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2 **Title:** Don't make genetic data disposable: Best practices for genetic and
3 genomic data archiving

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89

93 **Abstract**

94 In ecology and evolution, genetic and genomic data are commonly collected for a
95 vast array of scientific and applied purposes. Despite mandates for public archiving, such
96 data are typically used only once by the data-generating authors. The repurposing of
97 genetic and genomic datasets remains uncommon because it is often difficult, if not
98 impossible, due to non-standard archiving practices and lack of contextual metadata. But
99 as the new research field of macrogenetics is demonstrating, if genetic data and their
100 metadata were more accessible, they could be reused for many additional purposes, far
101 beyond their initial intended impact. In this review, we outline the main challenges with
102 existing genetic and genomic data archives, factors underlying the challenges, and
103 current best practices for archiving genetic and genomic data. Recognising that this is a
104 longstanding issue due to an absence of formal data management training within the
105 research field of ecology and evolution, we highlight key steps that universities, funding
106 bodies, and scientific publishers could take to ensure timely change towards good data
107 archiving.
108

109 **Introduction**

110 Synthesis of Open Data (publicly archived data free to reuse) is a powerful tool
111 that is increasingly being used to test pressing big-picture questions at large scales in
112 ecology and evolution. However, it still remains common for valuable datasets to be
113 forgotten and mislaid after a single use (Vines et al. 2014; Roche et al. 2015; Tedersoo
114 et al. 2021). This is a missed opportunity and hinders scientific progress. Producing and
115 collecting scientific data is often expensive and time-consuming. Furthermore, most data
116 have numerous potential applications beyond their original use (Piwowar et al. 2011).

117 Public archiving of genetic and genomic sequence data (hereafter 'genetic data')
118 became standard practice in the 1980s (Cochrane et al. 2012), but notably, public
119 archiving of associated metadata (metadata are data that describe other data, including
120 species name, sampling coordinates, sampling year, etc.), still remains discretionary.
121 Nevertheless, genetic data repositories were some of the earliest Open Data projects and
122 databases (e.g. Genbank; Strasser et al. 2011) and continue to arise to meet the
123 increasing needs of genomic data archiving (e.g. International Human Genome Mapping
124 Consortium 2001; BOLD, Ratnasingham and Hebert 2007).

125 Repurposed 'open' population genetic data has only just begun to accumulate but
126 has facilitated reconstruction of, for example, endangered species' demographic histories
127 (e.g. orangutans; Nater et al. 2015), and inference of global invasion pathways (e.g.
128 *Trachemys scripta elegans*; Espindola et al. 2022). Multi-species genotype data are also
129 being synthesized across large spatial and temporal ranges for macrogenetic studies

130 (Blanchet et al. 2017; Leigh et al. 2021), rapidly advancing molecular ecology and
131 evolution by characterizing global biodiversity patterns, genetic diversity trends, and
132 informing biodiversity conservation (see Leigh et al. 2021 and references therein; Schmidt
133 et al. 2023). Sequences within the National Center for Biotechnology Information (NCBI)
134 are frequently reused as a biodiversity reference database, facilitating new species
135 discovery and the emergence of environmental DNA methods (Ruppert et al. 2019).
136 Accessible raw genomic read datasets have been important for teaching bioinformatics
137 and developing genomic analyses (e.g. Günther and Coop, 2013). Yet the future
138 repurposing potential of genetic data extends further, as an abundance of unattempted
139 and unknown uses remain. Vitally, repurposing of public genetic data is one way for
140 countries to report genetic indicators required by the Convention of Biological Diversity
141 (CBD) post-2020 Kunming-Montreal global biodiversity framework (e.g. headline indicator
142 A.4; CBD 2022; Hoban et al. 2023; Hoban et al. 2020).

