# Leveraging Earth Observation to monitor genetic diversity from Space

3 Running title: EO to monitor genetic diversity

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## 45 Abstract

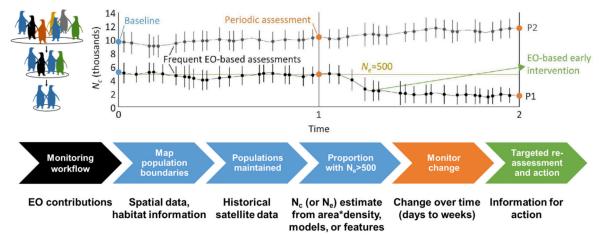
- 46 Genetic diversity within and among distinct populations is essential for species
- 47 persistence. Despite the definition of key targets and pragmatic indicators for genetic
- 48 diversity in the Kunming-Montreal Global Biodiversity Framework (GBF), assessing
- 49 genetic diversity across many species at national and regional scales remains
- 50 challenging. Conservationists, ecosystem managers, and Parties to the Convention on
- 51 Biological Diversity (CBD) still need accessible tools for reliable and efficient monitoring
- 52 of genetic diversity at various and relevant scales for policy and decision-making.
- 53 Building on examples, we describe how current Earth Observation (EO) missions make
- 54 essential contributions to enable, accelerate, and improve genetic diversity monitoring.
- 55 To illustrate this, we introduce a stepwise workflow for integrating EO into existing
- 56 genetic diversity monitoring strategies. Specifically, we describe how available EO data
- 57 can be made accessible in innovative ways to support calculation of the genetic
- 58 diversity indicators of the GBF monitoring framework and to inform management and
- 59 monitoring decisions, especially for cases in which genetic sequence data are limited or
- 60 absent. We provide an outlook for integrating the forthcoming generation of EO data:
- 61 New capabilities that will provide unprecedented detail to characterize the changes to
- 62 Earth's surface and their implications for biodiversity, especially for obtaining more direct
- 63 assessments of genetic diversity from Space.

# 64 Keywords

- 65 essential biodiversity variables (EBVs) remote sensing (RS) Kunming-Montreal
- 66 Global Biodiversity Framework (GBF) Convention on Biological Diversity (CBD) —
- 67 genetic diversity indicators effective population size (N<sub>e</sub>) populations maintained
- 68 (PM)

# 69 Graphical abstract

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72 Earth observation data (EO) support and improve the establishment of baseline 73 information, effective regular monitoring, and targeted re-assessment and interventions 74 to conserve the genetic diversity of natural populations. Top: Example trajectories are 75 shown for two imaginary populations of the same species, P1 and P2: P1 drifts below 76 the threshold for the genetically effective population size ( $N_e$ ), as defined within the  $N_e$  > 77 500 indicator for genetic diversity monitoring, and P2 is maintained above this threshold 78 ( $N_e$  ~ 1000).  $N_c$  is the census number of reproductively mature adults within a 79 population. Bottom: Novel EO workflow enables characterization of critical habitat 80 changes for estimating  $N_e$  and  $N_c$  variables at low-cost using publicly available data.

### 82 Introduction

83 Genetic diversity is an essential aspect of biodiversity protection 84 Genetic diversity is a foundational level of biodiversity below the species level, within 85 and between populations (Allendorf, 2017): Genetically distinct groups of spatially 86 aggregated, interpreeding individuals of a species (Waples & Gaggiotti, 2006). Genetic 87 diversity underlies adaptive potential, which is material to the fitness of individuals and 88 allows species to persist in the face of change (i.e., resilience and resistance). Loss of 89 genetic diversity leads to maladaptation, population decline, inbreeding and, eventually, 90 extinction. Therefore, genetic diversity needs to be monitored as part of biodiversity 91 assessments, conservation and restoration actions, and safeguarding nature's 92 contributions to people – also called ecosystem services (Hoban, Bruford, et al., 2021; 93 Hoban et al., 2020). Studies of multi-species genetic diversity trends have only recently 94 become possible and indicate a net loss over time as a result of human activities 95 (Exposito-Alonso et al., 2022; Leigh et al., 2019; Millette et al., 2020; Shaw et al., 2025). 96 Revealing the specific, ongoing, local and global drivers of this trend, and doing so in a 97 timely and constructive manner that supports mitigation, remains a grand and unmet 98 challenge. 99 100 Yet efforts to monitor and conserve genetic diversity as a fundamental component of 101 biodiversity build on a substantial body of policy. International treaties and national 102 programs for the protection of biodiversity have required assessments of the state of 103 nature since the 1970s, such as the 1971 Ramsar Convention on Wetlands, the US 104 1973 Endangered Species Act, the 1992 Convention on Biological Diversity (CBD); the 105 2010 Aichi Biodiversity Targets (Conference of the Parties to the CBD, 2010), and the 106 2015 Sustainable Development Goals<sup>1</sup>. The 2022 Kunming-Montreal Global Biodiversity 107 Framework (GBF) is distinct from these previous efforts in that it incorporates specific 108 indicators for genetic diversity including all species (wild and domestic). These 109 indicators are aimed at measuring progress towards the GBF goal and target for genetic 110 diversity (Conference of the Parties to the CBD, 2022a), and include a Headline 111 Indicator for genetic diversity. 113 Measuring genetic diversity usually involves analyzing sequences of DNA extracted out 114 of tissues sampled from individuals of a species (Hoban et al., 2022; Junker et al., 115 2023). Despite technological advances, this approach remains laborious and expensive 116 – in the range of 10-1000 USD / sample depending on technique, genome size, and 117 coverage, not including the cost to obtain the tissue samples in the first place or 118 personnel time to analyze and interpret data, e.g. (Lou et al., 2021) – and thus difficult

<sup>1</sup> https://sdgs.un.org/

to repeat across many species at national and global scales. To overcome this challenge, indicators for genetic diversity that can be assessed with or without DNA-based data (Hoban et al., 2020; Laikre et al., 2020; Mastretta-Yanes, da Silva, et al., 2024; Mastretta-Yanes, Suárez, et al., 2024; Thurfjell et al., 2022) have been developed for country- and global-scale genetic diversity assessments and monitoring (Box 1).

#### Box 1: CBD genetic diversity indicators

The  $N_{\rm e} > 500$  indicator. This is Headline Indicator A.4 in the GBF monitoring framework, meaning it is included in the template for national reporting. Effective population size ( $N_{\rm e}$ ) is a key parameter in population genetics that is used to quantify the rate at which genetic variation is expected to be lost (Crow & Kimura 2009). A widely accepted rule-of-thumb is that populations require an  $N_{\rm e} > 500$  to avoid genetic erosion (Jamieson & Allendorf 2012). Effective population size can be assessed using detailed genetic and/or demographic data. However, population census size,  $N_{\rm c}$  (the number of reproductively mature individuals in a population) can be used to obtain a proxy for  $N_{\rm e}$ . Scientific studies that have assessed both  $N_{\rm e}$  and  $N_{\rm c}$  have shown that the relationship between  $N_{\rm e}$  and  $N_{\rm c}$  is typically around 0.1 (Frankham 1995, 2021). That is, to obtain an  $N_{\rm e} > 500$ , a census size of  $N_{\rm c} > 5000$  mature individuals are needed. Therefore the census size can be used in the absence of other  $N_{\rm e}$  assessments (Laikre et al. 2020, Hoban et al. 2020, 2023, 2024, Mastretta-Yanes, da Silva et al. 2024). The  $N_{\rm e} > 500$  Headline Indicator is defined as the proportion of populations of a species that are assessed as having  $N_{\rm e} > 500$  and ranges from zero (none) to one (all).

The populations maintained (PM) indicator. This is a Complementary Indicator to  $N_e > 500$  in the GBF monitoring framework, meaning reporting is optional. However, calculating the PM indicator can be done as part of calculating the headline  $N_e > 500$  indicator. To calculate the proportion of populations above the  $N_e$  threshold, it is first necessary to know how many populations there currently are. However, the number of biogeographically distinct populations that are maintained within a species over time is already an important indicator of its genetic diversity. This is because species populations can become differentiated and even locally adapted to environmental conditions as a result of genetic processes (selection, drift, migration, and mutation; Meek et al. 2023). Thus, populations can harbor unique genetic diversity that can be detected with DNA-based methods (Andersson et al. 2022). If a population is lost, the genetic diversity within this population is also lost, and this can include unique genotypes. Thus, it is important to track the number of species populations maintained over time, and to prioritize the maintenance of distinct populations in order to preserve genetic diversity throughout a species' range (Hoban et al. 2020, 2023, 2024). The PM indicator measures the proportion of distinct populations of a species that are maintained in comparison to a baseline value and ranges from zero (none) to one (all).

