# Leveraging Earth Observation to monitor genetic diversity from Space

3 Running title: EO to monitor genetic diversity

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- 5 List of authors: ISSI International Team "Genes from Space": Meredith C.
- 6 Schuman<sup>1,2,\*,†</sup>, Claudia Roeoesli<sup>1,\*,†</sup> Alicia Mastretta-Yanes<sup>3,4,a</sup>, Isabelle S. Helfenstein<sup>1</sup>,
- 7 Oliver Selmoni<sup>1,5,6</sup>, Cristiano Vernesi<sup>7</sup>, Katie L. Millette<sup>8</sup>, Wolke Tobón-Niedfeldt<sup>9</sup>,
- 8 Clement Albergel<sup>10</sup>, Deborah M. Leigh<sup>11,b</sup>, Sophie Hebden<sup>10,12</sup>, Sean M. Hoban<sup>13</sup>,
- 9 Santiago G. Lago<sup>14-17</sup>, Michael E. Schaepman<sup>18</sup>, Linda Laikre<sup>19</sup>, Ghassem R. Asrar<sup>20</sup>

10

#### 11 Institutional affiliations:

- <sup>12</sup>Remote Sensing Laboratories, Department of Geography, University of Zurich, Zurich,
- 13 Switzerland
- <sup>14</sup> Department of Chemistry, University of Zurich, Zurich, Switzerland
- 15 <sup>3</sup>Consejo Nacional de Humanidades, Ciencias y Tecnologías, Mexico City, Mexico
- <sup>16</sup> Institute of Ecology, National Autonomous University of Mexico (UNAM), Mexico City,
- 17 Mexico
- <sup>18</sup> Department of Embryology, Carnegie Institution for Science, Baltimore, MD 21218,
- 19 USA
- <sup>20</sup> Department of Plant Biology, Carnegie Institution for Science, Stanford, CA 94305,
- 21 USA
- <sup>22</sup> Fondazione Edmund Mach, San Michele All'adige, Trento, Italy
- <sup>23</sup> <sup>8</sup>Group on Earth Observations Biodiversity Observation Network (GEO BON), McGill
- 24 University, Montreal, Quebec, Canada
- <sup>9</sup>Comisión Nacional para el Conocimiento y Uso de la Biodiversidad (CONABIO),
- 26 Mexico City, Mexico
- <sup>27</sup> <sup>10</sup>European Space Agency (ESA) Climate Office, ECSAT, Harwell Campus, Didcot,
- 28 Oxfordshire, United Kingdom
- 29 11 Ecological Genetics, Biodiversity and Conservation Biology, Swiss Federal Research
- 30 Institute for Forest, Snow, and Landscape (WSL), Birmensdorf, Switzerland
- 31 12 Future Earth Secretariat, Stockholm, Sweden
- 32 <sup>13</sup>The Center for Tree Science, The Morton Arboretum, Lisle, IL 60187, USA
- 33 14European Molecular Biology Laboratory, Genome Biology Unit, Heidelberg, Germany
- 34 <sup>15</sup>European Molecular Biology Laboratory, Molecular Systems Biology Unit, Heidelberg,
- 35 Germany
- <sup>36</sup> Division of Computational Genomics and Systems Genetics, German Cancer Research
- 37 Center (DKFZ), Heidelberg, Germany
- 38 17 Chair of Data Science in Earth Observation, Department of Aerospace and Geodesy,
- 39 Technical University of Munich, Munich, Germany

- 40 <sup>18</sup>University of Zurich, Zurich, Switzerland
- 41 <sup>19</sup>Department of Zoology, Stockholm University, Stockholm, Sweden
- 42 20 iCREST Foundation, 3001 Bridgeway, Suite 312, Sausalito, CA 94965, USA
- 43 aCurrent address: Royal Botanic Gardens, Kew, Richmond, UK
- <sup>44</sup> Current address: Institute of Ecology, Evolution, and Diversity, Faculty of Biosciences,
- 45 Goethe University Frankfurt, Max-von-Laue-Str. 9, 60438 Frankfurt, Germany &
- 46 Senckenberg Gesellschaft für Naturforschung, 60325 Frankfurt, Germany
- 48 \*Contact information: <a href="mailto:claudia.roeoesli@geo.uzh.ch">claudia.roeoesli@geo.uzh.ch</a>, +41 44 63 56522;
- 49 meredithchristine.schuman@uzh.ch, +41 44 63 55162
- <sup>50</sup> Meredith C. Schuman and Claudia Roeoesli should be considered joint first authors.

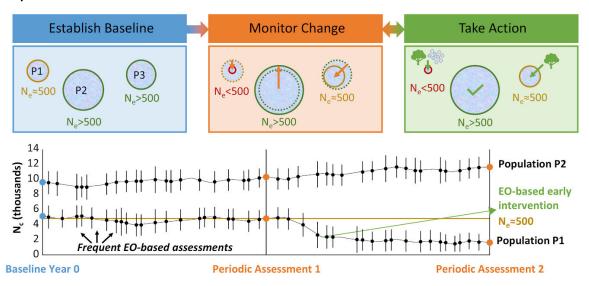
## 51 Abstract

- 52 Genetic diversity within and among populations is essential for species persistence.
- 53 Despite the definition of key targets and pragmatic indicators in the Kunming-Montreal
- 54 Global Biodiversity Framework (GBF), assessing genetic diversity across many species,
- 55 at national and regional scales, remains challenging. Conservationists, ecosystem
- 56 managers, and Parties to the Convention on Biological Diversity (CBD) still require
- 57 accessible tools for reliable and efficient monitoring of genetic diversity at the multiple
- 58 scales relevant for policy and decision-making. Building on examples, we describe how
- 59 Earth Observation (EO) makes essential contributions to enable, accelerate, and
- 60 improve genetic diversity monitoring. To illustrate this, we introduce a stepwise workflow
- 61 for integrating EO into existing genetic diversity monitoring strategies. Specifically, we
- 62 describe how available EO data can be made accessible in innovative ways to support
- 63 calculation of the genetic diversity indicators for the GBF monitoring framework and to
- 64 inform management and monitoring decisions, especially for cases in which DNA
- 65 sequence data are limited or absent. We then provide an outlook for integrating the
- 66 forthcoming generation of EO data: Upcoming capabilities that will provide
- 67 unprecedented detail to characterize changes to Earth's surface and their implications
- 68 for biodiversity; and that will support more direct assessments of genetic diversity from
- 69 Space.

# 70 Keywords

- 71 Earth Observation (EO) remote sensing (RS) Kunming-Montreal Global
- 72 Biodiversity Framework (GBF) Convention on Biological Diversity (CBD) genetic
- 73 diversity indicators effective population size (N<sub>e</sub>) populations maintained (PM) —
- 74 essential biodiversity variables (EBVs)

# 75 Graphical abstract



Publicly available Earth Observation (EO) data improve the establishment of baselines, 8 effective regular monitoring, and targeted re-assessment and intervention to conserve 99 the genetic diversity of natural populations. Examples are shown for three imaginary 80 populations of the same species, P1, P2, and P3. P1 drifts below the threshold value 81 ( $N_e \sim 200$ ) for the genetically effective population size ( $N_e$ ), as defined within the  $N_e > 500$  82 Global Biodiversity Framework's Headline Indicator for genetic diversity monitoring. P2 83 is maintained to be above this threshold ( $N_e \sim 1000$ ) while P3 drops close to the 84 threshold ( $N_e \sim 500$ ). By the time of the second periodic assessment, the  $N_e > 500$  85 indicator value for this example would be  $\frac{2}{3}$  and, without intervention, is likely to drop to 86  $\frac{1}{3}$ . Frequent EO-based assessments could support timely intervention.

87 Here,  $N_c$  is the census number of reproductively mature adults in a population and can 88 be used to estimate  $N_e$  either with prior knowledge of typical  $N_e$ : $N_c$  ratios for a species, 89 or the default assumption, based on decades of population genetics studies, that  $N_e$ : $N_c$ 90 ~ 0.1 (Frankham, 1995, 2021; Hoban, da Silva, et al., 2024; Laikre et al., 2020, 2021; 91 Mastretta-Yanes, da Silva, et al., 2024).

## 93 Introduction

94 Genetic diversity is an essential aspect of biodiversity protection 95 Genetic diversity is a foundational level of biodiversity below the species level, within 96 and between populations (Allendorf, 2017). Here, populations refer to genetically 97 distinct groups of spatially aggregated, interbreeding individuals of a species (Waples & 98 Gaggiotti, 2006). Genetic diversity underlies adaptive potential, which is material to the 99 fitness of individuals and allows species to persist in the face of change (i.e., resilience 100 and resistance). Loss of genetic diversity leads to maladaptation, population decline, 101 inbreeding and, eventually, extinction. Therefore, genetic diversity needs to be monitored as part of biodiversity assessments, conservation and restoration actions, 103 and safeguarding nature's contributions to people – also called ecosystem services 104 (Hoban, Bruford, et al., 2021; Hoban et al., 2020). Studies of multi-species genetic 105 diversity trends have only recently become possible and indicate a net loss over time as a result of human activities (Exposito-Alonso et al., 2022; Leigh et al., 2019; Millette et 107 al., 2020; Shaw et al., 2025). Revealing the specific, ongoing, local and global drivers of 108 this trend – while doing so in a timely and constructive manner that supports mitigation 109 - remains a grand and unmet challenge. 110 111 Yet, efforts to monitor and conserve genetic diversity as a fundamental component of 112 biodiversity build on a substantial body of policy. International treaties and national 113 programs for the protection of biodiversity have required assessments of the state of 114 nature since the 1970s, including the 1971 Ramsar Convention on Wetlands; the US 115 1973 Endangered Species Act; the 1992 Convention on Biological Diversity (CBD); the 116 2010 Aichi Biodiversity Targets (Conference of the Parties to the CBD, 2010); and the 117 2015 Sustainable Development Goals<sup>1</sup>. The 2022 Kunming-Montreal Global Biodiversity 118 Framework (GBF) is distinct from these previous efforts in that it incorporates specific 119 indicators for genetic diversity including all species (wild and domestic). These 120 indicators are aimed at measuring progress towards the GBF goal and target for genetic 121 diversity (Conference of the Parties to the CBD, 2022a), and include a Headline 122 Indicator for genetic diversity. 124 Measuring genetic diversity usually involves analyzing sequences of DNA extracted out 125 of tissues sampled from individuals of a species (Hoban et al., 2022; Junker et al., 126 2023). Despite technological advances, this approach remains laborious and expensive 127 and thus difficult to repeat across many species at national and global scales. Costs are 128 in the range of 10-1000 USD / sample depending on technique, genome size, and 129 coverage – not including the cost to obtain the tissue samples or personnel and

1 https://sdgs.un.org/

computing time to analyze and interpret data (see *e.g.* Lou et al., 2021). To overcome this challenge, indicators for genetic diversity that can be assessed with or without DNA-based data (Hoban et al., 2020; Laikre et al., 2020; Mastretta-Yanes, da Silva, et al., 2024; Mastretta-Yanes, Suárez, et al., 2024; Thurfjell et al., 2022) have been developed for country- and global-scale genetic diversity assessments and monitoring (Box 1).

