mice born in the wild 259 entering the captive breeding program; second, an intra-generational response to the captive 260 environment that may take place over time for individuals born in captivity. This was tested for 261 generations 2, 3, 6 and 9.

262

## 263 Sex and Generation Effects

Given that females of many mammalian species are known to have on average higher circulating serum and fecal glucocorticoid levels than males (Touma, Palme & Sachser, 2004; vom Saal, 1983), *sex* was also included in the analytical models used, and sex-specific models were examined. *Generation number* was also included as adaptation to captivity co-varies with number of generations in captivity and it is expected to influence FGM (Dickens, Earle & Romero, 2009; Millspaugh & Washburn, 2004). Generation was treated as a categorical variable with 5 levels: generation 1, 2, 3, 6 and 9.

271

#### 272 Seasonality

In vertebrates, circulating serum glucocorticoid levels often vary seasonally (Harper &
Austad, 2001; Millspaugh & Washburn, 2004; Romero, 2002). This seasonality should be considered
when trying to explain variation in fGM. In our research facility, seasonal effects on hormone levels
were likely minimized since mice were kept in an indoor lab without natural light, under constant

277 photoperiod (14L:10D) and at a room temperature maintained within about 1° C of 21° C. Despite 278 this, it is known that rodents housed in labs can be entrained by cues from slight variations in 279 temperature, humidity or scents carried in by technicians, and wild-caught mice might have a residual 280 endogenous circannual rhythm. We accounted for seasonality by including monthly variation in fGM 281 concentrations. 282 283 Consistency of fGM concentrations 284 We tested for a correlation between juvenile and adult fGM to examine whether juvenile 285 differences remain constant throughout life. This is important because, if paternal and maternal 286 behavior affects juvenile fGM and this difference remains constant, this can potentially generate non-

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#### 289 Inbreeding effects on baseline fGM

genetic inter-generational change in fGM.

290 We tested for an effect of individual coefficient of inbreeding (f) on individual baseline fGM. 291 This analysis could only be conducted for generations 6 and 9 since there was not sufficient variation 292 in f in other generations. Given that inbreeding tends to increase with generation and that breeding 293 protocol can also affect inbreeding levels, we initially constructed models testing for the relationship 294 between f and fGM controlling for generation and breeding protocol. We also used the sign of the 295 relationship between the trait of interest (baseline fGM) and the coefficient of inbreeding (Ketola & 296 Kotiaho, 2010) to inform us about the relationship between fGM (reflecting circulating serum 297 glucocorticoid levels) and fitness.

298

299 Statistical Analysis

Habituation within generation was tested by using all available measures for each individual and conducting linear mixed effects models in R using *lmer* and *lme* functions and including individual as a random effect. Habituation for mice born in the wild and transferred to captivity (generation 1, founders) and for mice born in captivity was tested using the same model. Sex and

generation effects were also tested on the same model. We tested whether there were differencesbetween monthly measures of fGM within generation and protocol.

306 The impact of seasonality was investigated by including the effect of month on average fGM, 307 together with sex and generation in the same model, and alternatively including and excluding the 308 founder generation, as wild-caught mice might retain a seasonality effect that might not be seen in 309 captive-born mice. A factorial ANOVA model was employed to test for the effect of sex, generation, 310 and their respective interaction, on fGM. Since the first generation was not the result of the three 311 breeding protocols we applied to future generations, this first generation had to be excluded from the 312 analyses testing the effects of breeding protocol. Generation 9 did not have a replicate population for 313 the DOC protocol (as it went extinct in generation number 8; (Lacy et al., 2013). The associations 314 between fGM and morphological, behavioural and reproductive traits were all tested together in the 315 same models to account for between-trait correlations. The presence of inbreeding depression was 316 examined by regressing fGM on inbreeding levels (f) by generation. To gain insight into potential 317 sex-specific inbreeding effects separate regressions were then conducted for males and females.

318

### 319 Results

### 320 Effect of Sex and Generation

Figure 1 shows yearly variation in fGM levels by sex in the founder (wild) population and the seasonal variation in fGM levels by generation and sex in the captive population. Accounting for the effects of time in captivity and generation, the model shows that males had significantly lower fGM than females ( $\beta \pm se = -223.35 \pm 59.50$ , t = -3.75, df = 322, p = 0.0002, figure S3). FGM decreased as the number of generations in captivity increased ( $\beta \pm se = -3812.55 \pm 427.12$ , t = -8.92, df = 322, p < 0.0001).