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The Headline Indicator A.4, which Parties to the CBD are required to report on, focuses on genetic diversity within populations. It is defined as the proportion of populations within a species having an effective population size ( $N_e$ ) > 500 (hereafter, the " $N_e$  > 500 is an approximate, conservative, yet efficient, threshold to avoid the loss of genetic variation and adaptive potential over time (Crow & Kimura, 2009; Frankham, 1995, 2022; Franklin, 1980; Hoban, da Silva, et al., 2024; Hoban et al., 2020, 2023; Jamieson & Allendorf, 2012; Laikre et al., 2020) – although some studies indicate that an even larger  $N_e$  of 1000 is required to retain adaptive potential

136 (Frankham et al., 2014). Importantly, N<sub>e</sub> can be estimated based on DNA data, or it can 137 be approximated as 10% of the number of reproductively mature individuals (census 138 size, N<sub>c</sub>), or another species-specific N<sub>e</sub>:N<sub>c</sub> ratio (Frankham, 2021; Frankham et al., 139 2017; Hoban, Paz-Vinas, et al., 2021). The second, Complementary Indicator – which is 140 not required for reporting, but supports calculation of the Headline Indicator – focuses 141 on conserving genetic diversity between populations and is estimated as the proportion 142 of populations within species that are maintained over time in comparison to a baseline 143 value, hereafter the "PM indicator" (Hoban, da Silva, et al., 2024; Hoban et al., 2020, 144 2023; Laikre et al., 2020; Mastretta-Yanes et al., 2023). The aim of the PM indicator is 145 to avoid the loss of unique genetic diversity found in separate populations (Andersson et 146 al., 2022; Meek et al., 2023). While DNA-based studies remain vital for quantifying 147 genetic diversity and understanding how to conserve it, these indicators offer globally 148 more affordable and accessible metrics to facilitate immediate monitoring (Hoban, 149 Paz-Vinas, et al., 2024; Hunter et al., 2024). Yet, substantial information is still required 150 to calculate these indicators, such as counts of numbers of individuals and evidence of 151 population survival or loss, based on *in situ* surveys. 152 153 These two indicators were adopted by the United Nations Parties to the CBD at the 154 fifteenth Conference of the Parties (COP15) in 2022, in the monitoring framework of the 155 GBF (GBF, CBD/COP/DEC/15/5,2022b). Concretely, this means that signing Parties

155 GBF (GBF, CBD/COP/DEC/15/5,2022b). Concretely, this means that signing Parties 156 must monitor genetic diversity to prevent its loss and provide reports in 2026 and 2029 157 Thus it is urgent to implement existing genetic monitoring approaches for indicator 158 assessments (Andersson et al., 2022; Hoban et al., 2023; Mastretta-Yanes, da Silva, et 159 al., 2024; Mastretta-Yanes, Suárez, et al., 2024; Thurfjell et al., 2022) and to further 160 develop scalable, globally accessible, and affordable methods for genetic diversity 161 indicator calculation.

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To facilitate reporting of the genetic diversity indicators, researchers and practitioners recently assessed these indicators in nine countries utilizing existing DNA studies, census population sizes, expert and local consultation, and georeferenced occurrence data (Mastretta-Yanes, da Silva, et al., 2024). Critical challenges identified in this assessment were the lack of any – even rough census size – data for particular taxonomic groups located in inaccessible regions (e.g., areas that are politically or geographically challenging to access) and a lack of historical data. Overall, the assessment highlighted the need for capacity-building and the development of ready-to-use tools to expedite and scale up monitoring (Hoban, da Silva, et al., 2024).

172 Contributions of Earth Observation satellites to biodiversity assessment

Earth Observation (EO) satellites and aircraft have become indispensable for understanding and monitoring global change. They are used for environmental

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175 assessments, disaster risk management, land and sea use, atmospheric and climate
176 change, and to study changes in biodiversity (Mairota et al., 2015). While other
177 technologies based on airborne and field-mobile platforms exist, here we focus on
178 Space-based EO satellites such as the Copernicus Sentinel satellites and the NASA
179 Earth Observing System (EOS) (Table 1), which make (global) data publicly available,
180 in near real-time and free of charge (Malenovský et al., 2012).
181
182 EO data have unique attributes such as covering large geographic areas, providing
183 non-intrusive global coverage, and providing uniform data sets over multiple decades
184 (e.g., Landsat data since the 1970s<sup>2</sup>). These data are used to obtain information for
185 environmental analyses and biodiversity assessment, often at the ecosystem level.
186 Examples are land use and land cover (LULC) change; vegetation biochemical
187 properties and conditions (e.g. Normalized difference vegetation index, NDVI) as well as
188 structural information such as green leaf area index (LAI) and vegetation height (traits);
189 land surface phenology; and photosynthetically active radiation (PAR) important for
190 vegetation health and productivity (Verrelst et al., 2015). This information is then often
191 used in models to infer species composition, traits, and other properties of ecosystems
192 at the landscape scale (Mayor et al., 2024, 2025; Pasetto et al., 2018).
193
194 Uniquely and importantly, EO typically provide repeat measurements of the same area
195 on a time scale of days to weeks, globally. For example, the Copernicus Sentinel-2
196 satellite monitors the entire globe in five days, with more frequent observations for some
197 locations on Earth depending on the geographical latitude, but less frequent usable
198 observations depending on cloud cover<sup>3,4</sup> (Box 2). The Sentinel family of satellites have
199 observed the Earth's surface with different instruments continually starting in 2014,
200 detecting reflected radiation in the visible, infrared, microwave regions of the spectrum,
201 at up to 10 m spatial resolution, depending on the sensor and satellite (Malenovský et
202 al., 2012). Sentinel-2 provides multispectral images that can be used to assess, for
203 example, vegetation structural properties such as LAI (Sebastiani et al., 2023) or
204 vegetation conditions such as water content (Helfenstein et al., 2022; Sims & Gamon,
205 2003; Sturm et al., 2022). The European Copernicus Sentinel satellites and
206 observations are complemented by long-term records obtained by the NASA Landsat
207 and Earth observing satellites since the 1970's. All ESA and NASA data are available
208 openly and freely to all users, and are ideal for biodiversity assessment and monitoring
209 from local to global scales, and annual to multi-decadal time frames (see available tools
210 in Table 1).
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<sup>&</sup>lt;sup>2</sup> https://landsat.gsfc.nasa.gov/

<sup>&</sup>lt;sup>3</sup> https://sentiwiki.copernicus.eu/web/s2-applications

<sup>&</sup>lt;sup>4</sup> https://esamultimedia.esa.int/docs/S2-Data Sheet.pdf

**Table 1**. EO platforms that lower or eliminate technical and financial barriers to application for genetic diversity monitoring and other uses by EO non-experts. For more technical details, see a recent comprehensive overview (Ustin & Middleton, 2021).

	EO Tool	Access	Brief description	
Data browser / access to satellite data	Copernicus browser	https://dataspace.coper nicus.eu/browser/	Easy visualization browser for Copernicus Sentinel data, download portal for archive of Sentinel data and products	
	Earth Data	https://search.earthdata. nasa.gov/search	Discover and download NASA EO data; many different sensors available	
	Earth Explorer	https://earthexplorer.usg s.gov/	Discover and download NASA (and Copernicus Sentinel) EO data; many different sensors available	
	Sentinel Hub EO browser	https://www.sentinel-hub .com/	Browser for satellite data including options to display thematic topics and indices	
	ESA third-party missions	https://earth.esa.int/eog ateway/missions/third-p arty-missions	Browser for satellite data from commercial and other third-party sources shared with the public via ESA	
	Google Earth Pro	https://www.google.com/ intl/en/earth/about/versi ons/#earth-pro	Easy-to-use Earth software including (historical) high-resolution commercial images made freely available for visual inspection (irregularly)	
	Google Earth Engine	https://earthengine.goog le.com/	Satellite EO data repository, cloud computing platform and API; free for academics & research	
	Microsoft Planetary Computer	https://planetarycomput er.microsoft.com/	Global environmental data catalogue, cloud computing platform, and API	
ta	Global Forest Watch	https://www.globalforest watch.org/	Browse metrics of forest and biodiversity change from national and sub-national to global scales	
Process(ed) satellite data	Global Mangrove Watch	https://www.globalmang rovewatch.org/	Remote sensing data and tools with near-real-time information for monitoring mangroves at global scale	
	Sentinel Hub custom scripts	https://custom-scripts.se ntinel-hub.com/	Scripts to calculate products from Sentinel data	
sitories	Earth Observing Dashboard	https://eodashboard.org/ explore	Tri-agency dashboard by NASA, ESA and JAXA for browsing EO data, with interactive features and simple analytics by drawing an area of interest	
Information repositories	Earth Online	https://earth.esa.int/eog ateway/catalog	Catalog of data from ESA's EO missions	
	Landsat Science	https://landsat.gsfc.nasa .gov/data/data-access/	Overview of access to NASA data products from Landsat and many other platforms	
	SentiWiki	https://sentinels.coperni cus.eu/web/sentinel/mis sions	Overview of the Copernicus Sentinel missions	

For example, data from Sentinel-2 can be browsed via the Copernicus Browser. This cloud-based platform is easy to navigate for reviewing and visualizing the results from various combinations of different spectral bands (see **Glossary**) and satellites without the time-consuming, inefficient, and sometimes infeasible process of downloading a very large amount of data to a local computer for analysis. Alternatives include Google Earth Engine's web interface or Python API and Microsoft's Planetary Computer. This facilitates much-needed access to the resulting information, especially for areas with limited observations or that are difficult to access.

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#### Box 2: Key concepts and considerations when using EO data

Key references are given in the main text referring to Box 2.