#### Box 1: CBD genetic diversity indicators

The  $N_e$ >500 indicator. This is a Headline Indicator (A.4) in the GBF monitoring framework, meaning reporting is required. The  $N_e$ >500 Headline Indicator is defined as the proportion of populations of a species that are assessed as having a genetic effective population size  $N_e$ >500, and ranges from zero (none) to one (all). In population genetics,  $N_e$  is a key parameter used to quantify the rate at which genetic variation is expected to be lost (Crow & Kimura 2009). A widely accepted "rule of thumb" is that populations require an  $N_e$ >500 to avoid genetic erosion (Jamieson & Allendorf 2012).  $N_e$  can be assessed using detailed genetic and/or demographic data. However, the population census size  $N_e$  – the number of reproductively mature individuals in a population – can be used to obtain a proxy for  $N_e$ . Scientific studies that have assessed both  $N_e$  and  $N_e$  have shown that the  $N_e$ : $N_e$  ratio is typically around 0.1 (Frankham 1995, 2021). That is, to obtain an  $N_e$ >500, a census size of  $N_e$ >5000 reproductively mature individuals would be needed. Therefore,  $N_e$  can be used to estimate  $N_e$  in the absence of other  $N_e$  assessments using a phyla-specific  $N_e$ : $N_e$  ratio or the general ratio of 0.1 (Laikre et al. 2020, Hoban et al. 2020, 2023, 2024, Mastretta-Yanes, da Silva et al. 2024).

The populations maintained (PM) indicator. This is a Complementary Indicator to Headline Indicator A.4 in the GBF monitoring framework, meaning that reporting on the PM indicator is optional. However, calculating the PM indicator can be done as part of calculating the N<sub>e</sub>>500 Headline Indicator. The PM indicator measures the proportion of biogeographically distinct populations of a species that are maintained in comparison to a baseline value, and ranges from zero (none) to one (all). PM is an indicator of genetic diversity because species populations can become differentiated and even locally adapted to environmental conditions as a result of genetic processes (selection, drift, migration, and mutation; Meek et al. 2023). If a population is lost, the genetic diversity within this population is also lost, and this can include unique genotypes that could be detected with DNA-based methods (Andersson et al. 2022). It is therefore important to track the number of species populations maintained over time, and to prioritize the maintenance of distinct populations in order to preserve genetic diversity throughout a species' range (Hoban et al. 2020, 2023, 2024).

We note that the values of these indicators reported for a country will be an average of each indicator's value per species for multiple monitored species.

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The Headline Indicator A.4, which Parties to the CBD are required to report on, focuses on genetic diversity within populations. A.4 is defined as the proportion of populations within species having an effective population size (N<sub>e</sub>)>500, hereafter the "N<sub>e</sub>>500 indicator" (see **Box 1** and **Glossary**). N<sub>e</sub> is the size of a theoretical population that has the same rate of genetic drift (see **Glossary**) as a real population and thus loses genetic diversity at the same rate. An N<sub>e</sub>>500 is an approximate threshold to avoid the loss of genetic variation and adaptive potential over time that is accepted in literature (Crow & Kimura, 2009; Frankham, 1995, 2022; Franklin, 1980; Hoban, da Silva, et al.,

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147 2024; Hoban et al., 2020, 2023; Jamieson & Allendorf, 2012; Laikre et al., 2020).
148 Nevertheless, some studies indicate that an even larger N<sub>e</sub> of 1000 is required to retain
149 adaptive potential (Frankham et al., 2014). For several reasons, the census size (N<sub>c</sub>,
150 see Glossary) – the number of reproductively mature individuals – of a real population
151 is usually much larger than its genetically effective size N<sub>e</sub>. This is because real
152 populations include related individuals and migrants, and their mature members have
153 different numbers of offspring, or do not reproduce at all, for example. Importantly, N<sub>e</sub>
154 can be estimated based on DNA data, or it can be approximated as 10% of N<sub>c</sub>, or using
another phyla-specific N<sub>e</sub>:N<sub>c</sub> ratio (Frankham, 2021; Frankham et al., 2017; Hoban,
156 Paz-Vinas, et al., 2021). We note that the N<sub>e</sub>>500 indicator reported for a country will be
157 an average of the indicator's value per species for multiple monitored species.
158
159 The second, Complementary Indicator – which is not required for reporting, but supports
160 calculation of the Headline Indicator – focuses on genetic diversity between populations.
161 The Complementary Indicator to A.4 is the proportion of populations within species that
162 are maintained over time in comparison to a baseline value, hereafter the "PM indicator"
163 (see Box 1 and Glossary) (Hoban, da Silva, et al., 2024; Hoban et al., 2020, 2023;
Laikre et al., 2020; Mastretta-Yanes, da Silva, et al., 2024). The aim of the PM indicator
165 is to monitor the maintenance of unique genetic diversity found in separate populations
166 (Andersson et al., 2022; Meek et al., 2023). Here again, the value of the PM indicator
167 reported for a country will be an average of the indicator's value per species for multiple
168 monitored species.
169
170 DNA-based studies remain vital for quantifying genetic diversity and understanding how
171 to conserve it; however, because the N<sub>e</sub>>500 and PM indicators can also be calculated
172 in the absence of DNA data, they represent a pragmatic compromise that is urgently
173 needed to improve the affordability and accessibility of genetic diversity monitoring,
thereby facilitating immediate action(Hoban, Paz-Vinas, et al., 2024; Hunter et al., 2024;
175 Mastretta-Yanes, da Silva, et al., 2024). Yet, substantial information is still required to
176 calculate these indicators, such as counts of numbers of individuals and evidence of
177 population survival or loss. The two indicators were adopted by the United Nations
178 Parties to the CBD at the fifteenth Conference of the Parties (COP15) in 2022, in the
179 monitoring framework of the GBF (GBF, CBD/COP/DEC/15/5,2022b). Concretely, this
180 means that signing Parties must monitor genetic diversity to prevent its loss and provide
181 reports in 2026 and 2029. Thus it is urgent to implement existing genetic monitoring
182 approaches for indicator assessments (Andersson et al., 2022; Hoban et al., 2023;
183 Mastretta-Yanes, da Silva, et al., 2024; Mastretta-Yanes, Suárez, et al., 2024; Thurfjell
184 et al., 2022) and to further develop scalable, globally accessible, and affordable
185 methods to calculate and monitor genetic diversity.
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To facilitate reporting on the genetic diversity indicators, researchers and practitioners recently assessed these indicators in nine countries combining existing DNA studies, population census sizes, expert and local consultation, and georeferenced occurrence data (Mastretta-Yanes, da Silva, et al., 2024). Critical challenges identified in this assessment were the lack of any – even rough N_c – data for particular taxonomic groups located in inaccessible regions (e.g., areas that are politically or geographically challenging to access); or existing historical data that had not been updated in several years. Overall, the assessment highlighted the need for capacity-building and the development of ready-to-use tools to expedite and scale up monitoring (Hoban, da Silva, et al., 2024).
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### 197 Contributions of Earth Observation satellites to biodiversity assessment

Earth Observation (EO) has become indispensable for understanding and monitoring global change. EO is used for environmental assessments and disaster risk management; to assess land and sea use and atmospheric and climate change; and to study changes in biodiversity (Mairota et al., 2015). While other technologies based on airborne and field-mobile platforms exist, here we focus on Space-based EO from satellites such as the Copernicus Sentinels and the NASA Earth Observing System (Table 1), which make (global) data publicly available regularly, *i.e.*, every few days to weeks, and free of charge (Malenovský et al., 2012). Within this article, we use EO to refer to satellite-based observation systems unless explicitly stated otherwise.

EO data have unique attributes such as covering large geographic areas, providing non-intrusive global coverage, and providing uniform data sets over multiple decades (e.g., Landsat data since the 1970s²). These data are used to obtain information for environmental analyses and biodiversity assessment, often at the ecosystem level. Examples are land use and land cover (LULC) change; vegetation biochemical properties and conditions or traits assessed using indices like the Normalized difference vegetation index (NDVI) as well as structural information such as green leaf area index (LAI) and vegetation height; land surface phenology; and photosynthetically active radiation (PAR), important for vegetation health and productivity (Verrelst et al., 2015). This information is then often used in models to infer species composition, functional diversity, and other properties of ecosystems at the landscape scale (Mayor et al., 2024,

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219 2025; Pasetto et al., 2018).

