

1 **Title:** HPA flexibility and *FKBP5*: promising physiological targets for conservation

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16

17 **Abstract:**

18 Hypothalamic-pituitary-adrenal axis (HPA) flexibility is an emerging concept recognizing that
19 individuals that will cope best with stressors will probably be those using their hormones in
20 the most adaptive way. The HPA flexibility concept considers glucocorticoids as molecules
21 that convey information about the environment from the brain to the body so that the
22 organismal phenotype comes to complement prevailing conditions. In this context, *FKBP5*
23 protein appears to set the extent to which circulating glucocorticoid concentrations can vary
24 within and across stressors. Thus, *FKBP5* expression, and the HPA flexibility it causes,
25 seem to represent an individual's ability to regulate its hormones to orchestrate organismal
26 responses to stressors. As *FKBP5* expression can also be easily measured in blood, it could
27 be a worthy target of conservation-oriented research attention. We first review the known
28 and likely roles of HPA flexibility and *FKBP5* in wildlife. We then describe putative genetic,
29 environmental, and epigenetic causes of variation in HPA flexibility and *FKBP5* expression
30 among- and within-individuals. Finally, we hypothesize how HPA flexibility and *FKBP5*
31 expression should affect organismal fitness and hence population viability in response to
32 human-induced rapid environmental changes, particularly urbanization.

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37 **What is HPA flexibility?**

38 Organisms must cope with variety of unpredictable challenges in both natural and human-
39 modified environments. Critical to responding to these challenges appropriately is the ability
40 of an individual to adjust its phenotype to current or impending conditions [1, 2]. In
41 vertebrates, one hormonal system is exceptionally important to such adjustments, the
42 hypothalamic-pituitary-adrenal (HPA) axis. The HPA axis enables organisms to respond to
43 many factors but especially stressors (i.e., unpredictable or uncontrollable stimuli in the
44 external and internal environments that threaten homeostasis), primarily via glucocorticoids
45 (GCs) [3, 4]. Circulating GCs mediate homeostasis and stress responses via a two-tiered
46 receptor system [3, 5]. Moderate daily and seasonally rhythmic variations in concentrations
47 (i.e., baseline variation) are associated with changes in energy metabolism and behavioural
48 activity and regulated largely by mineralocorticoid receptors (MR). By contrast, rapid (i.e.,
49 within minutes) and larger increases in concentrations (i.e., stress responses), usually
50 coincident with exposure to stressors, are regulated by glucocorticoid receptors (GR) [3-5].
51 Surges like these are quickly (within minutes to hours) followed by decreases to pre-stressor
52 concentrations (i.e., via negative feedback of the hormones on central GR), an equally
53 critical change, as sustained elevations of GCs can diminish health and fitness.

54

55 Whereas much attention has been devoted to understanding variation in GC concentrations
56 in wildlife, no existing framework has yet proposed how we might measure the trait central to
57 the functional roles of glucocorticoids in the context of responses to stressors: the *ability of*
58 *an individual to maintain and recover homeostasis*, what we and others have called
59 endocrine or HPA flexibility. We know a lot about how glucocorticoids vary, but how this
60 physiological variation *causes* variation in individual fitness is still obscure. Two ideas (i.e.,
61 allostasis and reactive scope) have generated a lot of research attention, but neither has yet
62 resolved the roles of GCs in health nor conservation related issues. Previously, we argued
63 that these shortcomings derive from a lack of consideration of how GCs instantiate and
64 convey *information* about stressors from the brain to the rest of the body [6, 7]. Instead of
65 trying to measure energy gains and losses and relating those changes to hormones (i.e.,
66 allostasis) or studying variation in mediator concentrations relative to presumably adaptive
67 baselines, we could instead study hormones as difference-makers (i.e., the propensity of
68 GCs to induce phenotypic change).

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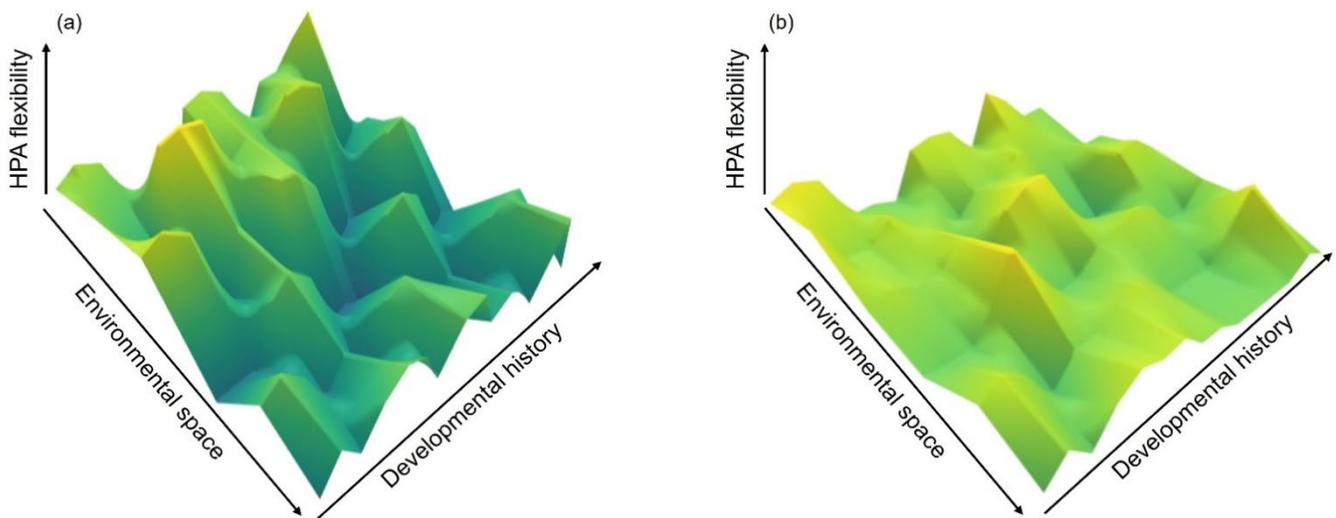
70 It is beyond the scope of this paper to detail the value of an information-based perspective
71 for glucocorticoid regulation in the context of stress. However, our advocacy for HPA
72 flexibility here is derived from this information-based mindset and is based on a few central
73 tenets. First, our framework emphasizes that every organism will experience and need to