- The smallest area observed by EO sensors a pixel always comprises a mixture of elements (different species, underlying ground cover, etc.) and there are techniques for "unmixing pixels".
   Uncertainties will be greater at transitions between different types of Earth surfaces (e.g., at the edges of ice floes or forests) due to pixel mixing.
- Uncertainties are generally greater at the edges than at the centers of images although well-established georectification algorithms are used to account for edge, terrain, and other possible distortions when mapping pixels to the Earth's surface.
- Water strongly absorbs many wavelengths of electromagnetic radiation (signals measured by EO), and EO capabilities for aquatic species are best developed for species living at or near the water's surface.
- 4. Data are continuously available but not continuously usable: cloud cover can obstruct optical images, posing challenges especially for tropical regions. Active sensors like synthetic aperture radar (SAR), e.g. on Sentinel-1, provide information even in the presence of cloud cover. There are well-established procedures to correct for atmospheric effects of aerosols, water vapor, etc. For public data, these corrections are normally documented and attached to each dataset.
- Generally, public data providers (e.g., space agencies like ESA and NASA) publish their algorithms so that the path from the acquisition of a signal to geophysical and biophysical products is transparent and traceable.
- 6. Public data products improve over time with improving knowledge and technology, and thus have a defined lifetime that is documented by different versions of products. Commercial EO data, which usually have the advantage of higher spatial resolution and can be "tasked" to acquire frequent observations for a given target area, may not have such detailed traceability and continuity as public EO data.
- 7. In situ calibration data is crucial for calibrating satellite data and essential for uncertainty and quality assessment and interpreting the signal in terms of Earth surface (target) properties. It is also important for training of classification algorithms using artificial intelligence (AI).
- 8. Assessment of uncertainty is more challenging for datasets leveraging AI or interpolation to improve spatial resolution or image aesthetics.

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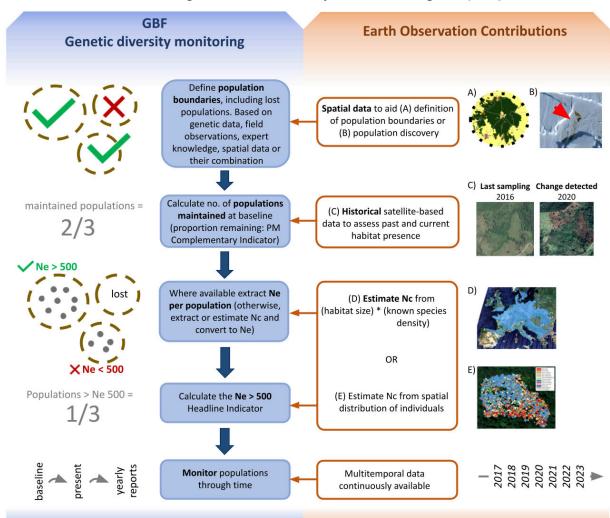
226 In a few cases, EO data has already been used to obtain information about species at 227 the same (population) level at which genetic diversity is measured. Among the most

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228 outstanding of these applications is the identification and monitoring of emperor penguin
229 (Aptenodytes forsteri) colonies in Antarctica. These penguins are upper-level predators
230 and are considered a biomonitor of ecosystem change in the Southern Ocean
231 (Barber-Meyer et al., 2007; Bargagli, 2005; Fretwell et al., 2012, 2023; Fretwell &
232 Trathan, 2009, 2021; Kato et al., 2004; Kooyman & Mullins, 1990)2, 2023; Fretwell &
233 Trathan, 2009, 2021) (Bargagli, 2005; Kato et al., 2004; Kooyman & Mullins, 1990).
234 Given that their reproductive cycle is intimately linked to the integrity of the sea-ice
235 coastline, they are sensitive to dynamic processes in the wider Antarctic ecosystem.
236 Under current warming trends, over 80% of colonies are predicted to be almost extinct
237 by the end of the century (Fretwell & Trathan, 2021). These colonies can be assigned to
238 one of at least four metapopulations based on genetic data and corresponding to
239 geographic regions (Younger et al., 2017). One of the major limitations on studying
240 these populations is accessibility, given the remote and extreme conditions in which
241 they live (e.g. -60 °C). Recently, researchers have applied machine learning approaches
242 to publicly available Sentinel-2 satellite imagery to achieve a global census of this
243 keystone species – approximately 600,000 individuals across 66 colonies (Fretwell et
244 al., 2023). EO have thus become useful for monitoring penguin colonies and their
245 habitat, taking advantage of the sharp contrast between penguins, their dark guano, and
246 the background ice. Collectively, the emperor penguin studies indicate how EO provides
247 cost-effective data to monitor species in an inaccessible location, giving access to
248 fundamental information like changes in estimated population size and dramatic habitat
249 modifications. The identification and monitoring of emperor penguin colonies in
250 Antarctica by EO suggests that it is feasible to use EO to estimate the N_{\rm e} > 500 and PM
251 indicators.
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253 Despite the demonstrations of its potential (Barber-Meyer et al., 2007; Fernández, 2013;
254 Fretwell & Trathan, 2009; Schuman et al., 2023), EO data still have not been used for
255 genetic diversity monitoring and assessment (Skidmore et al., 2021; Timmermans &
256 Kissling, 2023) – although some initiatives connect landscape features to the
257 conservation of populations (Cousins et al., 2022). Here, we describe how the current
258 and forthcoming advances in EO capabilities, such as improved spatial and spectral
259 resolution, can be used together with novel CBD genetic diversity indicators (Box 1) to
260 facilitate the monitoring, assessment and conservation of genetic diversity in support of
261 the GBF.
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263 We demonstrate how currently available and accessible EO data can support
264 assessment of the genetic diversity indicators for the monitoring framework of the
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265 Kunming-Montreal GBF. We propose an overarching workflow with descriptive steps to 266 enable and accelerate genetic diversity monitoring using EO, and demonstrate the 267 advantages of integrating EO in a set of examples with high priority for biodiversity

- 268 assessment, monitoring and conservation. By discussing these examples, each with 269 distinct challenges and opportunities, we show how available EO data can be 270 embedded in innovative ways to support the calculation of genetic diversity indicators,
- 271 especially in areas with limited research infrastructure or access, and why we can look
- 272 forward to applications of EO for assessing genetic diversity more directly.

# 273 EO contributions to genetic diversity monitoring: A proposal



**Figure 1**. Overview of the proposed workflow for integrating EO data with genetic diversity monitoring and estimating the key GBF indicators proportion of populations within a species maintained (PM indicator) and proportion of populations within a species with a genetically effective population size  $(N_e) > 500$   $(N_e > 500$  indicator) (see also **Box 1** and **Box 3**).

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281 In the indicator context, a 'population' is a group of spatially aggregated, interbreeding 282 individuals, genetically distinct from other similar groups (Mastretta-Yanes, Suárez, et 283 al., 2024; Waples & Gaggiotti, 2006). Spatially speaking, this translates into a 284 subsection of the range that the species inhabits. Whether a population still exists, and 285 whether it has grown, shrunk, migrated or maintained its size, is often linked to changes 286 in its habitat extent (Mace et al., 2010). Habitat extent can change due to land use 287 change, which can in turn be quantified and monitored with EO. Thus, EO can be used 288 for observing and monitoring changes in habitat extent where populations occur, or in 289 changing boundary conditions of habitats (such as long-term changes in land surface 290 phenology (Garonna et al., 2018). We propose that this use can contribute to 291 estimating, and monitoring change in GBF genetic diversity indicators (Fig. 1). This can 292 be done in at least two ways: First, by assessing the likelihood of a given population's 293 continued existence for the PM indicator and second, by estimating a relationship 294 between habitat size and the number of mature individuals of a species living in this 295 habitat (density) to estimate N<sub>c</sub>. In some cases (for large and immobile individuals such 296 as trees), N<sub>c</sub> may be more directly estimated from EO (see **Outlook**). In either case, EO 297 data supports the assessment of the  $N_e > 500$  indicator by providing a proxy for  $N_c$  data 298 from which N<sub>e</sub> can be estimated using the N<sub>e</sub>:N<sub>c</sub> ratio (**Box 3**, **Fig. 1**).

300 Our proposed workflow relies on the following assumptions:

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- That a habitat of a particular size does support a species population;
- That habitat quality can be sufficiently well assessed by EO; and
- That the relevant threats to populations are visible at the habitat scale (e.g., land-use change, but not poaching).
  - The workflow furthermore requires information about the location of populations, population density (N<sub>c</sub> per area), and N<sub>e</sub>:N<sub>c</sub> ratio.

In other words, the proposed approach would work for species where habitat changes such as land cover and land use change (see Example: Monitoring habitat change to infer PM and  $N_e > 500$  change in wild relatives of domesticated crops), or landscape modification and fragmentation, can be detected and quantified using EO (Box 3, Fig. 1).

#### Box 3: A workflow to support genetic diversity monitoring with EO

We propose the following steps to include EO data for monitoring genetic diversity of species' populations. We note that not all steps are feasible for all species.