327

#### 328 Effect of Breeding Protocol and Replicates

Figure 2 shows the raw data by breeding protocol and generation. We ran separate models for each sex, nesting breeding protocol within generation. For females, when accounting for the significant effect of generation (Mean and Variance in fGM,  $F_{3,143} = 43.6$ , p < 0.0001,  $r^2 = 0.49$ ; 332  $F_{3,143}$ =8.4, p < 0.0001,  $r^2 = 0.18$ ), there was no effect of breeding protocol on fGM (p > 0.2).

333 Similarly, no significant differences among breeding protocols were observed in males. Results for

334 replicate populations were not significant in any model either, suggesting no significant effect of

335 random genetic drift among populations on baseline fGM. Independent models run per generation

also confirmed there was no effect of protocol on the mean or variance of fGM.

337

## 338 Habituation

339 Variation in fGM is best explained by the model that included time in captivity (date), sex, 340 generation, and the interactions between generation and sex and between date and generation (29% 341 deviance; table 2). Specific results for this model show that, overall, mice show habituation to 342 captivity, as illustrated by the negative association between time in captivity (date) and fGM ( $\beta \pm se =$ 343  $-0.95 \pm 0.078$ , t = -12.13, df = 6756, p < 0.0001). However, the significant effect of the interaction between time in captivity and generation ( $\beta \pm se = 0.10 \pm 0.01$ , t = 8.92, df = 6756, p < 0.0001) 344 345 indicates that there is heterogeneity across generations (Table 2). Further generation-specific models 346 show that the strength of the relationship to time in captivity changed with generation and even the 347 sign in the last generation ( $\beta \pm se$  by generation: G1, -1.10  $\pm$  0.21; G2, -0.19  $\pm$  0.32; G3, -0.70  $\pm$  0.21; 348 G6,  $-0.05 \pm 0.10$ ; G9,  $0.26 \pm 0.14$ ). Thus, habituation effects are stronger in the first generation of the 349 captive breeding program than later, when they weaken out, not being present after generation 3 350 (Figure 1).

351

# 352 Seasonality

To examine the effect of month (1-12) on fGM, a model including sex and generation as categorical predictors ( $r^2 = 0.36$ ,  $F_{26,1829} = 40.48$ , p < 0.001) was used (this model excluded the founder generation). The results showed a statistically significant, but very small, effect of month ( $F_{3,1829} = 2.00$ , p = 0.025, figure S4), which was, respectively, one and two orders of magnitude smaller than the effects of sex and generation ( $F_{1,1829} = 88.72$ , p < 0.0001;  $F_{3,1829} = 247.22$ , p <0.0001, respectively). FGM remained stable from December to May, increased from June to August, 359 and decreased to reach a minimum in November. There was no sex by month interaction, showing

360 that fGM fluctuated monthly in a similar way for males and females (figure S4).

361

362 *Consistency of fGM concentrations within individuals* 

There was a strong correlation between juvenile and adult average fGM values (r = 0.47, p < 0.001, n = 57, figure S5), showing there are consistent baseline fGM differences between individuals that do not change with ontogeny.

366

367 Inbreeding effects on fGM

368 Significant variation in inbreeding levels within generation started to appear from generation 369 6, thus we present within generation results for generations 6 and 9. In generation 6, inbreeding levels 370 negatively affected fGM ( $F_{1,125}$  = 10.7,  $r^2$  = 0.07,  $\beta$  =-0.31, CI=-0.50, -0.12). This relationship, 371 although significant in the overall model, remained only significant for the MK protocol (figure 3A), 372 probably because variation in f and fGM was higher in MK than in DOC and RAN, providing higher 373 statistical power to detect any inbreeding effects. In generation 9, f also showed a significant negative 374 effect on average fGM ( $F_{1,28} = 6.43$ ,  $r^2 = 0.16$ , p = 0.017,  $\beta = -0.43$ , CI=-0.78, -0.08, figure 3B) with 375 similar effect size as in generation 6. Effect sizes were again stronger for the MK protocol, which had 376 greater variance in f. Given that both sexes showed differences in fGM, we subsequently tested for 377 potential effects of inbreeding depression on fGM for each sex separately in generations 6 and 9. 378 Although both sexes showed a negative slope for the relationship between f and fGM, this association 379 was significant only in females (G6, females: r = -0.54, p = 0.0041; males: r = -0.35, p = 0.096; G9, 380 females: r = -0.61, p = 0.035; males: r = -0.15, p = 0.64).

