

1 **Environmental Stress, Bacterial Cell Differentiation,**

2 **and Antimicrobial Resistance**

3 Estrés Medioambiental, Diferenciación Celular Bacteriana y

4 Resistencia a Antibióticos

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18 **Keywords:** Environmental Stress, Antimicrobial Resistance, Bacterial Cell Differentiation,

19 Bacterial Evolution.

20 **Abstract**

21 Environmental stress, either natural or anthropogenic, influences both the form and function of
22 bacterial cells. The general stress adaptive response of bacteria alters the bacterial shape, resulting
23 in functional changes, as the bacterial cell has associated “organules” and molecular interactions
24 that are dependent on the cell’s topology. These changes in form and function are frequently linked
25 to bacterial differentiation, that is, the reversible production of an alternative “type of cells” more
26 tolerant or persistent under stress. The main examples of bacterial cell differentiation are
27 sporulation and conditional filamentation. Both strategies are extremely ancient in the bacterial
28 tree of life, and probably most bacterial cells on Earth adopt one or other, or both of such adaptive
29 responses. However, these phenotypic adaptations (that is, without inheritable genetic changes)
30 can favor the emergence of permanent genetic changes. The main concept is that, because the
31 generalized stress response and cellular differentiation, environmental stress can influence
32 antibiotic resistance, and, conversely, the rise of antibiotic-resistant cells can have consequences
33 in the environmental adaptation of the bacterial organisms. The confluence of both types of stress
34 should therefore be considered as a risk and probably might accelerate the path of bacterial
35 evolution.

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37 **Spanish/Español**

38 El estrés medioambiental, natural o antropogénico, influencia las células bacterianas, tanto en su
39 forma como en su función. La respuesta general de las bacterias al estrés frecuentemente conlleva
40 cambios en la forma y estructura celular, ya que las bacterias son “organismos”, con componentes
41 celulares -orgánulos- diferenciados. Estos cambios son de carácter adaptativo para reducir el
42 estrés, y dan lugar a diferenciación celular, esto es, a la emergencia de tipos celulares alternativos

43 con mayor resistencia. Los mas relevantes son la esporulación y la filamentación. La
44 diferenciación celular parece muy antigua en la historia de la vida. Probablemente todas las
45 bacterias sufren algún tipo de deformación reversible bajo stress. Los cambios de forma afectan a
46 la función, influyendo en la topología de las interacciones entre orgánulos y moléculas
47 endocelulares. El resultado es un mayor grado de persistencia o tolerancia, sin cambio genético.
48 Sin embargo, los cambios mutacionales que permiten una adaptación hereditaria podrían
49 favorecerse en condiciones de persistencia. La resistencia fenotípica a la presencia de
50 antimicrobianos probablemente favorece resistencia a otros cambios medioambientales, y,
51 *viceversa*, los cambios medioambientales, a través de procesos de diferenciación celular, pueden
52 influir en la resistencia a antibióticos. La confluencia de diferentes tipos de estrés, antropogénicos
53 (como la liberación de antimicrobianos, o metales pesados) o naturales (como cambios en la
54 temperatura o la osmolaridad) suponen un riesgo para la resistencia a antibióticos, y también para
55 nuevas adaptaciones a cambios medioambientales, y, en todo caso, podría esperarse una
56 aceleración de los procesos evolutivos en el mundo bacteriano.

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66 **Introduction: Microorganisms are organisms**

67 Behind the usual term “microorganism”, few microbiologists are aware of the meaning of the core
68 of this expression, implying that a microbe is an “organism”. The notion of “organism” describes
69 an individual entity composed of independent but functionally linked physical parts, recalling
70 organs in animals. It was first proposed in 1917 by the neo-Hegelian philosopher John Scott
71 Haldane (1860-1936) (Herring and Radick, 2019; Sturdy, 1988), father of John Burdon Sanderson
72 Haldane (1892-1964), one of the founders of population genetics. He was probably applying to the
73 population level the concept of “parts in the population” that arise from selection, transmission,
74 and random drift. Still, he might also have included the success of the interactive “parts” of the
75 common lineage (as a clonal complex or a species). Shortly after John Scott Haldane, the notion
76 of a biological organism as a unity made of interacting parts was applied by Willian Emerson Ritter
77 (1856-1944) to general Biology in 1919 (Ritter, 1919). Note that microorganisms were first
78 described as “animalcules” which implies having organs (Gest, 2004. The word “microorganism”
79 was the Louis Pasteur (1822-1995) preferred term (around 1880), condensing the previous
80 expression “microscopic organisms” used by the French surgeon, Charles Sédillot (1804-1883)
81 (Cavaillon and Legout, 2022).