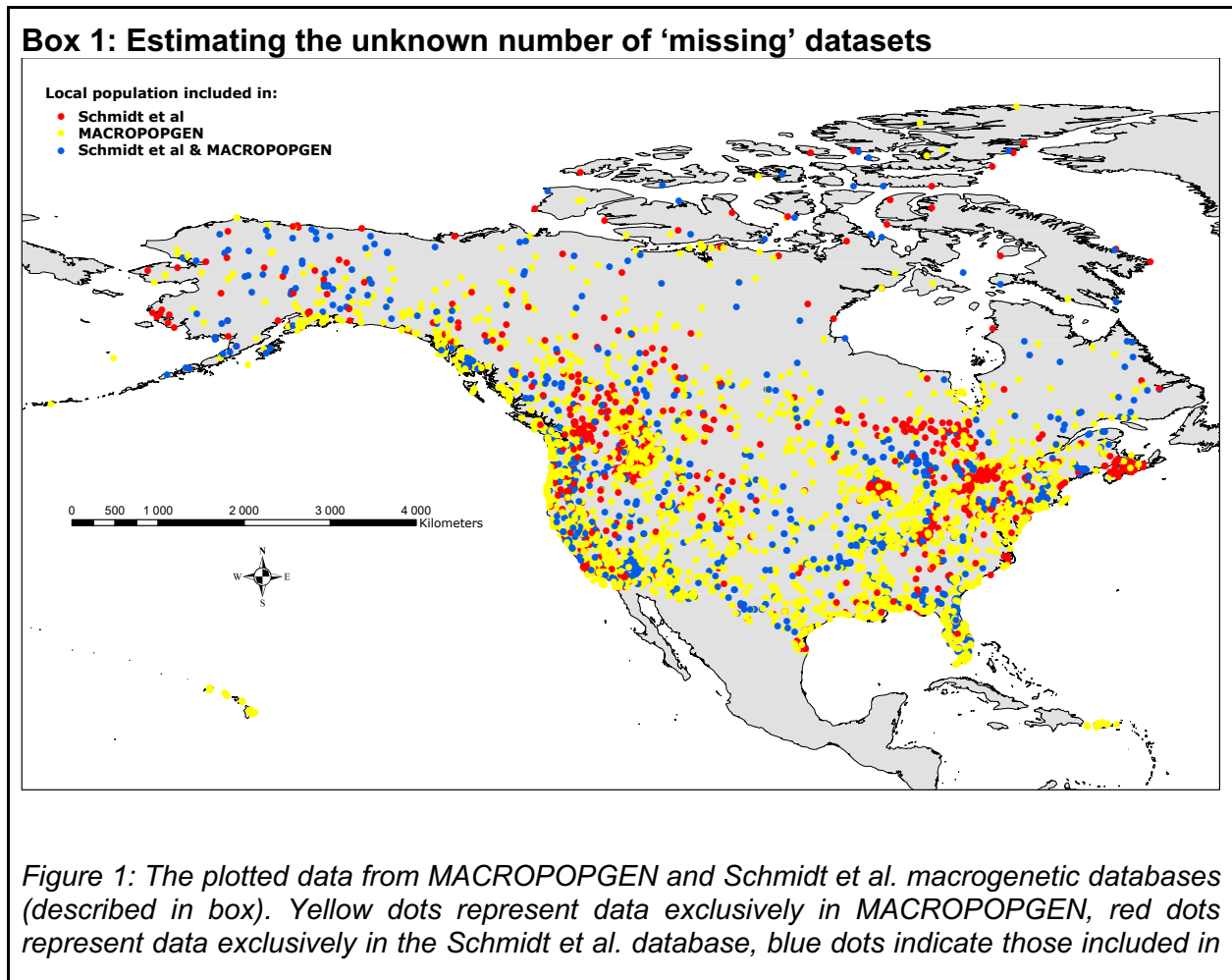
143 Despite the abundance of genetic data in open repositories, long-standing
144 willingness of journals to mandate Open Data (e.g. JDAP Dryad 2011; Rieseberg et al.
145 2010; Moore et al. 2010; Whitlock 2011; Fairbairn 2011), and increasing popularity of the
146 FAIR principles (Findable, Accessible, Interoperable, and Reusable; Wilkinson et al.
147 2016), there are still numerous issues with genetic data archiving that inhibit
148 comprehensive repurposing. Many genetic datasets remain in private hands, often stored
149 on private storage devices, shared only on request (see Box 1). However, such devices
150 will quickly depreciate, leading to data loss. Furthermore, cross-disciplinary studies have
151 shown that many authors do not share data upon request, despite committing to do so in
152 data availability statements of their articles (Gabelica et al. 2022; Crandall et al. 2023).
153 Notably, ecologists (including molecular ecologists) were the most likely researchers to
154 ignore data request emails (Tedersoo et al. 2021). Data findability is also limited by the
155 repositories available, because genetic databases are built for nucleotide sequence data
156 and do not accept non-nucleotide data (e.g. processed Single Nucleotide Polymorphism
157 “SNP” genotypes and/or genotypic datasets based on microsatellites). These abundant
158 and valuable genotype data are subsequently archived, if at all, across an array of public
159 and private data repositories (e.g. Dryad, FigShare, ScienceBase, Zenodo, and personal
160 or institutional servers), making them hard to find (see Box 1).

161 Alongside issues of data accessibility and findability, genetic data that are publicly
162 archived are often in an unsuitable format. This is predominantly because they lack key
163 metadata. It is easy to underestimate the severity of poor metadata archiving: only ~6.5%
164 of published nucleotide data for land-living vertebrates are georeferenced in GenBank
165 (Gratton et al. 2017). Similarly, only 13% of biodiversity-relevant Sequence Read Archive
166 (SRA) BioProjects have spatiotemporal metadata (Toczydlowski et al. 2021), and less
167 than 33% of metagenomic data are archived with vital contextual environmental data
168 (Schriml et al. 2020). Beyond the absence of critical metadata, non-sequence genotypic
169 data are also archived in an array of formats often tailored for specific software packages,

170 which themselves change over time. Moreover, studies frequently differ in exactly what
171 they archive. This ranges from aligned sequences to raw data in genomic datasets, to
172 newly identified haplotypes only vs the entire set of sequences obtained in amplicon or
173 barcoding studies (Paz-Vinas et al. 2021).

174 Consequently, despite the long history of publicly archived genetic data in ecology
175 and evolution, most remain difficult to repurpose. Poor archiving represents a significant
176 waste of public funds and a loss of time, resources, and opportunities for scientists and
177 practitioners. This also represents an unnecessary ethical footprint because some genetic
178 studies require animal handling. It severely limits the development of promising Open
179 Data reliant research avenues and biodiversity monitoring. Collectively, poor archiving
180 lowers the impact of each dataset. There is a pressing need in ecology and evolution to
181 improve the archiving standards of genetic data and their metadata by establishing best
182 practices. In this review, we seek to address these challenges by offering guidance on
183 archiving different types of genetic data and their associated metadata. We also discuss
184 additional steps or infrastructure needed to improve the status quo. Ultimately, our goal
185 is to prevent data loss and facilitate data reuse.

186



both databases.

To estimate the number of genetic datasets that have not been publicly archived, we compared the datasets synthesized in two macrogenetic databases with similar taxonomic and spatial scopes that differed in the form of included data. The first macrogenetic database was MACROPOGEN (Lawrence et al. 2019), which is a compilation of georeferenced vertebrate microsatellite-based genetic summary statistics and related metadata (e.g. taxonomic group) across the Americas for 897 species and 9,090 populations. This database was built by extracting data (e.g. genetic summary statistics) from published articles and reports, irrespective of whether raw genotypic datasets from which the compiled data were derived are publicly available. The second macrogenetic database (hereafter, Schmidt et al. database) was compiled by Schmidt and Garroway (2021; 2022) and Schmidt et al. (2020) and comprises repurposed microsatellite genotype datasets that were archived in open repositories (mostly those archived on Dryad) for terrestrial vertebrates across USA and Canada.