381

#### 382 Discussion

We examined how the physiological stress response, as measured by variation in average fGM over time, changes after a founder population of a small mammal species, the white footed mouse *Peromyscus leucopus*, has been removed from the wild and propagated in captivity for several generations. Baseline levels of corticosteroids might change in the captive environment because of 387 habituation by individuals over their lifetimes, evolution of lower stress response as animals adapt to 388 the more benign captive environment, or depression of fitness traits as small captive populations 389 become increasingly inbred. After potentially confounding factors such as sex, age and seasonality 390 were accounted for statistically, we show that as the number of generations in captivity increased and 391 mice became partly inbred, their baseline fGM decreased, suggesting a possible reduction in mouse 392 responsiveness to stressors. This reduction across generations explained more of the variance in fGM 393 than did the accumulated inbreeding, and it occurred across breeding protocols. The populations 394 adapted to captivity by showing increased activity levels and increased reproduction across 395 generations (Lacy et al., 2013). Thus, adaptation to captivity – which is by definition a reduction in 396 the adaptation to wild habitats - was associated with lower baseline fGM levels. 397 There was also a significant negative effect of inbreeding on fGM across and within 398 generations, indicating a positive association of fGM, and hence of corticosterone, with fitness in the

The expected relationship of inbreeding depression with "fitness traits" should be driven by the genetic architecture that evolved under natural selection in the wild. Thus, depression of a trait under inbreeding would indicate that the trait was likely important for fitness in the wild but might not be associated in the same way (or at all) with fitness in captivity.

wild. There is a difference between what leads to high fitness in the wild as compared to captivity.

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404 We observed no effects of the other two sources of genetic change: random genetic drift 405 (which would cause variation among replicates) and artificial selection on behavioral traits (breeding 406 protocol). This has implications for the debates regarding the relationship between fitness and the 407 glucocorticoid response to stress – as it appears that higher glucocorticoid levels may be adaptive in 408 the wild, while reduced glucocorticoid levels may result from adaptation to captivity. This evolution 409 to potentially lower stress responsiveness in captivity also has implications for conservation programs 410 that might be considering releasing animals from long-term captive populations back into the wild 411 environment.

The strong effect of generation could be augmented by habituation of individuals within each generation. Habituation occurs when an individual learns to perceive a repeated stressor as innocuous, reducing stress hormones secretion over time (Cyr & Romero, 2009; Dickens et al., 2009). Here, we

415 show that habituation plays a role in the reduction of baseline (average) fGM when mice were brought 416 into captivity. This reduction in fGM over time occurred also in each of the first few captive 417 generations but was absent in generation 6 and 9. FGM decreased between generations as well, 418 indicating either a genetic effect or non-genetic transmission from parents to offspring. We cannot 419 rule out that other potential factors generally known to also affect glucocorticoid concentrations, such 420 as reduced seasonal responsiveness, exhaustion or desensitization are also be playing a role (Cyr & 421 Romero, 2009) in this decrease.

In our study, baseline fGM early in life substantially predicted concentrations measured in
adults, so that parental effects causing low fGM in offspring might be transmitted across generations.
Given that habituation to captivity reduces stress response throughout their lives, this could lead to a
non-genetic basis for the reductions accumulating across generations.

426 The relationship between fitness and baseline glucocorticoid levels remains controversial. 427 Across taxa there are more examples of negative than positive or non-significant associations (Bonier 428 et al., 2009). However, three underlying assumptions required to make inferences between phenotypic 429 traits and overall fitness, are rarely fulfilled in empirical studies: 1) the repeatability of glucocorticoid 430 measures over time, 2) repeatability of glucocorticoid-fitness relationship, or 3) the appropriateness of 431 the fitness metrics. In our study we have tried to address these. First, our measure is calculated 432 averaging monthly baseline fGM (up to 4 samples per month), across 6 months per individual. This 433 allows us to reliably measure individual baseline fGM concentrations which have been shown to be 434 reflective of circulating serum fGM levels. Second, by keeping mice under the experimental 435 conditions of a constant environment, we minimize the environmentally driven 'noise' that so often 436 reduces glucocorticoid-fitness repeatability measures in field studies. Third, regarding the 437 appropriateness of the fitness metrics, most studies use a single indirect surrogate of either 438 reproductive or viability fitness. The difficulty of inferring what phenotypic traits reflect higher 439 fitness was shown in that levels of stereotypic behaviors in these experimental populations were 440 positively associated with reproductive success (Lacy et al., 2013), opposite the intuitive prediction. 441 Here we use an unbiased overall measure of the association between fitness and baseline fGM levels, 442 inferred from the sign of the relationship between inbreeding and the trait.