82

83 **Form follows function, and function follows form**

84 Not by chance, the “FFF” motto, “form follows function” (certainly a reversible statement) was
85 originated and disseminated by artists and architects, such as the sculptor Horatio Greenough
86 (1805-1852) and the architect of the Chicago’s School, Louis Sullivan (1856-1924) (Greenough,
87 1947). This classic concept of the linkage between form and function has survived and remains
88 seminal in the sciences of life, not only in anatomy but also in physiology (Saladin, 2021).

89 Bacterial cells are composed of parts (pieces), that is, they have an architectonic and engineering
90 structure (of course, also the intrinsic beauty of all living things) influencing their function, as in
91 the case of antibiotic resistance (Baquero, 2004; Baquero et al., 2023). Around the mid of the last
92 Century, the term “organismic biology” was conflicted with pure “mechanistic biology” (Nagel,
93 1961; Elsasser, 1964; Milam, 2010). This controversy is probably futile. Biological mechanisms
94 are causal processes driving a change (frequently responding to an adaptive or developmental
95 need) from start to termination conditions (Machamer et al., 2000). In principle, the organismic
96 view is less causal (the kidney does not have any direct causality on the organization of the brain).
97 However, the “organism” is a developmental product of a single original cell. There is a
98 mechanistic process in a primary phase, which is completed by an organismal process at a later
99 one. Every organism is also a “biological individual”, containing organs, cells, and subcellular
100 structures. The bacterial cell is a compartmentalized “organism” (Cornejo et al., 2014).

101 At a higher organizational level, the human intestinal microbiota, for instance, can be conceived
102 as an organ of the human body (Baquero and Nombela, 2012), influencing other organs. It might
103 influence the brain functioning in the human individual because of the possible similarity between
104 small intestinal microbial peptides and neurotransmitters (Baquero et al., 2024).

105 The ontological linkage between form and function has evident consequences. Any change in the
106 form should alter the function, and vice versa, any kind of change in function should alter the form.
107 That means that if both sides of existence do not fit, the results are stress, maladaptation, and
108 possibly extinction. That can be represented using the Frankel’s metaphor of the “King and Queen
109 of Hearts,” cards that lean against one another, forming a simple card house, strictly dependent on
110 the stability of any of them (Frankel, 1986). There are possible different “equilibriums” to keep
111 the card house out of collapse, but the angle formed by both cards should keep symmetry. However,

112 these equilibriums might differ in stability when the glossy surface on which they rest suffers
113 various directional shakes. This metaphor shows the possibility that a cell can find alternative
114 configurations keeping viability (fitting between form and function) in different o ensure
115 continuous adaptation to environmental variation. Essentially that leads to cellular differentiation.

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117 **Environmental stress and cellular differentiation in bacteria**

118 Sporulation is the best bacterial model for cellular differentiation (Freese, 1972). The spore of a
119 *Bacillus* preserves the full identity and potential functions of the vegetative cell, but these
120 “alternative cells”, are extremely stable to environmental challenges, and the cellular structure,
121 including size and shape, strongly differs from the vegetative ancestor. Endospores, a dormant type
122 of cells, are formed, under environmental stress conditions, by a “sporulation gene set” present in
123 *Bacillus* and *Clostridium* (Galperin et al., 2022). Other bacteria produce alternative cells resistant
124 to environmental challenges, such as *Myxococcus*, producing myxospores in fruiting bodies
125 (Kaiser and Garza, 2000) or *Streptomyces*, producing chains of spores; in these cases, these
126 alternative cells might maintain some metabolic functions. We cannot discard that spore formation
127 as a cellular differentiation seems to be an extremely old trait in the history of microbes, as
128 sporulation also occurs in Archaea (Tang et al., 2023). It has even been proposed that all current
129 microbial cells could have derived from “ancestor spores”, the ones that were able to survive an
130 environmental catastrophe, a stringent bottleneck in the early Earth (Tocheva et al., 2016).

