

# 1 Leveraging Earth Observation to monitor genetic 2 diversity from Space

3 **Running title:** EO to monitor genetic diversity

4

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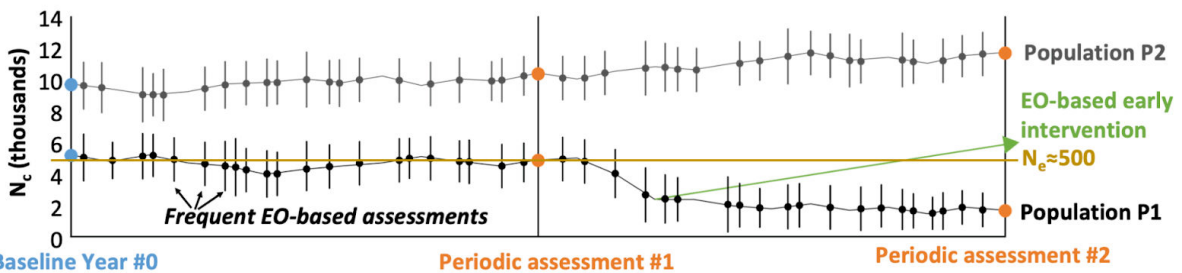
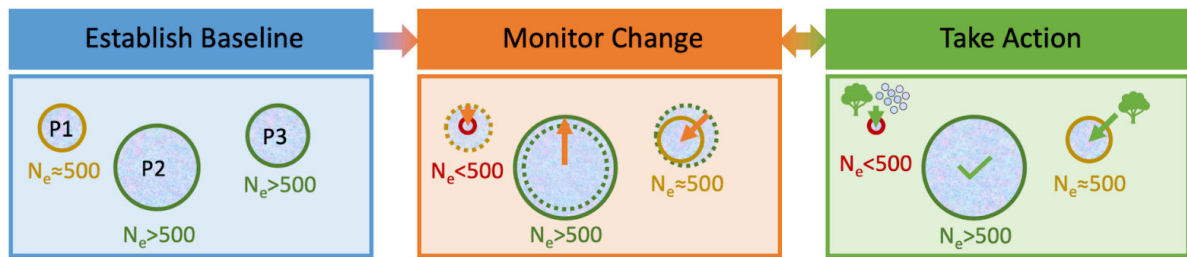
## 51 Abstract

52 Genetic diversity within and among populations is essential for species persistence.  
53 Despite the definition of key targets and pragmatic indicators in the Kunming-Montreal  
54 Global Biodiversity Framework (GBF), assessing genetic diversity across many species  
55 at national and regional scales remains challenging. Conservationists, ecosystem  
56 managers, and Parties to the Convention on Biological Diversity (CBD) still require  
57 accessible tools for reliable and efficient monitoring of genetic diversity, at multiple  
58 scales relevant for policy and decision-making. Building on examples, we describe how  
59 current Earth Observation (EO) missions make essential contributions to enable,  
60 accelerate, and improve genetic diversity monitoring. To illustrate this, we introduce a  
61 stepwise workflow for integrating EO into existing genetic diversity monitoring  
62 strategies. Specifically, we describe how available EO data can be made accessible in  
63 innovative ways to support calculation of the genetic diversity indicators for the GBF  
64 monitoring framework, and to inform management and monitoring decisions, especially  
65 for cases in which genetic sequence data are limited or absent. We then provide an  
66 outlook for integrating the forthcoming generation of EO data: Upcoming capabilities will  
67 provide unprecedented detail to characterize the changes to Earth's surface and their  
68 implications for biodiversity, and will support more direct assessments of genetic  
69 diversity from Space.

## 70 Keywords

71 essential biodiversity variables (EBVs) — remote sensing (RS) — Kunming-Montreal  
72 Global Biodiversity Framework (GBF) — Convention on Biological Diversity (CBD) —  
73 genetic diversity indicators — effective population size ( $N_e$ ) — populations maintained  
74 (PM)

75 Graphical abstract



76 Baseline Year #0

Periodic assessment #1

Periodic assessment #2

77 Publicly available Earth Observation (EO) data improve the establishment of baselines,  
 78 effective regular monitoring, and targeted re-assessment and intervention to conserve  
 79 the genetic diversity of natural populations. Examples are shown for three imaginary  
 80 populations of the same species, P1, P2, and P3. P1 drifts below the threshold value for  
 81 the genetically effective population size ( $N_e$ ), as defined within the  $N_e > 500$  Global  
 82 Biodiversity Framework's Headline Indicator for genetic diversity monitoring. P2 is  
 83 maintained above this threshold ( $N_e \sim 1000$ ) while P3 drops close to the threshold. By  
 84 the time of the second periodic assessment, the  $N_e > 500$  indicator value for this  
 85 example would be  $\frac{2}{3}$  and without intervention, is likely to drop to  $\frac{1}{3}$ . Frequent EO-based  
 86 assessments could support timely intervention.  $N_c$  is the census number of  
 87 reproductively mature adults in a population and can be used to estimate  $N_e$  either with  
 88 prior knowledge of typical  $N_e : N_c$  ratios for a species, or the default assumption, based  
 89 on decades of population genetics studies, that  $N_e : N_c \sim 0.1$  (Frankham, 1995, 2021;  
 90 Hoban, da Silva, et al., 2024; Laikre et al., 2020, 2021; Mastretta-Yanes, da Silva, et al.,  
 91 2024).

92

## 93 Introduction

### 94 Genetic diversity is an essential aspect of biodiversity protection

95 Genetic diversity is a foundational level of biodiversity below the species level, within  
96 and between populations (Allendorf, 2017): Genetically distinct groups of spatially  
97 aggregated, interbreeding individuals of a species (Waples & Gaggiotti, 2006). Genetic  
98 diversity underlies adaptive potential, which is material to the fitness of individuals and  
99 allows species to persist in the face of change (*i.e.*, resilience and resistance). Loss of  
100 genetic diversity leads to maladaptation, population decline, inbreeding and, eventually,  
101 extinction. Therefore, genetic diversity needs to be monitored as part of biodiversity  
102 assessments, conservation and restoration actions, and safeguarding nature's  
103 contributions to people – also called ecosystem services (Hoban, Bruford, et al., 2021;  
104 Hoban et al., 2020). Studies of multi-species genetic diversity trends have only recently  
105 become possible and indicate a net loss over time as a result of human activities  
106 (Exposito-Alonso et al., 2022; Leigh et al., 2019; Millette et al., 2020; Shaw et al., 2025).  
107 Revealing the specific, ongoing, local and global drivers of this trend, and doing so in a  
108 timely and constructive manner that supports mitigation, remains a grand and unmet  
109 challenge.

110

111 Yet efforts to monitor and conserve genetic diversity as a fundamental component of  
112 biodiversity build on a substantial body of policy. International treaties and national  
113 programs for the protection of biodiversity have required assessments of the state of  
114 nature since the 1970s, such as the 1971 Ramsar Convention on Wetlands, the US  
115 1973 Endangered Species Act, the 1992 Convention on Biological Diversity (CBD); the  
116 2010 Aichi Biodiversity Targets (Conference of the Parties to the CBD, 2010), and the  
117 2015 Sustainable Development Goals<sup>1</sup>. The 2022 Kunming-Montreal Global Biodiversity  
118 Framework (GBF) is distinct from these previous efforts in that it incorporates specific  
119 indicators for genetic diversity including all species (wild and domestic). These  
120 indicators are aimed at measuring progress towards the GBF goal and target for genetic  
121 diversity (Conference of the Parties to the CBD, 2022a), and include a Headline  
122 Indicator for genetic diversity.

123

124 Measuring genetic diversity usually involves analyzing sequences of DNA extracted out  
125 of tissues sampled from individuals of a species (Hoban et al., 2022; Junker et al.,  
126 2023). Despite technological advances, this approach remains laborious and expensive  
127 – in the range of 10-1000 USD / sample depending on technique, genome size, and  
128 coverage, *not* including the cost to obtain the tissue samples in the first place or  
129 personnel time to analyze and interpret data, e.g. (Lou et al., 2021) – and thus difficult

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<sup>1</sup> <https://sdgs.un.org/>



130 to repeat across many species at national and global scales. To overcome this  
131 challenge, indicators for genetic diversity that can be assessed with or without  
132 DNA-based data (Hoban et al., 2020; Laikre et al., 2020; Mastretta-Yanes, da Silva, et  
133 al., 2024; Mastretta-Yanes, Suárez, et al., 2024; Thurfjell et al., 2022) have been  
134 developed for country- and global-scale genetic diversity assessments and monitoring  
135 (Box 1).

136

#### Box 1: CBD genetic diversity indicators

*The  $N_e > 500$  indicator.* This is Headline Indicator A.4 in the GBF monitoring framework, meaning reporting is required. The  $N_e > 500$  Headline Indicator is defined as the proportion of populations of a species that are assessed as having a genetic effective population size ( $N_e$ )  $> 500$  and ranges from zero (none) to one (all).  $N_e$  is a key parameter in population genetics that is used to quantify the rate at which genetic variation is expected to be lost (Crow & Kimura 2009). A widely accepted rule-of-thumb is that populations require an  $N_e > 500$  to avoid genetic erosion (Jamieson & Allendorf 2012). Effective population size can be assessed using detailed genetic and/or demographic data. However, population census size  $N_c$ , the number of reproductively mature individuals in a population, can be used to obtain a proxy for  $N_e$ . Scientific studies that have assessed both  $N_e$  and  $N_c$  have shown that the  $N_e : N_c$  ratio is typically around 0.1 (Frankham 1995, 2021). That is, to obtain an  $N_e > 500$ , a census size of  $N_c > 5000$  mature individuals would be needed. Therefore,  $N_c$  can be used to estimate  $N_e$  in the absence of other  $N_e$  assessments using a phyla-specific  $N_e : N_c$  ratio or the general ratio of 0.1 (Laikre et al. 2020, Hoban et al. 2020, 2023, 2024, Mastretta-Yanes, da Silva et al. 2024).

*The populations maintained (PM) indicator.* This is a Complementary Indicator to  $N_e > 500$  in the GBF monitoring framework, meaning reporting is optional. However, calculating the PM indicator can be done as part of calculating the  $N_e > 500$  Headline Indicator. The PM indicator measures the proportion of biogeographically distinct populations of a species that are maintained in comparison to a baseline value, and ranges from zero (none) to one (all). PM is an indicator of genetic diversity because species populations can become differentiated and even locally adapted to environmental conditions as a result of genetic processes (selection, drift, migration, and mutation; Meek et al. 2023). If a population is lost, the genetic diversity within this population is also lost, and this can include unique genotypes that could be detected with DNA-based methods (Andersson et al. 2022). It is therefore important to track the number of species populations maintained over time, and to prioritize the maintenance of distinct populations in order to preserve genetic diversity throughout a species' range (Hoban et al. 2020, 2023, 2024).

