

1 **Inferring the evolutionary history of the Sino-Himalayan biodiversity hotspot using**  
2 **Bayesian phylodynamics**

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16

17 **Abstract**

18 The current status of the Sino-Himalayan region as a biodiversity hotspot, particularly for flora,  
19 has often been linked to the uplift of the Sino-Tibetan Plateau and Himalayan and Hengduan  
20 Mountains. However, the relationship between the topological development of the region and  
21 the onset of diversification is yet to be confirmed. Here, we apply Bayesian phylodynamic  
22 methods to a large phylogeny of angiosperm species from the Sino-Himalayas, to infer changes  
23 in their evolutionary rates through time. We find strong evidence for high diversification rates in  
24 the Paleocene, late Miocene and Pliocene, and for negative diversification rates in the  
25 Quaternary, driven by an increase in extinction rates. Our analyses suggest that changes in  
26 global palaeotemperatures are unlikely to be a driving force for these rate shifts. Instead,  
27 recovery after the end-Cretaceous mass extinction, the collision of the Indian continent with  
28 Eurasia and coeval topographic change in the Sino-Himalayas, and the impact of Pleistocene  
29 glaciations on this altitudinally-variable region may shape these rates. We also demonstrate the  
30 strong influence of change time choice on piecewise-constant trajectories in Bayesian  
31 phylodynamics, and advocate for the use of prior information when making this decision.

32

## 33 Introduction

34 The Sino-Himalayan region is likely the world's oldest and most biodiverse truly cold region, and  
35 is therefore probably also the place of origin of many clades of cold-adapted plants (Hagen et al.  
36 2019; Ding et al. 2020). In particular, the Hengduan Mountains to the southeast of the region  
37 are home to a floral biodiversity hotspot (Sherman et al. 2008; Xing and Ree 2017; Ding et al.  
38 2020). The region has a particularly large number of endemic plant species, and beta diversity  
39 is high, meaning that the taxonomic constituents of floral communities are highly variable across  
40 space (Sherman et al. 2008). Despite its age as a cold region, the Sino-Himalayas also contains  
41 angiosperm clades which have diverged more recently on average than the rest of China, with  
42 lower phylogenetic diversity (Lu et al. 2018). Collectively, this suggests that high diversification  
43 rates have likely been maintained across most of the Cenozoic here.

44 The accrual of so much plant diversity in the Sino-Himalayas has previously been attributed to  
45 the region's extreme altitudinal heterogeneity (Sherman et al. 2008; Liu et al. 2013; Favre et al.  
46 2015). Geological dynamism is increasingly being recognised as a key driver behind many of  
47 the world's biodiversity hotspots (Hoorn et al. 2013; Antonelli et al. 2018; Pellissier et al. 2018;  
48 Chang et al. 2023), with the process of mountain uplift being linked to plant diversification  
49 around the world (Hughes and Atchison 2015; Steinbauer et al. 2016; Antonelli et al. 2018).  
50 Complex topography increases abiotic variability, which can result in rapid speciation as existing  
51 biotas adapt to these newly arising habitats (Hoorn et al. 2013; Hughes and Atchison 2015;  
52 Huang et al. 2019). Isolated mountain tops and poor connectivity between similar habitats limits  
53 dispersal and facilitates local adaptation, further promoting allopatric speciation and endemism  
54 (Hoorn et al. 2013; Steinbauer et al. 2016; Dool et al. 2022). The existence of strong  
55 environmental gradients with altitude, across a relatively small area, may also allow migration to  
56 track suitable habitat in the face of climate change (Hoorn et al. 2013; Huang et al. 2019).  
57 During glacial activity in the Quaternary, many plants were driven into refugia, which separated  
58 populations and thereby contributed to genetic divergence and allopatric speciation, as well as  
59 extinction (Liu et al. 2013; Hughes and Atchison 2015; Steinbauer et al. 2016). However, the  
60 Sino-Himalayas is one of the lesser-studied and least understood mountainous regions of the  
61 world, with the relationship between uplift and biodiversity yet to be clearly demonstrated (Favre  
62 et al. 2015; Xing and Ree 2017).

63 The region has experienced considerable topographic change over the Cenozoic, mostly linked  
64 to the convergence and eventual collision of the Indian and Eurasian continents (e.g. Yin and  
65 Harrison 2000; Royden et al. 2008; Kapp and Decelles 2019; Spicer et al. 2021; Ding et al.  
66 2022). Uplift has also had a fundamental role in the development of the East Asian monsoon, a  
67 major component of the local climate regime (Farnsworth et al. 2019). The exact nature of the  
68 geological processes involved, and the timing of these events, remain unresolved (Royden et al.  
69 2008; Kapp and Decelles 2019; Spicer et al. 2021; Ding et al. 2022). While stable isotope  
70 palaeoaltimetry is the most commonly used method for reconstructing palaeoaltitude, there are  
71 many other factors that can affect these isotope ratios which may dilute or obscure the  
72 altitudinal signal (Huang et al. 2019; Spicer et al. 2021).

73 Uncertainty in the topographical and climatic history of the region is a major barrier to directly  
74 linking diversification to mountain building (Hughes and Atchison 2015; Favre et al. 2015;  
75 Xing and Ree 2017; Antonelli et al. 2018). Relating mountain building to biodiversity often  
76 requires considering thresholds, such as the point at which mountains became high enough to  
77 interact with climate systems (Huang et al. 2019), or extend above the tree line (Ding et al.  
78 2020), but our knowledge of the placement of these thresholds is limited, let alone when they  
79 were crossed. In the Sino-Himalayas, the timing of the development of a land bridge between  
80 Eurasia and India likely also had strong impacts on the extent of biotic interchange between the

81 two regions (Spicer et al. 2021). Many of these phenomena will have been inconsistent across  
82 space, influencing different subregions within the Sino-Himalayas at different times. The scale-  
83 dependence of both biotic and abiotic variables also complicates efforts towards comparison  
84 (Antonelli et al. 2018).

85 There are also complexities in reconstructing biodiversification, i.e. the history of evolutionary  
86 rates, in the region. Occurrence-based information describing plant distributions and richness  
87 are valuable, but likely to be incomplete (Kreft and Jetz 2007), and are difficult to compare  
88 between the present and the fossil record due to contrasting sampling biases. Phylogenetic  
89 approaches aim to infer the evolutionary relationships between species, and can provide insight  
90 into the timing of speciation and extinction events. Such approaches have previously been used  
91 to infer the evolutionary history of Sino-Himalayan plants, but only within individual subclades,  
92 meaning that any results are taxon-specific and often can only encompass fairly shallow  
93 geological time (Favre et al. 2015; Xing and Ree 2017).

94 Here, we use phylodynamic models implemented in the Bayesian phylogenetics framework  
95 BEAST 2 (Bouckaert et al. 2019) to infer evolutionary rates from a previously constructed  
96 phylogeny of extant Sino-Himalayan angiosperm species (Liu et al. 2021). The high taxonomic  
97 resolution, large size and geographic restriction of the phylogeny makes it ideal for inferring  
98 angiosperm speciation and extinction rates within the region. We use a birth-death skyline  
99 model (Stadler et al. 2012) to infer piecewise-constant rates for set intervals throughout the  
100 Cenozoic. We then compare these rates to contemporaneous global palaeotemperatures, to  
101 examine the extent to which global versus local drivers are likely to have influenced the inferred  
102 rates.