- Define population boundaries.
  - a. Define populations that can be related to habitat area and size, where the area and size can be identified with support of EO.
  - b. Pinpoint the contribution of EO (e.g. systematic land cover mapping or habitat assessment, systematic identification of population presence or activity) and identify what other information is needed.
- 2. Calculate the number of populations maintained (PM).
  - Leverage current and historical EO data to assess recent trends in population presence and distribution.
  - b. Use EO to support mapping population distribution, which can later help to guide *in situ* monitoring and conservation efforts.
- 3. Calculate the number of populations with  $N_a > 500$ .
  - a. Define the relationship between area size and census size of each population to be monitored (e.g., validate  $N_{\rm c}$  estimates from ground data).
  - b. Use  $N_c$  estimates from EO area size or direct observations (e.g. for trees) to infer  $N_e$  with the ratio  $N_e$ :  $N_c \sim 1$ : 10 for each population, and estimate the number which are above the  $N_c > 500$  threshold.
- 4. Monitor the population areas for maintenance and size over time.
- Targeted re-assessment.

313 314

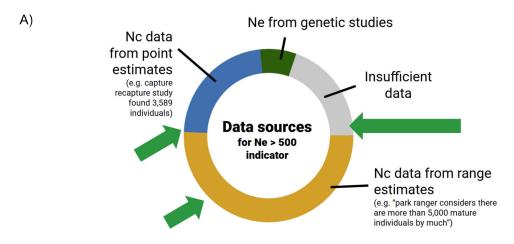
We propose that this approach will be most useful for cases in which there is still insufficient data to calculate the indicators but sufficient information about the location of species populations, habitat, dispersal distances, and approximate density (Fig. 2A). We furthermore expect that this approach can facilitate and accelerate indicator calculation even in cases where N<sub>c</sub> estimates are available by making remote observation possible. In some cases, N<sub>c</sub> estimates will be possible directly from EO data (Fig. 1, Outlook). Critically, we expect this approach to enable more frequent change monitoring in all cases (Fig. 2B).

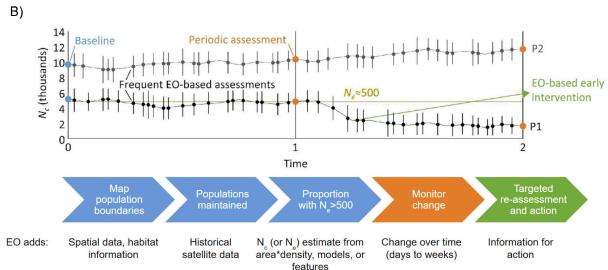
323

The major challenge is to ensure the useability and accessibility of EO data for specific applications, such as biodiversity monitoring, as it requires expert knowledge to extract the needed information<sup>5</sup> (**Box 2**) (Pahlevan et al., 2021; Silva et al., 2008). The integration of EO data as an additional source of indirect (habitat extent, fragmentation, or direct information (N<sub>c</sub> estimates, and see **Outlook**) about genetic diversity indicators for the assessment and monitoring of biodiversity requires the co-development and production of such information through collaboration among experts in population and conservation genetics and genomics, remote sensing, geography and geospatial information, ecology, conservation, and practitioners who will ultimately use this information routinely.

334

<sup>&</sup>lt;sup>5</sup> And see <a href="https://www.ucgis.org/gis-t-body-of-knowledge">https://www.ucgis.org/gis-t-body-of-knowledge</a>





335 **Figure 2.** Contributions of Earth Observation satellites (EO) to genetic diversity
336 monitoring. (A) Enables estimation of key GBF indicators in cases where other data that
337 could be used to calculate key indicators are unavailable, but can also complement
338 assessments where ground data and expert knowledge are available (green arrows).
339 (B) Enables more frequent monitoring, prioritization, and "early warning" systems even
340 in cases where DNA data and expert knowledge is sufficient to calculate genetic
341 diversity indicators. Plot in (A) was made with data from Mastretta-Yanes et al (2024).

Example: Monitoring habitat change to estimate the  $N_e$ >500 and PM indicators in wild relatives of domesticated crops

The wild relatives of modern-day crops (e.g. crop wild relatives, CWRs) harbor an important proportion of crops' genetic diversity (Maxted et al., 2006). In Mexico, CWRs are threatened mainly by land use and land cover change (Goettsch et al., 2021).

Several species (spp.) of wild avocados (*Persea* spp.) and teosintes (*Zea* spp., related to maize or corn) inhabit locations that are often dangerous or difficult to visit. Within these genera, several wild species are endangered or critically endangered (Goettsch et al., 2021). Populations of these species cannot be directly observed with EO due to the typical size of individuals and their habit of living under forest canopies, but critical aspects of their native habitat, such as proximity to and association with nearby forests, can be observed. In particular, tree-cover loss (land use or land cover change, and thus habitat loss) can be quantified to infer which populations may be experiencing greater decline. In terms of its impact on genetic diversity, habitat loss could mean population sextinction (habitat annihilation in a given region, PM decline) or reduction of the effective population size (smaller habitat space, fewer individuals, N<sub>e</sub> decline and thus elevated loss of genetic diversity through genetic drift, see **Glossary**).

359

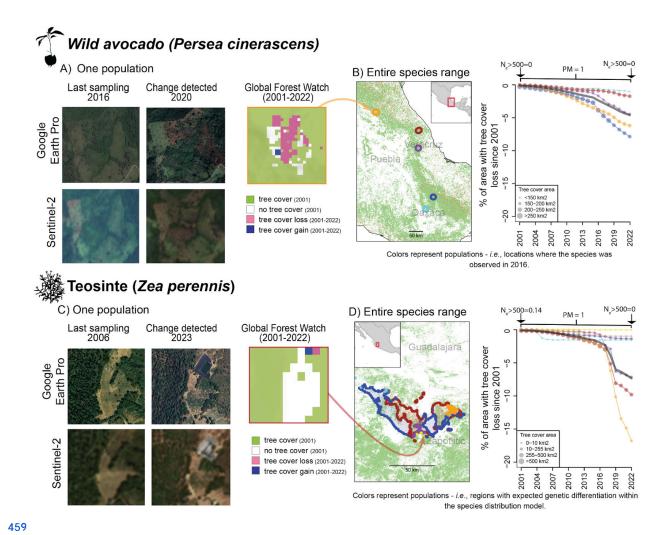
360 EO are not yet used to monitor CWRs, but this could be achieved using publicly 361 available EO data in a few straightforward steps. The first step is to define population 362 boundaries (Fig. 1) based on occurrence points (combined with a rule for aggregating 363 points to populations) or species distribution models, using methods including, for 364 instance, geographic features (e.g., different mountains harbor different populations) or 365 eco-biogeographic differences (e.g., different environmental zones harbor different 366 populations) (Hoban et al., 2023; Tobón-Niedfeldt et al., 2022). The second step is to 367 assess whether populations have been maintained since the last observation (PM 368 indicator). In classical monitoring approaches, this would imply traveling to the locations 369 on a regular basis. However, doing this for several species in megadiverse or large 370 countries is challenging to impossible in terms of time and cost. EO data can be used in 371 such situations to detect habitat loss using either visual inspection of satellite images or 372 by analyzing satellite-derived time series of land use and land cover (LULC) change, 373 such as tree-cover loss. The images and their derived products such as tree-cover 374 change are publicly available free-of-charge from repositories such as the Sentinel Hub, 375 Google Earth, and Global Forest Watch (Table 1). The third step is to estimate genetic 376 diversity indicators from habitat size information. For the PM indicator, the procedure is 377 straightforward: populations that have lost all of their habitat over time are expected to 378 be lost, and the fraction of remaining populations corresponds to the PM indicator. For 379 the Ne>500 indicator, two assumptions must be made. The first pertains to the 380 population density of the species being studied: if we know the habitat size and 381 population density, we can estimate the population's census size. The second 382 assumption involves the Ne:Nc ratio: given the census size of a population, we can 383 estimate the corresponding effective population size (N<sub>e</sub>). Once the effective size of 384 every population (N<sub>e</sub>) is estimated, we can calculate how many populations are above 385 the threshold value of 500.

