

1 Leveraging Earth Observation to monitor genetic 2 diversity from Space

3 **Running title:** EO to monitor genetic diversity

4

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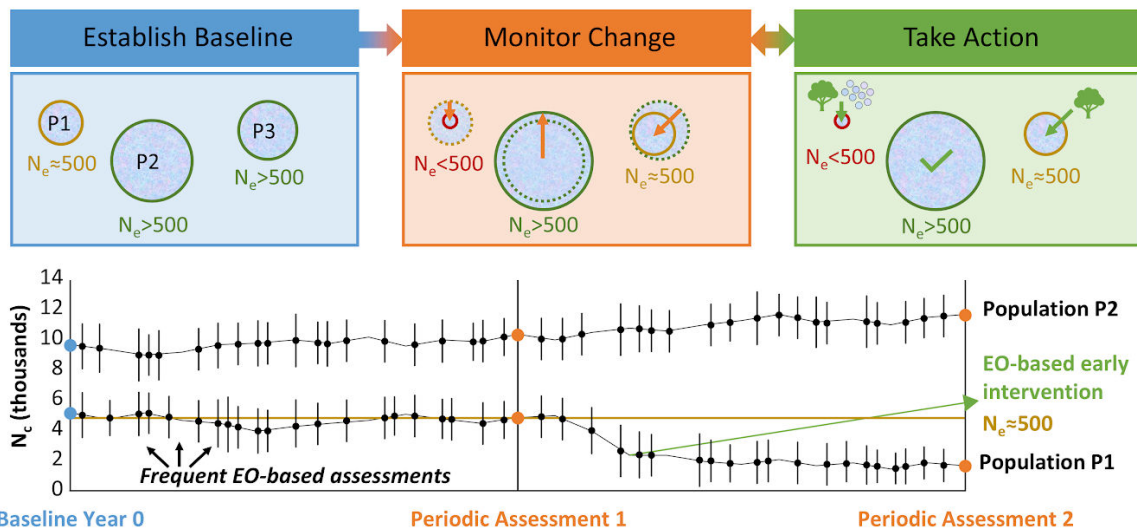
51 Abstract

52 Genetic diversity within and among populations is essential for species persistence.
53 Despite the definition of key targets and pragmatic indicators in the Kunming-Montreal
54 Global Biodiversity Framework (GBF), assessing genetic diversity across many species,
55 at national and regional scales, remains challenging. Conservationists, ecosystem
56 managers, and Parties to the Convention on Biological Diversity (CBD) still require
57 accessible tools for reliable and efficient monitoring of genetic diversity at the multiple
58 scales relevant for policy and decision-making. Building on examples, we describe how
59 Earth Observation (EO) makes essential contributions to enable, accelerate, and
60 improve genetic diversity monitoring. To illustrate this, we introduce a stepwise workflow
61 for integrating EO into existing genetic diversity monitoring strategies. Specifically, we
62 describe how available EO data can be made accessible in innovative ways to support
63 calculation of the genetic diversity indicators for the GBF monitoring framework and to
64 inform management and monitoring decisions, especially for cases in which DNA
65 sequence data are limited or absent. We then provide an outlook for integrating the
66 forthcoming generation of EO data: Upcoming capabilities that will provide
67 unprecedented detail to characterize changes to Earth's surface and their implications
68 for biodiversity; and that will support more direct assessments of genetic diversity from
69 Space.

70 Keywords

71 Earth Observation (EO) — remote sensing (RS) — Kunming-Montreal Global
72 Biodiversity Framework (GBF) — Convention on Biological Diversity (CBD) — genetic
73 diversity indicators — effective population size (N_e) — populations maintained (PM) —
74 essential biodiversity variables (EBVs)

75 Graphical abstract



76

77 Publicly available Earth Observation (EO) data improve the establishment of baselines,
 78 effective regular monitoring, and targeted re-assessment and intervention to conserve
 79 the genetic diversity of natural populations. Examples are shown for three imaginary
 80 populations of the same species, P1, P2, and P3. P1 drifts below the threshold value
 81 ($N_e \sim 200$) for the genetically effective population size (N_e), as defined within the $N_e > 500$
 82 Global Biodiversity Framework's Headline Indicator for genetic diversity monitoring. P2
 83 is maintained to be above this threshold ($N_e \sim 1000$) while P3 drops close to the
 84 threshold ($N_e \sim 500$). By the time of the second periodic assessment, the $N_e > 500$
 85 indicator value for this example would be $\frac{2}{3}$ and, without intervention, is likely to drop to
 86 $\frac{1}{3}$. Frequent EO-based assessments could support timely intervention.

87 Here, N_c is the census number of reproductively mature adults in a population and can
 88 be used to estimate N_e either with prior knowledge of typical $N_e:N_c$ ratios for a species,
 89 or the default assumption, based on decades of population genetics studies, that $N_e:N_c$
 90 ~ 0.1 (Frankham, 1995, 2021; Hoban, da Silva, et al., 2024; Laikre et al., 2020, 2021;
 91 Mastretta-Yanes, da Silva, et al., 2024).

92

93 Introduction

94 Genetic diversity is an essential aspect of biodiversity protection

95 Genetic diversity is a foundational level of biodiversity below the species level, within
96 and between populations (Allendorf, 2017). Here, populations refer to genetically
97 distinct groups of spatially aggregated, interbreeding individuals of a species (Waples &
98 Gaggiotti, 2006). Genetic diversity underlies adaptive potential, which is material to the
99 fitness of individuals and allows species to persist in the face of change (*i.e.*, resilience
100 and resistance). Loss of genetic diversity leads to maladaptation, population decline,
101 inbreeding and, eventually, extinction. Therefore, genetic diversity needs to be
102 monitored as part of biodiversity assessments, conservation and restoration actions,
103 and safeguarding nature's contributions to people – also called ecosystem services
104 (Hoban, Bruford, et al., 2021; Hoban et al., 2020). Studies of multi-species genetic
105 diversity trends have only recently become possible and indicate a net loss over time as
106 a result of human activities (Exposito-Alonso et al., 2022; Leigh et al., 2019; Millette et
107 al., 2020; Shaw et al., 2025). Revealing the specific, ongoing, local and global drivers of
108 this trend – while doing so in a timely and constructive manner that supports mitigation
109 – remains a grand and unmet challenge.

110

111 Yet, efforts to monitor and conserve genetic diversity as a fundamental component of
112 biodiversity build on a substantial body of policy. International treaties and national
113 programs for the protection of biodiversity have required assessments of the state of
114 nature since the 1970s, including the 1971 Ramsar Convention on Wetlands; the US
115 1973 Endangered Species Act; the 1992 Convention on Biological Diversity (CBD); the
116 2010 Aichi Biodiversity Targets (Conference of the Parties to the CBD, 2010); and the
117 2015 Sustainable Development Goals¹. The 2022 Kunming-Montreal Global Biodiversity
118 Framework (GBF) is distinct from these previous efforts in that it incorporates specific
119 indicators for genetic diversity including all species (wild and domestic). These
120 indicators are aimed at measuring progress towards the GBF goal and target for genetic
121 diversity (Conference of the Parties to the CBD, 2022a), and include a Headline
122 Indicator for genetic diversity.

123

124 Measuring genetic diversity usually involves analyzing sequences of DNA extracted out
125 of tissues sampled from individuals of a species (Hoban et al., 2022; Junker et al.,
126 2023). Despite technological advances, this approach remains laborious and expensive
127 and thus difficult to repeat across many species at national and global scales. Costs are
128 in the range of 10-1000 USD / sample depending on technique, genome size, and
129 coverage – not including the cost to obtain the tissue samples or personnel and

¹ <https://sdgs.un.org/>

130 computing time to analyze and interpret data (see e.g. Lou et al., 2021). To overcome
131 this challenge, indicators for genetic diversity that can be assessed with or without
132 DNA-based data (Hoban et al., 2020; Laikre et al., 2020; Mastretta-Yanes, da Silva, et
133 al., 2024; Mastretta-Yanes, Suárez, et al., 2024; Thurfjell et al., 2022) have been
134 developed for country- and global-scale genetic diversity assessments and monitoring
135 (**Box 1**).

136

Box 1: CBD genetic diversity indicators

The $N_e > 500$ indicator. This is a Headline Indicator (A.4) in the GBF monitoring framework, meaning reporting is required. The $N_e > 500$ Headline Indicator is defined as the proportion of populations of a species that are assessed as having a genetic effective population size (N_e) > 500, and ranges from zero (none) to one (all). In population genetics, N_e is a key parameter used to quantify the rate at which genetic variation is expected to be lost (Crow & Kimura 2009). A widely accepted “rule of thumb” is that populations require an $N_e > 500$ to avoid genetic erosion (Jamieson & Allendorf 2012). N_e can be assessed using detailed genetic and/or demographic data. However, the population census size N_c – the number of reproductively mature individuals in a population – can be used to obtain a proxy for N_e . Scientific studies that have assessed both N_e and N_c have shown that the $N_e:N_c$ ratio is typically around 0.1 (Frankham 1995, 2021). That is, to obtain an $N_e > 500$, a census size of $N_c > 5000$ reproductively mature individuals would be needed. Therefore, N_c can be used to estimate N_e in the absence of other N_e assessments using a phyla-specific $N_e:N_c$ ratio or the general ratio of 0.1 (Laikre et al. 2020, Hoban et al. 2020, 2023, 2024, Mastretta-Yanes, da Silva et al. 2024).

The populations maintained (PM) indicator. This is a Complementary Indicator to Headline Indicator A.4 in the GBF monitoring framework, meaning that reporting on the PM indicator is optional. However, calculating the PM indicator can be done as part of calculating the $N_e > 500$ Headline Indicator. The PM indicator measures the proportion of biogeographically distinct populations of a species that are maintained in comparison to a baseline value, and ranges from zero (none) to one (all). PM is an indicator of genetic diversity because species populations can become differentiated and even locally adapted to environmental conditions as a result of genetic processes (selection, drift, migration, and mutation; Meek et al. 2023). If a population is lost, the genetic diversity within this population is also lost, and this can include unique genotypes that could be detected with DNA-based methods (Andersson et al. 2022). It is therefore important to track the number of species populations maintained over time, and to prioritize the maintenance of distinct populations in order to preserve genetic diversity throughout a species’ range (Hoban et al. 2020, 2023, 2024).

We note that the values of these indicators reported for a country will be an average of each indicator’s value per species for multiple monitored species.

137

138

139 The Headline Indicator A.4, which Parties to the CBD are required to report on, focuses
140 on genetic diversity within populations. A.4 is defined as the proportion of populations
141 within species having an effective population size (N_e) > 500, hereafter the “ $N_e > 500$
142 indicator” (see **Box 1** and **Glossary**). N_e is the size of a theoretical population that has
143 the same rate of genetic drift (see **Glossary**) as a real population and thus loses
144 genetic diversity at the same rate. An $N_e > 500$ is an approximate threshold to avoid the
145 loss of genetic variation and adaptive potential over time that is accepted in literature
146 (Crow & Kimura, 2009; Frankham, 1995, 2022; Franklin, 1980; Hoban, da Silva, et al.,

147 2024; Hoban et al., 2020, 2023; Jamieson & Allendorf, 2012; Laikre et al., 2020).
148 Nevertheless, some studies indicate that an even larger N_e of 1000 is required to retain
149 adaptive potential (Frankham et al., 2014). For several reasons, the census size (N_c ,
150 see **Glossary**) – the number of reproductively mature individuals – of a real population
151 is usually much larger than its genetically effective size N_e . This is because real
152 populations include related individuals and migrants, and their mature members have
153 different numbers of offspring, or do not reproduce at all, for example. Importantly, N_e
154 can be estimated based on DNA data, or it can be approximated as 10% of N_c , or using
155 another phyla-specific $N_e:N_c$ ratio (Frankham, 2021; Frankham et al., 2017; Hoban,
156 Paz-Vinas, et al., 2021). We note that the $N_e>500$ indicator reported for a country will be
157 an average of the indicator's value per species for multiple monitored species.

