

1 **Relative abundance distributions reveal constraints on tetrapod community diversity**

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26 **Abstract**

27 Previous efforts to understand the pace of species diversification through time have disagreed
28 over whether species diversity is unbounded or limited by a carrying capacity. Tetrapods
29 (limbed vertebrates) have frequently been employed as a case-study in examinations of this
30 issue, with studies of diversity being used to support both sides. Here, we examine the shape
31 of relative abundance distributions (RADs) within terrestrial tetrapod communities between
32 the Carboniferous and the Eocene to assess their ecological structure of tetrapod
33 communities, assessing whether the RADs are consistent with “simple” models characteristic
34 of finite resources distributed among species, or more “complex” models where more
35 ecological processes permit greater functional diversity. Our results indicate that terrestrial
36 tetrapod communities have remained consistent in the shape of their RADs since the
37 establishment of ecological limits in the late Carboniferous, albeit with evidence of an
38 increase in global tetrapod diversity at the Cretaceous/Paleogene boundary. Throughout much
39 of the interval studied, the RADs of tetrapod communities best fit “simple” models indicative
40 of finite resources. This contrasts with findings for the marine realm, where a substantial
41 increase in community complexity is found following the end-Permian mass extinction.
42 These results indicate that terrestrial and marine ecosystems are governed by different limits
43 on their ecological complexity, with tetrapod communities being governed by finite
44 ecological limits.

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51 **Introduction**

52 A long-standing debate exists over whether species diversification is bounded; that is,
53 whether the existence of a finite amount of ecological resources within a given region,
54 habitat, or ecosystem limits the amount of biological diversity which can be supported within
55 it (e.g. Etienne et al. 2012; Cornell 2013; Rabosky and Hurlbert 2015; Marshall and Quental
56 2016; Furness et al. 2021a). This concept is the basis of many fundamental theoretical models
57 in ecology, such as the island biogeography model (MacArthur and Wilson 1967). However,
58 if species diversification is unbounded, then there is potential for taxonomic richness to grow
59 exponentially, explored in the “unified neutral theory of biodiversity” (Hubbell 2001) and
60 sometimes referred to as the “expansionist” paradigm (Harmon and Harrison 2015). This may
61 be the case if carrying capacities are absent or yet to be reached, when disturbances remove
62 individuals or species, or when new ecospace is explored (Furness et al. 2021b). This latter
63 case may include the evolution of new ecological adaptations which enable movement into
64 previously uninhabited environments or the further subdivision of existing resources, or the
65 introduction of new species providing opportunities for novel biotic interactions.

66 Tetrapoda (limbed vertebrates, including mammals, birds, amphibians and reptiles)
67 represents a morphologically and ecologically diverse clade, including animals which exhibit
68 a wide array of diets, locomotory modes, and body sizes. Today this group contains more
69 than 30,000 species, descended from a common ancestor that appeared in the late Devonian,
70 more than 350 million years ago (Benton 1995; Clack 2006, 2012; Sahney et al. 2010a). An
71 apparent exponential increase in the number of tetrapod species between the late Devonian
72 and the present (Benton 1995; Benton and Emerson 2007) has previously been used to
73 support an “expansionist” model of diversification in the clade, occasionally interrupted by
74 extreme events such as mass extinctions (Benton 1995; Benton and Emerson 2007; Sahney et
75 al. 2010a; Harmon and Harrison 2015). However, recent analyses of the fossil record have

76 questioned this interpretation, arguing that these seemingly unbounded diversity estimates
77 have been heavily affected by various sampling biases, in particular uneven sampling across
78 space, and therefore cannot be taken at face value (Benson et al. 2016; Close et al. 2017;
79 Close et al. 2019). The rate at which tetrapod diversity has accumulated over deep time, and
80 the timing of changes in this rate, therefore remain controversial.

81 The relative abundance distribution (RAD) of the species found within an ecological
82 community can be highly informative about its structural complexity, reflecting
83 characteristics such as richness and dominance (Wagner et al. 2006; McGill et al. 2007).
84 Specific types of RAD can be related to theoretical models in ecology and used to make
85 inferences about community assembly and possible bounds on diversity. Models such as the
86 broken-stick (produced when the resources in a community are divided into a set number of
87 non-overlapping niches, divided at random between taxa (MacArthur 1957)) and the niche
88 pre-emption model (where adding a new species to a community pre-empts a portion of
89 available resources without adding any (Root 1967)) are expected to be the best fit for a RAD
90 if a community's diversity is constrained by available resources. On the other hand, models
91 such as Zipf and Zipf-Mandelbrot (where the addition of species depends on both previous
92 environmental conditions and species presences; pioneer species have low costs and later
93 species have high costs (Frontier 1985)) and Lognormal (where resources are appointed
94 sequentially to species as they are added (Sugihara 1980)) are to be expected to have the best
95 fit if diversity is unbounded and new species create resources (Wagner et al. 2006) (Fig. 1).

96 Wagner et al. (2006) made the distinction between these two sets of RAD models,
97 describing models associated with unbounded diversity as indicative of more complex
98 ecosystems, where more ecological processes are required and greater functional diversity is
99 permitted. The terminology of "simple" versus "complex" RADs is used hereafter following
100 this division. Wagner et al. (2006) showed that RADs of marine ecosystems exhibited a shift

101 from those better fitted by “simple” models in the Paleozoic to those better fitted by more
102 “complex” models following the end-Permian mass extinction. An explanation provided for
103 this shift was the diversification of organisms in the Mesozoic which were better suited to
104 increasing ecospace; Paleozoic marine faunas had been dominated by sessile filter feeders
105 (Bambach et al. 2002), but during the Mesozoic, taxa which increased ecological opportunity
106 within an ecosystem, such as more mobile taxa and ecosystems engineers like reef-builders,
107 became more diverse.

108 These results imply that, while marine diversity was bounded during the Paleozoic,
109 these bounds were released following the end-Permian extinction, and subsequently
110 ecosystems could follow an “expansionist” model of diversification. Conversely, however,
111 unbounded diversification in terrestrial vertebrates (tetrapods) was rejected by recent studies
112 investigating local species richness and spatial biases affecting global analysis of the fossil
113 record (Close et al. 2017; Close et al. 2019). Instead, local tetrapod diversity was found to be
114 constrained on geological timescales (Close et al. 2019).

