

1 **Simple and robust models of ecological abundance**

2

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7

8 Abstract

- 9 1. Counts of species in ecological samples are of interest when they tell us about community
10 assembly processes. Older process-based models of count distributions are either complex,
11 widely rejected, or not able to predict high unevenness.
- 12 2. I leverage a general strategy for deriving simple one-parameter models. A distribution of
13 abundances x on a continuous scale is predicted from a transform of a uniform distribution U ;
14 U is solved for to yield one minus a cumulative distribution function (CDF) for x ; and the
15 result is differenced and rounded to down to yield a probability mass function. The same
16 workflow has long been used to derive the geometric series from the exponential distribution.
17 Three variants are proposed, respectively based on the transforms $\mu/U - \mu = (\mu - U)/U$ where
18 μ is a constant (a scaled odds ratio); $(1/U - 1)^{1/p}$ where p is a constant; and $[-\ln(U)/\lambda]^2$ where
19 $-\ln U$ is just an exponential random variate and λ is a constant.
- 20 3. The distributions are all consistent with simple population dynamical models in which
21 recruitment rates, and sometimes death rates, vary randomly amongst species and are fixed
22 for each species. The number of recruited offspring produced during each interval by each
23 species is Poisson-distributed, and death rates are per-capita. Population counts are
24 equilibrial, allowing co-existence in the absence of competition.
- 25 4. Large-scale surveys of corals, fishes, butterflies, and trees are consistent with the
26 distributions, as are local-scale inventories of trees and assorted vertebrate and insect groups.
27 Each inventory is used to predict the counts of another one that is matched based on group
28 representation, biogeography, and richness. Based on examining decisive differences
29 between the resulting likelihoods, the new models routinely outperform eight different rivals.
- 30 5. Thanks to their simplicity, grounding in non-competitive equilibrial population dynamics,
31 and predictive power, the new approaches have considerable relevance throughout ecology.

32

33 KEYWORDS

34 half-power exponential distribution, inverse power distribution, log series, negative binomial
35 distribution, Poisson log normal distribution, scaled odds distribution, Weibull distribution

36 1 | INTRODUCTION

37

38 The rules of community assembly are of fundamental interest to ecologists, and debate over
39 them goes back to the conflict between the Gleasonian and Clementsian schools in the early
40 20th century (Eliot 2007; Presley et al., 2010). Community assembly is grounded in rates of
41 birth, death, and immigration (Kendall, 1948). Rate variation is responsible for complex
42 patterns at local scales such as vegetational succession and predator-prey cycles. However,
43 the rates also scale up to govern speciation and extinction processes. Thus, they indirectly
44 control or correlate with everything that it is interesting in community ecology and
45 macroecology, including biogeographic patterns, species-area relationships, diversity
46 gradients, and trait distributions.

47 There may be no agreement about which assembly processes are the most important, but
48 the business of ecology is the same as the business of science in general: establishing process
49 by studying pattern. The problem is that there are highly distinct strategies for drawing
50 inferences. For example, presence-absence matrices that compare assemblages may signal
51 several processes (Leibold & Mikkelsen, 2002; Henriques-Silva et al., 2013), and species
52 diversity patterns can suggest different population processes such as colonisation and local
53 extirpation (MacArthur & Wilson, 1963; Loreau & Mouquet, 1999).

54 While that literature is important and interesting, the common currency of community
55 ecology is more basic: simple inventories of species found in particular locations at particular
56 times. The problem is that isolated inventories are generally thought not to contain enough
57 information to indicate assembly processes with any real specificity (Lawton, 1999; McGill
58 et al., 2007; Matthews & Whittaker, 2014). This explains why authors have discussed
59 alternative approaches such as seeing how abundance distributions, which are counts of
60 individuals grouped into species, vary across temporal scales (Magurran, 2007) or spatial
61 scales (Borda-de-Água et al., 2011).

62 In this paper, I suggest that individual real-world distributions do have the power to
63 differentiate quite different assembly processes. In particular, I show how four extremely
64 simple models of population dynamics can generate four equally simple species abundance
65 distributions, and I test to see whether these predicted patterns are common in tree and animal
66 data. Importantly, the three new distributions are not only plausible but distinct, so it is
67 possible to reject their underlying models and thereby exclude their assumptions.

68 Population models have been used in this way before. For example, Kendall (1948)
69 predicted the log series distribution of Fisher et al. (1943) with a completely random, per-
70 capita birth-death process; MacArthur (1960) pointed out that the log normal should result if
71 all populations grow exponentially; and Saether et al. (2013) showed how weak density
72 dependence could also generate the log normal distribution. Meanwhile, the influential zero-
73 sum multinomial (ZSM) distribution of Hubbell (1997, 2001) encompasses the log series and
74 other shapes. It can be derived from a population model that makes clear assumptions about
75 dispersal, speciation, competition, and so on.

76 These are all long-established ideas. But except for the ZSM, newer species abundance
77 models such as those of Tokeshi (1990) have often not gained much traction. A potential
78 exception is the gambin model of Ugland et al. (2007), which has attracted other attention
79 (Matthews et al., 2014, 2019). This model is difficult to assess for reasons outlined later.
80 Comparative analyses (e.g., Baldrige et al., 2016) have therefore focused on classic
81 alternatives such as the log series (Fisher et al., 1943) and Poisson log normal (Bulmer,
82 1974).

83 With all of this previous work, it would be natural to think that nothing more needs to be
84 said. Don't we already have far too many models? I will argue this is not true. But even if the
85 general theory proposed here proves superfluous, stimulating a wider discussion may better
86 our understanding of ecological processes. In addition, the particular new models all have
87 built-in species richness estimators that provide maximum likelihood values when the model
88 assumptions are met. So if the theory is any good, then these estimators might see widespread
89 application.

90

91 **2 | MATERIALS AND METHODS**

92

93 **2.1 | Workflow for deriving distributions**

94

95 Throughout this paper, I draw a distinction between two mathematical means of summarising
96 count data: (1) rank-abundance distributions (RADs), which are simply lists of counts
97 ordered from greatest to least; and (2) species-abundance distributions (SADs) *sensu stricto*,
98 which are lists of counts of species sharing counts (Fisher et al., 1943). Although some
99 researchers prefer to fit data to models by examining RADs (e.g., Hughes, 1986; Ulrich et al.,
100 2018), I emphasise fitting data to SADs by likelihood, as done by Prado et al. (2018), for

101 reasons explained further in the discussion of the preferred fitting method. I use RADs for
 102 illustrative purposes because it is easier to grasp them quickly.

103 A fitted SAD is just a probability mass function (PMF) in the standard statistical sense,
 104 which is another good reason to work with SADs. As statisticians well understand, integer-
 105 value PMFs can be derived from continuous-value cumulative distribution functions (CDF).
 106 A general strategy is to start with a transform of a uniform random variate U into a non-
 107 uniform random variate X :

108

$$109 \quad X = f(U) \quad (1)$$

110

111 Next, U is solved for in terms of x to yield $U = f(X)$. The resulting expression is just one
 112 minus a CDF if (1) it declines monotonically to zero as X approaches infinity, and (2) it either
 113 starts with a value of 1 when $X = 0$ or can be scaled easily to do so. In other words, many
 114 expressions like $1 - f(X)$ can be CDFs:

115

$$116 \quad F_X(x) = P(X \leq x) = 1 - U = 1 - f(X) \quad (2)$$

117

118 Finally, a PMF is produced by rounding down the first differences of the CDF:

119

$$120 \quad p_X(x) = P(X = x) = [1 - f(x + 1)] - [1 - f(x)] = f(x) - f(x + 1) \quad (3)$$

121

122 where x is an integer value. The derivation of the geometric series from the exponential
 123 distribution is then as follows:

124

$$125 \quad X = -\ln U \quad (4)$$

126

$$127 \quad F_X(x) = 1 - U = 1 - \exp(-X) \quad (5)$$

128

$$129 \quad p_X(x) = \exp(-x) - \exp[-(x + 1)] \quad (6)$$

130

131 To confirm that this yields the geometric distribution, let its governing parameter $p = 1$
 132 $- \exp(-\lambda)$ where λ governs the exponential. Suppose $\lambda = 3$. In R, symbolise λ as l and then
 133 compute:

134
 135 $l = 3$
 136 $p = 1 - \exp(-l)$
 137 $x = 0:9$
 138 $\exp(-l * x) - \exp(-l * (x + 1))$
 139 $dgeom(x, p)$

140

141 2.2 | New equations

142

143 The exact equations for the three new distributions examined in this paper follow easily from
 144 the workflow. First, we consider a distribution related to the discrete Weibull (Nakagawa &
 145 Osaki, 1975), whose general form can be derived from the exponential distribution in this
 146 way:

147

$$148 \quad X = (-\ln U/\lambda)^p \quad (7)$$

149

$$150 \quad F_X(x) = 1 - \exp(-\lambda x^{1/p}) \quad (8)$$

151

$$152 \quad p_X(x) = \exp(-\lambda x^{1/p}) - \exp\{-[\lambda (x + 1)^{1/p}]\} \quad (9)$$

153

154 where λ and p are constants, the former just being the familiar rate parameter of the
 155 exponential distribution.

156 The specific distribution used here, called the half-power exponential (HPE), follows from
 157 setting $p = 2$:

158

$$159 \quad X = (-\ln U/\lambda)^2 \quad (10)$$

160

$$161 \quad F_X(x) = 1 - \exp(-\lambda x^{0.5}) \quad (11)$$

162

$$163 \quad p_X(x) = \exp(-\lambda x^{0.5}) - \exp\{-[\lambda (x + 1)^{0.5}]\} \quad (12)$$

164

165 The $p = 2$ assumption is made because a very simple population dynamics model
 166 discussed below implies this value. Assuming any other value would require burdening the
 167 model with extra assumptions.

168 Because $\exp(-\lambda 0^{0.5}) = 1$ and $\exp[-(\lambda 1^{0.5})] = \exp(-\lambda)$, this equation yields a remarkably
 169 simple species richness estimator:

170

$$171 \quad R = S/\exp(-\lambda) \quad (13)$$

172

173 where R = estimated richness and S = the observed number of species.

174 The second model, called the inverse power distribution, is structurally quite different:

175

$$176 \quad X = 1/U^{1/\beta} - 1 \quad (14)$$

177

$$178 \quad F_X(x) = 1 - 1/(x + 1)^\beta \quad (15)$$

179

$$180 \quad p_X(x) = [1/(x + 1)^\beta] - [1/(x + 2)^\beta] \quad (16)$$

181

182 The richness estimate requires a little work:

183

$$184 \quad 1 - p_X(0) = 1 - \{[1/(0 + 1)^\beta] - [1/(1 + 1)^\beta]\} \quad (17)$$

185

$$186 \quad 1 - p_X(0) = 1 - (1 - 1/2^\beta) = 1/2^\beta \quad (18)$$

187

$$188 \quad R = 2^\beta S \quad (19)$$

189

190 Crucially, $1/U - 1$ can be rearranged as $(1 - U)/U$. This ratio is nothing other than the
 191 gambler's odds of a random outcome – where the probability of that outcome is itself a
 192 random uniform variate. Odds distributions range from zero to infinity, meeting the
 193 requirement that abundances on a continuous or discrete scale must fall into that range.

194 This idea leads to the third distribution, called the scaled odds, which uses a scaling
 195 constant μ and has a simplified PMF:

196

$$197 \quad X = \mu (1/U - 1) \quad (20)$$

198

$$199 \quad F_X(x) = 1 - \mu/(x + \mu) \quad (21)$$

200

$$201 \quad p_x(x) = [\mu/(x + \mu)] - [\mu/(x + 1 + \mu)] \quad (22)$$

202

$$203 \quad p_x(x) = 1/[(x + \mu)(x + 1 + \mu)] \quad (23)$$

204

$$205 \quad R = (\mu + 1)/\mu S \quad (24)$$

206

207 It is important to stress two things. First, unlike the log series (Fisher et al. 1943), all of
 208 these distributions directly imply the total species richness of a community (eqns. 13, 19, and
 209 24). Likewise, a richness estimate can be gotten out of a Poisson log normal fit because it too
 210 indicates the proportion of species with non-zero counts (Grøtan & Engen, 2008). There are
 211 issues with that distribution such as its failure to remove sample size biases, its imprecise
 212 estimates, and its poor prediction of patterns. The first two topics merit a fuller discussion
 213 elsewhere. The third problem is demonstrated in the results. On a conceptual level, I take up
 214 what it means to estimate richness from an ecological sample in the discussion.

215 Second, all of the new models have a single scaling parameter and no shape parameter. In
 216 other words, they posit that all differences between species inventories stem from just two
 217 properties – the richness of the overall species pool and the number of drawn individuals.
 218 Suppose a real-world distribution is ably described by any such distribution. Then all
 219 measures that concern distributional evenness here are irrelevant, because if a shape doesn't
 220 vary, then there is nothing for an "evenness" metric to describe. I discuss later how this
 221 deduction bears on the widespread use of Hill numbers (Hill, 1973; Chao et al., 2014).

222

223 **2.3 | Additional distributions**

224

225 There is a large literature on species-abundance distributions in the general sense (McGill et
 226 al., 2007). I restrict my discussion to eight published models that have received substantial
 227 attention from ecologists at different points in history. (1) The geometric series distribution
 228 (Motomura, 1932) was originally applied to RADs. This application has been thought to yield
 229 unrealistic fits to data, and the model is no longer considered viable in such a form (Alroy,
 230 2015; Baldrige et al., 2016). However, its fate is different in the current analysis, which
 231 applies the distribution to SADs instead. (2) The log series (Fisher et al., 1943) is
 232 fundamental to ecology and already considered by some to be a good descriptor of many
 233 communities (Baldrige et al., 2016). This explains why it is still routinely used in

234 biodiversity studies, including very large-scale ones (e.g., Buzas et al., 2002; Cazzolla Gatti
 235 et al., 2022). (3) The broken stick distribution (MacArthur, 1957) has a distinct theoretical
 236 basis and makes distinct predictions about the shapes of SADs, so it is investigated here even
 237 though modern studies reject it (Alroy, 2015). The remaining distributions must be
 238 considered because of their recent advocacy. (4) The Poisson log normal (PLN: Bulmer,
 239 1974) was applied to large-scale marine data sets by Connolly et al. (2005, 2009). (5) The
 240 zero-sum multinomial (ZSM: Hubbell, 1997, 2001) is widely advocated and has long been
 241 the subject of much debate (e.g., McGill, 2003). (6) The negative binomial was explored by
 242 Connolly et al. (2009) and Connolly and Thibaut (2012) and also applied by Tovo et al.
 243 (2017) and ter Steege et al. (2020), as part of a broader study. (7) The Weibull, a standard
 244 statistical distribution, was put forth as a good description of ecological count data by Ulrich
 245 et al. (2018). I consider the discrete version of the Weibull (Nakagawa & Osaki, 1975). (8)
 246 The Zipf is another classic distribution and was thought to be a good general descriptor of
 247 ecology communities by Su (2018).

248 I put aside the gambin distribution (Ugland et al., 2007; Matthews et al., 2019) for the
 249 same reasons as Ulrich et al. (2018): it is a heuristic pattern descriptor not based in a process
 250 model and one that is fit by binning the data, so a direct comparison based on fitting
 251 alternatives to proper SADs is not possible. In particular, the *gambin* R library (Matthews et
 252 al., 2014) was not designed to fit SADs. I also do not consider niche preoccupation models
 253 such as the ones proposed by Sugihara (1980) and Tokeshi (1990) because these RAD-based
 254 theories are no longer endorsed, depend on strong assumptions about competition, and do not
 255 make clear predictions about SADs.