137

138

139 The Headline Indicator A.4, which Parties to the CBD are required to report on, focuses  
140 on genetic diversity within populations. It is defined as the proportion of populations  
141 within a species having an effective population size ( $N_e$ )  $> 500$  (hereafter, the " $N_e > 500$   
142 indicator"). An  $N_e > 500$  is an approximate, conservative, yet efficient, threshold to avoid  
143 the loss of genetic variation and adaptive potential over time (Crow & Kimura, 2009;  
144 Frankham, 1995, 2022; Franklin, 1980; Hoban, da Silva, et al., 2024; Hoban et al.,  
145 2020, 2023; Jamieson & Allendorf, 2012; Laikre et al., 2020) – although some studies  
146 indicate that an even larger  $N_e$  of 1000 is required to retain adaptive potential  
147 (Frankham et al., 2014). Importantly,  $N_e$  can be estimated based on DNA data, or it can  
148 be approximated as 10% of the number of reproductively mature individuals (census

149 size,  $N_c$ ), or another species-specific  $N_e:N_c$  ratio (Frankham, 2021; Frankham et al.,  
150 2017; Hoban, Paz-Vinas, et al., 2021). The second, Complementary Indicator – which is  
151 not required for reporting, but supports calculation of the Headline Indicator – focuses  
152 on conserving genetic diversity between populations and is estimated as the proportion  
153 of populations within species that are maintained over time in comparison to a baseline  
154 value, hereafter the “PM indicator” (Hoban, da Silva, et al., 2024; Hoban et al., 2020,  
155 2023; Laikre et al., 2020; Mastretta-Yanes et al., 2023). The aim of the PM indicator is  
156 to avoid the loss of unique genetic diversity found in separate populations (Andersson et  
157 al., 2022; Meek et al., 2023). While DNA-based studies remain vital for quantifying  
158 genetic diversity and understanding how to conserve it, these indicators offer globally  
159 more affordable and accessible metrics to facilitate immediate monitoring (Hoban,  
160 Paz-Vinas, et al., 2024; Hunter et al., 2024). Yet, substantial information is still required  
161 to calculate these indicators, such as counts of numbers of individuals and evidence of  
162 population survival or loss, based on *in situ* surveys.

163

164 These two indicators were adopted by the United Nations Parties to the CBD at the  
165 fifteenth Conference of the Parties (COP15) in 2022, in the monitoring framework of the  
166 GBF (GBF, CBD/COP/DEC/15/5,2022b). Concretely, this means that signing Parties  
167 must monitor genetic diversity to prevent its loss and provide reports in 2026 and 2029  
168 Thus it is urgent to implement existing genetic monitoring approaches for indicator  
169 assessments (Andersson et al., 2022; Hoban et al., 2023; Mastretta-Yanes, da Silva, et  
170 al., 2024; Mastretta-Yanes, Suárez, et al., 2024; Thurfjell et al., 2022) and to further  
171 develop scalable, globally accessible, and affordable methods for genetic diversity  
172 indicator calculation.

173

174 To facilitate reporting of the genetic diversity indicators, researchers and practitioners  
175 recently assessed these indicators in nine countries utilizing existing DNA studies,  
176 census population sizes, expert and local consultation, and georeferenced occurrence  
177 data (Mastretta-Yanes, da Silva, et al., 2024). Critical challenges identified in this  
178 assessment were the lack of any – even rough census size – data for particular  
179 taxonomic groups located in inaccessible regions (e.g., areas that are politically or  
180 geographically challenging to access) and a lack of historical data. Overall, the  
181 assessment highlighted the need for capacity-building and the development of  
182 ready-to-use tools to expedite and scale up monitoring (Hoban, da Silva, et al., 2024).

### 183 Contributions of Earth Observation satellites to biodiversity assessment

184 Earth Observation (EO) satellites and aircraft have become indispensable for  
185 understanding and monitoring global change. They are used for environmental  
186 assessments, disaster risk management, land and sea use, atmospheric and climate  
187 change, and to study changes in biodiversity (Mairota et al., 2015). While other

188 technologies based on airborne and field-mobile platforms exist, here we focus on  
189 Space-based EO satellites such as the Copernicus Sentinel satellites and the NASA  
190 Earth Observing System (EOS) (**Table 1**), which make (global) data publicly available,  
191 in near real-time and free of charge (Malenovský et al., 2012).

192

193 EO data have unique attributes such as covering large geographic areas, providing  
194 non-intrusive global coverage, and providing uniform data sets over multiple decades  
195 (e.g., Landsat data since the 1970s<sup>2</sup>). These data are used to obtain information for  
196 environmental analyses and biodiversity assessment, often at the ecosystem level.  
197 Examples are land use and land cover (LULC) change; vegetation biochemical  
198 properties and conditions (e.g. Normalized difference vegetation index, NDVI) as well as  
199 structural information such as green leaf area index (LAI) and vegetation height (traits);  
200 land surface phenology; and photosynthetically active radiation (PAR) important for  
201 vegetation health and productivity (Verrelst et al., 2015). This information is then often  
202 used in models to infer species composition, traits, and other properties of ecosystems  
203 at the landscape scale (Mayor et al., 2024, 2025; Pasetto et al., 2018).

204

205 Uniquely and importantly, EO typically provide repeat measurements of the same area  
206 on a time scale of days to weeks, globally. For example, the Copernicus Sentinel-2  
207 satellite monitors the entire globe in five days, with more frequent observations for some  
208 locations on Earth depending on the geographical latitude, but less frequent usable  
209 observations depending on cloud cover<sup>3,4</sup> (**Box 2**). The Sentinel family of satellites have  
210 observed the Earth's surface with different instruments continually starting in 2014,  
211 detecting reflected radiation in the visible, infrared, microwave regions of the spectrum,  
212 at up to 10 m spatial resolution, depending on the sensor and satellite (Malenovský et  
213 al., 2012). Sentinel-2 provides multispectral images that can be used to assess, for  
214 example, vegetation structural properties such as LAI (Sebastiani et al., 2023) or  
215 vegetation conditions such as water content (Helfenstein et al., 2022; Sims & Gamon,  
216 2003; Sturm et al., 2022). The European Copernicus Sentinel satellites and  
217 observations are complemented by long-term records obtained by the NASA Landsat  
218 and Earth observing satellites since the 1970's. All ESA and NASA data are available  
219 openly and freely to all users, and are ideal for biodiversity assessment and monitoring  
220 from local to global scales, and annual to multi-decadal time frames (see available tools  
221 in **Table 1**).

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<sup>2</sup> <https://landsat.gsfc.nasa.gov/>

<sup>3</sup> <https://sentiwiki.copernicus.eu/web/s2-applications>

<sup>4</sup> [https://esamultimedia.esa.int/docs/S2-Data\\_Sheet.pdf](https://esamultimedia.esa.int/docs/S2-Data_Sheet.pdf)

222 **Table 1.** EO platforms that lower or eliminate technical and financial barriers to  
 223 application for genetic diversity monitoring and other uses by EO non-experts. For more  
 224 technical details, see a recent comprehensive overview (Ustin & Middleton, 2021).

	EO Tool	Access	Brief description
Data browser / access to satellite data	Copernicus browser	<a href="https://dataspace.copernicus.eu/browser/">https://dataspace.copernicus.eu/browser/</a>	Easy visualization browser for Copernicus Sentinel data, download portal for archive of Sentinel data and products
	Earth Data	<a href="https://search.earthdata.nasa.gov/search">https://search.earthdata.nasa.gov/search</a>	Discover and download NASA EO data; many different sensors available
	Earth Explorer	<a href="https://earthexplorer.usgs.gov/">https://earthexplorer.usgs.gov/</a>	Discover and download NASA (and Copernicus Sentinel) EO data; many different sensors available
	Sentinel Hub EO browser	<a href="https://www.sentinel-hub.com/">https://www.sentinel-hub.com/</a>	Browser for satellite data including options to display thematic topics and indices
	ESA third-party missions	<a href="https://earth.esa.int/eogateway/missions/third-party-missions">https://earth.esa.int/eogateway/missions/third-party-missions</a>	Browser for satellite data from commercial and other third-party sources shared with the public via ESA
	Google Earth Pro	<a href="https://www.google.com/intl/en/earth/about/versions/#earth-pro">https://www.google.com/intl/en/earth/about/versions/#earth-pro</a>	Easy-to-use Earth software including (historical) high-resolution commercial images made freely available for visual inspection (irregularly)
	Google Earth Engine	<a href="https://earthengine.google.com/">https://earthengine.google.com/</a>	Satellite EO data repository, cloud computing platform and API; free for academics & research
	Microsoft Planetary Computer	<a href="https://planetarycomputer.microsoft.com/">https://planetarycomputer.microsoft.com/</a>	Global environmental data catalogue, cloud computing platform, and API
Process(ed) satellite data	Global Forest Watch	<a href="https://www.globalforestwatch.org/">https://www.globalforestwatch.org/</a>	Browse metrics of forest and biodiversity change from national and sub-national to global scales
	Global Mangrove Watch	<a href="https://www.globalmangrovetools.org/">https://www.globalmangrovetools.org/</a>	Remote sensing data and tools with near-real-time information for monitoring mangroves at global scale
	Sentinel Hub custom scripts	<a href="https://custom-scripts.sentinel-hub.com/">https://custom-scripts.sentinel-hub.com/</a>	Scripts to calculate products from Sentinel data
Information repositories	Earth Observing Dashboard	<a href="https://eodashboard.org/explore">https://eodashboard.org/explore</a>	Tri-agency dashboard by NASA, ESA and JAXA for browsing EO data, with interactive features and simple analytics by drawing an area of interest
	Earth Online	<a href="https://earth.esa.int/eogateway/catalog">https://earth.esa.int/eogateway/catalog</a>	Catalog of data from ESA's EO missions
	Landsat Science	<a href="https://landsat.gsfc.nasa.gov/data/data-access/">https://landsat.gsfc.nasa.gov/data/data-access/</a>	Overview of access to NASA data products from Landsat and many other platforms
	SentiWiki	<a href="https://sentinels.copernicus.eu/web/sentinel/missions">https://sentinels.copernicus.eu/web/sentinel/missions</a>	Overview of the Copernicus Sentinel missions



225

226 For example, data from Sentinel-2 can be browsed via the Copernicus Browser. This  
227 cloud-based platform is easy to navigate for reviewing and visualizing the results from  
228 various combinations of different spectral bands (see **Glossary**) and satellites without  
229 the time-consuming, inefficient, and sometimes infeasible process of downloading a  
230 very large amount of data to a local computer for analysis. Alternatives include Google  
231 Earth Engine’s web interface or Python API and Microsoft’s Planetary Computer. This  
232 facilitates much-needed access to the resulting information, especially for areas with  
233 limited observations or that are difficult to access.