74 resolve many kinds of stressors across its lifetime. Subsequently, one or a few concentration
75 measures will unlikely capture the complex manner by which hormonal variation affects
76 individual fitness. Sometimes one or a few plasma GC concentration measures predict
77 variation in fitness, but examples are rare [8]. What we probably need to measure is the
78 *ability* of an individual animals to regulate its hormones, in other words, HPA flexibility.
79 Second, stressors vary in magnitude and type [6], so the relative fitness costs and benefits
80 of GCs in stress responses will depend on the life-history stage, physiological state, and
81 prior experience of an individual. The fittest individuals should be those that can most
82 appropriately use their hormones to adjust the phenotype when the need arises, not those
83 with high or low concentrations at various points in time. Third, circulating hormones are but
84 one facet of how the phenotype is altered endocrinologically [1, 9]. Salient information about
85 stressors cannot just reside in hormone concentrations; substantial information must also
86 reside in receptors, metabolic enzymes, and other factors that determine the outcomes of
87 glucocorticoid responses to stressors [10]. In this light, then, the body and brain should
88 change together over the lifetime [7]; tissues should learn from experience (i.e., GC
89 exposure) in such a way that historical information about the adversity of the environment is
90 encoded into HPA axis regulatory components [6]. GCs are thus best understood as info-
91 chemicals [11], factors that help individuals construct their phenotypes and conform to the
92 environment as best they can. How individuals *regulate* GCs across stressors, then,
93 represents their different propensities to instantiate information in their genomes and their
94 cells [12]. It is this propensity that we call HPA flexibility.

95

96 For years, related ideas have been percolating in eco-evolutionary endocrinology [2, 6, 7,
97 13-15] (and other papers in this issue). Most researchers, like us, have defined HPA
98 flexibility as the *capacity of an individual to modify its HPA axis in response to stressors*
99 *across multiple contexts* or something similar. Labs have measured HPA flexibility
100 differently, but the consensus is that because GCs can only have phenotypic effects *after*
101 they bind receptors and/or those hormone-receptor complexes bind genome-response
102 elements [3, 6], a few concentration measurements will be insufficient to describe HPA
103 flexibility [9]. In figure 1, we depict two extremes of HPA flexibility that one might find in a
104 natural population of vertebrates. Each landscape in each panel depicts HPA flexibility for an
105 individual, the variety of GC responses to stressors possible for that individual over the
106 course of its life. We expect that the rugosity of each landscape is set by its genetic and
107 epigenetic makeup in the context of environmental conditions at any point in time (see
108 below). One organism (a) has high HPA flexibility, a GC regulatory capacity suitable to many
109 types of stress responses, whereas another organism (b) does not. Functionally, high HPA
110 flexibility individuals should be able to achieve the most appropriate phenotype for the

111 greatest diversity of environmental conditions; in having the most rugged GC landscapes
 112 (Figure 1a), they should be able to recruit more adaptive endocrine response given the type
 113 and magnitude of the stressor in the context of the current environment but also previous
 114 experience. Low HPA flexibility individuals, by contrast, should be able to realize fewer HPA
 115 phenotypes, limiting their ability to match their organismal phenotype to the environment
 116 (Figure 1b). Such inflexibility could be wholly genetic, but it could also be environmentally
 117 induced, driven by stressors experienced during development. Nevertheless, as for GCs,
 118 fitness benefits of HPA flexibility are likely to be context-dependent. High HPA flexibility may
 119 not be advantageous in all environmental contexts because of the costs associated with high
 120 level of flexibility (e.g., information costs, search times for the optimal response in a complex
 121 landscape). Indeed, as GCs are pleiotropic hormones affecting multiple aspects of individuals
 122 physiology and behaviour, in some environmental contexts it may better to be less flexible to
 123 avoid inappropriate responses. Thus, high HPA flexibility could be disadvantageous when
 124 living in a benign environment.
 125



127 **Figure 1:** Examples of individuals with high (a) and low (b) HPA flexibility. The rugosity of
 128 the landscape depicts HPA flexibility, the variety of endocrine responses available to an
 129 organism over its life as a function of the prevailing environmental conditions, physiological
 130 state, and inherited factors (genotype and epigenetic effects). High HPA flexibility individuals
 131 should be better able to match their phenotypes to many more situations. By contrast, low
 132 HPA flexibility individuals should be less able to express an appropriate phenotype, at least
 133 in most contexts.

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135

136 Below, we discuss the promise of an HPA flexibility-based framework for understanding how
137 individuals and hence populations of wild vertebrates will cope with human-induced rapid
138 environmental change (HIREC) [16]. We first summarize how one could effectively measure
139 EF in any vertebrate species. We then discuss the role of *FKBP5*, the gene encoding FK506
140 binding protein 51, as a promising and much more simply-measured proxy for HPA flexibility
141 [17]. We close by proposing hypotheses and a research plan to capitalize on HPA flexibility
142 and especially *FKBP5* to mitigate effects of anthropogenic change. We predict that high HPA
143 flexibility taxa are more apt to exploit and adjust to human-modified conditions, especially
144 cities.