158

159 The second, Complementary Indicator – which is not required for reporting, but supports
160 calculation of the Headline Indicator – focuses on genetic diversity between populations.
161 The Complementary Indicator to A.4 is the proportion of populations within species that
162 are maintained over time in comparison to a baseline value, hereafter the “PM indicator”
163 (see **Box 1** and **Glossary**) (Hoban, da Silva, et al., 2024; Hoban et al., 2020, 2023;
164 Laikre et al., 2020; Mastretta-Yanes, da Silva, et al., 2024). The aim of the PM indicator
165 is to monitor the maintenance of unique genetic diversity found in separate populations
166 (Andersson et al., 2022; Meek et al., 2023). Here again, the value of the PM indicator
167 reported for a country will be an average of the indicator's value per species for multiple
168 monitored species.

169

170 DNA-based studies remain vital for quantifying genetic diversity and understanding how
171 to conserve it; however, because the $N_e>500$ and PM indicators can also be calculated
172 in the absence of DNA data, they represent a pragmatic compromise that is urgently
173 needed to improve the affordability and accessibility of genetic diversity monitoring,
174 thereby facilitating immediate action (Hoban, Paz-Vinas, et al., 2024; Hunter et al., 2024;
175 Mastretta-Yanes, da Silva, et al., 2024). Yet, substantial information is still required to
176 calculate these indicators, such as counts of numbers of individuals and evidence of
177 population survival or loss. The two indicators were adopted by the United Nations
178 Parties to the CBD at the fifteenth Conference of the Parties (COP15) in 2022, in the
179 monitoring framework of the GBF (GBF, CBD/COP/DEC/15/5,2022b). Concretely, this
180 means that signing Parties must monitor genetic diversity to prevent its loss and provide
181 reports in 2026 and 2029. Thus it is urgent to implement existing genetic monitoring
182 approaches for indicator assessments (Andersson et al., 2022; Hoban et al., 2023;
183 Mastretta-Yanes, da Silva, et al., 2024; Mastretta-Yanes, Suárez, et al., 2024; Thurfjell
184 et al., 2022) and to further develop scalable, globally accessible, and affordable
185 methods to calculate and monitor genetic diversity.

186

187 To facilitate reporting on the genetic diversity indicators, researchers and practitioners
188 recently assessed these indicators in nine countries combining existing DNA studies,
189 population census sizes, expert and local consultation, and georeferenced occurrence
190 data (Mastretta-Yanes, da Silva, et al., 2024). Critical challenges identified in this
191 assessment were the lack of any – even rough N_c – data for particular taxonomic groups
192 located in inaccessible regions (e.g., areas that are politically or geographically
193 challenging to access); or existing historical data that had not been updated in several
194 years. Overall, the assessment highlighted the need for capacity-building and the
195 development of ready-to-use tools to expedite and scale up monitoring (Hoban, da
196 Silva, et al., 2024).

197 Contributions of Earth Observation satellites to biodiversity assessment

198 Earth Observation (EO) has become indispensable for understanding and monitoring
199 global change. EO is used for environmental assessments and disaster risk
200 management; to assess land and sea use and atmospheric and climate change; and to
201 study changes in biodiversity (Mairota et al., 2015). While other technologies based on
202 airborne and field-mobile platforms exist, here we focus on Space-based EO from
203 satellites such as the Copernicus Sentinels and the NASA Earth Observing System
204 (**Table 1**), which make (global) data publicly available regularly, *i.e.*, every few days to
205 weeks, and free of charge (Malenovský et al., 2012). Within this article, we use EO to
206 refer to satellite-based observation systems unless explicitly stated otherwise.

207

208 EO data have unique attributes such as covering large geographic areas, providing
209 non-intrusive global coverage, and providing uniform data sets over multiple decades
210 (e.g., Landsat data since the 1970s²). These data are used to obtain information for
211 environmental analyses and biodiversity assessment, often at the ecosystem level.
212 Examples are land use and land cover (LULC) change; vegetation biochemical
213 properties and conditions or traits assessed using indices like the Normalized difference
214 vegetation index (NDVI) as well as structural information such as green leaf area index
215 (LAI) and vegetation height; land surface phenology; and photosynthetically active
216 radiation (PAR), important for vegetation health and productivity (Verrelst et al., 2015).
217 This information is then often used in models to infer species composition, functional
218 diversity, and other properties of ecosystems at the landscape scale (Mayor et al., 2024,
219 2025; Pasetto et al., 2018).

220

221

222

² <https://landsat.gsfc.nasa.gov/>

223 **Table 1.** Selection of EO platforms that lower or eliminate technical and financial
 224 barriers to applications for genetic diversity monitoring and other uses by EO
 225 non-experts. For more technical details, see a recent comprehensive overview (Ustin &
 226 Middleton, 2021).

	EO Tool	Access	Brief description
Data browser / access to satellite data	Copernicus browser	https://dataspace.copernicus.eu/browser/	Easy visualization browser for Copernicus Sentinel data and products and download portal for archived Sentinel data
	Earth Data	https://search.earthdata.nasa.gov/search	Discover and download NASA EO data; many different sensors available
	Earth Explorer	https://earthexplorer.usgs.gov/	Discover and download NASA (and Copernicus Sentinel) EO data; many different sensors available
	ESA third-party missions	https://earth.esa.int/eogateway/missions/third-party-missions	Information on satellite data from commercial and other third-party sources shared with the public via ESA
	Google Earth Pro	https://www.google.com/intl/en/earth/about/versions/#earth-pro	Easy-to-use Earth software including (historical) high-resolution commercial images made freely available for visual inspection (RGB, irregularly)
	Google Earth Engine	https://earthengine.google.com/	Satellite EO data repository, cloud computing platform and API; free for academics & research
	Microsoft Planetary Computer	https://planetarycomputer.microsoft.com/	Global environmental data catalogue, cloud computing platform, and API
Process(ed) satellite data	Global Forest Watch	https://www.globalforestwatch.org/	Browse metrics of forest and biodiversity change from national and sub-national to global scales
	Global Mangrove Watch	https://www.globalmangrovetree.org/	Remote sensing data and tools with near-real-time information for monitoring mangroves at global scale
	Sentinel Hub custom scripts	https://custom-scripts.sentinel-hub.com/	Scripts to calculate products from Sentinel data
Information repositories	Earth Observing Dashboard	https://eodashboard.org/explore	Tri-agency dashboard by NASA, ESA and JAXA for browsing EO data and products, with interactive features and simple analytics by drawing an area of interest
	Earth Online	https://earth.esa.int/eogateway/catalog	Catalog of data from ESA's EO missions
	Landsat Science	https://landsat.gsfc.nasa.gov/data/data-access/	Overview of access to NASA data products from Landsat and many other platforms
	SentiWiki	https://sentinels.copernicus.eu/web/sentinel/missions	Overview of the Copernicus Sentinel missions

228 Uniquely and importantly, EO typically provides repeated measurements of the same
229 area on a time scale of days to weeks, globally. For example, the Copernicus Sentinel-2
230 satellite monitors the entire globe in five days, with more frequent observations for some
231 locations on Earth depending on the geographical latitude^{3,4}, but less frequent usable
232 observations depending on cloud cover (**Box 2**). The Sentinel family of satellites have
233 observed the Earth's surface with different instruments continuously starting in 2014,
234 detecting reflected radiation in the visible, infrared, and microwave regions of the
235 spectrum, at up to 10 m spatial resolution depending on the sensor and satellite
236 (Malenovský et al., 2012). Sentinel-2 provides multispectral images that can be used to
237 assess, for example, vegetation structural properties such as LAI (Sebastiani et al.,
238 2023) or vegetation conditions such as water content (Helfenstein et al., 2022; Sims &
239 Gamon, 2003; Sturm et al., 2022). The European Copernicus Sentinel satellites and
240 observations are complemented by long-term records obtained by the NASA Landsat
241 and Earth observing satellites since the 1970's. All ESA and NASA data are available
242 openly and freely to all users, and are ideal for biodiversity assessment and monitoring
243 from local to global scales, and annual to multi-decadal time frames (see available tools
244 in **Table 1**).

245

246 For example, data from the Copernicus Sentinels can be browsed via the Copernicus
247 Browser. This cloud-based platform is easy to navigate for reviewing and visualizing the
248 results from, e.g., various combinations of different spectral bands of Sentinel-2 (see
249 **Glossary**) and observation times without the time-consuming, inefficient, and
250 sometimes infeasible process of downloading a very large amount of data to a local
251 computer for analysis. Alternatives include Google Earth Engine's web interface or
252 Python API and Microsoft's Planetary Computer. This facilitates much-needed access to
253 the resulting information, especially for areas with limited observations or that are
254 difficult to access on the ground.

255

256 In a few cases, EO data have already been used to obtain information about species at
257 the same (population) level at which genetic diversity is measured. An outstanding
258 application is the identification and monitoring of emperor penguin (*Aptenodytes forsteri*)
259 colonies in Antarctica. These penguins are upper-level predators and are considered a
260 biomonitor of ecosystem change in the Southern Ocean (Barber-Meyer et al., 2007;
261 Bargagli, 2005; Fretwell et al., 2012, 2023; Fretwell & Trathan, 2009, 2021; Kato et al.,
262 2004; Kooyman & Mullins, 1990). As their reproductive cycle is intimately linked to the
263 integrity of the sea-ice coastline, they are sensitive to dynamic processes in the wider
264 Antarctic ecosystem. Under current warming trends, over 80% of colonies are predicted

³ <https://sentiwiki.copernicus.eu/web/s2-applications>

⁴ https://esamultimedia.esa.int/docs/S2-Data_Sheet.pdf

265 to be almost extinct by the end of the century (Fretwell & Trathan, 2021). These
266 colonies can be assigned to one of at least four metapopulations based on genetic data
267 and corresponding to geographic regions (Younger et al., 2017). One of the major
268 limitations on studying these populations is accessibility, given the remote and extreme
269 conditions in which they live (e.g. -60 °C). Recently, researchers have applied machine
270 learning approaches to publicly available Sentinel-2 satellite imagery to achieve a global
271 census of this keystone species – approximately 600,000 individuals across 66 colonies
272 (Fretwell et al., 2023). EO has thus become useful for monitoring penguin colonies and
273 their habitat, taking advantage of the sharp contrast between penguins or, more often,
274 their dark guano deposits, and the background ice. Collectively, the emperor penguin
275 studies indicate how EO provides cost-effective data to monitor species in an
276 inaccessible location, giving access to fundamental information like changes in
277 estimated population size and dramatic habitat modifications. The identification and
278 monitoring of emperor penguin colonies in Antarctica by EO suggests that it is feasible
279 to use EO to estimate the $N_e > 500$ and PM indicators based on signatures of population
280 presence and habitat change.

281

282 Despite demonstrations of such potential (Barber-Meyer et al., 2007; Fernández, 2013;
283 Fretwell & Trathan, 2009; Schuman, Roeoesli et al., 2023), EO data still have not been
284 used for genetic diversity monitoring and assessment (Skidmore et al., 2021;
285 Timmermans & Kissling, 2023) – although some recent initiatives connect landscape
286 features to the conservation of populations (Cousins et al., 2022). Here, we describe
287 how the current capacities of EO can be used together with the novel CBD genetic
288 diversity indicators (**Box 1**) to facilitate the monitoring, assessment, and conservation of
289 genetic diversity in support of the GBF goals and targets, and how forthcoming
290 advances in EO capabilities, such as improved spatial and spectral resolution, will open
291 new opportunities to monitor genetic diversity.

