

1 **Phenology-informed decline risk of estuarine fishes and their prey suggests potential**
2 **for future trophic mismatches**

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7 **Key Words:** Phenology, Population viability analysis, Time series analysis, Estuaries, Food
8 webs.

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10 **Data Availability:** Data, metadata, and R code necessary to reproduce model results,
11 analyses, and figures are accessible as ‘private for peer review’ on Dryad (data) and Zenodo
12 (code): http://datadryad.org/stash/share/zfLH561PA-zl0Kf_JAgr9gk9ejY2f3ecASN0zvp_vjM.
13 These data will be released upon acceptance. Raw abundance time series data can be
14 accessed via their collecting agency, the California Department of Fish and Wildlife. For
15 additional exploration and visualization of our results, see the companion ‘Bay-Delta Data
16 Explorer’ ShinyApp http://12022001delta.shinyapps.io/RFCT_Mismatches_2.

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18 **Impact Statement:** Increasing risk of trophic mismatch between estuarine fish and their prey
19 highlights the need for finer-scale conservation assessments.

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27

28 **Abstract**

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Conservation scientists have long used population viability analysis (PVA) on species count data to quantify trends and critical decline risk, thereby informing conservation actions. These assessments typically focus on single species rather than assemblages and assume that risk is consistent within a given life stage (e.g., across the different seasons or months of a year). However, if risk is assessed at too broad a temporal or spatial scale, it may overlook diverging population declines between predators and prey that disrupt biotic interactions. In this study, we used time-series based PVA for age-0 forage fishes and their potential zooplankton prey for each month of the year in the San Francisco Estuary, over 1995-2023 (N = 175 time series). We used Multivariate Autoregressive (MAR) models that estimate long-term population trends and variability (i.e., process error) for each population. We found widespread negative population trends across fish species (56.6%) and observed that critical decline risk is often higher in months when species abundances peak compared to 'shoulder' months. Although current decline risk is somewhat balanced between predators and their prey (mean 21.8% for fish and 21.4% for zooplankton), our time-series models indicate trophic levels are poised to diverge over the next 10 years, with fish generally accumulating risk faster than their prey. Additionally, zooplankton showed 11.5% higher uncertainty about their near-term critical decline risk relative to fish. These observations suggest strong, previously unreported potential for future trophic mismatches. Our results underscore the need to assess risk over finer temporal scales within and across trophic levels to better understand vulnerability, and thus inform conservation of imperiled species. Our approach is transferable and highlights the benefits of time-series based PVA to understand risk of food-web collapse in the face of climate-induced phenological shifts.

52 **Introduction**

53

54 Global climate change challenges current efforts to conserve and manage biodiversity
55 (IPBES 2019). Severe population declines and local extirpation can drive permanent shifts in
56 community composition and destabilize whole food webs (O’Gorman and Emmerson 2009,
57 Seifert et al. 2015). Though conservation actions attempting to bolster population recovery are
58 widespread geographically across both animal and plant systems (Swaisgood et al 2007,
59 Mawdsley et al. 2009, Havens et al. 2014), quantifying extinction risk in species with complex
60 life cycles remains challenging (e.g., Sánchez- Hernández et al. 2019, Daly et al. 2021). For
61 instance, determining the effects of a given stressor (e.g., temperature, salinity) on individual
62 survival or performance must account for the differential sensitivities across life stages
63 (Komoroske et al. 2014). Additionally, species phenology (e.g., the timing of migration,
64 breeding, or niche shifts) can cause the strength of important biotic interactions to vary through
65 time and across space (Werner and Gillam 1984). Thus, accounting for community-level
66 dynamics, including how predators and prey coexist in space and time, is essential for
67 improving conservation outcomes of at-risk species.

68 Population viability analysis (PVA) is a widely used tool to assess threats to species
69 persistence, forecast population trends, and guide recovery (Akçakaya and Sjögren-Gulve
70 2000). Historically, these models have taken many forms—from demographic assessments, to
71 estimation of minimum viable population sizes, or mechanistic covariate-driven simulations
72 (Gerber and González-Suárez 2010). PVA allows conservation practitioners to assess
73 population performance by estimating the cumulative probability of exceeding a critical decline
74 threshold, often termed ‘quasi-extinction’ (Fagan and Holmes 2006). However, these models
75 generally have strict data requirements and are difficult to effectively parameterize without
76 robust understanding of demographic and environmental processes (Chaudhary and Oli 2020).
77 To circumvent this shortcoming, statistical methods were developed that estimate the
78 convergent properties of stochastic systems and allow for quasi-extinction forecasting even

79 when the underlying mechanistic processes are poorly understood (Holmes et al. 2007). Such
80 models use repeated sampling of a population (i.e., a time series of count data) to assess
81 inherent growth rates and process-driven population variability. In addition to applications
82 estimating quasi-extinction probabilities under different scenarios (e.g., Ruhi et al. 2016, Ruhi et
83 al 2018), these methods can also infer metapopulation spatial structure and associated risk
84 (e.g., Holmes and Semmens 2004, Ward et al. 2010). Given the increasing availability of long-
85 term biomonitoring data sets, understanding the potential and limitations of statistical
86 approaches to species extinction forecasting is an important endeavor of conservation science.

87 Despite advances in time series-based PVA, current approaches primarily use annual
88 data (Hampton et al. 2013, Holmes et al. 2014). As such, species with sub-yearly phenological
89 patterns (e.g., hatching dynamics, age-0 migrations; Bogner et al. 2016) might display temporal
90 patterns of critical decline risk not captured by a coarser-scale approach. Moreover, while it is
91 possible to examine species interactions using multivariate time series models (e.g., Hampton
92 et al. 2006, Peterson et al. 2017), estimation of interaction strengths often conflicts with
93 estimation of PVA parameters (i.e., intrinsic growth rate or “lambda”, Holmes et al. 2014).
94 However, food-web dynamics that might be critical to population performance can be assessed
95 indirectly—for instance, by comparing fine-scale patterns of risk of a predator vs that of its prey.
96 While statistically challenging, it is ecologically important to consider decline risk within the
97 context of community-level interactions, especially in environments where population
98 persistence may be influenced by climate-induced changes in species phenology.

99 Estuaries are dynamic ecosystems with levels of biological productivity comparable to
100 tropical rainforests and coral reefs (Cai 2011). Estuarine systems provide high socioeconomic
101 value, facilitate important ecosystem services, and govern many nearshore physical and
102 biological processes (Barbier et al. 2011, Robins et al. 2016). For many taxa, estuaries often
103 represent important nursery grounds (Beck et al. 2001, Colombano et al. 2020), refuge habitats
104 (Simenstad et al. 1982), and migration corridors (Koeller et al. 2009, Otero et al. 2014).

105 However, the transitional nature of estuaries makes them highly vulnerable to environmental
106 change, as degradation to both the marine and freshwater bookends have the potential to
107 disrupt estuarine communities (Gillanders et al. 2011, Lauchlan and Nagelkerken 2020). Indeed,
108 many estuarine systems globally are experiencing climate-induced shifts in temperature and
109 salinity regimes that can strongly impact population dynamics (Scanes et al. 2020, Langan et al.
110 2021, Ghalambor et al. 2021). As estuaries often act as temporary or transitional habitats for
111 key life stages, many taxa have developed population cycles that maintain historical synchrony
112 between interacting species (Marques et al. 2006). However, warming and salinization appear
113 to be altering phenological patterns in estuarine food webs—with the potential to disrupt
114 historically synchronous population cycles between predators and prey (Chevillot et al. 2017,
115 Asch et al. 2019, Fournier et al. 2024). This is especially important for juvenile fishes, as global
116 change drivers that disrupt community compositions could lead to recruitment failures that erode
117 the nursery function of estuarine ecosystems (Colombano et al. 2022).

118 The San Francisco Estuary is one of the largest and most ecologically significant
119 estuaries in North America, draining approximately 40% of California’s fresh waters (Cloern and
120 Jassby 2012). The estuary spans a wide salinity gradient from the Pacific Ocean to the
121 confluence of the Sacramento and San Joaquin rivers, and is highly affected by both
122 hydroclimatic variability and large-scale water diversions for agricultural and municipal use (Reis
123 et al. 2019). In the past decade, the estuary has experienced steadily increasing water
124 temperatures (Bashevkin et al. 2022), and long-term droughts have decreased freshwater
125 inputs into the Delta, resulting in increased salinity levels in the upper estuary (Barros et al.
126 2024). Additionally, invasions of Asian clams (*Potamocorbula amurensis* and *Corbicula*
127 *fluminea*) in the late 1980’s dramatically eroded planktonic populations (Kimmerer et al. 1994),
128 leading to dietary shifts of planktivores (Feyrer et al. 2003). These and other environmental
129 changes have resulted in large-scale collapses of pelagic fish populations throughout the
130 estuary (Cloern and Jassby 2012, Quiñones and Moyle 2014). Often referred to as the “pelagic

131 organism decline”, forage fish populations have shown precipitous drops even during periods of
132 relatively moderate abiotic stress (Sommer et al. 2007). Though the mechanistic causes of this
133 decline remain poorly understood, recruitment failure, increased mortality, habitat degradation,
134 and limited food availability have been identified as main drivers—especially for juvenile forage
135 fish (Sommer et al. 2007, Feyrer et al. 2007, Mac Nally et al. 2010). These concerning trends
136 underscore the need to better understand the dynamics of forage fishes in the first year of their
137 life (i.e., age-0), as well as of their food sources.