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387 Our first example is Persea (P.) cinerascens, a wild avocado growing among the tree
388 species composing cloud forests, Mexico's most biodiverse terrestrial ecosystem type
389 per unit area (Conabio, 2023; Rojas-Soto et al., 2012). P. cinerascens occupies less
390 than 500 km<sup>2</sup> in a total of five populations separated by ca. 50-200 km in three
391 geographic locations<sup>6</sup>. The species' presence was confirmed during the last visit to the
392 known field localities in 2017, although no population size measurement was
393 conducted. The second example is the teosinte species Zea (Z.) perennis. The location
394 of a Z. perennis is only known from two areas, encompassing two genetically
395 differentiated populations (Rivera-Rodríguez et al., 2023). These locations were last
396 visited and populations observed in 2008, when conducting sampling for genetic studies
397 (Rivera-Rodríguez et al., 2023). Based on those data, the N<sub>e</sub> of both teosinte
_{398} populations is below 500, so the N_{\rm e} > 500 indicator value for the species is zero
399 according to the first multinational assessment of genetic diversity indicators
400 (Mastretta-Yanes et al., 2023). Unfortunately, although populations of both species were
401 observed in the field relatively recently (2017 and 2008, respectively), their habitat is
402 suspected to have decreased or disappeared due to rapid land use change.
403
404 EO data enable the monitoring of genetic diversity for these two species by assessing
405 the persistence of their habitats in the locations where the species were last observed
406 or sampled in situ, directly informing the PM indicator without the need for costly and
407 dangerous field assessments. Direct inspection of true-color satellite images (Fig. 3A
408 and 3C) allows a rapid assessment of vegetation, land use and land cover changes. By
409 comparing satellite images taken before the last ground sampling (2016 for P.
410 cinerascens and 2006 for Z. perennis) with more recent images, habitat change can be
411 estimated. For instance, this method showed that for P. cinerascens, a controlled forest
412 fire occurred in 2020 to clear land for agriculture, indicating a threat to the maintenance
413 of this population. Conversely, for Z. perennis, the boundary of the avocado farm
414 adjacent to the sampling location remained unchanged between 2007 and 2023.
415
416 Using the history function of Google Earth Pro often provides free access to
417 high-resolution satellite images, although availability is by chance (i.e., different years
418 and seasons), and automated processing is not possible with this platform. These
419 limitations can be overcome using time-series analysis of publicly available EO data,
420 such as Sentinel-2 images (10 m spatial resolution, 5-day temporal resolution since
421 2016), which can be combined with Landsat images (30 m spatial resolution, available
422 since the 1970s). However, as a simple starting point, significant habitat changes can
423 already be detected visually by selecting one high-quality image per year from the same
424 season (e.g., dry season, as opposed to the rainy season) and examining such an
425 annual time series. Additionally, products derived from EO data describing habitat and
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<sup>&</sup>lt;sup>6</sup> https://www.iucnredlist.org/species/110067105/129767329

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426 biodiversity changes are already accessible for non-EO-experts through platforms like
427 Global Forest Watch, which provides assessments of tree cover loss (defined as
428 removal or mortality of vegetation taller than 5 m) and tree cover gain derived through
429 automated interpretation of 30 x 30 m EO data (Hansen et al., 2013; Potapov et al.,
430 2022). Thus, this platform enables rapid assessments of tree cover loss over time
431 (2001-2022) and might serve as an effective early alert system for habitat change
432 detection (Schneider & Olman, 2020) (Fig. 3B and D).
434 These different tools and datasets can be applied to crop wild relatives, either for
435 assessment of low-dispersal species or for landscape-scale assessments incorporating
436 species distribution models (SDM), as commonly employed in systematic conservation
437 planning and management (Tobón-Niedfeldt et al., 2022). For species with few
438 occurrences – such as P. cinerascens – buffer zones around the specific areas can be
439 used to assess whether the surrounding habitats crucial for their survival are adequately
440 considered and protected. For more widely distributed species, such as Z. perennis,
441 SDMs serve as a proxy for species distribution ranges (Villero et al., 2017). SDMs can
442 be leveraged for genetic diversity monitoring by subdividing them into areas where
443 some level of genetic differentiation is expected, for instance, due to environmental
444 differences or historical isolation (Tobón-Niedfeldt et al., 2022; Villero et al., 2017). Once
445 buffer zones around occurrence records, or SDMs, have been delimited and subdivided
446 with proxies of genetic differentiation, they can be regarded as different populations for
447 monitoring purposes. Subsequently, land use and cover change can be quantified and
448 assessed in terms of habitat loss trends. For instance, in the case of wild avocado (Fig.
449 3B), the habitat surrounding the "purple population" (see colored circle) had a high
450 percentage of tree-cover loss during the last two decades but remained large in
451 absolute terms. Contrastingly, the "green" population already had minimal remaining
452 natural vegetation, making subsequent losses more threatening to its survival. Similarly,
453 in the teosinte example (Fig. 3D), the "red" population exhibited the most significant
454 decline and is the second smallest, while it appears that the protection of the "yellow"
455 population was successful. Note that the individual population trends differ from the
456 species mean (dark black line), highlighting the importance of separately evaluating
457 populations within a given species.
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458



**Figure 3**. Examples of habitat monitoring using EO for A-B) a wild avocado (P. 461 cinerascens) and C-D) a teosinte (Z. perennis). Shown in A) are the comparisons of 462 imagery available from either Google Earth Pro (high spatial resolution) or Sentinel-2 463 showing habitat change for a wild avocado population, and the evaluation of tree cover 464 change from Global Forest Watch. In B), the combination of Global Forest Watch data 465 with ground data from 2017 (circles) indicates that the change took place between 2017 466 and 2020. In C), data from Google Earth Pro and Sentinel-2 for a different time frame 467 indicate there has been no change in forest cover for the teosintes population which 468 was last observed from the ground in 2008. In D), analysis of percentage tree cover 469 change since 2001 and total tree cover used as an indicator for habitat change and size 470 for distinct ecoregions (individual colors) of teosinte, and the black line shows the 471 average over all populations. The PM indicator is estimated assuming that habitat 472 maintenance indicates population maintenance, and the  $N_e > 500$  indicator is estimated 473 assuming a low population density of  $N_c = 10$  individuals / km² and  $N_e : N_c = 0.1$ .

In both species, despite the clear decline in habitat size observed in some populations, 476 no population experienced a complete loss of habitat. Therefore, the PM indicator for 477 both species is estimated to be 1. However, populations of both species cover very 478 small areas, often less than 200 km². Assuming a low population density of 10 479 individuals per km² and a conservative  $N_e$ : $N_c$  ratio of 0.1, all populations remain below 480 the critical effective population size threshold of 500. Therefore, while PM = 1,  $N_e$  > 500 481 is zero. This example shows how integrating habitat monitoring using EO within a 482 population genetics framework can inform the assessment of the GBF indicators and 483 the prioritization of *in situ* observations and future interventions.

## 484 Outlook: Genetic diversity assessments using EO

496

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485 EO offers measurements at landscape level that are repeated in space and time. These
486 observations are captured in wavelengths beyond the human-visible range of the
487 electromagnetic spectrum and yield detailed and traceable information about processes
488 that affect the composition and distribution of species at landscape scales. This
489 information can be used directly to monitor and assess changes in habitats and, via
490 proxies, change in genetic diversity within and between populations. Furthermore, it can
491 help managers prioritize interventions and target them in space and time to areas where
492 rapid changes are taking place, hence mitigating damage and maintaining or enhancing
493 their resilience and protecting biodiversity. The cost-effectiveness of this approach is
494 noteworthy as many biodiversity hotspots are located in economic resource-limited
495 regions.

497 Available EO data and information, combined with ground-based methods, can be used 498 for assessing and monitoring the quantity and quality of locally available habitat for 499 geolocated populations, and can inform the PM and  $N_e > 500$  indicators in several ways 500 (**Table 2**): (1) Informing the PM indicator if habitat integrity or species vitality descends 501 below a certain threshold, below which a species can be assumed to be locally lost; (2) 502 informing the  $N_e > 500$  indicator either (i) directly, if species density per unit area is 503 known or if groups of mature individuals can be directly observed, or (ii) indirectly, where 504 a baseline  $N_e$  value is known for a given population, the expected decline could be 505 estimated as a function of habitat loss; and (3) supporting prioritization of *in situ* 506 monitoring or conservation actions, or an early alert system, so that resources are 507 directed to the regions where more change is occurring and ground-based observations 508 are most needed.

Currently, the procedure outlined above is largely theoretical, but the examples above indicate its utility and potential importance. This potential for EO-based genetic diversity monitoring needs to be verified with ground-based data before large-scale deployment, although performing the necessary fieldwork is generally only possible "pointwise" for

- 514 large countries and regions and may be restricted by limited operational resources.
- 515 Thus, EO provides valuable global information, especially where no other data are
- 516 available. Where local in situ monitoring, citizen science and other sources of ground
- 517 data are, or become, available, EO data will be better complemented.

518

**Table 2.** Proposed uses of EO data for genetic diversity monitoring.

Uses of EO data	Implementation for genetic diversity monitoring	Current limitations
Species range and habitat mapping Accuracy increases with prior knowledge and in terrestrial habitats	Inference of census size from dispersal distance data, occupation density data, or occasionally counts of dominant individuals; supports assessment of N <sub>e</sub> >500	Cannot directly measure effective or census population sizes (N <sub>e</sub> or N <sub>c</sub> )
Estimate population size and number Accuracy increases when combined with observational data	Inferred population locations can be combined with other data (e.g. biogeographical, traditional knowledge) to infer population boundaries or support the design of comprehensive DNA studies to confirm this	Cannot independently identify genetically distinct populations
Detect habitat and ecosystem change Requires a baseline and continued monitoring	Develop EO-based alert systems to support genetic diversity protection in real time and to monitor inferred PM or N <sub>e</sub> >500 over time	Cannot detect all on-the-ground threats to individuals (e.g., poaching)
Map variation or change in species visible from Space e.g., trait variation, settlements, migration, breeding activities, species interactions	Currently still a focus of research: see Outlook	Cannot directly estimate genetic diversity

520 A window onto the future – mapping genetic diversity and resilience of an 521 entire tree species using EO

522 A current research focus is to directly map variation or change in species visible from

523 Space (Table 2), such as trees. EO can be used to assess important aspects of tree

524 canopy structure, phenology and functions such as height and density, greening and

525 browning, pigment concentration and water content, or to characterize tree species and

526 even within-species variation. To illustrate the current state of research and

527 development, we use the common beech, Fagus (F.) sylvatica, a keystone tree species

528 that also has high economic importance in forests across Europe. F. sylvatica is closely

529 related to, and likely able to hybridize with, three other species of Fagus found from the

530 Balkans into the Arabian peninsula; in fact, these had all recently been considered to

belong to F. sylvatica (Denk et al., 2024). We have overlaid distribution maps (Caudullo

et al., 2017) with satellite imagery at continental scales: A Sentinel-2 mosaic produced with Google Earth Engine (Gorelick et al., 2017) (**Fig. 4**).