256

257 **2.4 | Likelihood-based fitting method**

258

259 Fitting models to abundance distributions is a challenging problem (Connolly & Thibaut,
 260 2012; Matthews & Whittaker 2014; Ulrich et al., 2018). Earlier researchers sought to do so
 261 by sorting counts into \log_2 bins (Preston, 1962). However, even when maximum likelihood
 262 methods are used (McGill, 2003) this loses much information. Thus, it is impractical when
 263 dealing with routine ecological surveys including only 10, 20 or even 30 species (Ulrich et
 264 al., 2018). Meanwhile, directly fitting RADs (e.g., Ulrich et al., 2018) is problematic because
 265 (1) it depends on frequentist methods such as least-squares or major axis regression; (2) there
 266 is no way to specify an error distribution that should apply fairly to all theoretical models;
 267 and (3) the data violate the standard statistical requirement of independence between x- and

268 y-values. Specifically, it is not possible to model error in ranks sensibly because stochastic
 269 variation in counts would generate swaps in ranks. I therefore follow others (Bulmer, 1974;
 270 Connolly et al., 2005, 2017; Connolly & Thibaut, 2012; Prado et al., 2018) in evaluating
 271 model fit by computing the likelihoods of empirical SADs. Again, the term SAD is used here
 272 for a list of counts of species sharing particular counts of individuals.

273 Before continuing, I note that the same likelihood calculation is used in this paper for two
 274 purposes: (1) quantifying the fit of each and every rival model to any given SAD, and (2)
 275 finding the best value of the parameters of the new models. The function is also used to fit the
 276 broken stick, geometric series, negative binomial, and discrete Weibull, which lack trivially
 277 computed parameters (the log series has one) and lack existing R functions that fit the
 278 parameters by maximum likelihood (the Poisson log normal has one).

279 The math depends on first computing the independent probability p_i that a given species
 280 will fall in its observed count class i , i.e., the likelihood. The overall likelihood is just the
 281 product of all the p_i values for the counts (Prado et al., 2018). Of course, only the observed
 282 counts can be predicted and the sum of p_i over all observable classes has to be 1. However,
 283 zero counts can't be observed and do feature in the PMF equations given above. Therefore,
 284 the p values have to be divided by $1 - p_0$ (meaning standardised). Connolly et al. (2017, their
 285 eqn. 8) used the same correction.

286 Connolly and Thibaut (2012) proposed a multinomial equation for fitting SADs instead of
 287 a binomial equation. Nothing is wrong with that. However, when it comes to actual
 288 computation the distinction is not important: the only difference between an independent-draws
 289 equation and a multinomial equation is the inclusion of combinatorial terms made up of S and
 290 s_i . Those values are fixed, so the combinatorial terms are fixed across all possible parameter
 291 values, leading to identical maximum likelihood solutions. Thus, users of these methods can
 292 choose to interpret the fitting procedure as "really" based on a multinomial model if they so
 293 choose.

294

295 **2.5 | Simulations of population dynamics**

296

297 Simple simulations are used to demonstrate sufficient if not necessary conditions for the
 298 geometric series and the three new distributions to arise. The simulations each assume a
 299 species pool of 100,000 with initial population sizes of 100, and they continue for 1000 time
 300 steps. Death is always a binomial process, meaning that it is per-capita (based on the initial
 301 number of adults) with a probability that any one individual will die. Counts of recruits

302 ("births") are randomly drawn from the Poisson distribution. Similar results can be obtained
 303 using models that drawn birth counts from the geometric series. A non-capita birth process is
 304 assumed because the system is assumed to be either (1) open to a steady influx of propagules,
 305 or (2) saturated with subadults that have been generated over a series of intervals instead of
 306 arising over just one time step. Therefore, the models could apply either to open or closed
 307 systems.

308 The geometric series model assumes that the death rate is fixed at some fraction (0.1 in the
 309 illustrated trials), and that the Poisson parameter of the recruitment rate is a simple random
 310 exponential variate with a rate λ of 1. All the other models are variants requiring a single
 311 modification. The HPE model assumes that the death probability is a function of the birth
 312 rate, specifically $1/(\lambda + 1)$. The inverse power model assumes that the death rate is a random
 313 uniform variate p raised to a power (here 0.5), and the birth rate is $\lambda = -\ln p$. By definition,
 314 exponential variates are logs of uniform variates. Finally, the odds model assumes a fixed
 315 death probability, here 0.5, and a birth probability of $\exp(-\lambda)/\lambda$. So the first and last models
 316 assume uncoupled demographic rates, and others assume monotonic relationships.

317 In the two coupled models, a lower per-capita death rate corresponds with a high total
 318 birth rate (e.g., in the HPE model a death probability of $1/(9 + 1) = 0.1$ per individual implies
 319 a birth rate of 9 per species). This might make one think that some populations would expand
 320 out of control, driving many others extinct. How is co-existence maintained?

321 The counter-intuitive reason is that the simulations reach an equilibrium total population
 322 size K for each species. Let p = the death probability and d = the expected death count, equal
 323 to the current population size $p n$. Also let b = the expected birth count, equal to $-\ln p$ in a
 324 basic inverse power model. At equilibrium, then, $d = b$ and $p K = \ln p$, so $K = \ln(p)/p$. Below
 325 equilibrium, $n < \ln(p)/p$ because $n < K$ and $K = \ln(p)/p$. Therefore, $d < b$: $n < b/p$ because $b =$
 326 $\ln p$, $p n < b$ by rearrangement, and $d < b$ because $d = p n$. As a result, n will climb towards K .
 327 Above K , $n > 1/p^2$ and $d > b$, so n will fall to K . Similar proofs apply to the other models.
 328 They relate closely to the equilibrial theory of island biogeography (MacArthur & Wilson,
 329 1963), which also assumed per-capita "death" (extinction) and steady, non-per-capita "birth"
 330 (immigration).

331 The fact that all of this is true is easily confirmed by simulation. It is highly important
 332 because it predicts that longer-lived species are more common in total and produce more
 333 recruits in total per time step. There are truly "winner" and "loser" species in this paradigm,
 334 but all of them have equilibrial population dynamics, so all of them can co-exist.

335 All of the models assumes high but predictable variance among species in recruitment
336 rates because of fixed differences in traits, but little variance among individuals. Models
337 assuming a geometric sampling process for recruitment would build in greater variance. They
338 are not explored in this paper because low variance may be more intuitive to many ecologists.

339

340 **2.6 | Empirical data**

341

342 Four large-scale data sets and one database of local-scale species inventories were used to
343 benchmark the distributions. Data for communities of fishes and corals spread across the
344 western and central Pacific were drawn from Connolly et al. (2017). A regional data set of 18
345 butterfly communities from Colombia was taken from C33mbita et al. (2021). Combined
346 abundances of trees inventoried in 1946 plots across the Amazon basin were drawn from ter
347 Steege et al. (2020). Finally, all 3257 available inventories of local tree, insect, and vertebrate
348 communities from around the world were drawn directly from the Ecological Register
349 database (Alroy, 2015, 2024). A large majority apply to a single trophic level and a small
350 local area. There was no combination of inventories and multiple inventories from the same
351 publications were allowed to be included. After discarding inventories with less than four
352 species, a maximum count of less than four, or entirely identical counts, 3095 remained.