145

146 **Measuring HPA flexibility:**

147 There is as yet no consensus approach to measure HPA flexibility [2, 18], but the most
148 popular methods involve descriptions of hormonal reaction norms. Typically, GC reaction
149 norms are measured as the slopes of the relationships between hormone concentrations
150 and environmental context for an individual animal [19-21]. While this approach has been
151 insightful (Taff et al. this issue), we are sceptical of its suitability to describe HPA flexibility.
152 GC concentrations measured prior to (i.e., baseline), during (i.e., post-stressor), and after
153 (i.e., negative feedback) exposure to stressors will probably have co-evolved in such a way
154 that they should be studied as a unit, a single physiological response. The current practice of
155 estimating reaction norms for baseline, post-stressor, and post negative feedback
156 concentrations, separately, unjustifiably analyse these measurements independently [7].
157 Individuals would unlikely have evolved to release excessive GCs into circulation if they
158 lacked the ability to engage a robust negative feedback response [22-24]. HPA flexibility
159 described as concentration reaction norms therefore does focus on the trait on which natural
160 selection has acted, the *ability to regulate* the hormone [25]. As above, HPA flexibility is most
161 sensibly understood as a landscape of GC responses available to an individual at any given
162 time, a kind of endocrine hypervolume (i.e., the rugosity of the landscape in Fig. 1). Reaction
163 norms for concentrations across temperature, social context or some other environmental
164 gradient might resemble HPA flexibility as in Fig. 1, but this assumption must be
165 substantiated empirically.

166

167 We recently proposed a fairly simple method to describe HPA flexibility, the square root of
168 the mean squared differences (RMSSD) of sequential glucocorticoid stress responses
169 measured in one animal [26]. Our rationale was that the more distinct sequential stress
170 responses (and resolutions thereof) were in an individual across contexts, the higher HPA
171 flexibility that individual must have. To test this idea, we first quantified RMSSD, developed
172 initially to describe heart rate flexibility, using the mean glucocorticoid concentration across

173 four stress responses of house sparrows (*Passer domesticus*); each stress response was
174 described according to convention (i.e., baseline, post-stressor and after negative feedback
175 activation concentrations for each individual) in stress responses measured a week apart
176 [17]. We found that birds varied quite extensively in HPA flexibility; some had very high
177 values (RMSSD = 24) whereas others were comparatively inflexible (RMSSD = 4). More
178 importantly with respect to the presumed adaptiveness of HPA flexibility, birds with high
179 RMSSD values were also more *behaviourally* flexible than birds with low RMSSD values
180 [17]. More sophisticated and perhaps more accurate forms descriptors of stress responses
181 could have been used (e.g., area under the concentration curve, AUC) as well as more
182 powerful statistical efforts (i.e., double-hierarchical general linear models, DH-GLMs) [27],
183 but for our purposes, the simplistic approach was effective. Individuals varied quite a bit in
184 HPA flexibility, and HPA flexibility was related in the expected direction to a presumably
185 adaptive behaviour.

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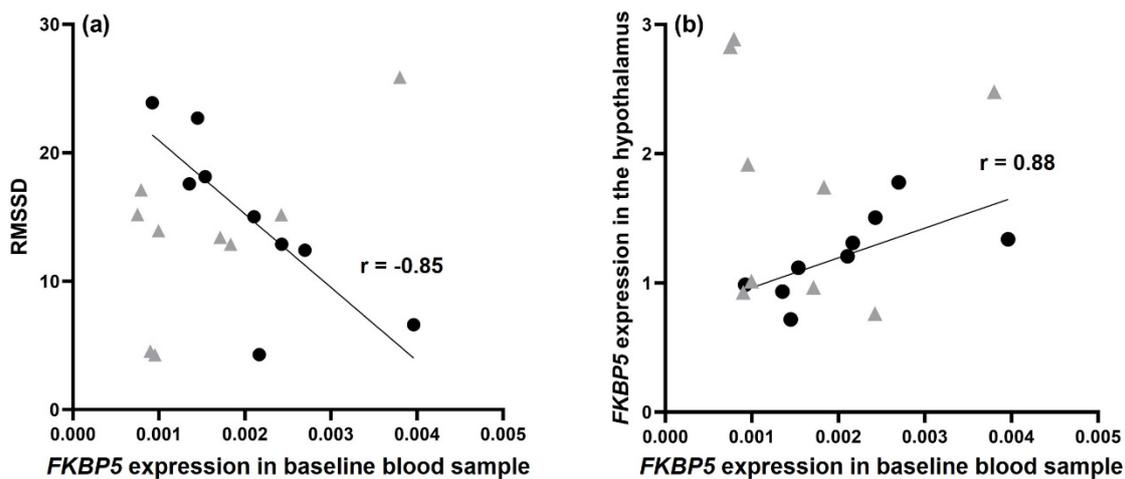
187 ***FKBP5*: a simple-to-describe proxy of HPA flexibility:**

188 Accurately quantifying HPA flexibility as RMSSD will always require several, repeated
189 hormone measurements in the same individuals in different contexts. For many wild species,
190 especially from threatened populations, those of small body size, or those particularly difficult
191 to maintain in captivity, such data will be hard to collect. Subsequently, we advocate that
192 instead, *FKBP5*, a co-chaperone in the GR complex regulating GR function and activity [1],
193 be the focus of study. Extensive biomedical research shows that central *FKBP5* expression
194 in the few vertebrates yet studied increases within about 1h in response to elevated GC
195 concentrations. These elevated local levels then create an intracellular, ultrashort negative
196 feedback loop, regulating GR affinity for GCs [28, 29]. At organismal level then, the more
197 *FKBP5* that an individual expresses, the more its GR resistance is increased, lowering
198 negative feedback efficacy of GCs on brain regions, and hence compromising adaptive GC
199 regulation [28, 30]. In the context of Fig. 1, then, *FKBP5* probably sets the rugosity of the
200 landscape, giving some individuals but not others a propensity to manifest diverse endocrine
201 responses contingent on historical and current context.