<sup>&</sup>lt;sup>2</sup> https://landsat.gsfc.nasa.gov/

Table 1. Selection of EO platforms that lower or eliminate technical and financial
barriers to applications for genetic diversity monitoring and other uses by EO
non-experts. For more technical details, see a recent comprehensive overview (Ustin &

226 Middleton, 2021).

	EO Tool	Access	Brief description	
Data browser / access to satellite data	Copernicus browser	https://dataspace.coper nicus.eu/browser/	Easy visualization browser for Copernicus Sentinel data and products and download portal for archived Sentinel data	
	Earth Data	https://search.earthdata. nasa.gov/search	Discover and download NASA EO data; many different sensors available	
	Earth Explorer	https://earthexplorer.usg s.gov/	Discover and download NASA (and Copernicus Sentinel) EO data; many different sensors available	
	ESA third-party missions	https://earth.esa.int/eog ateway/missions/third-p arty-missions	Information on satellite data from commercial and other third-party sources shared with the public via ESA	
	Google Earth Pro	https://www.google.com/ intl/en/earth/about/versi ons/#earth-pro	Easy-to-use Earth software including (historical) high-resolution commercial images made freely available for visual inspection (RGB, irregularly)	
	Google Earth Engine	https://earthengine.goog le.com/	Satellite EO data repository, cloud computing platform and API; free for academics & research	
	Microsoft Planetary Computer	https://planetarycomput er.microsoft.com/	Global environmental data catalogue, cloud computing platform, and API	
data	Global Forest Watch	https://www.globalforest watch.org/	Browse metrics of forest and biodiversity change from national and sub-national to global scales	
Process(ed) satellite data	Global Mangrove Watch	https://www.globalmang rovewatch.org/	Remote sensing data and tools with near-real-time information for monitoring mangroves at global scale	
	Sentinel Hub custom scripts	https://custom-scripts.se ntinel-hub.com/	Scripts to calculate products from Sentinel data	
Information repositories	Earth Observing Dashboard	https://eodashboard.org/ explore	Tri-agency dashboard by NASA, ESA and JAXA for browsing EO data and products, with interactive features and simple analytics by drawing an area of interest	
	Earth Online	https://earth.esa.int/eog ateway/catalog	Catalog of data from ESA's EO missions	
	Landsat Science	https://landsat.gsfc.nasa .gov/data/data-access/	Overview of access to NASA data products from Landsat and many other platforms	
	SentiWiki	https://sentinels.coperni cus.eu/web/sentinel/mis sions	Overview of the Copernicus Sentinel missions	

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228 Uniquely and importantly, EO typically provides repeated measurements of the same
229 area on a time scale of days to weeks, globally. For example, the Copernicus Sentinel-2
230 satellite monitors the entire globe in five days, with more frequent observations for some
231 locations on Earth depending on the geographical latitude<sup>3,4</sup>, but less frequent usable
232 observations depending on cloud cover (Box 2). The Sentinel family of satellites have
233 observed the Earth's surface with different instruments continuously starting in 2014,
234 detecting reflected radiation in the visible, infrared, and microwave regions of the
235 spectrum, at up to 10 m spatial resolution depending on the sensor and satellite
236 (Malenovský et al., 2012). Sentinel-2 provides multispectral images that can be used to
237 assess, for example, vegetation structural properties such as LAI (Sebastiani et al.,
238 2023) or vegetation conditions such as water content (Helfenstein et al., 2022; Sims &
239 Gamon, 2003; Sturm et al., 2022). The European Copernicus Sentinel satellites and
240 observations are complemented by long-term records obtained by the NASA Landsat
241 and Earth observing satellites since the 1970's. All ESA and NASA data are available
242 openly and freely to all users, and are ideal for biodiversity assessment and monitoring
243 from local to global scales, and annual to multi-decadal time frames (see available tools
244 in Table 1).
245
246 For example, data from the Copernicus Sentinels can be browsed via the Copernicus
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247 Browser. This cloud-based platform is easy to navigate for reviewing and visualizing the 248 results from, e.g., various combinations of different spectral bands of Sentinel-2 (see 249 Glossary) and observation times without the time-consuming, inefficient, and 250 sometimes infeasible process of downloading a very large amount of data to a local 251 computer for analysis. Alternatives include Google Earth Engine's web interface or 252 Python API and Microsoft's Planetary Computer. This facilitates much-needed access to 253 the resulting information, especially for areas with limited observations or that are 254 difficult to access on the ground.

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256 In a few cases, EO data have already been used to obtain information about species at 257 the same (population) level at which genetic diversity is measured. An outstanding 258 application is the identification and monitoring of emperor penguin (Aptenodytes forsteri) 259 colonies in Antarctica. These penguins are upper-level predators and are considered a 260 biomonitor of ecosystem change in the Southern Ocean (Barber-Meyer et al., 2007; 261 Bargagli, 2005; Fretwell et al., 2012, 2023; Fretwell & Trathan, 2009, 2021; Kato et al., 262 2004; Kooyman & Mullins, 1990). As their reproductive cycle is intimately linked to the 263 integrity of the sea-ice coastline, they are sensitive to dynamic processes in the wider 264 Antarctic ecosystem. Under current warming trends, over 80% of colonies are predicted

<sup>&</sup>lt;sup>3</sup> https://sentiwiki.copernicus.eu/web/s2-applications

<sup>4</sup> https://esamultimedia.esa.int/docs/S2-Data Sheet.pdf

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265 to be almost extinct by the end of the century (Fretwell & Trathan, 2021). These
266 colonies can be assigned to one of at least four metapopulations based on genetic data
267 and corresponding to geographic regions (Younger et al., 2017). One of the major
268 limitations on studying these populations is accessibility, given the remote and extreme
269 conditions in which they live (e.g. -60 °C). Recently, researchers have applied machine
270 learning approaches to publicly available Sentinel-2 satellite imagery to achieve a global
271 census of this keystone species – approximately 600,000 individuals across 66 colonies
272 (Fretwell et al., 2023). EO has thus become useful for monitoring penguin colonies and
273 their habitat, taking advantage of the sharp contrast between penguins or, more often,
274 their dark guano deposits, and the background ice. Collectively, the emperor penguin
275 studies indicate how EO provides cost-effective data to monitor species in an
276 inaccessible location, giving access to fundamental information like changes in
277 estimated population size and dramatic habitat modifications. The identification and
278 monitoring of emperor penguin colonies in Antarctica by EO suggests that it is feasible
279 to use EO to estimate the N<sub>e</sub>>500 and PM indicators based on signatures of population
280 presence and habitat change.
281
282 Despite demonstrations of such potential (Barber-Meyer et al., 2007; Fernández, 2013;
283 Fretwell & Trathan, 2009; Schuman, Roeoesli et al., 2023), EO data still have not been
used for genetic diversity monitoring and assessment (Skidmore et al., 2021;
285 Timmermans & Kissling, 2023) – although some recent initiatives connect landscape
286 features to the conservation of populations (Cousins et al., 2022). Here, we describe
287 how the current capacities of EO can be used together with the novel CBD genetic
288 diversity indicators (Box 1) to facilitate the monitoring, assessment, and conservation of
289 genetic diversity in support of the GBF goals and targets, and how forthcoming
290 advances in EO capabilities, such as improved spectral resolution, will open new
291 opportunities to monitor genetic diversity.
292
293 We propose an overarching workflow with descriptive steps to enable and accelerate
294 genetic diversity monitoring using EO, and demonstrate the advantages of integrating
295 EO in a set of examples with high priority for biodiversity assessment, monitoring and
296 conservation: the Emperor penguins discussed above, crop wild relatives, and
297 forest-forming trees. By discussing these examples, each with distinct challenges and
298 opportunities, we show how available EO data can be embedded in innovative ways to
299 support the calculation of genetic diversity indicators, especially in areas with limited
300 research infrastructure or access, and why we can look forward to applications of EO for
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301 assessing genetic diversity more directly.

#### Box 2: Key concepts and considerations when using EO data

Key references are given in the main text referring to Box 2.

- 1. The smallest area observed by EO sensors a pixel always comprises a mixture of elements (different species, underlying ground cover, etc.). Uncertainties will be greater at transitions between different types of Earth surfaces (e.g., at the edges of ice floes or forests) due to pixel mixing. There are certain techniques for "unmixing pixels", but usually information on the pixel level is used for analysis.
- Water strongly absorbs many wavelengths of electromagnetic radiation (signals measured by EO), and EO capabilities for aquatic species are best developed for species active at or near the water's surface.
- 3. Data are continuously available but not continuously usable: Cloud cover can obstruct optical images, posing challenges, especially for tropical regions. Active sensors like synthetic aperture radar (SAR), e.g. on Sentinel-1, provide information even in the presence of cloud cover. There are well-established procedures to correct for atmospheric effects of aerosols, water vapor, etc. For public data, these corrections are normally documented and attached to each dataset.
- Generally, public data providers (e.g., space agencies like ESA and NASA) publish their algorithms so that the path from the acquisition of a signal to geophysical and biophysical products is transparent and traceable.
- Public data products improve over time with improving knowledge and technology, and thus have a defined lifetime that is documented by different versions of products. Commercial EO data, which usually have the advantage of higher spatial resolution and can be "tasked" to acquire observations for a given time and target area, may not have such detailed traceability and continuity as public EO data.
- 6. Uncertainties are generally greater at the edges than at the centers of images although well-established georectification algorithms are used to account for edge, terrain, and other possible distortions when mapping pixels to the Earth's surface.
- 7. *In situ* calibration data are crucial for calibrating satellite data and essential for uncertainty and quality assessment and interpreting the signal in terms of Earth surface (target) properties. *In situ* data are also important for training classification algorithms using artificial intelligence (AI).
- 8. Assessment of uncertainty is more challenging for datasets leveraging AI or interpolation to improve spatial resolution or image aesthetics.

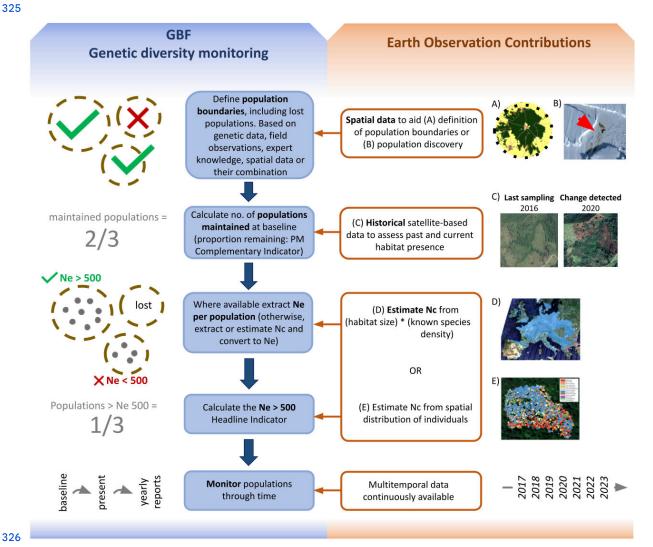
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# 304 EO contributions to genetic diversity monitoring: A proposal

- 305 For purposes of genetic diversity indicators, a population is a group of spatially
- 306 aggregated, interbreeding individuals, genetically distinct from other similar groups
- 307 (Mastretta-Yanes, Suárez, et al., 2024; Waples & Gaggiotti, 2006). Spatially, populations
- 308 occupy a subsection of the range that the species inhabits. Whether a population still
- 309 exists, and whether it has grown, shrunk, migrated, or maintained its size, is often linked
- 310 to changes in its habitat extent (Mace et al., 2010). Habitat extent can change due to
- 311 land use and land cover (LULC) change, which can in turn be quantified and monitored

312 with EO.