292

293 We propose an overarching workflow with descriptive steps to enable and accelerate
294 genetic diversity monitoring using EO, and demonstrate the advantages of integrating
295 EO in a set of examples with high priority for biodiversity assessment, monitoring and
296 conservation: the Emperor penguins discussed above, crop wild relatives, and
297 forest-forming trees. By discussing these examples, each with distinct challenges and
298 opportunities, we show how available EO data can be embedded in innovative ways to
299 support the calculation of genetic diversity indicators, especially in areas with limited
300 research infrastructure or access, and why we can look forward to applications of EO for
301 assessing genetic diversity more directly.

302

Box 2: Key concepts and considerations when using EO data

Key references are given in the main text referring to Box 2.

1. The smallest area observed by EO sensors – a pixel – always comprises a mixture of elements (different species, underlying ground cover, etc.). Uncertainties will be greater at transitions between different types of Earth surfaces (e.g., at the edges of ice floes or forests) due to pixel mixing. There are certain techniques for “unmixing pixels”, but usually information on the pixel level is used for analysis.
2. Water strongly absorbs many wavelengths of electromagnetic radiation (signals measured by EO), and EO capabilities for aquatic species are best developed for species active at or near the water’s surface.
3. Data are continuously available but not continuously usable: Cloud cover can obstruct optical images, posing challenges, especially for tropical regions. Active sensors like synthetic aperture radar (SAR), e.g. on Sentinel-1, provide information even in the presence of cloud cover. There are well-established procedures to correct for atmospheric effects of aerosols, water vapor, etc. For public data, these corrections are normally documented and attached to each dataset.
4. Generally, public data providers (e.g., space agencies like ESA and NASA) publish their algorithms so that the path from the acquisition of a signal to geophysical and biophysical products is transparent and traceable.
5. Public data products improve over time with improving knowledge and technology, and thus have a defined lifetime that is documented by different versions of products. Commercial EO data, which usually have the advantage of higher spatial resolution and can be “tasked” to acquire observations for a given time and target area, may not have such detailed traceability and continuity as public EO data.
6. Uncertainties are generally greater at the edges than at the centers of images – although well-established georectification algorithms are used to account for edge, terrain, and other possible distortions when mapping pixels to the Earth’s surface.
7. *In situ* calibration data are crucial for calibrating satellite data and essential for uncertainty and quality assessment and interpreting the signal in terms of Earth surface (target) properties. *In situ* data are also important for training classification algorithms using artificial intelligence (AI).
8. Assessment of uncertainty is more challenging for datasets leveraging AI or interpolation to improve spatial resolution or image aesthetics.

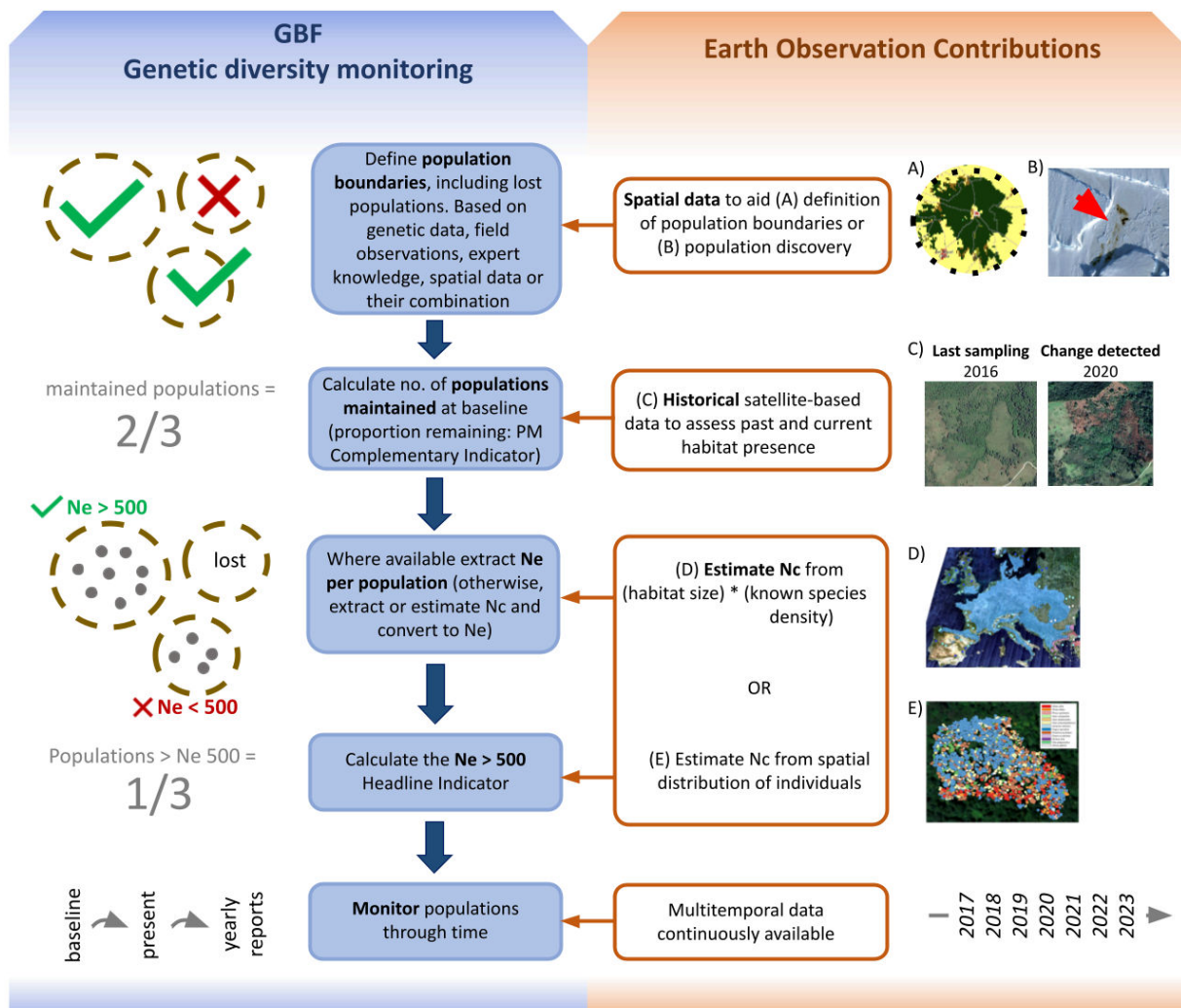
303

304 EO contributions to genetic diversity monitoring: A proposal

305 For purposes of genetic diversity indicators, a population is a group of spatially
306 aggregated, interbreeding individuals, genetically distinct from other similar groups
307 (Mastretta-Yanes, Suárez, et al., 2024; Waples & Gaggiotti, 2006). Spatially, populations
308 occupy a subsection of the range that the species inhabits. Whether a population still
309 exists, and whether it has grown, shrunk, migrated, or maintained its size, is often linked
310 to changes in its habitat extent (Mace et al., 2010). Habitat extent can change due to
311 land use and land cover (LULC) change, which can in turn be quantified and monitored
312 with EO.

313

314 Thus, EO can be used for observing and monitoring changes in habitat extent where
 315 populations occur, or in changing boundary conditions of habitats such as long-term
 316 changes in land surface phenology (Garonna et al., 2018), and can thus contribute to
 317 estimating and monitoring change in GBF genetic diversity indicators (**Fig. 1**). This can
 318 be done in at least two ways: First, by assessing the likelihood of a given population's
 319 continued existence for the PM indicator and second, by estimating a relationship
 320 between habitat size and the number of mature individuals of a species living in this
 321 habitat (density) to estimate N_c . In some cases (for large and immobile individuals such
 322 as trees), N_c may be even more directly estimated from EO (see **Outlook**). In either
 323 case, EO data supports the assessment of the $N_e > 500$ indicator by providing an
 324 estimate for N_c from which N_e can be estimated using the $N_e:N_c$ ratio (**Fig. 1, Box 3**).
 325



326
 327 **Figure 1.** Overview of the proposed workflow for integrating EO data with genetic
 328 diversity monitoring and estimating the GBF indicators for genetic diversity: the
 329 Headline Indicator $N_e > 500$ and Complementary Indicator PM (see **Box 1** and **Box 3**).

330 Thumbnail images (A - E) show contributions of EO for obtaining information on the
331 three examples discussed here. Furthermore, we propose that the complete workflow
332 should be run for individual species, as elaborated in the rest of this article.

333

334 Our proposed workflow relies on the following assumptions:

- 335 ● That a habitat of a particular size does support a species population;
- 336 ● That habitat extent can be sufficiently well assessed by EO; and
- 337 ● That the relevant threats to populations are visible at the habitat scale (e.g.,
338 land-use change, but not poaching).
- 339 ● The workflow furthermore requires expert knowledge about the location of
340 populations, population density (N_c per area), and $N_e:N_c$ ratio.

341 In sum, the proposed approach would work for species where habitat changes such as
342 LULC change, or landscape modification and fragmentation, can be detected and
343 quantified using EO (**Fig. 1, Box 3**).

344

Box 3: A workflow to support genetic diversity monitoring with EO

We propose the following steps to include EO data for monitoring genetic diversity of species' populations. We note that not all steps are feasible for all species (see main text).

1. Define population boundaries.
 - a. Define populations that can be related to habitat area and size, where the area and size can be identified with support of EO.
 - b. Pinpoint the contribution of EO (e.g., systematic land cover mapping or habitat assessment, systematic identification of population presence or activity) and identify what other information is needed.
2. Calculate the proportion of populations maintained (PM).
 - a. Leverage current and historical EO data to assess recent trends in population presence and distribution.
 - b. Use EO to support mapping population distribution, which can later help to guide *in situ* monitoring and conservation efforts.
3. Calculate the proportion of populations with $N_e > 500$ based on expert knowledge.
 - a. Define the relationship between area size and census size of each population to be monitored (e.g., validate N_c estimates from ground data).
 - b. Use N_c estimates from EO area size or direct observations (e.g., for trees) to infer N_e with the ratio $N_e:N_c \sim 1:10$ for each population, or with a phyla-specific ratio, and estimate the number which are above the $N_e > 500$ threshold.
4. Monitor the population areas for maintenance and size over time.
5. Leverage the features that can be detected with EO for regular remote re-assessments and to target further (e.g., ground-based) actions.

345

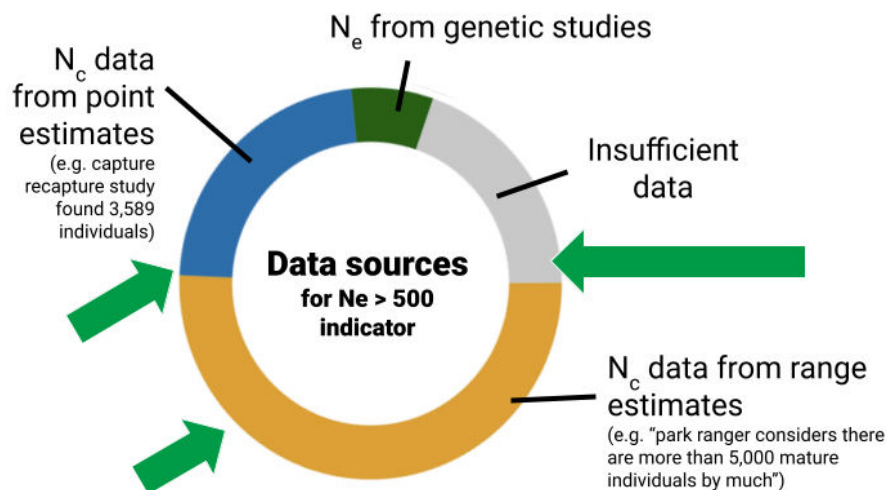
346

347 We propose that this approach will be most useful for cases in which there is still
348 insufficient data to calculate the GBF genetic diversity indicators, but sufficient
349 information about the location of species populations, habitat, approximate density, and
350 dispersal distances (distance that individuals of a species or their germinative cells, like
351 seeds, are able to move from an existing population – see **Glossary**) (**Fig. 2**). We

352 furthermore expect that this approach can facilitate and accelerate indicator calculation
 353 even in cases where N_c estimates are available, by making repeated remote
 354 observation possible (**Box 3**). In some cases, N_c estimates will be possible directly from
 355 EO data (**Outlook**). Critically, we expect this approach to enable more frequent change
 356 monitoring in all cases (**Figs. 1 and 2, Box 3**).