115 Here, we investigate the evolution of tetrapod RADs through deep time, from the
116 Carboniferous until the Eocene, to test whether ecosystem structure in terrestrial vertebrates
117 supports or rejects the expansionist paradigm. We use likelihood model fitting approaches to
118 test the fit of simple and complex ecological models to tetrapod communities, using data
119 from the Paleobiology Database. We also use Hill numbers (Simpson’s diversity) to
120 summarise the diversity of the communities, to incorporate the relative abundances of taxa
121 and account for sampling biases. Finally, we carry out simulation analyses to test how the
122 model fitting approaches perform under incomplete sampling, which is prevalent in the
123 tetrapod fossil record.

124

125 **Methods**

126 *Data*

127 A dataset of tetrapod occurrences from the Carboniferous (including the oldest crown-
128 group fossils) until the end of the Eocene was downloaded from the Paleobiology Database
129 (PBDB; <https://paleobiodb.org/>) in November 2020 (Supplementary Table 1). These data
130 consist of lists of taxa present within “collections”: spatially and temporally restricted sets of
131 occurrences representing taxa which are likely to have interacted with one another in life.
132 Marine collections were discarded, including those that contained occurrences of terrestrial
133 taxa, since terrestrial tetrapods in marine sediments have almost certainly been transported
134 from their original habitat, and so are not representative of a community (Supplementary
135 Table 2). Efforts were made to make abundance data more complete: where abundance
136 counts were not included within collection data, the “occurrence comments” were checked
137 for information regarding taxon abundance. These data were manually added to the
138 abundance records employing the following rules: a) if the notes mentioned the number of
139 specimens of a particular taxon found, this was the number used; b) if museum catalogue
140 numbers of the specimens were listed, these were counted; and c) if a list of museum
141 catalogue numbers of the specimens was included but acknowledged as not being complete
142 e.g. with a statement such as “and other specimens”, the abundance count was left empty.
143 Collections without abundance data or palaeo-coordinates (as outputted by the GPlates
144 palaeorotation models implemented within the PBDB (Wright et al. 2013)) were then
145 discarded.

146 Analyses were conducted at the species level, but attempts were made to include
147 occurrences that were not identified to that taxonomic resolution. If an occurrence was
148 assigned to a higher taxon, and no occurrences of species within that higher taxon were
149 known from its bioregion, the higher taxon was considered a unique species within that
150 collection and retained within the dataset. To ensure the included collections were

151 sufficiently well-sampled to provide a robust set of results, collections were rejected if they
152 contained fewer than three taxa, or if they had a Good's U of less than 0.4. Good's U is a
153 measure of coverage, indicating the percentage of individuals in the original population
154 belonging to the species sampled (Good 1953; Chao and Jost 2012). Good's U was calculated
155 in R v3.6.1 (R Core Team 2020) using custom code (Supplementary Data 1).

156 The remaining 863 tetrapod-bearing collections, containing a total of 4048 species,
157 were assigned to time bins representing geological stages (as defined by the International
158 Commission on Stratigraphy [v. 2020/03]). Within each time bin, collections were grouped
159 into "bioregions" (contiguous areas of land with distinct endemic faunas) in R using the
160 method of Brocklehurst & Fröbisch (2018), with an updated version of the code provided in
161 that paper (Supplementary Data 1). This method groups collections based on two cluster
162 analyses, the first based on geographic distances and the second based on taxonomic
163 distances. Great circle distances between palaeo-coordinates were used as a metric of
164 geographic distance, calculated using the `rdist.earth()` function in the R package `fields`
165 (Nychka et al. 2017). Taxonomic distances were represented by the modified Forbes Metric
166 (Alroy 2015). The original Forbes index represents the expected number of species found in
167 two random samples divided into the observed number, with the modified version amended
168 to allow for uncertainty in sample size. Taxonomic distances were transformed using the
169 relative abundance corrected procedure (Brocklehurst et al. 2018). These metrics were chosen
170 because they perform well when taxon samples are incomplete, and sampling is unequal
171 between pairs of localities (Brocklehurst et al. 2018). To ensure that bioregions represented
172 local communities, only collections within 100km of each other were grouped (Brocklehurst
173 and Fröbisch 2018). The number of bioregions in each stage varied from three (Asselian
174 [early Permian], Sinemurian [Early Jurassic], and Hauterivian [Early Cretaceous]) to 301
175 (Ypresian [Eocene]), with a median of 11.

176

177 *Analysis of Relative Abundance Distribution*

178 Within each bioregion, five models were fit to the observed relative abundance
179 distribution (RAD) using likelihood model fitting approaches: Null (or Broken-Stick), Pre-
180 emptation (or log series), Lognormal, Zipf, and Mandelbrot. The model fitting was carried out
181 using the function `radfit()` in the R package `vegan` (Oksanen et al. 2019). The strength of fit of
182 each model to the RAD was assessed using Akaike weights, calculated from the Akaike
183 information criterion (AICc; Hurvich and Tsai 1995). The summed Akaike weights of the
184 Lognormal, Zipf and Mandelbrot models were used as a proxy for the “complexity” of an
185 ecosystem (Wagner et al. 2006). The median and standard error of the Akaike weights for all
186 bioregions within a time bin were used to examine variation in ecosystem complexity through
187 time (Supplementary Data 1).

188

189 *Simpson Diversity*

190 Inverse Simpson Concentration (or Simpson’s Diversity, as it is referred to throughout
191 this paper) is a measure of diversity belonging to the family of indices known as Hill numbers
192 (Hill 1973; Chao et al. 2014a, 2014b). These indices aim to summarise the diversity of an
193 assemblage in a way that incorporates the relative abundances of species. Species richness as
194 a metric, whether incorporating sampling correction or not, considers common and rare
195 species equally, and so does not account for the fact that rare species make smaller
196 contributions to ecosystem function (Pielou 1975; Chao et al. 2014a). Hill numbers
197 downweight rare species by an order q , producing greater diversity estimates for more even
198 abundance distributions (Chao et al. 2014a). A Hill number of order $q=0$ represents raw
199 species richness. If $q=1$, species are weighted in proportion to their relative abundance
200 (Shannon entropy), and if $q=2$ (Simpson’s diversity), more abundant species are weighted

201 higher than rare species. Simpson's diversity therefore represents the effective number of
202 dominant species in an assemblage, taking greater account of the species that make the
203 greatest contributions to an ecosystem, and therefore of more relevance to ecological
204 structure of a community.

205 The Simpson's diversity of each bioregion was calculated using the R package
206 iNEXT (Hsieh et al. 2016). To account for heterogeneous sampling, the assemblages were
207 subsampled to a coverage of 0.4. As above, the median and standard error of all bioregions
208 within a time bin were used to examine variation through time (Supplementary Data 1).

