

1 **Climate-mediated hybridization and the future of Andean forests**

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3 Running title: Hybridization in Andean forests

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14 ABSTRACT

15

16 The tropical Andes face unprecedented warming and shifting precipitation patterns due to climate change  
17 and land-use alteration, challenging the futures of Andean forests. During the Quaternary, many Andean  
18 trees responded to climate change through upslope migrations, but while there is evidence of ongoing  
19 upslope migrations in many species, they are at rates far below what is need to remain in equilibrium with  
20 current climate. Similarly, given the number of generations required for adaptation and the long life-spans  
21 of many tropical trees, it is unlikely that most species will be able to adapt fast enough. This synthesis  
22 explores the role of migration induced secondary contact and hybridization as potential mechanisms for  
23 accelerating the adaptive response Andean forests. Hybridization, historically underappreciated in tropical  
24 trees, is increasingly recognized as an important driver of speciation and ecological diversity. It may  
25 facilitate gene flow and introgression, providing novel genetic combinations that enhance species  
26 resilience to climate change. This process can generate new allelic diversity, allowing species to adapt  
27 more rapidly than through mutation alone. However, hybridization can also lead to negative outcomes  
28 like genetic swamping and outbreeding depression. Conservation strategies should consider the potential  
29 benefits and risks of hybridization in maintaining biodiversity under changing environmental conditions.  
30 As habitat fragmentation and deforestation exacerbate the challenges faced by these forests, preserving  
31 habitat connectivity will be crucial to facilitate migration and gene flow, potentially aiding the survival of  
32 many Andean tree species in the Anthropocene.

33

34 Keywords: climate change, Andes, hybridization, gene flow, biodiversity, adaptation, migration,  
35 introgression, conservation, tropical montane forests

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37

38 1. INTRODUCTION

39 The tropical Andes are the most biodiverse region on Earth and one of the most threatened terrestrial  
40 habitats, acutely vulnerable to both climate change and land-use change (Myers et al. 2000; Mittermeier  
41 et al. 2011; Rahbek et al. 2019). Andean forests are warming at rates faster than any in the historical  
42 record as well as experiencing shifts in precipitation regimes (Urrutia and Vuille 2009; Morales et al.  
43 2023), requiring species to evolutionarily adapt or physiologically acclimate in situ to climates outside  
44 their current climatic niche, or migrate for populations to remain in equilibrium with climate and avoid  
45 extinction (Feeley and Silman 2010). It has been widely argued that given the rapid pace of climate  
46 change and the generation times required for evolutionary change, it is unlikely that most tree species will  
47 be able to adapt fast enough (e.g., Smith and Donoghue 2008; Shaw and Etterson 2012), and the potential  
48 for acclimation is still unclear (e.g., Shaw and Etterson 2012; Feeley et al. 2023). Several studies have  
49 reported migration as evidenced by upslope shifts in population mean range (Feeley et al. 2011; Fadrique  
50 et al. 2018; Mamantov et al. 2021, among others), but few tree species have migration rates that keep pace  
51 with shifts in their preferred habitat (Farfan Ríos 2019). Still, species undergoing range shifts may  
52 increasingly overlap with close relatives both spatially and temporally, particularly those that replace each  
53 other along climatic gradients. A breakdown of these barriers to gene flow and increased hybridization  
54 and introgression may offer alternative eco-evolutionary mechanisms for species to respond to climate  
55 change.

56 Tropical montane forests, particularly the tropical Andes, are distinctly susceptible to climate-driven  
57 secondary contact and gene flow for several reasons. First, these systems comprise a high diversity of rare  
58 species and congeners that turnover rapidly with elevation (Terborgh 1971; Gentry 1982; Cadena et al.  
59 2012; Freeman et al. 2022) resulting in the packing of many, closely related species along elevation  
60 gradients (e.g., Kitayama 1992, Lieberman et al. 1996, Jankowski et al. 2009). Rarity can facilitate  
61 hybridization, as individuals in small populations are more likely to hybridize when in contact with other  
62 closely related taxa (Rhymer and Simberloff 1996). Additionally, the relatively young evolutionary age

63 of the tropical Andes may increase the likelihood of gene flow and hybridization, as irreversible  
64 ecological speciation is thought to require long, stable periods (millions of years) of the environmental  
65 conditions that initiated it in the first place (e.g. Seehausen 2006). As most Andean plant diversification  
66 events are young (<1 Myr; Nevado et al. 2018), the proportion of species amenable to hybridization  
67 resulting from climate-induced secondary contact is significant. Thus, it is important to understand the  
68 role gene flow has played in shaping Andean tree diversity in the past and the potential impact it may  
69 have on the persistence or extinction of these species in the future.

70 Range shifts will result in novel species assemblages, influencing both competitive and reproductive  
71 interactions. The evolutionary implications of such secondary contact are difficult to predict, as  
72 hybridization and introgression can increase extinction rates through mechanisms such as genetic  
73 swamping and outbreeding depression and be an important source of genomic and phenotypic diversity  
74 (Rhymer and Simberloff 1996; Todesco et al. 2016; Taylor and Larson 2019). The study of hybridization  
75 has a long history in plants (e.g., Linné and Haartman 1751), but only recently has it become clear how  
76 widespread and fundamental this process is in plant evolution (e.g. Soltis & Soltis 2009, Alix et al. 2017,  
77 Stull et al. 2023). In the British flora, it is estimated that hybridization has occurred in at least 25% of taxa  
78 (Mallet 2005; Stace et al. 2015), and in up to 40% of species in certain genera (Preston and Pearman  
79 2015). Still, hybridization has historically been considered a rare occurrence in tropical trees, as hybrids  
80 were thought to be poor competitors in high-diversity tropical forests (Ashton 1969; Ehrendorfer 1970;  
81 Gentry 1982). Mounting genomic evidence now suggests that hybridization was not only common  
82 historically, but has been a key driver of speciation in the Neotropical tree flora (reviewed by Schley et al.  
83 2022). In addition, hybridization has been shown to play a central role in expanding the niche breadth of  
84 hybridizing tree species, which may enhance their resilience to future change (Karunaratne et al. 2024).  
85 Increased hybridization under climate change may thus reshape to the classic “adapt, acclimate, migrate  
86 or die” scenario for Andean trees (Feeley et al. 2012), wherein even minor range shifts facilitate gene  
87 flow that allows some species to adapt and cope more quickly (Kulmuni et al. 2024).