357

358 The major challenge is to ensure the useability and accessibility of EO data for specific
 359 applications, such as biodiversity monitoring, as it requires expert knowledge to extract
 360 the needed information (**Box 2**) (Pahlevan et al., 2021; Silva et al., 2008). The
 361 integration of EO data as an additional source of indirect information (habitat extent,
 362 fragmentation, etc.) or direct information about genetic diversity indicators (N_c estimates,
 363 and see **Outlook**) for the assessment and monitoring of biodiversity requires the
 364 co-development and production of such information. This can be achieved through
 365 collaboration among experts in population and conservation genetics and genomics;
 366 remote sensing, geography and geospatial information; ecology and conservation; and
 367 practitioners who will ultimately use this information routinely.



368 **Figure 2.** EO enables estimation of key GBF genetic diversity indicators in cases where
 369 other data that could be used to calculate the indicators are unavailable (right side,
 370 large arrow), but can also complement assessments where ground data and expert
 371 knowledge are available (left side, smaller arrows) – especially by facilitating regular
 372 repeated assessments and prioritization of other actions, such as site visits or
 373 conservation measures. Made with data from Mastretta-Yanes, da Silva et al (2024).

374 Example: Monitoring habitat change to estimate the $N_e > 500$ and PM
375 indicators in wild relatives of domesticated crops

376 The wild relatives of modern-day crops (e.g., crop wild relatives) harbor an important
377 proportion of crops' genetic diversity (Maxted et al., 2006). In Mexico, crop wild relatives
378 are threatened mainly by LULC change (Goettsch et al., 2021). Several species (spp.)
379 of wild avocados (*Persea* spp.) and teosintes (*Zea* spp., related to maize) inhabit
380 locations that are often dangerous or difficult to visit. Within these genera, several wild
381 species are endangered or critically endangered (Goettsch et al., 2021). Populations of
382 these species cannot be directly observed with EO due to the typical size of individuals
383 and their habit of living under forest canopies, but critical aspects of their native habitat,
384 such as proximity to and association with nearby forests, can be observed. In particular,
385 tree-cover loss (LULC change, and thus habitat loss) can be quantified to infer which
386 populations may be experiencing greater decline. In terms of its impact on genetic
387 diversity, habitat loss could mean population extinction (habitat annihilation in a given
388 region, PM decline) or reduction of the effective population size (smaller habitat space,
389 fewer individuals, N_e decline and thus loss of genetic diversity through genetic drift; see
390 **Glossary**).

391

392 EO is not yet used to monitor indicators of genetic diversity for crop wild relatives, but
393 this could be achieved using publicly available EO data in a few straightforward steps
394 (**Fig. 1**). The first step is to define population boundaries based on occurrence points
395 (combined with a rule for aggregating points to populations); or species distribution
396 models on the level of populations, using methods including, for instance, geographic
397 features (e.g., different mountains harbor different populations) or eco-biogeographic
398 differences (e.g., different environmental zones harbor different populations) (Hoban et
399 al., 2023; Tobón-Niedfeldt et al., 2022). The second step is to assess whether
400 populations have been maintained since the last observation (PM indicator). In classical
401 monitoring approaches, this would imply traveling to the locations on a regular basis.
402 However, doing this for several species in megadiverse or large countries is challenging
403 to impossible in terms of time and cost – for example, teosintes populations in Mexico
404 are distributed in an area the size of Western Europe). EO data can be used in such
405 situations to detect habitat loss using either visual inspection of satellite images or by
406 analyzing satellite-derived time series of LULC change, such as tree-cover loss. The
407 images and their derived products, such as tree-cover change, are publicly available
408 free-of-charge from repositories such as the Copernicus Browser or Global Forest
409 Watch (**Table 1**). The third step is to estimate genetic diversity indicators from habitat
410 size information. For the PM indicator, the procedure is straightforward: Populations that
411 have lost all of their habitat over time are expected to be lost, and the fraction of
412 populations with remaining habitat is taken to correspond to the PM indicator. For the
413 $N_e > 500$ indicator, two assumptions based on expert knowledge must be made. The first

414 pertains to the population density of the species being studied: If we know the habitat
415 size and population density, we can estimate the population's census size N_c . The
416 second assumption involves the $N_e:N_c$ ratio: For a given N_c , we can estimate the
417 corresponding effective population size N_e . Once N_e is estimated for every population,
418 we can calculate what proportion of populations are estimated to remain above the
419 threshold value of $N_e > 500$.

420

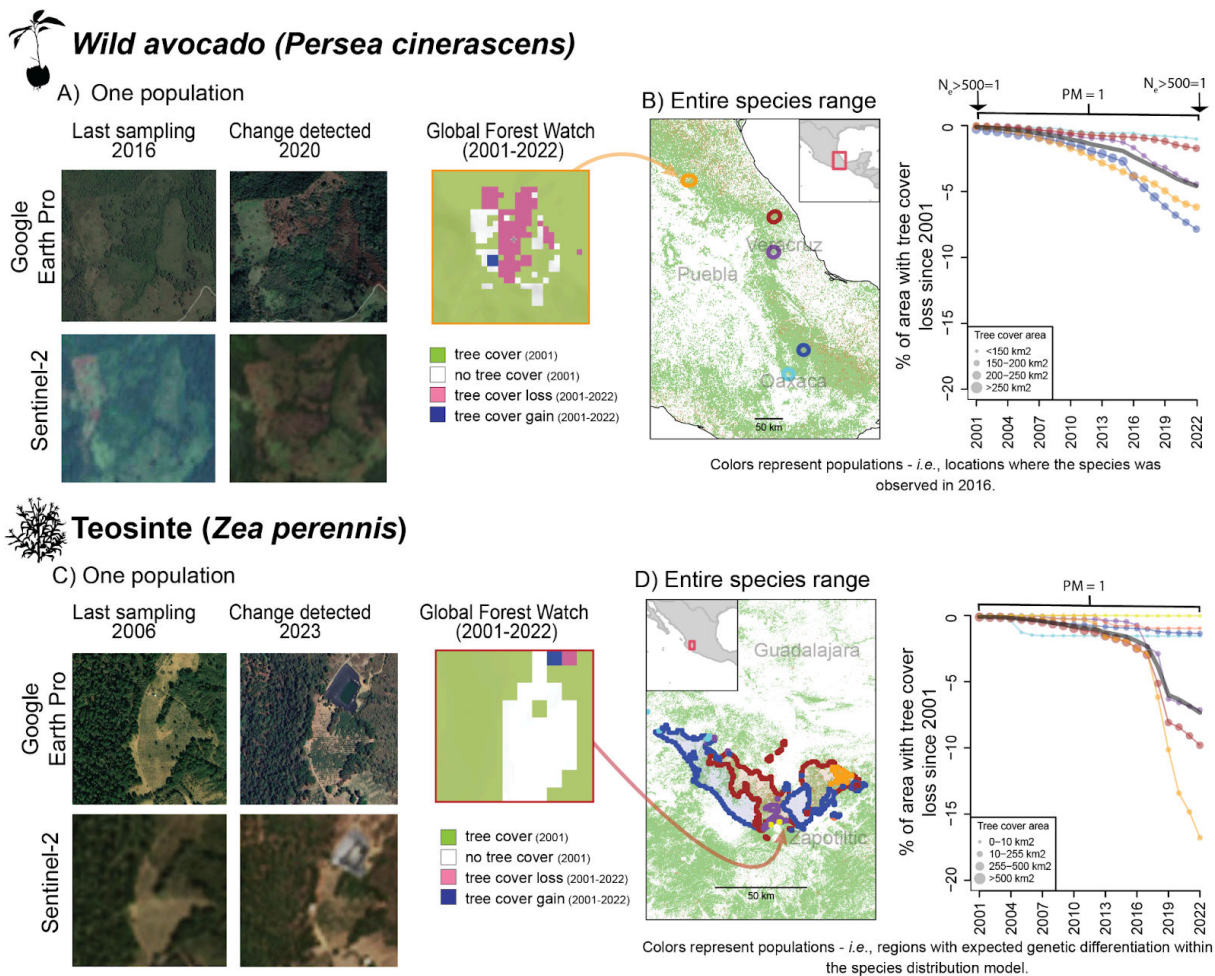
421 An example is *Persea (P.) cinerascens*, a wild avocado growing among the tree species
422 composing cloud forests, Mexico's most biodiverse terrestrial ecosystem type per unit
423 area (Conabio, 2023; Rojas-Soto et al., 2012). *P. cinerascens* occupies less than 500
424 km² in a total of five populations separated by ca. 50-200 km in three geographic
425 locations⁵. The species' presence was confirmed during the last visit to the known field
426 localities in 2017, although no population size measurement was conducted. A second
427 example is the teosinte species *Zea (Z.) perennis*. This species has only been recorded
428 to be present in two locations in Western Mexico (González et al., 2018) although
429 species distribution models suggest it may occur in other localities within the region,
430 where genetic differentiation is expected due to environmental and historical differences
431 (Tobón-Niedfeldt et al., 2022). The two known locations were last visited and
432 populations observed in 2008, when conducting sampling for genetic studies
433 (Rivera-Rodríguez et al., 2023). Based on genetic data, the N_e of both documented *Z.*
434 *perennis* populations is below 500, so the $N_e > 500$ indicator value for the species is zero
435 according to the first multinational assessment of genetic diversity indicators
436 (Mastretta-Yanes et al., 2023). Unfortunately, although populations of both species were
437 observed in the field relatively recently (2017 and 2008, respectively), their habitat is
438 suspected to have decreased or disappeared due to rapid land use change.

439

440 EO data enable the monitoring of genetic diversity for these two species by assessing
441 the persistence of their habitats, either of the specific locations that were visited, or from
442 species distribution models, directly informing the PM indicator without the need for
443 costly or dangerous field assessments. Direct inspection of true-color satellite images
444 (**Fig. 3A and 3C**) allows a rapid assessment of vegetation and LULC change. By
445 comparing satellite images taken before the last ground sampling (2016 for *P.*
446 *cinerascens* and 2006 for *Z. perennis*) with more recent images, habitat change can be
447 estimated. This method showed that for *P. cinerascens*, a controlled forest fire occurred
448 in 2020 to clear land for agriculture, indicating a threat to the maintenance of this
449 population. Conversely, for *Z. perennis*, the boundary of the avocado farm adjacent to
450 the sampling location remained unchanged between 2007 and 2023.