202

203 To date, almost all data supporting this possibility come from humans and laboratory rodents
204 [28, 30, 31]. Nevertheless, there is no obvious reason that these relationships would not
205 apply to most vertebrate wildlife. In domesticated mice, low *FKBP5* expression underlays an
206 attenuated stress response and increased negative feedback efficacy associated with
207 enhanced stress coping behaviour (i.e., exploration) [32, 33]. In the above study of house
208 sparrows, HPA flexibility (measured as RMSSD) was inversely correlated to *FKBP5*
209 expression in the hypothalamus [17]. In the same birds, low *FKBP5* expression in the

210 hippocampus was also associated with higher level of exploration in a novel environment.
 211 Perhaps most promising to the utility of *FKBP5* in wildlife research, *FKBP5* expression in
 212 baseline blood sample in house sparrows was correlated with hypothalamic expression
 213 (Figure 2). As this relationship also seems to exist in lab mice [30], scientists interested in
 214 studying HPA flexibility in threatened, small, or hard to keep captive wildlife might need only
 215 measure *FKBP5* in blood samples once. If such blood-brain relationships are confirmed in
 216 other species, they could become a very valuable marker of stress resilience in wildlife. It is
 217 possible that the level of *FKBP5* expression will not directly relate between blood and brain,
 218 but even in these cases, plasticity in *FKBP5* expression in response to external and internal
 219 factors might [9]. Indeed, in lab mice and house sparrows, *FKBP5* expression increased
 220 similarly in brain and peripheral tissues in response to experimental stressors and GC
 221 treatments [26, 30, 34, 35]. As establishing this blood-brain correlation will not be possible in
 222 threatened species, a closely-related species of no or little conservation concern could be
 223 used as a proxy.



224
 225 **Figure 2:** *FKBP5* expression as a biomarker of HPA flexibility in house sparrows (*Passer*
 226 *domesticus*). (a) Relationships between the square root of the mean squared differences of
 227 successive stress series (RMSSD) and *FKBP5* relative expression in baseline blood sample
 228 at capture in adult (black circle) and juvenile birds (grey triangle). (b) Relationship between
 229 *FKBP5* expression in the hypothalamus and the baseline blood sample at capture in adults
 230 (black circles) and juveniles (grey triangles). Regression lines were only calculated for
 231 adults. Reprinted with permission from [17].

232 *****

233
 234 **Causes of variation in endocrine flexibility and *FKBP5*:**

235 Differences in HPA flexibility may manifest through a variety of mechanisms besides *FKBP5*
236 including hormone levels, receptor abundance and affinity [36], or carrier proteins [37, 38].
237 We expect *FKBP5* to be central to HPA flexibility, but much research lies ahead. If *FKBP5*
238 largely determines HPA flexibility, it should be a major focus of natural selection [39]. It
239 should therefore tend to be repeatable and heritable, and indeed in house sparrows, *FKBP5*
240 expression was repeatable ($R = 0.45 \pm 0.18$ [0.04–0.71], $p = 0.003$) in measurements in
241 blood made weeks apart [17].

242

243 **Among individual variation:** Studies of *FKBP5* expression in wild animal populations are
244 presently rare, but existing research in domesticated rodents and humans gives quite a lot of
245 perspective about the causes and extent of individual variation. For instance, functional
246 genetic polymorphisms for *FKBP5* (as well as MR and GR) exist in both humans and lab
247 rodents, and partly explain variation among individuals in their sensitivity to GCs [40]. In
248 humans, impaired GC receptor function also appears to underlie the development of many
249 metabolic diseases [40, 41] and psychiatric disorders [42]. *FKBP5* seems a key mechanism
250 orchestrating these outcomes; up-regulation of *FKBP5* results in stronger GR resistance,
251 weaker HPA axis negative feedback, and sustained and high circulating GC concentrations
252 [28, 43, 44]. A haplotype of *FKBP5*, characterized by high *FKBP5* expression in response to
253 GR activation, has also been associated with differences in the risk of post-traumatic stress
254 disorder [45-47], bipolar disorder [48, 49] and high anxiety [33, 50].

255

256 In many species, molecular epigenetic processes will probably also affect individual variation
257 in HPA flexibility. DNA methylation, which largely occurs at CpG motifs in gene regulatory
258 regions, allows the integration of environmental signals into the genome to affect subsequent
259 gene expression [51]. These changes alter the accessibility of transcription factors to binding
260 factors including *FKBP5* [52], probably sculpting the chromatin such that its structure affects
261 future endocrine responses. In the adaptive sense, this sculpting could better mould
262 endocrine responses to prevailing and/or past conditions; in the maladaptive sense, the
263 epigenetic marks could instead prevent the organismal phenotype from tracking salient
264 environmental change [53]. As of now, we know little about how methylation and other marks
265 on the DNA affect HPA flexibility, much less whether any are heritable [54]. Further, whereas
266 there are many examples of enduring effects of early-life stressors on later-life GC
267 regulation, whether these effects apply to HPA flexibility or involve *FKBP5* remain obscure.
268 Early-life environmental conditions alter specific regulatory elements of HPA flexibility [55,
269 56], and some such effects are epigenetically mediated [57, 58]. Previous research in
270 vertebrates also suggests that GR is a primary target for long-term epigenetic programming
271 of the HPA axis. Epigenetic changes to GR can enduringly modify HPA axis regulation

272 depending on the natal environments experienced by individuals [59-64]. Again, research
273 investigating these patterns in wildlife are scarce, although recent work has reported
274 comparable effects of early-life adversity on GR methylation and expression in both captive
275 and free-living birds [65, 66].