If all raw genetic datasets used in the studies identified in MACROPOGEN were publicly available, we would expect the proportion of datasets overlapping with Schmidt et al. to be high (e.g. 80-90%), and if all data were clearly linked and/or archived with metadata we would expect the overlap to increase further (e.g. 90-100%).

We extracted datasets from birds, amphibians, mammals, and reptiles located in USA and Canada from both databases, and combined them to create a pooled database containing data for 5,395 populations from 412 species, with 68.48% and 31.52% of data originating from MACROPOGEN and the Schmidt et al. database, respectively. Data were at the population level (Figure 1). We then crosschecked different metadata fields (e.g. species name, DOI identifier of the dataset and/or of the original article, author names) among data entries from each macrogenetic database to identify populations and datasets that were included in both macrogenetic databases.

Only 21.38% of the data entries in the combined database were found in both macrogenetic databases (Figure 1, blue dots), while 59.5% were included exclusively in MACROPOGEN (Figure 1, yellow dots). While this does not comprehensively assess the number of publications missing from public data archives, this strongly indicates a large proportion of the studies behind this 59.5% are unlikely to have public genetic or genotype datasets, given the similarity in spatial and taxonomic scopes used by the authors of both databases.

187

188 *Why are we not archiving comprehensively?*

189 Several general issues drive variation in data archiving practices that ultimately
190 hinder data reuse (see Box 2; Tedersoo et al. 2021; Gomes et al. 2022; Huang et al. 2012;
191 Roche et al. 2014; Hostler et al. 2023). Most commonly, poor data archiving is driven by
192 researchers not having sufficient support or time to archive comprehensively (Hostler et
193 al. 2023). Furthermore, authors often may not realize their archives are incomplete and
194 challenging to reuse. A well-archived dataset should contain all of the relevant information
195 needed to reproduce or repurpose a study without the need to consult multiple sources.

196 Limited data archiving can sometimes be a requirement in ecology and evolution.
197 There are legal and ethical considerations in data archiving and reuse that can directly

198 limit what data can be made public (see Box 3). These limitations should be accepted
199 because it is essential that Open Data does not infringe on privacy, benefit sharing, or
200 species protection efforts (Frank et al. 2016). Unfortunately, however, limited data
201 archiving can sometimes be intentional to prevent repurposing; in contrast to sensitive
202 data intentionally poor archiving needs to be rectified as it inhibits FAIR compliance (see
203 Box 2 and 3).
204
205

Box 2: Limiting competition through intentionally poor data archiving

FAIR data archiving can fail due to a range of reasons (e.g. Tedersoo et al. 2021; Gomes et al. 2022; Huang et al. 2012; Roche et al. 2014; Hostler et al. 2023). These include a fear of scooping - when data are repurposed before the data-generating authors benefit through primary publication(s), collegial competition (Huang et al. 2012), or because researchers consider their study topic exclusive. Reluctance to archive data may also be related to a fear that reanalysis of data might reveal errors or lead to contradictory conclusions (Wicherts et al. 2011). Intentionally poor archiving can sadly inhibit reanalysis and synthesis that can lead to exciting new conclusions (e.g. Schumacher et al. 2022) and could harm biodiversity monitoring efforts.

We acknowledge that there are long standing valid concerns surrounding data archiving from long-term multi-grant studies (e.g. wild pedigreed populations) because reuse by external researchers at any point could have a disproportionately negative impact on data-generating scientists and the project (Mills et al. 2015; Whitlock et al. 2015). Similarly, data-repurposing from early career researchers (particularly matriculated students) can be harmful because they commonly have long delays prior to publication. In both such situations, to ensure FAIR compliance, data-generating researchers have an obligation to archive their data, but can use embargos to protect their planned analyses/publications (Mills et al. 2015; Whitlock et al. 2015). After embargos expire, ethical and sensitive data repurposing is vital in such situations to help maintain FAIR compliance; this includes discussion of data repurposing with generating authors and land owners/indigenous communities.

206
207

Box 3: How to archive data from sensitive species

Metadata from threatened or endangered species, as well as species that are commercially valuable or desirable, may need to be withheld or obscured to protect them (Frank et al. 2016). Withholding metadata facilitates species protection by mitigating the risk of poaching and/or habitat degradation caused by increased disturbance arising from species viewing or photography (Lindenmayer and Scheele 2017). Furthermore, for species on private land, this can protect the collaborations necessary for conservation (Lindenmayer and Scheele 2017). However, some argue that metadata must be published albeit with considerations (i.e. masking) or accessible upon request (Lowe et al. 2017).

The data of most concern for such species is location data – coordinates or specific habitat descriptions that would allow public access to these species. Best practices for generalizing sensitive species occurrence or geographic metadata have been developed (see Chapman 2020; Clarke 2016). For example, the Global Biodiversity Information Facility (GBIF) has mechanisms to incorporate location generalization and ways to document that information exists but is withheld for privacy (e.g. metadata field “informationWithheld”). Importantly the release of other data from sensitive species, such as genetic and genomic data, could facilitate conservation but their potential commercial value (e.g. for pharmaceutical or agricultural companies) should not be ignored. While we acknowledge some analyses cannot be performed without fairly accurate location data (e.g. genotype-environment association, macrogenetics), access can normally be arranged when needed. Release of metadata (e.g. number of individuals, age/reproductive status, sex, etc.), should be dependent on the potential risk to the species (i.e. providing age and size of a valuable tree, game species, or a medicinal herb may increase the likelihood harvest, but will be unimportant in other cases; Lowe et al. 2017). Chapman (2022) provides a decision tree to assist with such choices.