209

210 *Quantification of Sampling*

211 Sampling heterogeneity through time and between bioregions was quantified using
212 three approaches. The first is Good's U, as described above, quantifying the sampling
213 coverage within each bioregion. The median U value and standard error of all bioregions
214 within a time bin were used to compare variation in taxonomic sampling completeness
215 through time within each bioregion. The second is the number of tetrapod-bearing collections
216 sampled within each time bin, as a proxy for global sampling effort (Alroy et al. 2001, 2008;
217 Crampton et al. 2003; Butler et al. 2011). Finally, the minimum spanning tree length between
218 all collections within each time bin provides a quantification of the spatial coverage of
219 sampling, indicating to what extent the observed signal is likely to represent local variation or
220 a regional signal (Close et al. 2017).

221

222 *Simulations*

223 Since our approach does not incorporate an explicit correction for incomplete
224 sampling, a set of simulations were designed to investigate our ability to assess model fit to
225 abundance distributions influenced by sampling bias. Assemblages were randomly generated

226 using abundances drawn from either a broken-stick (simple) or lognormal (complex)
227 distribution using the R package *mobsim* (May et al. 2018). The assemblages all contained
228 200 species and at least 5000 individuals; these values were chosen to produce mean
229 abundances of species consistent with values found in modern ecosystems, whilst also
230 allowing simulations to run in a reasonable amount of time (Matthews & Whitakker 2014;
231 Yin & He 2014). Subsamples were created by drawing Individuals from the assemblages
232 until a specified level of coverage was reached (measured, as described above, by Good's U),
233 to imitate the incomplete sampling present in the known fossil record. Levels of coverage
234 between 0.4 and 0.9 at intervals of 0.1 were tested. The RAD models were fit to the complete
235 and subsampled datasets as described above, with summed Akaike weights being used to
236 determine whether models indicative of complex or simple ecosystems better fit the
237 incomplete datasets.

238

239 **Results**

240 The relative fit of the different models to the relative abundance distributions (RADs)
241 of tetrapods demonstrates two intervals of long-term stability in RAD shape (Fig. 2a): from
242 the early Permian (Artinskian) until the late Triassic (Norian), and from the middle of the
243 Cretaceous (Aptian) until the end of the study interval (end of the Eocene). During both of
244 these intervals, RADs for the majority of tetrapod faunas were best fitted by the “simple”
245 models (Broken-Stick or Pre-emption). In both intervals, the median of summed Akaike
246 weights of the complex models remained between 0.15 and 0.35. Variation across bioregions
247 in these stages mostly remained within 95% confidence intervals, even across the two largest
248 mass extinctions sampled (the end-Permian and end-Cretaceous extinction events). The
249 Permian–Late Triassic is also an interval of relative stability in Simpson's diversity (Fig. 2b).
250 There is more variability in Simpson's diversity during the mid Cretaceous–Eocene interval,

251 including an increase across the Cretaceous/Paleogene boundary that persisted until at least
252 the end of the study interval.

253 Between these two intervals of stability, during the Jurassic and earliest Cretaceous,
254 poorer sampling means that very few tetrapod-bearing collections met the limits we imposed.
255 Although there appears to be increased support for more complex ecosystems during this
256 interval, the error margins surrounding the median Akaike weights estimates are too broad to
257 make robust assertions. A similar breadth of error margins hampers interpretation of
258 Simpson's index during this interval.

259 A more significant increase in support of complex ecosystems is observed in the latest
260 Carboniferous (Kasimovian). This coincides with a significant decrease in median Simpson's
261 index, from its highest level throughout the study interval (3.8), to a level that is maintained
262 throughout the Permian and Triassic, between 1 and 1.8.

263 Simulations were used to test how the model-fitting analyses perform under
264 incomplete sampling, as is present in the fossil record. Incompletely sampled RADs
265 simulated using simple models were found to more frequently be better fit by complex RAD
266 models, even at relatively high levels of coverage; the median summed Akaike weights of
267 complex models only fell lower than 0.5 when coverage was greater than 0.9 (Fig. 4).

268 However, incomplete sampling of the simulated RADs did not hinder the ability of model-
269 fitting analyses to infer them as being complex; even at low levels of coverage, the median
270 summed Akaike weights of complex models did not fall below 0.8. This simulation therefore
271 suggests that overall, our method tends towards identifying incompletely sampled RADs as
272 complex in shape, regardless of whether their true RAD is simple or complex.

273

274 **Discussion**

275 An apparently continuous increase in the number of tetrapod species through deep
276 time, and an increase in the number of ecological “categories” they occupy, has previously
277 been used to support an “expansionist” model of diversification in the clade (Benton 1995;
278 Benton and Emerson 2007; Kalmar and Currie 2010; Sahney et al. 2010a). In this case, we
279 expected to see more “complex” relative abundance distributions (RADs) within tetrapod
280 communities over time, with a higher proportion having shapes more consistent with Zipf and
281 lognormal distributions towards the present (Frontier 1985; Laland et al. 1999). However,
282 consistently since the Carboniferous, the RADs of terrestrial tetrapods are instead better fit by
283 the Broken-Stick and Niche Pre-emption models. Such models are more indicative of simpler
284 ecosystems, where species divide a limited number of resources between individuals (Root
285 1967). This finding supports an equilibrium model of diversification, or carrying capacity,
286 where tetrapod diversity is subjected to ecological limits which were established during the
287 late Carboniferous (Fig. 2).

288 The late Carboniferous was an interval of substantial change in terrestrial ecosystems.
289 A transition to a warmer, drier climate, that would continue through much of the Permian,
290 began at this time: the substantial polar icecaps present since the Devonian began to shrink,
291 the equatorial rainforests collapsed to be replaced by more open seasonal woodland, and the
292 arid zones surrounding them expanded (Rees et al. 2002; Tabor and Poulsen 2008; Cleal et al.
293 2012). This transition coincided with substantial evolutionary changes in terrestrial tetrapods,
294 including the radiation of amniotes, extinctions among amphibians, the origin of herbivory,
295 and the evolution of large body size (Carroll 1964; Sues & Reisz 1998; Sahney et al. 2010b;
296 Brocklehurst & Brink 2017; Brocklehurst & Fröbisch 2018; Dunne et al. 2018). The analyses
297 presented here indicate a substantial restructuring of ecosystems at this time. We observe a
298 shift during the Kasimovian (latest Carboniferous) towards ecosystems with lower entropy,
299 which is subsequently maintained throughout the Permian and Triassic. There is also a brief

300 shift in relative abundance distributions at this time, towards shapes that indicate greater
301 ecosystem complexity, before the return of those supporting simpler ecosystem processes,
302 which also persist through the Permian and Triassic.