276

277 Given the function of *FKBP5* and the importance of its epigenetic regulation in biomedical
278 contexts, molecular epigenetic factors are likely to be major drivers of within-individual
279 variation in HPA flexibility in nature, too. In humans, DNA methylation of *FKBP5* affects
280 individual risk of developing psychiatric disorders; exposure to adverse conditions during
281 childhood, but not during adulthood, was associated with low methylation at specific CpG
282 sites in the *FKBP5* gene in individuals with a certain genetic polymorphism (i.e., the risk T
283 allele [67]). Long-lasting, lower methylation in *FKBP5* in response to adverse conditions
284 during early-life also seems to result from sustained GR activation in early-life [28, 68].
285 Based on these two studies, *FKBP5* methylation status could amplify or limit HPA flexibility
286 depending on *actual* environmental adversity experienced by an individual [1]. Trans-
287 generational effects of adverse conditions on DNA methylation of *FKBP5* have also been
288 reported in humans [69] and rats [58]. In the latter study, rats with low *FKBP5* DNA
289 methylation had high *FKBP5* expression and sustained HPA activation [58].

290

291 ***Within-individual variation:*** Within-individual variation in HPA flexibility and *FKBP5*
292 expression could be extensive and driven by a variety of exogenous and endogenous
293 environmental forces. Below, we outline four important contexts expected to affect HPA
294 flexibility and *FKBP5* and make some predictions about both to motivate research efforts.
295 We also recognize that by reviewing what is known or expected about within-individual
296 variation in HPA flexibility, we emphasize that the landscapes in Fig. 1 could be in fact quite
297 plastic [70, 71]. If so, the study of these landscapes will be quite challenging (i.e., in terms of
298 statistical power) [72]. Still, this complexity should not stop our investigations of them. We
299 must instead apply creative methods (e.g., response-surface regression) to describing and
300 understanding how landscape rugosity shapes and is shaped by experience [73].

301

302 ***Seasonal:*** Seasonal variation in HPA regulatory components is well-known in wildlife and
303 domesticated organisms, and seasonal differences in HPA flexibility and *FKBP5* expression
304 are obviously expected. In free-living birds, multiple components of the HPA axis change
305 over the year (i.e., membrane and cytosolic receptor levels, plasma GC levels, corticosteroid
306 binding globulins; [74]). This variation has been linked to variation in behavioural and
307 physiological responses of individuals to environmental challenges, consistent with expected
308 functions of GCs for various seasonal [75] and daily [76] activities. One would expect HPA

309 flexibility to be highest and *FKBP5* to be lowest in seasons where stressors are least
310 predictable and/or most consequential for fitness.

311

312 *Resource availability:* Very few studies have addressed how resource availability might
313 affect HPA flexibility, although there is reason to expect that both food quantity and quality
314 will be important. For instance, birds facing high foraging costs expressed less *GR*
315 compared to birds facing low foraging costs; these patterns were also associated with
316 changes in GC responses to a standardized stressor (i.e., higher baseline levels and weaker
317 negative feedback response [66]). Consistently, too, food-restricted house sparrows
318 increased baseline GC levels as their body masses decreased, but individuals differed in GC
319 responses to food restriction [77]. In lab mice, after 24 h food deprivation, *FKBP5* expression
320 was dramatically increased in many brain regions [78]. Altogether, higher foraging costs
321 and/or lower food availability should lead to high *FKBP5* expression and low HPA flexibility.

322

323 *Social context:* In both social and non-social taxa, the frequency and type of interactions with
324 conspecifics can affect HPA axis activity [79]. Similar social conditions potentially also drive
325 differences in HPA flexibility and *FKBP5* expression. To date, research in this area has
326 almost exclusively focused on plasma GCs and responses to single stressors, yielding
327 contrasting results [80-82]. To our knowledge, only one study has measured gene
328 expression in a social stressor context, but GR was measured, not *FKBP5* [83]. In this study,
329 social information from food-restricted individuals reduced GR expression in HPA tissues of
330 red crossbills (*Loxia curvirostra*). These changes could reflect low GR sensitivity and
331 potentially lower EF, but no specific data yet exist. One would expect that individuals with
332 high HPA flexibility (and low *FKBP5*) would cope most effectively in novel social contexts.
333 However, given the multitude of diverse costs and benefits of social interactions, the social
334 roles of *FKBP5* and HPA flexibility could be quite complex.

335

336 *Age:* GC secretion often increases with age, but it remains unknown whether these changes
337 are indicative of adaptive HPA flexibility or simply senescence. In humans, older individuals
338 tend to have weak negative feedback and high baseline GCs [84, 85], the latter condition
339 being associated with higher risk for many non-infectious diseases (e.g., diabetes,
340 hypertension, cardiovascular diseases) as well as sleep deterioration and depression [84,
341 86]. Interestingly, Blair *et al.* [87] showed that *FKBP5* expression and protein levels
342 increased with age, which promoted the pathogenesis of Alzheimer's disease. Patients with
343 Alzheimer's disease also had higher levels of *FKBP5* in the brain as compared to controls.
344 Although further research is needed to confirm the consistency and generality of these
345 patterns, the above evidence suggests that all increases in *FKBP5* might not reflect adaptive

346 change. We do not know much about changes in GCs secretion in aged wildlife. In birds,
347 baseline and stress-induced GCs tend to decrease with age [88-92], but we know almost
348 nothing about HPA axis regulation and flexibility in old individuals. Studies directed at age-
349 related changes up to and around the time of reproductive maturation would be most likely to
350 reveal adaptive, age-dependent variation in HPA flexibility and *FKBP5* in wildlife.