451

⁵ <https://www.iucnredlist.org/species/110067105/129767329>



452

453 **Figure 3.** Examples of habitat monitoring using EO for A-B) a wild avocado (*P.*
 454 *cinerascens*) and C-D) a teosinte (*Z. perennis*). Shown in A) are the comparisons of
 455 imagery available from either Google Earth Pro (better than 5 m spatial resolution) or
 456 Sentinel-2 (10 m spatial resolution) showing habitat change for a wild avocado
 457 population, and the evaluation of tree cover change from Global Forest Watch. In B),
 458 the combination of Global Forest Watch data with ground observations from 2017
 459 indicates that change took place between 2017 and 2020 (circles represent a potential
 460 habitat area of 10 km around the exact location where the species was sampled). The
 461 PM indicator is estimated assuming that habitat maintenance indicates population
 462 maintenance, and the $N_e > 500$ indicator is estimated assuming a low population density
 463 of $N_c = 100$ individuals / km² and $N_e : N_c = 0.1$. In C), data from Google Earth Pro and
 464 Sentinel-2 for a different time frame indicate there has been no change in forest cover in
 465 one of the teosinte's known populations, which was last observed on the ground in
 466 2008. In D), analysis of percentage tree cover change since 2001 and total tree cover
 467 are used as an indicator for habitat change within the teosintes species distribution

468 model. In this example, the species distribution was previously subdivided in six
469 subregions where genetic differentiation is expected based on ecological and
470 biogeographic data (Tobon et al 2022). In this case, N_e is not estimated due to the very
471 low number of observations, but it is possible to estimate the percentage of habitat loss
472 within each region where the species potentially occurs in differentiated populations for
473 conservation purposes (PM indicator).

474

475 Using the history function of Google Earth, either the free Pro application or the web
476 version, often provides free access to high-spatial-resolution satellite images free of
477 charge, although the user does not control image availability (*i.e.*, different years and
478 seasons), and automated processing is not possible with this platform. These limitations
479 can be overcome using time-series analysis of publicly available EO data, such as
480 Sentinel-2 images (10 m spatial resolution, 5-day temporal resolution since 2016),
481 which can be combined with Landsat images (30 m spatial resolution, available since
482 the 1970s). However, as a simple starting point, significant habitat changes can already
483 be detected visually by selecting one high-quality image per year from the same season
484 (*e.g.*, dry season, as opposed to the rainy season) and examining such an annual time
485 series. Additionally, products derived from EO data describing habitat and biodiversity
486 change are already accessible for non-EO-experts through platforms like Global Forest
487 Watch, which provides assessments of tree cover loss (defined as removal or mortality
488 of vegetation taller than 5 m) and tree cover gain derived through automated
489 interpretation of 30 x 30 m EO data (Hansen et al., 2013; Potapov et al., 2022). Thus,
490 this platform enables rapid assessments of tree cover loss over time (2001-2022) and
491 might serve as an effective early alert system for habitat change detection (Schneider &
492 Olman, 2020) (**Fig. 3B and D**).

493

494 For species with few occurrences – such as *P. cinerascens* – buffer zones around the
495 specific areas can be used to assess whether the surrounding habitats crucial for their
496 survival are adequately considered and protected. For more widely distributed species,
497 such as *Z. perennis*, species distribution models (SDMs) serve as a proxy for species
498 distribution ranges as commonly employed in systematic conservation planning and
499 management (Villero et al., 2017). SDMs can be leveraged for genetic diversity
500 monitoring by subdividing them into areas where some level of genetic differentiation is
501 expected, for instance, due to environmental differences or historical isolation
502 (Tobón-Niedfeldt et al., 2022; Villero et al., 2017). Once buffer zones around occurrence
503 records, or SDMs, have been delimited and subdivided with proxies of genetic
504 differentiation, they can be regarded as different populations for monitoring purposes.
505 Subsequently, land use and cover change can be quantified and assessed in terms of
506 habitat loss trends. For instance, in the case of *P. cinerascens* (**Fig. 3B**), the habitat
507 surrounding the “purple population” (see colored circle) had a high percentage of

508 tree-cover loss during the last two decades but remained large in absolute terms. In
509 contrast, the “green” population already had minimal remaining natural vegetation,
510 making subsequent losses more threatening to its survival. Similarly, in the *Z. perennis*
511 example (**Fig. 3D**), the “red” population exhibited the most significant decline and is the
512 second smallest, while it appears that the protection of the “yellow” population was
513 successful. Note that the individual population trends differ from the species mean (dark
514 black line), highlighting the importance of separately evaluating populations within a
515 given species.

516

517 In both species, despite the clear decline in habitat size observed in some populations,
518 no population experienced a complete loss of habitat. Therefore, the PM indicator for
519 both species is estimated to be 1. For *P. cinerascens*, assuming a population density of
520 100 mature trees per km² and a conservative $N_e:N_c$ ratio of 0.1, all populations remain
521 above the critical effective population size threshold of 500. Therefore, the $N_e>500$ is
522 estimated to be 1. Notice that the assumed density is a critical parameter that can
523 significantly affect the value of the indicator. For example, the indicator value will drop to
524 zero if a density of 10 individuals per km² were assumed. In the *Z. perennis* example,
525 habitat size is derived from an SDM, which represents areas where the species is likely
526 to occur but does not necessarily reflect true occurrences. As a result, estimating the
527 densities and sizes of individual populations is infeasible. However, it is notable that
528 habitat size declined by an average of 7%, with two populations experiencing even
529 steeper declines of up to 15%. This example shows how integrating habitat monitoring
530 using EO within a population genetics framework can inform the assessment of the GBF
531 indicators and the prioritization of *in situ* observations and future interventions.
532 Importantly, the example furthermore shows ways in which EO-based LULC
533 assessments enable the identification, characterization, and ranking of threats to
534 populations, prior to indicator decline.

535 Outlook: Genetic diversity assessments using EO

536 EO offers measurements at landscape level that are repeated in space and time. These
537 observations are captured in wavelengths beyond the human-visible range of the
538 electromagnetic spectrum and yield detailed and traceable information about processes
539 that affect the composition and distribution of species at landscape scales. This
540 information can be used directly to monitor and assess changes in habitats and
541 estimate change in genetic diversity within and between populations. Furthermore, it
542 can help managers prioritize interventions and target them in space and time to areas
543 where rapid changes are taking place, hence mitigating damage and maintaining or
544 enhancing resilience and protecting biodiversity (Langhammer et al., 2024). The
545 cost-effectiveness of such an EO-based approach is noteworthy, as many biodiversity
546 hotspots are located in economic resource-limited regions.

547

548 **Table 2.** Proposed uses of EO data for genetic diversity monitoring.

Uses of EO data	Implementation for genetic diversity monitoring	Current limitations
Species range and habitat mapping <i>Accuracy increases with prior knowledge and in terrestrial habitats</i>	Inference of census size N_e from dispersal distance data, occupation density data, or occasionally counts of dominant individuals; supports assessment of $N_e > 500$	Cannot directly measure effective or census population sizes (N_e or N_c)
Estimate population size and number <i>Accuracy increases when combined with observational data</i>	<i>Inferred population locations can be combined with other data (e.g., biogeographical, traditional knowledge) to infer population boundaries or support the design of comprehensive DNA studies for confirmation</i>	Cannot independently identify genetically distinct populations
Detect habitat and ecosystem change <i>Requires a baseline and continued monitoring</i>	<i>Develop EO-based alert systems to support genetic diversity protection in real time and to monitor inferred PM or $N_e > 500$ over time</i>	Cannot detect all on-the-ground threats to individuals (e.g., poaching)
Map variation or change in species visible from Space <i>e.g., trait variation, settlements, migration, breeding activities, species interactions</i>	<i>Currently still a focus of research: see Outlook</i>	Cannot directly estimate genetic diversity

549

550 In summary, available EO data and information, combined with ground-based methods,
 551 can be used for assessing and monitoring the quantity and quality of locally available
 552 habitat for geolocated populations, and can inform the PM and $N_e > 500$ indicators in
 553 several ways (**Table 2**): (1) Informing the PM indicator if habitat integrity or species
 554 vitality descends below a certain threshold, below which a species can be assumed to
 555 be locally lost; (2) informing the $N_e > 500$ indicator either (i) directly, if species density per
 556 unit area is known or if groups of mature individuals can be directly observed, or (ii)
 557 indirectly, where a baseline N_e value is known for a given population, and so the
 558 expected decline could be estimated as a function of habitat loss; and (3) supporting
 559 prioritization of *in situ* monitoring or conservation actions, or an early alert system, so
 560 that resources are directed to the regions where more change is occurring and
 561 ground-based observations are most needed.

562

563 Currently, the workflow laid out here (above and in **Figs. 1** and **3** and **Box 3**) is largely
 564 theoretical, but the examples we discuss indicate its utility and potential importance.
 565 This potential for EO-based genetic diversity monitoring needs to be co-developed with
 566 available ground-based data to understand its full potential and limitations (*i.e.*, in EO

567 and the available ground-based data). Thus, EO provides valuable global information,
568 especially where no other data are available; where local *in situ* monitoring, citizen
569 science and other sources of ground data are, or become, available, EO data will be
570 better complemented (**Fig. 2**).

571 Example: mapping genetic diversity of an entire tree species using EO

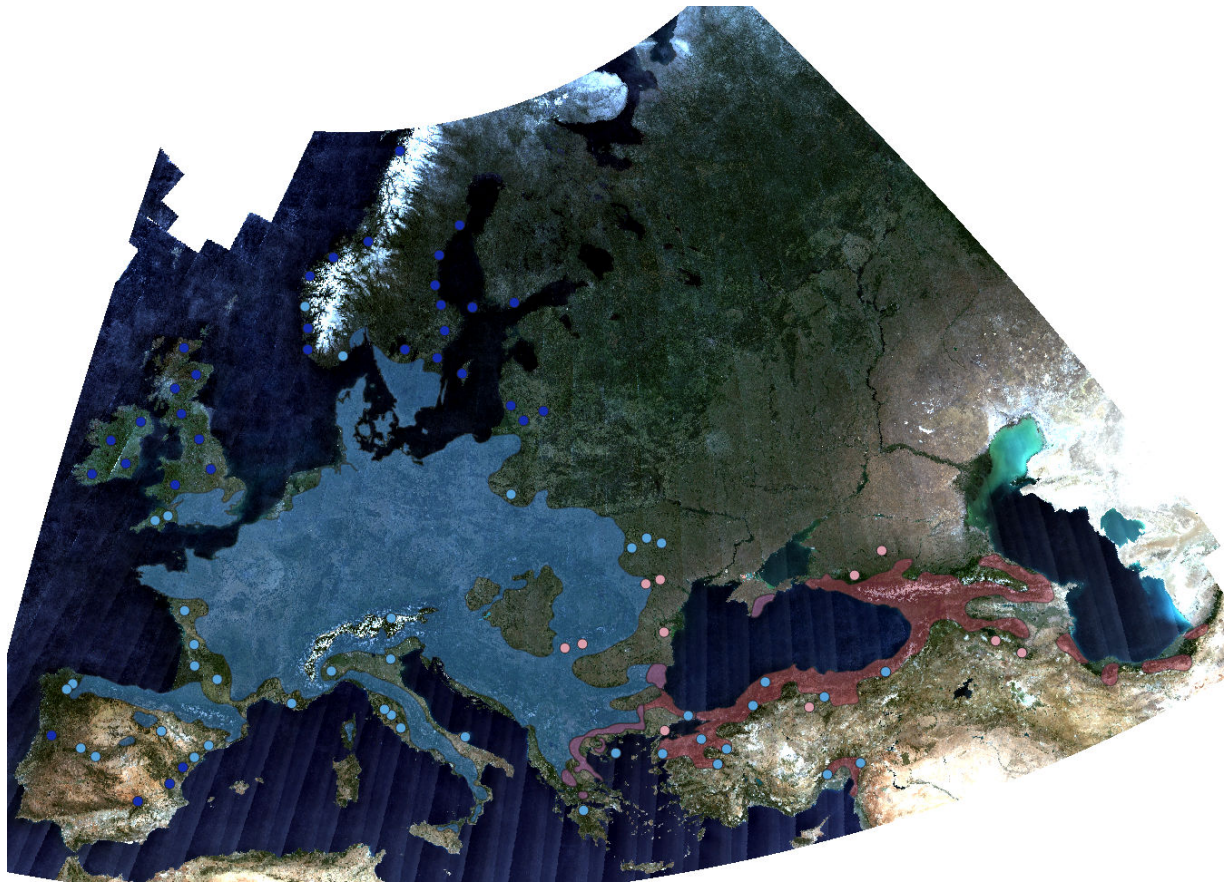
572 EO is increasingly used to directly map features of forests from Space, a focus of
573 current research (**Table 2**). EO is used not only to estimate changes in tree cover as
574 implemented in Global Forest Watch, but also to assess important aspects of tree
575 canopy structure, phenology and functions including height and density, greening and
576 browning, pigment concentration and water content; or to characterize tree species and
577 even within-species variation. Here, we discuss how EO technologies can support the
578 assessment of genetic diversity in terms of the GBF indicators (**Box 1**) for a dominant
579 forest-forming tree.