138 Here, we sought to assess spatial and temporal patterns of critical decline risk of fish,
139 and their suite of potential prey in the San Francisco Estuary. As age-0 estuarine fishes display
140 seasonally varying abundance patterns that might coincide with periods of population
141 vulnerability, we sought to examine critical decline risk at sub annual scales. To that end, we
142 used long-term monitoring data to conduct time-series based PVA for each month of the year
143 for age-0 forage fishes and zooplankton taxa across different regions of the San Francisco
144 Estuary spanning a broad environmental gradient. We hypothesized that: 1) Long-term
145 population trends and variability around those trends would vary across fish species, creating
146 ample variation in the probability of them crossing critical decline thresholds (hereafter, *critical*
147 *decline risk*); 2) Critical decline risk in a given species would also vary across the year, with
148 months that historically concentrated high abundance of age-0 being relatively safer than
149 “shoulder” months when species have historically shown lower abundances; 3) Patterns of
150 critical decline risk—and uncertainty around risk estimates—during high abundance windows
151 might differ between fish predators and their potential suite of prey, and this risk might
152 accumulate at different rates over the next decade as steep population declines in fishes might
153 cause risk to outpace zooplankton taxa; And 4) Different regions of the estuary may vary in
154 community-level risk trends, with variation likely being associated with the longitudinal estuarine
155 gradient (i.e., higher in more variable, seawards regions than in more stable, landwards
156 regions). By examining these questions, we aimed to understand how critical decline risk of

157 estuarine fishes may vary intra-annually and over space—a critical step to anticipate vulnerability
158 of predator-prey interactions along environmental gradients.

159

160 **Methods**

161 *Fish and plankton surveys*

162 We gathered long-term monitoring data for fishes and zooplankton in the San Francisco
163 Estuary. For fishes, we used data provided by the California Department of Fish and Wildlife
164 Bay Study (CDFW 2024a). This program has conducted monthly sampling of fishes at fixed
165 stations throughout the estuary since 1980. Fish sampling is conducted using two tow nets: an
166 otter trawl to target benthic species and a midwater trawl to target pelagic species. During each
167 sampling event, captured individuals are counted, identified, and measured. Additionally,
168 sampling effort is quantified to standardize catch metrics. Our analysis focused on age-0 fishes
169 captured in the midwater trawl. For zooplankton, we used data collected by the Interagency
170 Ecological Program's Environmental Monitoring Program, EMP (CDFW 2024b). The EMP has
171 been sampling zooplankton at fixed stations monthly since 1971, using three types of sampling
172 gear: a macrozooplankton net (505 μm mesh), a mesozooplankton net (160 μm mesh), and a
173 teal pump with 43 μm mesh. In order to target taxa that might be readily consumed by age-0
174 forage fishes, we limited our analysis to zooplankton captured in the mesozooplankton net. We
175 filtered each time series to include only monthly surveys after January 1995, as this period
176 maximizes overlap in consistent sampling of fish and zooplankton.

177

178 *Data screening*

179 We assessed data completeness iteratively, to achieve an optimal tradeoff between
180 maximizing data density in the species-stations retained, and avoiding exclusion of transient or
181 migratory fishes that are only seasonally present in parts of the estuary. We ultimately retained
182 time series with 234 and 50 non-zero detections for zooplankton and fishes, respectively. We

183 then calculated high abundance for each fish species by identifying the months that represent
184 most of the mean annual catch for that species in a region ($\geq 80\%$). In situations where critical
185 periods contained gaps of no more than one month, we also included the 'skipped' month to
186 obtain an uninterrupted window. Next, for each species-station strata, we used seasonal
187 autoregressive integrated moving average (sARIMA) models fitted with a Kalman filter to
188 interpolate missing monthly data points (as in Comte et al. 2021), using the 'forecast' package in
189 R (Hyndman et al. 2024). Interpolated data represented an average of 20.3% data points for fish
190 taxa and 3.1 % for zooplankton. This process resulted in 7 fish species being retained across 52
191 stations, and 10 zooplankton genera at 16 stations. Fish and zooplankton stations were
192 assigned to predefined estuarine regions that have been commonly used for research and
193 management purposes, encompassing from the marine to the freshwater bookend (CDFW
194 2024a, Colombano et al. 2022). In four of the estuarine regions (i.e., *Delta*, *Confluence*, *Suisun*,
195 *San Pablo*), both fish and potential zooplankton prey were retained. Finally, we broke each year
196 into its constituent months to create twelve annual-scale, month-specific time series for each
197 species/station pair (i.e., one time series representing all January data for each year, one
198 representing February data, etc.). For subsequent analysis on phenology-informed risk (see
199 below), we kept all species-station-month strata as long as a species was historically present
200 with some regularity (i.e., in $\geq 30\%$ of the years), achieving higher retention rates than in past
201 work (e.g. Colombano et al. 2021, Pak et al. 2022). Ultimately, we ended up with 129 time
202 series of fish and 46 of zooplankton, each relevant to a specific station and month.

203

204 *Time series modeling and risk calculation*

205 With these time series, we fitted Multivariate Autoregressive (MAR) models on CPUE
206 estimates using the R "MARSS" package (Holmes et al. 2014). Here, we used the multivariate
207 structure of MAR models to describe population trajectories within regions. Thus, after grouping

208 the 62 stations into 6 regions, we built a MAR model for each species-region-month strata. MAR
 209 models, unlike state-space variations of them (e.g. MARSS), do not account for observation
 210 error. We acknowledge that observation error is prevalent in biomonitoring data, and metrics
 211 dependent on process error might be inflated if process error is forced to absorb observation
 212 error (Knappe and De Valpine 2011). However, variation in data density prevented more complex
 213 (MARSS) models from converging for some species. Thus, we sought to maintain consistency
 214 in bias rather than accounting for observation error in some species, which could bias some (but
 215 not all) risk estimates and thus mismatch potential. The general structure of our MAR models, in
 216 matrix notation, followed:

$$217 \quad X_t = X_{t-1} + U + W_t, \text{ where } W_t \sim MVN(0, Q), \text{ (Eq. 1)}$$

218 where X_t is log x+1 transformed CPUE for that species (one time series per station,
 219 univariate or multivariate depending on how many stations are represented in that region); U
 220 captures the long-term trend of that regional population, and W_t is an error term drawn from a
 221 multivariate normal distribution of mean 0 and process error variance/covariance Q . For all
 222 models, we estimated a single U across stations within a region. Similarly, we assumed process
 223 error variance to be equal across stations, and we allowed process error covariance given that
 224 nearby stations are subject to similar environmental fluctuations that could drive synchronous
 225 population dynamics within a region. After estimating U and Q via maximum-likelihood (and
 226 obtaining 95% confidence intervals for each parameter), we calculated the risk of a species
 227 experiencing a 90% population decline (a) over a given time horizon (T) up to 10 years from
 228 present. This quasi-extinction probability (P_e), hereafter referred to as 'critical decline risk', is
 229 estimated by using the inverse Gaussian distribution of first passage times for Brownian motion
 230 with drift (Dennis et al. 1991, Fieberg and Ellner 2000, See and Holmes 2015), where:

$$231 \quad P_e = \phi(\mu - V) + \exp(2\mu V)\phi(-(\mu - V)), \text{ (Eq. 2)}$$

$$232 \quad \mu = -U\sqrt{T/Q}, \text{ (Eq. 3)}$$

$$233 \quad V = a/Q\sqrt{T}, \text{ (Eq. 4)}$$

234 with ϕ representing the standard normal cumulative distribution function (notation in the
235 above equations have been modified to maintain consistency with MAR parameter notation).
236 Here, we calculated three different types of critical decline risk: 1) *baseline risk*, using the
237 maximum likelihood estimates for U and Q ; 2) *best case-scenario risk*, using the upper end of
238 the bootstrapped confidence interval for U , and the lower end of the confidence interval
239 surrounding Q (i.e., high growth rate and low process error variance), and 3) *worst-case*
240 *scenario risk*, using the lowest end of the confidence interval surrounding U and the highest end
241 of the confidence interval surrounding Q (i.e., low or negative intrinsic growth, high process error
242 variance).