534

540



535 **Figure 4**. Layers of geospatial information on the distribution of Eurasian Fagus: 536 Sentinel-2 mosaic from Google Earth Engine (Gorelick et al., 2017) for visualization 537 purposes, overlaid with species distribution and isolated localities (dots) (Caudullo et al., 538 2017): F. sylvatica (blue) and the distributions of three closely related species (red) 539 (Denk et al., 2024).

Beech species (Fagus spp.) pollen is spread both by insects and wind, and the species has relatively low genetic differentiation among different forest stands, so that divisions into populations are also challenging (Milesi et al., 2024).  $N_e$  estimates are likewise challenging: For example, genetic analysis of a stand in France with 167 individuals yielded  $N_e$  estimates ranging from two to 25 depending on the calculation method used (Gargiulo et al., 2024). This was of course below the threshold of 500 that would require ca. 5000 individuals to be analyzed; however, the results include the guideline ratio of  $N_e$ :  $N_c$  = 0.1 that would correspond to an  $N_e$  of 17 in this example. The weak, yet discernible genetic structure of  $N_e$ :  $N_e$ 

moderate isolation of populations by distance (Lazic et al., 2024; Milesi et al., 2024) – 551 reveals its post-glacial migration history but also depends on management and planting 552 decisions in forestry. Here, we discuss how recent developments in EO technologies 553 can support the assessment of beech genetic diversity both in terms of the GBF 554 indicators (**Box 1**), and in terms of essential biodiversity variables (EBVs) for genetic 555 diversity (**Box 4**).

556

Decades of population genetics studies on *F. sylvatica* have produced hundreds of datasets on its genetic diversity and differentiation (Stefanini et al., 2023). However, these studies have used different molecular methods over time, and the advent of genomics has not resolved the issue. Older studies based on previously favored molecular markers remain valuable and are complemented, not replaced, by a newer generation of single nucleotide polymorphism (SNP)-based studies using genomic approaches (Stefanini et al., 2023). Furthermore, these SNP-based studies may in near future be overtaken by newer genomic markers such as kmers and structural variants (Roberts et al., 2024; Stefanini et al., 2023). As such, there is not a single common and agreed-upon way to measure the genetic EBVs (Box 4) for this species using DNA data, but rather several complimentary possibilities; and the situation is similar for many other species for which DNA-based population genetic data are available.

569

#### Box 4: Essential Biodiversity Variables (EBVs)

Essential variables have been developed to understand and measure climate, biodiversity, and other components of the Earth system (e.g. Essential Climate Variables, Essential Ocean Variables). The concept of Essential Biodiversity Variables (EBVs) was introduced to advance the collection, sharing, and use of biodiversity information (Pereira et al. 2013; Navarro et al. 2017), providing a way to integrate the many biodiversity observations collected through different methods such as *in situ* measurements or remote sensing (https://geobon.org/ebvs/what-are-ebvs/). EBVs are scalable, meaning the underlying observations can be used to represent different spatial or temporal resolutions required for the analysis of trends.

The EBVs for genetic composition include (Hoban et al. 2022; Junker et al. 2023):

- 1. Effective population size: Size of an ideal population that loses genetic variation at the same rate as the focal population. Related to the N<sub>p</sub> > 500 indicator (see Box 1).
- Inbreeding: Degree of relatedness between pairs of individuals, mating among relatives, or identity by descent. Not assessed by either the N<sub>e</sub> > 500 indicator or the PM indicator (see Box 1).
- Allelic richness and heterozygosity: Count of the number of alleles in a population or expected proportion of heterozygotes in a population at equilibrium. Not assessed by the N<sub>e</sub> > 500 and PM indicators.
- 4. Genetic differentiation: Number of genetic units and degree of genetic differentiation among population units. Related to the PM indicator.

570 571

It is possible to infer the number of dominant (canopy-forming) *F. sylvatica* trees in EO images. To locate *F. sylvatica* (estimate the potential occurrence and coverage),

distribution data over the species range (e.g., **Fig. 4**) can be divided into forested and non-forested areas (e.g., with land use land cover change or LULC products, or forest coverage products). Where more information is available, these can be classified as forests with known locations, percentages, or densities of beech trees using maps of land use, forest communities, or inventories, ideally combined with local and specialist knowledge. For application to larger scales or where less information is available, tree species classification using EO data has been demonstrated in beech habitats with simple machine learning approaches (Grabska-Szwagrzyk et al., 2020), neural networks (deep learning) on high spatial resolution data (Kaplan et al., 2024; Yao et al., 2021), or a combination of active and passive EO data from Sentinel-1 and Sentinel-2 in land lime series combined with forest inventory data (Blickensdörfer et al., 2024). In-field or aerial datasets with high resolution and accuracy are important for further refining tree species classification with EO (Fassnacht et al., 2016).

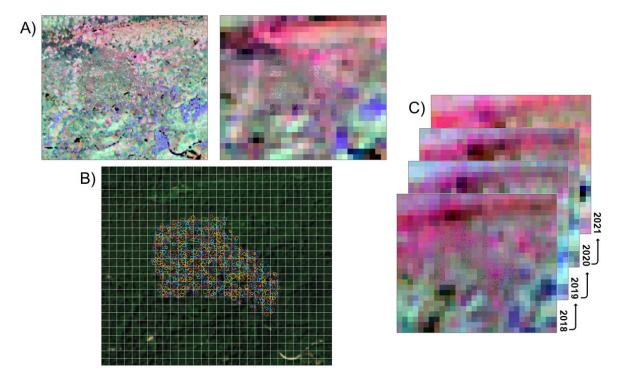


Figure 5. Components of change monitoring over forest canopies using EO. A) Spatial separations scaling of the canopy traits chlorophyll (green), carotenoids (red) and water content (blue) assessed using 2 m aerial imaging spectroscopy data (left), or 20 m EO data (right). B) 20 m Sentinel-2 pixels compared to the crown sizes at the Laegern forest. At 20 m pixels, multiple individuals contribute to the signal obtained for one pixel. C) Physiological traits mapped for the area of interest for four consecutive years using Sentinel-2 data to detect changes in canopy traits.

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596 Such data are available from the Laegern forest in Switzerland, a temperate mixed
597 forest with a high proportion of F. sylvatica. Laegern is the subject of over a decade of
598 remote sensing data collection by airborne imaging spectroscopy (continuous
599 measurements covering most of the solar radiation spectrum with high resolution, i.e.,
600 3-10 nm) several times per year, and complementary fieldwork has been conducted on
601 the south-facing slope (Morsdorf et al., 2020) and in portions of the forest across a
602 compositional gradient. Torabzadeh and colleagues achieved high binary classification
603 accuracy of F. sylvatica versus all other trees in a beech-dominated stand at Laegern
604 (Figs. 5 and 6A) based on pixels – in other words, without needing to define tree
605 crowns (82% producer's accuracy / 92% user's accuracy) (Torabzadeh et al., 2019). It is
606 important to note that binary classification of pixels as depicting beech vs. non-beech
607 was more accurate than multiple classification of pixels as depicting one of several
608 species present (Torabzadeh et al., 2019). At another well-documented test site in
609 Allenwiller, France, where the closely related caucasian beech (Fagus hohenackeriana
610 Palibin) was co-planted with F. sylvatica, Kaplan and colleagues (2024) used a similar
611 pixel-wise approach to distinguish these species with better than 90% accuracy (F1
612 score) using high-resolution (3 m) commercial multispectral EO data provided free of
613 charge for research purposes by PlanetScope. Both of these approaches used signal
614 characteristics overlapping with the detection ranges of current public EO instruments.
615 Transferring these approaches to public data requires scaling from 3 m spatial
616 resolution to ca. 10 to 20 m spatial resolution (Fig. 5).
617
618 A census number (N<sub>c</sub>) of reproductively mature beech trees could be locally estimated
619 directly from beech canopy pixels discernible from EO data via species classification.
620 This could then be used to approximate the N_e > 500 indicator. This approach would
621 likely yield an underestimate because N<sub>c</sub> from EO would count dominant
622 (canopy-forming) reproductively mature trees that are the easiest to detect from above,
623 while reproductively mature but co-dominant, intermediate, and suppressed trees are
624 difficult to assess. Inventory data, or data from in situ sources, could support the
625 estimation of N<sub>c</sub> via tree density and be used to upscale to larger areas. Changes in PM
626 and N<sub>e</sub> > 500 for known, monodominant populations of F. sylvatica could also be
627 assessed by forest cover loss. Furthermore, the risk of losing individual trees from forest
628 canopies could be predicted from changes in canopy vitality via changes in trait values.
629 An approach to use EO to quantify the relationship of trait diversity to forest canopy
630 drought responses has recently been demonstrated using public EO data at 20 m
631 spatial resolution (Helfenstein et al., 2022, 2024; Sturm et al., 2022) (Fig. 5). European
632 beech forests are increasingly threatened by drought, and individual trees vary in their
633 susceptibility, in part due to genetic differences (Bolte et al., 2016; Braun et al., 2021;
634 Pfenninger et al., 2021). Current efforts using high-spatial-resolution data from
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635 uncrewed aerial vehicles (UAVs) to document dead trees could help validate models 636 predicting the dieback of individual trees<sup>7</sup>.