353

354 **2.7 | Assessment of model fit**

355

356 The fit of the 11 models to each of the local data sets was assessed by computing the
357 corrected Akaike information criterion (AICc) for each combination (Hurvich & Tsai, 1993).
358 The above-mentioned likelihood calculation was used as the basis of the computations, which
359 were implemented in the *richness* R package
360 (<https://github.com/johnalroy/richness/releases/tag/v2.4>). The Zipf and ZSM distributions
361 were fit first using the *sads* library (Prado et al., 2018), which uses the same likelihood
362 equation as *richness* for all of its SAD fitting. The *poilog* library (Gr33tan & Engen, 2008) in
363 combination with the *richness* function *pln* was used to fit the PLN. The other models were
364 fit using this paper's maximum likelihood equation, as implemented in the *richness* package.

365 The AICc statistic penalises weakly for the number of parameters in a model (either one or
366 two in all cases), so it tends to favour more complex ones. Many data sets are small in terms
367 of both the number of species and the number of individuals, so raw AICCs can be
368 misconstrued to indicate meaningful differences. To avoid being misled by stochastic

369 variation in the fits, I tallied cases where differences (Δ s) in AICcs yielded a weight of > 20 ,
370 i.e., where $\exp(\Delta\text{AICc}/2) > 20$.

371 Complex models are able to fit a wide range of distribution shapes by definition, but this
372 does not necessarily mean they are good predictors of community structure. The reason is
373 that they overfit, so they commit strongly to a pattern that may result from random variation
374 in counts. To show whether models could generalise, I carried out more head-to-head
375 comparisons by (1) fitting each model to each species inventory; (2) for each inventory,
376 selecting another one that represented the same ecological group and the same biogeographic
377 realm (ecozone) and had the most similar numbers of non-singleton and singleton species
378 based on the sum of log ratios of those counts (with the first-encountered inventory being
379 chosen when there was a tie); and (3) computing the log likelihoods (LLs) of the second
380 distribution based on the first one's models. The above methodology was used to obtain the
381 likelihoods. A likelihood weight cutoff of > 20 , meaning $\exp(\Delta\text{LL}) > 20$, was used to flag the
382 decisive comparisons.

383

384 **2.7 | Multivariate ordination based on fit statistics**

385

386 Differential sampling of the range of possible SADs might skew tallies of the best
387 distributions for the inventories. Therefore, it is more illuminating to see which shapes across
388 the range are able to fit which distributions, and whether the new models can account for
389 most or all of this variation. If so, then it is possible that most communities are indeed
390 generated by processes conforming with the key assumptions: species-specific, per-capita
391 death rates combined with species-specific, highly variable, and not per-capita recruitment
392 rates.

393 Principal components analysis of the LLs is used to explore the range of shapes. A level
394 playing field has to be created to make this possible. Specifically, the average magnitude of
395 LLs regardless of the model tracks richness and sample size, rising with both. To account for
396 this, the LLs for each inventory are first standardised to fall in the range between the
397 minimum and maximum. So if the LLs for three models are 10, 13, and 20, then the
398 standardised values are 0, 0.3, and 1. Alternative approaches would depend on making strong
399 assumptions, such as strong and linear tracking between average LLs and either richness,
400 sample size, or both somehow combined.

401

402 **3 | Results**

403

404 **3.1 | Simulated SADs**

405

406 Patterns closely consistent with the distributions are yielded by the appropriate simulations.
407 Fits of models to counts are almost precise (Fig. 1). The same patterns can be seen in almost
408 every single trial – these were selected arbitrarily.

409 The geometric series (Fig. 1A) is the most general, with fixed per-capita death rates and a
410 simple exponential distribution of birth rates. The half-power exponential (HPE) model (Fig.
411 1B) and inverse power model (Fig. 1C) assume coupling, differing in how the death rates are
412 transformed from the birth rates. Finally, the scaled odds distribution assumes fixed death
413 rates and high-variance birth rates (Fig. 1D).

414

415 **3.2 | Descriptions of empirical SADs**

416

417 The scaled odds distribution fits the Pacific coral and fish data and the Colombian tree data
418 with great accuracy (Figs. 2A, B, C). The log series better fits the composite Amazonian tree
419 inventories (ter Steege et al., 2020), which span a huge spatial scale (Fig. 2D). Its success
420 here may reflect averaging out of different rates in different locations for each species. In any
421 case, it is not clear that a multi-parameter model like the negative binomial (ter Steege et al.,
422 2020) is really needed for this data set.

423 In terms of the local-scale data, an initial vetting of the models can be based on head-to-
424 head comparisons that yield large differences in AICcs (AICc weights > 20 : Table 1). Here,
425 the three new distributions are decisively better than the broken stick, geometric series,
426 negative binomial, and Zipf. They also beat the zero-sum multinomial (ZSM). They are all
427 edged by the log series and Weibull, and the Poisson log normal (PLN) ties the half-power
428 exponential (HPE) and scaled odds distributions while overcoming the inverse power
429 distribution. This is a mixed result, but it certainly does suggest that the three models and
430 their direct rivals are equally plausible.

431 The fair performance of the two-parameter PLN, Weibull, and ZSM models may be an
432 artefact of (1) the AICc's weak penalisation for model complexity, (2) overfitting, and (3) the
433 ability of complex models to mimic distributions generated by simpler processes, including
434 those that underlie the four models emphasised here.

435

436 **3.3 | Predictions of empirical SADs**

437

438 The differences are much more dramatic when fitted SADs are used to predict matched SADs
439 (Table 2). The scaled odds distribution now trumps all of the old models with a likelihood
440 weight > 20 at least 71% of the time. The inverse power distribution is similarly strong, with
441 a minimum win percentage of 70 and stronger performance against the Zipf model – not
442 surprisingly, because both imply steep RADs (Fig. 1C). The HPE more or less ties the three
443 distributions that predict gently declining, J-shaped RADs: the log series, PLN, and Weibull.
444 These are all in the second tier after the scaled odds and inverse power. The HPE and Zipf
445 also tie.

446 In sum, because accurate prediction is more important than simple description in science,
447 the large differences in favour of the new models yield them considerable credence. This
448 conclusion is strengthened by limiting the comparisons to complex distributions (those
449 having highest log likelihoods across all models > 100). This time, the scaled odds and
450 inverse power respectively beat the older distributions at least 82% of the time in all cases.
451 The HPE also performs better, still basically tying the Zipf (45%) but now overcoming the
452 log series (80%), PLN (67%), and Weibull (62%).

453 There is some important variation among ecological groups with respect to relative model
454 performance. For example, the scaled odds distribution is favoured strongly over the log
455 series usually about 70 – 80% of the time, but this ranges from 49% (mosquitoes) to 81%
456 (birds). Support for the HPE and scaled odds distributions is less impressive (often $< 70\%$ in
457 various comparisons) when it comes to four major groups: ants, dung beetles, mosquitoes,
458 and trees. The three insect groups often feature steep distributions that are well-explained by
459 the inverse power and Zipf models. There is no obvious latitudinal pattern in the data.

460

461 **3.4 | Multivariate ordination patterns**

462

463 The ordination is even more interesting because it shows which shapes go with which
464 distributions, and thus which shapes are broadly applicable (Fig. 3). The classic J-shaped
465 RAD pattern is only seen at left. The other side encompasses flattened and symmetrical
466 RADs only well described by two classic but underlooked one-parameter distributions: the
467 broken stick and much more often the geometric series (red points). The log series (yellow

468 points) is common only at upper left, and specifically matches RADs that start with a hook
 469 and trail off into a straight line (as illustrated).

470 Importantly, the two-parameter distributions (turquoise points) that are of so much interest
 471 to ecologists are only common in the central zone of the space, plus part of the branch to the
 472 right (Fig. 3). In particular, they explain some J-shaped RADs that are curved in the middle
 473 instead of running straight. In other words, the Poisson log normal, and Weibull mostly serve
 474 to wrap around unremarkable distributions.