443 Inbreeding depression —the decrease in fitness due to the expression of recessive deleterious 444 alleles that occurs in homozygotes (Charlesworth & Charlesworth, 1987) - occurs in fitness-related 445 traits (DeRose & Roff, 1999; Lynch & Walsh, 1998), and inbreeding has been observed to negatively 446 impact many components of fitness in Peromyscus mice (Brewer, Lacy, Foster & Alaks, 1990; Lacy 447 & Alaks, 2013; Lacy, Alaks & Walsh, 1996; Ryan, Lacy & Margulis, 2003). With this theoretical and 448 empirical basis for inbreeding depression and fitness, various studies have used the relationship 449 between inbreeding and phenotypic traits to infer the direction and strength of relationship between 450 fitness and a phenotypic trait (Ketola & Kotiaho, 2010; Malo et al., 2010; Malo et al., 2017). 451 Inbreeding depression in fitness traits is the evolutionary outcome of long-term selection, long before 452 the captive breeding program started. Traits favored by selection over evolutionary time would be the 453 ones reduced under inbreeding. The inbreeding depression that we observed in glucocorticoid 454 secretion as measured by FGM therefore suggests that the relationship between baseline 455 glucocorticoid levels and fitness is generally positive in this species. Thus, our results strongly 456 support the idea that in the wild non-captive population of *Peromyscus leucopus*, selection favored 457 individuals with higher baseline glucocorticoid levels, contradicting the Cort-fitness hypothesis 458 (Bonier et al., 2009) and supporting the Cort-adaptation hypothesis. 459 The transition from a wild to a captive environment represents a dramatic example of 460 environmental change that can have important fitness consequences (Araki, Cooper & Blouin, 2007). 461 In captivity, increases in the stress response do not increase survival through reduced predation as

463 captivity. Thus, the relationship between glucocorticoid secretion and some fitness metrics could be

they would in the wild, and could even decrease it if stress increases the probability of injury in

462

464 erased or reversed. However, this does not happen as otherwise the relationship between inbreeding

and glucocorticoids would have been positive, and we detect inbreeding depression, a negative

466 relationship with inbreeding. This shows that fGM, and hence corticosterone, was adaptive in the wild

467 population. This is also supported by the evidence that the relationship between inbreeding and fGM

468 remains unaltered (inbred individuals present weaker response to stress) after hundreds of generations

469 of domestication (Kosowska, 1992; Kosowska & Zdrojewicz, 1989; Kosowska & Zdrojewicz, 1991a;

470 Kosowska & Zdrojewicz, 1991b). A possible explanation is that is that there is a weak or no positive

471 selection for low glucocorticoid levels in captivity (or negative for high), or because the strength of 472 the genetic architecture of fitness-related traits is such that a reversal cannot occur. This is supported 473 by the fact that, across species, the traits that are impacted by inbreeding depression in domesticated 474 and laboratory populations are the same that are impacted by inbreeding in their wild counterparts 475 (Falconer & Mackay, 1996). Our results suggest that baseline adrenal activity and mounted stress 476 response is positively associated with fitness. Further research will be needed to verify directly that 477 the glucocorticoid levels are associated with reproductive success of individual mice.

478

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649 FIGURES

651	Figure Legends
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Figure 1. (A) Yearly variation in fGM levels by sex in the wild (founder) population (top left)
and (B) seasonal variation in fGM levels by sex in the captive population (top right). (C)
Seasonal variation in fGM levels by generation in females (bottom left) and (D) in males
(bottom right). Error bars indicate standard errors.

Figure 2. Yearly and seasonal variation in mean annual fGM levels for the docility (DOC),
random (RAN) and mean kinship (MK) protocol for males (A) and females (B).

- Figure 3. Inbreeding effects on mean annual fGM for MK protocol (A) generation 6 and (B)generation 9.











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Table 1. Number of mice used in the present study by generation, breeding protocol and sex. G stands for generation; G1 is the founder generation.

	G1			G2		G3			-	G6				G9			-	
		Doc	Ran	MK	Total	Doc	Ran	MK	Total	Doc	Ran	MK	Total	Doc	Ran	MK	Total	Grand Total
Females	10	16	12	8	36	6	12	6	24	18	21	26	65	6	12	12	30	165
<u>Males</u> Total	- 10 20	<u>8</u> 24	2 14	10 18	20 56	<u>12</u> 18	12 24	12 18	36 60	<u>18</u> 36	23 44	24 50	65 130	<u>6</u> 12	<u>12</u> 24	12 24	30 60	<u>161</u> 326
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- 813 Table 2. Summary table of the performance of the generalised linear models constructed in R using
- the *lmer* function to test the effect of habituation. The model with the lowest D.AICc is the most
- strongly supported. In the best model (top), the main effects of *date* (time in captivity), *generation* and
- 816 sex account for 17%, 12% and 5% of variation in baseline fGM levels (all p < 0.001), while the two
- 817 interactions included, *date\*generation (*P<0.001) and *sex\*generation(*P=0.049) account for 7% and 818 1% variation, respectively.