580

581 To illustrate the current state of research and development, we use the European beech
582 *Fagus (F.) sylvatica*, a dominant forest tree with high economic importance in forests
583 across Europe. *F. sylvatica* is now threatened by increasingly severe droughts across
584 much of its natural range, and the future of Europe's widespread beech forests is
585 uncertain (e.g., Arend et al., 2022; Eisenring et al., 2024; González de Andrés et al.,
586 2021; Martínez del Castillo et al., 2022, 2022; Neycken et al., 2022; Pfenninger et al.,
587 2021). *F. sylvatica* is closely related to, and likely able to hybridize with, three other
588 *Fagus* species found from the Balkans into the Arabian peninsula that have been
589 considered as possible sources to introduce new genetic diversity and perhaps mitigate
590 beech forest decline (e.g. D'Odorico et al., 2023); in fact, these species were, until
591 recently, considered to be a genetically diverse subspecies of *F. sylvatica* (Denk et al.,
592 2024). We have overlaid distribution maps (Caudullo et al., 2017) with satellite imagery
593 at continental scales: A Sentinel-2 mosaic produced with Google Earth Engine (Gorelick
594 et al., 2017) (**Fig. 4**).

595

596 Beech species (*Fagus* spp.) pollen is spread both by insects and wind, and *F. sylvatica*
597 has relatively low genetic differentiation among different forest stands, so that divisions
598 into populations are challenging (Milesi et al., 2024). The weak, yet discernible genetic
599 structure of *F. sylvatica* – moderate isolation of populations by distance (Lazic et al.,
600 2024; Milesi et al., 2024) – reveals its post-glacial migration history but also depends on
601 management and planting decisions in forestry. Genetic analysis of a stand in France
602 with 167 individuals yielded N_e estimates ranging from 2 to 25 depending on the
603 calculation method used, corresponding to an $N_e:N_c$ ratio ranging from 0.01 to 0.15
604 (central value 0.08) (Gargiulo et al., 2024).



605 **Figure 4.** Layers of geospatial information on the distribution of Eurasian beech, *Fagus*
606 (*F.*) species. Sentinel-2 mosaic from Google Earth Engine (Gorelick et al., 2017) for
607 visualization purposes, overlaid with species distribution and isolated localities (dots)
608 (Caudullo et al., 2017): *F. sylvatica* (blue) and the distributions of three closely related
609 *Fagus* species (red) (Denk et al., 2024).

610

611 It is possible to infer the number of dominant (canopy-forming) *F. sylvatica* trees in
612 high-resolution (<10 m) EO images to estimate N_c . To locate *F. sylvatica* (estimate the
613 potential occurrence and coverage), distribution data over the species range (e.g., **Fig.**
614 **4**) can be divided into forested and non-forested areas, e.g., with LULC products – see
615 **Glossary**, or forest coverage products. Where more information is available, these can
616 be classified as forests with known locations, percentages, or densities of beech trees
617 using maps of land use, forest communities, or inventories, ideally combined with local
618 and expert knowledge. For application to larger scales or where less information is
619 available, tree species classification using EO data has been demonstrated in beech
620 habitats with machine learning using high-spatial-resolution data (Kaplan et al., 2024;
621 Yao et al., 2021), or a combination of active and passive EO data from Sentinel-1 and
622 Sentinel-2 in annual time series, combined with forest inventory data (Blickensdörfer et

623 al., 2024). Using data with both high spatial (2-3 m) and spectral resolution (ca. 10 nm,
624 continuous) from aerial imaging spectroscopy (see **Glossary**), Torabzadeh and
625 colleagues achieved high binary classification accuracy of *F. sylvatica* versus all other
626 trees in a beech-dominated stand based on pixels – in other words, without needing to
627 define tree crowns (82% producer’s accuracy / 92% user’s accuracy) (Torabzadeh et
628 al., 2019). Generally, binary classification (e.g., beech or not-beech) is more accurate
629 than multiple classification of pixels as depicting one of several species, which was also
630 the case in this study (Torabzadeh et al., 2019). At another well-documented test site in
631 Allenwiller, France, where the closely related caucasian beech *F. hohenackeriana*
632 Palibin (among the Eurasian beeches, **Fig. 4**) was co-planted with *F. sylvatica*, Kaplan
633 and colleagues (2024) used a similar pixel-wise approach to distinguish these species
634 with better than 90% accuracy (F1 score) using high-resolution (3 m) commercial
635 multispectral EO data provided free of charge for research purposes by PlanetScope.
636 Both of these approaches used signal characteristics overlapping with the detection
637 ranges of current public EO instruments but with higher spatial resolution. Transferring
638 these approaches to public data requires scaling from 3 m spatial resolution to ca. 10 to
639 20 m spatial resolution (see **Fig. 5**).

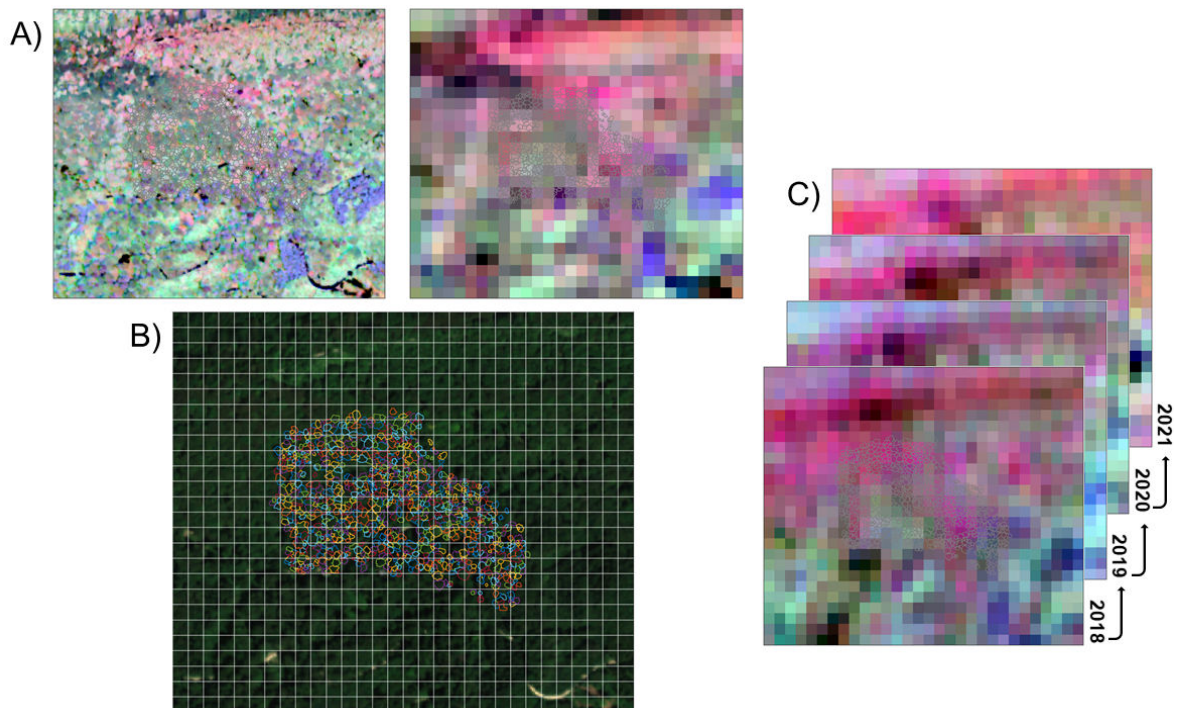
640

641 For *F. sylvatica*, N_c could thus be locally estimated directly from beech canopy pixels
642 discernible from EO data via species classification, especially if the primary task is to
643 distinguish beech from non-beech pixels. This would require either automated crown
644 delineation, which is still best supported by laser scanning or dense photogrammetry
645 data from drones or airplanes, or could be approximated by dividing the total pixel
646 number by a number of average pixels per crown. This could then be used to
647 approximate the $N_{e>500}$ indicator. This approach would likely yield an underestimate
648 because N_c from EO would count dominant (canopy-forming) reproductively mature
649 trees that are the easiest to detect from above, while reproductively mature but
650 co-dominant, intermediate, and suppressed trees are difficult to assess. Inventory or
651 other *in situ* data could support the estimation of N_c via tree density and be used to
652 upscale to larger areas. Changes in PM and $N_{e>500}$ for known, monodominant
653 populations of *F. sylvatica* could then also be assessed by forest cover loss.

654

655 Furthermore, the risk of losing individual trees from forest canopies could be predicted
656 from changes in canopy vitality via changes in trait values. Data from aerial imaging
657 spectroscopy platforms are also used to assess canopy traits and vitality (Asner &
658 Martin, 2016; Helfenstein et al., 2022) beyond counting mature trees. An approach has
659 recently been demonstrated to relate differences in such canopy characteristics and
660 their local diversity to the response of forest canopies to drought using public EO data at
661 20 m spatial resolution (Helfenstein et al., 2022, 2024; Sturm et al., 2022) (**Fig. 5**).
662 European beech forests are increasingly threatened by drought, and individual trees

663 vary in their susceptibility, in part due to genetic differences (Bolte et al., 2016; Braun et
664 al., 2021; Pfenninger et al., 2021). Current efforts using high-spatial-resolution data from
665 uncrewed aerial vehicles (UAVs) to document dead trees could help validate models
666 predicting the dieback of individuals⁶. Furthermore, such trait maps suggest the
667 possibility of more directly measuring genetic variation using EO.
668



669 **Figure 5.** Mapping the diversity of forest canopy characteristics using EO. A) Impact of
670 spatial resolution on the derived canopy traits chlorophyll, estimated using spectral
671 indices from Sentinel-2 bands: Chlorophyll content, estimated using the red-edge
672 chlorophyll index Clre (green); carotenoid:chlorophyll ratio, estimated using the
673 chlorophyll carotenoid index CCI (red); and water content, estimated using the
674 normalized differential infrared index NDII (blue) (Helfenstein et al., 2022). These were
675 assessed using 2 m aerial imaging spectroscopy data (left), or 20 m EO data (right). B)
676 20 m Sentinel-2 pixels compared to the crown sizes at Laegern forest. For 20 m pixels,
677 multiple individuals contribute to the signal per pixel. C) Potential of EO data for
678 monitoring: Canopy traits mapped for the area of interest for four consecutive years
679 using Sentinel-2 data.

⁶ <https://deadtrees.earth/>

680 Toward “Genes from Space”

681 So far, this paper has discussed using EO data to assess genetic change primarily via
682 assessing habitat change or estimating N_e change. However, the capabilities of EO, and
683 our ability to interpret EO data in terms of biological variation, are advancing toward an
684 ultimate aim of truly measuring genetic diversity from space. To understand these
685 advances and how they relate to monitoring genetic diversity, it is important to have an
686 overview of the essential biodiversity variables (EBVs) for genetic composition, which
687 provide an agreed-upon language for defining and measuring genetic diversity. In **BOX**
688 **4**, we explain the genetic EBVs and how they relate to the GBF indicators of genetic
689 diversity.