Examples of sensitive data archiving are the Greater Sage-grouse (*Centrocercus urophasianus*) and Gunnison Sage-grouse (*C. minimus*), both of which are species of significant conservation concern in North America. Males from both species gather in mating grounds (leks) to attract females and such places are often used for genetic sampling as well as observation by hobbyists. Yet human presence can disturb mating activities. Further, some leks occur on private land, requiring collaboration with landowners. While the genetic data from mating ground samples is publicly available, location information is either generalized (Zimmerman et al. 2019), or an averaged location for a group of mating grounds given (Row et al. 2018, Cross et al. 2018), or only on request (Oyler-McCance et al. 2022). This data masking step prevents disturbance increase and supports conservation.

In another example, the North American butternut (*Juglans cinerea* L.) is a species of conservation concern (IUCN Endangered), but is valuable for timber and traditional medicine (Pike et al. 2021). Sharing location data could lead to harmful timber harvest, thus population coordinates have sometimes been published with a random geographic offset (e.g. such as 10 km; Hoban et al. 2010). This simultaneously protects the species and allows for most genetic and geographic data repurposing, without the need for data access requests. Researchers also took care to remove location names (e.g. the name of a creek, landowner, or nearest town) to prevent location inference (Hoban et al. 2012).

208 *Why should we improve genetic data archiving practices in ecology and evolution?*

209 The FAIR guiding principles are the foundation for good, transparent, and
210 reproducible science. A straightforward demonstration of this is where open data have
211 been used to identify scientific misconduct, some of which impeded evolutionary
212 understanding (e.g. Kozlov 2022). Datasets are often ultimately financed by taxpayers,
213 making public releases an ethical - often even a legal - obligation to ensure the full value
214 of data is obtained. Collectively, data cost many hundreds of millions of dollars to produce,
215 which without archive enrichment, will have to be unnecessarily re-spent to generate the
216 data anew (Crandall et al. 2023). Furthermore, due to the rapid pace of biodiversity loss
217 (e.g. Ceballos et al. 2015), which include genetic diversity decline (Leigh et al. 2019),
218 local extinctions may make regeneration of data impossible, rendering the data
219 irreplaceable and priceless. Existing genetic data also represent an invaluable baseline
220 against which to compare future measurements (i.e. for monitoring of genetic diversity,
221 Jensen and Leigh 2023).

222 Further arguments for data archiving involve benefit sharing and the rights of local
223 communities and local scientists to access data generated from specimens within their
224 country or region. Thus, the CARE principles (Collective benefits, Authority to control,
225 Responsibility, and Ethics; Carroll et al. 2020) could be considered in data generation,
226 archiving, and repurposing of any genetic and genomic data. Emerging benefit-sharing
227 requirements, such as those put forth in the Nagoya Protocol and being developed by the
228 CBD (e.g. Digital Sequence Information or “DSI”; Scholz et al. 2022), are becoming a
229 legal requirement (Marden et al. 2021). This is particularly pertinent to ecology and
230 evolution where researchers often work internationally (e.g. Bhaumik 2023; Miller et al.
231 2023).

232 Researchers themselves can benefit professionally from publicly archiving data.
233 Open datasets can enhance the scholarly recognition of individual research efforts,
234 because data releases with DOI identifiers and data papers can be cited (e.g.
235 [MacroPopGen](#), Lawrence et al. 2019). The increasing popularity of data papers, journals
236 publishing data releases (e.g. Chavan and Penev 2011), and meta-data papers (Raciti et
237 al. 2018) is an early sign that accurate data archiving can benefit individual scientists and
238 the community. Researchers could also benefit from the advancement of their field;
239 synthesis is a powerful tool that has successfully tested pressing big-picture questions in
240 ecology and evolution (Halpern et al. 2020).

241
242 *Best practices for FAIR genetic and genomic data archiving*

243 The most widely used and available genetic data types in molecular ecology and
244 evolution are: 1) barcoding/gene sequences (e.g. mitochondrial cytochrome oxidase, the
245 major histocompatibility complex), 2) microsatellite genotypes, and 3) genomic read data
246 (i.e. unaligned high throughput sequences and SNPs). These come in a constellation of
247 software-specific formats (Lischer and Excoffier 2011; Adamack & Gruber 2014) and, due
248 to lack of standardization, open genetic data repositories contain most of these formats.

249 While there are several tools to convert between file formats (GUI-tools: PGDspider,
250 Lischer and Excoffier 2011; Formatomatic, Manoukis 2007; command line: vcftools, plink,
251 R packages: ‘adegenet’, Jombart et al. 2008), conversions are time-consuming and often
252 need to be customized for each dataset. Understanding and working with each file format
253 also requires specialist knowledge. Consequently, the lack of a standard archived format
254 limits FAIR data reusability. Due to fundamental differences in data types, file sizes and
255 formats used, a single genetic data file format is unrealistic. However, a single file type
256 for each data type is possible and would be a significant advancement.

257 Unlike other genetic data types, gene sequences are somewhat standardised on
258 archives as FASTA files, and we recommend maintaining this approach. However, many
259 gene sequences lack essential metadata to allow their reuse. It is important that authors
260 archiving gene sequences include the minimum metadata needed to interpret their data
261 (Box 4) otherwise, archives are impossible to reuse (e.g. non-georeferenced sequences
262 in GenBank; Gratton et al. 2017).