303 These patterns in the Carboniferous should be interpreted with caution due to the
304 relative incompleteness of the fossil record at this time. Only the most well-sampled
305 collections were analysed, but the fitting of RAD models does not make any further
306 correction for incomplete sampling. The median coverage of tetrapods within bioregions, as
307 measured by Good's U, is lower during the Carboniferous than at any other time in the study
308 interval (Fig. 3a). Simulations show that incomplete sampling can, even under relatively good
309 coverage, make 'simple' Broken-Stick abundance distributions appear closer to more
310 complex models (Fig. 4a). Under the broken-stick model, there are large numbers of rare taxa
311 which are difficult to sample. When sampling is incomplete, the tail of the RAD is removed,
312 and the more easily-sampled common taxa receive greater emphasis (Fig. 4a). The RADs of
313 the Garnett Quarry and Mazon Creek localities, Kasimovian communities which best fit the
314 Zipf model, show a similar appearance to simulated RADs produced when a Broken-Stick
315 distribution is incompletely sampled (Fig. 5). One might argue that, were the support for
316 more complex RADs during the Carboniferous driven by poor coverage, the same would be
317 seen in other Carboniferous intervals rather than just the Kasimovian. Moreover, the
318 calculation of Simpson's index does explicitly correct for incomplete sampling via coverage-
319 based subsampling, and indicates a significant decrease at this time, supporting a general shift
320 in ecosystem structure. However, it should also be noted that the Carboniferous is an interval
321 where the spatial extent of sampling (minimum spanning tree length between occurrences)
322 and global sampling effort (number of occurrences) is also poor (Fig. 4b). Almost all
323 tetrapod-bearing formations analysed from this interval are from palaeo-equatorial latitudes

324 in North America and Europe (Kemp 2006; Brocklehurst et al. 2013; Benson & Upchurch
325 2013), and it is possible that the results represent local variation rather than a global signal.

326 If we interpret the results as a true biological signal, it appears that the late
327 Carboniferous was an interval of reorganisation of tetrapod communities, producing a
328 structure which persisted until the Cenozoic. The changes in terrestrial ecosystems at this
329 time include the radiation of amniotes and the evolution of tetrapod herbivory. During the
330 Carboniferous, a greater proportion of non-amniote tetrapods (amphibians) were spending the
331 bulk of their adult life on land relative to today, even though their reproduction and larval
332 stages were still tied to the water (Schoch 2009, 2014; Bazzana et al. 2020). This provided a
333 means of shifting primary productivity between aquatic and terrestrial ecosystems, allowing a
334 greater variety of organisms to occupy terrestrial ecosystems and potentially allowing
335 amphibians to develop biotic interactions in ecosystems containing both them and the fully
336 terrestrial amniotes, but no tetrapod herbivores to serve as primary consumers. The latter
337 stages of the Carboniferous, where there is support for increased complexity of ecosystems,
338 represents an interval before the diversification of herbivores, but when amniotes were
339 beginning to increase in diversity and abundance relative to amphibians. The greater radiation
340 of fully terrestrial amniotes at the end of the Carboniferous (Benson & Upchurch 2013;
341 Dunne et al. 2018), and the diversification of amniote herbivores (Pearson et al. 2013;
342 Brocklehurst et al. 2020), lead to terrestrial tetrapod ecosystems being more completely
343 separated from aquatic ecosystems, particularly in uplands where amphibians were rare
344 (Eberth et al. 2000; Martens et al. 2005; MacDougall et al. 2017). This radiation and
345 diversification also led to the establishment of the ecological limits that were maintained
346 through the Permian and Triassic.

347 A second restructuring of tetrapod ecosystems appears to have occurred across the
348 Cretaceous/Paleogene boundary. While there is no increase in the complexity of ecosystems

349 at this time, Simpson's diversity is significantly higher in the early Paleogene than through
350 the Permian, Triassic and much of the Cretaceous. This fits with recent suggestions of a shift
351 in absolute species richness at this time (Close et al. 2017; 2019). This shift in equilibrium
352 may be related to ecological upheaval following the end-Cretaceous mass extinction,
353 particularly the extinction of non-avian dinosaurs and subsequent radiation of mammals.
354 Alternatively, it may be related to the easier diagnosability of mammalian fossils, particularly
355 their teeth, relative to other tetrapods (Gingerich 1974; Szalay et al. 1987; Rowe 1988),
356 which could be inflating observed mammalian diversity within communities.

357 The long-term stability and simplicity of terrestrial tetrapod communities contrasts
358 with results seen in the marine realm, where a major shift towards more complex ecosystems
359 occurred following the end-Permian mass extinction (Wagner et al. 2006). Although the
360 simulation results obtained here imply caution should be taken in the inference of more
361 complex RADs when sampling is sparse, there is no evidence for a reduction in sampling
362 effort coinciding with the end-Permian extinction and persisting throughout the Mesozoic and
363 Cenozoic (e.g. Benson et al. 2021). The shift towards more complex marine ecosystems at the
364 end of the Paleozoic was attributed either to an increase in the diversity of ecological guilds
365 (although there was very little change in the ecological guilds occupied before and after the
366 extinction (Foster & Twitchett 2014)), or an increase in the diversity of organisms which
367 contributed to building complex RADs. Mesozoic and Cenozoic marine ecosystems include a
368 greater diversity of motile organisms, and reef-building organisms such as corals, which are
369 more predisposed to structuring ecosystems and generating additional ecological
370 opportunities (Bambach et al. 2002; Clapham et al. 2006; Wagner et al. 2006). Terrestrial
371 vertebrate diversity, on the other hand, appears to be constrained by external limits, which
372 would imply that tetrapod taxa generally cannot generate additional ecospace in the same
373 manner as marine invertebrates.

374 It seems unlikely that the apparently consistent simplicity of terrestrial tetrapod
375 communities relative to marine communities is due to lower sampling completeness of the
376 tetrapod fossil record relative to the marine. Our simulations show that while incompletely
377 sampling a community can result in a RAD from a simple ecosystem resembling one more
378 indicative of a complex ecosystem, the inverse does not appear to be true. Incompletely
379 sampling lognormal distributions, even to a coverage of 0.4 (the minimum cut-off for
380 including a collection in our analysis), still predominantly fits models indicative of complex
381 ecosystems (Fig. 4b). We may therefore take the apparent simplicity of tetrapod ecosystems
382 relative to their marine counterparts as a biological signal.