103 The potential nonidentifiability of evolutionary rates based on extant phylogenies has recently  
104 become a topic of fierce debate (Louca and Pennell 2020; Legried and Terhorst 2022; Morlon et  
105 al. 2022; Kopperud et al. 2023). Louca and Pennell (2020) describe how speciation and  
106 extinction functions are drawn from congruence classes, within which each pair of functions is  
107 equally likely to fit the evolutionary history of a given phylogeny. As a result, it may not be  
108 possible to discern which of these pairs of functions truly generated the observed phylogeny.  
109 Legried and Terhorst (2022) demonstrate that piecewise-constant rates, such as those we infer  
110 here, are identifiable under certain conditions. But regardless, it seems prudent to conduct our  
111 analyses in a way which is mindful of this debate. Here, we implement a number of steps to  
112 thoroughly interrogate our model and our results, including testing the influence of prior choice,  
113 verifying model adequacy, and investigating common trends in the rate functions contained in  
114 the posterior.

## 115 **Methods**

### 116 *Phylogeny*

117 Liu et al. (2021) built a large maximum likelihood phylogeny of extant angiosperms currently  
118 found in the Sino-Himalayas and surrounding regions, excluding recent introductions. Their  
119 phylogeny included 19,313 species, with genetic data available for 8,864 of these tips. They  
120 time-scaled their phylogeny using node calibrations, at 123 calibration points sourced from  
121 previous literature. We chose this as the most appropriate phylogeny to conduct our  
122 phylodynamic analyses on due to (1) its large number of tips, (2) its wide taxonomic breadth,  
123 allowing evolutionary rates to be inferred for all angiosperms collectively and resulting in a root  
124 age in the Early Cretaceous, therefore allowing us to infer rates for the whole of the Cenozoic,  
125 (3) its restriction to our geographic region of interest, and (4) its use of species at the tips, as  
126 this is the taxonomic resolution at which the processes in our model are assumed to operate.

127 Prior to our analyses, we pruned all tips from the tree which were not associated with any  
128 genetic data, as the phylogenetic placement and associated branching times of these tips are  
129 less reliable.

### 130 *Birth-death skyline*

131 Bayesian birth-death skyline inference (Meredith et al. 2011; Stadler 2011; Stadler et al. 2012;  
132 Heath et al. 2014) was undertaken based on the 8,864-tip fixed phylogeny using the BDSKY  
133 package in BEAST2 (Bouckaert et al. 2019). The model was parameterised using birth  
134 (speciation,  $\lambda$ ) and death (extinction,  $\mu$ ) rates, both quantified per branch per million years and  
135 allowed to change in a piecewise-constant fashion, and an extant sampling probability ( $\rho$ ). For  
136 both speciation and extinction rates, an exponential prior with a mean of 1.0 was used, placing  
137 most probability on smaller rates, up to a magnitude observed across species phylogenies of a  
138 wide range of taxa (Henao Diaz et al. 2019).

139 To account for uncertainty in the true number of extant angiosperm species in the Sino-  
140 Himalayas, the extant sampling probability prior was set using two different approaches, both  
141 based on the proportion of tips with genetic data in the Liu et al. (2021) phylogeny (45.9%).  
142 Firstly, the sampling prior was defined using a beta distribution ( $\alpha = 4$ ,  $\beta = 8$ ). Secondly,  
143 separate analyses were run using three fixed sampling levels of 0.5 (high completeness), 0.3  
144 (mid completeness) and 0.1 (low completeness). The change times for the piecewise constant  
145 rates were also defined using two different regimes. Firstly, 14 equally-spaced bins were used,  
146 each 10My in duration. Secondly, change times were placed at the boundaries of recognised  
147 geological intervals, to create 13 temporal bins (Table 1). Eight separate MCMC chains, one for  
148 each combination of the two sets of change times and four sets of sampling priors, were run  
149 until they reached convergence (ESS values for all parameters over 200 after the removal of a  
150 10% burn-in).

### 151 *Quantifying change*

152 Post-processing of the BDSKY log files was conducted using R (R Core Team 2023). Net  
153 diversification ( $\lambda_i - \mu_i$ ) rates were calculated *post hoc* for each iteration of the MCMC chains.  
154 Median values were then calculated for all parameters in each time interval, alongside 95%  
155 highest posterior density (HPD) values using the R package coda (Plummer et al. 2020). We  
156 also calculated the numerical difference in each inferred rate across each pair of adjacent time  
157 bins within an iteration of the MCMC chain. This allowed us to summarise the posterior  
158 distribution of rate trajectories in the posterior, particularly whether rates tended to increase or  
159 decrease consistently across a temporal boundary.

### 160 *Model adequacy*

161 In order to verify the performance of our model, we simulated phylogenies using the inferred  
162 parameters from the BDSKY analysis and re-analysed them Duchêne et al. (2019). The median  
163 inferred birth and death trajectories were used to generate phylogenies using a birth-death  
164 model in the BEAST2 package ReMASTER (Vaughan 2023). After 140My (at the “present”),  
165 phylogenies which did not reach the number of tips in the total Liu et al. (2021) phylogeny were  
166 discarded, and 8,864 tips were selected at random to constitute the “sampled” phylogeny. The  
167 number of discarded tips (and hence the sampling proportion) was also recorded. This process  
168 was repeated until 100 phylogenies had been generated. Each of these phylogenies was then  
169 analysed using the same BDSKY birth-death skyline model as the original analyses, to  
170 investigate the accuracy with which the true, generative rate functions could be recovered.

171 *Palaeotemperature comparison*

172 Scotese et al. (2021) produced estimates of global average temperature for each 1My interval of  
173 the Phanerozoic by combining oxygen isotope data and information from lithological indicators.  
174 We tested for a possible relationship between our inferred rates and these global  
175 palaeotemperatures, to rule out temperature as a major driver of the (local) Sino-Himalayan  
176 diversity trends. We calculated the maximum, minimum and mean temperature estimate across  
177 the 1My intervals included in each time bin (boundary years were included in both bins). We  
178 then used linear regression to test for correlations between the mean global temperature  
179 estimates and evolutionary rate estimates (speciation, extinction, and net diversification) for  
180 each iteration of our MCMC posteriors, and summarised the  $R^2$  and p-values across these  
181 tests.

182 **Results**

183 Reconstructed patterns of net diversification in Sino-Himalayan angiosperms were highly similar  
184 regardless of the sampling prior used (Figure S1). The different fixed sampling proportion priors  
185 changed the absolute amplitude of speciation and extinction rates, but not the shape of their  
186 trajectories, and hence diversification rate estimates remained comparable regardless of which  
187 sampling prior was used. As a result, the main text figures present the analyses carried out  
188 using the beta sampling proportion prior. The model adequacy analyses also recovered  
189 posteriors with median rate values close to those used to simulate the phylogenies (Figure S2),  
190 despite incorporating a range of sampling proportions. This provides further evidence that the  
191 results obtained here are identifiable (Duchêne et al. 2019).