Thus, EO can be used for observing and monitoring changes in habitat extent where populations occur, or in changing boundary conditions of habitats such as long-term changes in land surface phenology (Garonna et al., 2018), and can thus contribute to estimating and monitoring change in GBF genetic diversity indicators (**Fig. 1**). This can be done in at least two ways: First, by assessing the likelihood of a given population's continued existence for the PM indicator and second, by estimating a relationship between habitat size and the number of mature individuals of a species living in this habitat (density) to estimate  $N_c$ . In some cases (for large and immobile individuals such as trees),  $N_c$  may be even more directly estimated from EO (see **Outlook**). In either case, EO data supports the assessment of the  $N_c > 500$  indicator by providing an estimate for  $N_c$  from which  $N_c$  can be estimated using the  $N_c$ :  $N_c$  ratio (**Fig. 1**, **Box 3**).



327 **Figure 1**. Overview of the proposed workflow for integrating EO data with genetic 328 diversity monitoring and estimating the GBF indicators for genetic diversity: the 329 Headline Indicator  $N_e$ >500 and Complementary Indicator PM (see **Box 1** and **Box 3**).

Thumbnail images (A - E) show contributions of EO for obtaining information on the three examples discussed here. Furthermore, we propose that the complete workflow should be run for individual species, as elaborated in the rest of this article.

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- 334 Our proposed workflow relies on the following assumptions:
  - That a habitat of a particular size does support a species population;
  - That habitat extent can be sufficiently well assessed by EO; and
    - That the relevant threats to populations are visible at the habitat scale (e.g., land-use change, but not poaching).
    - The workflow furthermore requires expert knowledge about the location of populations, population density (N<sub>c</sub> per area), and N<sub>e</sub>:N<sub>c</sub> ratio.

In sum, the proposed approach would work for species where habitat changes such as LULC change, or landscape modification and fragmentation, can be detected and quantified using EO (**Fig. 1**, **Box 3**).

344

#### Box 3: A workflow to support genetic diversity monitoring with EO

We propose the following steps to include EO data for monitoring genetic diversity of species' populations. We note that not all steps are feasible for all species (see main text).

- 1. Define population boundaries.
  - a. Define populations that can be related to habitat area and size, where the area and size can be identified with support of EO.
  - b. Pinpoint the contribution of EO (e.g., systematic land cover mapping or habitat assessment, systematic identification of population presence or activity) and identify what other information is needed.
- 2. Calculate the proportion of populations maintained (PM).
  - a. Leverage current and historical EO data to assess recent trends in population presence and distribution.
  - b. Use EO to support mapping population distribution, which can later help to guide *in situ* monitoring and conservation efforts.
- 3. Calculate the proportion of populations with N<sub>2</sub>>500 based on expert knowledge.
  - a. Define the relationship between area size and census size of each population to be monitored (e.g., validate N<sub>c</sub> estimates from ground data).
  - b. Use  $N_c$  estimates from EO area size or direct observations (e.g., for trees) to infer  $N_c$  with the ratio  $N_c$ : $N_c \sim 1:10$  for each population, or with a phyla-specific ratio, and estimate the number which are above the  $N_c > 500$  threshold.
- 4. Monitor the population areas for maintenance and size over time.
- 5. Leverage the features that can be detected with EO for regular remote re-assessments and to target further (e.g., ground-based) actions.

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We propose that this approach will be most useful for cases in which there is still insufficient data to calculate the GBF genetic diversity indicators, but sufficient information about the location of species populations, habitat, approximate density, and dispersal distances (distance that individuals of a species or their germinative cells, like seeds, are able to move from an existing population – see **Glossary**) (**Fig. 2**). We

furthermore expect that this approach can facilitate and accelerate indicator calculation even in cases where N<sub>c</sub> estimates are available, by making repeated remote observation possible (**Box 3**). In a few cases, N<sub>c</sub> estimates will even be possible directly from EO data (**Outlook**). Critically, we expect this approach to enable more frequent change monitoring in all cases (**Figs. 1** and **2**, **Box 3**).

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The major challenge is to ensure the useability and accessibility of EO data for specific applications, such as biodiversity monitoring, as it requires expert knowledge to extract the needed information (**Box 2**) (Pahlevan et al., 2021; Silva et al., 2008). The integration of EO data as an additional source of indirect information (habitat extent, fragmentation, etc.) or direct information about genetic diversity indicators (N<sub>c</sub> estimates, and see **Outlook**) for the assessment and monitoring of biodiversity requires the co-development and production of such information. This can be achieved through collaboration among experts in population and conservation genetics and genomics; seemote sensing, geography and geospatial information; ecology and conservation; and practitioners who will ultimately use this information routinely.



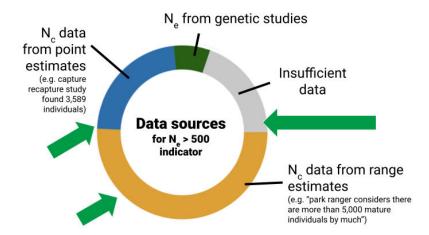


Figure 2. EO enables estimation of key GBF genetic diversity indicators in cases where other data that could be used to calculate the indicators are unavailable (right side, large arrow), but can also complement assessments where ground data and expert knowledge are available (left side, smaller arrows) – especially by facilitating regular repeated assessments and prioritization of other actions, such as site visits or conservation measures. Made with data from Mastretta-Yanes, da Silva et al (2024).

Example: Monitoring habitat change to estimate the  $N_e$ >500 and PM indicators in wild relatives of domesticated crops

The wild relatives of modern-day crops (*e.g.*, crop wild relatives) harbor an important proportion of crops' genetic diversity (Maxted et al., 2006). In Mexico, crop wild relatives are threatened mainly by LULC change (Goettsch et al., 2021). Several species (spp.) of wild avocados (*Persea* spp.) and teosintes (*Zea* spp., related to maize) inhabit locations that are often dangerous or difficult to visit. Within these genera, several wild species are endangered or critically endangered (Goettsch et al., 2021). Populations of these species cannot be directly observed with EO due to the typical size of individuals and their habit of living under forest canopies, but critical aspects of their native habitat, such as proximity to and association with nearby forests, can be observed. In particular, tree-cover loss (LULC change, and thus habitat loss) can be quantified to infer which populations may be experiencing greater decline. In terms of its impact on genetic diversity, habitat loss could mean population extinction (habitat annihilation in a given region, PM decline) or reduction of the effective population size (smaller habitat space, fewer individuals, N<sub>e</sub> decline and thus loss of genetic diversity through genetic drift; see **Glossary**).

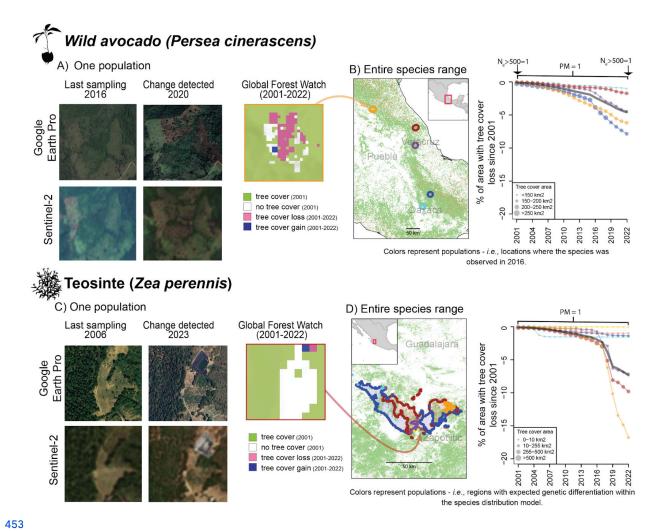
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393 EO is not yet used to monitor indicators of genetic diversity for crop wild relatives, but 394 this could be achieved using publicly available EO data in a few straightforward steps 395 (Fig. 1). The first step is to define population boundaries based on occurrence points 396 (combined with a rule for aggregating points to populations); or species distribution 397 models on the level of populations, using methods including, for instance, geographic 398 features (e.g., different mountains harbor different populations) or eco-biogeographic 399 differences (e.g., different environmental zones harbor different populations) (Hoban et 400 al., 2023; Tobón-Niedfeldt et al., 2022). The second step is to assess whether 401 populations have been maintained since the last observation (PM indicator). In classical 402 monitoring approaches, this would imply traveling to the locations on a regular basis. 403 However, doing this for several species in megadiverse or large countries is challenging 404 to impossible in terms of time and cost – for example, teosintes populations in Mexico 405 are distributed in an area the size of Western Europe). EO data can be used in such 406 situations to detect habitat loss using either visual inspection of satellite images or by 407 analyzing satellite-derived time series of LULC change, such as tree-cover loss. The 408 images and their derived products, such as tree-cover change, are publicly available 409 free-of-charge from repositories such as the Copernicus Browser or Global Forest 410 Watch (Table 1). The third step is to estimate genetic diversity indicators from habitat 411 size information. For the PM indicator, the procedure is straightforward: Populations that 412 have lost all of their habitat over time are expected to be lost, and the fraction of 413 populations with remaining habitat is taken to correspond to the PM indicator. For the 414 N<sub>e</sub>>500 indicator, two assumptions based on expert knowledge must be made. The first