## 88 89 2. HISTORICAL CLIMATE CHANGE AND GENE FLOW 90

91 The tropical Andes have a history of significant climatic change, and most modern plant lineages  
92 persisted through oscillations in temperature, precipitation, and CO<sub>2</sub> concentration over at least the last  
93 2.5 million years (Bush, Silman, and Urrego 2004). Paleo-hydrological records show a gradual trend  
94 toward enhancement of the South American Summer Monsoon (SASM) throughout the Holocene,  
95 marked by abrupt shifts between intense rainfall and significant drought (Bustamante et al. 2016). During  
96 the Pleistocene-Holocene transition, tropical montane forests experienced ~6 °C of warming, 2-3 °C  
97 greater than what is expected for the current century (Bush et al. 2004). However, because the pace of this  
98 change was gradual, averaging less than 1 °C Ky<sup>-1</sup>, trees were able to migrate to keep pace with their  
99 climatic optimum (Bush et al. 2004). Current rates of temperature change are unprecedented in the  
100 Andean Quaternary record (by at least an order of magnitude) and it is unlikely that most species will be  
101 able to remain in equilibrium with climate through migration alone (Feeley and Silman 2010).  
102 Additionally, future projections show regions of both increased and decreased precipitation across the  
103 Andes, with some of the greatest uncertainty dependent on the ultimate extent of deforestation and land  
104 cover change, as the Amazon Basin is the major source of moisture for Andean precipitation (Vizy and  
105 Cook 2007; Espinoza et al. 2020; Segura et al. 2020; Costa et al. 2021; Moraes et al. 2021). Still, it is  
106 important to understand the impact past climate change and resulting range shifts have had on Andean  
107 plant diversity and gene flow to establish baseline predictions for current change.

108 Much of the high plant diversity of the tropical Andes is thought to have accumulated through  
109 Quaternary species radiations, driven by repeated altitudinal migrations in response to mountain building  
110 and climatic oscillations (Flantua et al. 2019; Schley et al 2022). Downslope migrations during cold  
111 glacial periods and upslope migrations during warmer interglacials provided repeated opportunities for  
112 secondary contact and interspecific gene flow. Many studies have shown evidence of such climate-  
113 mediated hybridization, particularly in hyper-diverse Páramo genera. For example, Nevado et al. (2018)

114 detected several episodes of gene flow among *Lupinus* species, linked to changes in habitat connectivity  
115 and climate throughout the Pleistocene. However, even with ongoing gene flow, their results suggest that  
116 species are not immediately re-absorbed into parental forms. Instead, gene flow occurred  
117 heterogeneously, at different rates across the genome, with some regions protected from introgression,  
118 though the cause and function of these “genomic islands of divergence” remain unclear (Nevado et al.  
119 2018). Similarly, Pouchon et al. (2018) found that in the Asteraceae subtribe Espeletiinae most  
120 diversification occurred through morphological adaptation and geographical isolation due to Pleistocene  
121 climatic oscillations. Hybridization was common among sympatric species and may be responsible for the  
122 morphological variation within this group (Pouchon et al. 2018). Historically, high rates of hybridization  
123 and introgression have also been shown to promote phenotypic variation and adaptation to novel  
124 environments in Andean plant lineages such as *Polylepis* (Schmidt-Lebuhn, Kessler & Kumar 2006),  
125 *Lachemilla* (Morales-Briones, Liston & Tank 2018), and *Solanum* (Cara et al. 2013) through generating  
126 novel genetic and epigenetic variation, gene duplications, and polyploidization.

127

### 128 3. POTENTIAL IMPACTS OF CLIMATE CHANGE ON GENE FLOW

129

130 Gene flow can be limited by pre-mating and post-mating barriers, driven by both ecological and  
131 evolutionary mechanisms (Arnold 1997; Riesberg and Willis 2007; Lowry et al. 2008). Pre-mating  
132 isolation in trees includes spatial and temporal components, relying on geographic barriers, seasonality  
133 and phenology, and biotic interactions that are driven by the spatiotemporal structure of environments.  
134 Alternatively, post-mating isolation depends on the decreased fitness of hybrid individuals either due to  
135 developmental abnormality or reduced competitive ability. The ongoing breakdown of each of these types  
136 of barriers due to climate change (reviewed below) will increase the likelihood of gene flow among  
137 closely related Andean trees, which has important implications for the future of these forests.

138

#### 139 3.1 Collapse of spatial barriers

140

141 Recent range shifts in response to current climate change have been documented globally, across  
142 a wide range of taxa. However, these shifts rarely occur uniformly, and even closely related species do not  
143 respond in the same way or at the same rate (Tingley et al. 2009; Chuncu 2014). In a recent meta-analysis  
144 of 987 species across sixteen global montane regions, Mamantov et al. (2021) found more than 600  
145 species that have experienced some amount of elevational shift since 1850 (though the Neotropics were  
146 represented by only a single study of birds). Among these species, range shifts were not unidirectional—  
147 61% moved upslope and 28% shifted their ranges downslope (Mamantov et al. 2021), with the downslope  
148 shifts largely associated with changes in precipitation regimes resulting in population declines or local  
149 extirpations (Lenoir et al. 2010; Crimmins et al. 2011). Additionally, low-elevation species were found to  
150 be moving faster than the high-elevation species. Whereas tree-line may be artificially truncated due to  
151 anthropogenic land use for high-elevation species, the disparity in the pace of the elevational shifts is also  
152 predicted by thermal tolerance and fecundity theory. Janzen (1967) hypothesized that reduced seasonality  
153 and temperature variability select for narrower thermal niches in the tropics. As high elevations  
154 experience far greater daily temperature variation than lowland counterparts, this indicates that high-  
155 elevation species would have wider thermal tolerances and a slower or delayed response to warming.  
156 Many empirical studies have supported Janzen’s Climate Variation Hypothesis (CVH) across taxa (e.g.,  
157 ectotherms—Sunday et al. 2010; terrestrial vertebrates—Chan et al. 2016; insects—Polato et al. 2018;  
158 plants—Lancaster et al. 2020). In addition to thermal physiology, variation in fecundity patterns could  
159 account for the difference in pace in some localities, as low-elevation species may experience higher  
160 fecundity due to differences in temperature and/or phenology patterns (Moritz et al. 2008; Qui et al.  
161 2021). However, this is less likely in tropical mountains, where the diurnal microclimate can vary much  
162 more with elevation than with seasons (Rapp and Silman 2012).

163

164 Tropical plants are particularly susceptible to climate warming due to their narrow thermal niches  
(Janzen 1967; Perez et al. 2016; Lancaster et al. 2020), and montane regions are warming faster than their

165 lowland counterparts (Pepin et al. 2015). Several studies have documented widespread upslope shifts in  
166 tree community composition across the tropical Andes over the last two decades (Feeley et al. 2011;  
167 Morueta-Holme et al. 2015; Duque et al. 2015; Fadrique et al. 2018), with the rates of change varying  
168 across locations or elevations. This rate disparity has been attributed to variations in warming rates (Vuille  
169 et al. 2015) and specialized tree communities in ecotones (such as the transition from montane rain forest  
170 to cloud forest at cloud base). Conditions in cloud forests are biotically and abiotically distinct, reducing  
171 the establishment of lowland colonizers not adapted to those conditions (Hillyer and Silman 2010; Hillyer  
172 2018; Fadrique et al. 2018). However, with climate change, cloud base is predicted to rise by 1000 m or  
173 more over the Amazon by 2100 (Cowling et al. 2008; Pinto et al. 2009), and thus future colonization of  
174 these elevations by lowland species is more likely (e.g. Pounds et al. 1999; Stone 2018). These range  
175 shifts combined with the general patterns of rarity, high turnover, and congeneric replacement across  
176 elevation gradients (Pitman et al. 1999; Gentry 1982; Terborgh 1971) make allopatric species barriers  
177 particularly fragile in Andean trees. In addition, the tendency for interspecific gene flow and hybridization  
178 among closely related sympatric trees has been widely documented in the Northern Hemisphere (e.g.,  
179 oaks–Cavender-Bares 2019; spruce–De La Torre et al. 2015; birch–Tsuda et al. 2017; poplar–Chhatre et  
180 al. 2018; and pine–Menon et al. 2018). Thus, even a small elevational shift that results in spatial overlap  
181 among two previously allopatric tree species could increase the likelihood of gene flow. There are many  
182 examples of such hybrid zones developing from climate-induced range shifts across taxa in temperate  
183 zones including in mammals, birds, fish, insects, and plants (Table 1), though, to date, it has been poorly  
184 documented in tropical systems.

185

### 186 3.2 Collapse of temporal barriers

187

188 Temporal barriers may also act as important barriers to gene flow, even when species occur in  
189 sympatry. Differentiation in breeding times maintains reproductive isolation in many species, and these  
190 barriers are particularly sensitive to climate change (Menzel et al. 2006; Cleland et al. 2007; Todesco et  
191 al. 2016). Whereas the actual effect of climate change on breeding is highly species and location-specific  
192 (Parmesan 2007; Prevey et al. 2019), a slight shift in the timing of a species can result in temporal  
193 overlap, regardless of whether its sister species experiences a similar response (Chunco 2014).

194 Such phenological shifts have been documented widely, particularly across north-temperate and arctic  
195 plant communities in response to changes in both temperature and precipitation, resulting in shifts in  
196 flowering times and flower senescence (Table 2). Crimmins et al. (2010) reported shifts in the onset of  
197 spring flowering across a 1200 m semi-arid elevation gradient, but the climatic variables associated with  
198 these shifts and the direction of the response varied among the low and high-elevation communities.  
199 Similarly, Prevéy et al. (2019) detected a community-wide shortening of flowering across tundra species  
200 in response to summer warming, although the size of the effect was greater among late-flowering species.  
201 These studies and others (i.e., Bradley et al. 1999, Høye et al. 2013, Bjorkman et al. 2015) demonstrate  
202 that whereas temporal patterns are highly sensitive to climate change in plants, these changes are complex  
203 and variable across species and environmental gradients.

204 In tropical systems, the partitioning of flowering times among close relatives is thought to be an  
205 important mechanism for maintaining species coexistence, by reducing competition for pollinators and  
206 other resources (i.e., Snow 1965; van Schaik et al. 1993). Most tropical trees are obligate out-crossers and  
207 have hermaphroditic flowers (Ashton 1969; Bawa 1974, 1979). Bawa et al. (1985) estimated that 98-99%  
208 of lowland tropical trees are pollinated by animals and up to 31% have generalist pollinators from an  
209 array of small insects. If non-specialized pollination systems are common in tropical trees, temporal  
210 isolation may be critical for maintaining species boundaries, particularly as some generalist pollinators are  
211 known to forage over kilometers (Bawa et al. 1985). Synchronized phenology is one mechanism thought  
212 to be common among species dependent on the seasonal presence of the same animal pollinators and/or  
213 dispersers, though fruiting is often much more synchronized than flowering among close relatives  
214 (Appanah 1985; van Schaik et al. 1993).

215 Temporal partitioning may be less sensitive to temperature and photoperiod, and more strongly  
216 correlated with rainfall in the tropics (Borchert 1983; van Schaik et al. 1993; Sakai 2001), as precipitation  
217 varies more significantly across seasons and latitudes and is an important axis for speciation (Ringelberg  
218 et al. 2023). Particularly in seasonally dry forests, leafing, flowering, and fruiting are all driven largely by  
219 moisture cycles, although other environmental factors, such as temperature and solar irradiance, have  
220 been shown to play a role (van Schaik et al. 1993; Wright and Calderón 2006; Alfaro-Sánchez et al. 2017).  
221 With climate change, patterns of precipitation are changing across South America. Many locations have  
222 experienced upward trends in total seasonal and extreme precipitation events (specifically the southern La  
223 Plata Basin and the eastern Andes), whereas generally drier conditions have become more frequent  
224 (reviewed by Carvalho 2020). In the Andes, these trends are more complex and difficult to predict due to  
225 the steep elevation gradients and microclimates, and projected changes show regions of both increased  
226 and decreased precipitation (Urrutia and Vuille 2009). Shifts in local precipitation regimes will likely  
227 affect the predictability of flowering cues for many species, leading to atypical flowering times and the  
228 breakdown of temporal species barriers.

229 The phenology of Neotropical forests, though long studied (e.g. Bawa 1983; Leigh 1985; van Schaik  
230 et al. 1993; Sakai 2001; Wright and Calderón 2006), is poorly known outside of a few well-studied  
231 lowland sites (e.g., La Selva, Costa Rica; Barro Colorado Island, Panama; Cocha Cashu, Peru). Even less  
232 is known about shifts in phenology, as aseasonality, complex abiotic factors, intraspecific and interannual  
233 variation, and high species diversity all make such studies more difficult than in temperate zones  
234 (Mendoza et al. 2017; Sheldon 2019). Mendoza et al. (2017) reviewed patterns of fruiting phenology  
235 across the Neotropics and found that phenological research is concentrated in Brazil and Costa Rica,  
236 while the vast majority of Central and South America remains entirely unstudied. Some of the best  
237 evidence for phenological shifts linked to climate change in tropical forests comes from southwest China,  
238 where Zhao et al. (2013) studied temporal trends in budburst, growing season, flowering time, and  
239 flowering duration among 21 deciduous trees from 1973–1999. Fourteen of these species showed  
240 consistent trends in phenological changes, such as budburst delays and shorter flowering periods, and  
241 those changes were correlated with increasing regional temperature. Dunham et al. (2018) tracked the  
242 fruiting phenology of 69 rain forest tree species in Madagascar over 12 years and found that increased  
243 rainfall was linked to an increase in the number of co-fruiting species, and drier dry seasons shifted the  
244 timing of peak fruiting later in the season. Climate change is predicted to increase the frequency and  
245 intensity of extreme rainfall and extreme drought events in Madagascar and will thus likely alter the  
246 timing and availability of fruits (Dunham et al. 2018). In the few studies that have recorded overlaps in  
247 flowering times among tropical trees, these overlaps have resulted in hybridization, although it is unclear  
248 whether these interactions are longstanding or novel (Scotti-Saintagne et al. 2013; Kenzo et al. 2019).

249 As flowering is complex and difficult to predict in tropical forests, research should first focus on  
250 establishing species-specific baseline data that can be used to monitor these changing systems into the  
251 future. Tropical field botanists typically prioritize collecting species in flower, and thus there is a wealth  
252 of historical data on flowering date for many species stored in herbaria, particularly from long-term forest  
253 plots where the same individuals have been monitored for many years (Borchert 1996; Ouédraogo et al.  
254 2020). Large global databases such as the Global Biodiversity Information Facility (GBIF) that can be  
255 queried for flowering specimens make these collections more powerful. However, herbarium collections  
256 are biased due to non-random collecting efforts (i.e., Daru et al. 2017; Vargas et al. 2022) and, therefore,  
257 the distribution of fertile plant material across a region reflects the behavior of researchers as much or  
258 more than plant phenological patterns (future effort should be placed on the latter). Additionally, the  
259 advancement of high-resolution remote sensing technologies over the last several decades has made it  
260 possible to map and identify tree species flowering across a landscape regularly and cost-effectively (e.g.,  
261 Park et al. 2019; Lee et al. 2023). Together, herbarium specimens and drone imagery offer high-resolution  
262 spatio-temporal data for monitoring phenological shifts in response to climate change and potential  
263 breakdowns of temporal barriers among tropical trees. Still, the establishment of useful “baseline” data in  
264 a rapidly changing system is difficult, particularly given the time it takes to establish such baselines, the  
265 variability among populations, species, and locations, and the complete paucity of data from most sites.

266 Thus, global investment is urgently needed in establishing climate change observatories that can  
267 continuously monitor fine-scale changes at many sites not only in the Neotropics but across the globe.  
268

### 269 3.3 Collapse of post-mating barriers 270

271 Hybridization is common in flowering plants, with at least 25% of species estimated to exchange  
272 genes with close relatives, nearly double that in animals (Mallet et al. 2016). In tropical trees,  
273 hybridization between closely related species may produce populations with novel genetic variation at a  
274 minimal fitness cost (Schley et al. 2022). As many tropical tree populations persist at low densities, this  
275 increased genetic variation may then reduce Allee effects and inbreeding depression (Cannon and Lerdau  
276 2015; Schley et al. 2022). One example of this is in the dipterocarp genus *Shorea* Roxb. ex C.F. Gaertn.  
277 from southeast Asia, in which hybridization frequently occurs among four species with overlapping  
278 flowering periods (Kenzo et al. 2019). The resulting hybrid seedlings exhibit high growth performance,  
279 suggesting they have a fitness advantage relative to their parents.

280 Climate change may impact both the opportunities for hybridization to occur through altering  
281 spatial and temporal barriers and the outcomes of those events by influencing relative fitness (Chunco  
282 2014). The fitness of hybrids is highly sensitive to the environment, as hybrids may be more successful  
283 than their parents in some environments but not others (Arnold 1997; Rieseberg et al. 2003, 2007; Taylor  
284 et al. 2009; Grant and Grant 1993, 2002; reviewed by Arnold and Martin 2010). As climate change is  
285 expected to result in novel combinations of environmental parameters, increased fitness of hybrids may  
286 be an important mechanism for species adaptation and persistence, particularly in contact zones at range  
287 margins, where both parent species may be less well adapted to local conditions (Cronk and Suarez-  
288 Gonzalez 2018). In this way, introgressed genetic variation can facilitate local adaptation (Suarez-  
289 Gonzalez et al. 2018) and even promote adaptive radiations and functional diversity (e.g., anoles–Wogan  
290 et al. 2023; cichlids–Irisarri et al. 2018).

291 Across montane gradients, and particularly in the Andes, upslope range shifts tracking  
292 temperature and precipitation optima will result in tree species encountering a suite of novel  
293 environmental conditions. In most cases, climate change will far outpace the upslope shifts of most trees,  
294 making it impossible for species to remain at equilibria with climate. For example, given the rate of  
295 temperature increase in the Andes since 1975 (0.03–0.04 °C year<sup>-1</sup>; Vuille and Bradley 2000) and  
296 adiabatic lapse rate (5.5 °C km<sup>-1</sup>; Bush et al. 2004), Feeley et al. (2011) argued that tree species would  
297 have to migrate upslope at a pace of 5.5–7.5 vertical m year<sup>-1</sup> just to remain at equilibrium. As a result,  
298 upslope shifts in species' population means have been largely due to mortality at the warm end of their  
299 range (range retractions), rather than significant recruitment at the cooler end (Duque et al. 2015). These  
300 range retractions may result in higher extinction and decreased hybridization (at least initially),  
301 particularly in species with narrow thermal tolerances.

302 Elevation is known to play a significant role in shaping the gradients of other environmental  
303 variables in the Andes, including humidity, solar radiation, wind, cold-air intrusions, soil properties, and  
304 diurnal temperature variation (Grubb 1977; Vitousek 1998; Rapp and Silman 2012; Unger et al. 2012;  
305 Nottingham et al. 2015). Biotic gradients in the Andes can have as strong of an effect on plant  
306 performance (or even greater), than the abiotic factors (Hillyer and Silman 2010; Nottingham et al. 2018).  
307 As such, range shifts will also result in novel communities and thus a new suite of competitive  
308 interactions (Lurgi et al. 2012), as the distributions of individual species (Terborgh and Weske 1975;  
309 Callaway 1998), their pollinators (Simanonok and Burkle 2014; Lefebvre et al. 2018), dispersers (Dehling  
310 et al. 2014; Chapman et al. 2016), herbivores (Pellissier et al. 2012; Rasmann et al. 2014), and pathogens  
311 (Abbate and Antonovics 2014; Halliday et al. 2021) are highly structured across these gradients and have  
312 different responses to microclimatic changes (e.g., Rasmann et al. 2014; Halliday et al. 2021; McCabe  
313 and Cobb 2021). Hybrid offspring of historically high and low-elevation species (even when rare) may  
314 thus be more fit for these novel abiotic conditions and biotic interactions than either of their parents (e.g.  
315 Cronk and Suarez-Gonzalez 2018).  
316

317 4. ECO-EVOLUTIONARY IMPLICATIONS

318

319 Evidence suggests that, historically, hybridization was common and played an important role in  
320 shaping Neotropical tree diversity (reviewed Schley et al. 2022). Hybridization can facilitate both  
321 diversification and extinction, and these outcomes are determined by many factors including the  
322 divergence times between parental lineages (Comeault and Matute 2018), recombination (Martin et al.  
323 2019), and selection (Suarez-Gonzalez et al. 2018) (Schley et al. 2022). As current climate change is  
324 likely to increase the opportunity for gene flow through spatial and temporal shifts in populations, it is  
325 important to understand these potential eco-evolutionary implications.

326 First, hybridization can be deleterious (especially initially) through maladaptive gene flow  
327 (Kirkpatrick and Barton 1997; Mallet 2005; Tavares et al. 2018). Often, this results in poorly fit hybrids  
328 and parent populations that are less well-adapted to the local environment due to backcrossing (Rhymer  
329 and Simberloff 1996). If selection does not quickly remove these hybrids, maladaptive alleles may  
330 undergo a selective sweep (due to pleiotropy, hitchhiking, genetic drift, and other context-specific eco-  
331 evolutionary trade-offs) resulting in parent population homogenization and a decrease in niche  
332 specialization (Kirkpatrick and Barton 1997; Buggs and Pannell 2006). In extreme cases, extensive  
333 introgression and homogenization may lead to the collapse of two distinct evolutionary lineages back into  
334 a single lineage, termed “reverse speciation” (Rhymer and Simberloff 1996; Seehausen 2006). Reverse  
335 speciation is increasingly likely in response to significant environmental change, as such change can  
336 simultaneously increase the potential for gene flow and reduce selection against hybrids (Seehausen  
337 2006). Additionally, reverse speciation and extinction are more likely when common species hybridize  
338 with rare ones, as a greater fraction of the rare species’ population will hybridize each generation leaving  
339 a smaller fraction with no genetic mixing (Rhymer and Simberloff 1996; Balao et al. 2015).

340 The classic and best-studied example of reverse speciation is the Enos Lake sympatric stickleback  
341 species. Though some baseline gene flow has likely always occurred among these species, they were  
342 phenotypically distinct and gene flow was strongly constrained by niche differentiation and selection  
343 against intermediate phenotypes. However, the rapid environmental change that followed the introduction  
344 of an invasive crayfish resulted in a hybrid swarm and the collapse of the two distinct lineages into a  
345 single lineage in less than two decades (Kraak et al. 2001; Taylor et al. 2006; Gow et al. 2006). Other  
346 theoretical work has shown that hybridization can lead to rapid extinction, in some cases in less than five  
347 generations (Wolf et al. 2001). Given the short divergence times and fragile spatio-temporal boundaries  
348 between many Andean trees, combined with climate change and the emergence of novel environments,  
349 reverse speciation and/or extinction may be possible—or even likely—among many Andean tree lineages.  
350 With hyperdominance and extreme rarity as the predominant community pattern in these forests,  
351 migrating species with broad elevational ranges and high population densities could quickly aggregate  
352 allelic diversity through introgression while the rare and narrowly distributed species are driven extinct. If  
353 instead of being a rare event, this asymmetric hybridization becomes increasingly common, it could result  
354 in the Andean “species-pump” (sensu Antonelli and Sanmartín 2011) shifting to a “species-sink” of  
355 diversity (Figure 1).

356 A less extreme outcome of hybridization is that gene flow is maintained over many generations with  
357 minimal impact on the evolutionary trajectories of the species involved. Due to differential selection and  
358 introgression rates across the genome, loci that confer differential fitness benefits between species may  
359 remain distinct, while unlinked, neutral loci are homogenized through gene flow (Gompert et al. 2012;  
360 Schley et al. 2022). This type of hybridization can maintain stable hybrid zones at species’ range margins  
361 for generations. Examples of such stable hybrid zones from the Andes include parrots (Masello et al.  
362 2011), flycatchers (Dubay and Witt 2014), *Heliconius* butterflies (Rosser et al. 2014), and lupines  
363 (Nevado et al. 2018) and given the high degree of sympatry and parapatry among congeneric species,  
364 stable hybrid zones may also be widespread in Andean trees, particularly at range margins.

365 Finally, hybridization can drive rapid evolutionary change by producing novel gene combinations  
366 (Rhymer and Simberloff 1996; Prentis et al. 2008). This change occurs more rapidly than evolution by  
367 mutation, as hybridization results in novel variation in multiple genes simultaneously, within a single



368 generation (Rieseberg et al. 2003; Seehausen 2004; Chunco 2014). The introduction of new alleles may  
369 then increase the resilience of populations to environmental change and even rescue small populations at  
370 risk of extinction (Basket and Gomulkiewicz 2011). In novel, extreme, or rapidly changing  
371 environments, selection may favor hybrids whose novel gene combinations produce traits with higher  
372 fitness than either of their parents (Chunco 2014; Schley et al. 2022). For example, in sunflowers, species  
373 found in extreme habitats (*Helianthus anomalus*, *H. deserticola*, and *H. paradoxus*) are of hybrid origin,  
374 and colonization of these habitats (e.g. sand dunes, deserts, salt marshes) is thought to have been  
375 facilitated by selection favoring the extreme phenotypes generated by hybridization (Rieseberg et al.  
376 2003; Rieseberg et al. 2007). Simulations show that hybrids may even adapt faster to new environments  
377 compared to their parent populations by generating a fitness advantage that can offset other  
378 incompatibilities (Kulmuni et al. 2024). In this way, climate-change-mediated hybridization can trigger  
379 adaptive radiations, as is thought to have occurred in many Andean plant lineages throughout the  
380 Quaternary (e.g. Dušková et al. 2017, Vargas et al. 2017, Pouchon et al. 2018, Nevado et al. 2018) (Figure  
381 1).

382 The special case of polyploidization through hybridization (termed allopolyploidy) has long been  
383 recognized as a particularly important evolutionary mechanism in plants. Allopolyploidy can result in  
384 “instantaneous” speciation from the parental lineage due to chromosomal incompatibilities resulting in  
385 reproductive isolation (Stull et al. 2023). Stebbins (1959) suggested that allopolyploidy is one of the most  
386 common ways that plant species arise, and Wood et al. (2009) proposed that at least 15% of speciation  
387 events in angiosperms are accompanied by ploidy increase. Allopolyploidy results in greater genomic  
388 variation for selection to act upon through the merging of differentiated genomes (Alix et al. 2017), and  
389 provides “backup” copies of genes if one is rendered maladaptive or nonfunctional due to allele changes.  
390 Thus, hybridization resulting in changes in ploidy may further increase the pace at which species can  
391 respond to rapidly changing environments. Evidence from the Andes suggests that allopolyploidy has  
392 played a role in diversification and colonization of novel habitats in groups such as *Nicotiana*  
393 (Solanaceae; Clarkson et al. 2017), *Solanum* (Solanaceae; Hijmans et al. 2007), *Silenes* (Caryophyllaceae;  
394 Frajman et al. 2018), *Polylepis* (Rosaceae; Schmidt-Lebuhn et al. 2010), *Castilleja* (Orobanchaceae; Tank  
395 and Olmstead 2009), and *Lachemilla* (Rosaceae; Morales-Briones et al. 2018), though this remains  
396 largely uninvestigated for the majority of tree lineages in these high diversity forests.

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## 398 5. CONSERVATION IMPLICATIONS

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400 Increased gene flow within and hybridization and introgression among species can allow for greater  
401 flexibility in the responses of Andean trees to climate change through the formation of novel allelic  
402 combinations unlikely to occur in parental genomes alone (Cronk and Suarez-Gonzalez 2018). The  
403 potential for species to respond to environmental change through genomic mechanisms depends largely  
404 on the standing genetic diversity, which underpins fitness, inbreeding depression, niche breadth, and  
405 adaptive potential (DeWoody et al. 2021; Hoban et al. 2022). As such, hybridization provides for  
406 evolutionary outcomes other than extinction (Pereira et al. 2016)—the most likely outcome for a high  
407 number of tree species in tropical Andean forests in the future “adapt, migrate, acclimate, or die”  
408 scenarios (e.g. Feeley et al. 2012). If hybridization and adaptive introgression are indeed important for the  
409 persistence of these species in the Anthropocene (Hamilton and Miller 2015), it may even call into  
410 question what extinction really means, with much allelic diversity residing within interbreeding  
411 populations and species rather than segregated among them. Perhaps biodiversity conservation efforts  
412 should more strongly consider species complexes, and focus on maintaining interspecific gene flow rather  
413 than preventing it (Cronk and Suarez-Gonzalez 2018).

414 The potential for species migrations, gene flow, and hybridization are inextricably linked to habitat  
415 connectivity. In the tropical Andes, anthropogenic land-cover change is rapidly reducing suitable habitat  
416 (e.g., Rodríguez Eraso et al. 2013, Curatola Fernández et al. 2015), which in turn decreases population  
417 sizes, fragments, and isolates populations, and increases distances they must migrate or adds “friction” to  
418 the landscape (Ricketts 2001; Zeller, McGarigal, and Whiteley 2012). Forest loss accelerates upslope

419 range shifts in tropical regions, possibly due to population extirpation and microclimatic changes (Guo et  
420 al. 2018), while cattle grazing and anthropogenic fires in the Andes are reducing the upper elevational  
421 limits of forest growth (Lutz et al. 2013). In addition, defaunation reduces the potential for both migration  
422 and gene flow (Mokany et al. 2014) as most tropical trees rely on animals for both pollination and  
423 dispersal (see Zjhra and Kaplin 2004). As such, many species will be driven to extinction before climate  
424 change pushes them to the limits of their environmental tolerance.

425

## 426 6. CONCLUSIONS

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428 The rapid pace of current climate change means that most Andean tree species cannot move fast  
429 enough to keep pace with their preferred climate. However, any migration that results in spatio-temporal  
430 overlap among previously allopatric species may result in hybridization, which can introduce new suites  
431 of allelic diversity. Although the evolutionary outcomes of hybridization can be both creative and  
432 destructive, and these outcomes are difficult to predict, increased genomic diversity provides a greater  
433 opportunity for selection to act upon. Traditional adaptation via natural selection can take many  
434 generations, rendering it an untenable response for long-lived tropical trees under current climate change  
435 scenarios (Smith and Donoghue 2008; Shaw and Etterson 2012). Hybridization with introgression can  
436 significantly speed up this process through the introduction of many new genes or whole genomes within  
437 a single generation (Rieseberg et al. 2003; Seehausen 2004; Chunco 2014). Whereas this may occur at a  
438 cost to biodiversity in some instances due to lineage collapse or reduced hybrid fitness (Rhymer and  
439 Simberloff 1996; Seehausen 2006; Todesco et al. 2016), there may still be an overall net biodiversity gain  
440 when considering the expected losses to the Andean tree flora under migration and adaptation alone  
441 (Feeley et al. 2012). Though not as well characterized, increased genetic variation can also influence a  
442 population's ability to acclimate to changing environmental conditions by broadening the range of  
443 individual physiological responses. Further, Walter et al. (2023) showed that genetic variation underlying  
444 plasticity can increase adaptive potential in novel environments. However, tropical tree genera tend to  
445 show strong thermal niche conservatism, which may constrain the range of environmental conditions to  
446 which a lineage is ultimately able to acclimate or adapt.

447 Hybridization has been fundamental to the evolution of Neotropical trees historically (reviewed by  
448 Schley 2022), but the paleoecological record shows that species largely responded to past climate change  
449 by shifting geographically to remain in equilibrium with climate or went extinct (e.g. Jackson and  
450 Overpeck 2000; Bush et al. 2004; Williams, Shuman, Webb, Bartlein, and Leduc 2004). In the past,  
451 species shifting in equilibrium with climate likely had higher fitness and swamped hybrids and novel  
452 adaptations that allowed species to adapt to new climates. Here, the past may not be the key to  
453 understanding the future given the exceptional pace and directionality of temperature changes compared  
454 to the late Quaternary fossil record (Bush et al. 2004). Instead, population sizes may collapse with range  
455 retractions, reducing competition and swamping of novel gene combinations generated by hybridization.  
456 Future work should focus on understanding how climate change and land cover change may affect  
457 opportunities for hybridization and its evolutionary outcomes across elevational gradients in Neotropical  
458 trees, as this is critical for predicting community-wide responses and understanding biodiversity  
459 responses to ongoing climate change.

460 TABLES

461

462 Table 1. Studies identifying recent hybrid zones among species or subspecies that developed after a range  
 463 shift attributed to climate change.

Study	Common name	Scientific name	Taxonomic group	Location
Garroway et al. 2010	Northern & southern flying squirrels	<i>Glaucomys sabrinus</i> x <i>G. volans</i>	Mammal	Ontario, Canada
Cahill et al 2015, Pongracz et al. 2017	Polar bears x brown bears	<i>Ursus maritimus</i> x <i>U. arctos</i>	Mammal	ABC islands, Alaska, Canada, Europe, Quebec
Garcia-Elfring et al. 2017	White-footed mouse x deer mouse	<i>Peromyscus leucopus</i> x <i>P. maniculatus</i>	Mammal	Quebec
Taylor et al. 2014	Black-capped chickadees x Carolina chickadees	<i>Poecile atricapillus</i> x <i>P. carolinensis</i>	Bird	Pennsylvania & New Jersey
Kersten et al. 2023	Atlantic puffins	<i>Fratercula arctica naumanni</i> x <i>F. a. arctica</i> x <i>F. a. grabae</i>	Bird	Norway
Muhlfeld et al. 2009 & 2014	Cutthroat trout x Rainbow trout	<i>Oncorhynchus clarkii lewisi</i> x <i>O. mykiss</i>	Fish	Flathead river basin (Montana, US & Alberta, Canada)
Walls 2009	Plethodon salamanders	<i>Plethodon teyahalee</i> x <i>P. shermani</i>	Amphibian	Southern Appalachians
Sequeira et al. 2011	Neotropical toads	<i>Rhinella marina</i> x <i>R. schneideri</i>	Amphibian	Amazonia
Pereira et al. 2016	Fire salamanders	<i>Salamandra salamandra</i> (9 subspecies)	Amphibian	Iberian Peninsula
Britch et al. 2008	Striped ground cricket x southern ground cricket	<i>Allonemobius fasciatus</i> x <i>A. socius</i>	Insect	Eastern United States
Mallet et al. 2011	Brown argus butterflies	<i>Polyommatus (Aricia) agestis</i> x <i>P. (A.) artaxerxes</i>	Insect	Britain
Sanchez-Guillen et al. 2011, Wellenreuther et al. 2018	Damselflies	<i>Ischnura elegans</i> x <i>I. graellsii</i>	Insect	Europe
Ryan et al. 2018	Tiger swallowtail butterflies	<i>Papilio glaucus</i> x <i>P. canadensis</i>	Insect	Illinois & Wisconsin
Stewart et al. 2012	Shortleaf x loblolly pine	<i>Pinus echinata</i> x <i>P. taeda</i>	Plant	Southeastern United States
Beatty et al. 2015	St. Patrick's cabbage x kidney saxifrage	<i>Saxifraga spathularis</i> x <i>S. hirsuta</i>	Plant	Ireland

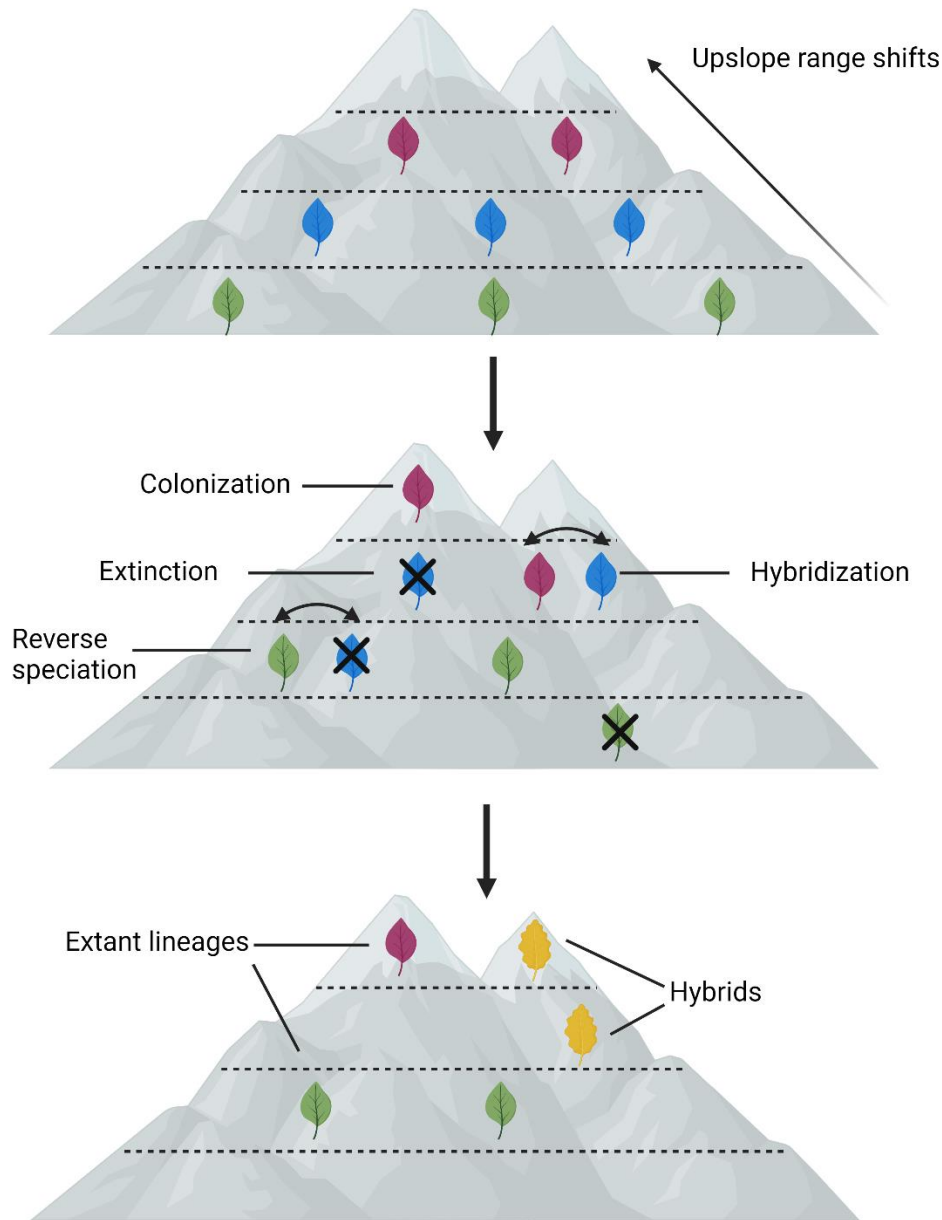
Gomez et al. 2015	Alpine plants	Many species	Plant	Sierra-Nevada Mountains (south-eastern Spain)
Ortego et al. 2016	Californian oaks	<i>Quercus durata</i> x <i>Q. berberidifolia</i>	Plant	California
Leroy et al. 2017	European white oaks	<i>Quercus petraea</i> x <i>Q. robur</i> x <i>Q. pubescens</i> x <i>Q. pyrenaica</i>	Plant	France
Chhatre et al. 2018	Poplar trees	<i>Populus angustifolia</i> x <i>P. balsamifera</i> x <i>P. trichocarpa</i>	Plant	Rocky Mountains
Pfeilsticker et al. 2022, 2023	Tasmanian eucalyptus	<i>Eucalyptus risdonii</i> x <i>E. amygdalina</i>	Plant	Tasmania
Tsuda et al. 2016, Chen et al. 2019, Karunaratne et al. 2024	Norway spruce x Siberian spruce	<i>Picea abies</i> x <i>P. obovate</i>	Plant	Europe

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498 Table 2. Studies of climate change-induced plant phenological shifts.

Study	Type of phenological shift	Location	Time period of study
Bradley et al. 1999	Early onset of spring flowering	Wisconsin	1936-1947 & 1976-1998
Menzel 2000	Early onset of spring leafing and flowering and delayed onset of autumn leaf coloring	Europe	1959-1996
Piao et al. 2006	Early onset of leafing	China	1982-1999
Crimmins et al. 2010	Early onset of spring flowering	Mt. Kimball, Arizona	1984-2003
Høye et al. 2013	Shortening of flowering	Greenland	1996-2009
Ovaskainen et al. 2013	Early onset of spring flowering	Russia	1960-2010
Zhao et al. 2013	Shortening of flowering and budburst delays	Southwest China	1973-1999
Bjorkman et al. 2015	Experimental warming – early onset flowering; Natural plots – constant or delayed flowering (hypothesized due to increased snowfall & delayed snowmelt)	Ellesmere Island, Canadian Arctic Archipelago	1992-2013
Panchen and Root 2015	Early onset flowering and fruiting in <i>Dryas integrifolia</i> but no change in <i>Saxifraga oppositifolia</i>	Ellesmere Island, Canadian Arctic Archipelago	1994-2014
Dunham et al. 2018	Shifts in fruiting timing	Madagascar	2005-2016
Prevéy et al. 2019	Early onset of flowering in late-flowering species; overall shorter flowering seasons	Tundra ecosystems across N America, Greenland, and Europe	
Rosbakh et al. 2021	Early onset of leaf out, flowering, and fruiting	Siberia	1976-2018

501 FIGURES  
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505 Figure 1. Under current climate change, species are shifting their ranges upslope to track their optimal  
506 environmental conditions, resulting in increased range overlap and gene flow. Some populations and  
507 species will go extinct, while other recently diverged lineages may collapse back into a single lineage,  
508 resulting in decreased species diversity. Alternatively, increased gene flow may result in hybrids that are  
509 more fit than their parents or backcrossing of novel allelic diversity via introgression, allowing for  
510 diversification and colonization of novel climate zones. Colors represent distinct lineages. Leaves with  
511 wavy margins represent hybrids.

512

513 DATA AVAILABILITY STATEMENT

514

515 Not applicable.

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#### 982 APPENDICES

983 Not applicable.

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#### 985 SUPPORTING INFORMATION

986 Not applicable.