Model	log(l)	K	AICc	D.AICc	wi	%DE
FGM ~ Date + Sex + Gen + Sex * Gen + Date	-51672	6	103357	0.000	1.00	0.290
* Gen + (1   ID)						
$FGM \sim Date + Sex + Gen + Sex * Gen + (1   ID)$	-51707	5	103424	67.0	2.72e-15	0.220
$FGM \sim Date + Sex + Gen + (1   ID)$	-51712	4	103432	75.5	3.90e-17	0.210
$FGM \sim Date + Sex + (1   ID)$	-51715	3	103437	80.6	3.11e-18	0.210
$FGM \sim Date + Gen + (1   ID)$	-51728	3	103462	104.8	1.68e-23	0.180
$FGM \sim Date + (1   ID)$	-51731	2	103467	109.9	1.31e-24	0.170
$FGM \sim Sex + Gen + Sex * Gen + (1   ID)$	-51740	4	103488	130.8	3.80e-29	0.160
$FGM \sim Sex + Gen + (1   ID)$	-51745	3	103496	139.4	5.14e-31	0.150
$FGM \sim Gen + (1   ID)$	-51762	2	103528	170.8	7.96e-38	0.120
$FGM \sim Sex + (1   ID)$	-51807	2	103619	262.3	1.08e-57	0.028
Null	-51822	1	103646	289.1	1.60e-63	0.000

821 The top ranked model (in bold) was used as a base model to test for the effects of habituation, sex and

generation. For each model, we present the log-likelihood, the number of parameters (*K*), the

823 Akaike's Information Criterion corrected for finite sample sizes (AICc), the difference in Akaike's

824 Information Criterion (*D.AICc*), Akaike weight (wi) and the deviance explained (%*DE*).

# **Electronic Supplementary Material**

# Inbreeding and adaptation to captivity depress the response to stress

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- Figure S3. FGM level variation by generation and sex.
- Figure S4. Seasonal variation in fGM levels by sex.
- Figure S5. Correlation between juvenile and adult fGM levels

### S1. Physiological Assay Validation

An adrenocorticotropic hormone (ACTH) challenge was conducted to ensure that our assay detected biologically relevant changes in adrenal activity. ACTH gel (Corticotrophin, Wedgewood Pharmacy, Swedesboro, NJ) was administered as a single intra-peritoneal injection using the following calculation: 20 IU/kg which resulted in an average injection amount for each mouse of 0.44 IU (mouse average weight=22.2g). As control we injected the same amount of saline solution on the same mice at a different time. First, we injected ACTH in 3 mice (2 males, 1 female) and saline in another 3 mice (1 male, 2 females). Two weeks later we reversed the experiment. The two types of injections allowed us to ensure that the ACTH dose administered was sufficient to elicit an adrenal response, rather than solely a response to the injection itself. For both trials, daily fecal samples were collected for 7 days prior to the challenge and 7 days following the challenge. In addition, every individual sample excreted during the 24 hours following the injection was collected. This sampling schedule helped us to determine the lag time between changes in circulating hormone levels and changes in fecal hormone metabolite concentrations.



Figure S1. Example of ACTH challenge responses in 3 different individuals (2 females and 1 male) of *Peromyscus leucopus*.



Figure S2. Means and standard errors of in-trap and post-trap fecal glucocorticoid metabolite concentrations in *Peromyscus leucopus* (N=44).

Figure S3. FGM level variation by generation and sex ( $F_{4, 315}$ =2.66, P=0.03). Females: open grey circles, males: black circles. Vertical bars denote 0.95 confidence intervals.



Figure S4. Seasonal variation in fGM levels by sex (founder generation was excluded). Bars denote 95% confidence intervals.



Figure S5. Correlation between juvenile and adult fGM levels in mice from generation number 6 (n=57). This relationship was also significant for both sexes; females (r = 0.44, p = 0.023; y = 497.66 + 0.21\*x) and males (r = 0.52, p = 0.004; y = 387.81 + 0.29\*x) when considered independently.