192 The overall trends of speciation and extinction were also broadly comparable between the  
193 different change times used (Figure 1). Median estimates of speciation and extinction were  
194 relatively constant throughout the Cenozoic, with speciation rates typically around 0.5 per  
195 branch per million years, and extinction rates around 0.4 per branch per million years. The  
196 analysis using equally-spaced time bins suggests that both rates may have increased over the  
197 last 50My, however this is not seen in the analysis using geological time bins.

198 In both analyses, net diversification was highest in the Early Cretaceous and gradually fell until  
199 100My ago, since which it has remained relatively constant (Figure 1). The analysis with  
200 equally-spaced time bins does not indicate any strong shifts in diversification rate, with over  
201 95% of the posterior distribution indicating a positive diversification rate between 140 and  
202 120Ma, 50 and 20Ma, and over the last 10Ma. This analysis has relatively wide 95% HPD  
203 intervals throughout, while in contrast, the analysis using geological time bins has generally  
204 narrower 95% HPD intervals, and indicates bigger shifts in diversification rate. For example,  
205 diversification rates are estimated to be near-zero during the latest Cretaceous, but are  
206 considerably higher in the Paleocene, with 99.73% of the posterior indicating a positive  
207 diversification rate at this time. Diversification then falls back to near-zero values in the early  
208 Eocene. Diversification rates are also estimated to be positive during the late Miocene and  
209 Pliocene, with 99.89% and 99.97% of the posterior above 0 respectively. However, during the  
210 Quaternary, a negative diversification rate is recovered in all iterations.

211 The direction and magnitude of rate shifts across change times in each individual iteration was  
212 also calculated (Figure 2), to quantify the posterior support for observed trends over time. Using  
213 the equally-spaced time bins, most interval transitions resulted in an approximately even  
214 number of increases and decreases in speciation, extinction and net diversification rates across  
215 iterations. An increase in both speciation rates and extinction rates is recovered in the majority  
216 of iterations at the 30Mya (99.65% for speciation, 98.24% for extinction) and 20Mya (97.13% for

217 speciation, 98.82% for extinction) boundaries, the latter associated with a negative  
218 diversification rate (in 98.56% of iterations). Speciation and extinction rates both fell in 96.71%  
219 of iterations at the 10Mya boundary. The width of the HPDs tended to increase with the age of  
220 the boundary time. Using the geological time bins, the direction of diversification rate shifts  
221 between bins was more consistent across iterations. 97.58% of iterations indicated a fall in  
222 diversification rate between the early Lower and late Lower Cretaceous, and 96.05% recovered  
223 another fall in the middle of the Cretaceous. In 99.73% of iterations, an increase in  
224 diversification rates across the Cretaceous-Paleocene (K-Pg) was estimated, and 95.62% also  
225 recovered a fall in diversification rates into the early Eocene. 96.77% of the iterations showed  
226 an increase in diversification rate from the late Miocene into the Pliocene, and all showed a fall  
227 in diversification rate into the Quaternary, accompanied by a near-universal (99.98%) increase  
228 in extinction rates.

229 The relationships between global average palaeotemperatures and the estimated evolutionary  
230 rates are summarised in Figure 3. For the equally-spaced time bins, both speciation and  
231 extinction rates appear to have a weak, negative correlation with global palaeotemperature, but  
232 no clear patterns arise for any of the other analyses. To investigate this quantitatively, the  
233 relationship between the mean palaeotemperature for each time bin and the rate trajectories for  
234 each iteration in the Bayesian analysis was tested using linear regression, the results of which  
235 are shown in Figure 4. For the equally-spaced time bins, 60.4% and 58.1% of speciation and  
236 extinction rate trajectories respectively had a p-value below 0.05, with median  $R^2$  values of  
237 0.380 and 0.347. Less than 0.001% of diversification trajectories had a statistically significant  
238 relationship (at the 0.05 level) with palaeotemperature, and the median  $R^2$  value was 0.004. The  
239 regression analyses showed less evidence of a relationship between evolutionary rates and  
240 palaeotemperature when using the geological time bins (median speciation  $R^2 = 0.128$ , p-  
241 values below 0.05 = 26.0%; median extinction  $R^2 = 0.100$ , p-values below 0.05 = 19.0%;  
242 median diversification  $R^2 = 0.028$ , p-values below 0.05 < 0.001%).

## 243 **Discussion**

244 Our phylodynamic analyses, particularly across geological time intervals, reveal multiple strong  
245 shifts in diversification rates for angiosperms in the Sino-Himalayas (Figure 1, 2). We see high  
246 diversification rates in the early history of the group, during the Early Cretaceous, accompanied  
247 by the greatest uncertainty in speciation and extinction rates; this is commonly observed in  
248 phylogenetic analyses of diversification rates through time (Heno Diaz et al. 2019). Positive  
249 diversification rates are also inferred in the Paleocene, late Miocene and Pliocene. Although  
250 near-zero diversification rates are inferred for several intervals, the only time bin with clearly  
251 negative diversification rates is the Quaternary, driven by an increase in extinction rates.

252 Our comparison of global palaeotemperatures and evolutionary rates provide some evidence of  
253 a negative correlation between palaeotemperature and speciation and extinction rates in Sino-  
254 Himalayan angiosperms when using equally-spaced time intervals. The direction of this  
255 relationship is unusual, as higher temperatures are usually linked to higher speciation and  
256 diversification rates (Allen et al. 2006). However, most of the plants which inhabit the Sino-  
257 Himalayas evolved at high altitudes and are adapted to cold conditions; it may be the case that  
258 geological intervals with colder temperatures expanded the area available for these plants to  
259 inhabit, and potentially facilitated their further diversification (Ding et al. 2020). Heightened  
260 extinction rates in colder times are perhaps more expected; in this region, the loss of habitable  
261 area due to glaciation at high altitudes as temperatures fell may also be important. However,  
262 substantially less support was found for a correlation between diversification rates and  
263 palaeotemperature, and this was also the case for all evolutionary rates when using geological

264 time bins. As a result, the link between global palaeotemperatures and evolutionary rates in  
265 Sino-Himalayan angiosperms appears tenuous. These analyses suggest that the diversification  
266 rate shifts we infer were not driven by global palaeotemperature, but may instead have been  
267 driven by topographic and climatic shifts associated with the progressive uplift of the Sino-  
268 Himalayan region over the Cenozoic. High levels of uncertainty about the timing and nature of  
269 uplift across the Sino-Himalayas obstruct our ability to link evolutionary rate shifts with specific  
270 topographic changes, and also limit the accuracy and resolution of local palaeoclimate  
271 reconstructions. However, we discuss below some of the implications and possible drivers, at a  
272 coarse scale, for the key shifts we observed based on the analyses using geological time bins.