## 637 Toward "Genes from Space"

638 So far, this paper has discussed using EO data to assess genetic change due primarily 639 to habitat change or census count change. More advanced approaches get closer to 640 achieving an ultimate aim of truly measuring genetic diversity from space. Data with 641 higher spatial and spectral resolution data are also used to assess canopy traits and 642 vitality (Asner & Martin, 2016; Helfenstein et al., 2022) instead of the number of 643 individual trees and may furthermore help to predict the genetic distance among 644 individual dominant trees. Czyż and colleagues used time series data from imaging 645 spectroscopy (providing continuous spectra rather than distinct multispectral bands – 646 see Glossary) with high spatial resolution (2 m) to generate a time series of differences 647 among spectra from center-of-canopy pixels for 69 dominant beech trees out of 260 648 dominant trees in a canopy (see Fig. 6A). They correlated these spectral differences, 649 quantified as a conceptual distance with less similar spectra being more distant than 650 more similar spectra, with the trees' genetic distance (a measure of how related the 651 trees are), as determined by five nuclear microsatellite sequences (DNA sequences 652 often used to quantify relatedness). The correlation strength between spectral distance 653 and genetic distance reached a maximum of 60% for some parts of the spectrum at 654 time points when trees were subject to drier conditions and later in the growing season 655 (Czyż et al., 2023) (Fig. 6B). Interestingly, in humans, it is well known that microsatellite 656 sequences, also called short tandem repeats (STRs), fine-tune individuals' genetically 657 encoded responses to environmental pressures (Horton et al., 2023; Wright & Todd, 658 2023); these sequences evolve rapidly, which is why they are also useful to measure 659 the relatedness of even very closely related individuals (Provatas et al., 2024). Thus, the prediction of genetic variation using EO data is sensitive to environmental 661 conditions. Such studies help to investigate how predictable such effects may be and 662 can inform models to predict genetic variation using EO.

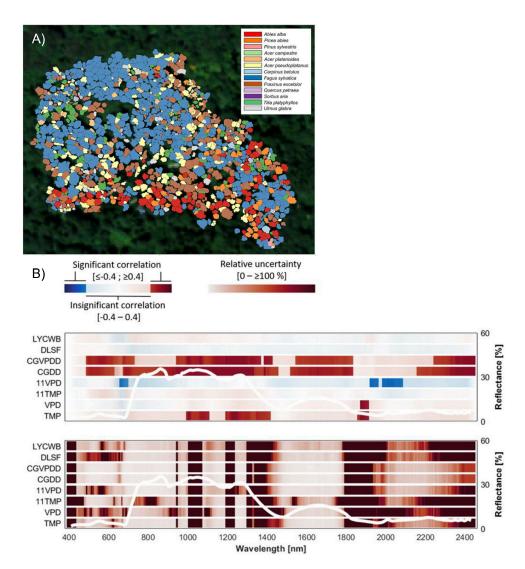
For beech trees, EO from current multispectral and forthcoming imaging spectrometer sensors can thus support the assessment of genetic variation by providing information about forest canopy traits and spectral signatures using time series of observations (Figs. 5 and 6). Combined with a large and growing database of single-time-point genetic data for beech across its range, it may be feasible to develop models to predict genetic variation directly from EO data for *F. sylvatica*, and likely for other dominant forest tree species. Such predictors of genetic variation could improve genetic diversity indicators beyond population maintenance and size towards assessing genetic EBVs

<sup>&</sup>lt;sup>7</sup> https://deadtrees\_earth/

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672 (Box 4). For example, several studies indicate that high-resolution spectroscopy (field
673 and imaging spectroscopy) can reveal quantitative genetic differences and could thus
674 help to scale up measurements of genetic differentiation (Cavender-Bares et al., 2016;
675 Li et al., 2023; Meireles et al., 2020; Seeley, Stacy, et al., 2023; Stasinski et al., 2021).
676
677 These approaches are currently developed for "best-case scenarios" where aerial
678 imaging spectroscopy or even individual leaf-level measurements provide high certainty
679 for assigning spectral data to individual trees (Czyż et al., 2023; D'Odorico et al., 2023;
680 Petibon et al., 2021). Scaling approaches for extending these measurements to
681 landscape level are currently being established, starting with trait estimates such as
682 canopy pigments and water content that are already possible with Space-based EO
683 multispectral sensors (Helfenstein et al., 2022) (Fig. 5) or the delineation of closely
684 related species based on spectral and trait differences from high-resolution EO data
685 (Kaplan et al., 2024).
686
687 When accounting for environmental variation, imaging spectroscopy observations with
688 higher spectral resolution than current multispectral EO (Landsat, Sentinel-2) could
689 even support the estimation of genetic distances across forest canopies. The improved
690 spectral and radiometric capabilities of new EO imaging spectroscopy missions to be
691 launched before the end of this decade by ESA (CHIME: Copernicus Hyperspectral
692 Imaging Mission<sup>8</sup>) and NASA (SBG: Surface Biology and Geology<sup>9</sup>) will enhance the
693 information content of EO measurements by two orders of magnitude compared with
694 currently operating multispectral instruments such as those described so far in our
695 examples. This opens up the possibility of using spectral fingerprints to better
696 distinguish species using EO and even to estimate other components of genetic and
697 trait variation beyond the genetic diversity indicators (Cavender-Bares et al., 2020; Czyż
698 et al., 2023; Kaplan et al., 2024; Li et al., 2023; Meireles et al., 2020; Petibon et al.,
699 2021; Seeley, Stacy, et al., 2023; Seeley, Vaughn, et al., 2023; Stasinski et al., 2021).
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<sup>8</sup> https://www.esa.int/ESA Multimedia/Images/2020/11/CHIME

<sup>9</sup> https://sbg.jpl.nasa.gov/



**Figure 6**. Spectroscopy can help to distinguish species and assess genetic variation. A)
702 Dominant tree crowns assigned to species by aligning forest inventory data with a 3D
703 model of tree crowns and trunks made using LiDAR and photogrammetry; reproduced
704 from (Guillén-Escribà et al., 2021), CC BY. B) Upper panel: Spectral similarity is
705 correlated with a genetic relatedness measure (Nei's genetic distance) for 69 large
706 dominant beech canopies in (A), with correlation strength related to environmental
707 factors. Lower panel: Estimated relative uncertainties of correlations. White lines: mean
708 canopy reflectance measured for focal trees (0-60% of incident sunlight). Environmental
709 factors: temperature on day of acquisition [°C] (TMP), Vapor Pressure Deficit on day of
710 acquisition [%] (VPD), Aggregated Temperature over 11 consecutive days [°C] (11TMP),
711 Aggregated Vapor Pressure Deficit over 11 consecutive days [%] (11VPD), Cumulative
712 Growing Degree Days [°C] (CGDD), Cumulative Growing Vapor Pressure Deficit Days
713 [%] (CGVPDD), Day of Last Spring Frost (DLSF), or Last Year Climatic Water Balance

714 (LYCWB). Pearson correlations are shown from -0.6 (dark blue) to 0.6 (dark red). 715 Reproduced from (Czyż et al., 2023), CC BY.

#### 716 Conclusion

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The incorporation of EO into assessments of genetic diversity represents a fundamental change in our ability to monitor, assess, and protect biodiversity at the national, regional, and global scales, especially in areas with limited accessibility. Our proposed workflow (Figs. 1-2, Box 3) could be developed from public EO and geolocation data as well as optional user-input data on platforms such as GEO BON's "BON-in-a-Box" (Griffith et al., 2024) to make it widely available and facilitate its use for biodiversity monitoring. To better understand and describe this proposed approach, we discuss three examples that each raise key considerations for the application of EO to monitor habitat change and study genetic diversity (Tables 1-3, Box 2). We consider the immediate goals of assessing genetic diversity indicators for biodiversity monitoring and providing early warning signs to support the protection of genetic diversity (Figs. 3-4, Box 1), as well as an outlook on approaches that may enable the assessment of essential biodiversity variables (EBVs) for genetic diversity from Space (Figs. 5-6, Box 4). We acknowledge many current limitations that are illustrated in the presented examples and summarized in Tables 2 and 3.

**Table 3**. A reflection on the applications of EO to monitor and study genetic diversity based on the examples discussed in this article.