243

244 *Hypothesis testing*

245 To test the hypothesis that long-term population trends (U) and variability around those
246 trends (Q) would vary across fish species, we plotted U and Q values estimated by MAR models
247 and tested whether systematic differences existed across fish *species* and *regions*, using
248 analysis of variance (ANOVA). We also examined the correlation (Pearson's R) between these
249 two parameters to understand whether species with declining trends tended to also be more
250 variable, or if on the contrary, U and Q varied independently across species and regions.

251 To test the hypothesis that critical decline risk in a given species would also vary across
252 the year, we assessed the "phenology" of critical decline risk throughout the year for age-0
253 forage fishes by plotting monthly risk estimates for each species in each region. We then
254 performed Pearson correlation tests between decline risk and mean population size for each
255 species, pooling data across regions, to assess if these two variables were related—and if so,
256 whether 'shoulder' months tended to be safer than months when species have historically
257 shown higher abundances (or *vice versa*).

258 To compare how critical decline risk compares between fish (predators) and their suite of
259 zooplankton prey, we examined current critical decline risk during key months of the year (i.e.,

260 the months that collectively concentrate 80% of a species' abundance). To this end, we
261 calculated the mean risk for all months identified as high abundance windows for each region. In
262 addition, we calculated the mean decline risk for each zooplankton during the high abundance
263 window of each fish predator—creating paired predator/prey probabilities in each region. To
264 assess if predator and prey risk during high-abundance windows differed, we used ANOVA,
265 using “current” critical decline risk (that is, the probability of crossing an 90% decline threshold)
266 as a response variable, and taxonomic *group* (fish or zooplankton) and *region* (if present in
267 more than one region) as predictors. We ran an ANOVA model for each fish species and its
268 paired assemblage of potential zooplankton prey.

269 To test whether risk is predicted to accumulate at different rates between fish and
270 zooplankton over the next decade, we calculated predator-prey risk divergence into the future
271 for each predator and their set of prey (as previously) across a 10-year projection. Using
272 analysis of covariance (ANCOVA), we tested whether log-transformed risk was explained by
273 taxonomic *group* (fish vs. zooplankton) and/or *region*, using *time* into the future as a covariate
274 (i.e., number of *years*, 1-10). We also considered potential interactions between these terms. A
275 significant interaction between *time* and *group* would indicate a widening (or closing) gap
276 between predator and prey risk—implying mismatch potential. Triple interactions between *time*,
277 *group*, and *region* allowed testing whether diverging gaps in risk between fish and their prey
278 were region-specific. This analysis also allowed assessing how trends might scale up to the
279 broader regional food webs across the estuary, by modeling risk estimates for the whole
280 community as a function of taxonomic *group*, *time*, *region*, and *predator* identity (to cluster
281 individual food webs). Finally, we used the same ANCOVA model structures to estimate the
282 difference in risk between “best case” and “worst case” scenarios. This last analysis allowed for
283 assessing the implications of assuming trends (U) and process error (Q) on the lower or higher
284 ends that the data supported—and thus the conservation implications of population uncertainty.

285

286 Results

287 *Quantifying population trends and variability*

288 Maximum-likelihood estimates of fish intrinsic growth rates (or long-term population
289 trends, U), and of process error variance (or variability around the long-term trends, Q) revealed
290 a wide diversity of trajectory types across species (Figure 3). These variables showed no strong
291 association with each other (Pearson's R : 0.133, $p=0.053$). We tended to see negative growth
292 rates (mean \pm SD: -0.009 ± 0.0763) that varied by *species* ($F_{6,26.535}$, $p<0.001$) and by *region*
293 ($F_{5,2.715}$, $p=0.021$). However, positive population trajectories were also possible (positive: 42.4%,
294 negative: 56.6%, range: -0.210 to $+0.176$). Process error variance, which measures the inherent
295 variability of the population associated with environmental stochasticity, varied strongly by
296 *species* ($F_{6,49.994}$, $p<0.001$), *region* ($F_{5,18.761}$, $p<0.001$), and the interaction between *species* and
297 *region* ($F_{10,5.364}$, $p<0.001$). Notably, Longfin Smelt (*Spirinchus thaleichthys*) displayed nearly
298 ubiquitous declining trajectories and had relatively small levels of process error variance.
299 Conversely, Northern Anchovy (*Engraulis mordax*) showed more positive population growths,
300 but did so with very high levels of process error variance (Figure 3). Overall, in agreement with
301 our hypothesis, we observed wide variation in population risk, driven by both spatial variation
302 (regions) and individual species characteristics.

303

304 *Phenology of risk*

305 Fishes showed fluctuating patterns of critical decline risk throughout the year, despite
306 critical decline risks being low overall (mean: 20.6%) (Figure 4). Moreover, taxa in regions at the
307 high end of the salinity gradient (i.e., San Pablo Bay) often were at higher mean risk (San Pablo
308 Bay = 21.6%) than those in lower salinity zones (Delta=16.1%, Confluence = 16.8%, Suisun
309 Bay = 14.0%). We also modeled risk dynamics in the high-salinity zones of the Central and
310 South Bays, and found high mean risk probabilities (Central 30.4%, South 25.6%,
311 Supplementary Figure S3). However, as these regions do not have zooplankton monitoring, we

312 excluded them from additional analysis. Critical decline risk was positively correlated with mean
313 monthly abundance of age-0 American Shad (*Alosa sapidissima*), Pacific Herring (*Clupea*
314 *pallasii*), Striped Bass (*Morone saxatilis*), Threadfin Shad (*Dorosoma pretense*), and Longfin
315 Smelt populations (American Shad $R=0.5792$, $T_{27,3.6926}$, $p<0.001$, Pacific Herring $R=0.7642$,
316 $T_{8,3.3517}$, $p=0.01$, Striped Bass $R=0.5453$, $T_{33,3.7368}$, $p<0.001$, Threadfin Shad $R=0.5887$, $T_{20,3.2573}$,
317 $p=0.004$, Longfin Smelt $R=0.6155$, $T_{20,3.4929}$, $p=0.002$). This result indicates that critical decline
318 risk is often higher in months that concentrate higher abundances. In contrast, the Jack
319 Silverside (*Atherinopsis californiensis*) and the Northern Anchovy did not show an association
320 between month-specific risk and abundance. Notably, no species showed a negative
321 association between monthly risk and abundance—the hypothesized pattern in which species
322 would be safer in the months that concentrate more of their relative abundance, relative to the
323 ‘shoulder’ months.

324

325 *Implications for trophic dynamics in current and future scenarios*

326 We found that critical decline risk was relatively low (mean 21.46%) when we examined
327 paired predator-prey assemblages within their high-abundance windows one time step into the
328 future (Figure 5). Though individual zooplankton taxa showed variable patterns of risk in the
329 near term, only one predator, the Striped Bass, showed significantly lower decline risk than its
330 corresponding prey assemblage ($F_{1,17.320}$, $p=0.0015$), indicating potential for bottom-up
331 destabilization. Additionally, American Shad predator/prey assemblages showed differential
332 patterns by region, with lower critical decline risks associated with San Pablo Bay ($F_{3,8.601}$,
333 $p=0.002$).

334 Despite this ‘balanced’ risk between fish and their prey currently, as we projected critical
335 decline risk into the next decade we found widespread divergence between fishes and their
336 zooplankton prey across different regions of the estuary ($F_{3,4.068}$, $p=0.006$ for the
337 Group*Time*Region interaction, see next section for community-level trends). Among individual

338 predators, all fish species except the Threadfin Shad displayed differential risk than their prey
339 assemblages (Figure 6), but this often varied by region. Notably, Striped Bass also displayed an
340 interaction between taxonomic group and years into the future, indicating that risk accumulates
341 at different rates between this fish and its prey ($F_{1,14.581}$, $p < 0.001$). Throughout the 10-year
342 projection, we also found strong regional differences in American Shad ($F_{3,39.422}$, $p < 0.001$),
343 Northern Anchovy ($F_{1,16.407}$ $p < 0.001$), and Longfin Smelt food webs ($F_{1,6.705}$ $p = 0.0109$), as well as
344 *group by region* interactions for all three of these predators (American Shad $F_{3,8.132}$, $p < 0.001$;
345 Northern Anchovy $F_{1,5.577}$, $p = 0.02$; Longfin Smelt $F_{1,5.932}$, $p = 0.016$). Additionally, we found that
346 American Shad food webs accumulate risk differentially by region ($F_{3,10.060}$, $p < 0.001$ for the
347 *region by time* interaction). Overall, we saw support for our hypothesis that fishes display higher
348 levels of risk than their zooplankton prey into the future, but these trends are largely region-
349 specific.