273 The severity of the end-Cretaceous mass extinction for angiosperms has been debated  
274 (McElwain and Punyasena 2007; Vajda and Bercovici 2014; Carvalho et al. 2021; Thompson  
275 and Ramírez-Barahona 2023), and we do not see substantially increased extinction rates in  
276 Sino-Himalayan angiosperms in the latest Cretaceous at this time. However, we do infer high  
277 diversification rates during the Paleocene, immediately following this event. The initial India-  
278 Eurasia collision is thought to have taken place in the Paleocene (DeCelles et al. 2014; Hu et al.  
279 2015; Li et al. 2015; Najman et al. 2017), but there was considerable area of high topography  
280 uplifted in an Andean-type orogen that developed prior to collision (e.g. Murphy et al. 1997;  
281 Kapp and Decelles 2019), potentially driving angiosperm diversification. Biotic interchange is  
282 also likely to have commenced prior to the India-Eurasia collision, with increasing numbers of  
283 plant seeds crossing the ever-shortening distance between the two landmasses; this would  
284 create a signal of diversification in our phylogeny, introducing new species to the Sino-  
285 Himalayas from India. Many genome duplication events are also thought to have taken place in  
286 angiosperms around the K-Pg boundary (Vanneste et al. 2014; Lohaus and Peer 2016; Clark  
287 and Donoghue 2018); such events create more redundant genetic material, theoretically  
288 facilitating diversification and rapid adaptation to new environmental conditions, although this is  
289 controversial (Soltis et al. 2009; Estep et al. 2014; Vanneste et al. 2014; Lohaus and Peer 2016;  
290 Clark and Donoghue 2018). In this region, it is possible that the onset of drastic topographic  
291 change, coupled with increased genetic lability, drove increased angiosperm speciation rates  
292 during the Paleocene.

293 We also infer high diversification rates in the late Miocene and Pliocene. The late Miocene is  
294 thought to be when C4 grasses rose to dominance over C3 grasslands, again linked to a series  
295 of genome duplications in the clade (Estep et al. 2014; Lohaus and Peer 2016), and this general  
296 trend across angiosperms may have played a role in driving this Sino-Himalayan diversification.  
297 Recent reassessments of the timing of the uplift of the Hengduan Mountains also indicate that  
298 this may have taken place during the late Miocene to Pliocene (Xing and Ree 2017; Liu-Zeng et  
299 al. 2018). The Hengduan Mountains are the most florally diverse subregion (Sherman et al.  
300 2008; Zhang et al. 2009; Liu et al. 2013), so we might expect that the uplift of this range would  
301 be one of the most influential tectonic events on angiosperm biodiversity. Our analyses also  
302 indicate that Sino-Himalayan angiosperms experienced high extinction rates, and negative  
303 diversification, in the Quaternary. Pleistocene glaciation, which was likely widespread across  
304 this high-altitude region, has previously been highlighted as a major driver of modern  
305 biodiversity patterns (Zhang et al. 2009; Zhan et al. 2011; Renner 2016).

306 Our phylodynamic analyses were not sensitive to the sampling probability prior. However, our  
307 comparison of using equally-spaced versus geological time bins demonstrates that the shape of  
308 evolutionary rate trajectories, and the subsequent conclusions drawn, can be highly influenced  
309 by change time placement. Equally-spaced time bins can be a reasonable choice in the  
310 absence of prior information about when evolutionary rates may have changed. But geological  
311 intervals are designated based on when we consider the Earth system to have been relatively

312 stable, with changes in its state in between; geological interval boundaries therefore represent a  
313 logical best guess for when evolutionary rate shifts may have occurred, particularly in clades  
314 which are highly sensitive to their environment. Some facets of our results also point towards  
315 better model fit when using the geological time bins, such as more consistent diversification rate  
316 trajectories between iterations, and narrower HPD intervals. Careful consideration of break  
317 points in piecewise-constant skylines is clearly necessary, but based on our results, geological  
318 time intervals seem the most appropriate choice for macroevolutionary studies, at least in the  
319 absence of alternative prior knowledge specific to the clade of interest.

320 The approach we chose to use here was to analyse a single, extant-only phylogeny. In contrast  
321 with other previous analyses of diversification rates in the Sino-Himalayan region, the size of our  
322 phylogeny allowed us to infer evolutionary rates throughout the whole of the Cenozoic, and  
323 therefore to assess the influence of a greater extent of uplift history on evolutionary rates.  
324 However, this approach also has its limitations. For example, we assume that the phylogeny we  
325 used, constructed by (Liu et al. 2021), is correct, or at least a close approximation. Although the  
326 phylogeny includes a large number of tips, it does not contain fossil tips, which means our  
327 results may be less reliable with increasing age from the present (Favre et al. 2015); this is  
328 already reflected in increased HPD interval widths for our older time bins. Yunnan has a  
329 particularly rich Cenozoic plant fossil record (Huang et al. 2016) which could provide more  
330 insight into the early evolutionary history of the clade. We hope in future to see the development  
331 of methods that combine greater phylogeny size, increased types of data that can be placed on  
332 the tips, and making maximal use of available age information (Stadler et al. 2018; Huang et al.  
333 2019).

334 Although our analyses provide a clear picture of evolutionary trends across the whole Sino-  
335 Himalayan region, some previous studies have inferred rates at a higher biogeographic  
336 resolution, for the Qinghai-Tibetan Plateau, and the Himalayan and Hengduan Mountain ranges  
337 separately (Xing and Ree 2017; Ding et al. 2020). Such analyses with biogeographic structure  
338 can highlight which regions, and therefore which particular tectonic or topographic events, are  
339 likely to have driven specific evolutionary rate shifts (Favre et al. 2015). Given the scale of  
340 uncertainty about the timing of some of these events, it may also be possible that, assuming  
341 topographic change is the major driver of evolutionary rate shifts, such biogeographic analyses  
342 could instead help to improve our understanding of the sequence of tectonic events in the  
343 region (Huang et al. 2019). The aforementioned studies used methodological approaches which  
344 instead compromised on the length of time over which evolutionary rates could be inferred, and  
345 on the incorporation of sampling bias into their models. But frameworks such as the GeoSSE  
346 model (Goldberg et al. 2011) demonstrate how our model could be extended in future to  
347 increase the biogeographic resolution of our analyses.

348

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#### 352 **Author contributions**

353 TS and SDW conceived the project. BJA and TS developed the analytical pipeline, with  
354 assistance from TGV and LdP. BJA conducted the analyses, produced the figures and drafted  
355 the manuscript. All authors contributed to discussion and editing the manuscript.