351

352 **Eco-evolutionary implications of HPA flexibility:**

353 Although we presently know very little about HPA flexibility and *FKBP5* in wild animals,
354 enough relevant literature exists to propose a promising research program in the context of
355 anthropogenic change. Whether GC regulation affects the ability of wildlife to cope well with
356 anthropogenic change is still unclear [93-98], but a focus on HPA flexibility, instead of GC
357 concentrations, reframes the scope of the problem in two hopefully productive ways. First, it
358 shifts efforts to describe regulatory control of the hormones [6, 7, 18]. It is the ability to
359 regulate GCs that should affect fitness [99]. Second, it views GCs as physiological sculptors
360 [100, 101], info-molecules that help the organism become what environmental signals
361 convey that it should be [6, 102-104], not simple proxies of stress. GCs are among the most
362 pleiotropic molecules circulating in vertebrates [4, 104-107], so traits that describe the
363 propensity of individuals to regulate them are more apt to illuminate how particular taxa will
364 endure or suffer anthropogenic change [6, 95, 107, 108].

365

366 Such a framework for understanding physiological responses to stressors in wildlife, based
367 on HPA flexibility, resonates well with the 'morphology, performance, and fitness' paradigm
368 so powerful in other subdisciplines of organismal biology [109]. We have previously focused
369 on hormone concentrations, assuming them to be indicative of stress because it is
370 comparatively easy to measure hormones, even in fur, feathers, faeces, or scales. However,
371 what we always needed to understand was the ability of an animal to use its hormones
372 adaptively. In this light, we summarize below what is known and expected about HPA
373 flexibility in animals occurring in cities, areas where HIREC is particularly concentrated [110].
374 We focus on birds because GCs in this taxon are so well studied and are also common
375 exploiters and victims of HIREC [111]. However, our rationale likely applies to all vertebrates
376 including fish, amphibians, and other aquatic species. Further, although we focus on
377 urbanization, we expect that our ideas apply to other forms of HIREC including climate-
378 driven and more directly human-caused geographic range-shifts, but also local forms of
379 habitat degradation including light, noise, and toxicant pollution [112].

380

381 **Life in the city:** For well over a decade, extensive efforts have been made to understand
382 urbanization and GCs in wildlife [113]. Whereas many studies have revealed differences in

383 GC concentrations between urban and non-urban organisms (reviewed in [97]), strong
384 support for GC dysregulation as a causative force driving conservation concerns in urban
385 wildlife has been lacking [95]. Iglesias-Carrasco et al. [114], for instance, found no effects of
386 urbanization on circulating GC concentrations among 27 avian species across 34 studies,
387 even when accounting for many putative modifiers of urbanization effects (e.g., sex, season,
388 life stage, taxon, size of the city, etc). By contrast, Injaian et al. [97] discovered that only one
389 aspect of urbanization (i.e., noise pollution but not light pollution of an urbanization index)
390 was related to GC concentrations in birds and reptiles. Even, noise pollution effects on GCs
391 were revealed only after an urban adaptability score for species was included as a predictive
392 factor in models. Because this latter project involved HormoneBase [115], a very large
393 compilation of wildlife endocrine data, the absence of urbanization effects on GCs in this
394 particular study suggests that GCs either truly play no role in adaptation or adjustment to
395 cities or, more likely, efforts to discern how GCs enable or prevent populations from
396 mitigating urban stressors will require more sophisticated approaches. A few studies have
397 moved in this more sophisticated direction, focusing on specific *facets* of urbanization that
398 might affect GCs. For example, chronic traffic noise was revealed to alter GC responses to
399 physical restraint stressors in tree swallows (*Tachycineta bicolor*) [116]. In another study,
400 artificial (white) light at night exposure was found to change GC concentrations in wild great
401 tits (*Parus major*) [117]. Although these studies and others imply that GC regulation probably
402 affects successful coping (or not) with particular urban stressors, generalities are very few
403 [118]. We propose that a shift to HPA flexibility (and/or *FKBP5*) will be useful and might even
404 reveal some actionable, broad patterns.

405

406 Before we propose such a study plan, some simplifying assumptions are necessary. First,
407 we agree with Deviche and colleagues [94] that attending better to the dimensions of cities
408 apt to be acting as stressors to wildlife will augment progress. Not all cities will have the
409 same stressors, just as not all urban stressors will have the same implications for GC
410 regulation. Likewise, non-urban sites are not necessarily an appropriate foil for urban sites,
411 as natural places are so heterogeneous that the type and extent of environmental variation
412 in non-urban sites would make few non-urban sites appropriate comparators. We also agree
413 with Injaian et al. [97] that the observations that some species thrive whereas others avoid or
414 even suffer in cities [119] will be important to consider. Perhaps because some species
415 evolved in environments resembling cities, or simply evolved to be generalists, a few taxa
416 will do quite well in urban contexts. The roles of GCs in coping with urban conditions might
417 be general, but outcomes could vary depending on the species studied, at least whether the
418 species is an urban avoider or urban exploiter.