383 Terrestrial tetrapod ecosystems appear to have been structured differently to post-
384 Paleozoic marine ecosystems. There has been much discussion of how ecological processes
385 contrast between the terrestrial and marine realms. For example, there is a greater diversity of
386 species in terrestrial ecosystems (Vermeij & Grosberg 2010; Mora et al. 2011), a greater
387 diversity of higher taxa in marine ecosystems (May 1994), a greater size-structuring of
388 communities in the marine realm (an individual's size is a greater indicator of its ecological
389 role (Webb et al. 2011)), and a greater abundance of herbivores in the marine realm (Hairston
390 & Hairston 1997; Cyr & Pace 1993). A potentially important factor in the context of the
391 results observed here is the shorter food chains found in terrestrial tetrapod ecosystems, with
392 fewer secondary consumers feeding directly on each other (Hairston & Hairston 1993, 1997).
393 There is a very distinct separation between small carnivores feeding predominantly on
394 invertebrates and large macro-predators feeding on large-bodied prey (Carbone et al. 2007;
395 Meachen-Samuels & Van Valkenburg 2009; Purwandana et al. 2016). Shorter food chains
396 mean that species are less likely to be generators of ecospace in the same way that marine
397 species can be.

398

399 **Conclusions**

400 The expansionist view of diversity accumulation posits that as species accumulate in a
401 community, they create new niches that further species may “exploit” in a variety of ways.
402 This hypothesis has been used to explain the apparent exponential increase in species
403 richness through time. However, recent research is beginning to suggest that, in terrestrial
404 tetrapods at least, the observed increase in species richness through time is likely an artefact
405 of sampling and preservation biases. Instead, tetrapod diversity is characterised by extended
406 intervals of stasis, indicative of ecological limits placed on species richness. The analysis of
407 ecosystem structure presented here supports long-term ecological constraints on terrestrial
408 tetrapod diversity. The relative abundance distributions of species are characteristic of
409 ecosystems where limited ecological resources are divided between individuals, and these
410 patterns remain consistent through most of tetrapod evolutionary history. There is a resetting
411 of ecological limits across the Cretaceous/Paleogene boundary, but the structure of the
412 relative abundance distributions does not shift at this time. These results reject an
413 expansionist view of diversity accumulation, and instead indicate that ecological limits on
414 terrestrial tetrapod diversity were established in the Carboniferous and remained in place
415 through to the Cenozoic.