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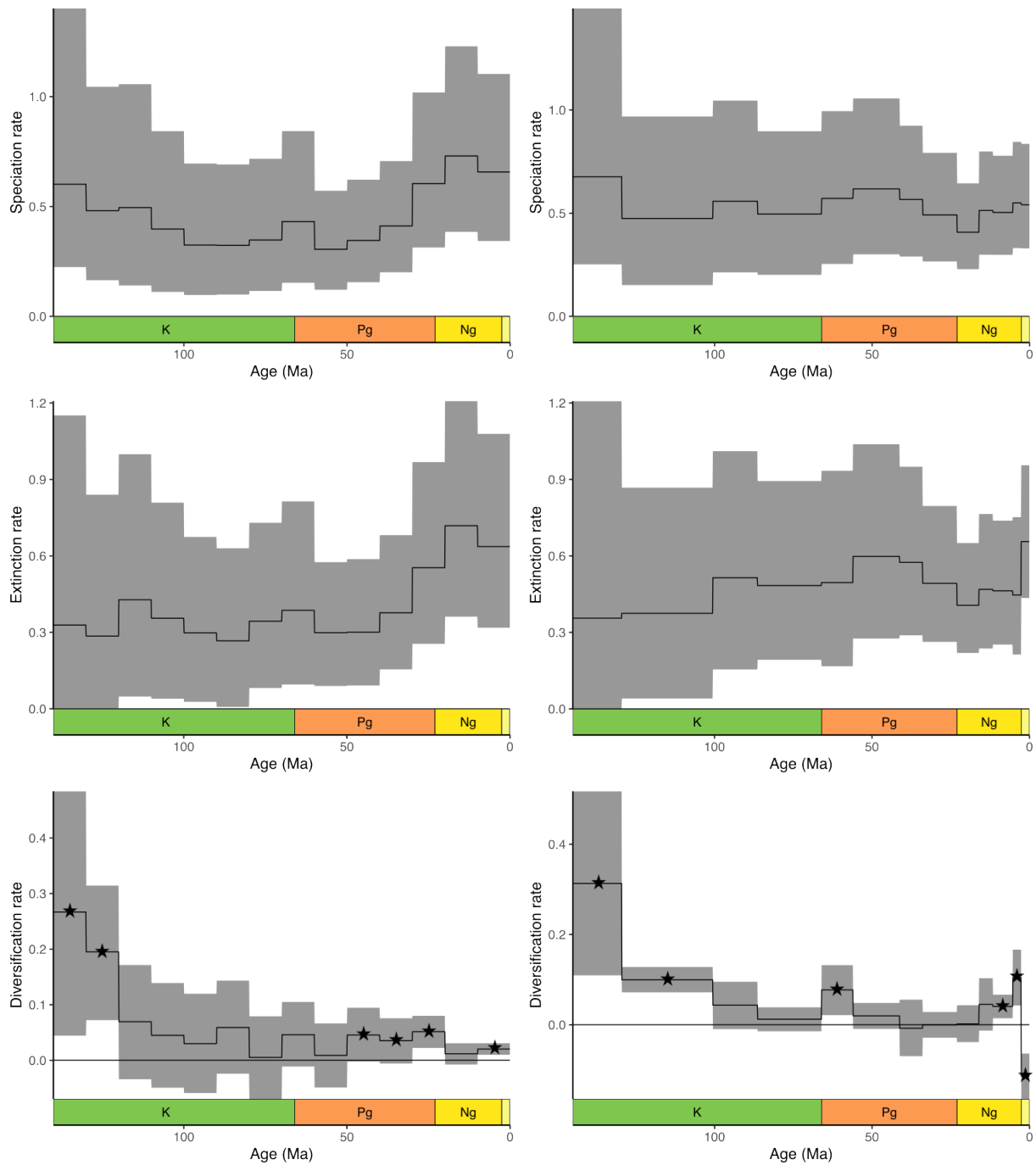
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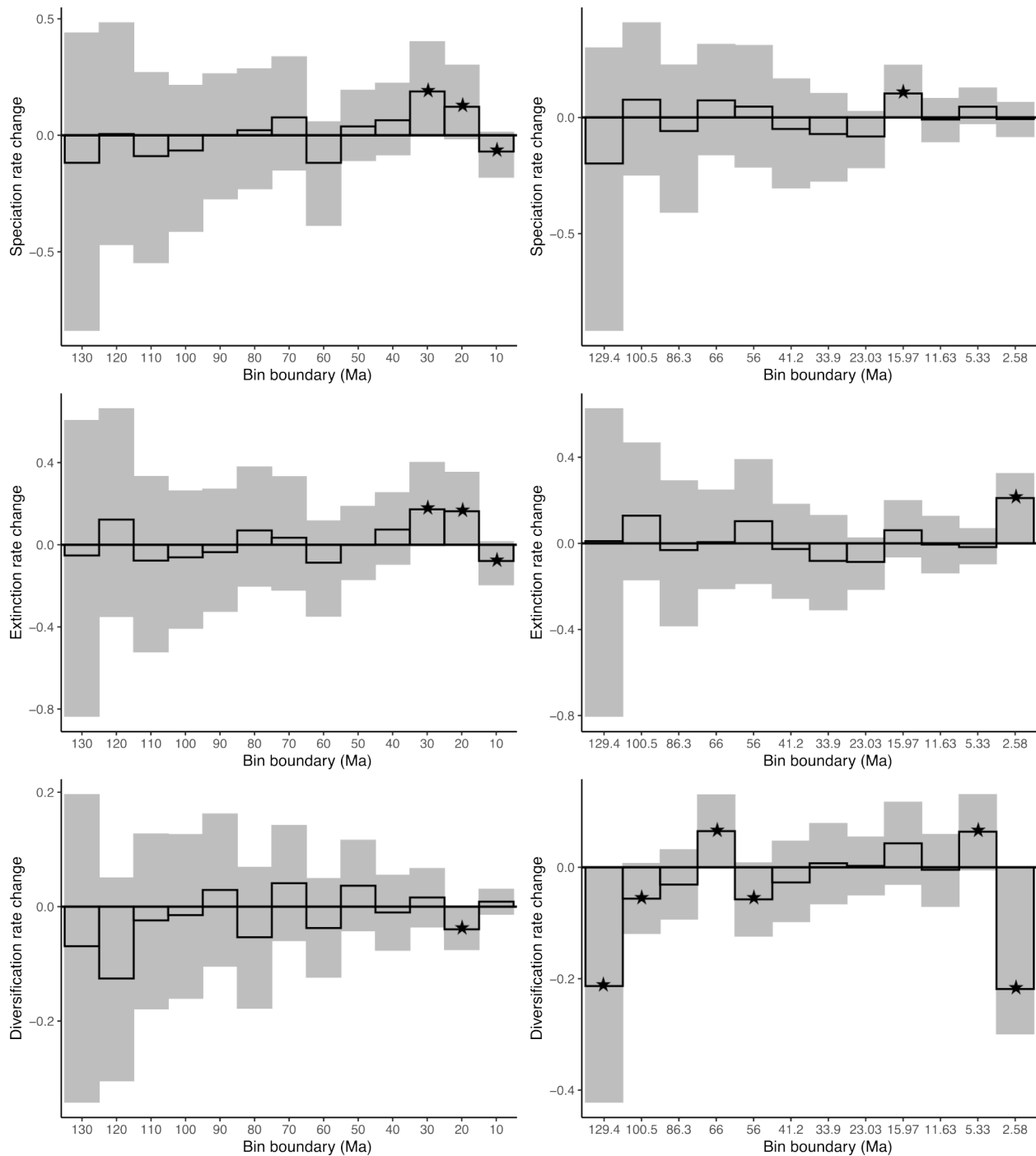
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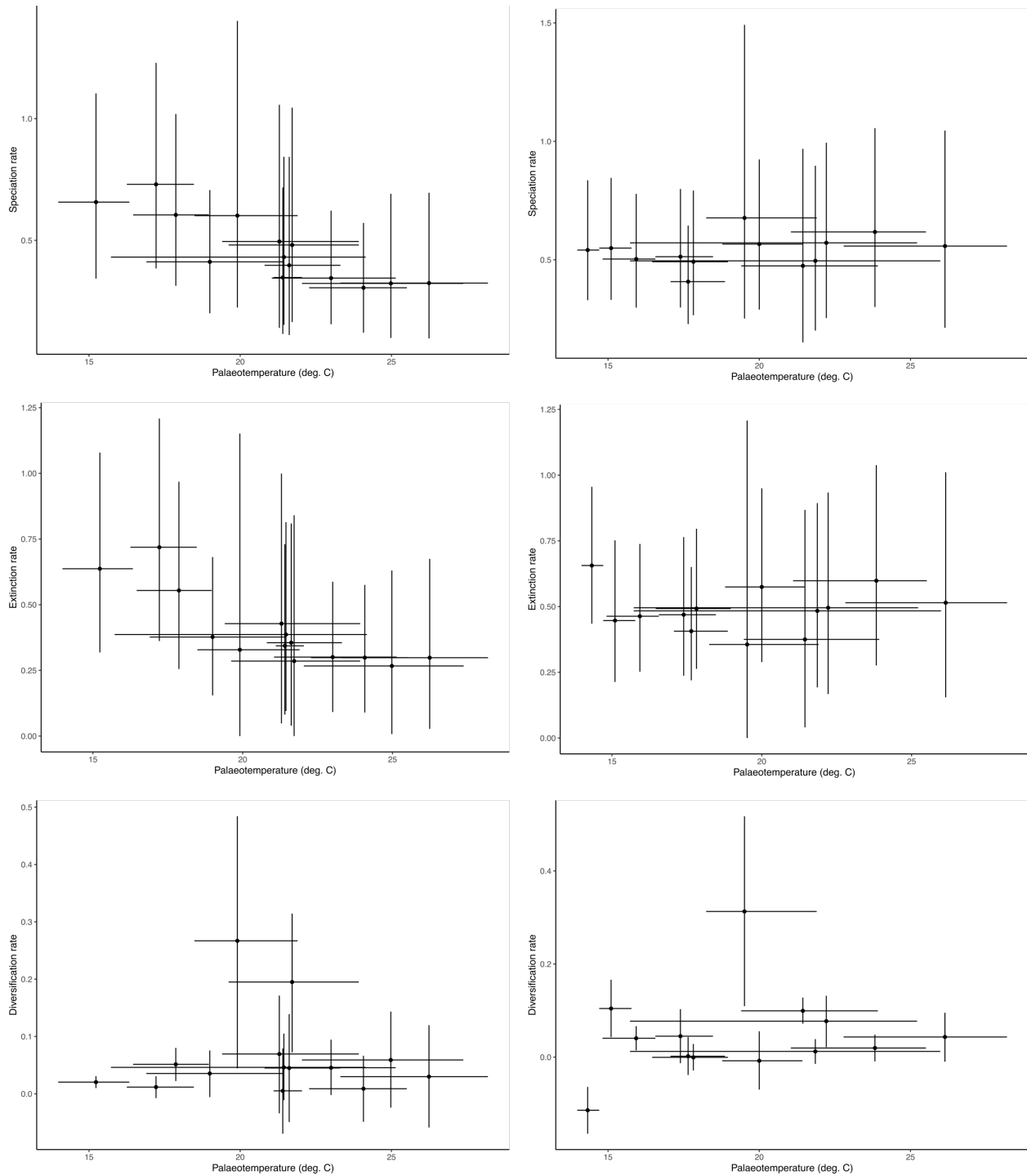
543