690

Box 4: Essential Biodiversity Variables (EBVs) and their relationship to GBF indicators

Researchers have developed essential variables to understand and measure climate, biodiversity, and other components of the Earth system (e.g. Essential Climate Variables, Essential Ocean Variables). The concept of Essential Biodiversity Variables (EBVs) was introduced to advance the collection, sharing, and use of biodiversity information (Pereira et al. 2013; Navarro et al. 2017), providing a way to integrate the many biodiversity observations collected through different methods such as *in situ* measurements or remote sensing (<https://geobon.org/ebvs/what-are-ebvs/>). EBVs are scalable, meaning the underlying observations can be used to represent different spatial or temporal resolutions required for the analysis of trends.

The EBVs for genetic composition include (Hoban et al. 2022; Junker et al. 2023):

1. Effective population size: Size of an ideal population that loses genetic variation at the same rate as the focal population. Related to the $N_e > 500$ indicator (see **Box 1**).
2. Inbreeding: Degree of relatedness between pairs of individuals, mating among relatives, or identity by descent. Not assessed by either the $N_e > 500$ indicator or the PM indicator (see **Box 1**).
3. Allelic richness and heterozygosity: Count of the number of alleles in a population or expected proportion of heterozygotes in a population at equilibrium. Not assessed by either the $N_e > 500$ indicator or the PM indicator.
4. Genetic differentiation: Number of genetic units and degree of genetic differentiation among population units. Related to the PM indicator.

691

692

693 EBVs for genetic composition are commonly measured, although not necessarily
694 defined, in terms of DNA sequence variation (**Box 4**). Importantly, DNA-based
695 measures are not uniform. Taking *F. sylvatica* as an example, decades of population
696 genetics studies have produced hundreds of datasets on genetic EBVs using different
697 molecular methods over time; older marker-based studies remain valuable and are
698 complemented but not replaced by a newer generation of genomic approaches using
699 single nucleotide polymorphisms (SNPs, see **Glossary**) (Stefanini et al., 2023).
700 SNP-based studies may in turn be overtaken by newer genomic approaches such as
701 kmers and structural variants (Roberts et al., 2024; Stefanini et al., 2023). The situation
702 is similar for other species where DNA-based population genetic data are available:
703 There is no agreed-upon single way to measure EBVs for genetic composition using

704 DNA data. Furthermore, genetics fundamentally concerns heritable differences, *i.e.*,
705 differences among individuals that are due in part to the genetic material inherited from
706 their ancestors and not solely to environmental differences. Therefore, systematic
707 measurements of individual differences, especially when combined with environmental
708 information, are informative about genetic diversity.

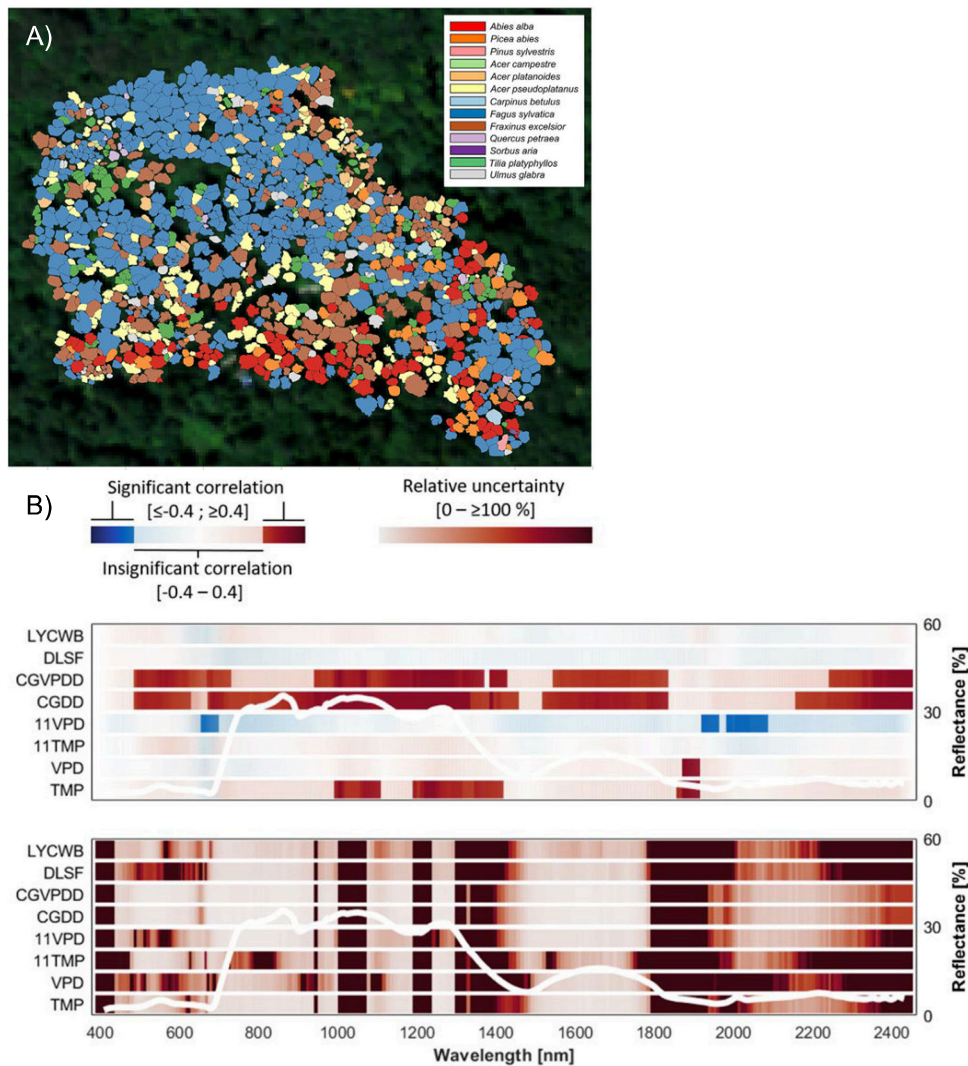
709

710 Czyż and colleagues used imaging spectroscopy data with high spatial resolution (2 m)
711 to generate a time series of differences among spectra from center-of-canopy pixels for
712 69 dominant beech trees out of 260 dominant trees in a canopy (see **Fig. 6A**). They
713 correlated these spectral differences – quantified as a conceptual Euclidian distance,
714 with less similar spectra being more distant than more similar spectra – with the trees’
715 genetic distance: A measure of how related the trees are, as determined by five nuclear
716 microsatellites from DNA sequencing (markers often used to quantify relatedness; see
717 **Glossary**). The correlation strength between spectral distance and genetic distance
718 reached a maximum of 60% across several parts of the spectrum at time points when
719 trees were subject to drier conditions, and later in the growing season (Czyż et al.,
720 2023) (**Fig. 6B**). Interestingly, in humans, it is well known that microsatellite sequences
721 fine-tune individuals’ genetically encoded responses to environmental pressures
722 (Horton et al., 2023; Wright & Todd, 2023); these sequences evolve rapidly, which is
723 why they are also useful to measure the relatedness of even very closely related
724 individuals (Provatas et al., 2024). This study indicates that environmentally contingent
725 differences among individuals that can be observed using EO may be predictive of
726 genetic differences. Several other studies indicate that high-resolution spectroscopy
727 (field and imaging spectroscopy) can reveal quantitative genetic differences and could
728 thus help to scale up measurements of genetic differentiation (Cavender-Bares et al.,
729 2016; Li et al., 2023; Meireles et al., 2020; Seeley, Stacy, et al., 2023; Stasinski et al.,
730 2021). These approaches are currently developed for “best-case scenarios” where
731 aerial imaging spectroscopy or even individual leaf-level measurements provide high
732 certainty for assigning spectral data to individual trees (Petibon et al., 2021). Here
733 again, to use public EO data from Space, such analyses and their interpretation must be
734 scaled spatially from 2 m to 10-20 m pixels, thus potentially representing genetic
735 composition on a patch-wise rather than an individual-by-individual basis.

736

737 Thus, when accounting for environmental variation, imaging spectroscopy observations
738 with higher spectral resolution than current multispectral EO (*i.e.*, Landsat, Sentinel-2)
739 could support the estimation of genetic distances across forest canopies. The improved
740 spectral and radiometric capabilities of new EO imaging spectroscopy missions to be
741 launched before the end of this decade by ESA (CHIME: Copernicus Hyperspectral

742 Imaging Mission⁷) and NASA (SBG: Surface Biology and Geology⁸) will enhance the
 743 information content of EO measurements by two orders of magnitude compared with
 744 currently operating multispectral instruments such as those described so far in our
 745 examples. This opens up the possibility of using spectral fingerprints to better
 746 distinguish species using EO and even to estimate other components of genetic and
 747 trait variation beyond the genetic diversity indicators.
 748



749 **Figure 6.** Imaging spectroscopy can help to distinguish species and assess genetic
 750 variation. A) Dominant tree crowns assigned to species by aligning forest inventory data
 751 with a 3D model of tree crowns and trunks made using LiDAR and photogrammetry;
 752 reproduced from (Guillén-Escribà et al., 2021), [CC BY](#). B) Upper panel: Spectral

⁷ https://www.esa.int/ESA_Multimedia/Images/2020/11/CHIME

⁸ <https://sbg.jpl.nasa.gov/>

753 similarity is correlated with a genetic relatedness measure (Nei's genetic distance) for
754 69 large dominant beech canopies in (A), with correlation strength related to
755 environmental factors. Lower panel: Estimated relative uncertainties of correlations.
756 White lines: mean canopy reflectance measured for focal trees (0-60% of incident
757 sunlight). Environmental factors: temperature on day of acquisition [°C] (TMP), Vapor
758 Pressure Deficit on day of acquisition [%] (VPD), Aggregated Temperature over 11
759 consecutive days [°C] (11TMP), Aggregated Vapor Pressure Deficit over 11 consecutive
760 days [%] (11VPD), Cumulative Growing Degree Days [°C] (CGDD), Cumulative Growing
761 Vapor Pressure Deficit Days [%] (CGVPDD), Day of Last Spring Frost (DLSF), or Last
762 Year Climatic Water Balance (LYCWB). Pearson correlations are shown from -0.6 (dark
763 blue) to 0.6 (dark red). Reproduced from (Czyż et al., 2023), [CC BY](#).

764

765 In summary, for dominant *F. sylvatica* trees, EO from current multispectral missions can
766 be used to map the variation of specific traits across canopies (**Fig. 5**) and, given
767 sufficient spatial resolution, to distinguish (stands of) *F. sylvatica* trees from surrounding
768 forest species. Data with higher spectral resolution from forthcoming imaging
769 spectrometer sensors may support the assessment of genetic variation by providing
770 information about forest canopy traits and spectral signatures using time series
771 observations (**Fig. 6**). Combined with a large and growing database of single-time-point
772 genetic data for beech across its range, it may be feasible to develop models to predict
773 EBVs for genetic composition directly from EO data for *F. sylvatica*, and likely for other
774 dominant forest tree species, such as oaks and 'Ōhi'a (Cavender-Bares et al., 2020;
775 Czyż et al., 2023; Seeley, Stacy, et al., 2023; Seeley, Vaughn, et al., 2023).