416

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422

423 **Competing Interests**

424 We have no competing interests.

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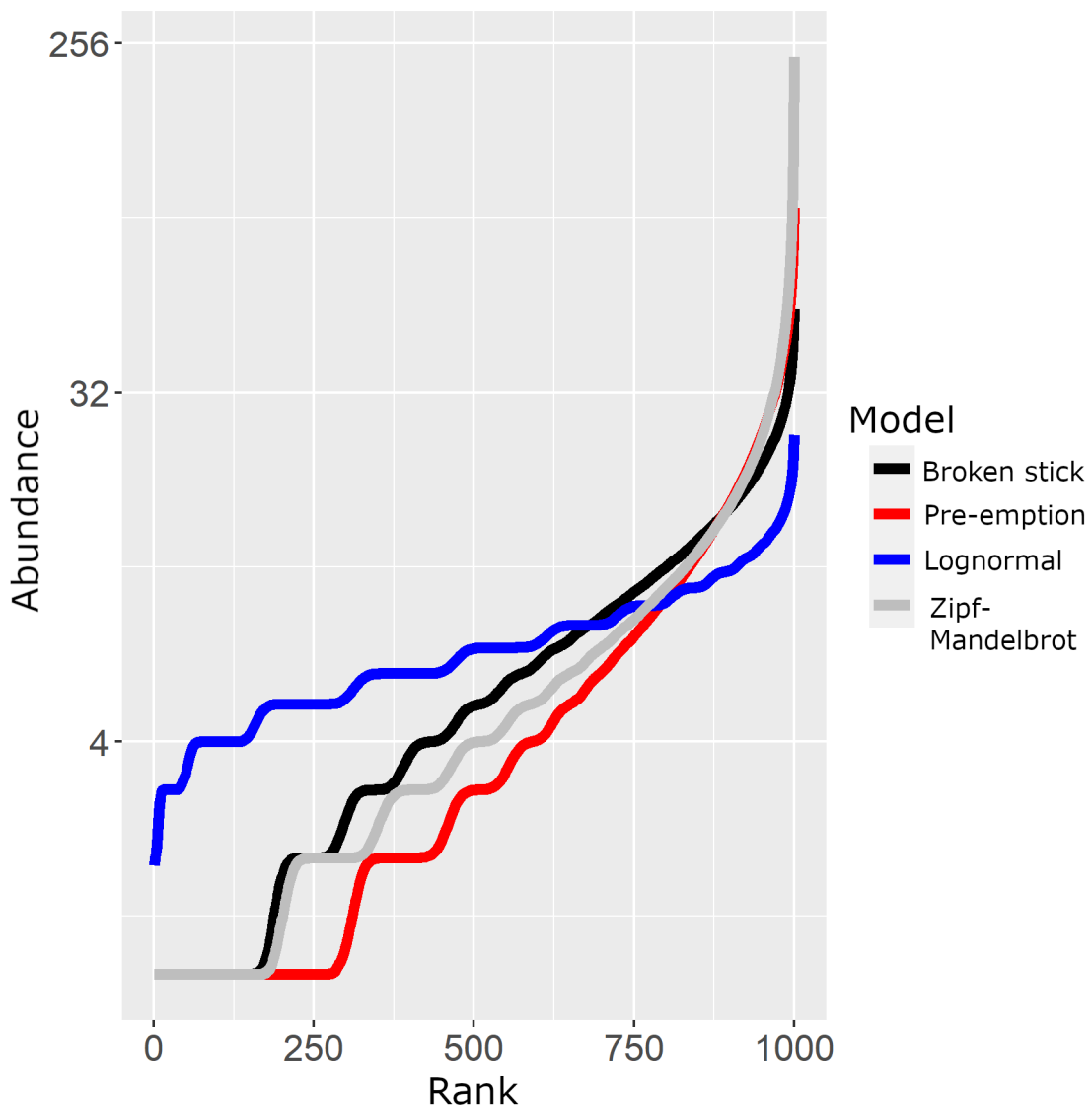
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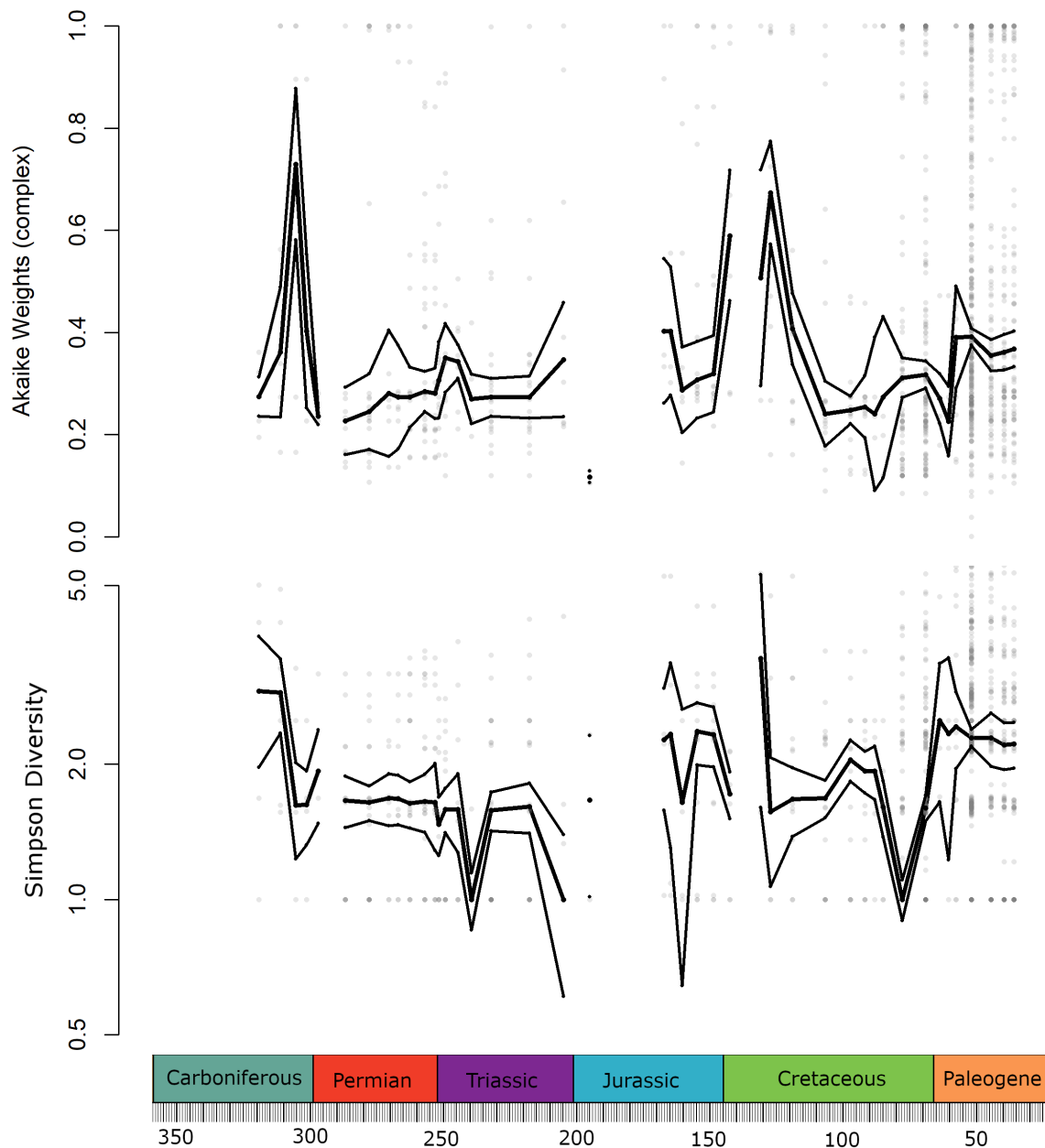
650 **Figures**



651

652 Figure 1 – Models of relative abundance distributions. Each line represents the mean of 1000
653 abundance distributions simulated using the R package mobsim, each with 1000 species and
654 up to 7000 individuals.