350

351 *Community-scale observations*

352 Divergences between fish and zooplankton critical decline risks also manifested at the
353 community level, with zooplankton assemblages throughout the estuary having lower mean
354 decline risk than fishes ($F_{1,7.022}$, $p = 0.008$). However, these differences are predominantly driven
355 by patterns in San Pablo Bay (Region effect $F_{3,23.168}$, $p < 0.001$, Figure 7). Moreover, we found
356 the rate of divergence in trends through time was unique each region of the estuary ($F_{3,4.068}$,
357 $p = 0.006$ for the *group*time*region* interaction), as well as unique to each predator/prey
358 assemblage ($F_{3,2.715}$, $p = 0.013$ for the triple interaction between *group*, *time*, and *predator*
359 *identity*). Despite the higher baseline critical decline risk in fish assemblages, uncertainty (i.e.,
360 the difference between risk from best-case and worst-case scenario projections) was higher for
361 zooplankton relative to fish ($F_{1,113.867}$, $p < 0.001$), and accumulated differently between trophic
362 groups ($F_{1,17.060}$, $p < 0.001$ for the *group by time* interaction). Moreover, uncertainty varied by
363 *region* ($F_{3,121.889}$, $p < 0.001$), and *groups* in each *region* accumulated risk differentially through

364 time ($F_{3,7,201}$, $p < 0.001$ for the triple interaction between *group*, *time*, and *region*). This interaction
365 indicates that a wider range of critical decline outcomes are possible for zooplankton across the
366 estuary. Overall, these results suggest that patterns of risk can scale up from individual
367 predator/prey assemblages to the community level. However, local conditions—notably the
368 salinity gradient—control how these patterns might manifest into the future. For additional
369 exploration and visualization of our results, see the companion ‘Bay Delta Data Explorer’ R
370 ShinyApp: http://12022001delta.shinyapps.io/RFCT_Mismatches_2.

371

372 **Discussion**

373 Conservation scientists often quantify extinction risk to triage populations and prioritize
374 the allocation of limited resources. However, these estimates often assume that decline risk is
375 consistent within relatively small temporal and spatial scales (Coulson et al. 2001). Moreover,
376 PVA are usually explored within the context of a single species, and assessments of risk across
377 food webs is comparatively rare (Sabo 2008). Here, we sought to evaluate how critical decline
378 risk for age-0 forage fishes and their potential prey varies throughout the year across the San
379 Francisco Bay Estuary. Both forage fishes and their zooplankton prey were characterized by
380 periods of concentrated abundance within years, emphasizing the importance of considering
381 decline risk at sub-yearly scales. We found that many focal fish species showed negative
382 population growth, and that critical decline risk was often higher in months with high historical
383 abundances. Additionally, we found that predator and prey decline risk diverged across a
384 10-year projection, suggesting a potential for future trophic mismatches. However, these
385 divergent patterns were most pronounced in one region of the estuary (San Pablo Bay),
386 indicating that local environmental factors might drive disruptions to the food web. Our findings
387 underscore the need to consider fine-scale temporal and spatial variation in risk in estuarine
388 taxa. The observed widening gaps in risk and uncertainty around risk between trophic levels
389 advance the notion that phenological shifts and associated trophic mismatches are an

390 emergent, yet largely underappreciated consequence of global change on ecological
391 communities (Cohen et al. 2018, Fournier et al 2023).

392

393 *Widely declining fish population trajectories*

394 There is growing evidence that the capacity of the San Francisco Estuary to support
395 forage fish populations has substantially diminished in recent decades (Rosenfield and Baxter
396 2011). The resulting pelagic organism decline (POD) has been marked by dramatic collapses in
397 forage fish populations (Sommer et al. 2007), and these declining abundances are reflected
398 across our MAR models (Figure 3). Previous applications of time series modeling in this system
399 have identified strong negative trends at the population level driven by a variety of
400 environmental factors, including water clarity and the variable position of the 2‰ isohaline zone,
401 X_2 (Mac Nally et al. 2010). Understanding the causes and consequences of these declines
402 requires linking abundance trends to probabilistic estimates of extinction outcomes.

403 Our critical decline risk calculations are based on two primary measures of population
404 dynamics: intrinsic growth rates and process-driven variability. Over half of all fish time series
405 (52.3%) displayed negative intrinsic growth rates, commonly resulting in increased critical-
406 decline risk—that is, a high near-term probability that the species will not be longer present, that
407 month, in that region of the estuary. Notably, the Longfin Smelt, a native osmerid that was
408 historically abundant in the San Francisco Estuary (Tempel et al. 2021), exhibited nearly
409 ubiquitous patterns of negative growth across regions and months (Figure 3). Declines of
410 Longfin Smelt are well established (Nobriga and Rosenfield 2016), and habitat degradation and
411 successive recruitment failures have led to its recent Federal listing under the Endangered
412 Species Act (USFWS 2024). In this case, the strongly negative growth rates identified by our
413 models likely drive the observed patterns of critical decline risk. Conversely, taxa with highly
414 variable population dynamics can still exhibit high decline risk even with positive growth trends.
415 For instance, the Northern Anchovy often maintained positive growth rates but did so in a highly

416 variable manner (Figure 3). This predominantly marine species opportunistically uses estuarine
417 habitats (Allen and Horn 2006). Populations tend to show boom-and-bust dynamics, with
418 recruitment often tied to lower delta outflow, and juvenile abundance positively correlating with
419 drought conditions that increase system salinity (Colombano et al. 2022). A strong reliance on
420 environmental stochasticity to maintain abundance levels is reflected in high population
421 variance, increasing the likelihood of a critical decline event. Overall, both the overall negative
422 growth rates and high population variance identified by our time series models illustrate an
423 assemblage in flux.

424

425 *Phenology of risk*

426 Fish recruitment to adult life stages (and fisheries) is often highly sensitive to fluctuations
427 in early life survivorship (Hjort 1914, Winemiller and Rose 1992, Fournier et al. 2021), and our
428 models revealed that age-0 critical decline risk was not uniform across months. The dynamic
429 environmental conditions of estuaries can disproportionately impact juvenile fishes that rear in
430 these nursery habitats (Morrongiello et al. 2014, Jenkins et al. 2022). For example, seasonal
431 changes in delta outflow and salinity can alter resource availability during key growth periods
432 (Reis et al. 2019). Additionally, widespread anthropogenic alteration of breeding and rearing
433 habitats throughout the San Francisco Estuary has negatively affected early life stages of
434 estuarine fishes (Cloern and Jassby 2012). Despite our expectation that critical decline risk
435 would be higher during months with historically low abundances, we often observed the
436 opposite pattern. American Shad, Longfin Smelt, Pacific Herring, Striped Bass, and Threadfin
437 Shad all showed higher critical decline risks in months with historically high abundances. Low
438 juvenile survivorship often leads increased fecundity, to buffer mortality (Winemiller and Rose
439 1993), which likely explains the observed risk patterns. Moreover, density-dependent resource
440 exploitation during high abundance windows might affect population performance more acutely
441 than density-independent factors in other parts of the growth season (DeAngelis et al. 1993).

442 Spawning and hatching are key phenological events, the timing of which tends to be
443 highly-sensitive to environmental change (Lawrence et al. 1997, Hovel et al 2017). Our analyses
444 and risk estimates focused on these high-abundance windows. If environmental conditions
445 during these periods become unsuitable, estuarine taxa might respond by advancing or delaying
446 their life cycles their phenology (Chevillot et al. 2017, Asch et al. 2019). However, estuarine
447 fishes might be limited in their abilities to phenologically track changing environmental
448 conditions long-term (Fournier et al. 2024). Moreover, desynchronization between a population
449 and its key resource base can destabilize food webs (Stenseth and Mysterud 2002,
450 Zhemchuzhnikov et al. 2021). Our results highlight the need to closely monitor decline risk
451 during the key periods when a species is present, as assuming risk consistency could mislead
452 managers to overlook potentially impactful moments of heightened risk.

453

454 *A widening gap in risk, and risk uncertainty, between fishes and their prey*

455 While PVA are generally considered within single species contexts, we sought to pair
456 predators with their potential prey assemblage during important phenological windows. Though
457 near-term patterns of decline risk were similar between predators and their prey, we observed
458 that risk diverged when considering a 10-year time horizon. Predators and prey often fluctuate
459 together in lagged cycles (Chesson 1978) and typically reach equilibrium over evolutionary
460 timescales (Smith and Slatkin 1973). While predictable disturbances can stabilize trophic
461 interactions (Vasseur and Fox 2009), strong environmental fluctuations driven by global change
462 might disrupt these relationships (Bretagnolle and Gills 2010). Additionally, phenological shifts
463 that decouple historically synchronous species can cause trophic mismatches that destabilize
464 food webs (Varpe et al. 2010, Thakur 2020), and phenological trends between estuarine
465 predators and prey could be diverging (Fournier et al. 2024).