234

**Box 2: Key concepts and considerations when using EO data**

*Key references are given in the main text referring to Box 2.*

1. The smallest area observed by EO sensors – a pixel – always comprises a mixture of elements (different species, underlying ground cover, etc.) and there are techniques for “unmixing pixels”. Uncertainties will be greater at transitions between different types of Earth surfaces (e.g., at the edges of ice floes or forests) due to pixel mixing.
2. Uncertainties are generally greater at the edges than at the centers of images – although well-established georectification algorithms are used to account for edge, terrain, and other possible distortions when mapping pixels to the Earth’s surface.
3. Water strongly absorbs many wavelengths of electromagnetic radiation (signals measured by EO), and EO capabilities for aquatic species are best developed for species living at or near the water’s surface.
4. Data are continuously available but not continuously usable: cloud cover can obstruct optical images, posing challenges especially for tropical regions. Active sensors like synthetic aperture radar (SAR), e.g. on Sentinel-1, provide information even in the presence of cloud cover. There are well-established procedures to correct for atmospheric effects of aerosols, water vapor, etc. For public data, these corrections are normally documented and attached to each dataset.
5. Generally, public data providers (e.g., space agencies like ESA and NASA) publish their algorithms so that the path from the acquisition of a signal to geophysical and biophysical products is transparent and traceable.
6. Public data products improve over time with improving knowledge and technology, and thus have a defined lifetime that is documented by different versions of products. Commercial EO data, which usually have the advantage of higher spatial resolution and can be “tasked” to acquire frequent observations for a given target area, may not have such detailed traceability and continuity as public EO data.
7. *In situ* calibration data is crucial for calibrating satellite data and essential for uncertainty and quality assessment and interpreting the signal in terms of Earth surface (target) properties. It is also important for training of classification algorithms using artificial intelligence (AI).
8. Assessment of uncertainty is more challenging for datasets leveraging AI or interpolation to improve spatial resolution or image aesthetics.

235

236

237 In a few cases, EO data has already been used to obtain information about species at  
238 the same (population) level at which genetic diversity is measured. Among the most

239 outstanding of these applications is the identification and monitoring of emperor penguin  
240 (*Aptenodytes forsteri*) colonies in Antarctica. These penguins are upper-level predators  
241 and are considered a biomonitor of ecosystem change in the Southern Ocean  
242 (Barber-Meyer et al., 2007; Bargagli, 2005; Fretwell et al., 2012, 2023; Fretwell &  
243 Trathan, 2009, 2021; Kato et al., 2004; Kooyman & Mullins, 1990)2, 2023; Fretwell &  
244 Trathan, 2009, 2021) (Bargagli, 2005; Kato et al., 2004; Kooyman & Mullins, 1990).  
245 Given that their reproductive cycle is intimately linked to the integrity of the sea-ice  
246 coastline, they are sensitive to dynamic processes in the wider Antarctic ecosystem.  
247 Under current warming trends, over 80% of colonies are predicted to be almost extinct  
248 by the end of the century (Fretwell & Trathan, 2021). These colonies can be assigned to  
249 one of at least four metapopulations based on genetic data and corresponding to  
250 geographic regions (Younger et al., 2017). One of the major limitations on studying  
251 these populations is accessibility, given the remote and extreme conditions in which  
252 they live (e.g. -60 °C). Recently, researchers have applied machine learning approaches  
253 to publicly available Sentinel-2 satellite imagery to achieve a global census of this  
254 keystone species – approximately 600,000 individuals across 66 colonies (Fretwell et  
255 al., 2023). EO have thus become useful for monitoring penguin colonies and their  
256 habitat, taking advantage of the sharp contrast between penguins, their dark guano, and  
257 the background ice. Collectively, the emperor penguin studies indicate how EO provides  
258 cost-effective data to monitor species in an inaccessible location, giving access to  
259 fundamental information like changes in estimated population size and dramatic habitat  
260 modifications. The identification and monitoring of emperor penguin colonies in  
261 Antarctica by EO suggests that it is feasible to use EO to estimate the  $N_e > 500$  and PM  
262 indicators.

263

264 Despite the demonstrations of its potential (Barber-Meyer et al., 2007; Fernández, 2013;  
265 Fretwell & Trathan, 2009; Schuman et al., 2023), EO data still have not been used for  
266 genetic diversity monitoring and assessment (Skidmore et al., 2021; Timmermans &  
267 Kissling, 2023) – although some initiatives connect landscape features to the  
268 conservation of populations (Cousins et al., 2022). Here, we describe how the current  
269 and forthcoming advances in EO capabilities, such as improved spatial and spectral  
270 resolution, can be used together with novel CBD genetic diversity indicators (**Box 1**) to  
271 facilitate the monitoring, assessment and conservation of genetic diversity in support of  
272 the GBF.

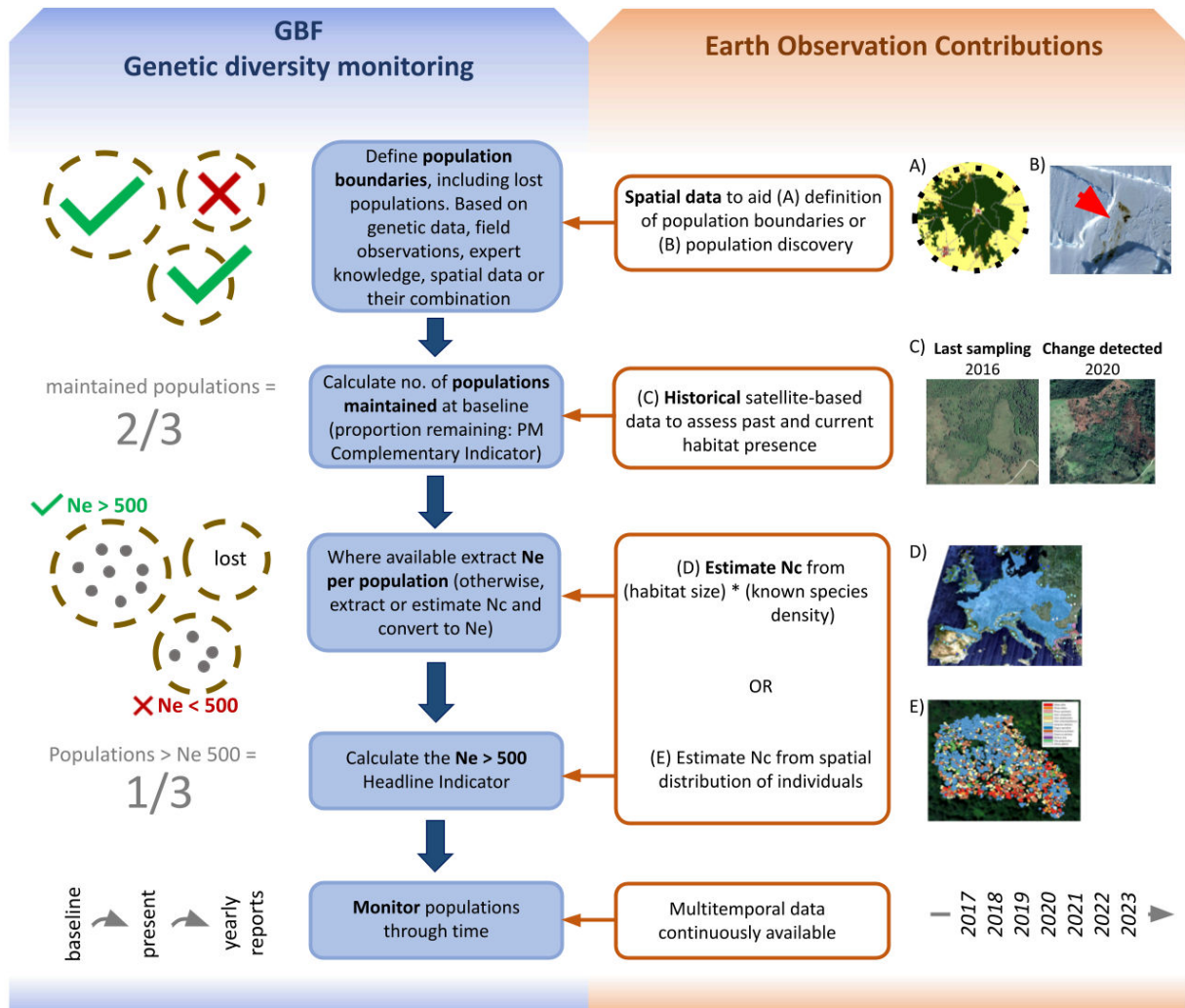
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274 We demonstrate how currently available and accessible EO data can support  
275 assessment of the genetic diversity indicators for the monitoring framework of the  
276 Kunming-Montreal GBF. We propose an overarching workflow with descriptive steps to  
277 enable and accelerate genetic diversity monitoring using EO, and demonstrate the  
278 advantages of integrating EO in a set of examples with high priority for biodiversity



279 assessment, monitoring and conservation. By discussing these examples, each with  
 280 distinct challenges and opportunities, we show how available EO data can be  
 281 embedded in innovative ways to support the calculation of genetic diversity indicators,  
 282 especially in areas with limited research infrastructure or access, and why we can look  
 283 forward to applications of EO for assessing genetic diversity more directly.

284 **EO contributions to genetic diversity monitoring: A proposal**



285  
 286 **Figure 1.** Overview of the proposed workflow for integrating EO data with genetic  
 287 diversity monitoring and estimating the key GBF indicators proportion of populations  
 288 within a species maintained (PM indicator) and proportion of populations within a  
 289 species with a genetically effective population size ( $N_e$ ) > 500 ( $N_e > 500$  indicator) (see  
 290 also **Box 1** and **Box 3**).

291

292 In the indicator context, a 'population' is a group of spatially aggregated, interbreeding  
293 individuals, genetically distinct from other similar groups (Mastretta-Yanes, Suárez, et  
294 al., 2024; Waples & Gaggiotti, 2006). Spatially speaking, this translates into a  
295 subsection of the range that the species inhabits. Whether a population still exists, and  
296 whether it has grown, shrunk, migrated or maintained its size, is often linked to changes  
297 in its habitat extent (Mace et al., 2010). Habitat extent can change due to land use  
298 change, which can in turn be quantified and monitored with EO. Thus, EO can be used  
299 for observing and monitoring changes in habitat extent where populations occur, or in  
300 changing boundary conditions of habitats (such as long-term changes in land surface  
301 phenology (Garonna et al., 2018). We propose that this use can contribute to  
302 estimating, and monitoring change in GBF genetic diversity indicators (**Fig. 1**). This can  
303 be done in at least two ways: First, by assessing the likelihood of a given population's  
304 continued existence for the PM indicator and second, by estimating a relationship  
305 between habitat size and the number of mature individuals of a species living in this  
306 habitat (density) to estimate  $N_c$ . In some cases (for large and immobile individuals such  
307 as trees),  $N_c$  may be more directly estimated from EO (see **Outlook**). In either case, EO  
308 data supports the assessment of the  $N_e > 500$  indicator by providing a proxy for  $N_c$  data  
309 from which  $N_e$  can be estimated using the  $N_e:N_c$  ratio (**Box 3, Fig. 1**).

310

### **Box 3: A workflow to support genetic diversity monitoring with EO**

We propose the following steps to include EO data for monitoring genetic diversity of species' populations. We note that not all steps are feasible for all species.

1. Define population boundaries.
  - a. Define populations that can be related to habitat area and size, where the area and size can be identified with support of EO.
  - b. Pinpoint the contribution of EO (e.g. systematic land cover mapping or habitat assessment, systematic identification of population presence or activity) and identify what other information is needed.
2. Calculate the number of populations maintained (PM).
  - a. Leverage current and historical EO data to assess recent trends in population presence and distribution.
  - b. Use EO to support mapping population distribution, which can later help to guide *in situ* monitoring and conservation efforts.
3. Calculate the number of populations with  $N_e > 500$ .
  - a. Define the relationship between area size and census size of each population to be monitored (e.g., validate  $N_c$  estimates from ground data).
  - b. Use  $N_c$  estimates from EO area size or direct observations (e.g. for trees) to infer  $N_e$  with the ratio  $N_e : N_c \sim 1 : 10$  for each population, and estimate the number which are above the  $N_e > 500$  threshold.
4. Monitor the population areas for maintenance and size over time.
5. Targeted re-assessment.