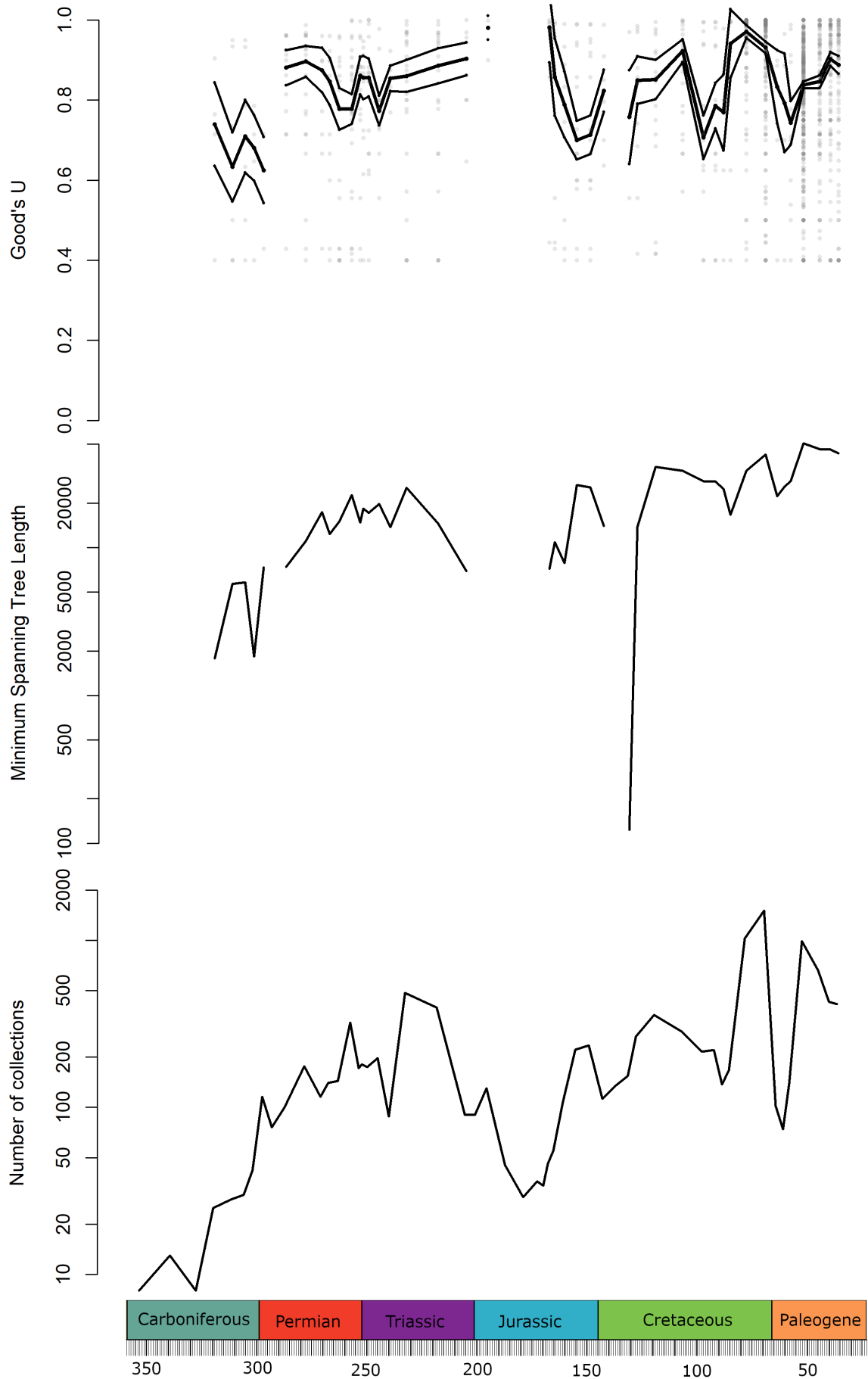
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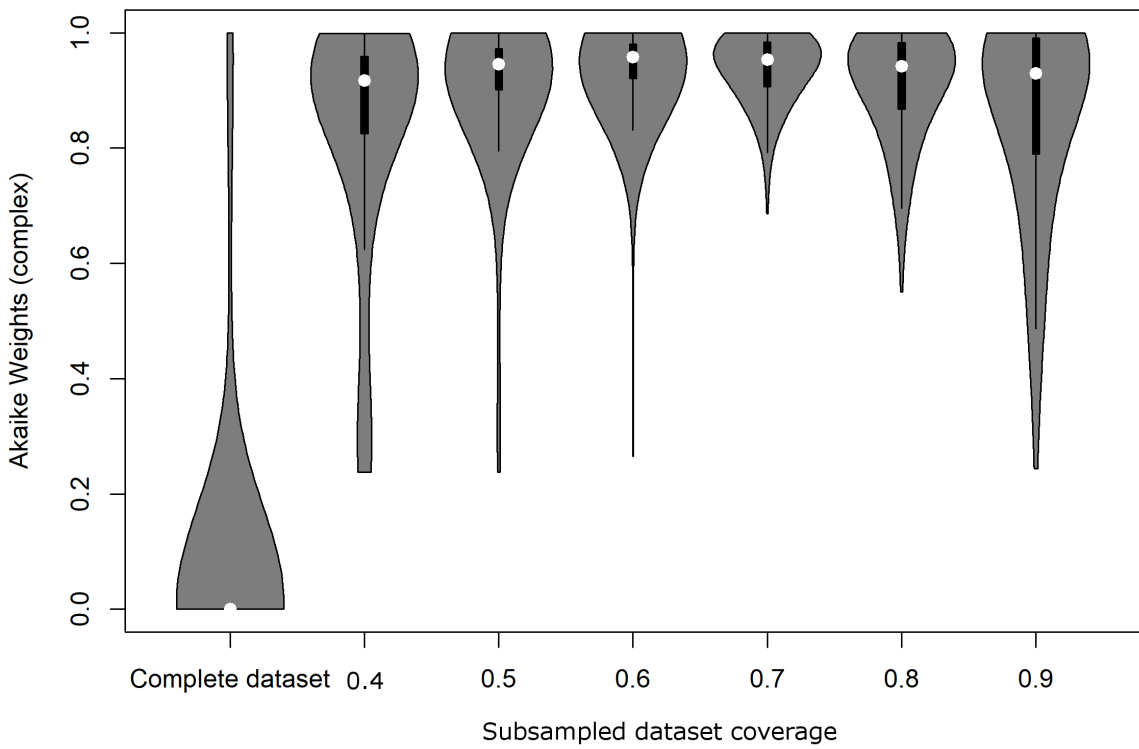
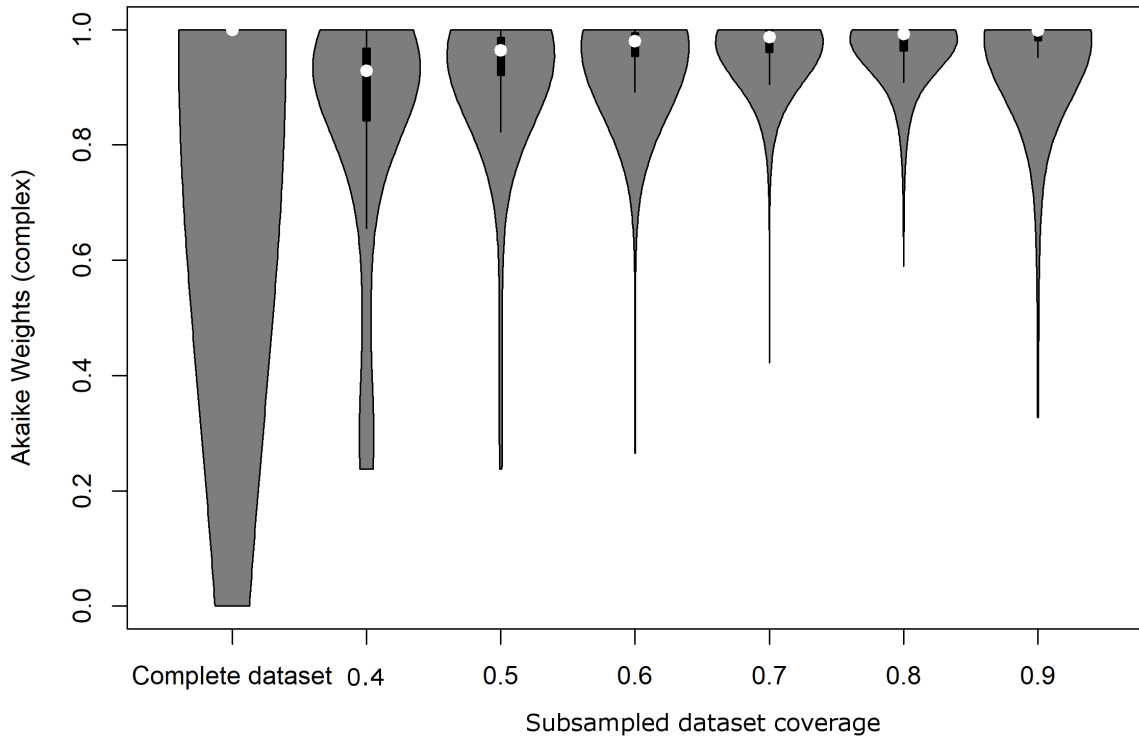
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657 Figure 2 – A) The summed Akaike weights support for models indicative of “Complex”
 658 ecosystems (as defined in the main text) from each bioregion in each time bin. B) Simpsons
 659 diversity of bioregions in each stage. In both panels, each grey dot represents the value of a
 660 bioregion. The thick black line represents the median values in each stage, and the thin black
 661 lines represent one standard error around the median.