466 Our projections indicated that predators often exhibited higher rates of decline than their
467 prey over a 10-year period. If predators are extirpated during key phenological windows while

468 their prey persist, the resulting trophic release of zooplankton taxa might increase the likelihood
469 of harmful algal blooms (Jachowski et al. 2020). Conversely, Striped Bass, a non-native but
470 well-established species in the San Francisco Estuary, often showed lower critical decline risk
471 than its prey. Despite its high diet adaptability (Young et al. 2022), significant declines in prey
472 assemblages during key phenological periods might still result in population declines (Nobriga
473 and Freyer 2008). Indeed, altered patterns of prey availability are thought to be a significant
474 driver of the estuary's pelagic organism decline (Sommer et al. 2007). Importantly, we observed
475 different levels of risk uncertainty (i.e., the difference between best-case and worst-case
476 scenarios) between fishes and zooplankton throughout the estuary. In general, zooplankton
477 exhibited a wider range of possible trajectories than fishes. The fast generation times and
478 boom-and-bust cycles characteristic of many zooplankton taxa, including the widespread
479 genera examined in our model, complicate precise estimates of decline risk (Lane 1975).
480 Moreover, biotic controls on plankton populations not assessed by our models (e.g., grazing
481 pressure by invasive bivalves, Carlton et al. 1990) might further destabilize zooplankton prey
482 pools. Thus, while the aggregate stability of the assemblage might facilitate prey-switching
483 (Potts et al. 2016), large-scale collapses predicted by our "worst-case" models would likely
484 destabilize whole food webs.

485 In our study, we found that the strongly divergent trends (i.e., "widening risk gaps"
486 between fishes and their prey) occurred in a single region of the estuary, San Pablo Bay. This
487 region is near the Pacific Ocean and has the highest salinity levels in the study area.
488 Consequently, long-term droughts that shift the salinity gradient upriver, coupled with the
489 influence of recent marine heatwaves, might make this region especially vulnerable to
490 hydroclimatic fluctuations (Sanford et al. 2019). As fishes and plankton have variable tolerances
491 to environmental conditions such as increased temperature or salinity (Qasim et al. 1972,
492 Beitinger et al. 2000), harsher conditions in this region might differentially influence decline risk.
493 Although individual taxa might display variable levels of risk, a diversity of trends might promote

494 community-level stability (Ovaskainen et al. 2010). At the estuary-wide scale, the taxonomic
495 richness of forage fishes appears to facilitate portfolio effects that enhance community resilience
496 despite declines of individual taxa (Colombano et al. 2022). Additionally, spatial insurance may
497 allow individual subregions to bolster the metapopulation when local conditions become
498 unsuitable elsewhere. Indeed, previous time series analyses have been used to infer
499 metapopulation structure and identify subpopulations that disproportionately impact regional
500 decline risk (Ward et al. 2010, Sarremejane et al. 2021). Similarly, except for Striped Bass, the
501 divergent patterns we observed in San Pablo Bay appear to be localized, as other subregions
502 throughout the estuary show similar risk for fishes and their prey. Thus, management strategies
503 that either mitigate risk in San Pablo Bay, or enhance the capacity of other subregions to serve
504 as refuge habitats, might confer food-web stability throughout the region.

505

506 *Limitations and future directions*

507 The increasing availability of biological monitoring data makes time series-based
508 approaches a suitable alternative to traditional, mechanistic PVA (Holmes et al. 2007,
509 Chaudhary and Oli 2020). Here, we leveraged long-term monitoring data for age-0 forage fishes
510 and their zooplankton prey in the San Francisco Estuary to assess critical decline risk across
511 multiple scenarios. Nonetheless, these methodologies have limitations. First, here we explored
512 sub-annual trends by breaking the time series into its constituent months. While this fine-scale
513 analysis revealed when in a given season a particular fish may be more likely to disappear, we
514 did not explicitly model spawning and hatching events, niche shifts, or migrations. Additional
515 work could build on our approach to mechanistically include life-history events that may be
516 influencing, or driving, the observed patterns in risk phenology. Second, our critical decline
517 metrics might be inflated because they are derived solely from process error while observation
518 error is not assessed (Knape and De Valpine 2011). However, these estimates remain valuable
519 because our modeling approach promotes bias consistency across fish species and their

520 potential prey. Furthermore, the probability of not detecting a species in a given month (i.e.,
521 'extinction' from an observation standpoint) is still critical, as it may prompt management and
522 conservation actions, even if the species was not detected due to imperfect observation rather
523 than true absence (e.g., Delta Smelt, Rose et al. 2013). Third, direct biotic interactions are
524 difficult to estimate. We sought to ameliorate this shortcoming by calculating average risk of
525 prey within phenological windows bespoke to each predator. However, these indirect methods
526 might not fully assess increases in decline risk due to prey availability, and altered prey pools
527 are predicted to influence pelagic organism population collapses (Sommer et al. 2007, Cloern
528 and Jassby 2012). Moreover, while we assumed fishes might consume any of the modeled prey
529 species, we still know relatively little about how each fish predator might rely on a given prey
530 item. Thus, diet and other trophic studies that directly link predator and prey would greatly
531 improve our ability to assess mismatch potential. Finally, our models examined spatial dynamics
532 by assessing decline risk at subregional scales. In doing so, we found that San Pablo Bay has
533 elevated mismatch risk relative to other regions of the estuary. As migration through the estuary
534 is common for many of our focal taxa, explicit examinations of metapopulation structure might
535 enhance our understanding of whole-estuary ecological dynamics.

536

537 *Concluding remarks*

538 The richness and complex life cycles of estuarine biota often complicate management
539 and conservation efforts in these dynamic ecosystems (Jha et al. 2008, Lauchlan and
540 Nagelkerken 2020). Here, we used a novel quantitative approach to estimate critical decline risk
541 in a community context. Contrary to what is typically assumed, we found that even within a
542 given species and life stage, critical decline risk can be highly variable across months of the
543 year—suggesting high potential for climate-induced trophic mismatches (Visser 2022). Because
544 predator-prey dynamics and food limitation have been linked to the ongoing fish population
545 declines in the San Francisco Estuary (Baxter et al. 2008, Cloern & Jassby 2012), our results

546 are directly relevant to conservation efforts in this system. Notably, our approach could be
547 transferred to other estuaries with similar long-term on species abundances across trophic
548 levels. A more robust understanding of fine-scale temporal dynamics within food webs should
549 help design more effective conservation strategies for vulnerable populations undergoing
550 climate-change induced phenological shifts.