311

312

313 Our proposed workflow relies on the following assumptions:

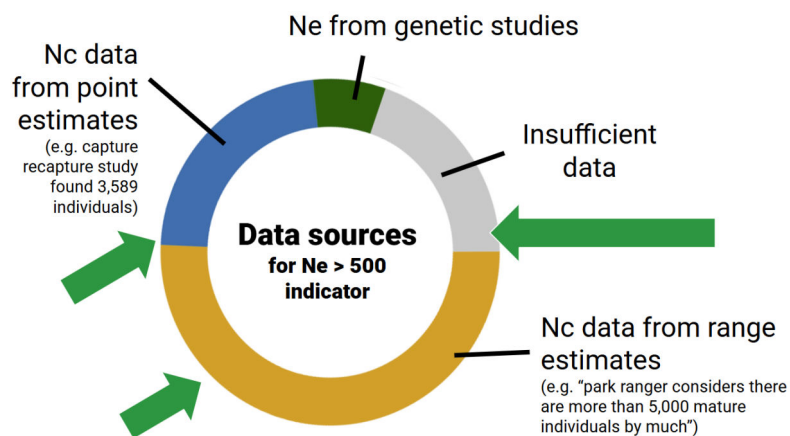
- 314
- That a habitat of a particular size does support a species population;

- That habitat quality can be sufficiently well assessed by EO; and
- That the relevant threats to populations are visible at the habitat scale (e.g., land-use change, but not poaching).
- The workflow furthermore requires information about the location of populations, population density ( $N_c$  per area), and  $N_e:N_c$  ratio.

In other words, the proposed approach would work for species where habitat changes such as land cover and land use change (see **Example: Monitoring habitat change to infer PM and  $N_e > 500$  change in wild relatives of domesticated crops**), or landscape modification and fragmentation, can be detected and quantified using EO (**Box 3, Fig. 1**).

We propose that this approach will be most useful for cases in which there is still insufficient data to calculate the indicators but sufficient information about the location of species populations, habitat, dispersal distances, and approximate density (**Fig. 2A**). We furthermore expect that this approach can facilitate and accelerate indicator calculation even in cases where  $N_c$  estimates are available by making remote observation possible. In some cases,  $N_c$  estimates will be possible directly from EO data (**Fig. 1, Outlook**). Critically, we expect this approach to enable more frequent change monitoring in all cases (**Fig. 2B**).

334



**Figure 2.** EO enables estimation of key GBF indicators in cases where other data that could be used to calculate the indicators are unavailable (right side, large arrow), but can also complement assessments where ground data and expert knowledge are available (left side, smaller arrows). Made with data from Mastretta-Yanes et al (2024).

339

The major challenge is to ensure the useability and accessibility of EO data for specific applications, such as biodiversity monitoring, as it requires expert knowledge to extract

341

342 the needed information<sup>5</sup> (**Box 2**) (Pahlevan et al., 2021; Silva et al., 2008). The  
343 integration of EO data as an additional source of indirect (habitat extent, fragmentation,  
344 etc.) or direct information ( $N_e$  estimates, and see **Outlook**) about genetic diversity  
345 indicators for the assessment and monitoring of biodiversity requires the  
346 co-development and production of such information through collaboration among  
347 experts in population and conservation genetics and genomics, remote sensing,  
348 geography and geospatial information, ecology, conservation, and practitioners who will  
349 ultimately use this information routinely.

### 350 Example: Monitoring habitat change to estimate the $N_e > 500$ and PM 351 indicators in wild relatives of domesticated crops

352 The wild relatives of modern-day crops (e.g. crop wild relatives, CWRs) harbor an  
353 important proportion of crops' genetic diversity (Maxted et al., 2006). In Mexico, CWRs  
354 are threatened mainly by land use and land cover change (Goettsch et al., 2021).  
355 Several species (spp.) of wild avocados (*Persea* spp.) and teosintes (*Zea* spp., related  
356 to maize or corn) inhabit locations that are often dangerous or difficult to visit. Within  
357 these genera, several wild species are endangered or critically endangered (Goettsch et  
358 al., 2021). Populations of these species cannot be directly observed with EO due to the  
359 typical size of individuals and their habit of living under forest canopies, but critical  
360 aspects of their native habitat, such as proximity to and association with nearby forests,  
361 can be observed. In particular, tree-cover loss (land use or land cover change, and thus  
362 habitat loss) can be quantified to infer which populations may be experiencing greater  
363 decline. In terms of its impact on genetic diversity, habitat loss could mean population  
364 extinction (habitat annihilation in a given region, PM decline) or reduction of the effective  
365 population size (smaller habitat space, fewer individuals,  $N_e$  decline and thus elevated  
366 loss of genetic diversity through genetic drift, see **Glossary**).

367

368 EO are not yet used to monitor CWRs, but this could be achieved using publicly  
369 available EO data in a few straightforward steps. The first step is to define population  
370 boundaries (**Fig. 1**) based on occurrence points (combined with a rule for aggregating  
371 points to populations) or species distribution models, using methods including, for  
372 instance, geographic features (e.g., different mountains harbor different populations) or  
373 eco-biogeographic differences (e.g., different environmental zones harbor different  
374 populations) (Hoban et al., 2023; Tobón-Niedfeldt et al., 2022). The second step is to  
375 assess whether populations have been maintained since the last observation (PM  
376 indicator). In classical monitoring approaches, this would imply traveling to the locations  
377 on a regular basis. However, doing this for several species in megadiverse or large  
378 countries is challenging to impossible in terms of time and cost. EO data can be used in

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<sup>5</sup> And see <https://www.ucgis.org/gis-t-body-of-knowledge>

379 such situations to detect habitat loss using either visual inspection of satellite images or  
380 by analyzing satellite-derived time series of land use and land cover (LULC) change,  
381 such as tree-cover loss. The images and their derived products such as tree-cover  
382 change are publicly available free-of-charge from repositories such as the Sentinel Hub,  
383 Google Earth, and Global Forest Watch (**Table 1**). The third step is to estimate genetic  
384 diversity indicators from habitat size information. For the PM indicator, the procedure is  
385 straightforward: populations that have lost all of their habitat over time are expected to  
386 be lost, and the fraction of remaining populations corresponds to the PM indicator. For  
387 the  $N_e > 500$  indicator, two assumptions must be made. The first pertains to the  
388 population density of the species being studied: if we know the habitat size and  
389 population density, we can estimate the population's census size. The second  
390 assumption involves the  $N_e:N_c$  ratio: given the census size of a population, we can  
391 estimate the corresponding effective population size ( $N_e$ ). Once the effective size of  
392 every population ( $N_e$ ) is estimated, we can calculate how many populations are above  
393 the threshold value of 500.

394

395 Our first example is *Persea (P.) cinerascens*, a wild avocado growing among the tree  
396 species composing cloud forests, Mexico's most biodiverse terrestrial ecosystem type  
397 per unit area (Conabio, 2023; Rojas-Soto et al., 2012). *P. cinerascens* occupies less  
398 than 500 km<sup>2</sup> in a total of five populations separated by ca. 50-200 km in three  
399 geographic locations<sup>6</sup>. The species' presence was confirmed during the last visit to the  
400 known field localities in 2017, although no population size measurement was  
401 conducted. The second example is the teosinte species *Zea (Z.) perennis*. The location  
402 of a *Z. perennis* is only known from two areas, encompassing two genetically  
403 differentiated populations (Rivera-Rodríguez et al., 2023). These locations were last  
404 visited and populations observed in 2008, when conducting sampling for genetic studies  
405 (Rivera-Rodríguez et al., 2023). Based on those data, the  $N_e$  of both teosinte  
406 populations is below 500, so the  $N_e > 500$  indicator value for the species is zero  
407 according to the first multinational assessment of genetic diversity indicators  
408 (Mastretta-Yanes et al., 2023). Unfortunately, although populations of both species were  
409 observed in the field relatively recently (2017 and 2008, respectively), their habitat is  
410 suspected to have decreased or disappeared due to rapid land use change.

411

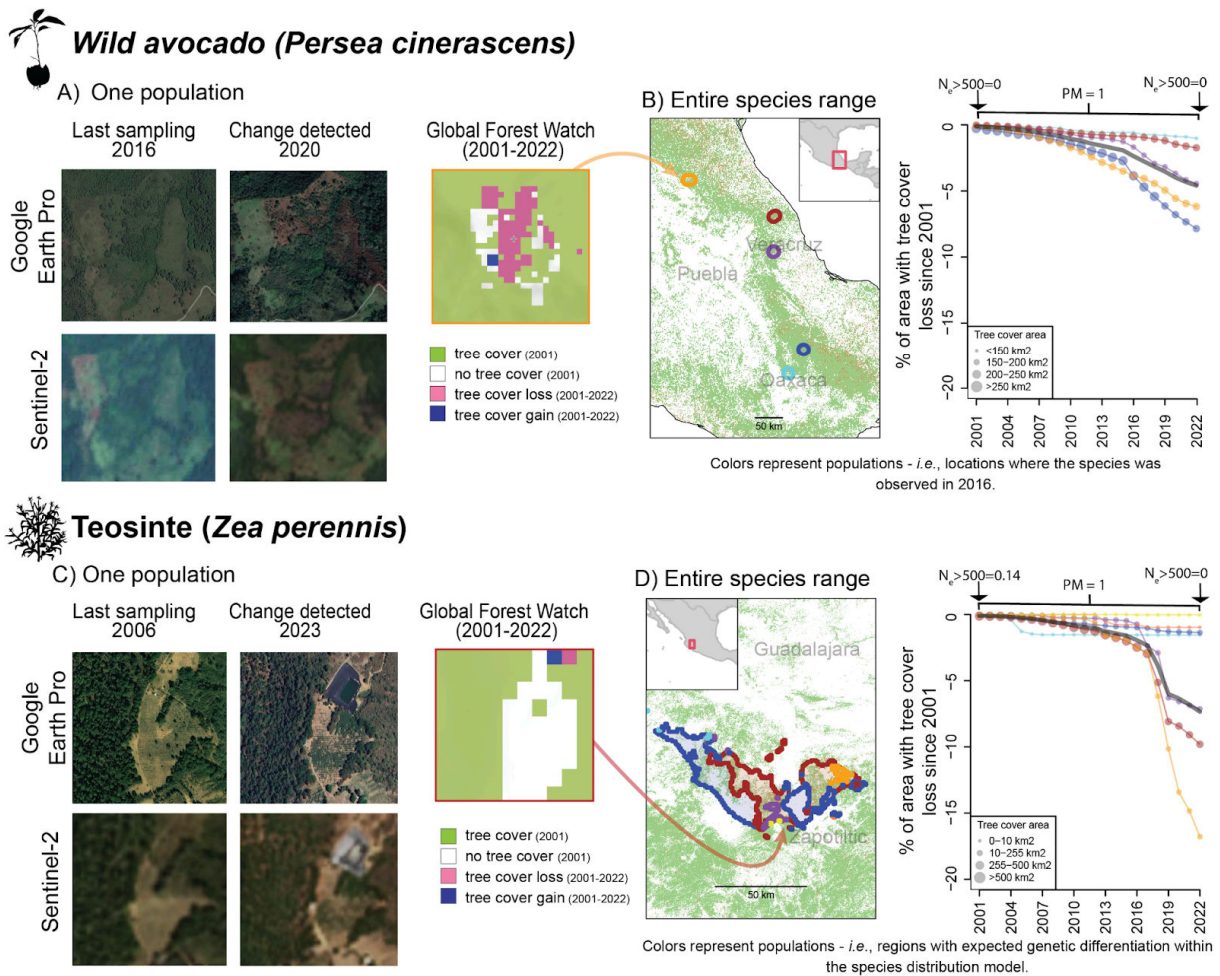
412 EO data enable the monitoring of genetic diversity for these two species by assessing  
413 the persistence of their habitats in the locations where the species were last observed  
414 or sampled *in situ*, directly informing the PM indicator without the need for costly and  
415 dangerous field assessments. Direct inspection of true-color satellite images (**Fig. 3A**  
416 **and 3C**) allows a rapid assessment of vegetation, land use and land cover changes. By  
417 comparing satellite images taken before the last ground sampling (2016 for *P.*