475 Finally, numerous data sets fit at least one of the three new models well, with relevant
 476 inventories (light blue points) falling almost everywhere to the left of the small "flat RAD"
 477 zone (Fig. 3). Thus, the new distributions are jointly able to account for most shapes. They
 478 are also distinct (Fig. 4). The half-power exponential (HPE) spans a wide region (dark blue
 479 points), and the odds distribution (violet points) is commonly flagged when distributions are
 480 J-shaped but steep. The inverse power distribution (green points) covers a narrower zone
 481 along the first principal component, but spans the second one. Like the Zipf, it fits broad
 482 distributions with hyper-abundant dominant species. But it can account for the straightness of
 483 the log series-type RADs.

484

485 **4 | Discussion**

486

487 **4.1 | Inference of process**

488

489 For many years, ecologists were optimistic about inferring processes from species abundance
 490 distributions (Fisher et al., 1943; MacArthur, 1957; Preston, 1962; May, 1975; Sugihara,
 491 1980; Hughes, 1986; Tokeshi, 1990; Hubbell, 2001). However, influential papers such as
 492 McGill et al. (2007) have more recently argued that because there are so many models
 493 making such similar predictions, the entire enterprise is doomed.

494 This perspective overlooks the basic logic of the current analysis: whenever a population
 495 model M exactly predicts a distribution D , rejecting D based on empirical data also rejects M .
 496 Thus, fitting SADs can be considerably informative – but only when distributions are simple
 497 and grounded in models. In fact, the three new one-parameter models actually do predict
 498 patterns well (Figs. 1 – 4, Tables 1 and 2). Therefore, they actually do inform us about
 499 fundamental ecological processes. By contrast, two-parameter distributions may serve no real
 500 purpose because (1) they are not needed to predict the full range of possible SADs (Fig. 3);

501 (2) they are mostly not grounded in simple population dynamical models (as opposed to Fig.
502 1); and (3) science operates on the principle that simple theories are better.

503 The proposed population models are ecologically interesting and important for several
504 other major reasons. (1) All of them are not only simple, but simple variants of each other.
505 (2) They assume high variance in recruitment rates among species but low variance among
506 individuals within species. By contrast, the fully neutral log series model assumes no
507 consistent, trait-based variation in demographic rates among species (Kendall, 1948; Hubbell,
508 2001). In the new models, species do have systematically different demographic rates and
509 equilibrium population sizes because of their traits, so there are "winners" and "losers" in
510 perpetuity. (3) The models imply that populations reach equilibrium strictly because of
511 demographic tradeoffs (Fig. 1). There is no role for competition, niche preoccupation,
512 assembly rules, speciation, extinction, or any other non-local, non-random process. Thus,
513 they are bona fide null models that are even simpler and less assumption-laden than that of
514 Hubbell (1997, 2001).

515

516 **4.2 | Implications for quantifying biodiversity**

517

518 In recent years, ecologists have also moved to the idea that communities should be assessed
519 by computing Hill numbers (Hill, 1973) such as Shannon's H and Simpson's D (Roswell et
520 al., 2021). Chao et al. (2014) seems to have provided much momentum in this direction. Hill
521 numbers blend information about richness and evenness, and ecologists use them in the hope
522 that the latter can be quantified independent of sample size. But this hope may be in vain for
523 three reasons.

524 First, blended statistics are dubious from a philosophical point of view. Statisticians prefer
525 to develop one descriptive statistic per property. Second, evenness is a transient property of
526 ecosystems driven by the random success of particular species in particular places at
527 particular times. By contrast, richness is non-transient because it is governed by processes
528 operating on geological time scales: speciation, extinction, and dispersal. Third, one-
529 parameter distributions vary based on sampling intensity (scale) and richness but not based
530 on shape, and Hill numbers vary meaningfully only when "evenness" varies. Because these
531 distributions often hold, Hill numbers only indicate that some distributions are intrinsically
532 steep and some are shallow, with this steepness being an inflexible property of no interest on
533 its own.

534 A further motivation for the evenness-not-richness philosophy is the notion that the
535 richness of any community is not only unknown from raw data, but unknowable in general.
536 There are actually two arguments of this kind. The first is just that existing methods don't
537 work because their estimates are usually either too low or highly imprecise (Roswell et al.,
538 2021). When the assumptions of the new methods are met, their estimates cannot be greatly
539 biased because they depend on maximum likelihood estimates of single parameters.
540 Likewise, the arithmetic mean of a legitimately normal distribution can't be consistently
541 biased because the mean is the maximum likelihood value of the central tendency. Although
542 there is no room here to say much more about the matter, the fact that such estimates are
543 accurate and precise would merit a fuller discussion elsewhere.

544 The second proposition is that the effective sampling universe is a function of the size of
545 an inventory: the more individuals counted, the spatiotemporally larger and therefore richer
546 the sampled community. This argument conflates two things: (1) the number of species that
547 would be found in an infinitely large inventory, and (2) the number of species that existed in
548 the spatiotemporal realm that encompassed the sampling point (i.e., the community). This
549 paper's richness equations are about the latter, not the former.

550

551 **4.3 | Adequacy of the new analyses and models**

552

553 It has long been agreed that a comparative study of species abundance distributions must
554 compare multiple models by investigating multiple data sets (McGill et al., 2007). However,
555 previous analyses have tended to consider quite different and often limited sets of
556 distributions (Hughes, 1986; Ulrich & Ollik, 2005; Ugland et al., 2007; Ulrich et al., 2010;
557 Connolly et al., 2014; Matthews et al., 2014, 2019; Alroy, 2015; Baldrige et al., 2016; Su,
558 2018). Many have included one version or another of both the log normal and log series, but
559 not always (e.g., Su, 2018). For example, the log series is a special case of the negative
560 binomial (Fisher et al., 1943) and the latter has been tested against the Poisson log normal
561 (Connolly et al., 2014). Past that, coverage is eclectic. Thus, few studies are comparable to
562 this one.

563 Readers may have been surprised to see that despite the larger number of models under
564 consideration, support for the new distributions is jointly clear when one considers their
565 ability to predict new sets of counts from old ones (Table 2, Figs. 3, 4). It is reasonable to ask
566 whether additional one-parameter distributions might also be sound from both a descriptive
567 view (Table 1) and a predictive view (Table 2). But only the geometric series and log series

568 come even close to passing both of these tests. The latter is profoundly skeptical because it
569 assumes that communities are drawn from pools with infinite richness (Fisher et al., 1943). It
570 also assumes that species are identical in terms of population dynamics, in which respect it
571 may take null modelling a bit too far. After all, this assumption discards the entire premise of
572 trait-based ecology. Thus, the three newly proposed distributions are not only jointly
573 adequate but arguably more sensible. One way or another, it is fair to suggest that the
574 structure of many or even most communities does actually result from extremely simple
575 dynamical processes.

576

577 **Acknowledgments**

578

579 I thank Michael Foote, Matthew Kosnik, and colleagues at Macquarie University, the
580 University of Nebraska, Lincoln, and the University of Tasmania for helpful discussions.
581 Hans ter Steege and Werner Ulrich commented on the text. The author is the recipient of a
582 Discovery Project Award (project number DP210101324) funded by the Australian
583 Government.

584

585 **Conflict of interest statement**

586

587 The author has no conflict of interest to declare.

588

589 **Data availability statement**

590

591 The data are available from the Dryad digital repository
592 (<https://datadryad.org/stash/dataset/doi:10.5061/dryad.br15dvdc>).