263 For microsatellite data, based on its persistent popularity and flexibility, we
264 recommend that it is archived in a “STRUCTURE” input file format (Pritchard et al. 2000;
265 Lischer and Excoffier 2011). STRUCTURE input files also have the advantages that they
266 can handle both haploid and diploid genotype data and have an intuitive and simple
267 format that is conducive to editing in R (R Core Team, 2023) or common spreadsheet
268 software without generating formatting errors. Files can be saved as a comma- (.csv) or
269 tab-delimited text file (.txt) with missing alleles clearly coded as NA or “-9”. This file format
270 can house (minimal) metadata (geographical coordinates, populations, sample name,
271 phenotypes though it is essential these match with those used in published papers), as
272 well as marker information (i.e. presence of recessive alleles, inter-marker distances,
273 phase information). We note that there are also variations within the STRUCTURE line
274 format, notably the 1 vs 2 lines *per* individual format; as both are accepted by major
275 conversion tools like PGDSpider (Lischer and Excoffier 2011), either is suitable for
276 archiving. However, we recommend use of the single line format to maximize similarity
277 with VCF files.

278 Genomic data is often mandated to be publicly archived as raw read data on
279 INSDC servers (“INSDC” International Nucleotide Sequence Database Collaboration)
280 (Cochrane et al. 2016), or as aligned BAM files for model organisms (Li et al. 2009). What
281 constitutes “raw” read data can be highly variable, ranging from completely unprocessed
282 files containing several individuals, demultiplexed read files, cleaned files (i.e. with low-
283 quality reads or individuals removed), to error-corrected files (e.g. in ancient DNA)
284 (Mallick et al. 2023). In contrast to microsatellite data, the variable archiving of genomic
285 data means basic error removal, sample delimitation, and genotype calls are not expected
286 to be present in archived data. Ideally, sequencing read data should be archived as
287 demultiplexed read files. A bioinformatic pipeline can also be challenging to reproduce
288 because there are chronic issues surrounding open code archiving that make it hard to

289 know exactly what parameters were applied, tool versions used, or even to have access
290 to custom scripts (further detailed in: Gomes et al. 2022; Jenkins et al. 2023). Even if a
291 pipeline is accessible, version changes of reference genomes or software programs
292 quickly make reproducing a pipeline impossible. Thus, archiving FAIR genomic genotype
293 files in addition to demultiplexed sequencing reads would greatly improve the Open Data
294 compliance and reusability of genomic data.

295 Processed VCF files containing genotype calls (or genotype likelihoods) are
296 standard for genomic analyses and could be archived in parallel with raw read files
297 (though notably this is not possible on INSDC). Though such processed files are not
298 currently widely archived, the practice is becoming more common. Standardization of
299 exactly which variant file is archived also needs consideration. Maximum reusability would
300 be achieved if the archived file represents the least processed SNP or genotype likelihood
301 calls. Specifically, unfiltered genotypes pruned only for basic errors (e.g. technical faults,
302 known contaminated samples), with headers retained to allow for easy assessment of the
303 bioinformatic steps applied. Notably, archiving genomic genotype files could allow non-
304 bioinformatic wildlife managers to repurpose genomic data for analyses and enable
305 researchers without High-Performance Computing access to work with genomic-derived
306 data. Furthermore, this would limit the non-negligible energy, storage and ultimately
307 emissions costs associated with reanalysing genomic data (Grealey et al. 2022).

308

Box 4: Archiving metadata

Metadata describing where, when, how and by whom genotype or sequence data was created are invaluable for making genetic data FAIR. There are currently two genomic metadata standards: the Darwin Core standard for biodiversity data (Wieczorek et al. 2012) and the Minimum Information about any(x) Sequence (MIxS) standard (Field et al. 2008). Both standards have cross-mapped terms that overlap (summarized below). What metadata to archive will vary by sample type, project goals, and what researchers deem important (Figure 2). At a minimum, we suggest that authors provide the required (solid lines) and recommended (dotted lines) categories represented in Figure 2 to ensure valuable context. To report metadata not covered here, we also recommend using Darwin Core or MixS standards terms to guarantee FAIRness. Note: Darwin Core contains terms that can handle geologic context of special samples, such as ancient DNA, where metadata related to sampling events generally does not reflect the conditions of the sampled individual before death. As discussed in Box 3, sensitive data should be withheld to ensure it is protected. This can be denoted with the terms “informationWithheld”, “dataGeneralizations” or “coordinateUncertaintyInMeters”.

The key to FAIR metadata lies in the sample identifier (materialSampleID or samp_name in Darwin Core and MIxS respectively). These identifiers should be unique within the project, and identical between the genetic data and the metadata. They can thus be used to quickly join the two data types. To protect genetic data being separated from metadata and help spot errors during complex uploads to databases, we recommend introducing metadata-enriched unique sample names enriched with core metadata like species name, coordinates and/or sampling year (i.e. Capra.ibex_46.97.8.25 or Capra.ibex.pilatus.2014). Samples that need to be linked across files or studies must be named consistently. We also discourage archiving metadata on

file repositories unless it is archived with the genotype data directly. If unavoidable, we recommend that metadata are stored in a simple table (CSV or text format) with clearly-labelled columns (e.g. using MlxS or Darwin Core terms), and consistent sample identifiers, as described above. To aid automated retrieval, authors should avoid using symbols, special characters, and/or colour-based cell codes.