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<sup>6</sup> <https://www.iucnredlist.org/species/110067105/129767329>



418 *cinerascens* and 2006 for *Z. perennis*) with more recent images, habitat change can be  
 419 estimated. For instance, this method showed that for *P. cinerascens*, a controlled forest  
 420 fire occurred in 2020 to clear land for agriculture, indicating a threat to the maintenance  
 421 of this population. Conversely, for *Z. perennis*, the boundary of the avocado farm  
 422 adjacent to the sampling location remained unchanged between 2007 and 2023.  
 423



424  
 425 **Figure 3.** Examples of habitat monitoring using EO for A-B) a wild avocado (*P.*  
 426 *cinerascens*) and C-D) a teosinte (*Z. perennis*). Shown in A) are the comparisons of  
 427 imagery available from either Google Earth Pro (high spatial resolution) or Sentinel-2  
 428 showing habitat change for a wild avocado population, and the evaluation of tree cover  
 429 change from Global Forest Watch. In B), the combination of Global Forest Watch data  
 430 with ground data from 2017 (circles) indicates that the change took place between 2017  
 431 and 2020. In C), data from Google Earth Pro and Sentinel-2 for a different time frame  
 432 indicate there has been no change in forest cover for the teosintes population which  
 433 was last observed from the ground in 2008. In D), analysis of percentage tree cover



434 change since 2001 and total tree cover used as an indicator for habitat change and size  
435 for distinct ecoregions (individual colors) of teosinte, and the black line shows the  
436 average over all populations. The PM indicator is estimated assuming that habitat  
437 maintenance indicates population maintenance, and the  $N_e > 500$  indicator is estimated  
438 assuming a low population density of  $N_c = 10$  individuals / km<sup>2</sup> and  $N_e : N_c = 0.1$ .

439

440 Using the history function of Google Earth Pro often provides free access to  
441 high-resolution satellite images, although availability is by chance (i.e., different years  
442 and seasons), and automated processing is not possible with this platform. These  
443 limitations can be overcome using time-series analysis of publicly available EO data,  
444 such as Sentinel-2 images (10 m spatial resolution, 5-day temporal resolution since  
445 2016), which can be combined with Landsat images (30 m spatial resolution, available  
446 since the 1970s). However, as a simple starting point, significant habitat changes can  
447 already be detected visually by selecting one high-quality image per year from the same  
448 season (e.g., dry season, as opposed to the rainy season) and examining such an  
449 annual time series. Additionally, products derived from EO data describing habitat and  
450 biodiversity changes are already accessible for non-EO-experts through platforms like  
451 Global Forest Watch, which provides assessments of tree cover loss (defined as  
452 removal or mortality of vegetation taller than 5 m) and tree cover gain derived through  
453 automated interpretation of 30 x 30 m EO data (Hansen et al., 2013; Potapov et al.,  
454 2022). Thus, this platform enables rapid assessments of tree cover loss over time  
455 (2001-2022) and might serve as an effective early alert system for habitat change  
456 detection (Schneider & Olman, 2020) (**Fig. 3B and D**).

457

458 These different tools and datasets can be applied to crop wild relatives, either for  
459 assessment of low-dispersal species or for landscape-scale assessments incorporating  
460 species distribution models (SDM), as commonly employed in systematic conservation  
461 planning and management (Tobón-Niedfeldt et al., 2022). For species with few  
462 occurrences – such as *P. cinerascens* – buffer zones around the specific areas can be  
463 used to assess whether the surrounding habitats crucial for their survival are adequately  
464 considered and protected. For more widely distributed species, such as *Z. perennis*,  
465 SDMs serve as a proxy for species distribution ranges (Villero et al., 2017). SDMs can  
466 be leveraged for genetic diversity monitoring by subdividing them into areas where  
467 some level of genetic differentiation is expected, for instance, due to environmental  
468 differences or historical isolation (Tobón-Niedfeldt et al., 2022; Villero et al., 2017). Once  
469 buffer zones around occurrence records, or SDMs, have been delimited and subdivided  
470 with proxies of genetic differentiation, they can be regarded as different populations for  
471 monitoring purposes. Subsequently, land use and cover change can be quantified and  
472 assessed in terms of habitat loss trends. For instance, in the case of wild avocado (**Fig.**  
473 **3B**), the habitat surrounding the “purple population” (see colored circle) had a high

474 percentage of tree-cover loss during the last two decades but remained large in  
475 absolute terms. Contrastingly, the “green” population already had minimal remaining  
476 natural vegetation, making subsequent losses more threatening to its survival. Similarly,  
477 in the teosinte example (**Fig. 3D**), the “red” population exhibited the most significant  
478 decline and is the second smallest, while it appears that the protection of the “yellow”  
479 population was successful. Note that the individual population trends differ from the  
480 species mean (dark black line), highlighting the importance of separately evaluating  
481 populations within a given species.

482

483 In both species, despite the clear decline in habitat size observed in some populations,  
484 no population experienced a complete loss of habitat. Therefore, the PM indicator for  
485 both species is estimated to be 1. However, populations of both species cover very  
486 small areas, often less than 200 km<sup>2</sup>. Assuming a low population density of 10  
487 individuals per km<sup>2</sup> and a conservative  $N_e:N_c$  ratio of 0.1, all populations remain below  
488 the critical effective population size threshold of 500. Therefore, while  $PM = 1$ ,  $N_e > 500$   
489 is zero. This example shows how integrating habitat monitoring using EO within a  
490 population genetics framework can inform the assessment of the GBF indicators and  
491 the prioritization of *in situ* observations and future interventions.

## 492 Outlook: Genetic diversity assessments using EO

493 EO offers measurements at landscape level that are repeated in space and time. These  
494 observations are captured in wavelengths beyond the human-visible range of the  
495 electromagnetic spectrum and yield detailed and traceable information about processes  
496 that affect the composition and distribution of species at landscape scales. This  
497 information can be used directly to monitor and assess changes in habitats and, via  
498 proxies, change in genetic diversity within and between populations. Furthermore, it can  
499 help managers prioritize interventions and target them in space and time to areas where  
500 rapid changes are taking place, hence mitigating damage and maintaining or enhancing  
501 their resilience and protecting biodiversity (Langhammer et al., 2024). The  
502 cost-effectiveness of this approach is noteworthy as many biodiversity hotspots are  
503 located in economic resource-limited regions.

504

505 Available EO data and information, combined with ground-based methods, can be used  
506 for assessing and monitoring the quantity and quality of locally available habitat for  
507 geolocated populations, and can inform the PM and  $N_e > 500$  indicators in several ways  
508 (**Table 2**): (1) Informing the PM indicator if habitat integrity or species vitality descends  
509 below a certain threshold, below which a species can be assumed to be locally lost; (2)  
510 informing the  $N_e > 500$  indicator either (i) directly, if species density per unit area is  
511 known or if groups of mature individuals can be directly observed, or (ii) indirectly, where  
512 a baseline  $N_e$  value is known for a given population, the expected decline could be

513 estimated as a function of habitat loss; and (3) supporting prioritization of *in situ*  
 514 monitoring or conservation actions, or an early alert system, so that resources are  
 515 directed to the regions where more change is occurring and ground-based observations  
 516 are most needed.

517

518 **Table 2.** Proposed uses of EO data for genetic diversity monitoring.

Uses of EO data	Implementation for genetic diversity monitoring	Current limitations
<b>Species range and habitat mapping</b> <i>Accuracy increases with prior knowledge and in terrestrial habitats</i>	<b>Inference of census size</b> from dispersal distance data, occupation density data, or occasionally counts of dominant individuals; supports <b>assessment of <math>N_e &gt; 500</math></b>	Cannot directly measure effective or census population sizes ( $N_e$ or $N_c$ )
<b>Estimate population size and number</b> <i>Accuracy increases when combined with observational data</i>	<i>Inferred population locations can be combined with other data (e.g. biogeographical, traditional knowledge) to <b>infer population boundaries</b> or support the design of comprehensive DNA studies to confirm this</i>	Cannot independently identify genetically distinct populations
<b>Detect habitat and ecosystem change</b> <i>Requires a baseline and continued monitoring</i>	<i>Develop EO-based alert systems to support genetic diversity <b>protection in real time</b> and to <b>monitor inferred PM or <math>N_e &gt; 500</math> over time</b></i>	Cannot detect all on-the-ground threats to individuals (e.g., poaching)
<b>Map variation or change in species visible from Space</b> <i>e.g., trait variation, settlements, migration, breeding activities, species interactions</i>	<i>Currently still a focus of research: see <b>Outlook</b></i>	Cannot directly estimate genetic diversity

519

520 Currently, the procedure outlined above is largely theoretical, but the examples above  
 521 indicate its utility and potential importance. This potential for EO-based genetic diversity  
 522 monitoring needs to be verified with ground-based data before large-scale deployment,  
 523 although performing the necessary fieldwork is generally only possible “pointwise” for  
 524 large countries and regions and may be restricted by limited operational resources.  
 525 Thus, EO provides valuable global information, especially where no other data are  
 526 available. Where local *in situ* monitoring, citizen science and other sources of ground  
 527 data are, or become, available, EO data will be better complemented.

528 A window onto the future – mapping genetic diversity and resilience of an  
529 entire tree species using EO



530 **Figure 4.** Layers of geospatial information on the distribution of Eurasian Fagus:  
531 Sentinel-2 mosaic from Google Earth Engine (Gorelick et al., 2017) for visualization  
532 purposes, overlaid with species distribution and isolated localities (dots) (Caudullo et al.,  
533 2017): *F. sylvatica* (blue) and the distributions of three closely related *Fagus* species  
534 (red) (Denk et al., 2024).