593

594 **References**

595

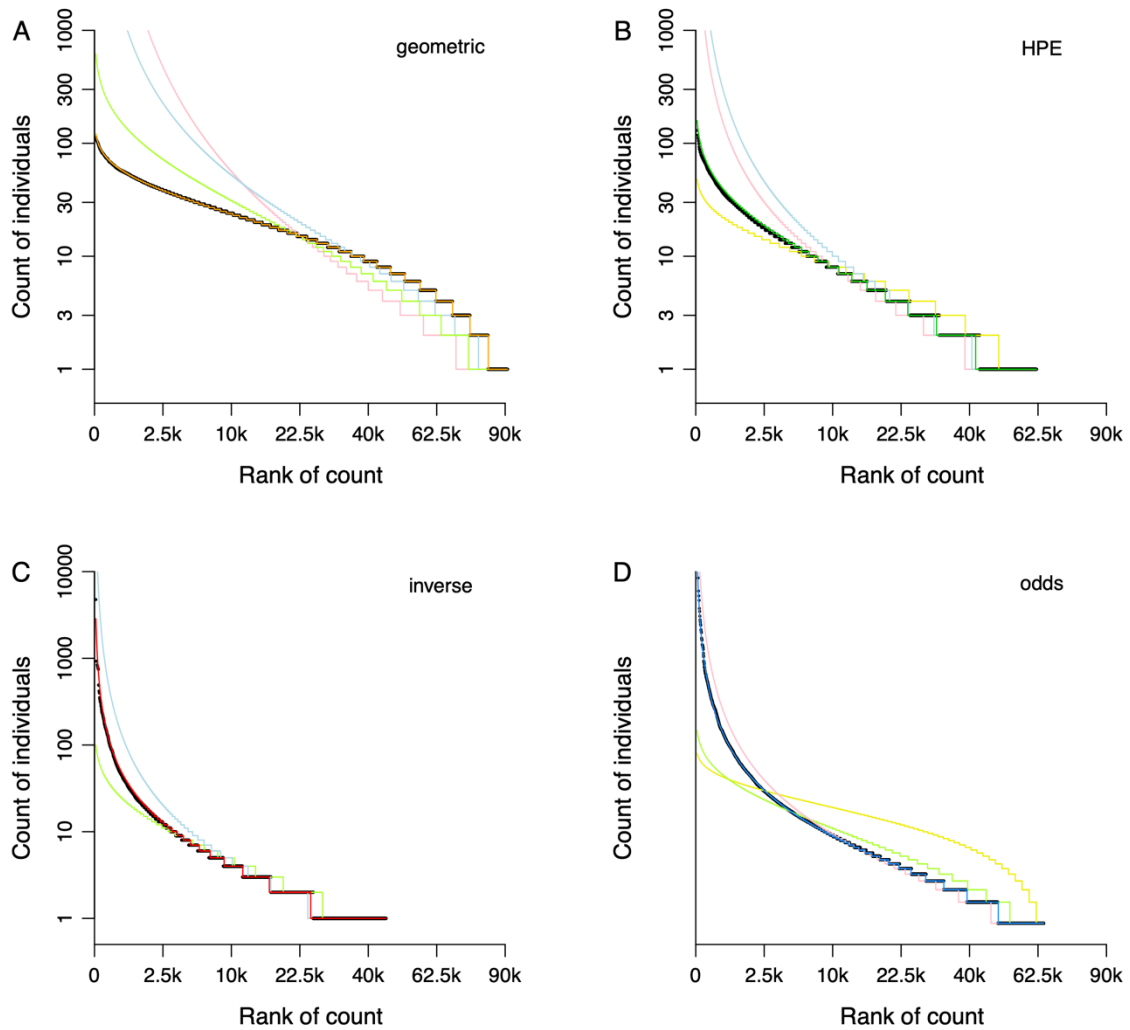
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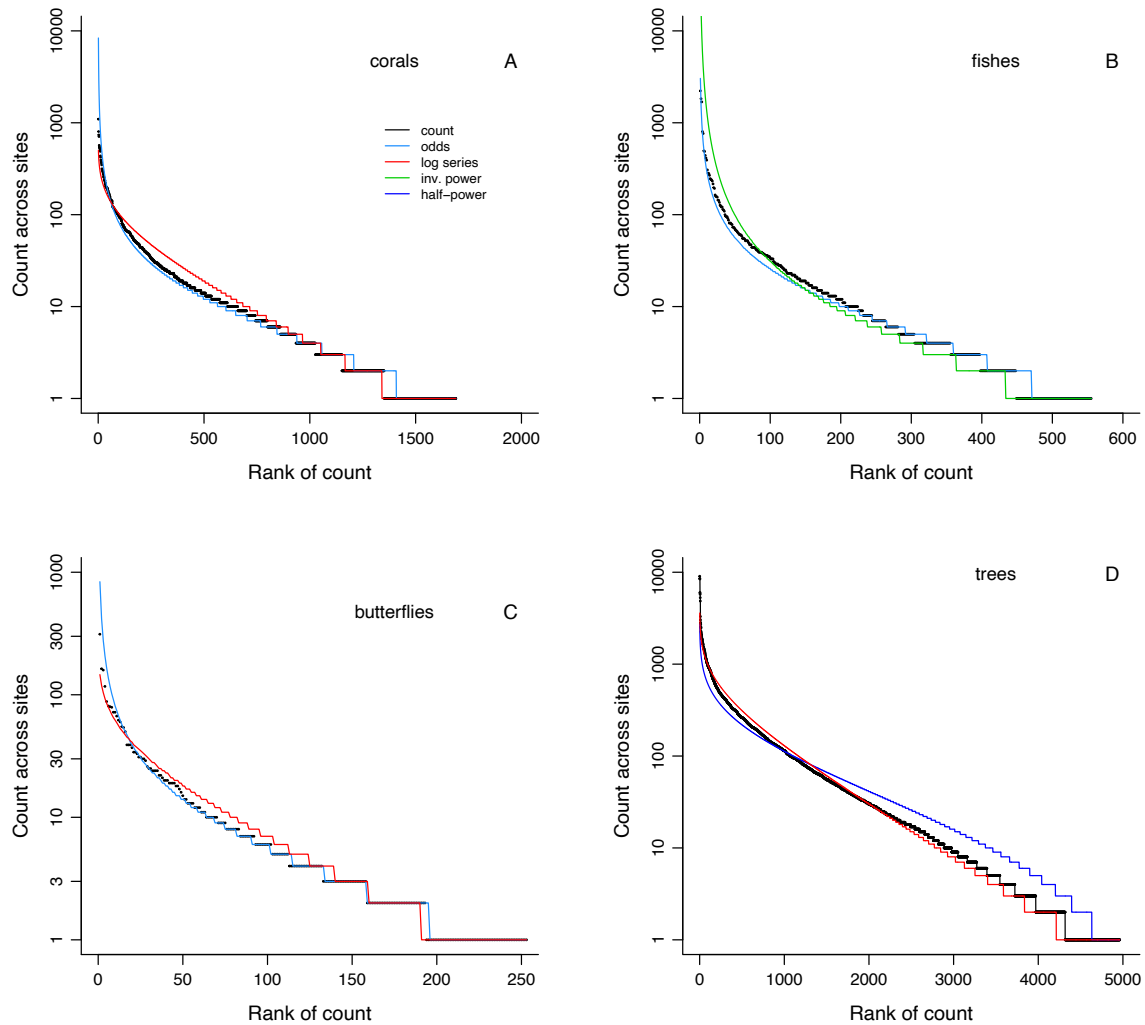
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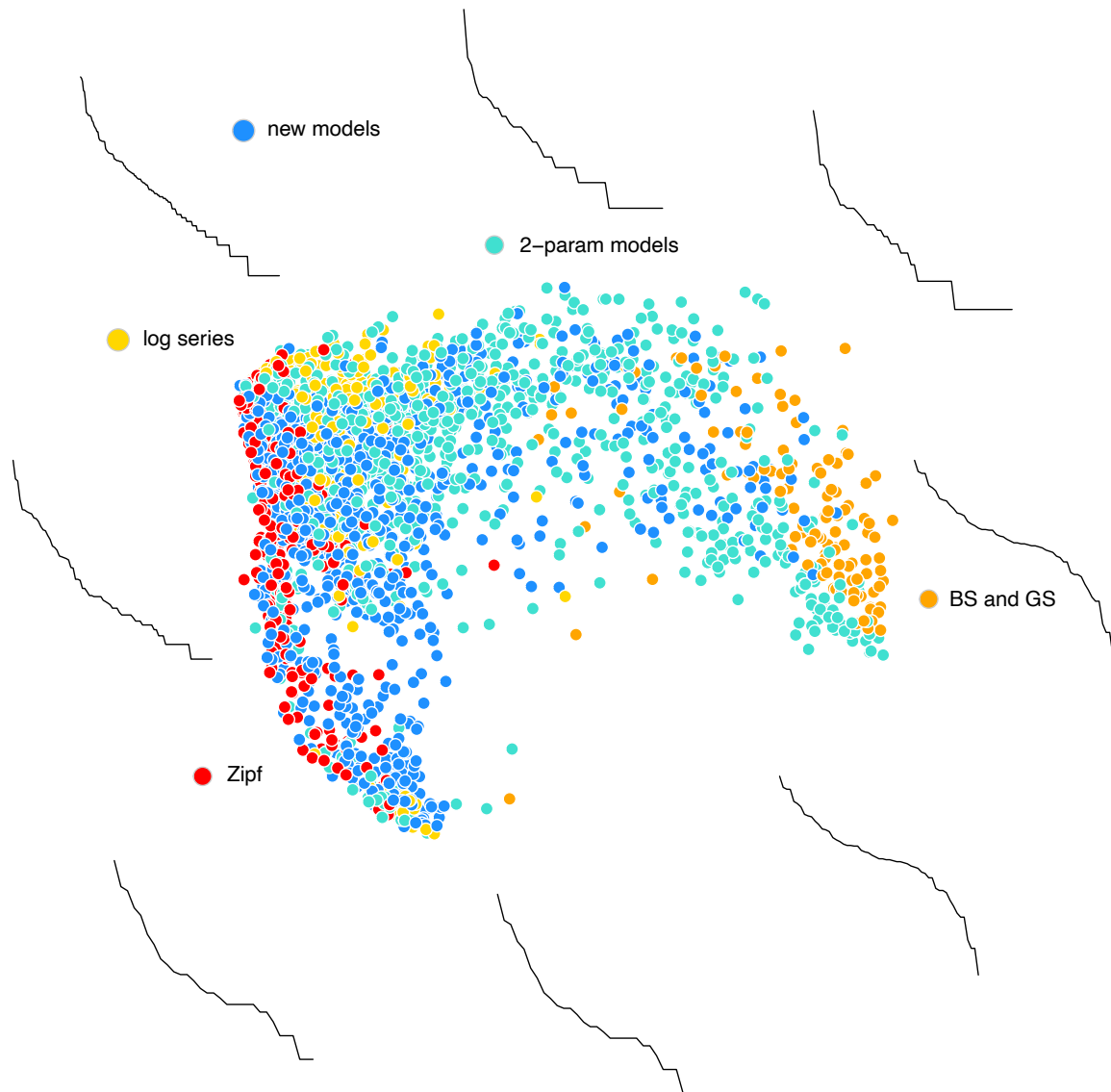
724 Figure 1. Simulated rank-abundance distributions for pools of 100,000 species. Curves show
 725 the raw counts (black lines), geometric series (orange lines), half-power exponential (HPE)
 726 distribution (green lines), inverse power distribution (red lines), and scaled odds distribution
 727 (blue lines). Distributions best-fitting a given model are illustrated in bolder colours. x-axes
 728 are square-root transformed; y-axes are log transformed. Recruitment ("birth") counts in each
 729 time step follow a Poisson distribution; death counts follow a binomial distribution. Birth
 730 rates vary exponentially in (A), (B), and (C). (A) Geometric series: the death probability is
 731 fixed at 0.1. (B) HPE model: the death probability is the birth rate λ rescaled as $1/(\lambda + 1)$. (C)
 732 Inverse power model: the death probability is $\exp(-\lambda)$. (D) Scaled odds model: the death
 733 probability is 0.5 and the birth rate is $\exp(-\lambda)/\lambda$.