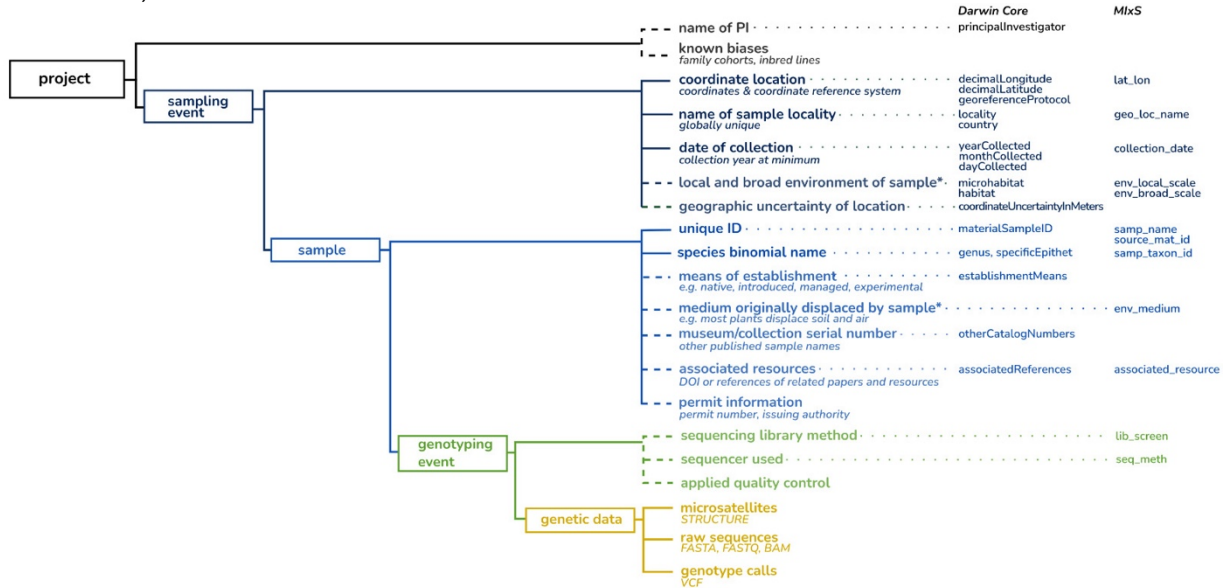


Figure 2: Metadata can be viewed in a hierarchical manner based on how they were created. The required (solid line) and recommended (dashed line) metadata terms that would improve publicly archived genetic and genomic data reuse potential. Terms denoted with * should use controlled vocabulary from the Environment ontology (“ENVO”, Buttigieg et al. 2013). Note: these fields might not be adapted for ancient DNA, for which metadata related to sampling events generally does not reflect the age and the environmental conditions of the sampled individual before death. Geological context names may be needed.

309

310 *Context is key: missing metadata renders most archived data useless*

311 Metadata are a crucial aspect of ensuring genetic data adhere to the FAIR
 312 principles (Wilkinson et al. 2016) because the context they provide vastly increases their
 313 potential reuses. Genetic metadata record the material and processes that were used for
 314 the creation of the genetic data, and can be viewed in a hierarchical manner based on
 315 how they were created: 1) sampling events, which include temporal, spatial, and
 316 methodological metadata (e.g. year, coordinates). Each sampling event can give rise to
 317 many 2) biological samples, each of which have taxonomic, biological, and
 318 methodological metadata (e.g. genus, environmental medium, sample preservative).
 319 Samples may have many 3) tissues, which might have different biological attributes (e.g.
 320 different expression of genes) and may be 4) subject to several different genotyping
 321 protocols, which have methodological metadata (e.g. library protocol, Field et al. 2008,
 322 Deck et al. 2017, Hassenrück et al. 2021, Crandall et al. 2023).

323 It is standard to include taxonomic metadata (species and genus) in archives, but
324 this is often not sufficient for reuse. The minimum required and recommended metadata
325 are shown in Box 4, without which archived data are often functionally useless and could
326 lead to incorrect inferences. Should key metadata be unavailable to authors we suggest
327 they provide as much information as possible to increase the chance that data will be
328 found and re-used profitably. Currently, publicly accessible metadata are often housed in
329 non-standardized file formats, archived with non-standard terms or present only in
330 published manuscripts and supplementary files. These can take a significant amount of
331 time to access, reformat, or convert for reuse (Crandall et al. 2023). As a result, great
332 efforts have been made to retrospectively georeference existing genetic data to improve
333 their reusability (e.g. Miraldo et al. 2016; Crandall et al. 2023), but this often relies on
334 inference (e.g. inferring coordinates from place names) leaving significant room for error
335 or lost resolution. Thus, we would encourage authors to enrich the public metadata of
336 their data archives and ensure that the metadata included in publications is also present
337 in the data archive.

338

339 *Special considerations when working with important species or indigenous communities*

340 CARE principles (described above, Carroll et al. 2020) are important
341 considerations for data archiving when data are from a culturally important species or
342 indigenous community territory. What steps researchers need to follow will be situation-
343 specific and should be developed in conjunction with interested parties. To ensure these
344 requirements are upheld, data-generating authors should include specific benefit-sharing
345 statements in the publications themselves and in the data archives. This should contain
346 contextual metadata within the statement, for instance provenance information,
347 community names, and also clearly outline community-granted permissions for reuse and
348 circulation. Links to biocultural notices created by researchers and endorsement labels
349 issued by indigenous peoples should be stored within each sample's metadata. When
350 reusing such data, researchers should also follow the ethical repurposing guidelines
351 above and discuss planned analyses with interested parties. Attribution and citation of the
352 original datasets in resulting manuscripts, and dissemination of results to the communities
353 involved could help ensure that cultural authority and sovereignty over such data are
354 recognized (e.g. McCartney et al. 2023), and that data are not reused inappropriately.

355

356 *Which data repository should researchers use?*

357 Centralized infrastructure already exists for genetic sequence data storage
358 (INSDC) that makes finding and accessing data straightforward. Such databases are now
359 impossible to replace and should continue to be used. However, these databases are
360 designed to store only sequence data (e.g. raw reads, gene sequences, whole genomes
361 or transcriptomes) and their metadata (e.g. BioSamples). Genotype data are not stored

362 in sequence databases and there is limited established guidance or storage conventions
363 for them.