131 And, what about Gram-negative bacteria? Some Gram-negative bacteria (having outer membrane
132 lipopolysaccharide), the *Negativicutes*, belongs to the phylum Bacillota, classically grouping
133 Gram-positive bacteria. This is typically the case of the anaerobe *Acidaminococcus* (D’Auria et
134 al., 2011). Endospores have been found in closely related *Veillonellaceae* (also Gram-negative

135 Bacillota), as is the case of *Acetonema longum* (Tocheva et al., 2011). It has been proposed that
136 diderm cell envelope architecture (inner and outer membranes) is an ancestral character in the
137 Bacillota, and that the classical monoderm phenotype in this phylum arose from the loss of the
138 outer membrane (Megrian et al., 2020). Other organisms, such as the Gram-negative
139 Alphaproteobacteria *Caulobacter*, divide asymmetrically giving rise to functionally and structurally
140 different swarmer and stalked cells. This dimorphism provides a bimodal response to stress
141 (Lawarée et al., 2016).

142 These approaches suggest that there might be a widespread ancestral sporulation-like strategy in
143 the microbial world, evolving in different ways, but many of them are based on asymmetrical cell
144 division when the microbial populations confront environmental challenges. In clinically relevant
145 bacteria, such as *Staphylococcus* or *Enterococcus*, environmental stress induces (via the SOS
146 response) small colony quasi-dormant variants (SCVs) (Painter et al., 2015). These are a “different
147 type” of cells (Bui et al., 2015) with aberrant shapes, probably resulting from asymmetric,
148 branched, and multiple cross walls without obvious cell separation (Wellinghausen et al., 2009).
149 Gram-negative bacteria, such as *Escherichia coli*, also produce almost-dormant SCVs cells linked
150 to stress response. Interestingly, *E. coli* has proteins with peptidoglycan-bound SPOR domains,
151 localized to septal rings, altering its cellular structure and protecting this organism from bile (and
152 might be from other stressful molecules). The SPOR founding member is a sporulation gene in
153 *Bacillus subtilis* (Arends et al., 2010; López-Garrido and Casadesús, 2010).

154

155 **Conditional bacterial filamentation** is also a major cellular differentiation process resulting from
156 cell division inhibition in response to environmental stresses, including temperature changes, low
157 water availability, high osmolarity, chemicals, including antimicrobials, or UV exposure (Karasz

158 et al., 2022). Filamentation provides a compromise between cell multiplication and inhibition of
159 cell division; individual cells inside the filament persist as small cells, separated by the
160 cytoskeleton (Wagstaff and Löwe, 2018). Filamentation seems to be a very quick response to
161 stress; for instance, even bacteria containing enzymes detoxifying antibiotics (as beta-lactamases)
162 can form filaments (Kjedesen et al., 2015).

163 Why does filamentation increase survival under stress? We have previously mentioned the increase
164 in surface/volume ratio so that the uptake of nutrients and the excretion of catabolites might
165 improve fitness. Another possible advantage is cellular robustness, as cell ruptures by stressors are
166 more frequent during division (Zahir et al., 2020). Possibly a multi-cell filament might reduce
167 energy expenses in ATP-expensive constructions of membrane lipoproteins and ribosomes. One of
168 the key consequences of stress, that might coincide with filamentation, is the release of superoxides
169 (Zhao and Drlica, 2014), also increasing the mutation rate (Pribis et al., 2022). Filaments are
170 polyploid cells with cytoplasmic contiguity, so the loss of function of a mutated copy of a gene in
171 one of the cells composing the filament can be replaced by the function of another intact gene in
172 the filament, assuring phenotypic delay of the deleterious mutation (Sun et al., 2018). Similarly,
173 polyploidy facilitates DNA mutational repair by homologous recombination, or CRISP-Cas
174 mediated adaptive immunity (Bos et al. 2015, Wang et al. 2019). Also, filamentation favors
175 bacterial adhesion to biological or inert surfaces (Möller et al., 2013); perhaps, adhesion is required
176 for effective filamentation (Jin et al., 2020), including microbiotic particles where different
177 organisms coalesce (Baquero et al., 2022), where nutrients also accumulate. On surfaces,
178 filamentation facilitates biofilm formation (Anbumani et al., 2021; Yoon et al, 2011), and probably
179 functions associated with quorum sensing (Chuang et al., 2019). Filamentation is probably a driver
180 of the post-antibiotic effect, the time required for a bacterial population to re-grow after antibiotic

181 exposure (Gould and MacKenzie, 1997). From an ecological perspective, filamentation could be
182 beneficial for the rapid re-colonization of a niche after a stressful period, as the resolution of the
183 filaments liberates many cells (Bos et al., 2015), favoring the original population to be re-
184 established against competitors. Re-establishment of the original population, however, should
185 occur before any damage in the filament cell wall; in that case, the whole filament lyses with loss
186 of their cellular components (Rolinson et al., 1980).