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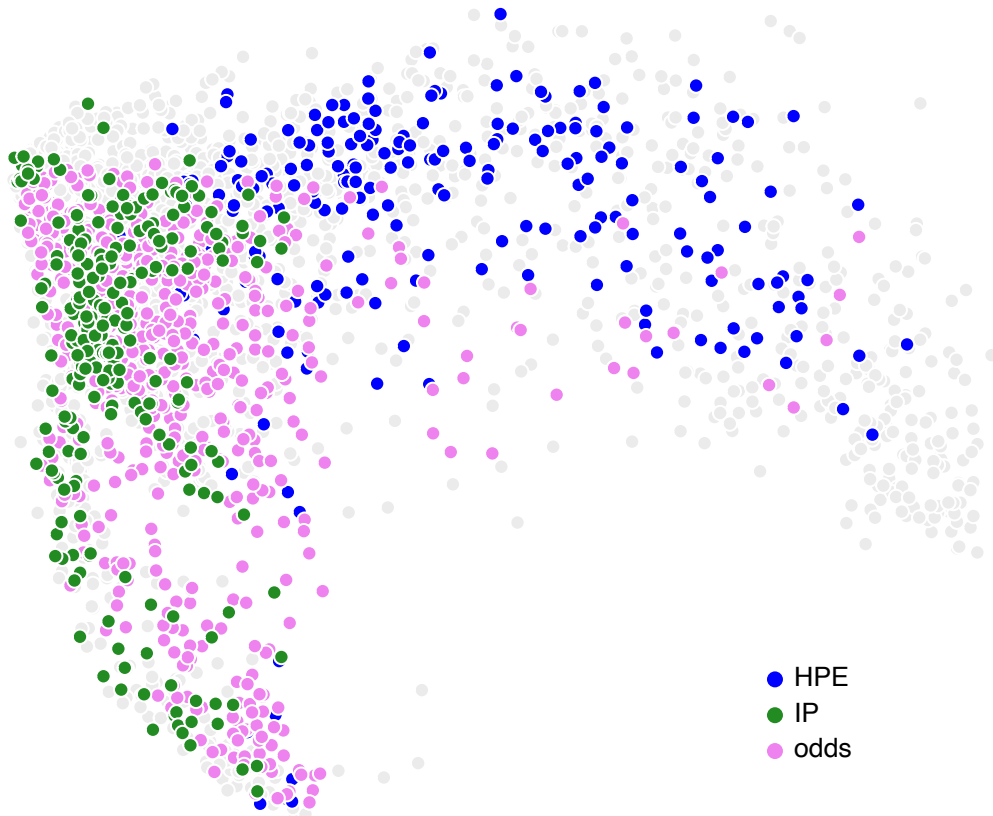
736 Figure 2. Examples of regional rank-abundance distributions. Black lines; raw counts; light
 737 blue lines: scaled odds distribution; red lines: log series; green lines: inverse power
 738 distribution; dark blue lines: half-power exponential distribution. The best two distributions
 739 in each case are illustrated. (A) Corals from the Pacific Ocean (Connolly et al., 2017). Odds
 740 model is best, log series is second. (B) Fishes from the Pacific Ocean (Connolly et al., 2017).
 741 Odds model is best, inverse power is second. (C) Butterflies from Colombia (Cómbita et al.,
 742 2021). Odds model is best, log series is second. (D) Trees from Amazonia (ter Steege et al.,
 743 2020). Log series is best, half-power exponential is second.