364 Currently, genotype data are often stored in multi-purpose Open Data repositories
365 (DRYAD, Zenodo, and increasingly FigShare). However, genetic data can quickly get lost
366 among many of the other data types archived in multi-purpose repositories, where
367 researchers can find everything from non-peer-reviewed ecological survey data (e.g.
368 Shaikh 2014) to violent crime statistics (e.g. Gonzales 2010). Local rules and repository
369 cost barriers (i.e. archiving fees) make it currently impossible to advocate for a single
370 existing database for all genotype data. We note that there are cross-database
371 interoperable search platforms that enable users to search multiple data repositories at
372 once (for example [DataONE](#)). However, this functionality is not guaranteed and database
373 linking has failed in the past (Chloé Schmidt *pers. comm.*). There is a need for a free inter-
374 government supported public database specifically for archiving genotype data
375 (microsatellites and SNPs).

376 In lieu of a dedicated repository, researchers can take a few key steps to ensure
377 the findability of genotype data. At a minimum, researchers should ensure the database
378 links their data to their publication. The archiving researcher should include the key
379 metadata fields in Box 4 in the database description and/or the title to aid findability. We
380 also encourage including marker type as a keyword (e.g. “microsatellite” or “SNP”) and
381 key geographical descriptors (e.g. “Kruger National Park”) to make searching for data
382 more straightforward. Researchers could also consider linking genotypic data to
383 “metadatabases” that keep sample-level metadata in structured, searchable format,
384 enabling users to track samples from the point of collection. These tools can also facilitate
385 upload to the SRA, thereby making the data much more FAIR through structured queries
386 of the metadatabases or INSDC (INSDC BioProjects and BioSamples, Barrett et al. 2021;
387 Genomic Observatories MetaDatabase (GEOME), Deck et al. 2017; Collaborative Open
388 Plant Omics (COPO), Shaw et al. 2020).

389 There are also important database features that researchers should seek out when
390 archiving their genotype data. Researchers should look for a free (or affordable) FAIR
391 compliant Trustworthy Digital Repository (Wilkinson et al. 2016) because they capture
392 key accessibility criteria by definition (compliant repositories are listed on the Registry of
393 Research Data Repositories; Pampel et al. 2013). Institution-specific databases (i.e.
394 university or research institute level) are less desirable because they rarely produce DOIs
395 for data citation, are not easily accessible (e.g. require a password), and might suddenly
396 become depreciated or unsupported.

397 The researcher community could also request new features within existing
398 databases that facilitate genotype data accessibility. The Web of Science’s “associated
399 data” link is a notable advance (Web of Science, 2018), as is the soon mandatory
400 metadata (sample location, collection date) for BioSample packages (DDBJ 2023). A
401 desirable additional feature, which would benefit multiple scientific disciplines, is an

402 automatic identifier for retracted data and/or data associated with retracted articles. As of
403 writing, datasets found to be fraudulent from retracted papers remain on servers with no
404 clear notification that the publications was retracted (e.g. Dryad, Costa-Pereira and Pruitt
405 2019). Similarly data found to be erroneous remains on sequence databases (e.g.
406 Genbank, compiled by van den Burg and Vieites 2022) posing a huge challenge to
407 researchers that automate data collection for repurposing. Researchers could benefit
408 from an easy and anonymous way to notify data editors or database curators if they
409 encounter incomplete non-FAIR compliant archives, who should then be responsible for
410 formally rectifying in a harmonious manner.

411

412 *The role of funding bodies and universities in increasing data archiving*

413 University libraries, funding bodies, scientific journals, and data repositories could
414 also take on a greater responsibility to ensure FAIR data archiving. Funding bodies can
415 facilitate data archiving by continuing to mandate Open Data (e.g. National Institute of
416 Health, 2020; European Commission 2016), which have undoubtedly driven an increase
417 in accessibility. However, funding bodies need to support researchers by reviewing or
418 assisting in data management plans (e.g. UKRI 2013), reviewing archived data
419 accessibility and integrity, paying data archiving fees, and offering data archiving
420 educational resources or training. We would specifically encourage funding bodies to
421 ensure future projects budget time for data archiving in their project plan and reward
422 researchers with an established history of Open Data in any field through positively
423 valuing data products or dataset citations.

424 Universities and other science organizations could play a greater role in Open Data
425 through hiring data “stewards” or “librarians” familiar with ecological and evolutionary
426 genetic data. The tasks of data stewards include supporting researchers writing data
427 management plans, identifying suitable databases for archiving, and ensuring dataset
428 longevity through file format conversion (Peng et al. 2016). Notably, data stewards may
429 not be able to archive data directly due to lack of resources and the specialist knowledge
430 required.

431 Science organizations and funding bodies can further foster Open Data by offering
432 data management education (e.g. short courses and training) for both students and
433 career scientists of all disciplines (e.g. Toelch and Ostwald 2018). Few ecology, evolution,
434 or life sciences researchers have received any formal introduction into the importance of
435 Open Data nor in correct data archive practices. Scientific departments could also reward
436 researchers who archive their data or whose data have been reused. Datasets and their
437 reuse (number of views/downloads) can be credited as scientific products on a
438 researcher’s *Curriculum Vitae or Research Record* and used during hiring, promotion and
439 tenure decisions.

440

441

442 *Scientific journal and reviewer roles to ensure Open Data compliance*

443 Scientific journals can facilitate Open Data by ensuring data are archived on a
444 FAIR compliant Trustworthy Digital Repository before final acceptance of an article
445 (Jenkins et al. 2023, Wilkinson et al. 2016). Journals could also check that data links are
446 activated and that authors have not added reuse clauses or unjustifiable embargos that
447 impede the repurposing of Open Data (see Thrall et al. 2023).