544 **Fig. 1.** Posterior distributions of speciation (top), extinction (middle) and net diversification  
 545 (bottom) rates, estimated using the beta extant sampling probability prior. Analyses were  
 546 conducted using equal 10My (left) and geological (right) time bins. The black lines show the  
 547 median values, with the 95% highest posterior density (HPD) intervals shown in grey. Stars  
 548 indicate bins for which at least 95% of the posterior distribution for diversification rate lies on the  
 549 same side of the y-axis, showing clear signal for either positive or negative diversification. The  
 550 geological time scale was added using the R package deeptime (Gearty 2023).



551

552 **Fig. 2.** Posterior distributions of change of rates between intervals. This distribution is obtained  
 553 by calculating the difference between speciation (top), extinction (middle) and net diversification  
 554 (bottom) rates for adjacent time bins in individual MCMC iterations. Analyses were conducted  
 555 using equal 10My (left) and geological (right) time bins, with bin boundaries labelled from oldest  
 556 to youngest (i.e. the direction of time is the same as Figure 1). The black bars show the median  
 557 values, with the highest posterior density (95%) shown in grey. Stars indicate bins for which at  
 558 least 95% of the posterior distribution lies on the same side of the y-axis, showing clear signal  
 559 for either positive or negative rate change between adjacent time bins.