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416 size and population density, we can estimate the population's census size N<sub>c</sub>. The
417 second assumption involves the N<sub>e</sub>:N<sub>c</sub> ratio: For a given N<sub>c</sub>, we can estimate the
418 corresponding effective population size N<sub>e</sub>. Once N<sub>e</sub> is estimated for every population,
419 we can calculate what proportion of populations are estimated to remain above the
420 threshold value of N<sub>e</sub>>500.
421
422 An example is Persea (P.) cinerascens, a wild avocado growing among the tree species
423 composing cloud forests, Mexico's most biodiverse terrestrial ecosystem type per unit
424 area (Conabio, 2023; Rojas-Soto et al., 2012). P. cinerascens occupies less than 500
425 km<sup>2</sup> in a total of five populations separated by ca. 50-200 km in three geographic
426 locations<sup>5</sup>. The species' presence was confirmed during the last visit to the known field
427 localities in 2017, although no population size measurement was conducted. A second
428 example is the teosinte species Zea (Z.) perennis. This species has only been recorded
429 to be present in two locations in Western Mexico (González et al., 2018) although
430 species distribution models suggest it may occur in other localities within the region,
431 where genetic differentiation is expected due to environmental and historical differences
432 (Tobón-Niedfeldt et al., 2022). The two known locations were last visited and
433 populations observed in 2008, when conducting sampling for genetic studies
434 (Rivera-Rodríguez et al., 2023). Based on genetic data, the N_{\rm e} of both documented Z.
435 perennis populations is below 500, so the N<sub>e</sub>>500 indicator value for the species is zero
436 according to the first multinational assessment of genetic diversity indicators
437 (Mastretta-Yanes et al., 2023). Unfortunately, although populations of both species were
438 observed in the field relatively recently (2017 and 2008, respectively), their habitat is
439 suspected to have decreased or disappeared due to rapid land use change.
440
441 EO data enable the monitoring of genetic diversity for these two species by assessing
442 the persistence of their habitats, either of the specific locations that were visited, or from
443 species distribution models, directly informing the PM indicator without the need for
444 costly or dangerous field assessments. Direct inspection of true-color satellite images
445 (Fig. 3A and 3C) allows a rapid assessment of vegetation and LULC change. By
446 comparing satellite images taken before the last ground sampling (2016 for P.
447 cinerascens and 2006 for Z. perennis) with more recent images, habitat change can be
448 estimated. This method showed that for P. cinerascens, a controlled forest fire occurred
449 in 2020 to clear land for agriculture, indicating a threat to the maintenance of this
450 population. Conversely, for Z. perennis, the boundary of the avocado farm adjacent to
451 the sampling location remained unchanged between 2007 and 2023.
452
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415 pertains to the population density of the species being studied: If we know the habitat

<sup>&</sup>lt;sup>5</sup> https://www.iucnredlist.org/species/110067105/129767329



**Figure 3**. Examples of habitat monitoring using EO for A-B) a wild avocado (P. 455 cinerascens) and C-D) a teosinte (Z. perennis). Shown in A) are the comparisons of 456 imagery available from either Google Earth Pro (better than 5 m spatial resolution) or 457 Sentinel-2 (10 m spatial resolution) showing habitat change for a wild avocado 458 population, and the evaluation of tree cover change from Global Forest Watch. In B), 459 the combination of Global Forest Watch data with ground observations from 2017 460 indicates that change took place between 2017 and 2020 (circles represent a potential 461 habitat area of 10 km around the exact location where the species was sampled). The 462 PM indicator is estimated assuming that habitat maintenance indicates population 463 maintenance, and the  $N_e$ >500 indicator is estimated assuming a low population density 464 of  $N_c$  = 100 individuals /  $km^2$  and  $N_e$ : $N_c$  = 0.1. In C), data from Google Earth Pro and 465 Sentinel-2 for a different time frame indicate there has been no change in forest cover in 466 one of the teosinte's known populations, which was last observed on the ground in 467 2008. In D), analysis of percentage tree cover change since 2001 and total tree cover 468 are used as an indicator for habitat change within the teosintes species distribution

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469 model. In this example, the species distribution was previously subdivided in six
470 subregions where genetic differentiation is expected based on ecological and
471 biogeographic data (Tobon et al 2022). In this case, N_e is not estimated due to the very
472 low number of observations, but it is possible to estimate the percentage of habitat loss
473 within each region where the species potentially occurs in differentiated populations for
474 conservation purposes (PM indicator).
475
476 Using the history function of Google Earth, either the free Pro application or the web
477 version, often provides free access to high-spatial-resolution satellite images free of
478 charge, although the user does not control image availability (i.e., different years and
479 seasons), and automated processing is not possible with this platform. These limitations
480 can be overcome using time-series analysis of publicly available EO data, such as
481 Sentinel-2 images (10 m spatial resolution, 5-day temporal resolution since 2016),
482 which can be combined with Landsat images (30 m spatial resolution, available since
483 the 1970s). However, as a simple starting point, significant habitat changes can already
484 be detected visually by selecting one cloud-free image per year from the same season
485 (e.g., dry season, as opposed to the rainy season) and examining such an annual time
486 series. Additionally, products derived from EO data describing habitat and biodiversity
487 change are already accessible for non-EO-experts through platforms like Global Forest
488 Watch, which provides assessments of tree cover loss (defined as removal or mortality
489 of vegetation taller than 5 m) and tree cover gain derived through automated
490 interpretation of 30 x 30 m EO data (Hansen et al., 2013; Potapov et al., 2022). Thus,
491 this platform enables rapid assessments of tree cover loss over time (2001-2022) and
492 might serve as an effective early alert system for habitat change detection (Schneider &
493 Olman, 2020) (Fig. 3B and D).
494
495 For species with few occurrences – such as P. cinerascens – buffer zones around the
496 specific areas can be used to assess whether the surrounding habitats crucial for their
497 survival are adequately considered and protected. For more widely distributed species,
498 such as Z. perennis, species distribution models (SDMs) are used to define species
499 distribution ranges as commonly employed in systematic conservation planning and
500 management (Villero et al., 2017). SDMs can be leveraged for genetic diversity
501 monitoring by subdividing them into areas where some level of genetic differentiation is
502 expected, for instance, due to environmental differences or historical isolation
503 (Tobón-Niedfeldt et al., 2022; Villero et al., 2017). Once buffer zones around occurrence
504 records, or SDMs, have been delimited and subdivided into populations, they can be
regarded as different populations for monitoring purposes. Subsequently, land use and
506 cover change can be quantified and assessed in terms of habitat loss trends. For
507 instance, in the case of P. cinerascens (Fig. 3B), the habitat surrounding the "purple
508 population" (see colored circle) had a high percentage of tree-cover loss during the last
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509 two decades but remained large in absolute terms. In contrast, the "green" population 510 already had minimal remaining natural vegetation, making subsequent losses more 511 threatening to its survival. Similarly, in the *Z. perennis* example (Fig. 3D), the "red" 512 population exhibited the most significant decline and is the second smallest, while it 513 appears that the protection of the "yellow" population was successful. Note that the 514 individual population trends differ from the species mean (dark black line), highlighting 515 the importance of separately evaluating populations within a given species. 517 In both species, despite the clear decline in habitat size observed in some populations, 518 no population experienced a complete loss of habitat. Therefore, the PM indicator for 519 both species is estimated to be 1. For P. cinerascens, assuming a population density of 520 100 mature trees per km<sup>2</sup> and a conservative N<sub>e</sub>:N<sub>c</sub> ratio of 0.1, all populations remain 521 above the critical effective population size threshold of 500. Therefore, the N<sub>e</sub>>500 is 522 estimated to be 1. Notice that the assumed density is a critical parameter that can 523 significantly affect the value of the indicator. For example, the indicator value will drop to 524 zero if a density of 10 individuals per km<sup>2</sup> were assumed. In the *Z. perennis* example, 525 habitat size is derived from an SDM, which represents areas where the species is likely 526 to occur but does not necessarily reflect true occurrences. As a result, estimating the 527 densities and sizes of individual populations is infeasible for very rare species. However, 528 it is notable that habitat size declined by an average of 7%, with two populations 529 experiencing even steeper declines of up to 15%. This example shows how integrating 530 habitat monitoring using EO within a population genetics framework can inform the 531 assessment of the GBF indicators and the prioritization of in situ observations and 532 future interventions. Importantly, the example furthermore shows ways in which 533 EO-based LULC assessments enable the identification, characterization, and ranking of 534 threats to populations, prior to indicator decline.

# 535 Outlook: Genetic diversity assessments using EO

EO offers measurements at landscape level that are repeated in space and time. These observations are captured in wavelengths beyond the human-visible range of the electromagnetic spectrum and yield detailed and traceable information about processes that affect the composition and distribution of species at landscape scales. This information can be used directly to monitor and assess changes in habitats and estimate change in genetic diversity within and between populations. Furthermore, it can help managers prioritize interventions and target them in space and time to areas where rapid changes are taking place, hence mitigating damage and maintaining or enhancing resilience and protecting biodiversity (Langhammer et al., 2024). The cost-effectiveness of such an EO-based approach is noteworthy, as many biodiversity hotspots are located in economic resource-limited regions.

**Table 2**. Proposed uses of EO data for genetic diversity monitoring.

Uses of EO data	Implementation for genetic diversity monitoring	Current limitations
Species range and habitat mapping Accuracy increases with prior knowledge and in terrestrial habitats	Inference of census size N <sub>e</sub> from dispersal distance data, occupation density data, or occasionally counts of dominant individuals; supports assessment of N <sub>e</sub> >500	Cannot directly measure effective or census population sizes (N <sub>e</sub> or N <sub>c</sub> )
Estimate population size and number Accuracy increases when combined with observational data	Inferred population locations can be combined with other data (e.g., biogeographical, traditional knowledge) to infer population boundaries or support the design of comprehensive DNA studies for confirmation	Cannot independently identify genetically distinct populations
Detect habitat and ecosystem change Requires a baseline and continued monitoring	Develop EO-based alert systems to support genetic diversity protection in real time and to monitor inferred PM or N <sub>e</sub> >500 over time	Cannot detect all on-the-ground threats to individuals (e.g., poaching)
Map variation or change in species visible from Space e.g., trait variation, settlements, migration, breeding activities, species interactions	Currently still a focus of research: see Outlook	Cannot directly estimate genetic diversity

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In summary, available EO data and information, combined with ground-based methods and expert knowledge, can be used for assessing and monitoring the quantity and quality of locally available habitat for geolocated populations, and can inform the PM and N<sub>e</sub>>500 indicators in several ways (**Table 2**): (1) Informing the PM indicator if habitat integrity or species vitality descends below a certain threshold, below which a species can be assumed to be locally lost; (2) informing the N<sub>e</sub>>500 indicator either (i) directly, if species density per unit area is known or if groups of mature individuals can be directly observed, or (ii) indirectly, where a baseline N<sub>e</sub> value is known for a given population, and so the expected decline could be estimated as a function of habitat loss; and (3) supporting prioritization of *in situ* monitoring or conservation actions, or an early alert system, so that resources are directed to the regions where more change is occurring and ground-based observations are most needed.