535

536 A current research focus is to directly map variation or change in species visible from  
537 Space (**Table 2**), such as trees. EO can be used to assess important aspects of tree  
538 canopy structure, phenology and functions such as height and density, greening and  
539 browning, pigment concentration and water content, or to characterize tree species and  
540 even within-species variation. To illustrate the current state of research and  
541 development, we use the common beech, *Fagus (F.) sylvatica*, a keystone tree species  
542 that also has high economic importance in forests across Europe. *F. sylvatica* is closely  
543 related to, and likely able to hybridize with, three other species of *Fagus* found from the  
544 Balkans into the Arabian peninsula; in fact, these had all recently been considered to



545 belong to *F. sylvatica* (Denk et al., 2024). We have overlaid distribution maps (Caudullo  
546 et al., 2017) with satellite imagery at continental scales: A Sentinel-2 mosaic produced  
547 with Google Earth Engine (Gorelick et al., 2017) (**Fig. 4**).

548

549 Beech species (*Fagus* spp.) pollen is spread both by insects and wind, and the species  
550 has relatively low genetic differentiation among different forest stands, so that divisions  
551 into populations are also challenging (Milesi et al., 2024).  $N_e$  estimates are likewise  
552 challenging: For example, genetic analysis of a stand in France with 167 individuals  
553 yielded  $N_e$  estimates ranging from two to 25 depending on the calculation method used  
554 (Gargiulo et al., 2024). This was of course below the threshold of 500 that would require  
555 ca. 5000 individuals to be analyzed; however, the results include the guideline ratio of  
556  $N_e : N_c = 0.1$  that would correspond to an  $N_e$  of 17 in this example. The weak, yet  
557 discernible genetic structure of *F. sylvatica* (European beech) – in other words,  
558 moderate isolation of populations by distance (Lazic et al., 2024; Milesi et al., 2024) –  
559 reveals its post-glacial migration history but also depends on management and planting  
560 decisions in forestry. Here, we discuss how recent developments in EO technologies  
561 can support the assessment of beech genetic diversity both in terms of the GBF  
562 indicators (**Box 1**), and in terms of essential biodiversity variables (EBVs) for genetic  
563 diversity (**Box 4**).

564

565 Decades of population genetics studies on *F. sylvatica* have produced hundreds of  
566 datasets on its genetic diversity and differentiation (Stefanini et al., 2023). However,  
567 these studies have used different molecular methods over time, and the advent of  
568 genomics has not resolved the issue. Older studies based on previously favored  
569 molecular markers remain valuable and are complemented, not replaced, by a newer  
570 generation of single nucleotide polymorphism (SNP)-based studies using genomic  
571 approaches (Stefanini et al., 2023). Furthermore, these SNP-based studies may in near  
572 future be overtaken by newer genomic markers such as kmers and structural variants  
573 (Roberts et al., 2024; Stefanini et al., 2023). As such, there is not a single common and  
574 agreed-upon way to measure the genetic EBVs (**Box 4**) for this species using DNA  
575 data, but rather several complimentary possibilities; and the situation is similar for many  
576 other species for which DNA-based population genetic data are available.

577

#### Box 4: Essential Biodiversity Variables (EBVs)

Essential variables have been developed to understand and measure climate, biodiversity, and other components of the Earth system (e.g. Essential Climate Variables, Essential Ocean Variables). The concept of Essential Biodiversity Variables (EBVs) was introduced to advance the collection, sharing, and use of biodiversity information (Pereira et al. 2013; Navarro et al. 2017), providing a way to integrate the many biodiversity observations collected through different methods such as *in situ* measurements or remote sensing (<https://geobon.org/ebvs/what-are-ebvs/>). EBVs are scalable, meaning the underlying observations can be used to represent different spatial or temporal resolutions required for the analysis of trends.

The EBVs for genetic composition include (Hoban et al. 2022; Junker et al. 2023):

1. Effective population size: Size of an ideal population that loses genetic variation at the same rate as the focal population. Related to the  **$N_e > 500$  indicator** (see **Box 1**).
2. Inbreeding: Degree of relatedness between pairs of individuals, mating among relatives, or identity by descent. Not assessed by either the  $N_e > 500$  indicator or the **PM indicator** (see **Box 1**).
3. Allelic richness and heterozygosity: Count of the number of alleles in a population or expected proportion of heterozygotes in a population at equilibrium. Not assessed by the  $N_e > 500$  and PM indicators.
4. Genetic differentiation: Number of genetic units and degree of genetic differentiation among population units. Related to the PM indicator.

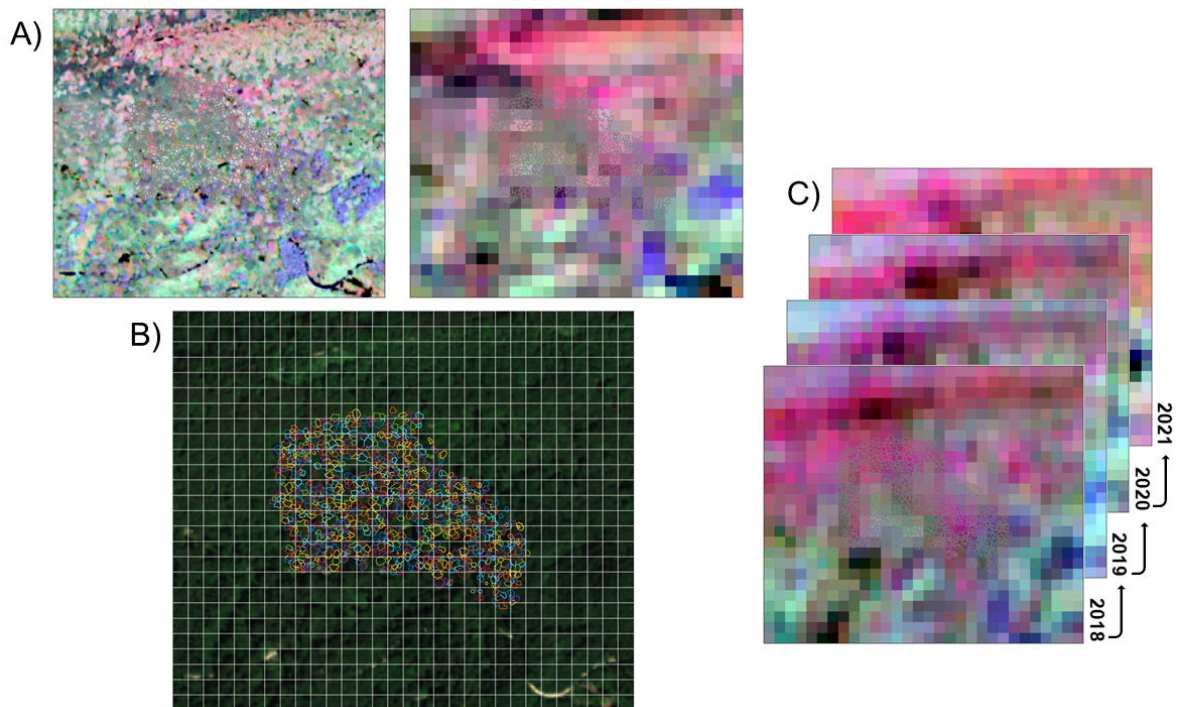
578

579

580 It is possible to infer the number of dominant (canopy-forming) *F. sylvatica* trees in EO  
581 images. To locate *F. sylvatica* (estimate the potential occurrence and coverage),  
582 distribution data over the species range (e.g., **Fig. 4**) can be divided into forested and  
583 non-forested areas (e.g., with land use land cover change or LULC products, or forest  
584 coverage products). Where more information is available, these can be classified as  
585 forests with known locations, percentages, or densities of beech trees using maps of  
586 land use, forest communities, or inventories, ideally combined with local and specialist  
587 knowledge. For application to larger scales or where less information is available, tree  
588 species classification using EO data has been demonstrated in beech habitats with  
589 simple machine learning approaches (Grabska-Szwagrzyk et al., 2020), neural  
590 networks (deep learning) on high spatial resolution data (Kaplan et al., 2024; Yao et al.,  
591 2021), or a combination of active and passive EO data from Sentinel-1 and Sentinel-2 in  
592 annual time series combined with forest inventory data (Blickensdörfer et al., 2024).  
593 In-field or aerial datasets with high resolution and accuracy are important for further  
594 refining tree species classification with EO (Fassnacht et al., 2016).

595





596 **Figure 5.** Components of change monitoring over forest canopies using EO. A) Spatial  
 597 scaling of the canopy traits chlorophyll (green), carotenoids (red) and water content  
 598 (blue) assessed using 2 m aerial imaging spectroscopy data (left), or 20 m EO data  
 599 (right). B) 20 m Sentinel-2 pixels compared to the crown sizes at the Laegern forest. At  
 600 20 m pixels, multiple individuals contribute to the signal obtained for one pixel. C)  
 601 Physiological traits mapped for the area of interest for four consecutive years using  
 602 Sentinel-2 data to detect changes in canopy traits.

603

604 Such data are available from the Laegern forest in Switzerland, a temperate mixed  
 605 forest with a high proportion of *F. sylvatica*. Laegern is the subject of over a decade of  
 606 remote sensing data collection by airborne imaging spectroscopy (continuous  
 607 measurements covering most of the solar radiation spectrum with high resolution, i.e.,  
 608 3-10 nm) several times per year, and complementary fieldwork has been conducted on  
 609 the south-facing slope (Morsdorf et al., 2020) and in portions of the forest across a  
 610 compositional gradient. Torabzadeh and colleagues achieved high binary classification  
 611 accuracy of *F. sylvatica* versus all other trees in a beech-dominated stand at Laegern  
 612 (**Figs. 5 and 6A**) based on pixels – in other words, without needing to define tree  
 613 crowns (82% producer's accuracy / 92% user's accuracy) (Torabzadeh et al., 2019). It is  
 614 important to note that binary classification of pixels as depicting beech vs. non-beech  
 615 was more accurate than multiple classification of pixels as depicting one of several  
 616 species present (Torabzadeh et al., 2019). At another well-documented test site in  
 617 Allenwiller, France, where the closely related caucasian beech (*Fagus hoheneriana*

618 Palibin) was co-planted with *F. sylvatica*, Kaplan and colleagues (2024) used a similar  
619 pixel-wise approach to distinguish these species with better than 90% accuracy (F1  
620 score) using high-resolution (3 m) commercial multispectral EO data provided free of  
621 charge for research purposes by PlanetScope. Both of these approaches used signal  
622 characteristics overlapping with the detection ranges of current public EO instruments.  
623 Transferring these approaches to public data requires scaling from 3 m spatial  
624 resolution to ca. 10 to 20 m spatial resolution (**Fig. 5**).

625

626 A census number ( $N_c$ ) of reproductively mature beech trees could be locally estimated  
627 directly from beech canopy pixels discernible from EO data via species classification.  
628 This could then be used to approximate the  $N_e > 500$  indicator. This approach would  
629 likely yield an underestimate because  $N_c$  from EO would count dominant  
630 (canopy-forming) reproductively mature trees that are the easiest to detect from above,  
631 while reproductively mature but co-dominant, intermediate, and suppressed trees are  
632 difficult to assess. Inventory data, or data from *in situ* sources, could support the  
633 estimation of  $N_c$  via tree density and be used to upscale to larger areas. Changes in PM  
634 and  $N_e > 500$  for known, monodominant populations of *F. sylvatica* could also be  
635 assessed by forest cover loss. Furthermore, the risk of losing individual trees from forest  
636 canopies could be predicted from changes in canopy vitality via changes in trait values.  
637 An approach to use EO to quantify the relationship of trait diversity to forest canopy  
638 drought responses has recently been demonstrated using public EO data at 20 m  
639 spatial resolution (Helfenstein et al., 2022, 2024; Sturm et al., 2022) (**Fig. 5**). European  
640 beech forests are increasingly threatened by drought, and individual trees vary in their  
641 susceptibility, in part due to genetic differences (Bolte et al., 2016; Braun et al., 2021;  
642 Pfenninger et al., 2021). Current efforts using high-spatial-resolution data from  
643 uncrewed aerial vehicles (UAVs) to document dead trees could help validate models  
644 predicting the dieback of individual trees<sup>7</sup>.