551

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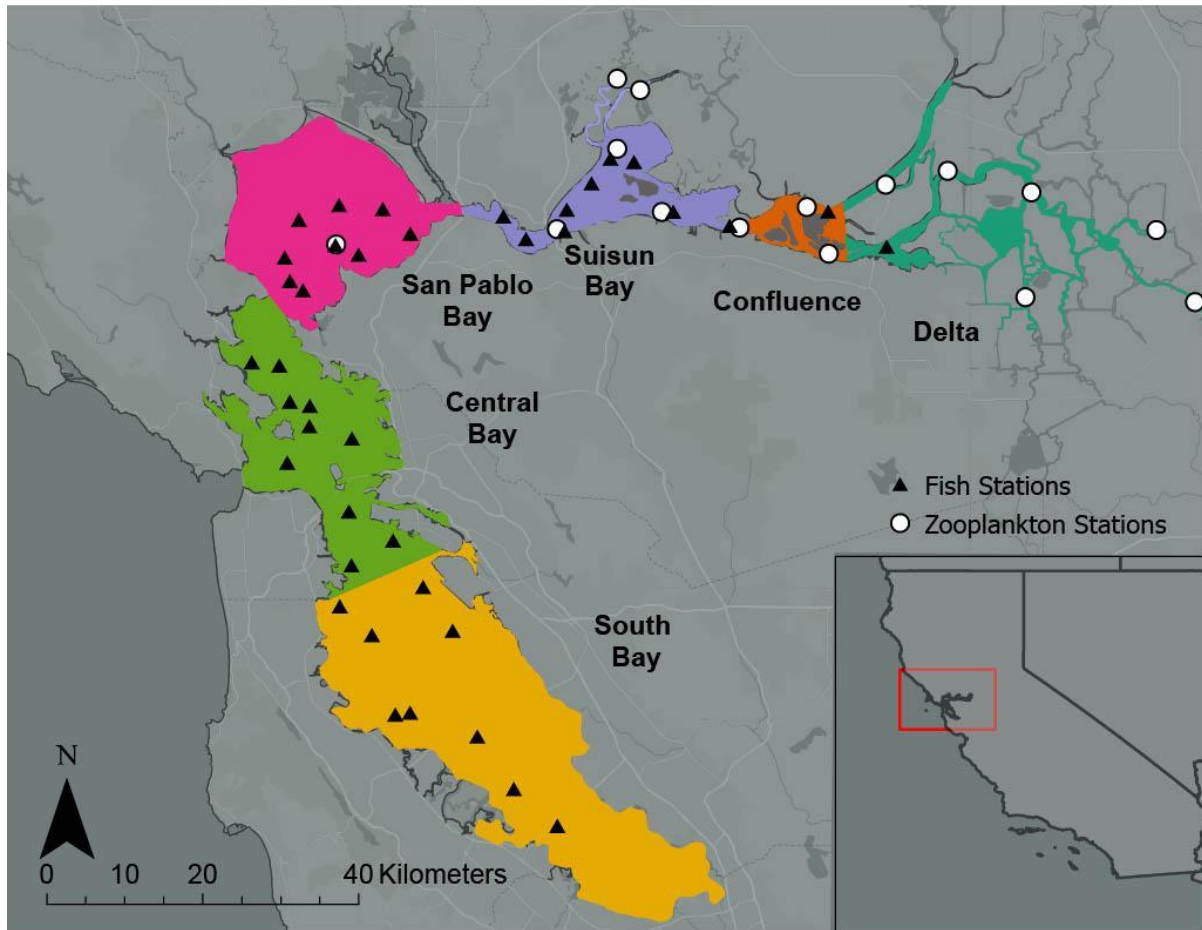
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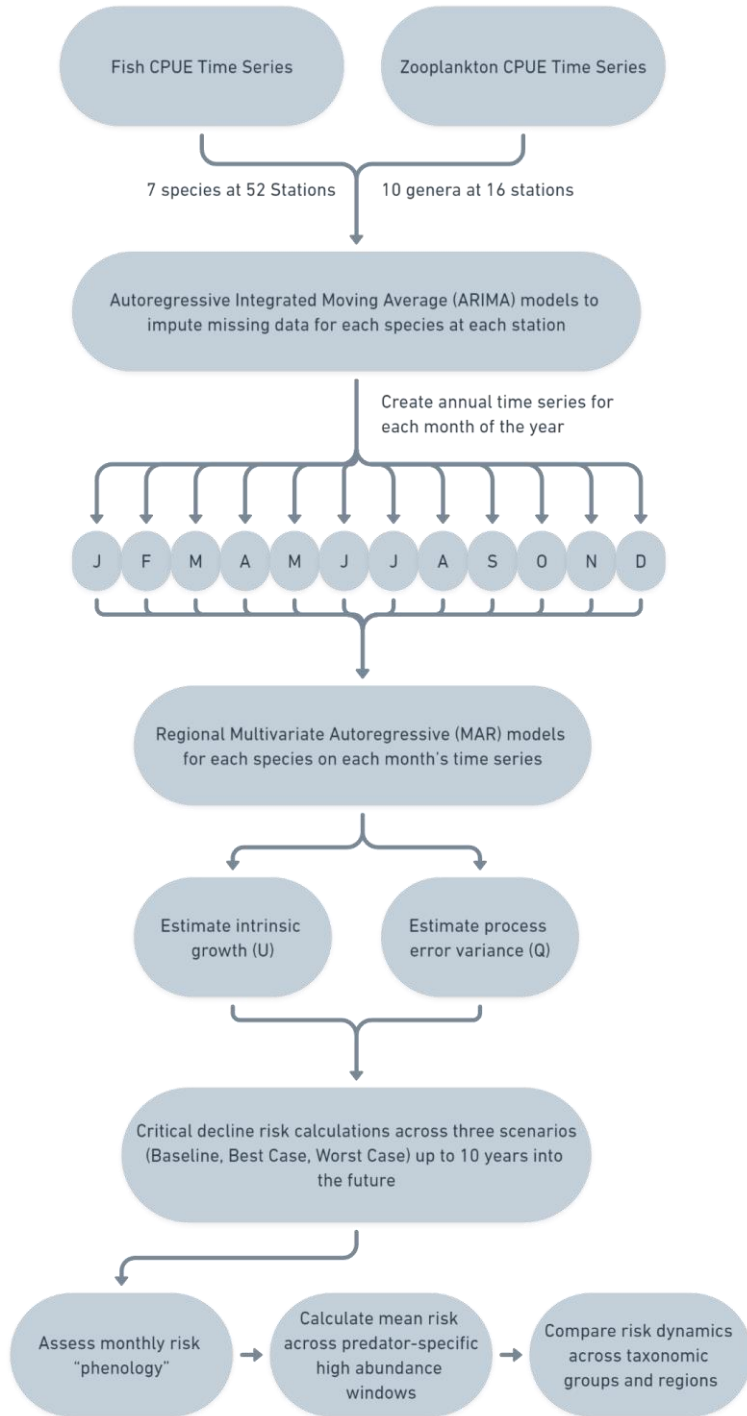
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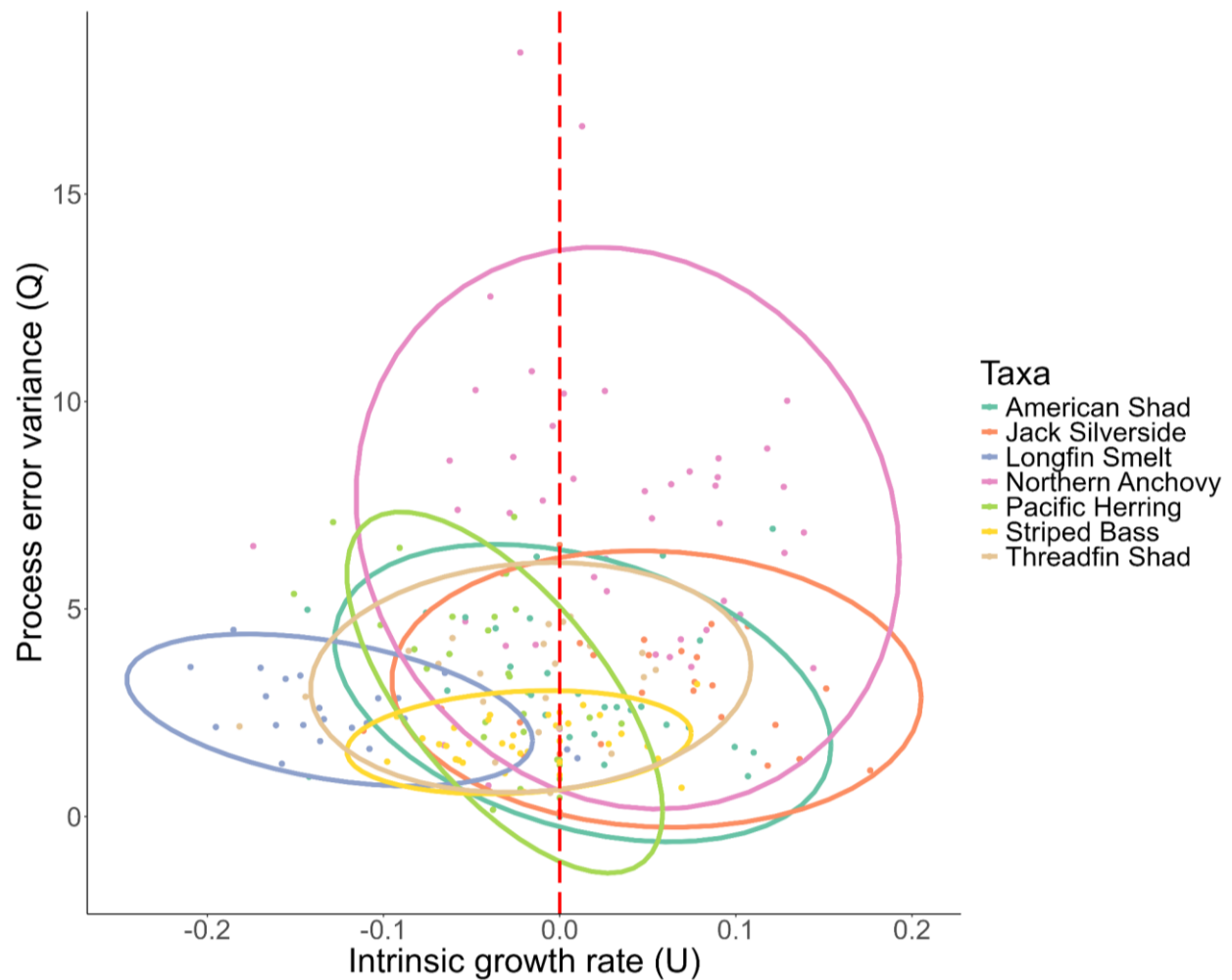
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821 **Figure 1: Map of the San Francisco Estuary, California, USA.** Regions are shown as color-
 822 coded polygons, with core fish and zooplankton sampling stations shown as black triangles and
 823 white circles, respectively. Along the longitudinal axis of the estuarine gradient, and depending
 824 on hydroclimatic conditions, salinity can range from polyhaline (18-30 PSU in the Central Bay,
 825 which is connected to the Pacific Ocean) to brackish and fresh (0-5 PSU in the Delta).
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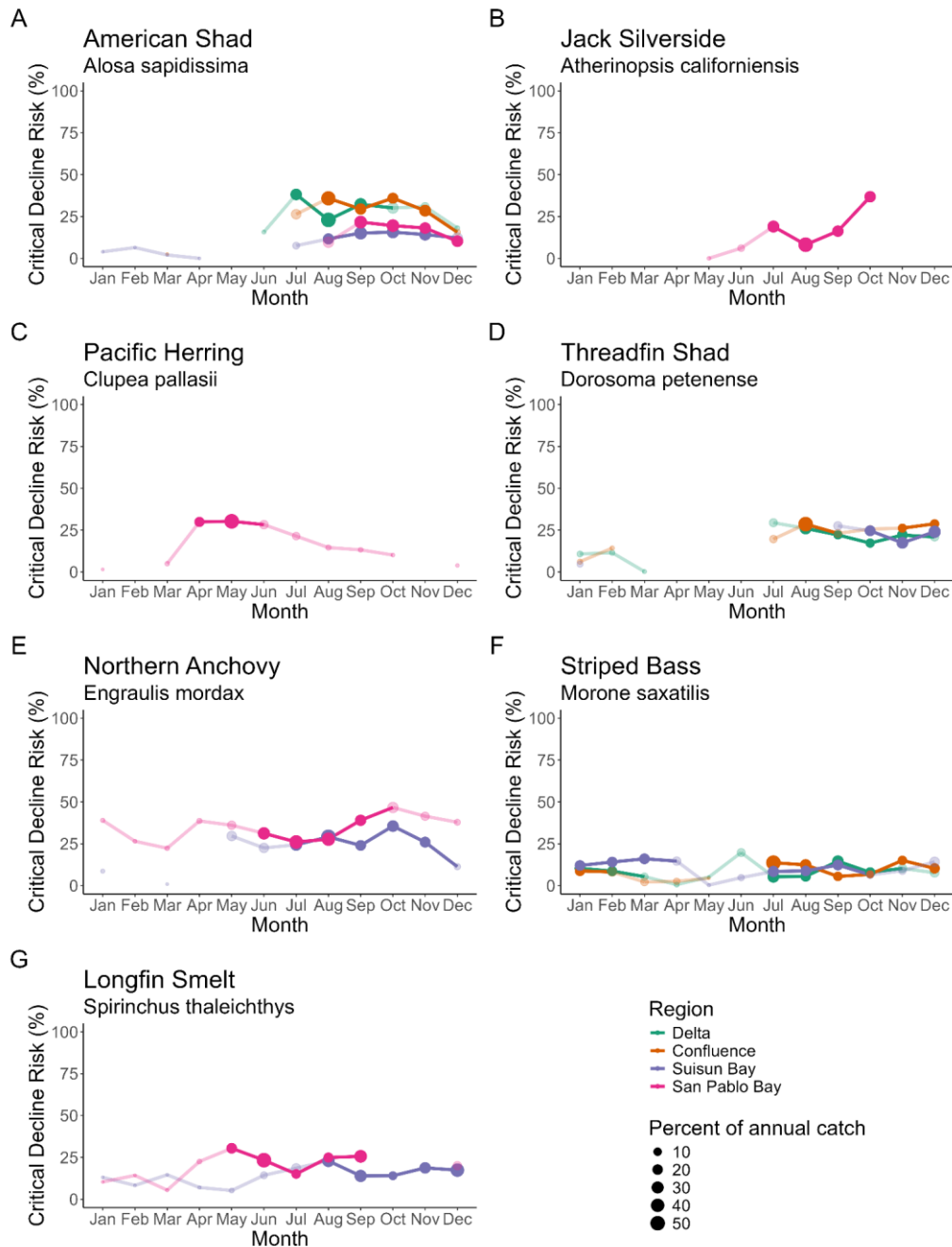