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188 **Antibiotic modes of action and reaction drive cellular differentiation**

189 As stated in the previous paragraph, there is a link between bacterial stress and cellular
190 differentiation. Antimicrobial agents exert their effects by altering bacterial functions and cellular
191 structures, which leads to cellular stress and altered bacterial forms (Lorian and Atkinson, 1975).

192 At subinhibitory or MIC concentrations, the **mode of action of antibiotics** frequently results in
193 changes in cell shapes. It is well known that the subinhibitory action of various beta-lactams,
194 targeting different penicillin-binding proteins and thus altering in different ways the peptidoglycan
195 topology, results in gamma-proteobacteria cell filamentation, or cellular rounding, remembering
196 spheroplasts. There is a kind of antibiotic-induced cellular reversible differentiation resulting in
197 altered-shaped cells that might present a “phenotypically resistant” phenotype, resembling
198 antibiotic-persisters or antibiotic-tolerant slow-growing (bacteria are not killed or are killed at a
199 slow rate, respectively), this phenotype being unrelated to changes in the minimal bactericidal
200 concentration (Balaban et al., 2019, Kaldalu et al., 2020). The term “filamentous persisters” has
201 been used for ampicillin-tolerant filamentous *E. coli* variants, resulting from altered inner
202 membrane protein composition, and active oxidative stress response with decreased ROS levels
203 (Sulaiman et al., 2020). As other “persister cells”, the altered bacteria revert to the normal

204 susceptible cells in the absence of antibiotic exposure (Cross et al., 2019), in an apparent stochastic
205 dynamics (Sulaiman et al., 2020).

206 Filaments seem to occur in an antibiotic concentration window, variable for different antibiotics;
207 in general, the lower the MIC, the fewer filaments are produced. However, for most combinations,
208 filament induction starts at sub-MIC or MIC levels but may extend to concentrations far above the
209 MIC (Buijs et al., 2008, Gould and McKenzie, 1997). A beneficial mutation in a gene involved in
210 antibiotic resistance in one of the filament chromosomes could disseminate by recombination with
211 other homologous genes (something like gene conversion) so that when the filament split, a bunch
212 of resistant cells might emerge. We cannot exclude the possibility that a beneficial mutation in one
213 of the chromosomes of the filament, particularly influencing diffusible enzymes (for instance de-
214 repression of an AmpC beta-lactamase) might protect the whole filament in the presence of an
215 antibiotic (in this example a beta-lactam). As reviewed before, filaments might increase the
216 mutation rate, and thus the number of antibiotic-resistant mutations. Also stated in the previous
217 section, cellular stress frequently induces bacterial elongation, providing potential adaptive
218 features to improve cellular viability. The mode of action of ribosome-targeting antibiotics also
219 contributes to modifying cellular size and shape. A compensatory over-synthesis of these particles
220 follows the reduction in the number of functional ribosomes. and the cells invest in growth rather
221 than in duplication, which results in round cells. Round cells reduce the surface-to-volume ratio
222 so that bacteria can reduce the intracellular antibiotic concentration by decreasing antibiotic influx
223 (Ojkic et al., 2022). Filaments and round cells can be detected directly on clinical specimens
224 (Gould and McKenzie, 1997).

225 Similarly, alterations in bacterial cellular shape can be promoted not by the primary antibiotic
226 action, but by the secondary **mode of reaction** of the bacterial cell. Bacterial killing by bactericidal

227 antibiotics occurs as a consequence of loss of spatial individuality (damage of cellular envelopes)
228 and genetic individuality (DNA degradation) (Baquero and Levin, 2021). Before killing, DNA-
229 targeting antibiotics, and, in general, bactericidal antibiotics induce an SOS response, upregulating
230 DNA damage, and mutagenesis, but also tolerance and repair and involving filamentation (Phillips
231 et al., 1987; Chatterjee, 2017). The timing of DNA damage responses is critical to persistence
232 (Mok et al., 2018). However, these adaptive responses are frequently insufficient to avoid cellular
233 death, except for cells that have obtained hereditary mutations during the “filamentous persistence”
234 stage (Barrett et al., 2019). On the contrary, bacteriostatic antibiotics are bacteriostatic because
235 they promote “an alternative type of cells” with high resistance to killing. The bacterial cell
236 differentiation mechanism has been compared with the induction of sporulation and frequently
237 gives rise to “small colony variants”, composed of “variant types of cells” both in Gram-positive
238 and Gram-negative bacteria. Instead of “bacteriostatic antibiotics” we could, more appropriately,
239 use the term “bacteriostatic cells” (Baquero et al., 2023; Gil-Gil et al., 2023).