448 Data are often made *accessible upon publication* with links activated when papers
449 are *in press*. However, this makes it impossible for journals to assess data presence and
450 support archiving. A shift to data accessible *upon submission* is needed, particularly at
451 the resubmission stage when papers are close to acceptance (Thrall et al. 2023).
452 Alternatively, journals could make the final acceptance dependent on data accessibility
453 and FAIR data compliance. Scientists concerned with data being accessed prior to
454 publication should note that several databases offer non-public shareable links that can
455 prevent reuse before publication acceptance.

456 Journals also have a role to play in improving essential metadata accessibility,
457 which can be easily implemented by having a table of standardized terms that authors
458 must fill out and/or ensure sample names are meaningful (see Box 4). While sample
459 information can be included in supplementary material, versioning issues may arise if
460 metadata are in multiple places. Thus, data editors for journals could ensure all data
461 derived from the same sample are linked (i.e. same name) and key differences (e.g.
462 resequencing with a new technology) highlighted. Importantly, as stated above, we
463 recommend that journals also inform data repositories if papers have been retracted so
464 that the dataset can be demarcated as such (though not removed).

465 While peer reviewers should not be tasked with ensuring data archiving, they are
466 in a key position to help advance Open Data through a small number of tasks. Initially
467 with novel genetic datasets, authors often need to check the integrity of the data (e.g.
468 checking for contamination) and reviewers could consider asking for evidence of this (e.g.
469 mapping statistics or quality, van den Burg and Vieites 2022). Reviewers could also check
470 archived data files to ensure they are not corrupt, contain the correct number of markers
471 or loci, and contain basic metadata. For repurposed data papers, reviewers can ensure
472 that datasets are cited correctly (see Cousjin et al. 2018). Reviewers could also examine
473 author contribution statements and report to the editor cases where the data-generating
474 authors have not received equal accreditation (Nature 2022).

475

476 *Rectifying past mistakes - enriching archived data*

477 An important step many of us can take to advance Open Data, is to improve
478 metadata archives or archiving inaccessible genetic data. For example, GEOME has
479 successfully run remote datathons to enrich genetic metadata archives (Crandall et al.
480 2023). We encourage authors to similarly enrich metadata in old data archives, to archive
481 inaccessible genetic datasets, and/or expand on what was archived (e.g. archive all

482 mtDNA haplotypes rather than only unique haplotypes). Although old genetic marker
483 types may be regarded as being of low value to some authors, when combined with other
484 datasets (as in macrogenetics, Leigh et al., 2021), they can be highly informative and can
485 even provide baselines for important biodiversity protection assessments (e.g. Figuerola-
486 Ferrando et al. 2023; Schmidt et al. 2022).

487 Data enrichment initiatives could be run at the Department (similar to MoveBank,
488 Max Planck Institute of Animal Behavior 2023), University library, or country level (e.g.
489 [GenDiB](#) and [CIEE Living Data](#)), with support from students or technicians to upload data.
490 Such retroactive data archives could even be collaboratively published as a “resource”
491 paper (similar to those in Box 1). These datasets could then support mandated CBD
492 reporting (Hoban et al. 2020), inform local conservation (e.g. Beninde et al. 2022), and
493 identify interesting scientific opportunities (e.g. resampling populations after extreme
494 events, Jensen & Leigh 2022).

495

496 **Perspectives**

497

Box 5: Five take-home messages to improve genetic data archives

- 1) Archiving genetic and genomic data in standardized file formats will facilitate reuse (i.e. microsatellites in STRUCTURE; sequences or barcodes in FASTA; SNPs in VCF; Genotype likelihoods in VCF; raw genomic data as demultiplexed FASTQ files).
- 2) Publicly archive key metadata with the genetic or genomic data, and use enriched sample names (including a *study identifier*, *species name*, *coordinates*, and *sampling year*). Include additional contextual metadata when needed to interpret data correctly.
- 3) Carefully archive data from sensitive species and those affected by the CARE principles to ensure that metadata do not endanger the species, their habitats, or landowner relationships.
- 4) There is no centralized database for genotype data but this data has great value. Use keywords on FAIR compliant databases (e.g. Dryad) to improve data accessibility.
- 5) To help more colleagues follow the FAIR principles, request both formalized data management support and a higher value of open data from research institutes and journals.

498

499 We close on the note that genetic diversity is the most fundamental component of
500 biodiversity (Hoban et al., 2023). Despite underlying all levels of biodiversity, the
501 biogeographic patterns in intra-specific genetic diversity are largely understudied and
502 poorly protected (Leigh et al. 2019; Figuerola-Ferrando et al. 2023). Consequently,
503 perhaps the most exciting potential of improved archiving is that we can reach research
504 scales beyond what any single research group could achieve. With data spanning such
505 vast spatial and taxonomic scales, open genetic data is pivotal to whole new areas of
506 research and conservation that would have previously been unimaginable due to logistic,
507 cost, or expertise issues. Similar to data collected as part of long-term ecological

508 monitoring programs, publicly archived genetic data is likely to only become more
509 valuable and versatile as it accumulates. The potential of public genetic data is pertinent
510 and timely due to the recently signed United Nations Kunming-Montreal Global
511 Biodiversity Framework which includes commitments by 192 countries to conserve and
512 restore genetic diversity within and among species' populations, and to monitor and report
513 on progress towards that commitment within the decade (Hoban et al. 2023b). Better
514 archiving practices are likely to be central to meet these targets. Although new archiving
515 infrastructure would undoubtedly enhance our ability to do this research, we feel the steps
516 we propose (see Box 5) are achievable with the currently available resources and in the
517 rapid timescale needed.

518
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521

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535

536 **Data accessibility statement:**

537

538 The data underpinning Box 1 is available for reviewers and will be accessible upon publication.

539

540 **Conflicts of interest:**

541

542 The authors declare no conflicts of interest.

543

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