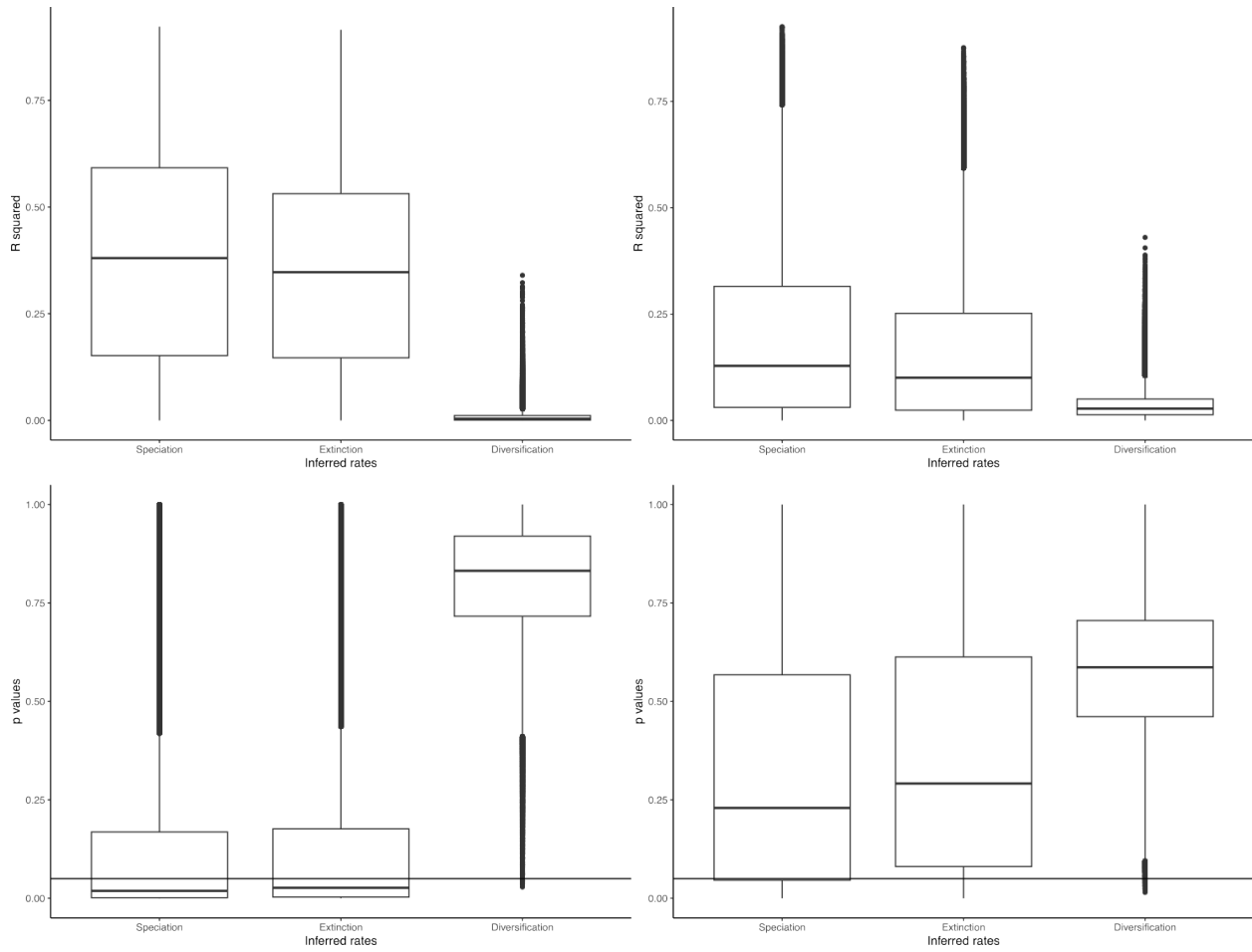


560

561 **Fig. 3.** Relationship between palaeotemperature and speciation (top), extinction (middle) and  
 562 net diversification (bottom) for equal time bins (left) and geological time bins (right). Horizontal  
 563 bars show the minimum and maximum temperatures within each time bin, with the point at the  
 564 mean. Vertical bars show the 95% HPD intervals, with the point at the median.

565





566

567 **Fig. 4.** Relationship between palaeotemperature and evolutionary rates for equal time bins (left)  
 568 and geological time bins (right). Box plots show regression parameters estimated for  
 569 evolutionary trajectory in each iteration (after burn-in) of the MCMC.

570

571 **Tables**

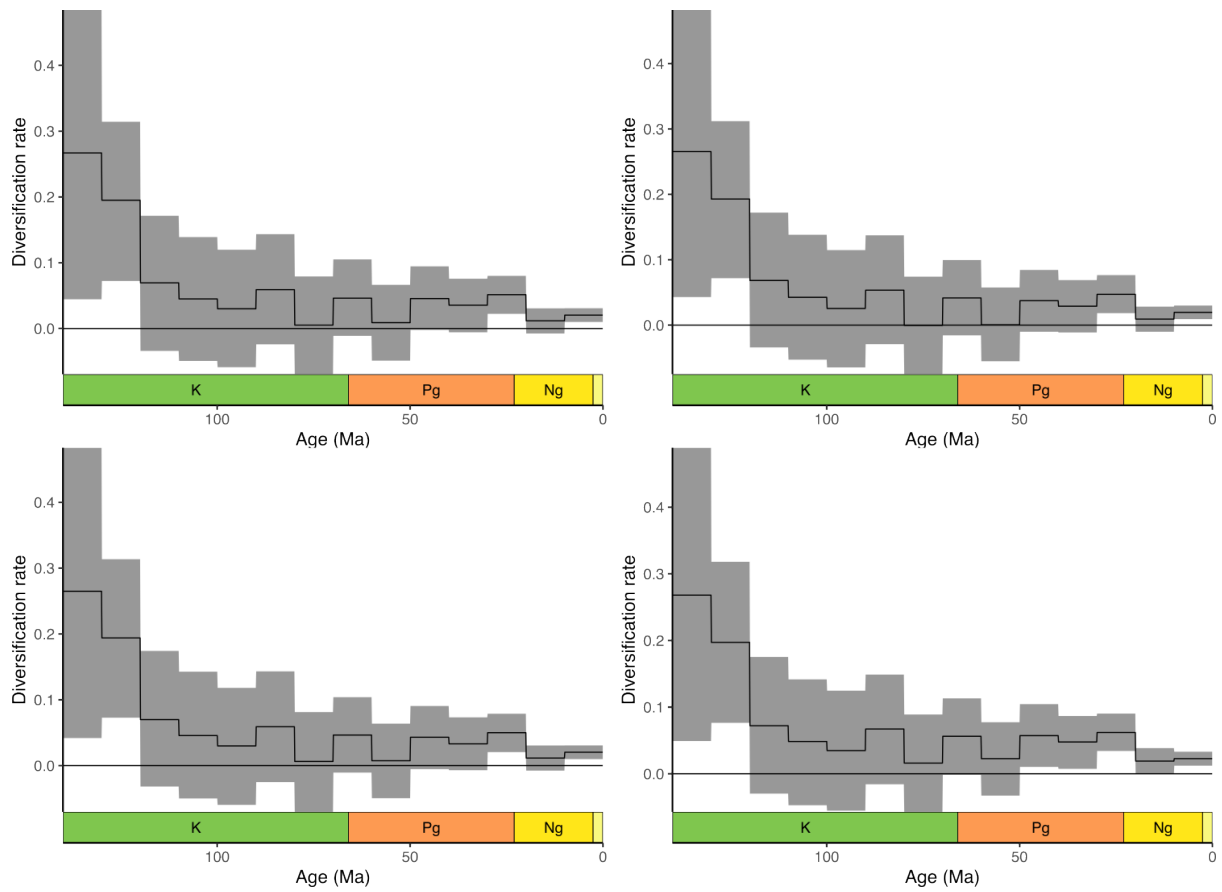
572 **Table 1.** The geological intervals used as time bins in the skyline analyses.

<b>Interval</b>	<b>Start age (Mya)</b>
Early Lower Cretaceous	145.0
Late Lower Cretaceous	129.4
Early Upper Cretaceous	100.5
Late Upper Cretaceous	86.3
Paleocene	66.0
Early Eocene	56.0
Late Eocene	41.2
Oligocene	33.9
Early Miocene	23.03
Middle Miocene	15.97
Late Miocene	11.63
Pliocene	5.333
Quaternary	2.58