240

241 **Form and function in cellular differentiation following generalized stress responses.**

242 Bacterial organisms are blind to most causes of stress. That is, they react similarly to a variety of
243 stress. Generalized stress responses follow different challenges as osmotic stress, envelope stress,
244 cold stress, acid shock, nutritional stress, stationary growth phase, adhesion and colonization
245 stress, or stress by exposure to natural or anthropogenic antimicrobial agents. Induction of the
246 generalized stress response by any type of stress produces cross-protection against other stresses
247 (Ron, 2012). Cellular differentiation, following generalized stress responses, creates “variant types
248 of cells” able to persist during the stressed period. Changes in the form of differentiated cells
249 condition their function, resulting from a new interactive network between the intracellular

250 “organs” and biomolecules. This topic has been recently reviewed (Baquero et al, 2023b). Such a
251 network of “new interactions” and “loss of interactions” affects phenotypes and cellular fitness
252 under various (often combined) sources of stress. The term “structural epistasis” was coined to
253 reflect the establishment of new molecular interactions between molecules located at particular
254 spaces inside the bacterial cell in the emergence of novel phenotypes. For instance, the architecture
255 of gram-negative bacteria essentially consists of concentric layers of organized membranes,
256 organ-like particles (as ribosomes), and molecules with differing configurations and densities.
257 Environmental changes determine stress, as well as antibiotic exposure (and also the expression of
258 antibiotic resistance!) altering the cell’s internal molecular topology, resulting in unexpected
259 interactions among biomolecules (architectural epistasis). Any environmental change modifying
260 cell’s form and function. In contrast, changes in shape and size might alter antibiotic action. The
261 mechanisms of antibiotic resistance (and their vectors, as mobile genetic elements) also influence
262 molecular connectivity in the bacterial cell and can produce unexpected phenotypes, influencing
263 the action of other antimicrobial agents, and other environmental stressors.

264 A critical field for future research is how climate changes and other anthropogenic-driven effects
265 on Earth might influence antibiotic resistance (Tiedje et al., 2022). As an example, the adaptation
266 to warming in the Antarctic gamma proteobacteria *Shewanella frigidimarina*, belonging to the
267 *Shewanella* genus, including pathogenic bacteria, is a precondition for human and warm animal
268 colonization eventually leading to emerging pathogenicity. The adaptation of this organism to a
269 new, stressful environment is mainly driven by the composition of chaperone interaction networks
270 (García-Descalzo et al., 2014). In particular, one of the proteins exceptionally induced by high
271 temperature was the aryl hydrocarbon receptor (AHR). This protein protects against peroxides in
272 *E. coli* and osmotic stress in *Staphylococcus aureus* (thus probably protecting from bactericidal

273 activities). The *mcr* gene, encoding colistin resistance, has been found in *S. frigidimarina* (Zhang
274 et al., 2019).

275

276 **Final perspective**

277 Antimicrobial pollution of wild Earth environments, and the ever-variable conditions of the
278 biosphere, submitted to unexpected changes, are sources of stress for bacterial microorganisms,
279 which react by generalized adaptive modifications in cellular shape and function. Antibiotic
280 resistance might modify environmental adaptation and vice versa, environmental changes might
281 modify antimicrobial resistance in bacterial organisms. During this trade-off, new networks of
282 molecular interactions take place in the cell, generally contingent on the stressful conditions.
283 However, we should be aware that microbial evolution is not only dependent on mutational
284 changes able to be selected. An adaptive configuration of a given ensemble of objects (as
285 molecules, organelles, in microbial organisms), ephemeral in cellular differentiation events, could
286 be selected according to the “assembly theory” (Sharma et al. 2023), influencing microbial
287 evolution at large. Environmental-driven changes in the form and function of cells should influence
288 both bacterial phylogenesis and ontogenesis (Smit, 1968). We, humans, should be aware of our
289 causal role in the acceleration of microbial evolution, with unpredictable global consequences.

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291 **Author’s contribution.** Fernando Baquero wrote the manuscript; the conceptual framework was
292 discussed with the other authors during the process of manuscript maturation. All authors of the
293 manuscript approved the final version.

294 **Funding-** No external financial support was received.

295 **Competing interests.** The authors declare that they have no competing interests

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