

1 Assessing risk of ecosystem collapse in a changing climate

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37 Abstract

38 Climate change has pervasive impacts on Earth's ecosystems, but the diversity and complexity of
39 ecosystems makes estimating the severity of impacts and the resulting risk of collapse difficult. In
40 this perspective, we conceptualise the challenge of understanding how climate change alters
41 ecosystems, and how to reliably measure those changes in ecosystem risk assessments, focussing
42 on the IUCN Red List of Ecosystems. We propose solutions to resolve these challenges – using
43 diverse teams, conceptual models, diverse using data sources including projections, learning from
44 analogous ecosystems, and evaluating uncertainties – and we identify research gaps to bridge
45 these challenges. Together, these solutions will improve our capacity to produce reliable
46 assessments of collapse risk under climate change to inform timely and effective ecosystem
47 conservation.

48 Main text

49 Earth's climate system is shifting due to rising greenhouse gas emissions¹, triggering changes in
50 average and extreme environmental conditions¹. These changes are affecting human systems and
51 ecosystems² (underlined words defined in Appendix 1 Glossary), including shifts in reproductive
52 phenology³, coastal inundation from sea level rise⁴, rising sea surface temperatures in marine
53 ecosystems⁵, and declining snowfalls in alpine regions⁶. Climate change is expected to become the
54 largest driver of ecosystem degradation this decade⁷ and will exacerbate the effects of other
55 threats (e.g., habitat loss, invasive species)⁷. Identifying climate change impacts on ecosystem
56 components, processes and function is therefore a fundamental challenge. Our capacity to
57 quantify the status of Earth's ecosystems has recently improved with the publication of the Global
58 Ecosystem Typology^{8,9} and the IUCN Red List of Ecosystem (RLE)¹⁰, the global standard for
59 assessing the risk of collapse for all ecosystem types. These risk assessments that identify and
60 monitor ecosystem-specific symptoms of degradation are a promising tool for navigating
61 ecosystem complexity and estimating collapse risk¹¹.

62 Risk assessments are used to estimate the probability of large, detrimental changes to a system
63 or feature, such as species extinction or ecosystem collapse¹⁰. They are often summarised into lists
64 of at-risk species and ecosystems that can inform priority setting, reserve design, mitigation
65 strategies, state-of-the-environment reporting, and limits for developments and exploitation¹².
66 Climate change must be addressed in risk assessments to ensure a realistic appraisal of risk and to
67 support informed decisions for policy, conservation, and management¹³.

68 Much research has estimated the influence of climate change on species extinction risk. Studies
69 often use accepted extinction risk frameworks, such the IUCN Red List of Threatened Species^{14,15},
70 to evaluate vulnerability or sensitivity to climate change¹³, timing of impacts, and effects on
71 distributions and demographic processes^{16,17}. There are fewer comparable analyses linking climate
72 change projections to ecosystem-level collapse risk¹⁸⁻²⁰. Estimating ecosystem-level impacts has
73 challenges, including incorporating relevant complexity, insufficient knowledge of the mechanisms
74 of change and interactions at ecosystem scales, differences in impacts among ecosystem types²¹,
75 and uncertainties in measuring impacts.

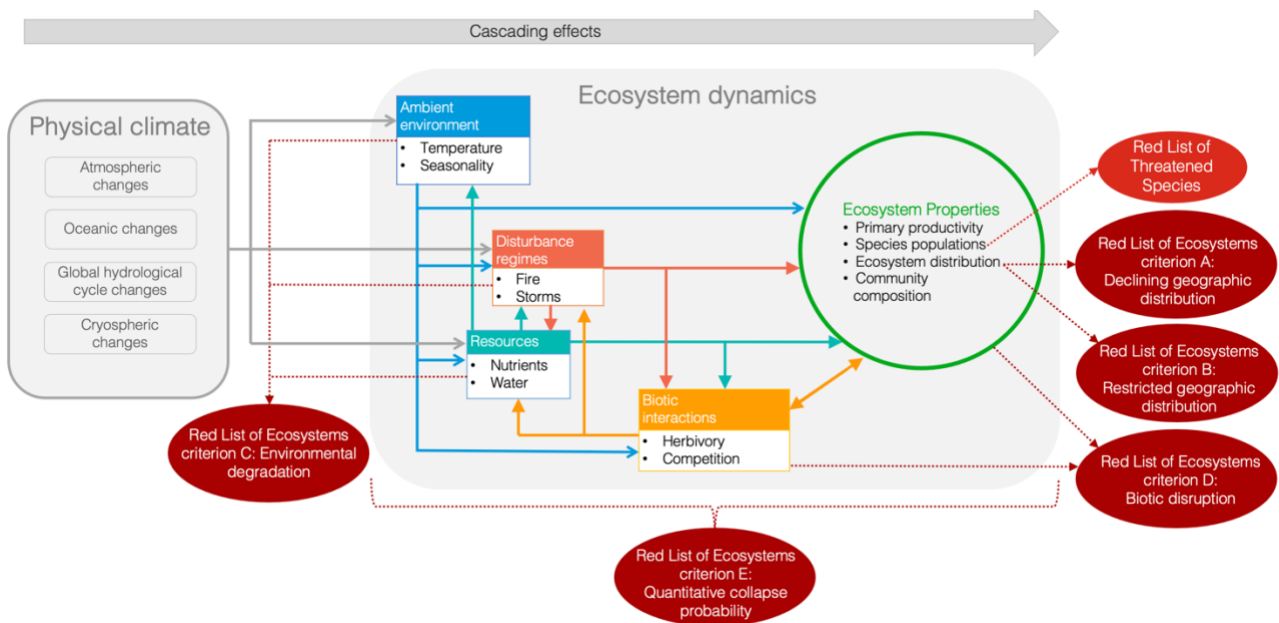
76 The RLE risk assessment framework is well suited to capturing the risks of climate change
77 through the application of five criteria (A-E), each focused on a different symptom of collapse
78 (Figure 1); the criteria assess changes over historic, recent and future timeframes (via criteria A, C-
79 E) across multiple pathways that climate change may affect ecosystems – distributional,
80 environmental, and biological²². The capacity and capability to conduct RLE assessments is
81 increasing²³, with over 4000 ecosystem types assessed worldwide. This number will doubtlessly
82 rise now that the RLE is a headline indicator for the Kunming-Montreal global biodiversity
83 framework²⁴; signatory countries should implement the RLE to report against that headline
84 indicator. