1 Simple and robust models of ecological abundance

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7 Abstract

8 1. Counts of species in ecological samples are of interest when they tell us about community

9 assembly processes. Older process-based models of count distributions are either complex,

10 widely rejected, or not able to predict high unevenness.

- 11 2. I leverage a general strategy for deriving simple one-parameter models. A distribution of
- 12 abundances x on a continuous scale is predicted from a transform of a uniform distribution U;
- 13 U is solved for to yield one minus a cumulative distribution function (CDF) for x; and the
- 14 result is differenced and rounded to down to yield a probability mass function. The same
- 15 workflow has long been used to derive the geometric series from the exponential distribution.
- 16 Three variants are proposed, respectively based on the transforms $\mu/U \mu = (\mu U)/U$ where
- 17 μ is a fitted constant (a scaled odds); $[-\ln(U)/\lambda]^2$ where $-\ln U$ is just an exponential random
- 18 variate and λ is the constant; and $[-\ln(2/U-1)/\gamma]^4$ where γ is the constant. They collectively
- 19 cover the range of functions that lead from some U to a non-negative real number.
- 20 3. The distributions are all consistent with simple population dynamical models in which
- 21 recruitment rates, and sometimes death rates, vary randomly amongst species and are fixed
- 22 for each species. The number of recruited offspring produced during each interval by each
- 23 species is Poisson-distributed, and death rates are per-capita. Population counts are
- equilibrial, allowing co-existence in the absence of competition.
- 4. Large-scale surveys of corals, fishes, butterflies, and trees are consistent with the
- 26 distributions, as are local-scale inventories of trees and assorted vertebrate and insect groups.
- 27 Each inventory is used to predict the counts of another one that is matched based on group
- 28 representation, biogeography, and richness. Based on examining decisive differences
- 29 between the resulting likelihoods, the new models routinely outperform eight different rivals.
- 30 5. Thanks to their simplicity, grounding in non-competitive equilibrial population dynamics,
- 31 and predictive power, the new approaches have considerable relevance throughout ecology.
- 32

33 KEYWORDS

- 34 half-power distribution, log series, negative binomial distribution, Poisson log normal
- 35 distribution, quarter-power distribution, scaled odds distribution, Weibull distribution

36 1 | INTRODUCTION

37

The rules of community assembly are of fundamental interest to ecologists, and debate over 38 39 them goes back to the conflict between the Gleasonian and Clementsian schools in the early 40 20th century (Eliot 2007; Presley et al., 2010). Community assembly is grounded in rates of birth, death, and immigration (Kendall, 1948). Rate variation is responsible for complex 41 patterns at local scales such as vegetational succession and predator-prey cycles. However, 42 43 the rates also scale up to govern speciation and extinction processes. Thus, they indirectly control or correlate with everything that it is interesting in community ecology and 44 45 macroecology, including biogeographic patterns, species-area relationships, diversity gradients, and trait distributions. 46

47 There may be no agreement about which assembly processes are the most important, but the business of ecology is the same as the business of science in general: establishing process 48 49 by studying pattern. The problem is that there are highly distinct strategies for drawing inferences. For example, presence-absence matrices that compare assemblages may signal 50 51 several processes (Leibold & Mikkelson, 2002; Henriques-Silva et al., 2013), and species 52 diversity patterns can likewise suggest different population processes such as colonisation and local extirpation (MacArthur & Wilson, 1963; Loreau & Mouquet, 1999). 53 While that literature is important and interesting, the common currency of community 54

ecology is more basic: simple inventories of species found in particular locations at particular
times. The problem is that isolated inventories are generally thought not to contain enough
information to indicate assembly processes with any real specificity (Lawton, 1999; McGill
et al., 2007; Matthews & Whittaker, 2014). This explains why authors have discussed
alternative approaches such as seeing how abundance distributions, which are counts of
individuals grouped into species, vary across temporal scales (Magurran, 2007) or spatial
scales (Borda-de-Água et al., 2011; Antão et al., 2021).

In this paper, I suggest that individual real-world distributions do have the power to
differentiate quite different assembly processes. In particular, I present three new and
extremely simple models of population dynamics that all generate simple species abundance
distributions. I show that their predicted patterns are common in tree and animal data.
Importantly, the new distributions are not only plausible but distinct, so it is possible to reject

67 their underlying models and thereby exclude their assumptions.

68 Population models have been used in this way before. For example, Kendall (1948) predicted the log series distribution of Fisher et al. (1943) with a completely random, per-69 70 capita birth-death process; MacArthur (1960) pointed out that the log normal should result if all populations grow exponentially; and Saether et al. (2013) showed how weak density 71 72 dependence could also generate the log normal distribution. Meanwhile, the influential zerosum multinomial (ZSM) distribution of Hubbell (1997, 2001) encompasses the log series and 73 74 other shapes. It can be derived from a population model that makes clear assumptions about dispersal, speciation, competition, and so on. 75

76 These are all long-established ideas. But except for the ZSM, newer species abundance models such as those of Tokeshi (1990) have often not gained much traction. A potential 77 78 exception is the gambin model of Ugland et al. (2007), which has attracted other attention (Matthews et al., 2014, 2019). This model is difficult to assess for reasons outlined later. 79 80 Comparative analyses (e.g., Baldridge et al., 2016) have therefore focused on classic alternatives such as the log series (Fisher et al., 1943) and Poisson log normal (Bulmer, 81 1974). 82

83 With all of this previous work, it would be natural to think that nothing more needs to be said. Don't we already have far too many models? I will argue this is not true. But even if the 84 85 general theory proposed here proves superfluous, stimulating a wider discussion may better our understanding of ecological processes. In addition, the particular new models all have 86 87 built-in species richness estimators that provide maximum likelihood values when the model 88 assumptions are met. So if the theory is any good, then these estimators might see widespread 89 application.

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2 | MATERIALS AND METHODS

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93 2.1 | Workflow for deriving distributions

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Throughout this paper, I draw a distinction between two mathematical means of summarising 95 count data: (1) rank-abundance distributions (RADs), which are simply lists of counts 96 97 ordered from greatest to least; and (2) species-abundance distributions (SADs) sensu stricto,

98 which are lists of counts of species sharing counts (Fisher et al., 1943). Although some

researchers prefer to fit data to models by examining RADs (e.g., Hughes, 1986; Ulrich et al., 99

100 2018), I emphasise fitting data to SADs by likelihood, as done by Prado et al. (2018), for A fitted SAD is just a probability mass function (PMF) in the standard statistical sense, which is another good reason to work with SADs. As statisticians well understand, integer-value PMFs can be derived from continuous-value cumulative distribution functions (CDF). A general strategy is to start with a transform of a uniform random variate U into a non-uniform random variate X:

$$X = f(U) \tag{1}$$

Next, U is solved for in terms of x to yield U = f(X). The resulting expression is just one minus a CDF if (1) it declines monotonically to zero as X approaches infinity, and (2) it either starts with a value of 1 when X = 0 or can be scaled easily to do so. In other words, many expressions like 1 - f(X) can be CDFs:

$$F_X(x) = P(X \le x) = 1 - U = 1 - f(X)$$
(2)

Finally, a PMF is produced by rounding down the first differences of the CDF:

 $p_X(x) = P(X = x) = [1 - f(x + 1)] - [1 - f(x)] = f(x) - f(x + 1)$ (3)

where x is an integer value. The derivation of the geometric series from the exponential distribution is then as follows:

$$125 X = -\ln U (4)$$

$$F_X(x) = 1 - U = 1 - \exp(-X)$$
(5)

$$p_X(x) = \exp(-x) - \exp[-(x+1)]$$
(6)

To confirm that this yields the geometric distribution, let its governing parameter p = 1 $-\exp(-\lambda)$ where λ governs the exponential. Suppose $\lambda = 3$. In R, symbolise λ as 1 and then compute:

134 1 = 3135 136 p = 1 - exp(-1)137 x = 0:9 $\exp(-1 * x) - \exp(-1 * (x + 1))$ 138 139 dgeom(x, p)140 141 2.2 | New equations 142 The exact equations for the three new distributions examined in this paper follow easily from 143 the workflow. First, we consider a distribution related to the discrete Weibull (Nakagawa & 144 Osaki, 1975), whose general form can be derived from the exponential distribution in this 145 146 way: 147 $X = [-\ln(U)/\lambda]^p$ 148 (7) 149 $F_X(x) = 1 - \exp(-\lambda x^{1/p})$ 150 (8) 151 $p_X(x) = \exp(-\lambda x^{1/p}) - \exp\{-[\lambda (x+1)^{1/p}]\}$ (9) 152 153 154 where λ and p are constants, the former just being the familiar rate parameter of the exponential distribution. 155 The specific distribution used here, called the half-power (HP), follows from setting p = 2: 156 157 $X = [-\ln(U)/\lambda]^2$ (10)158 159 $F_X(x) = 1 - \exp(-\lambda x^{0.5})$ 160 (11)161 $p_X(x) = \exp(-\lambda x^{0.5}) - \exp\{-[\lambda (x+1)^{0.5}]\}$ 162 (12)163 164 The p = 2 assumption is made because a very simple population dynamics model 165 discussed below implies this value. Assuming any other value would require burdening the model with extra assumptions. 166

Because $\exp(-\lambda 0^{0.5}) = 1$ and $\exp[-(\lambda 1^{0.5})] = \exp(-\lambda)$, this equation yields a remarkably 167 168 simple species richness estimator: 169 170 $R = S/\exp(-\lambda)$ (13)171 172 where R = estimated richness and S = the observed number of species. 173 The second distribution, called the scaled odds, uses a scaling constant μ and has a 174 simplified PMF: 175 176 $X = \mu (1/U - 1)$ (14)177 $F_X(x) = 1 - \mu/(x + \mu)$ 178 (15)179 $p_X(x) = [\mu/(x + \mu)] - [\mu/(x + 1 + \mu)]$ 180 181 182 $p_X(x) = 1/[(x + \mu)(x + 1 + \mu)]$ (16)183 $R = (\mu + 1)/\mu S$ 184 (17)185 Crucially, 1/U - 1 can be rearranged as (1 - U)/U. This ratio is nothing other than the 186 gambler's odds of a random outcome – where the probability of that outcome is itself a 187 188 random uniform variate. Odds distributions range from zero to infinity, meeting the 189 requirement that abundances on a continuous or discrete scale must fall into that range. 190 Finally, the quarter-power distribution incorporates features of both equations. Specifically, a modified odds component is logged, scaled, and raised to a power. The power 191 192 term could be freed to create a two-parameter model comparable to, say, the Weibull. Very 193 close fits to real and simulated data are seen with a power of 4, implying that the expression's 194 form is realistic and the constant is canonical. The constant may reflect an equilibrium state: a different one would presumably result in unstable and transient communities. It is denoted 195 196 with the symbol γ : 197 $X = [-\ln(2/U - 1)/\gamma]^4$ 198 (18)199

200	Note that $-\ln(2/U-1)$ has bounds of zero and infinity, with a self-evident median	of ln 3				
201	and a computable mean of ln 2. It is very important that the expressions $-\ln(U)$, $1/U - 1$, and					
202	$-\ln(2/U-1)$ collectively encompass the set of simple expressions that can convert U into this					
203	range.					
204	The other equations are:					
205						
206	$\gamma X^{1/4} = -\ln(2/U - 1)$					
207						
208	$U = 2/[\exp(-\gamma X^{1/4}) + 1] $ (19)))				
209						
210	$F_X(x) = 1 - 2/[\exp(-\gamma x^{1/4}) + 1] $ (20)))				
211						
212	$p_X(x) = 2/\{\exp[-\gamma (x+1)^{1/4}] + 1\} - 2/[\exp(-\gamma x^{1/4}) + 1] $ (21)	1)				
213						
214	The richness estimate requires a little work:					
215						
216	$p_X(0) = 2/[\exp(-\gamma \ 1^{1/4}) + 1] - 2/[\exp(-\gamma \ 0^{1/4}) + 1]$					
217						
218	$p_X(0) = 2/[\exp(-\gamma) + 1] - 1$					
219						
220	$1 - p_X(0) = 2 - 2/[\exp(-\gamma) + 1]$					
221						
222	$1 - p_X(0) = 2 \exp(-\gamma) / [\exp(-\gamma) + 1]$					
223						
224	$R = [\exp(-\gamma) + 1] / [2 \exp(-\gamma)] S $ ⁽²²⁾	2)				
225						
226	It is important to stress two other things. First, unlike the log series (Fisher et al. 19	943), all				
227	of these distributions directly imply the total species richness of a community (eqns.	13, 17,				
228	and 22). Likewise, a richness estimate can be gotten out of a Poisson log normal fit be	ecause it				
229	too indicates the proportion of species with non-zero counts (Grøtan & Engen, 2008).	. There				
230	are issues with that distribution such as its failure to remove sample size biases, its im	precise				

estimates, and its poor prediction of patterns. The first two topics merit a fuller discussion

elsewhere. The third problem is demonstrated in the results. On a conceptual level, I take upwhat it means to estimate richness from an ecological sample in the discussion.

Second, all of the new models have a single scaling parameter and no shape parameter. In
other words, they posit that all differences between species inventories stem from just two
properties – the richness of the overall species pool and the number of drawn individuals.
Suppose a real-world distribution is ably described by any such distribution. Then all
measures that concern distributional evenness here are irrelevant, because if a shape doesn't
vary, then there is nothing for an "evenness" metric to describe. I discuss later how this
deduction bears on the widespread use of Hill numbers (Hill, 1973; Chao et al., 2014).

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242 2.3 | Additional distributions

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There is a large literature on species-abundance distributions in the general sense (McGill et 244 al., 2007). I restrict my discussion to eight published models that have received substantial 245 246 attention from ecologists at different points in history. (1) The geometric series distribution 247 (Motomura, 1932) was originally applied to RADs. This application has been thought to yield 248 unrealistic fits to data, and the model is no longer considered viable in such a form (Alroy, 249 2015; Baldridge et al., 2016). However, its fate is different in the current analysis, which applies the distribution to SADs instead. (2) The log series (Fisher et al., 1943) is 250 251 fundamental to ecology and already considered by some to be a good descriptor of many 252 communities (Baldridge et al., 2016), especially local ones (Antão et al., 2021). This explains 253 why it is still routinely used in biodiversity studies, including very large-scale ones (e.g., 254 Buzas et al., 2002; Cazzolla Gatti et al., 2022). (3) The broken stick distribution (MacArthur, 255 1957) has a distinct theoretical basis and makes distinct predictions about the shapes of 256 SADs, so it is investigated here even though modern studies reject it (Alroy, 2015). The 257 remaining distributions must be considered because of their recent advocacy. (4) The Poisson log normal (PLN: Bulmer, 1974) was applied to large-scale marine data sets by Connolly et 258 al. (2005, 2009). (5) The zero-sum multinomial (ZSM: Hubbell, 1997, 2001) is widely 259 260 advocated and has long been the subject of much debate (e.g., McGill, 2003). (6) The 261 negative binomial was explored by Connolly et al. (2009) and Connolly and Thibaut (2012) 262 and also applied by Tovo et al. (2017) and ter Steege et al. (2020), as part of a broader study. 263 (7) The Weibull, a standard statistical distribution, was put forth as a good description of 264 ecological count data by Ulrich et al. (2018). I consider the discrete version of the Weibull

265 (Nakagawa & Osaki, 1975). (8) The Zipf is another classic distribution and was thought to be a good general descriptor of ecology communities by Su (2018). 266

267 I put aside the gambin distribution (Ugland et al., 2007; Matthews et al., 2019) for the same reasons as Ulrich et al. (2018): it is a heuristic pattern descriptor not based in a process 268 269 model and one that is fit by binning the data, so a direct comparison based on fitting alternatives to proper SADs is not possible. In particular, the gambin R library (Matthews et 270 271 al., 2014) was not designed to fit SADs. I also do not consider niche preoccupation models such as the ones proposed by Sugihara (1980) and Tokeshi (1990) because these RAD-based 272 273 theories are no longer endorsed, depend on strong assumptions about competition, and do not 274 make clear predictions about SADs.

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2.4 | Likelihood-based fitting method

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Fitting models to abundance distributions is a challenging problem (Connolly & Thibaut, 278 279 2012; Matthews & Whittaker 2014; Ulrich et al., 2018). Earlier researchers sought to do so 280 by sorting counts into log₂ bins (Preston, 1962). However, even when maximum likelihood 281 methods are used (McGill, 2003) this loses much information. Thus, it is impractical when 282 dealing with routine ecological surveys including only 10, 20 or even 30 species (Ulrich et 283 al., 2018). Meanwhile, directly fitting RADs (e.g., Ulrich et al., 2018) is problematic because 284 (1) it depends on frequentist methods such as least-squares or major axis regression; (2) there 285 is no way to specify an error distribution that should apply fairly to all theoretical models; 286 and (3) the data violate the standard statistical requirement of independence between x- and y-values. Specifically, it is not possible to model error in ranks sensibly because stochastic 287 288 variation in counts would generate swaps in ranks. I therefore follow others (Bulmer, 1974; 289 Connolly et al., 2005, 2017; Connolly & Thibaut, 2012; Prado et al., 2018; Antão et al., 290 2021) in evaluating model fit by computing the likelihoods of empirical SADs. Again, the 291 term SAD is used here for a list of counts of species sharing particular counts of individuals. Before continuing, I note that the same likelihood calculation is used in this paper for two 292 293 purposes: (1) quantifying the fit of each and every rival model to any given SAD, and (2) finding the best value of the parameters of the new models. The function is also used to fit the 294 broken stick, geometric series, negative binomial, and discrete Weibull, which lack trivially 295 296 computed parameters (the log series has one) and lack existing R functions that fit the 297 parameters by maximum likelihood (the Poisson log normal has one).

The math depends on first computing the independent probability p_i that a given species will fall in its observed count class *i*, i.e., the likelihood. The overall likelihood is just the product of all the p_i values for the counts (Prado et al., 2018). Of course, only the observed counts can be predicted and the sum of p_i over all observable classes has to be 1. However, zero counts can't be observed and do feature in the PMF equations given above. Therefore, the *p* values have to be divided by $1 - p_0$ (meaning standardised). Connolly et al. (2017, their eqn. 8) used the same correction.

305 Connolly and Thibaut (2012) proposed a multinomial equation for fitting SADs instead of 306 a binomial equation. Nothing is wrong with that. However, when it comes to actual computation the distinction is not important: the only difference between an indepent-draws 307 308 equation and a multinomial equation is the inclusion of combinatorial terms made up of S and s_i . Those values are fixed, so the combinatorial terms are fixed across all possible parameter 309 310 values, leading to identical maximum likelihood solutions. Thus, users of these methods can choose the interpret the fitting procedure as "really" based on a multinomial model if they so 311 312 choose.

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314 2.5 | Simulations of population dynamics

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Simple simulations are used to demonstrate sufficient if not necessary conditions for the 316 317 geometric series and the three new distributions to arise. The simulations each assume a species pool of 100,000 with initial population sizes of 100, and they continue for 1000 time 318 319 steps. Death is always a binomial process, meaning that it is per-capita (based on the initial number of adults) with a probability that any one individual will die. Counts of recruits 320 321 ("births") are randomly drawn from the Poisson distribution. Similar results can be obtained 322 using models that drawn birth counts from the geometric series. A non-capita birth process is 323 assumed because the system is assumed to be either (1) open to a steady influx of propagules, or (2) saturated with subadults that have been generated over a series of intervals instead of 324 arising over just one time step. Therefore, the models could apply either to open or closed 325 systems. 326

327 The geometric series model assumes that the death rate is fixed at some fraction (0.1 in the 328 illustrated trials), and that the Poisson parameter of the recruitment rate is a simple random 329 exponential variate with a rate λ . All the other models are variants. The half-power model 330 assumes that the death probability *p* is a function of the birth rate λ , specifically $p = 1/(\lambda + 1)$. So the rates are negatively correlated: when $1/(\lambda + 1) = 1$ or 9, p = 0.5 or 0.1. The odds model assumes a fixed death probability, here 0.5, and a birth probability of $\exp(-\lambda)/\lambda$. Finally, the quarter-power model also assumes a uniform death rate, again illustrated as 0.5, and a birth rate of λ^3 . In the illustrated trial, the birth rate is scaled up by 3 to allow comparison with the other curves.

So the models assume different relationships between birth and death – but populations
must somehow stay in a viable range. How is co-existence maintained?

- The counter-intuitive reason is that the simulations reach an equilibrium total population size *K* for each species. For example, let p = the death probability and d = the expected death count, equal to the current population size p n. Also let b = the expected birth count, equal to $-\ln p$ in this hypothetical model. At equilibrium, then, d = b and $p K = \ln p$, so $K = \ln(p)/p$.
- Below equilibrium, $n < \ln(p)/p$ because n < K and $K = \ln(p)/p$. Therefore, d < b: n < b/p
- because $b = \ln p$, p n < b by rearrangement, and d < b because d = p n. As a result, n will
- climb towards K. Above K, $n > 1/p^2$ and d > b, so n will fall to K. Similar proofs apply to the
- 345 preceding models. They relate closely to the equilibrial theory of island biogeography
- 346 (MacArthur & Wilson, 1963), which also assumed per-capita "death" (extinction) and steady,
 347 non-per-capita "birth" (immigration).
- The fact that all of this is true is easily confirmed by simulation. It is highly important because it specifically predicts that species producing more recruits in total per time step are more common at equilibrium. There are truly "winner" and "loser" species in this paradigm, but all of them have equilibrial population dynamics, so all of them can co-exist.

All of the models assumes high but predictable variance among species in recruitment rates because of fixed differences in traits, but little variance among individuals. Models assuming a geometric sampling process for recruitment would build in greater variance. They are not explored in this paper because low variance may be more intuitive to many ecologists.

357 2.6 | Empirical data

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359 Four large-scale data sets and one database of local-scale species inventories were used to

360 benchmark the distributions. Data for communities of fishes and corals spread across the

- 361 western and central Pacific were drawn from Connolly et al. (2017). A regional data set of 18
- 362 butterfly communities from Colombia was taken from Cómbita et al. (2021). Combined
- abundances of trees inventoried in 1946 plots across the Amazon basin were drawn from ter

Steege et al. (2020). Finally, all 3257 available inventories of local tree, insect, and vertebrate
communities from around the world were drawn directly from the Ecological Register
database (Alroy, 2015, 2024). A large majority apply to a single trophic level and a small
local area. There was no combination of inventories and multiple inventories from the same
publications were allowed to be included. After discarding inventories with less then four
species, a maximum count of less than four, or entirely identical counts, 3095 remained.

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371 2.7 | Assessment of model fit

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The fit of the 11 models to each of the local data sets was assessed by computing the 373 374 corrected Akaike information criterion (AICc) for each combination (Hurvich & Tsai, 1993). Antão et al. (2021) did the same thing. The above-mentioned likelihood calculation was used 375 376 as the basis of the computations, which were implemented in the richness R package 377 (https://github.com/johnalroy/richness/releases/tag/v2.4). The Zipf and ZSM distributions 378 were fit first using the sads library (Prado et al., 2018), which uses the same likelihood 379 equation as *richness* for all of its SAD fitting. The *poilog* library (Grøtan & Engen, 2008) in 380 combination with the *richness* function *pln* was used to fit the PLN. The other models were 381 fit using this paper's maximum likelihood equation, as implemented in the *richness* package. 382 The AICc statistic penalises weakly for the number of parameters in a model (either one or 383 two in all cases), so it tends to favour more complex ones. Many data sets are small in terms 384 of both the number of species and the number of individuals, so raw AICcs can be 385 misconstrued to indicate meaningful differences. To avoid being misled by stochastic variation in the fits, I tallied cases where differences (Δs) in AICcs yielded a weight of > 20, 386 i.e., where $\exp(\Delta AICc/2) > 20$. 387

Complex models are able to fit a wide range of distribution shapes by definition, but this 388 389 does not necessarily mean they are good predictors of community structure. The reason is 390 that they overfit, so they commit strongly to a pattern that may result from random variation in counts. To show whether models could generalise, I carried out more head-to-head 391 392 comparisons by (1) fitting each model to each species inventory; (2) for each inventory, 393 selecting another one that represented the same ecological group and the same biogeographic 394 realm (ecozone) and had the most similar numbers of non-singleton and singleton species 395 based on the sum of log ratios of those counts (with the first-encountered inventory being 396 chosen when there was a tie); and (3) computing the log likelihoods (LLs) of the second

397 distribution based on the first one's models. The above methodology was used to obtain the 398 likelihoods. A likelihood weight cutoff of > 20, meaning $\exp(\Delta LL) > 20$, was used to flag the 399 decisive comparisons.

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401 2.7 | Multivariate ordination based on fit statistics

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Differential sampling of the range of possible SADs might skew tallies of the best
distributions for the inventories. Therefore, it is more illuminating to see which shapes across
the range are able to to fit which distributions, and whether the new models can account for
most or all of this variation. If so, then it is possible that most communities are indeed
generated by processes conforming with the key assumptions: per-capita death rates that may
or may not be species-specific combined with species-specific, highly variable, and not percapita recruitment rates.

Principal components analysis of the LLs is used to explore the range of shapes. A level 410 playing field has to be created to make this possible. Specifically, the average magnitude of 411 LLs regardless of the model tracks richness and sample size, rising with both. To account for 412 413 this, the LLs for each inventory are first standardised to fall in the range between the 414 minimum and maximum. So if the LLs for three models are 10, 13, and 20, then the 415 standardised values are 0, 0.3, and 1. Alternative approaches would depend on making strong 416 assumptions, such as strong and linear tracking between average LLs and either richness, 417 sample size, or both somehow combined.

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419 **3** | **Results**

420

421 3.1 | Simulated SADs

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423 Patterns closely consistent with the distributions are yielded by the appropriate simulations.

424 Fits of models to counts are almost precise (Fig. 1). The same patterns can be seen in almost

425 every single trial – these were selected arbitrarily.

The geometric series (Fig. 1A) is the most general, with fixed per-capita death rates and a simple exponential distribution of birth rates. The half-power (HP) model (Fig. 1B) assumes coupling between rates. Finally, the scaled odds and quarter-power (QP) distributions assume fixed death rates and high-variance birth rates (Figs. 1C, D).

3.2 | Descriptions of empirical SADs 431

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The QP distribution fits all for regional data sets with great accuracy (Fig. 2). The scaled 433

434 odds distribution fits the Pacific coral and fish data and the Colombian tree data next-best

(Figs. 2A, B, C). The log series is second-best with the composite Amazonian tree 435

436 inventories (ter Steege et al., 2020), which span a huge spatial scale (Fig. 2D). Thus, it is not

437 clear that a multi-parameter model like the negative binomial (ter Steege et al., 2020) is really 438 needed for this data set.

In terms of the local-scale data, an initial vetting of the models can be based on head-to-439

440 head comparisons that yield large differences in AICcs (AICc weights > 20: Table 1). Here,

the three new distributions are decisively better than the broken stick, geometric series, 441

negative binomial, and Zipf. They also beat the zero-sum multinomial (ZSM). The QP 442

443 overwhelmingly beats the log series while the others fall to it. The Poisson log normal (PLN)

444 and Weibull fare worse again against the QP. This is a mixed result for the HP and odds, and 445 it suggests that the OP is the strongest of all considered distributions.

446 The fair performance of the two-parameter PLN, Weibull, and ZSM models may be an 447 artefact of (1) the AICc's weak penalisation for model complexity, (2) overfitting, and (3) the ability of complex models to mimic distributions generated by simpler processes, including 448 449 those that underlie the four models emphasised here.

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451 3.3 | Predictions of empirical SADs

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453 The differences are much more dramatic when fitted SADs are used to predict matched SADs 454 (Table 2). The QP distribution now trumps all of the old models at least 80% of the time 455 when the likelihood weight is > 20. The scaled odds distribution is also strong, with a 456 minimum win percentage of 71. The HP more or less ties the three distributions that predict gently declining, J-shaped RADs: the log series, PLN, and Weibull. The HP and Zipf also tie. 457 458 In sum, because accurate prediction is more important than simple description in science, the large differences in favour of all three new models, and especially QP, yield them 459 460 considerable credence. This conclusion is strengthened by limiting the comparisons to 461 complex distributions (those having highest log likelihoods across all models > 100). This 462 time, the QP and scaled odds respectively beat the two-parameter distributions at least 93 and

86% of the time in all cases. The HP also performs better, still basically tying the Zipf (45%)
but now overcoming the log series (80%), PLN (67%), and Weibull (62%).
There is some important variation among 13 ecological groups with respect to relative
model performance. QP is far and away the strongest, not falling below 50% in any of the 13
x 8 = 104 comparisons with older models. The scaled odds distribution is also favoured
strongly, but not so much over the log series, which it usually beats about 70 – 80% of the
time. However, this ranges from 49% (mosquitoes) to 81% (birds). Support for the HP

distribution is less impressive (sometimes < 50% in various comparisons) when it comes to
four major groups: ants, dung beetles, mosquitoes, and trees. The three insect groups often

feature steep distributions that are well-explained by the odds and QP models. There is noobvious latitudinal pattern in the data.

474

475 **3.4** | Multivariate ordination patterns

476

The ordination is even more interesting because it shows which shapes go with which distributions, and thus which shapes are broadly applicable (Fig. 3). The classic J-shaped RAD pattern is only seen at left. The other side encompasses flattened and symmetrical RADs only well described by two classic but underlooked one-parameter distributions: the broken stick and much more often the geometric series (red points). The log series (yellow points) is common only at upper left, and specifically matches RADs that start with a hook and trail off into a straight line (as illustrated).

Importantly, the two-parameter distributions (turquoise points) that are of so much interest to ecologists are only common in the central zone of the space, plus part of the branch to the right (Fig. 3). In particular, they explain some J-shaped RADs that are curved in the middle instead of running straight. In other words, the Poisson log normal, and Weibull mostly serve to wrap around unremarkable distributions.

489 Finally, numerous data sets fit at least one of the three new models well, with relevant inventories (light blue points) falling almost everywhere to the left of the small "flat RAD" 490 491 zone (Fig. 3). Thus, the new distributions are jointly able to account for most shapes. They 492 are also distinct (Fig. 4). The HP distribution spans a wide region (dark blue points). The 493 odds distribution (violet points) and QP distribution (green points) split the densely-populated 494 left side, which includes many distributions that are J-shaped but steep. Like the Zipf, they 495 can fit broad distributions with hyper-abundant dominant species. But they can also account for the straightness of the log series-type RADs. 496

498 4 | Discussion

499

500 4.1 | Inference of process

501

For many years, ecologists were optimistic about inferring processes from species abundance
distributions (Fisher et al., 1943; MacArthur, 1957; Preston, 1962; May, 1975; Sugihara,
1980; Hughes, 1986; Tokeshi, 1990; Hubbell, 2001). However, influential papers such as
McGill et al. (2007) have more recently argued that because there are so many models
making such similar predictions, the entire enterprise is doomed.

507 This perspective overlooks the basic logic of the current analysis: whenever a population 508 model M exactly predicts a distribution D, rejecting D based on empirical data also rejects M. 509 Thus, fitting SADs can be considerably informative – but only when distributions are simple 510 and grounded in models. In fact, the three new one-parameter distributions actually do predict patterns well (Figs. 1 - 4, Tables 1 and 2). Therefore, they actually do inform us about 511 512 fundamental ecological processes. By contrast, two-parameter distributions may serve no real 513 purpose because (1) they are not needed to predict the full range of possible SADs (Fig. 3); 514 (2) they are mostly not grounded in simple population dynamical models (as opposed to Fig. 1); and (3) science operates on the principle that simple theories are better. 515

The proposed population models are ecologically interesting and important for several 516 other major reasons. (1) All of them are not only simple, but simple variants of each other. 517 (2) They assume high variance in recruitment rates among species but low variance among 518 519 individuals within species. By contrast, the fully neutral log series model assumes no 520 consistent, trait-based variation in demographic rates among species (Kendall, 1948; Hubbell, 521 2001). In the new models, species do have systematically different demographic rates and 522 equilibrium population sizes because of their traits, so there are "winners" and "losers" in 523 perpetuity. (3) The models imply that populations reach equilibrium strictly because of demographic tradeoffs (Fig. 1). There is no role for competition, niche preoccupation, 524 assembly rules, speciation, extinction, or any other non-local, non-random process. Thus, 525 526 they are bona fide null models that are even simpler and less assumption-laden than that of 527 Hubbell (1997, 2001).

528

529 4.2 | Implications for quantifying biodiversity

In recent years, ecologists have also moved to the idea that communities should be assessed by computing Hill numbers (Hill, 1973) such as Shannon's *H* and Simpson's *D* (Roswell et al., 2021). Chao et al. (2014) seems to have provided much momentum in this direction. Hill numbers blend information about richness and evenness, and ecologists use them in the hope that the latter can be quantified independent of sample size. But this hope may be in vain for three reasons.

537 First, blended statistics are dubious from a philosophical point of view. Statisticians prefer 538 to develop one descriptive statistic per property. Second, evenness is a transient property of ecosystems driven by the random success of particular species in particular places at 539 particular times. By contrast, richness is non-transient because it is governed by processes 540 operating on geological time scales: speciation, extinction, and dispersal. Third, one-541 542 parameter distributions vary based on sampling intensity (scale) and richness but not based 543 on shape, and Hill numbers vary meaningfully only when "evenness" varies. Because these 544 distributions often hold, Hill numbers only indicate that some distributions are intrinsically 545 steep and some are shallow, with this steepness being an inflexible property of no interest on 546 its own.

547 A further motivation for the evenness-not-richness philosophy is the notion that the richness of any community is not only unknown from raw data, but unknowable in general. 548 549 There are actually two arguments of this kind. The first is just that existing methods don't 550 work because their estimates are usually either too low or highly imprecise (Roswell et al., 551 2021). When the assumptions of the new methods are met, their estimates cannot be greatly 552 biased because they depend on maximum likelihood estimates of single parameters. 553 Likewise, the arithmetic mean of a legitimately normal distribution can't be consistently 554 biased because the mean is the maximum likelihood value of the central tendency. Although 555 there is no room here to say much more about the matter, the fact that such estimates are 556 accurate and precise would merit a fuller discussion elsewhere.

The second proposition is that the effective sampling universe is a function of the size of an inventory: the more individuals counted, the spatiotemporally larger and therefore richer the sampled community. This argument conflates two things: (1) the number of species that would be found in an infinitely large inventory, and (2) the number of species that existed in the spatiotemporal realm that encompassed the sampling point (i.e., the community). This paper's richness equations are about the latter, not the former.

4.3 | Adequacy of the new analyses and models

- 566 It has long been agreed that a comparative study of species abundance distributions must compare multiple models by investigating multiple data sets (McGill et al., 2007). However, 567 568 previous analyses have tended to consider quite different and often limited sets of 569 distributions (Hughes, 1986; Ulrich & Ollik, 2005; Ugland et al., 2007; Ulrich et al., 2010; 570 Connolly et al., 2014; Matthews et al., 2014, 2019; Alroy, 2015; Baldridge et al., 2016; Su, 571 2018; Antão et al., 2021). Many have included one version or another of both the log normal 572 and log series (e.g., Antão et al., 2021), if not always (e.g., Su, 2018). For example, the log series is a special case of the negative binomial (Fisher et al., 1943) and the latter has been 573 574 tested against the Poisson log normal (Connolly et al., 2014). Past that, coverage is eclectic. Thus, few studies are comparable to this one. In the face of this comprehensiveness, 575 576 support for the new distributions is jointly clear when one considers their ability to predict 577 new sets of counts from old ones (Table 2, Figs. 3, 4). It is reasonable to ask whether 578 additional one-parameter distributions might also be sound from both a descriptive view 579 (Table 1) and a predictive view (Table 2). But only the geometric series and log series come 580 even close to passing both of these tests. The latter is profoundly skeptical because it assumes that communities are drawn from pools with infinite richness (Fisher et al., 1943). It also 581 582 assumes that species are identical in terms of population dynamics, in which respect it may 583 take null modelling a bit too far. After all, this assumption discards the entire premise of trait-584 based ecology. Thus, the three newly proposed distributions are not only jointly adequate but 585 arguably more sensible. One way or another, it is fair to suggest that the structure of many or 586 even most communities does actually result from extremely simple dynamical processes.
- 587

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589

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- 595

596 **Conflict of interest statement**

598	The author has no conflict of interest to declare.
599	
600	Data availability statement
601	
602	The data are available from the Dryad digital repository
603	(https://datadryad.org/stash/dataset/doi:10.5061/dryad.brv15dvdc).
604	
605	References
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Figure 1. Simulated rank-abundance distributions for pools of 100,000 species. Curves show 738 739 the raw counts (black lines), geometric series (orange lines), half-power (half) distribution (green lines), scaled odds distribution (blue lines), and quarter-power distribution (red lines). 740 Distributions best-fitting a given model are illustrated in bolder colours. x-axes are square-741 742 root transformed; y-axes are log transformed. Recruitment ("birth") counts in each time step follow a Poisson distribution; death counts follow a binomial distribution. Birth rates vary 743 744 exponentially. (A) Geometric series: the death probability is fixed at 0.1. (B) Half-power model: the death probability is the birth rate λ rescaled as $1/(\lambda + 1)$. (C) Scaled odds model: 745 the death probability is 0.5 and the birth rate is $\exp(-\lambda)/\lambda$. (D) Quarter power model: the 746 death probability is 0.5 and the birth rate is λ^3 . 747





Figure 2. Examples of regional rank-abundance distributions. Black lines; raw counts; light 750 751 blue lines: scaled odds distribution; red lines: quarter-power distribution; yellow line in (D): 752 log series. The best two distributions in each case are illustrated: the quarter-power model is

753 always best. (A) Corals from the Pacific Ocean (Connolly et al., 2017). Scaled odds is

754 second. (B) Fishes from the Pacific Ocean (Connolly et al., 2017). Odds is second. (C)

Butterflies from Colombia (Cómbita et al., 2021). Odds is second. (D) Trees from Amazonia 755

(ter Steege et al., 2020). Log series is second. 756



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Figure 3. Ordination of species inventories based on the fits of 11 models. Points closer 759 760 together yield similar log likelihoods. Likelihoods are produced by fitting models to inventories and using the fits to predict distributions for other inventories matched by 761 762 considering ecological groups, biogeographic regions, and species counts (see text). Data 763 come from the Ecological Register (Alroy, 2015, 2024). Eight lines at the edges illustrate representative rank-abundance distributions each including at least 30 species. Point colours 764 indicate the models that best fit each inventory's data. Blue = the three new methods (half-765 power exponential, scaled odds, and quarter-power); turquoise = two-parameter models 766 767 (negative binomial, Poisson log normal, Weibull, and zero-sum multinomial); orange = flat 768 one-parameter models (BS = broken stick and GS = geometric series); red = the Zipf model; 769 yellow = the log series. See the text for references.



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Fig. 4. Ordination of species inventories highlighting the newly proposed distribution models.

- 773 Data and methods are the same as in Fig. 3. Colours indicate the best models. HP = half-
- power (blue points); odds = scaled odds (violet); QP = quarter-power (green). Points best
- fitting the other distributions are in grey.

776 Table 1. Head-to-head comparisons of 11 species abundance distribution models. Each pair of numbers shows how many published terrestrial ecological inventories are better fit to the 777 778 column's distribution than the row's distribution according to the corrected Akaike information criterion (Hurvich & Tsai, 1993) with a weight > 20. Proportions > 0.5 are in 779 780 bold. Data are local-scale inventories drawn from the Ecological Register and reposited on Dryad (Alroy, 2024). Models are explained and referenced in the text. HP = half-power; odds 781 782 = scaled odds; QP= quarter power; geom. series = geometric series; n. binomial = negative binomial; PLN = Poisson log normal; ZSM = zero-sum multinomial. 783 784

	HP	odds	QP	broken	geom.	log series
				stick	series	
HP		222/391	297/390	84/1875	74/1711	228/346
odds	169/391		195/277	134/1818	119/1653	316/510
QP	93/390	82/277		202/1914	188/1777	20/130
broken	1797/1875	1684/1818	1712/1914		389/396	1755/1947
stick						
geom.	1637/1711	1534/1653	1589/1777	7/396		1633/1814
series						
log series	118/346	194/510	110/130	192/1947	181/1814	
Zipf	1711/1878	1538/1606	1748/1773	897/2181	953/2104	1811/1864
n.	1911/1952	1678/1723	1803/1889	821/1400	931/1373	1899/1996
binomial						
PLN	236/478	246/465	247/394	195/1746	195/1610	301/512
Weibull	178/468	179/436	158/316	162/1751	167/1624	170/389
ZSM	474/621	575/755	390/400	455/2017	468/1907	144/145

	Zipf	n. binomial	PLN	Weibull	ZSM
HP	167/1878	41/1952	242/478	290/468	147/621
odds	68/1606	45/1723	219/465	257/436	180/755
QP	25/1773	86/1889	147/394	158/316	10/400
broken	1284/2181	579/1400	1551/1746	1589/1751	1562/2017
stick					

geom.	1151/2104	442/1373	1415/1610	1457/1624	1439/1907
series					
log series	53/1864	97/1996	211/512	219/389	1/145
Zipf		482/1460	1296/1437	1352/1419	1159/1326
n.	978/1460		1316/1328	1371/1375	1351/1500
binomial					
Poisson	141/1437	12/1328		88/115	80/446
LN					
Weibull	67/1419	4/1375	27/115		3/375
ZSM	167/1326	149/1500	366/446	372/375	

788 Table 2. Head-to-head comparisons of 11 species abundance distribution models based on predictions of counts in matched inventories. Each model is fitted to each inventory in the 789 790 overall Ecological Register data set (Alroy, 2024) and then projected onto another inventory with similar singleton and non-singleton species counts that represents the same ecological 791 792 group and ecozone. Each pair of numbers shows how many inventories better fit to the 793 column's distribution than the row's distribution according to the log likelihood of the second 794 count vector, with a relative weight > 20. Proportions > 0.5 are in bold. Data and models are 795 explained and referenced in the text; abbreviations are as in Table 1. 796

	HP	odds	QP	broken	geom.	log series
				stick	series	
HP		643/781	680/710	22/2321	26/2100	232/576
odds	138/781		209/380	20/2247	45/2019	265/902
QP	30/710	171/380		44/2307	57/2097	43/611
broken	2299/2321	2227/2247	2263/2307		1291/1292	2273/2318
stick						
geom.	2074/2100	1984/2029	2040/2097	1/1292		2055/2114
series						
log series	344/576	637/902	568/611	45/2318	59/2114	
Zipf	661/1256	730/923	756/949	215/2265	313/2120	650/1152
n.	1677/1697	1652/1678	1732/1769	188/1494	625/1433	1738/1777
binomial						
Poisson	459/841	508/692	544/634	56/2290	139/2036	474/770
LN						
Weibull	414/834	525/739	525/609	32/2272	155/2023	378/725
ZSM	734/930	911/1172	859/908	37/2314	330/2086	642/644

	Zipf	n. binomial	PLN	Weibull	ZSM
HP	595/1256	20/1697	382/841	420/834	196/930
odds	193/923	26/1678	184/692	218/739	261/1172
QP	193/949	37/1769	90/634	84/609	49/908
broken	2050/2265	1306/1494	2234/2290	2240/2272	2277/2314
stick					

geom.	1807/2120	808/1433	1897/2036	1868/2023	1756/2086
series					
log series	502/1152	39/1777	296/770	347/725	2/644
Zipf		201/1654	715/1243	719/1245	662/1468
n.	1453/1654		1652/1706	1607/1682	1437/1775
binomial					
PLN	528/1243	54/1706		283/532	252/983
Weibull	526/1245	75/1682	249/532		245/986
ZSM	806/1468	338/1755	731/983	741/986	