776 Conclusion

777 The incorporation of EO into assessments of genetic diversity represents a fundamental
778 change in our ability to monitor, assess, and protect biodiversity at the national,
779 regional, and global scales, especially in areas with limited resources or accessibility.
780 Our proposed workflow (**Figs. 1-2, Box 3**) could be developed from public EO and
781 geolocation data as well as optional user-input data on platforms such as GEO BON's
782 "BON-in-a-Box" (Griffith et al., 2024) to make it widely available and facilitate its use for
783 biodiversity monitoring. To better understand and describe this proposed approach, we
784 discussed three examples that each raise key considerations for the application of EO
785 to monitor habitat change and study genetic diversity (**Tables 1-3, Box 2**). We consider
786 the immediate goals of assessing genetic diversity indicators for biodiversity monitoring
787 and providing early warning signs to support the protection of genetic diversity (**Figs.**
788 **3-4, Box 1**), as well as an outlook on approaches that may enable the assessment of
789 further essential biodiversity variables (EBVs) for genetic diversity from Space (**Figs.**
790 **5-6, Box 4**). We acknowledge many current limitations that are illustrated and discussed
791 in the presented examples and summarized in **Tables 2 and 3**.

792

793 **Table 3.** A reflection on the applications of EO to monitor and study genetic diversity
 794 based on the examples discussed in this article.

Case	Aims	EO contributions	Challenges	Information for action
Emperor penguins in the Antarctic	Infer PM and N_e	Inference from evidence of colony occurrence (guano) and patterns of ice cover Provides data for one of the least accessible locations on Earth for <i>in situ</i> assessment	<ul style="list-style-type: none"> Colonies are not themselves genetically distinct populations, but can be assigned to populations Estimation of colony size from Space-based images of guano deposits instead of penguin counts 	<ol style="list-style-type: none"> Temporal coverage → know when shelves break off (timing of major habitat change) Spatial and temporal coverage → assessment of colony relocation versus loss
Crop wild relatives in Mexico	Infer PM Establish a warning trend	Inference based on habitat maintenance or change Provides data for locations that are too dangerous to visit <i>in situ</i> due to social conflicts or remoteness	<ul style="list-style-type: none"> Habitat may persist although populations are lost How does habitat change relate to changes in N_e? Density estimate challenging for very low N_e 	<ol style="list-style-type: none"> Rate, extent, and timing of habitat change → timely intervention (alert) Confluence of degree of habitat change with total habitat available for different ecotypes → prioritization
European beech forests	Infer PM and N_e Infer genetic composition EBVs	Inference based on forest coverage and biochemical and structural differences mapped across tree canopies	<ul style="list-style-type: none"> Weak geographic separation of genotypes Only dominant trees are visible from above and accessible Low accuracy for distinguishing multiple species (high accuracy for binary categories) Statistical accounting for environmental effects 	<ol style="list-style-type: none"> Combine information on stand-level vitality with genetic and trait variation across the species range → prioritize interventions Information to support decisions about assisted migration or assisted gene flow interventions (see Glossary)

795

796 As EO data become increasingly available and accessible for non-experts, especially
 797 for use in genetic diversity monitoring and assessment, their use and interpretation still
 798 require some technical expertise (**Box 2**). This need for greater technical expertise
 799 becomes even more acute with the anticipated advances in EO such as the upcoming
 800 imaging spectroscopy Space missions this decade (see **Glossary**; e.g., CHIME, and

801 SBG). In combination with the needs of practitioners and the impetus provided by
802 biodiversity monitoring mandates, this means that useful access requires the
803 development of portals equipped with tools and interfaces that make key information
804 provided by EO more widely and easily accessible. This implies co-development,
805 incorporating the needs, workflows, and on-the-ground context of practitioners to ensure
806 that the tools and resulting information are fit for purpose, thus building capacity for
807 non-traditional users of EO (Jacobi et al., 2022; Speaker et al., 2022; Tabor & Holland,
808 2021). Such an approach provides motivation and opportunity for EO developers to
809 understand the needs of practitioners and explore new methods and techniques for
810 evaluating and validating the efficacy of EO products for genetic diversity monitoring.
811 Thus, such toolboxes for genetic diversity monitoring and assessment will not only help
812 democratize access to EO data, but also increasingly enable the archiving and
813 distribution of detailed and well-documented information resulting from a combination of
814 EO with other types of data for new and innovative applications.

815 Glossary

816 Population genetics and related terms

- 817 ● **Assisted Migration** refers to the human-assisted relocation of individuals within
818 a species to different areas within the species range or new frontiers of a shifting
819 range.
- 820 ● **Assisted Gene Flow** refers to the introduction of individuals with novel genetic
821 backgrounds (*e.g.*, different provenances or subspecies) into existing populations
822 by humans to increase genetic diversity or otherwise alter population genetic
823 properties.
- 824 ● **Dispersal distance** is the distance that individuals of a species or their
825 germinative cells, like seeds, are able to move from an existing population.
- 826 ● **Genetic diversity** (or genetic variation) comprises within-species differences in
827 DNA sequences, as well as variation in the distribution of these differences within
828 and among populations.
- 829 ● **Genetic drift** refers to changes in allele frequencies within populations due to
830 stochastic processes, specifically because some individuals reproduce more than
831 others and some do not reproduce at all, leading to changes in genetic
832 composition in the next generation. In small populations, the process of genetic
833 drift can decrease genetic diversity rapidly.
- 834 ● **Genetics** is the study of heritable variation.
- 835 ● **Genomics** (related to high-throughput sequencing or next-generation /
836 third-generation sequencing) refers to the study of DNA sequences and
837 associated molecular features across large parts of genomes, using, for

- 838 example, thousands to millions of single-nucleotide polymorphisms (SNPs) per
839 genome.
- 840 ● **Habitat** is the geographical, environmental, and biotic space that a species can
841 inhabit.
 - 842 ● **N_c** (census size) is the number of reproductively mature individuals in a
843 population.
 - 844 ● **N_e** (effective population size) is the size of an idealized population that has the
845 same rate of genetic drift as an actual, “real-life” population. Several
846 demographic factors affect the size of N_e, including number of reproducing
847 individuals and the sex ratio among them, variation in offspring number,
848 non-random mating, and overlapping generations. N_e is typically much lower than
849 N_c, with the ratio of N_e:N_c around 0.1.
 - 850 ● **N_e>500 Headline Indicator** is the proportion of populations of a species that are
851 assessed as having a genetic effective population size (N_e)>500. The value of
852 this indicator ranges from zero (none) to one (all).
 - 853 ● **Nuclear microsatellites** are rapidly mutating, short tandem repeat sequences in
854 the nuclear genome, often used to measure relatedness within populations.
855 These are also called short sequential repeats (SSRs) or short tandem repeats
856 (STRs). Microsatellites are also found in organellar genomes (*i.e.*, genomes of
857 mitochondria and plastids), and so the modifier “nuclear” is used to indicate the
858 genome in the cell nucleus.
 - 859 ● **PM Complementary Indicator** measures the proportion of biogeographically
860 distinct populations of a species that are maintained in comparison to a baseline
861 value, and ranges from zero (none) to one (all).
 - 862 ● **Population**, in genetics, is a group of spatially aggregated, interbreeding
863 individuals, genetically distinct from other similar groups. Populations occupy a
864 geographical space, *i.e.*, a subsection of the species distribution range.
 - 865 ● **Population genetics** is a field of research focused on the theoretical and
866 molecular study of genetic diversity within and among populations over space
867 and time.
 - 868 ● **Species range** is the geographical area that encompasses all the remaining
869 extant (*i.e.*, non-extinct) populations of a species.
 - 870 ● **SNPs** (Single Nucleotide Polymorphisms) are single base pair differences in a
871 DNA sequence. SNPs are often used to study genetic diversity within and among
872 populations.
 - 873 ● **Traits** are observable, heritable differences among organisms. In other words,
874 these are differences that result from the interaction of genetic and environmental
875 factors and that can be observed.

876 Earth Observation and related terms

- 877 ● **Earth Observation EO** is the gathering of information about the physical,
878 chemical, and biological processes of the Earth. In Europe, EO is often used with
879 focus on satellite-based observations, however, EO often also includes airborne
880 or in situ observations.
- 881 ● **Remote Sensing RS** is used in the US context often connected with satellite
882 observation, however, can be used for any measuring techniques without
883 intervention with the object (*i.e.*, remote = without touching)
- 884 ● **Atmospheric correction** of an image is the reduction of scattering and
885 absorption effects from the atmosphere - making an image look hazy - to obtain
886 the surface properties of an observed area.
- 887 ● **Change detection** refers to analysis of a sequence of EO data to observe and
888 detect change for an observed area over time.
- 889 ● **Hyperspectral** is a term often used to describe sensors covering continuously a
890 wide range of the electromagnetic spectrum from visible to mid-infrared
891 (400-2500 nm) in discrete, narrow wavelength bands (*e.g.*, 10 nm or less), which
892 is significantly finer than current multispectral sensors onboard the Sentinel-2
893 satellites and other Earth observation satellites. The use of such sensors to
894 generate pixel-based images is also called **imaging spectroscopy**.
- 895 ● **Imaging spectroscopy** is used to mean the imaging of light reflected from the
896 Earth surface with continuous, narrow, high-resolution spectral bands.
- 897 ● **LiDAR** is an active sensor that uses light pulses to probe the vertical structure of
898 a target (*e.g.*, trees in forests and other features of and on the Earth's surface),
899 either from an aircraft or satellite.
- 900 ● **LULC** refers to land use (*i.e.*, how land is being used and for what purpose) and
901 land cover (*i.e.*, what type of ecosystem covers the land surface), which is a
902 product derived from various EO instruments. A common variation is LULCC,
903 which refers to land use and land cover change.
- 904 ● **Multispectral** sensors use a defined number of bands (more than two) to sample
905 parts of the electromagnetic spectrum and may comprise differently sized
906 portions of the spectrum. Each band represents a contiguous part of the
907 spectrum, but the bands may not be adjacent along the spectrum.
- 908 ● **Spatial resolution** of an image is defined as the area on the ground represented
909 in one pixel (ground sampling distance, GSD). Sentinel-2 imagery, for instance,
910 provides four bands available at 10 m, six bands at 20 m, and three bands at 60
911 m spatial resolution.
- 912 ● **Spatial extent** defines the area that is imaged by the satellite during one
913 overflight and depends on the field of view of the satellite (*i.e.*, swath width).
914 Often, this corresponds to the size of a delivered image; however, data platforms
915 might provide images from multiple acquisitions that are stitched together.

- 916 • **Spectral bands** describe ranges of wavelengths within the electromagnetic
917 spectrum in which reflected light is measured for imaging and analysis of an
918 observed area in remote sensing. The position of these bands in the spectrum
919 and the width of their range are defined by the spectral resolution.
- 920 • **Spectral resolution** is defined as the spectral bandwidth and the number of
921 individual bands used to aggregate the reflected light from the observed area.
- 922 • **Temporal resolution** is defined by the revisit time of a satellite/sensor to observe
923 the same area on Earth's surface. Depending on the satellite configuration,
924 revisit time varies from hours to several days. The temporal resolution
925 determines the potential for monitoring, as it enables the temporal analysis of
926 changes.
- 927 • **Multitemporal data** describes a dataset consisting of at least two images
928 acquired at two different times. Multitemporal data is typically used for change
929 detection and analysis.
- 930 • **Time series** are multi-temporal datasets, acquired in a sequence of observations
931 obtained over a certain period of time. This can be several images within a short
932 time frame to observe fast processes (e.g., volcanic eruption) or within a long
933 time frame (e.g., one image per year to observe glacier retreat). In addition to
934 change detection, time series are used to study the type, speed, and duration of
935 observed changes.

936 Data and Code Availability

937 Code for this study are provided with the input data necessary to analyze the examples:
938 <https://gitlab.issibern.ch/meredithchristine.schuman/eo4geneticdiversity-examples>

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