744

745

746 Figure 3. Ordination of species inventories based on the fits of 11 models. Points closer
 747 together yield similar log likelihoods. Likelihoods are produced by fitting models to
 748 inventories and using the fits to predict distributions for other inventories matched by
 749 considering ecological groups, biogeographic regions, and species counts (see text). Data
 750 come from the Ecological Register (Alroy, 2015, 2024). Nine lines at the edges illustrate
 751 representative rank-abundance distributions each including at least 30 species. Point colours
 752 indicate the models that best fit each inventory's data. Blue = the three new methods (half-
 753 power exponential, inverse power, and scaled odds); turquoise = two-parameter models
 754 (negative binomial, Poisson log normal, Weibull, and zero-sum multinomial); orange = flat
 755 one-parameter models (BS = broken stick and GS = geometric series); red = the Zipf model;
 756 yellow = the log series. See the text for references.



757

758

759 Fig. 4. Ordination of species inventories highlighting the newly proposed distribution models.

760 Data and methods are the same as in Fig. 3. Colours indicate the best models. HPE = half-

761 power exponential (blue points); IP = inverse power (green); odds = scaled odds (violet).

762 Points best fitting the other distributions are in grey.

763 Table 1. Head-to-head comparisons of 11 species abundance distribution models. Each pair
 764 of numbers shows how many published terrestrial ecological inventories are better fit to the
 765 column's distribution than the row's distribution according to the corrected Akaike
 766 information criterion (Hurvich & Tsai, 1993) with a weight > 20. Proportions > 0.5 are in
 767 bold. Data are local-scale inventories drawn from the Ecological Register and repositied on
 768 Dryad (Alroy, 2024). Models are explained and referenced in the text. HPE = half-power
 769 exponential; inv. power = inverse power; odds = scaled odds; geom. series = geometric
 770 series; n. binomial = negative binomial; Poisson LN = Poisson log normal; ZSM = zero-sum
 771 multinomial.
 772

| | HPE | inv. power | odds | broken stick | geom. series | log series |
|-----------------|------------------|------------------|------------------|-----------------|-----------------|------------------|
| HPE | | 239/764 | 218/387 | 78/1875 | 69/1708 | 224/345 |
| inv. power | 525/764 | | 364/479 | 372/1946 | 379/1825 | 418/517 |
| odds | 169/387 | 115/479 | | 134/1820 | 120/1649 | 316/510 |
| broken stick | 1797/1875 | 1574/1946 | 1686/1820 | | 392/392 | 1757/1949 |
| geom. series | 1639/1708 | 1446/1825 | 1529/1649 | 0/392 | | 1631/1814 |
| log series | 121/345 | 99/517 | 194/510 | 192/1949 | 183/1814 | |
| n. binomial | 1911/1952 | 1516/1699 | 1678/1723 | 821/1400 | 931/1373 | 1899/1996 |
| Poisson LN | 236/474 | 206/595 | 246/465 | 195/1748 | 195/1606 | 301/512 |
| Weibull | 181/467 | 115/538 | 179/436 | 162/1752 | 170/1625 | 170/389 |
| Zipf | 1714/1877 | 1094/1098 | 1538/1606 | 897/2183 | 956/2106 | 1811/1864 |
| ZSM | 477/620 | 302/554 | 575/755 | 455/2019 | 470/1906 | 144/145 |

773

| | n. binomial | Poisson LN | Weibull | Zipf | ZSM |
|--------------|-------------|------------------|------------------|------------------|------------------|
| HPE | 41/1952 | 238/474 | 286/467 | 163/1877 | 143/620 |
| inv. power | 183/1699 | 389/595 | 423/538 | 4/1098 | 252/554 |
| odds | 45/1723 | 219/465 | 257/436 | 68/1606 | 180/755 |
| broken stick | 579/1400 | 1553/1748 | 1590/1752 | 1286/2183 | 1564/2019 |

| | | | | | |
|--------------|----------|------------------|------------------|------------------|------------------|
| geom. series | 442/1373 | 1411/1606 | 1455/1625 | 1150/2106 | 1436/1906 |
| log series | 97/1996 | 211/512 | 219/389 | 53/1864 | 1/145 |
| n. binomial | | 1316/1328 | 1371/1375 | 978/1460 | 1351/1500 |
| Poisson LN | 12/1328 | | 88/115 | 141/1437 | 80/446 |
| Weibull | 4/1375 | 27/115 | | 67/1419 | 3/375 |
| Zipf | 482/1460 | 1296/1437 | 1352/1419 | | 1159/1326 |
| ZSM | 149/1500 | 366/446 | 372/375 | 157/1326 | |

774

775

776 Table 2. Head-to-head comparisons of 11 species abundance distribution models based on
 777 predictions of counts in matched inventories. Each model is fitted to each inventory in the
 778 overall Ecological Register data set (Alroy, 2024) and then projected onto another inventory
 779 with similar singleton and non-singleton species counts that represents the same ecological
 780 group and ecozone. Each pair of numbers shows how many inventories better fit to the
 781 column's distribution than the row's distribution according to the log likelihood of the second
 782 count vector, with a relative weight > 20. Proportions > 0.5 are in bold. Data and models are
 783 explained and referenced in the text; abbreviations are as in Table 1.

784

| | HPE | inv. power | odds | broken stick | geom. series | log series |
|-----------------|------------------|------------------|------------------|-----------------|------------------|------------------|
| HPE | | 686/910 | 639/779 | 17/2323 | 21/2102 | 230/574 |
| inv. power | 224/910 | | 234/428 | 94/2288 | 125/2078 | 125/745 |
| odds | 140/779 | 194/428 | | 20/2249 | 44/2032 | 265/902 |
| broken stick | 2306/2323 | 2194/2288 | 2229/2249 | | 1276/1276 | 2275/2320 |
| geom. series | 2081/2102 | 1953/2078 | 1988/2032 | 0/1276 | | 2058/2114 |
| log series | 344/574 | 575/745 | 637/902 | 45/2320 | 56/2114 | |
| n. binomial | 1677/1697 | 1631/1711 | 1652/1678 | 188/1494 | 624/1432 | 1738/1777 |
| Poisson LN | 461/840 | 537/746 | 508/692 | 56/2292 | 140/2037 | 474/770 |
| Weibull | 414/833 | 542/777 | 521/739 | 32/2273 | 155/2025 | 378/725 |
| Zipf | 661/1255 | 462/518 | 730/923 | 215/2267 | 311/2120 | 650/1152 |
| ZSM | 734/928 | 871/1052 | 911/1172 | 37/2316 | 328/2087 | 642/644 |

785

| | n. binomial | Poisson LN | Weibull | Zipf | ZSM |
|--------------|------------------|------------------|------------------|------------------|------------------|
| HPE | 20/1697 | 379/840 | 419/833 | 594/1255 | 194/928 |
| inv. power | 80/1711 | 209/746 | 235/777 | 56/518 | 181/1052 |
| odds | 26/1678 | 184/692 | 218/739 | 193/923 | 261/1172 |
| broken stick | 1306/1494 | 2236/2292 | 2241/2273 | 2052/2267 | 2279/2316 |

| | | | | | |
|--------------|-----------------|------------------|------------------|------------------|------------------|
| geom. series | 808/1432 | 1897/2037 | 1870/2025 | 1809/2120 | 1759/2087 |
| log series | 39/1777 | 296/770 | 347/725 | 502/1152 | 2/644 |
| n. binomial | | 1652/1706 | 1607/1682 | 1453/1654 | 1437/1775 |
| Poisson LN | 54/1706 | | 283/532 | 528/1243 | 252/983 |
| Weibull | 75/1682 | 249/532 | | 526/1245 | 245/986 |
| Zipf | 201/1654 | 715/1243 | 719/1245 | | 662/1468 |
| ZSM | 338/1755 | 731/983 | 741/986 | 806/1468 | |