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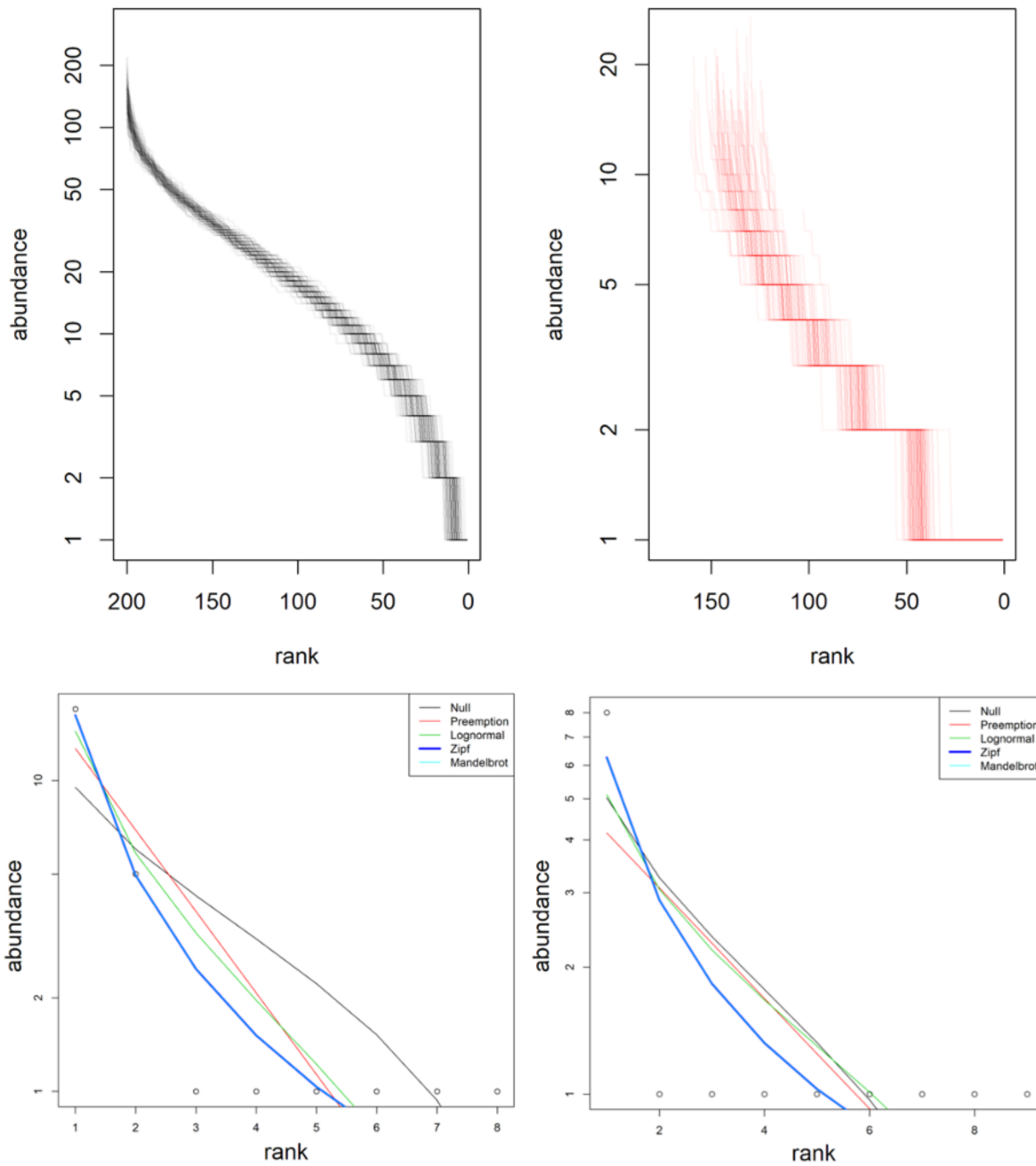


664 Figure 3 – Estimates of the quality of sampling of terrestrial tetrapods. A) The Good's U of
665 each bioregion included in the analyses, estimating the coverage. Each grey dot represents the
666 value of a bioregion. The thick black line represents the median values in each stage, and the
667 thin black lines represent one standard error around the median. B) The minimum spanning
668 tree length between each collection included in the analyses in each time bin, estimating the
669 spatial extent of sampling. C) The number of terrestrial tetrapod-bearing collections in each
670 time bin, estimating sampling effort.
671



672

673 Figure 4 – Simulation results showing the ability of model fitting analyses to distinguish
674 between simple and complex models under incomplete sampling. A) Violin plots showing the
675 summed Akaike weights of “complex” models when data is simulated under a lognormal
676 (complex) model and then subsampled to different levels of coverage; B) Violin plots
677 showing the summed Akaike weights of “complex” models when data is simulated under a
678 Broken Stick (simple) model and then subsampled to different levels of coverage. Each violin
679 plot represents results of 1000 simulated datasets.
680



681

682 Figure 5 – A) 1000 relative abundance distributions (RADs) simulated using the broken-stick

683 model (simple). B) The same RADs subsampled to a coverage of 0.9. C/D) The RAD of

684 tetrapods from two Kasimovian localities, the Garnett Quarry (C) and Mazon Creek (D) and

685 the curves representing the fit of different models to these distributions. The bold curve

686 represents the best-fitting model (Zipf in both cases).

687