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Figure 2: Flow chart of analyses. We illustrate the data inputs, series modeling steps, and critical decline risk outputs.

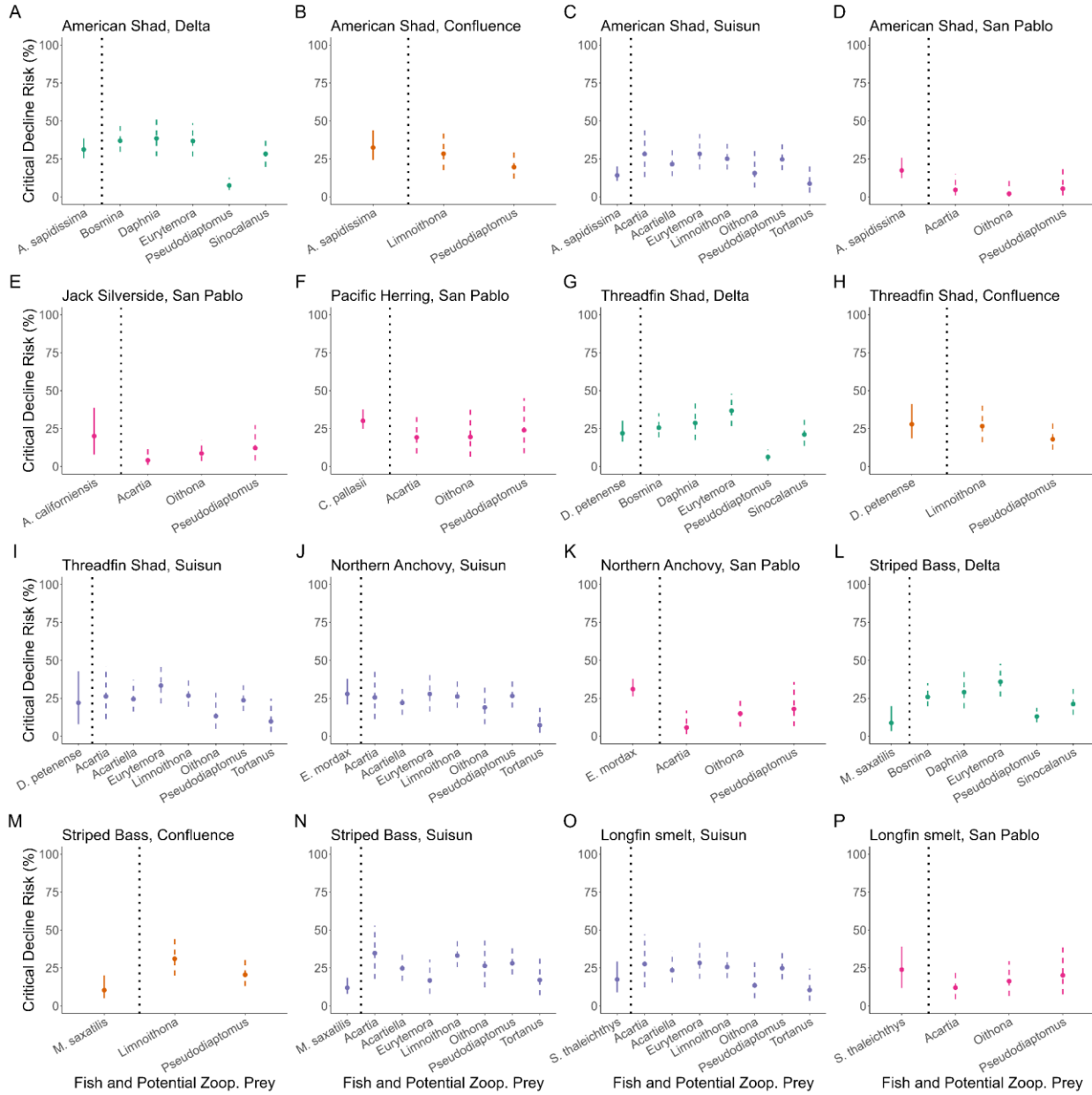


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 831 **Figure 3: Diversity of population trajectories within and across species.** The biplot
 832 represents Intrinsic growth rates (U), versus estimated process error variance (Q) estimated by
 833 the Multivariate Autoregressive (MAR) models. For growth rates, values below zero indicate
 834 year-to-year declines in population estimates for that month and region, while values above
 835 zero indicate positive trends. For process error variance, higher values indicate stronger year-
 836 to-year fluctuations in population estimates for that month and region. Each point represents a
 837 species in a given region for each month of the year. We fitted standard ellipses to each species
 838 to display the diversity of species-level trajectories. See Figure S2 in the supplementary for
 839 additional visualizations of these data.