## 645 Toward “Genes from Space”

646 So far, this paper has discussed using EO data to assess genetic change due primarily  
647 to habitat change or census count change. More advanced approaches get closer to  
648 achieving an ultimate aim of truly measuring genetic diversity from space. Data with  
649 higher spatial and spectral resolution data are also used to assess canopy traits and  
650 vitality (Asner & Martin, 2016; Helfenstein et al., 2022) instead of the number of  
651 individual trees and may furthermore help to predict the genetic distance among  
652 individual dominant trees. Czyż and colleagues used time series data from imaging  
653 spectroscopy (providing continuous spectra rather than distinct multispectral bands –  
654 see **Glossary**) with high spatial resolution (2 m) to generate a time series of differences

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<sup>7</sup> <https://deadtrees.earth/>

655 among spectra from center-of-canopy pixels for 69 dominant beech trees out of 260  
656 dominant trees in a canopy (see **Fig. 6A**). They correlated these spectral differences,  
657 quantified as a conceptual distance with less similar spectra being more distant than  
658 more similar spectra, with the trees' genetic distance (a measure of how related the  
659 trees are), as determined by five nuclear microsatellite sequences (DNA sequences  
660 often used to quantify relatedness). The correlation strength between spectral distance  
661 and genetic distance reached a maximum of 60% for some parts of the spectrum at  
662 time points when trees were subject to drier conditions and later in the growing season  
663 (Czyż et al., 2023) (**Fig. 6B**). Interestingly, in humans, it is well known that microsatellite  
664 sequences, also called short tandem repeats (STRs), fine-tune individuals' genetically  
665 encoded responses to environmental pressures (Horton et al., 2023; Wright & Todd,  
666 2023); these sequences evolve rapidly, which is why they are also useful to measure  
667 the relatedness of even very closely related individuals (Provatas et al., 2024). Thus,  
668 the prediction of genetic variation using EO data is sensitive to environmental  
669 conditions. Such studies help to investigate how predictable such effects may be and  
670 can inform models to predict genetic variation using EO.

671

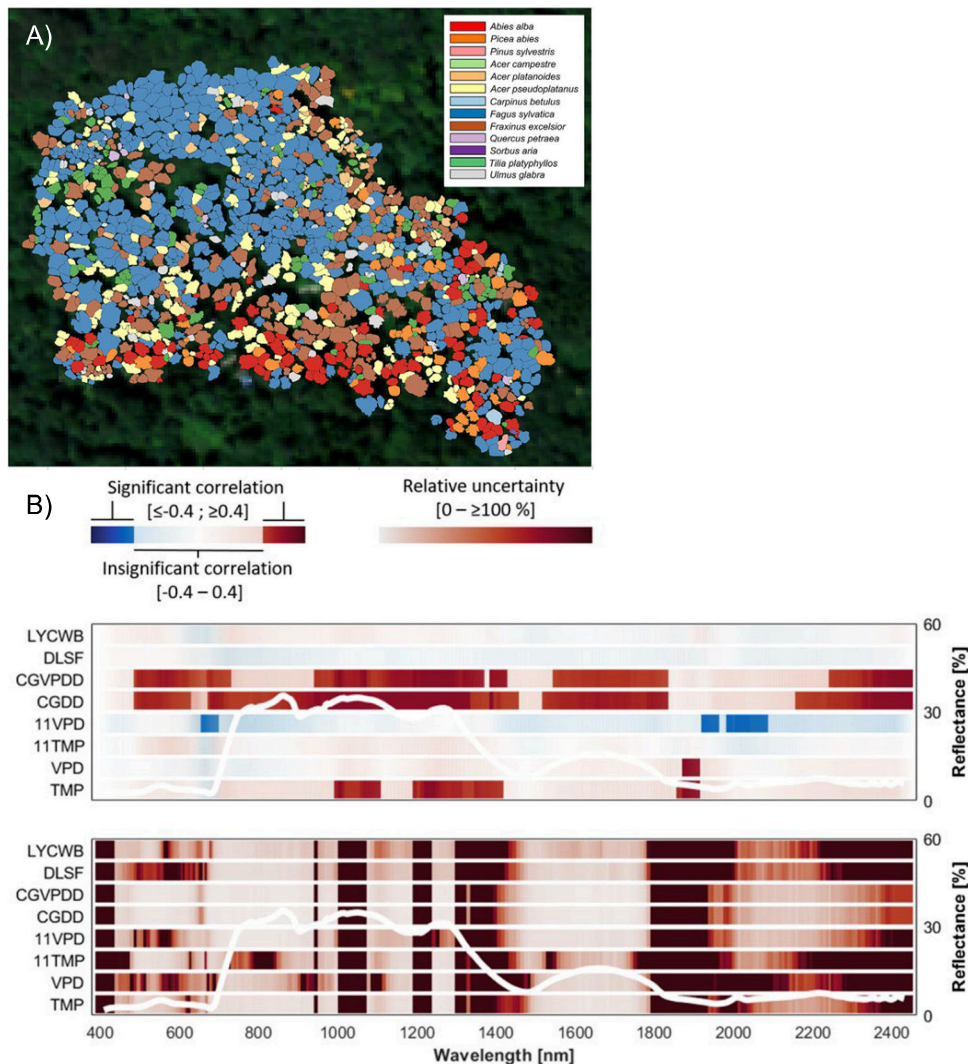
672 For beech trees, EO from current multispectral and forthcoming imaging spectrometer  
673 sensors can thus support the assessment of genetic variation by providing information  
674 about forest canopy traits and spectral signatures using time series of observations  
675 (**Figs. 5 and 6**). Combined with a large and growing database of single-time-point  
676 genetic data for beech across its range, it may be feasible to develop models to predict  
677 genetic variation directly from EO data for *F. sylvatica*, and likely for other dominant  
678 forest tree species. Such predictors of genetic variation could improve genetic diversity  
679 indicators beyond population maintenance and size towards assessing genetic EBVs  
680 (**Box 4**). For example, several studies indicate that high-resolution spectroscopy (field  
681 and imaging spectroscopy) can reveal quantitative genetic differences and could thus  
682 help to scale up measurements of genetic differentiation (Cavender-Bares et al., 2016;  
683 Li et al., 2023; Meireles et al., 2020; Seeley, Stacy, et al., 2023; Stasinski et al., 2021).

684

685 These approaches are currently developed for "best-case scenarios" where aerial  
686 imaging spectroscopy or even individual leaf-level measurements provide high certainty  
687 for assigning spectral data to individual trees (Czyż et al., 2023; D'Odorico et al., 2023;  
688 Petibon et al., 2021). Scaling approaches for extending these measurements to  
689 landscape level are currently being established, starting with trait estimates such as  
690 canopy pigments and water content that are already possible with Space-based EO  
691 multispectral sensors (Helfenstein et al., 2022) (**Fig. 5**) or the delineation of closely  
692 related species based on spectral and trait differences from high-resolution EO data  
693 (Kaplan et al., 2024).

694





695 **Figure 6.** Spectroscopy can help to distinguish species and assess genetic variation. A)  
 696 Dominant tree crowns assigned to species by aligning forest inventory data with a 3D  
 697 model of tree crowns and trunks made using LiDAR and photogrammetry; reproduced  
 698 from (Guillén-Escribà et al., 2021), [CC BY](#). B) Upper panel: Spectral similarity is  
 699 correlated with a genetic relatedness measure (Nei's genetic distance) for 69 large  
 700 dominant beech canopies in (A), with correlation strength related to environmental  
 701 factors. Lower panel: Estimated relative uncertainties of correlations. White lines: mean  
 702 canopy reflectance measured for focal trees (0-60% of incident sunlight). Environmental  
 703 factors: temperature on day of acquisition [°C] (TMP), Vapor Pressure Deficit on day of  
 704 acquisition [%] (VPD), Aggregated Temperature over 11 consecutive days [°C] (11TMP),  
 705 Aggregated Vapor Pressure Deficit over 11 consecutive days [%] (11VPD), Cumulative  
 706 Growing Degree Days [°C] (CGDD), Cumulative Growing Vapor Pressure Deficit Days  
 707 [%] (CGVPDD), Day of Last Spring Frost (DLSF), or Last Year Climatic Water Balance

708 (LYCWB). Pearson correlations are shown from -0.6 (dark blue) to 0.6 (dark red).

709 Reproduced from (Czyż et al., 2023), [CC BY](#).

710

711 When accounting for environmental variation, imaging spectroscopy observations with  
712 higher spectral resolution than current multispectral EO (Landsat, Sentinel-2) could  
713 even support the estimation of genetic distances across forest canopies. The improved  
714 spectral and radiometric capabilities of new EO imaging spectroscopy missions to be  
715 launched before the end of this decade by ESA (CHIME: Copernicus Hyperspectral  
716 Imaging Mission<sup>8</sup>) and NASA (SBG: Surface Biology and Geology<sup>9</sup>) will enhance the  
717 information content of EO measurements by two orders of magnitude compared with  
718 currently operating multispectral instruments such as those described so far in our  
719 examples. This opens up the possibility of using spectral fingerprints to better  
720 distinguish species using EO and even to estimate other components of genetic and  
721 trait variation beyond the genetic diversity indicators (Cavender-Bares et al., 2020; Czyż  
722 et al., 2023; Kaplan et al., 2024; Li et al., 2023; Meireles et al., 2020; Petibon et al.,  
723 2021; Seeley, Stacy, et al., 2023; Seeley, Vaughn, et al., 2023; Stasinski et al., 2021).

## 724 Conclusion

725 The incorporation of EO into assessments of genetic diversity represents a fundamental  
726 change in our ability to monitor, assess, and protect biodiversity at the national, regional,  
727 and global scales, especially in areas with limited accessibility. Our proposed workflow  
728 (**Figs. 1-2, Box 3**) could be developed from public EO and geolocation data as well as  
729 optional user-input data on platforms such as GEO BON's "BON-in-a-Box" (Griffith et  
730 al., 2024) to make it widely available and facilitate its use for biodiversity monitoring. To  
731 better understand and describe this proposed approach, we discuss three examples  
732 that each raise key considerations for the application of EO to monitor habitat change  
733 and study genetic diversity (**Tables 1-3, Box 2**). We consider the immediate goals of  
734 assessing genetic diversity indicators for biodiversity monitoring and providing early  
735 warning signs to support the protection of genetic diversity (**Figs. 3-4, Box 1**), as well as  
736 an outlook on approaches that may enable the assessment of essential biodiversity  
737 variables (EBVs) for genetic diversity from Space (**Figs. 5-6, Box 4**). We acknowledge  
738 many current limitations that are illustrated in the presented examples and summarized  
739 in **Tables 2** and **3**.