419

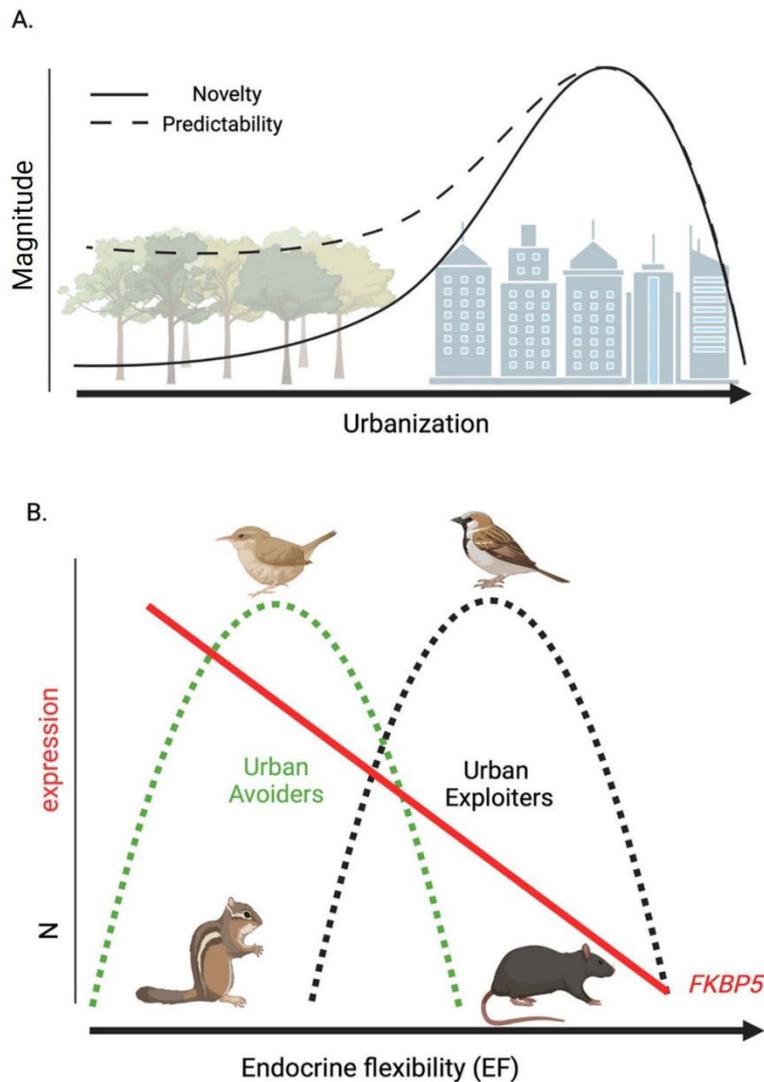
420 Finally, cities vary extensively on several continua (i.e., city size, age, proximity to natural
421 areas, greenspace, etc.), but some broad trends in stressors very likely exist. Compared to
422 non-urban areas, for instance, urban ones will often be more stable because of deliberate,
423 ongoing habitat modifications by humans [94, 120]. From this perspective, *predictability* of
424 stressors should be higher in most urban versus non-urban areas (Fig. 3A). The times and
425 places that organisms are exposed to stressors, on both short (daily) and long (seasonal)
426 time scales, should be more knowable than non-urban areas. City-dwelling animals will not
427 always have the capacity to mitigate such conditions, but some organisms might avoid some
428 stress simply by learning when and where stressors are likely to occur. Relatedly, in cities,
429 the evolutionary *novelty* of stressors will typically be higher (Fig. 3A). Adverse factors such
430 as noise, light, endocrine disrupting chemicals, and other stressors with which ancestral
431 populations will have had little to no experience will abound in urban areas. Of course, these
432 factors might be common in some non-urban areas, too, but on balance, the collective of
433 novel stressors should be higher inside than outside cities [121]. Even novel *degrees* of
434 natural stressors such as food availability (i.e., more low-quality but more predictable food
435 [122]) and social conditions (i.e., higher conspecific densities over longer portions of the
436 year) will differ between urban and non-urban places [123]. In cities, too, interactions among
437 novel and natural stressors should be common [93], perhaps expanding combinatorically the
438 scope of stressors that wildlife will encounter. From this perspective, diverse endocrine
439 responses would presumably foster diverse organismal phenotypic responses. Cities should
440 thus tend to be comprised of individuals with high HPA flexibility and low *FKBP5*. On the
441 other hand, if stressor predictability is more consequential than stressor novelty, fewer forms
442 of GC responses (i.e., lower HPA flexibility) could instead be favoured in cities. These
443 possibilities yet await testing, but all things considered, we presently expect that high HPA
444 flexibility and low *FKBP5* will largely be favoured in cities, even if stressors are relatively
445 predictable there (Fig. 3B).

446

447 These propositions are offered solely as a reasonable starting place for investigation, and
448 any productive, future research will attempt to consider, empirically, stressor gradients in
449 cities and organismal and endocrine responses to them. All such studies will also benefit by
450 recognizing that species vary in how they cope with cities demographically; some species
451 endure city conditions well (tolerators), others do not (avoiders), and still others seemingly
452 thrive in their presence (i.e., preferers, exploiters, and adapters) [119, 124, 125]. In Fig. 3B,
453 we intermingle our expectations about urban stressors in Fig. 3A with known variation in
454 ecological responses of species to anthropogenic effects. We favour the urban *tolerance*
455 framework of Callaghan and colleagues [119] because it is a continuous form of
456 responsiveness to city conditions and derived from >100 million observations of 338 avian

457 species. Among that subset of birds, 75% of species had negative urban tolerance and 25%
458 had positive tolerance, categories the authors named urban *avoiders* and *exploiters*,
459 respectively [119]. The take-home message from that work: most species do not fare well in
460 cities, but quite a few do. We expect that HPA flexibility differs between these two categories
461 of animals, with the highest forms of HPA flexibility and the lowest levels of *FKBP5*
462 expression found in the exploiters (Fig. 3B). Of course, we should also moderate the above
463 predictions with a few caveats. Some urban exploiters can also thrive outside of cities, and
464 those populations might have appreciably lower HPA flexibility than urban ones, especially if
465 stressors in a specific city do not match patterns described in Fig. 3A. Parsimony also
466 suggests that *FKBP5* expression should track HPA flexibility consistently for each species
467 with higher *FKBP5* expression related in the same manner to EF across species (Fig. 3B).
468 This proposition warrants investigation, though, as the relationship between HPA flexibility
469 and *FKBP5* has yet been studied in very few species. Further, the predictions in Fig. 3 are
470 not intended to capture possible allelic variants of or epigenetic effects on *FKBP5*, partly
471 because we yet know nothing about them in wildlife.