Case	Aims	EO contributions	Challenges	Information for action
Emperor penguins in the Antarctic	Infer PM and N <sub>e</sub>	Inference from evidence of colony occurrence (guano) and patterns of ice cover  Provides data for one of the least accessible locations on Earth for in situ assessment	<ul> <li>Colonies are not themselves genetically distinct populations, but can be assigned to populations</li> <li>Difficult to estimate colony size from Space-based images of guano deposits</li> </ul>	<ol> <li>Temporal coverage         → know when         shelves break off         (timing of major         habitat change)</li> <li>Spatial and         temporal coverage         → assessment of         colony relocation         versus loss</li> </ol>
Crop wild relatives in Mexico	Infer PM Establish a warning trend	Inference based on habitat maintenance or change  Provides data for locations that are too dangerous to visit in situ due to social conflicts or remoteness	<ul> <li>Habitat may persist although populations are lost</li> <li>How does habitat change relate to changes in N<sub>e</sub>?</li> </ul>	<ol> <li>Rate, extent, and timing of habitat change → timely intervention (alert)</li> <li>Confluence of degree of habitat change with total habitat available for different ecotypes → prioritization</li> </ol>

European beech forests	Infer PM and N <sub>e</sub> Infer genetic EBVs	Inference based on forest coverage and biochemical and structural differences mapped across tree canopies	<ul> <li>Weak geographic separation of genotypes</li> <li>Only dominant trees are directly accessible</li> <li>Low accuracy for distinguishing multiple species (high accuracy for binary categories)</li> <li>Statistical accounting for environmental effects</li> </ul>	<ol> <li>Combine information on stand-level vitality with genetic and trait variation across the species range         → prioritize interventions</li> <li>Information to support decisions about assisted migration or assisted gene flow interventions (see Glossary)</li> </ol>
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736 As EO data become increasingly available and accessible for non-experts, especially 737 for use in genetic diversity monitoring and assessment, their use and interpretation still 738 require some technical expertise (Box 2). This need for greater technical expertise 739 becomes even more acute with the anticipated advances in EO such as existing 740 EnMAP, and upcoming CHIME and SBG, imaging spectroscopy Space missions before 741 the end of this decade. In combination with the needs of practitioners and the impetus 742 provided by biodiversity monitoring mandates, this means that useful access requires 743 the development of portals equipped with tools and interfaces that make key information 744 provided by EO more widely and easily accessible. This implies a co-development 745 approach incorporating the needs, workflows, and on-the-ground context of practitioners 746 to ensure that the tools and resulting information are fit for purpose, thus building 747 capacity for non-traditional users of EO (Jacobi et al., 2022; Speaker et al., 2022; Tabor 748 & Holland, 2021). Such an approach provides motivation and opportunity for EO 749 developers to understand the needs of practitioners and explore new methods and 750 techniques for evaluating and validating the efficacy of EO products for genetic diversity 751 monitoring. Thus, such toolboxes for genetic diversity monitoring and assessment will 752 not only help democratize access to EO data, but also increasingly enable the archiving 753 and distribution of detailed and well-documented information resulting from a 754 combination of EO with other types of data for new and innovative applications.

# 755 Glossary

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## 756 Population genetics and related terms

 Assisted Migration refers to the relocation of individuals within a species to different areas within the species range or new frontiers of a shifting range.

- Assisted Gene Flow refers to the introduction of individuals with novel genetic
   backgrounds (e.g., different provenances or subspecies) into existing populations
   to increase genetic diversity or otherwise alter population genetic properties.
  - **Genetic diversity** (or genetic variation) comprises within-species differences in DNA sequences, as well as variation in the distribution of these differences within and among populations.
  - Genetic drift refers to changes in allele frequencies within populations due to stochastic processes, specifically because some individuals reproduce more than others and some do not reproduce at all, leading to changes in genetic composition in the next generation. In small populations the process of genetic drift can decrease genetic diversity rapidly.
  - Genetics is the study of heritable variation.

- **Genomics** (related to high-throughput sequencing, next-generation sequencing) refers to study of DNA sequences and associated molecular features across large parts of genomes, using for example thousands to millions of single-nucleotide polymorphisms (SNPs) per genome.
- **Habitat** is the geographical, environmental, and biotic space that a species can inhabit.
  - N<sub>c</sub> (census size) is an estimate of the number of reproductively mature individuals in a population.
  - N<sub>e</sub> (effective population size) is the size of an idealized population that has the same rate of genetic drift as an actual, "real-life" population. Several demographic factors affect the size of N<sub>e</sub>, including number of reproducing individuals and the sex ratio among them, variation in offspring number, non-random mating, and overlapping generations. N<sub>e</sub> is typically much lower than N<sub>e</sub>, with the ratio of N<sub>e</sub>/N<sub>e</sub> around 0.1.
  - Nuclear microsatellites: rapidly mutating, short tandem repeat sequences in the
    nuclear genome, often used to measure relatedness within populations. Also
    called short sequential repeats (SSRs) or short tandem repeats (STRs).
    Microsatellites are also found in organellar genomes and so the modifier
    "nuclear" is used to indicate the nuclear genome.
  - **Population**: in genetics, a group of spatially aggregated, interbreeding individuals, genetically distinct from other similar groups. Populations occupy a geographical space, e.g., a subsection of the species distribution range.
- **Population genetics** is a field of research referring to theoretical and molecular study of genetic diversity within and among populations over space and time.
- Species range is the geographical area that encompasses all the remaining
   extant (i.e. not-extinct) populations of a species.

- SNPs (Single Nucleotide Polymorphisms) are single base pair differences in a
   DNA sequence. SNPs are often used to study genetic diversity within and among
   populations.
  - Traits are heritable differences among organisms, meaning differences that result from the interaction of genetic and environmental factors, which can be observed.

#### 803 Earth Observation and related terms

- Atmospheric correction of an image is the removal of scattering and absorption effects from the atmosphere making an image look hazy to obtain the surface properties of an observed area.
- Change detection refers to a sequence of EO data used to observe and detect change for an observed area over time.
- **Hyperspectral** is a term often used to describe sensors covering continously a wide range of electromagnetic spectrum from visible to mid-infrared (400-2500 nm) in discrete wavelength bands (usually 10 nm or less), which is significantly finer than current multispectral sensors onboard Sentinel and other Earth observing satellites. The use of such sensors to generate pixel-based images is also called **imaging spectroscopy**.
- **Imaging spectroscopy** is used to mean the imaging of light reflected from the Earth surface with continuous, narrow high-resolution spectral bands.
- **LiDAR** is an active sensor that uses light pulses to probe the vertical structure of trees and forests, either from an aircraft or satellite. Similar observations from LiDARs are used to make topographic maps of the surface.
- LULC refers to land use (i.e., how land is being used and for what purpose) and land cover (i.e., what type of vegetation/ecosystem covers the land surface), which is a product derived from various EO instruments. A common variation is LULCC which examines land use and land cover change.
  - **Multispectral** sensors use a defined number of bands (more than two) to sample a part of the electromagnetic spectrum that may not be adjacent to each other along the spectrum and may comprise differently sized portions of the spectrum.
  - **Spatial resolution** of an image is defined as the area on the ground represented in one pixel (Ground sampling distance). Sentinel-2 imagery, for instance, provides four bands available at 10 m, six bands at 20 m, and three bands at 60 m spatial resolution.
- Spatial extent defines the area that is imaged by the satellite during one overflight and depends on the field of view of the satellite (i.e., swath width).
   Often, this corresponds to the size of a delivered image; however, data platforms might provide images from multiple acquisitions that are stitched together.
  - **Spectral bands** describe ranges of wavelengths within the electromagnetic spectrum in which reflected light is measured for imaging and analysis of an

- observed area in remote sensing. The position of these bands in the spectrum and the width of their range are defined by the spectral resolution.
  - **Spectral resolution** is defined as the spectral bandwidth and the number of individual bands used to aggregate the reflected light from the observed area.
- **Temporal resolution** is defined by the revisit time of a satellite/sensor to observe the same area on Earth's surface. Depending on the satellite configuration, revisit time varies from hours to days. The temporal resolution determines the potential for monitoring, as it enables the temporal analysis of changes.
  - Multitemporal data describes a dataset consisting of at least two images acquired at two different times. Multitemporal data is typically used for change detection and analysis.
    - Time series are multi-temporal datasets, acquired in a sequence of observations obtained over a certain period of time. This can be several images within a short time frame to observe fast processes (e.g., volcanic eruption) or within a long time frame (one image per year to observe glacier retreat). In addition to change detection, time series are used to study the type, speed and sustainability of observed changes.

## 854 Data and Code Availability

- 855 Code for this study are provided with the input data necessary to analyze the examples:
- 856 https://gitlab.issibern.ch/meredithchristine.schuman/eo4geneticdiversity-examples

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#### 864 Author contributions

- 865 Conceptualization: MCS, CR, AM-Y, CA, CV, GRA, ISH, KLM, LL, WT-N; Data curation:
- 866 AM-Y, CR, ISH, OS; Formal analysis: AM-Y, CR, ISH, OS, WT-N; Funding acquisition:
- 867 CR, MCS, MES; Methodology: AM-Y, CR, MCS, CV, DML, GRA, ISH, KLM, LL, OS,
- 868 WT-N; Project administration: CR, MCS; Resources: AM-Y, CA, SH, CR, ISH, MCS,
- 869 WT-N; Supervision: MCS, CR; Visualization: AM-Y, CR, DML, ISH, MCS, OS, WT-N;
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- 871 editing: All

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