562

Currently, the workflow laid out here (above and in **Figs. 1** and **3** and **Box 3**) is largely theoretical, but the examples we discuss indicate its utility and potential importance. This potential for EO-based genetic diversity monitoring needs to be co-developed with available ground-based data to understand its full potential and limitations (*i.e.*, in EO and the available ground-based data). Thus, EO provides valuable global information,

568 especially where no other data are available; where local in situ monitoring, citizen 569 science and other sources of ground data are, or become, available, EO data will be 570 better complemented (Fig. 2). 571 Example: mapping genetic diversity of an entire tree species using EO 572 EO is increasingly used to directly map features of forests from Space, a focus of 573 current research (Table 2). EO is used not only to estimate changes in tree cover as 574 implemented in Global Forest Watch, but also to assess important aspects of tree 575 canopy structure, phenology and functions including height and density, greening and 576 browning, pigment concentration and water content; or to characterize tree species and 577 even within-species variation. Here, we discuss how EO technologies can support the 578 assessment of genetic diversity in terms of the GBF indicators (Box 1) for a dominant 579 forest-forming tree. 580 To illustrate the current state of research and development, we use the European beech 582 Fagus (F.) sylvatica, a dominant forest tree with high economic importance in forests 583 across Europe. F. sylvatica is now threatened by increasingly severe droughts across 584 much of its natural range, and the future of Europe's widespread beech forests is uncertain (e.g., Arend et al., 2022; Eisenring et al., 2024; González de Andrés et al., 586 2021; Martinez del Castillo et al., 2022, 2022; Neycken et al., 2022; Pfenninger et al., 587 2021). F. sylvatica is closely related to, and likely able to hybridize with, three other 588 Fagus species found from the Balkans into the Arabian peninsula that have been 589 considered as possible sources to introduce new genetic diversity and perhaps mitigate 590 beech forest decline (e.g. D'Odorico et al., 2023); in fact, these species were, until 591 recently, considered to be a genetically diverse subspecies of *F. sylvatica* (Denk et al., 592 2024). We have overlaid distribution maps (Caudullo et al., 2017) with satellite imagery 593 at continental scales: A Sentinel-2 mosaic produced with Google Earth Engine (Gorelick 594 et al., 2017) (Fig. 4). 595 596 Beech species (Fagus spp.) pollen is spread both by insects and wind, and F. sylvatica 597 has relatively low genetic differentiation among different forest stands, so that divisions 598 into populations are challenging (Milesi et al., 2024). The weak, yet discernible genetic 599 structure of F. sylvatica – moderate isolation of populations by distance (Lazic et al., 600 2024; Milesi et al., 2024) – reveals its post-glacial migration history but also depends on

601 management and planting decisions in forestry. Genetic analysis of a stand in France

602 with 167 individuals yielded  $N_e$  estimates ranging from 2 to 25 depending on the 603 calculation method used, corresponding to an  $N_e$ : $N_c$  ratio ranging from 0.01 to 0.15

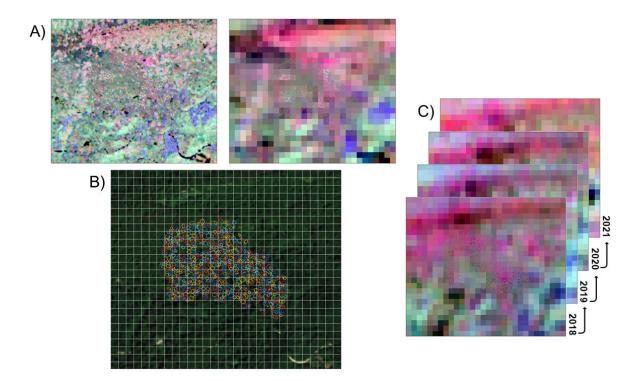
604 (central value 0.08) (Gargiulo et al., 2024).



Figure 4. Layers of geospatial information on the distribution of Eurasian beech, Fagus (F.) species. Sentinel-2 mosaic from Google Earth Engine (Gorelick et al., 2017) for visualization purposes, overlaid with species distribution and isolated localities (dots) (Caudullo et al., 2017): F. sylvatica (blue) and the distributions of three closely related Fagus species (red) (Denk et al., 2024).

It is possible to infer the number of dominant (canopy-forming) *F. sylvatica* trees in high-resolution (<10 m) EO images to estimate N<sub>c</sub>. Tree species classification using EO data has been demonstrated in beech habitats with machine learning using high-spatial-resolution data (Kaplan et al., 2024; Yao et al., 2021), or a combination of active and passive EO data from Sentinel-1 and Sentinel-2 in annual time series, combined with forest inventory data (Blickensdörfer et al., 2024). Using data with both high spatial (2-3 m) and spectral resolution (ca. 10 nm, adjacent) from aerial imaging spectroscopy (see **Glossary**), Torabzadeh and colleagues achieved high binary classification accuracy of *F. sylvatica* versus all other trees in a beech-dominated stand based on pixels – in other words, without needing to define tree crowns (82% producer's accuracy / 92% user's accuracy) (Torabzadeh et al., 2019). Generally, binary classification (*e.g.*, beech or not-beech) is more accurate than multiple classification of

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623 pixels depicting one of several species, which was also the case in this study
624 (Torabzadeh et al., 2019). At another well-documented test site in Allenwiller, France,
625 where the closely related caucasian beech F. hohenackeriana Palibin (among the
626 Eurasian beeches, Fig. 4) was co-planted with F. sylvatica, Kaplan and colleagues
627 (2024) used a similar pixel-wise approach to distinguish these species with better than
628 90% accuracy (F1 score) using high-resolution (3 m) commercial multispectral EO data
629 provided free of charge for research purposes by PlanetScope. Both of these
630 approaches used signal characteristics overlapping with the detection ranges of current
631 public EO instruments but with higher spatial resolution. Transferring these approaches
632 to public data requires scaling from 3 m spatial resolution to ca. 10 to 20 m spatial
633 resolution (see Fig. 5). These approaches are simpler and computationally more
634 efficient if forest cover and forest inventory data are first used to select areas of interest.
635
636 For F. sylvatica, N<sub>c</sub> could thus be locally estimated directly from beech canopy pixels
637 discernible from EO data via species classification, especially if the primary task is to
638 distinguish beech from non-beech pixels. This can be approximated by dividing the total
639 pixel number by a number of average pixels per crown. For higher precision, automated
640 crown delineation can be achieved using complementary approaches like laser
641 scanning or dense photogrammetry data from drones or airplanes. This could then be
642 used to approximate the N<sub>e</sub>>500 indicator. This approach would likely yield an
643 underestimate because N<sub>c</sub> from EO would count dominant (canopy-forming)
644 reproductively mature trees that are the easiest to detect from above, while
645 reproductively mature but co-dominant, intermediate, and suppressed trees are difficult
646 to assess. Inventory or other in situ data could support the estimation of N<sub>c</sub> via tree
647 density and be used to upscale to larger areas.
648
649 Furthermore, EO-based techniques can support early intervention to prevent tree cover
650 loss by assessing change in canopy vitality via changes in trait values (Asner & Martin,
651 2016; Helfenstein et al., 2022). An approach has recently been demonstrated to relate
652 differences in such canopy characteristics and their local diversity to the response of
653 forest canopies to drought using aerial imaging spectroscopy as well as public EO data
654 at 20 m spatial resolution (Helfenstein et al., 2022, 2024; Sturm et al., 2022) (Fig. 5).
655 European beech forests are increasingly threatened by drought, and individual trees
656 vary in their susceptibility, in part due to genetic differences (Bolte et al., 2016; Braun et
657 al., 2021; Pfenninger et al., 2021). Furthermore, such trait maps suggest the possibility
658 of more directly measuring genetic variation using EO.
659
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660 Figure 5. Mapping the diversity of forest canopy characteristics using EO. A) Impact of spatial resolution on the derived canopy traits chlorophyll, estimated using spectral indices from Sentinel-2 bands: Chlorophyll content, estimated using the red-edge chlorophyll index CIre (green); carotenoid:chlorophyll ratio, estimated using the chlorophyll carotenoid index CCI (red); and water content, estimated using the normalized differential infrared index NDII (blue) (Helfenstein et al., 2022). These were assessed using 2 m aerial imaging spectroscopy data (left), or 20 m EO data (right). B) m Sentinel-2 pixels compared to the crown sizes at Laegern forest. For 20 m pixels, multiple individuals contribute to the signal per pixel. C) EO data for monitoring: Canopy traits mapped for the area of interest for four consecutive years using Sentinel-2 data.

## 670 Toward "Genes from Space"

So far, this paper has discussed using EO data to assess genetic change primarily via assessing habitat change or estimating  $N_c$  change. However, the capabilities of EO, and our ability to interpret EO data in terms of biological variation, are advancing toward an ultimate aim of truly measuring genetic diversity from space. To understand these advances and how they relate to monitoring genetic diversity, it is important to have an overview of the essential biodiversity variables (EBVs) for genetic composition, which provide an agreed-upon language for defining and measuring genetic diversity. In BOX we explain the genetic EBVs and how they relate to the GBF indicators of genetic diversity.