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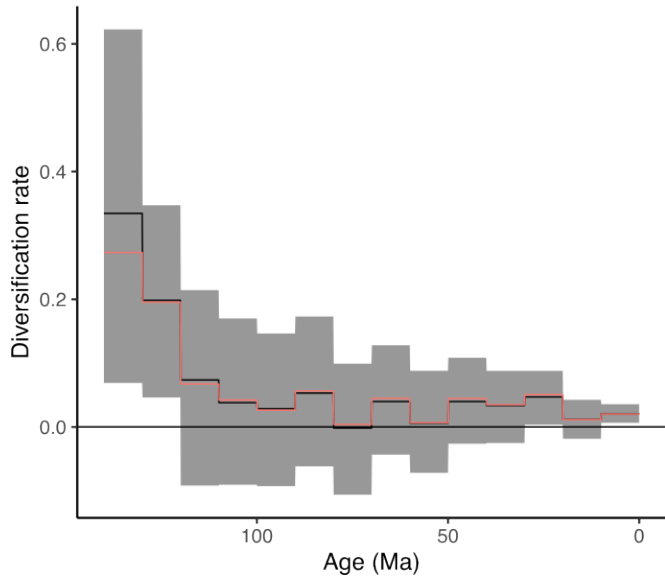
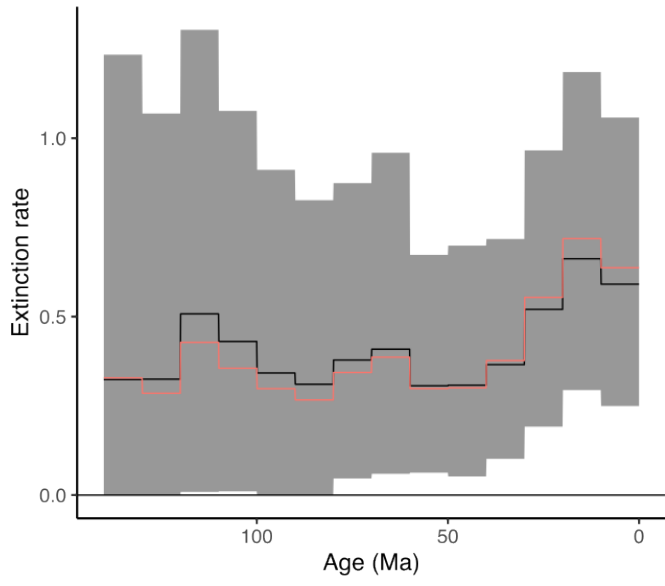
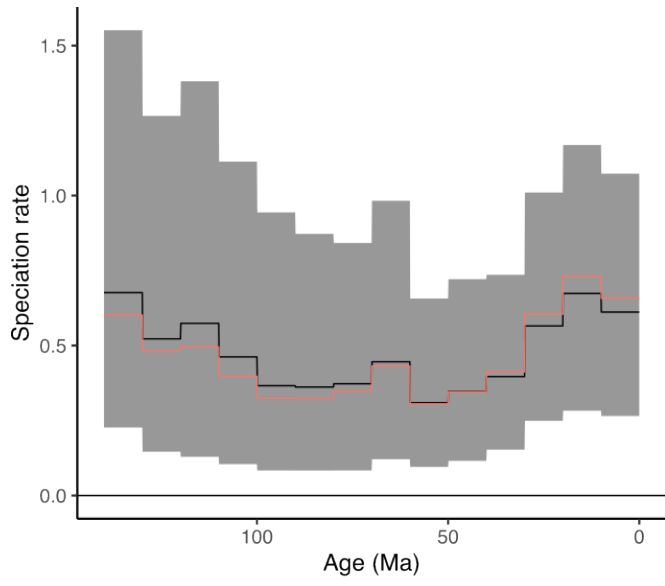
574

575 **Supplementary figures**



576 **Fig. S1.** Diversification rate estimates for analyses conducted using beta sampling prior  
577 (top left), sampling prior fixed at 0.5 (top right), sampling prior fixed at 0.3 (bottom left),  
578 and sampling prior fixed at 0.1 (bottom right).

579



581 **Fig. S2.** Posterior distributions of speciation (top), extinction (middle) and net  
582 diversification (bottom) rates from simulated phylogenies. 100 phylogenies were  
583 simulated using the median rates inferred from the equal-length time bin analysis (Fig.  
584 1), and the skyline analysis was repeated on each of these; the figure here shows the  
585 posterior distribution based on sampling 200 iterations from each of these analyses.  
586 The black lines show the median values, with the 95% highest posterior density (HPD)  
587 intervals shown in grey. The median values from the original analysis, which were used  
588 to simulate the phylogenies, are shown in red.

589

**Table S1.** Summary of key diversification results.**Equal bins**

Interval	Starting age (Mya)	Proportion of diversification posterior above 0	Proportion of positive diversification shifts into subsequent time bin
1	140	<b>0.9904</b>	0.3030
2	130	<b>0.9992</b>	0.0814
3	120	0.9055	0.3801
4	110	0.8254	0.4199
5	100	0.7433	0.6654
6	90	0.9154	0.1961
7	80	0.5534	0.7872
8	70	0.9399	0.1975
9	60	0.6191	0.8170
10	50	<b>0.9670</b>	0.381
11	40	<b>0.9539</b>	0.7316
12	30	<b>0.9998</b>	<b>0.0144</b>
13	20	0.8819	0.7833
14	10	<b>0.9999</b>	

**Geological bins**

Interval	Starting age (Mya)	Proportion of diversification posterior above 0	Proportion of positive diversification shifts into subsequent time bin
Early Lower Cretaceous	145.0	<b>0.9984</b>	<b>0.0242</b>
Late Lower Cretaceous	129.4	<b>1.0000</b>	<b>0.0395</b>
Early Upper Cretaceous	100.5	0.9461	0.1651
Late Upper Cretaceous	86.3	0.8170	<b>0.9750</b>
Paleocene	66.0	<b>0.9973</b>	<b>0.0438</b>
Early Eocene	56.0	0.9102	0.2361
Late Eocene	41.2	0.4071	0.5769
Oligocene	33.9	0.4882	0.5379
Early Miocene	23.03	0.5382	0.8725
Middle Miocene	15.97	0.9358	0.4467
Late Miocene	11.63	<b>0.9989</b>	<b>0.9677</b>

Pliocene	5.33	<b>0.9997</b>	<b>0.0000</b>
Quaternary	2.58	<b>0.0000</b>	

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**Table S2.** Summary of speciation and extinction rate changes.

**Equal bins**

Interval	Starting age (Mya)	Proportion of positive speciation shifts into subsequent time bin	Proportion of positive extinction shifts into subsequent time bin
1	140	0.2948	0.4200
2	130	0.5128	0.7295
3	120	0.2763	0.3296
4	110	0.2837	0.3318
5	100	0.5032	0.3919
6	90	0.5887	0.7137
7	80	0.7966	0.6124
8	70	0.0699	0.1799
9	60	0.7280	0.4982
10	50	0.8316	0.8192
11	40	<b>0.9965</b>	<b>0.9824</b>
12	30	<b>0.9713</b>	<b>0.9882</b>
13	20	<b>0.0329</b>	<b>0.0329</b>

**Geological bins**

Interval	Starting age (Mya)	Proportion of positive speciation shifts into subsequent time bin	Proportion of positive extinction shifts into subsequent time bin
Early Lower Cretaceous	145.0	0.1624	0.5142
Late Lower Cretaceous	129.4	0.7149	0.8354
Early Upper Cretaceous	100.5	0.3264	0.4159
Late Upper Cretaceous	86.3	0.7679	0.5227
Paleocene	66.0	0.6558	0.7752
Early Eocene	56.0	0.3106	0.3917
Late Eocene	41.2	0.1885	0.2126
Oligocene	33.9	0.0560	0.0537

Early Miocene	23.03	<b>0.9857</b>	0.8430
Middle Miocene	15.97	0.4176	0.4664
Late Miocene	11.63	0.9066	0.3354
Pliocene	5.33	0.4170	<b>0.9998</b>