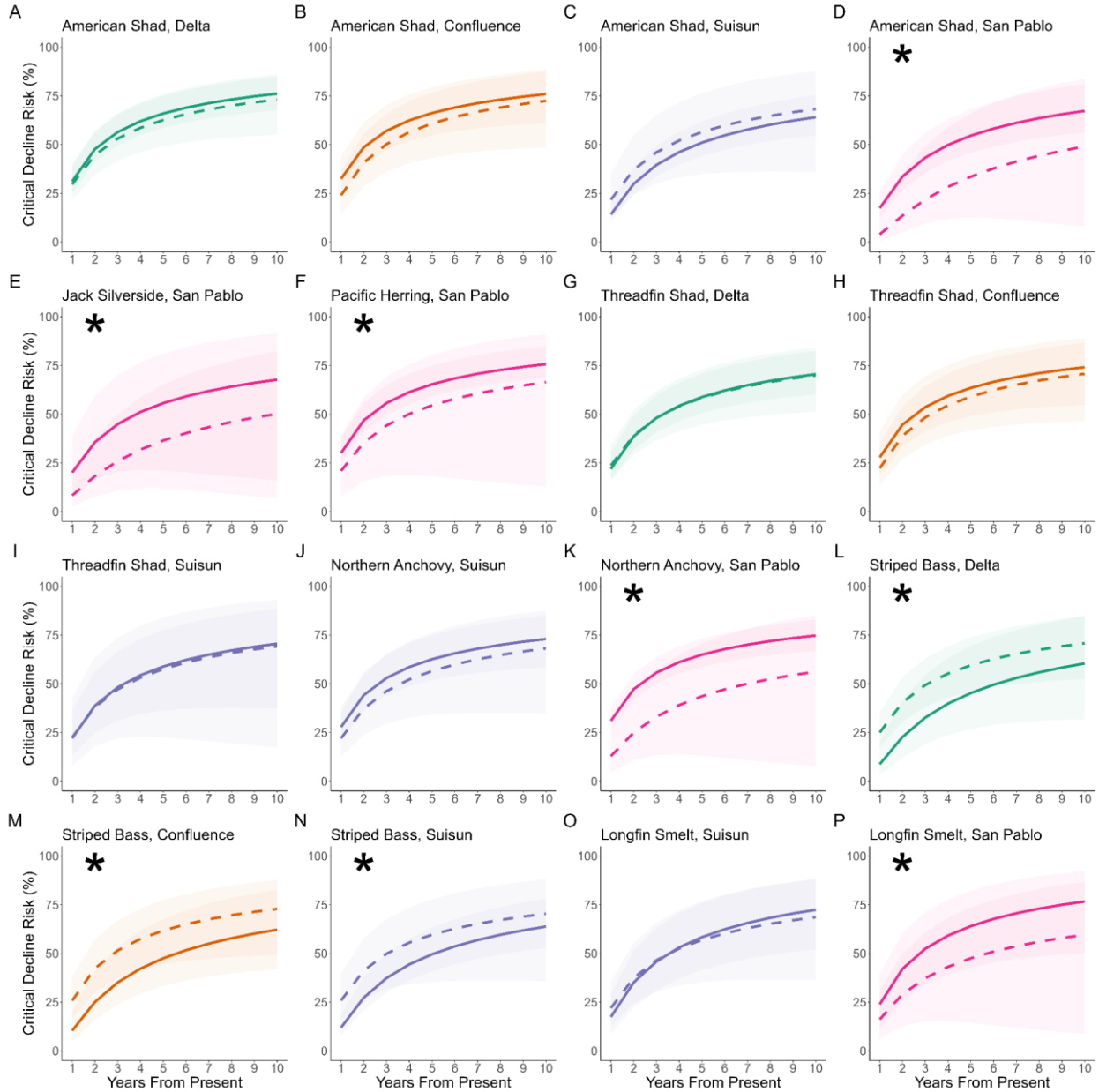
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Figure 4: Phenology of risk. Monthly risk that an age-0 fish species would experience a 90% population decline for that month in each region. Points are scaled by percentage of mean annual catch. High abundance windows—i.e., months that contain 80% of the mean annual catch—are in saturated tones while off-window months are desaturated. Gaps indicate that a species often had zero abundance for that month and region and were thus not modeled.

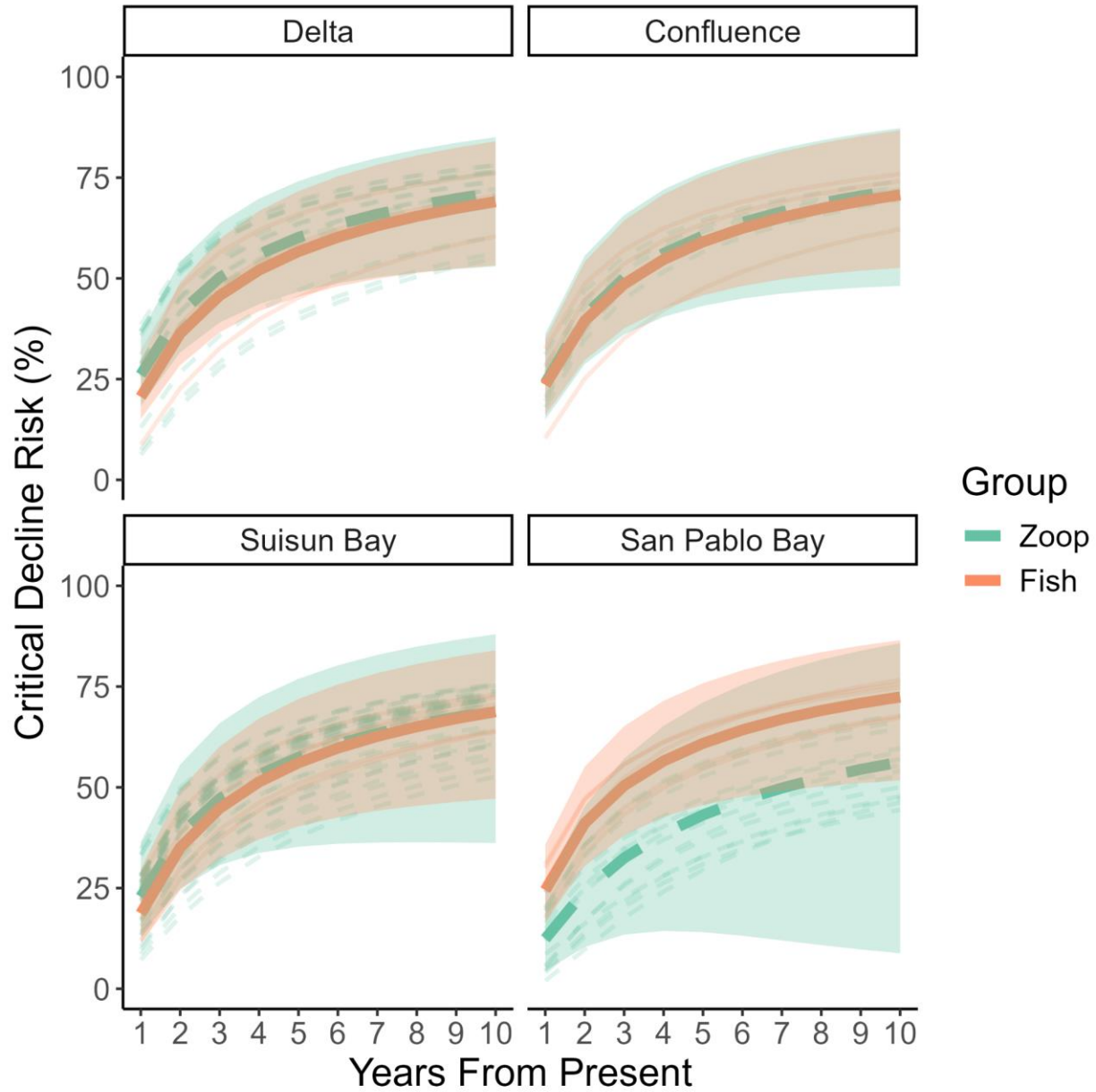


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Figure 5: Mean critical decline risk of fish during their high-abundance windows paired with the potential suite of zooplankton prey within that same window. Points represent probabilities calculated from maximum likelihood parameter estimates. Lower bound represents “best case scenario” wherein decline risks are calculated with the most positive population trend and lowest amount of process error variance. Upper bound represents “worst case scenario” calculated with the most negative population trend and highest amount of process error variance.



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 862 **Figure 6: Projection of critical decline risk 10 years into the future.** We projected out 10
 863 years from present for fish predators (solid line) in their high population window and the mean
 864 risk of their zooplankton prey assemblage (dashed line) during that same window. Bands
 865 represent the range between best case and worst case scenarios. Asterisks represent
 866 significant differences between fishes and zooplankton (American Shad, $F_{1,5.891}$, $p=0.0161$;
 867 Threadfin Shad, $F_{1,0.145}$, $p=0.710$; Jack Silverside, $F_{1,16.245}$, $p<0.001$; Pacific Herring, $F_{1,8.435}$,
 868 $p=0.0062$; Northern Anchovy, $F_{1,12.214}$, $p<0.001$; Striped Bass, $F_{1,40.244}$, $p<0.001$; Longfin Smelt,
 869 $F_{1,4.173}$, $p=0.043$).
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 872 **Figure 7: Accumulation of community-wide risk across regions of the estuary.** We display
 873 mean decline risk for all predators (orange, solid line) and their paired prey assemblages
 874 (green, dashed line) in each region during high abundance windows projected out for 10 years.
 875 Individual taxa are represented by desaturated lines. Bands represent the mean range between
 876 best case and worst case scenarios (see methods for details).
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