#### Box 4: Essential Biodiversity Variables (EBVs) and their relationship to GBF indicators

Researchers have developed essential variables to understand and measure climate, biodiversity, and other components of the Earth system (e.g. Essential Climate Variables, Essential Ocean Variables). The concept of Essential Biodiversity Variables (EBVs) was introduced to advance the collection, sharing, and use of biodiversity information (Pereira et al. 2013; Navarro et al. 2017), providing a way to integrate the many biodiversity observations collected through different methods such as *in situ* measurements or remote sensing (https://geobon.org/ebvs/what-are-ebvs/). EBVs are scalable, meaning the underlying observations can be used to represent different spatial or temporal resolutions required for the analysis of trends.

The EBVs for genetic composition include (Hoban et al. 2022; Junker et al. 2023):

- 1. Effective population size: Size of an ideal population that loses genetic variation at the same rate as the focal population. Related to the  $N_a > 500$  indicator (see **Box 1**).
- 2. Inbreeding: Degree of relatedness between pairs of individuals, mating among relatives, or identity by descent. Not assessed by either the N<sub>o</sub> > 500 indicator or the PM indicator (see **Box 1**).
- Allelic richness and heterozygosity: Count of the number of alleles in a population or expected proportion of heterozygotes in a population at equilibrium. Not assessed by either the N<sub>e</sub> > 500 indicator or the PM indicator.
- Genetic differentiation: Number of genetic units and degree of genetic differentiation among population units. Related to the PM indicator.

681 682

683 EBVs for genetic composition are commonly measured, although not necessarily
684 defined, in terms of DNA sequence variation (**Box 4**). Importantly, DNA-based
685 measures are not uniform. Taking *F. sylvatica* as an example, decades of population
686 genetics studies have produced hundreds of datasets on genetic EBVs using different
687 molecular methods over time; older marker-based studies remain valuable and are
688 complemented but not replaced by a newer generation of genomic approaches using
689 single nucleotide polymorphisms (SNPs, see **Glossary**) (Stefanini et al., 2023).
690 SNP-based studies may in turn be overtaken by newer genomic approaches such as
691 kmers and structural variants (Roberts et al., 2024; Stefanini et al., 2023). The situation
692 is similar for other species where DNA-based population genetic data are available:
693 There is no agreed-upon single way to measure EBVs for genetic composition using
694 DNA data. Furthermore, genetic differences are not solely measured by DNA sequence
695 variation but also as differences among individuals that are not explained by
696 environmental factors.

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698 Czyż and colleagues asked under what environmental conditions genetic differences 699 might be detected by remote sensing. They used imaging spectroscopy data with high 700 spatial resolution (2 m) to generate a time series of differences among spectra from 701 center-of-canopy pixels for 69 dominant beech trees out of 260 dominant trees in a 702 canopy (see **Fig. 6A**). They correlated these spectral differences – quantified as a 703 conceptual Euclidian distance, with less similar spectra being more distant than more 704 similar spectra – with the trees' genetic distance: A measure of how related the trees 705 are, as determined by five nuclear microsatellites from DNA sequencing (markers often 706 used to quantify relatedness; see Glossary). The correlation strength between spectral 707 distance and genetic distance reached a maximum of 60% across several parts of the 708 spectrum at time points when trees were subject to drier conditions, and later in the 709 growing season (Czyż et al., 2023) (Fig. 6B). Interestingly, in humans, it is well known 710 that microsatellite sequences fine-tune individuals' genetically encoded responses to 711 environmental pressures (Horton et al., 2023; Wright & Todd, 2023); these sequences 712 evolve rapidly, which is why they are also useful to measure the relatedness of even 713 very closely related individuals (Provatas et al., 2024). This study indicates that 714 environmentally contingent differences among individuals that can be observed using 715 EO may be predictive of genetic differences. Several other studies indicate that 716 high-resolution spectroscopy (field and imaging spectroscopy) can reveal quantitative 717 genetic differences and could thus help to scale up measurements of genetic 718 differentiation (Cavender-Bares et al., 2016; Li et al., 2023; Meireles et al., 2020; 719 Seeley, Stacy, et al., 2023; Stasinski et al., 2021). These approaches are currently 720 developed for "best-case scenarios" where aerial imaging spectroscopy or even 721 individual leaf-level measurements provide high certainty for assigning spectral data to 722 individual trees (Petibon et al., 2021). Here again, to use public EO data from Space, 723 such analyses and their interpretation must be scaled spatially from 2 m to 10-20 m 724 pixels, thus potentially representing genetic composition on a patch-wise rather than an 725 individual-by-individual basis.

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Thus, when accounting for environmental variation, imaging spectroscopy observations with higher spectral resolution than current multispectral EO (*i.e.*, Landsat, Sentinel-2) could support the estimation of genetic distances across forest canopies. The improved spectral and radiometric capabilities of new EO imaging spectroscopy missions to be launched before the end of this decade by ESA (CHIME: Copernicus Hyperspectral Imaging Mission<sup>6</sup>) and NASA (SBG: Surface Biology and Geology<sup>7</sup>) will enhance the information content of EO measurements by two orders of magnitude compared with currently operating multispectral instruments such as those described so far in our examples. This opens up the possibility of using spectral fingerprints to better distinguish species using EO and even to estimate other components of genetic and trait variation beyond the genetic diversity indicators.

<sup>&</sup>lt;sup>6</sup> https://www.esa.int/ESA Multimedia/Images/2020/11/CHIME

<sup>&</sup>lt;sup>7</sup> https://sbg.jpl.nasa.gov/

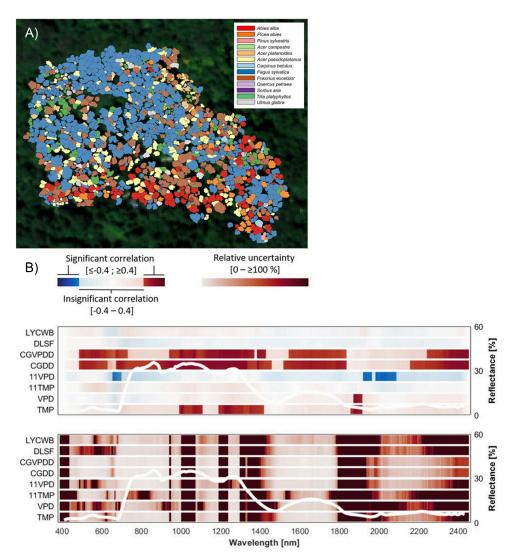


Figure 6. Imaging spectroscopy can help to distinguish species and assess genetic variation. A) Dominant tree crowns assigned to species by aligning forest inventory data with a 3D model of tree crowns and trunks made using LiDAR and photogrammetry; reproduced from (Guillén-Escribà et al., 2021), CC BY. B) Upper panel: Spectral similarity is correlated with a genetic relatedness measure (Nei's genetic distance) for large dominant beech canopies in (A), with correlation strength related to environmental factors. Lower panel: Estimated relative uncertainties of correlations. White lines: mean canopy reflectance measured for focal trees (0-60% of incident sunlight). Environmental factors: temperature on day of acquisition [°C] (TMP), Vapor Pressure Deficit on day of acquisition [%] (VPD), Aggregated Temperature over 11 consecutive days [°C] (11TMP), Aggregated Vapor Pressure Deficit over 11 consecutive days [%] (11VPD), Cumulative Growing Degree Days [°C] (CGDD), Cumulative Growing Vapor Pressure Deficit Days [%] (CGVPDD), Day of Last Spring Frost (DLSF), or Last

753 blue) to 0.6 (dark red). Reproduced from (Czyż et al., 2023), CC BY.
754
755 In summary, for dominant F. sylvatica trees, EO from current multispectral missions can
756 be used to map the variation of specific traits across canopies (Fig. 5) and, given
757 sufficient spatial resolution, to distinguish (stands of) F. sylvatica trees from surrounding
758 forest species. Data with higher spectral resolution from forthcoming imaging
759 spectrometer sensors may support the assessment of genetic variation by providing
760 information about forest canopy traits and spectral signatures using time series
761 observations (Fig. 6). Combined with a large and growing database of single-time-point
762 genetic data for beech across its range, it may be feasible to develop models to predict
763 EBVs for genetic composition directly from EO data for F. sylvatica, and likely for other
764 dominant forest tree species, such as oaks and 'Ōhi'a (Cavender-Bares et al., 2020;
765 Czyż et al., 2023; Seeley, Stacy, et al., 2023; Seeley, Vaughn, et al., 2023).

752 Year Climatic Water Balance (LYCWB). Pearson correlations are shown from -0.6 (dark

# 766 Conclusion

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The incorporation of EO into assessments of genetic diversity represents a fundamental change in our ability to monitor, assess, and protect biodiversity at the national, regional, and global scales, especially in areas with limited resources or accessibility. Our proposed workflow (Figs. 1-2, Box 3) could be developed from public EO and geolocation data as well as optional user-input data on platforms such as GEO BON's "BON-in-a-Box" (Griffith et al., 2024) to make it widely available and facilitate its use for biodiversity monitoring. To better understand and describe this proposed approach, we discussed three examples that each raise key considerations for the application of EO to monitor habitat change and study genetic diversity (Tables 1-3, Box 2). We consider the immediate goals of assessing genetic diversity indicators for biodiversity monitoring and providing early warning signs to support the protection of genetic diversity (Figs. 3-4, Box 1), as well as an outlook on approaches that may enable the assessment of further essential biodiversity variables (EBVs) for genetic diversity from Space (Figs. 5-6, Box 4). We acknowledge many current limitations that are illustrated and discussed in the presented examples and summarized in Tables 2 and 3.

**Table 3**. A reflection on the applications of EO to monitor and study genetic diversity based on the examples discussed in this article.