Figure 3



472

473 **Figure 3. Stressors and organismal coping capacities for stressors along urban**
 474 **gradients.** A. Expected variation in stressor novelty and predictability along an urbanization
 475 gradient. Stressor novelty (in an evolutionary sense) should be higher in cities relative to
 476 surrounding natural areas. Stressor predictability, too, should be higher in cities given human
 477 amelioration of natural environmental change. However, even in non-urban areas, stressor
 478 predictability should not fall to zero but instead depend on local climate. B. Predicted
 479 variation in HPA flexibility and *FKBP5* expression in city-dwelling organisms. Generally,
 480 individuals, populations or species with high HPA flexibility should fare best in cities, if the
 481 assumptions in A are valid. However, urban avoiders and exploiters should differ such that
 482 HPA flexibility should be low and *FKBP5* high in avoiders compared to exploiters. Created
 483 with Biorender.com.

484

485 Despite the above open issues, there are many insightful opportunities implicit in Fig. 3. For
486 instance, one could assess relationships between HPA flexibility and fitness over time in
487 urban populations to resolve how populations adapt or at least cope endocrinologically with
488 urban conditions. One might also measure *FKBP5* (and/or HPA flexibility) in several cities or
489 parts of large cities to implicate the specific aspects of urbanization most consequential to
490 colonization of and/or persistence. Finally, one could survey HPA flexibility or *FKBP5* broadly
491 across taxa, seeking to identify organisms most likely to act as exploiters. Extensive efforts
492 and abundant funds have been devoted to identifying pest biomarkers; perhaps organisms
493 with low *FKBP5* are the ones resource managers most need to find and control.

494

495 Before closing, we must briefly mention that urbanization is not the only dimension of HIREC
496 for which HPA flexibility warrants study [126]. Climate-driven and human-facilitated range
497 expansions, too, should be affected by HPA flexibility and *FKBP5*. Just as with urbanization,
498 GC regulation is justifiably expected to be involved in range expansions [127], and some
499 data support such relationships. House sparrows at the vanguard of range expansions
500 across Kenya [128, 129] and Senegal [130, 131], for instance, regulated GCs quite
501 differently than birds from the core of populations. A similar pattern was revealed in a
502 southward-expanding tree swallow population relative to resident populations [132]. In cane
503 toads (*Rhinella marina*) [133-135] and Egyptian mongooses (*Herpestes ichneumon*) [136],
504 GCs varied with range expansion but in a different manner than in the above passerines.
505 Broadly, across >100 bird and reptile species, variation in GC concentrations was unrelated
506 to where samples were collected in a species' range [96]. Another comparative study on
507 *Peromyscus* mice likewise found no intelligible patterns when comparing GC concentrations
508 between a few broadly and narrowly distributed species [137].

509

510 Whereas the role of GCs in range expansions and geographic distributions will probably be
511 nuanced, future studies focused specifically on HPA flexibility might be quite insightful.

512 Colonizers or individuals enduring suboptimal abiotic or biotic conditions at range margins
513 might be more active, bolder, and/or more exploratory (and therefore potentially more likely
514 to disperse), traits that all are related to GC regulation [138]. Just how GCs cause this
515 behavioural variation (i.e., how GCs encode information) might differ among species [107],
516 and this possibility should be investigated. Still, we expect that HPA flexibility will be quite
517 high and *FKBP5* expression will be low at expanding range edges or indeed in any
518 environments where stressors are novel and numerous.

519

520 **Looking forward**

521 Right now, because HIREC is such a problem for our health and wildlife welfare, we need
522 new measurable targets, and these two factors, HPA flexibility and *FKBP5*, could be as
523 valuable to conservation as they have been to medicine. Experts have long agreed that
524 investigations of how wildlife populations respond physiologically to HIREC is important to
525 management [139, 140]. Likewise, scientists have long understood that organisms
526 experiencing HIREC might provide valuable basic perspective into evolutionary change [141-
527 143] and ecological impact (e.g., zoonosis spillover, extirpation of native populations by
528 pests, etc) [123, 144-147]. We agree in both senses, but we also argue strongly that we
529 must reduce our reliance on simplistic approaches (i.e., making one or a few measures of
530 GCs, especially in inert tissues or faeces), and direct attention instead to traits like HPA
531 flexibility and *FKBP5* [148]. These traits capture better how hormones encode information
532 and hence enable the phenotype to be adjusted to the environment. Whether HIREC takes
533 the form of urbanization, climate change, or range shifts, the organisms most adept at
534 enduring these challenges will tend to be the flexible ones [149]. Sometimes, flexibility will be
535 mediated by *FKBP5*, but often other molecular capacitors of adaptive variation will be
536 important, too [150, 151]. Regardless the specific mechanisms, as Callaghan et al. wrote
537 [119]: “a species' adaptive capacity, caused by individual, population or species-level
538 attributes, may be important for conservation since it is one component that can make a
539 species vulnerable to environmental change.”. We think that HPA flexibility and *FKBP5* are
540 such attributes, and they will not only be important for conservation purposes, but they will
541 also help us comprehend better how endocrine systems function and evolve.

542

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551

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