740

741 **Table 3.** A reflection on the applications of EO to monitor and study genetic diversity  
742 based on the examples discussed in this article.

Case	Aims	EO contributions	Challenges	Information for action
------	------	------------------	------------	------------------------

<sup>8</sup> [https://www.esa.int/ESA\\_Multimedia/Images/2020/11/CHIME](https://www.esa.int/ESA_Multimedia/Images/2020/11/CHIME)

<sup>9</sup> <https://sbg.jpl.nasa.gov/>

Emperor penguins in the Antarctic	Infer PM and $N_e$	Inference from evidence of colony occurrence (guano) and patterns of ice cover  Provides data for one of the least accessible locations on Earth for <i>in situ</i> assessment	<ul style="list-style-type: none"> <li>Colonies are not themselves genetically distinct populations, but can be assigned to populations</li> <li>Difficult to estimate colony size from Space-based images of guano deposits</li> </ul>	<ol style="list-style-type: none"> <li>Temporal coverage → know when shelves break off (timing of major habitat change)</li> <li>Spatial and temporal coverage → assessment of colony relocation versus loss</li> </ol>
Crop wild relatives in Mexico	Infer PM  Establish a warning trend	Inference based on habitat maintenance or change  Provides data for locations that are too dangerous to visit <i>in situ</i> due to social conflicts or remoteness	<ul style="list-style-type: none"> <li>Habitat may persist although populations are lost</li> <li>How does habitat change relate to changes in <math>N_e</math>?</li> </ul>	<ol style="list-style-type: none"> <li>Rate, extent, and timing of habitat change → timely intervention (alert)</li> <li>Confluence of degree of habitat change with total habitat available for different ecotypes → prioritization</li> </ol>
European beech forests	Infer PM and $N_e$  Infer genetic EBVs	Inference based on forest coverage and biochemical and structural differences mapped across tree canopies	<ul style="list-style-type: none"> <li>Weak geographic separation of genotypes</li> <li>Only dominant trees are directly accessible</li> <li>Low accuracy for distinguishing multiple species (high accuracy for binary categories)</li> <li>Statistical accounting for environmental effects</li> </ul>	<ol style="list-style-type: none"> <li>Combine information on stand-level vitality with genetic and trait variation across the species range → prioritize interventions</li> <li>Information to support decisions about assisted migration or assisted gene flow interventions (see <b>Glossary</b>)</li> </ol>

743

744 As EO data become increasingly available and accessible for non-experts, especially  
745 for use in genetic diversity monitoring and assessment, their use and interpretation still  
746 require some technical expertise (**Box 2**). This need for greater technical expertise  
747 becomes even more acute with the anticipated advances in EO such as existing  
748 EnMAP, and upcoming CHIME and SBG, imaging spectroscopy Space missions before  
749 the end of this decade. In combination with the needs of practitioners and the impetus  
750 provided by biodiversity monitoring mandates, this means that useful access requires  
751 the development of portals equipped with tools and interfaces that make key information  
752 provided by EO more widely and easily accessible. This implies a co-development  
753 approach incorporating the needs, workflows, and on-the-ground context of practitioners  
754 to ensure that the tools and resulting information are fit for purpose, thus building



755 capacity for non-traditional users of EO (Jacobi et al., 2022; Speaker et al., 2022; Tabor  
756 & Holland, 2021). Such an approach provides motivation and opportunity for EO  
757 developers to understand the needs of practitioners and explore new methods and  
758 techniques for evaluating and validating the efficacy of EO products for genetic diversity  
759 monitoring. Thus, such toolboxes for genetic diversity monitoring and assessment will  
760 not only help democratize access to EO data, but also increasingly enable the archiving  
761 and distribution of detailed and well-documented information resulting from a  
762 combination of EO with other types of data for new and innovative applications.

## 763 Glossary

### 764 Population genetics and related terms

- 765 ● **Assisted Migration** refers to the relocation of individuals within a species to  
766 different areas within the species range or new frontiers of a shifting range.
- 767 ● **Assisted Gene Flow** refers to the introduction of individuals with novel genetic  
768 backgrounds (e.g., different provenances or subspecies) into existing populations  
769 to increase genetic diversity or otherwise alter population genetic properties.
- 770 ● **Genetic diversity** (or genetic variation) comprises within-species differences in  
771 DNA sequences, as well as variation in the distribution of these differences within  
772 and among populations.
- 773 ● **Genetic drift** refers to changes in **allele** frequencies within populations due to  
774 stochastic processes, specifically because some individuals reproduce more than  
775 others and some do not reproduce at all, leading to changes in genetic  
776 composition in the next generation. In small populations the process of genetic  
777 drift can decrease genetic diversity rapidly.
- 778 ● **Genetics** is the study of heritable variation.
- 779 ● **Genomics** (related to high-throughput sequencing, next-generation sequencing)  
780 refers to study of DNA sequences and associated molecular features across  
781 large parts of genomes, using for example thousands to millions of  
782 single-nucleotide polymorphisms (SNPs) per genome.
- 783 ● **Habitat** is the geographical, environmental, and biotic space that a species can  
784 inhabit.
- 785 ●  $N_c$  (census size) is an estimate of the number of reproductively mature  
786 individuals in a population.
- 787 ●  $N_e$  (effective population size) is the size of an idealized population that has the  
788 same rate of genetic drift as an actual, “real-life” population. Several  
789 demographic factors affect the size of  $N_e$ , including number of reproducing  
790 individuals and the sex ratio among them, variation in offspring number,

- 791 non-random mating, and overlapping generations.  $N_e$  is typically much lower than  
792  $N_c$ , with the ratio of  $N_e : N_c$  around 0.1.
- 793 ●  **$N_e > 500$  Headline Indicator** is the proportion of populations of a species that  
794 are assessed as having a genetic effective population size ( $N_e$ )  $> 500$  and ranges  
795 from zero (none) to one (all).
  - 796 ● **Nuclear microsatellites** are rapidly mutating, short tandem repeat sequences in  
797 the nuclear genome, often used to measure relatedness within populations. Also  
798 called short sequential repeats (SSRs) or short tandem repeats (STRs).  
799 Microsatellites are also found in organellar genomes and so the modifier  
800 “nuclear” is used to indicate the nuclear genome.
  - 801 ● **PM Complementary Indicator** measures the proportion of biogeographically  
802 distinct populations of a species that are maintained in comparison to a baseline  
803 value, and ranges from zero (none) to one (all).
  - 804 ● **Population**, in genetics, is a group of spatially aggregated, interbreeding  
805 individuals, genetically distinct from other similar groups. Populations occupy a  
806 geographical space, e.g., a subsection of the species distribution range.
  - 807 ● **Population genetics** is a field of research referring to theoretical and molecular  
808 study of genetic diversity within and among populations over space and time.
  - 809 ● **Species range** is the geographical area that encompasses all the remaining  
810 extant (i.e. not-extinct) populations of a species.
  - 811 ● **SNPs** (Single Nucleotide Polymorphisms) are single base pair differences in a  
812 DNA sequence. SNPs are often used to study genetic diversity within and among  
813 populations.
  - 814 ● **Traits** are heritable differences among organisms, meaning differences that  
815 result from the interaction of genetic and environmental factors, which can be  
816 observed.

## 817 Earth Observation and related terms

- 818 ● **Atmospheric correction** of an image is the removal of scattering and absorption  
819 effects from the atmosphere - making an image look hazy - to obtain the surface  
820 properties of an observed area.
- 821 ● **Change detection** refers to a sequence of EO data used to observe and detect  
822 change for an observed area over time.
- 823 ● **Hyperspectral** is a term often used to describe sensors covering continuously a  
824 wide range of electromagnetic spectrum from visible to mid-infrared (400-2500  
825 nm) in discrete wavelength bands (usually 10 nm or less), which is significantly  
826 finer than current multispectral sensors onboard Sentinel and other Earth  
827 observing satellites. The use of such sensors to generate pixel-based images is  
828 also called **imaging spectroscopy**.
- 829 ● **Imaging spectroscopy** is used to mean the imaging of light reflected from the  
830 Earth surface with continuous, narrow high-resolution spectral bands.

- 831 ● **LiDAR** is an active sensor that uses light pulses to probe the vertical structure of  
832 trees and forests, either from an aircraft or satellite. Similar observations from  
833 LiDARs are used to make topographic maps of the surface.
- 834 ● **LULC** refers to land use (i.e., how land is being used and for what purpose) and  
835 land cover (i.e., what type of vegetation/ecosystem covers the land surface),  
836 which is a product derived from various EO instruments. A common variation is  
837 LULCC which examines land use and land cover change.
- 838 ● **Multispectral** sensors use a defined number of bands (more than two) to sample  
839 a part of the electromagnetic spectrum that may not be adjacent to each other  
840 along the spectrum and may comprise differently sized portions of the spectrum.
- 841 ● **Spatial resolution** of an image is defined as the area on the ground represented  
842 in one pixel (Ground sampling distance). Sentinel-2 imagery, for instance,  
843 provides four bands available at 10 m, six bands at 20 m, and three bands at 60  
844 m spatial resolution.
- 845 ● **Spatial extent** defines the area that is imaged by the satellite during one  
846 overflight and depends on the field of view of the satellite (i.e., swath width).  
847 Often, this corresponds to the size of a delivered image; however, data platforms  
848 might provide images from multiple acquisitions that are stitched together.
- 849 ● **Spectral bands** describe ranges of wavelengths within the electromagnetic  
850 spectrum in which reflected light is measured for imaging and analysis of an  
851 observed area in remote sensing. The position of these bands in the spectrum  
852 and the width of their range are defined by the spectral resolution.
- 853 ● **Spectral resolution** is defined as the spectral bandwidth and the number of  
854 individual bands used to aggregate the reflected light from the observed area.
- 855 ● **Temporal resolution** is defined by the revisit time of a satellite/sensor to observe  
856 the same area on Earth's surface. Depending on the satellite configuration,  
857 revisit time varies from hours to days. The temporal resolution determines the  
858 potential for monitoring, as it enables the temporal analysis of changes.
- 859 ● **Multitemporal data** describes a dataset consisting of at least two images  
860 acquired at two different times. Multitemporal data is typically used for change  
861 detection and analysis.
- 862 ● **Time series** are multi-temporal datasets, acquired in a sequence of observations  
863 obtained over a certain period of time. This can be several images within a short  
864 time frame to observe fast processes (e.g., volcanic eruption) or within a long  
865 time frame (one image per year to observe glacier retreat). In addition to change  
866 detection, time series are used to study the type, speed and sustainability of  
867 observed changes.

## 868 Data and Code Availability

869 Code for this study are provided with the input data necessary to analyze the examples:  
870 <https://gitlab.issibern.ch/meredithchristine.schuman/eo4geneticdiversity-examples>

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880 AM-Y, CR, ISH, OS; Formal analysis: AM-Y, CR, ISH, OS, WT-N; Funding acquisition:  
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882 WT-N; Project administration: CR, MCS; Resources: AM-Y, CA, SH, CR, ISH, MCS,  
883 WT-N; Supervision: MCS, CR; Visualization: AM-Y, CR, DML, ISH, MCS, OS, WT-N;  
884 Writing - original draft: MCS, CV, AM-Y, GRA, KLM, LL, CR, OS; Writing - review &  
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