Case	Aims	EO contributions	Challenges	Information for action
Emperor penguins in the Antarctic	Infer PM and N <sub>e</sub>	Inference from evidence of colony occurrence (guano) and patterns of ice cover	Colonies are not themselves genetically distinct populations, but can be assigned to	<ol> <li>Temporal coverage         <ul> <li>→ know when</li> <li>shelves break off</li> <li>(timing of major</li> <li>habitat change)</li> </ul> </li> </ol>

		Provides data for one of the least accessible locations on Earth for in situ assessment	populations  • Estimation of colony size from Space-based images of guano deposits instead of penguin counts	2. Spatial and temporal coverage  → assessment of colony relocation versus loss
Crop wild relatives in Mexico	Infer PM Establish a warning trend	Inference based on habitat maintenance or change  Provides data for locations that are too dangerous to visit in situ due to social conflicts or remoteness	<ul> <li>Habitat may persist although populations are lost</li> <li>How does habitat change relate to changes in N<sub>e</sub>?</li> <li>Density estimate challenging for very low N<sub>e</sub></li> </ul>	<ol> <li>Rate, extent, and timing of habitat change → timely intervention (alert)</li> <li>Confluence of degree of habitat change with total habitat available for different ecotypes → prioritization</li> </ol>
European beech forests	Infer PM and N <sub>e</sub> Infer genetic composition EBVs	Inference based on forest coverage and biochemical and structural differences mapped across tree canopies	<ul> <li>Weak geographic separation of genotypes</li> <li>Only dominant trees are visible from above and accessible</li> <li>Low accuracy for distinguishing multiple species (high accuracy for binary categories)</li> <li>Statistical accounting for environmental effects</li> </ul>	<ol> <li>Combine         information on         stand-level vitality         with genetic and         trait variation across         the species range         → prioritize         interventions</li> <li>Information to         support decisions         about assisted         migration or         assisted gene flow         interventions (see         Glossary)</li> </ol>

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786 As EO data become increasingly available and accessible for non-experts, especially
787 for use in genetic diversity monitoring and assessment, their use and interpretation still
788 require some technical expertise (**Box 2**). This need for greater technical expertise
789 becomes even more acute with the anticipated advances in EO such as the upcoming
790 imaging spectroscopy Space missions this decade (see **Glossary**; *e.g.*, CHIME, and
791 SBG). In combination with the needs of practitioners and the impetus provided by
792 biodiversity monitoring mandates, this means that useful access requires the
793 development of portals equipped with tools and interfaces that make key information
794 provided by EO more widely and easily accessible. This implies co-development,
795 incorporating the needs, workflows, and on-the-ground context of practitioners to ensure
796 that the tools and resulting information are fit for purpose, thus building capacity for
797 non-traditional users of EO (Jacobi et al., 2022; Speaker et al., 2022; Tabor & Holland,
798 2021). Such an approach provides motivation and opportunity for EO developers to

- 799 understand the needs of practitioners and explore new methods and techniques for
- 800 evaluating and validating the efficacy of EO products for genetic diversity monitoring.
- 801 Thus, such toolboxes for genetic diversity monitoring and assessment will not only help
- 802 democratize access to EO data, but also increasingly enable the archiving and
- 803 distribution of detailed and well-documented information resulting from a combination of
- 804 EO with other types of data for new and innovative applications.

# 805 Glossary

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## 806 Population genetics and related terms

- Assisted Migration refers to the human-assisted relocation of individuals within a species to different areas within the species range or new frontiers of a shifting range.
- Assisted Gene Flow refers to the introduction of individuals with novel genetic backgrounds (e.g., different provenances or subspecies) into existing populations by humans to increase genetic diversity or otherwise alter population genetic properties.
- Dispersal distance is the distance that individuals of a species or their germinative cells, like seeds, are able to move from an existing population.
- **Genetic diversity** (or genetic variation) comprises within-species differences in DNA sequences, as well as variation in the distribution of these differences within and among populations.
- Genetic drift refers to changes in allele frequencies within populations due to stochastic processes, specifically because some individuals reproduce more than others and some do not reproduce at all, leading to changes in genetic composition in the next generation. In small populations, the process of genetic drift can decrease genetic diversity rapidly.
- Genetics is the study of heritable variation.
- **Genomics** (related to high-throughput sequencing or next-generation / third-generation sequencing) refers to the study of DNA sequences and associated molecular features across large parts of genomes, using, for example, thousands to millions of single-nucleotide polymorphisms (SNPs) per genome.
  - Habitat is the geographical, environmental, and biotic space that a species can inhabit.
- **N**<sub>c</sub> (census size) is the number of reproductively mature individuals in a population.
- **N**<sub>e</sub> (effective population size) is the size of an idealized population that has the same rate of genetic drift as an actual, "real-life" population. Several

- demographic factors affect the size of  $N_e$ , including number of reproducing individuals and the sex ratio among them, variation in offspring number, non-random mating, and overlapping generations.  $N_e$  is typically much lower than  $N_c$ , with the ratio of  $N_e$ : $N_c$  around 0.1.
- N<sub>e</sub>>500 Headline Indicator is the proportion of populations of a species that are assessed as having a genetic effective population size N<sub>e</sub>>500. The value of this indicator ranges from zero (none) to one (all).
- **Nuclear microsatellites** are rapidly mutating, short tandem repeat sequences in the nuclear genome, often used to measure relatedness within populations.

  These are also called short sequential repeats (SSRs) or short tandem repeats (STRs). Microsatellites are also found in organellar genomes (*i.e.*, genomes of mitochondria and plastids), and so the modifier "nuclear" is used to indicate the genome in the cell nucleus.
  - **PM Complementary Indicator** measures the proportion of biogeographically distinct populations of a species that are maintained in comparison to a baseline value, and ranges from zero (none) to one (all).
  - **Population**, in genetics, is a group of spatially aggregated, interbreeding individuals, genetically distinct from other similar groups. Populations occupy a geographical space, *i.e.*, a subsection of the species distribution range.
    - Population genetics is a field of research focused on the theoretical and molecular study of genetic diversity within and among populations over space and time.
    - **Species range** is the geographical area that encompasses all the remaining extant (*i.e.*, non-extinct) populations of a species.
- **SNPs** (Single Nucleotide Polymorphisms) are single base pair differences in a DNA sequence. SNPs are often used to study genetic diversity within and among populations.
  - **Traits** are observable, heritable differences among organisms. In other words, these are differences that result from the interaction of genetic and environmental factors and that can be observed.

#### 866 Earth Observation and related terms

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- **Earth Observation EO** is the gathering of information about the physical, chemical, and biological processes of the Earth without direct contact. In Europe, EO is often used with focus on satellite-based observations, however, EO often also includes airborne or *in situ* observations.
- Remote Sensing RS is often used (e.g., in the US) to refer to satellite observation; however, like EO, RS can be used for any measurement techniques without direct contact to the object.

Atmospheric correction of an image is the reduction of scattering and
 absorption effects from the atmosphere - making an image look hazy - to obtain
 the surface properties of an observed area.

- Change detection refers to analysis of a sequence of EO data to observe and detect change for an observed area over time.
- Hyperspectral is a term often used to describe sensors covering a range of the electromagnetic spectrum in discrete, adjacent, narrow-wavelength bands (e.g., 10 nm for CHIME), which is finer than current multispectral sensors onboard the Sentinel-2 satellites and other Earth observation satellites. The use of such sensors to generate pixel-based images is also referred to as imaging spectroscopy.
- **Imaging spectroscopy** is used to mean the imaging of light reflected from the Earth surface with discrete, adjacent, narrow-wavelength spectral bands.
- **LiDAR** is an active sensor that uses light pulses to probe the vertical structure of a target (*e.g.*, trees in forests and other features of and on the Earth's surface), either from an aircraft or satellite.
- **LULC** refers to land use (*i.e.*, how land is being used and for what purpose) and land cover (*i.e.*, what type of ecosystem covers the land surface), which is a product derived from various EO instruments. A common variation is LULCC, which refers to land use and land cover change.
- Multispectral sensors use a defined number of bands (more than two) to sample parts of the electromagnetic spectrum and may comprise differently sized portions of the spectrum. Each band represents a contiguous part of the spectrum, but the bands may not be adjacent along the spectrum.
- **Spatial resolution** of an image is defined as the area on the ground represented in one pixel (ground sampling distance, GSD). Sentinel-2 imagery, for instance, provides four bands available at 10 m, six bands at 20 m, and three bands at 60 m spatial resolution.
- **Spatial extent** defines the area that is imaged by the satellite during one overflight and depends on the field of view of the satellite (*i.e.*, swath width). Often, this corresponds to the size of a delivered image; however, data platforms might provide images from multiple acquisitions that are stitched together.
- **Spectral bands** describe ranges of wavelengths within the electromagnetic spectrum in which reflected light is measured for imaging and analysis of an observed area in remote sensing. The position of these bands in the spectrum and the width of their range are defined by the spectral resolution.
- **Spectral resolution** is defined as the spectral bandwidth and the number of individual bands used to aggregate the reflected light from the observed area.
- **Temporal resolution** is defined by the revisit time of a satellite/sensor to observe the same area on Earth's surface. Depending on the satellite configuration,

- revisit time varies from hours to several days. The temporal resolution determines the potential for monitoring, as it enables the temporal analysis of changes.
- **Time series** are multitemporal datasets, acquired in a sequence of observations 918 obtained over a certain period of time. This can be several images within a short 919 time frame to observe fast processes (e.g., volcanic eruption) or within a long 920 time frame (e.g., one image per year to observe glacier retreat). In addition to 921 change detection, time series are used to study the type, speed, and duration of 922 observed changes. In contrast, multitemporal data consists of at least two 923 images acquired at two different times, typically used for change detection and 924 analysis. 925

# 926 Data and Code Availability

- 927 Code for this study are provided with the input data necessary to analyze the examples:
- 928 https://gitlab.issibern.ch/meredithchristine.schuman/eo4geneticdiversity-examples

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## 936 Author contributions

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- 938 AM-Y, CR, ISH, OS; Formal analysis: AM-Y, CR, ISH, OS, WT-N; Funding acquisition:
- 939 CR, MCS, MES; Methodology: AM-Y, CR, MCS, CV, DML, GRA, ISH, KLM, LL, OS,
- 940 WT-N; Project administration: CR, MCS; Resources: AM-Y, CA, SH, CR, ISH, MCS,
- 941 WT-N; Supervision: MCS, CR; Visualization: AM-Y, CR, DML, ISH, MCS, OS, WT-N;
- 942 Writing original draft: MCS, CV, AM-Y, GRA, KLM, LL, CR, OS; Writing review &
- 943 editing: All

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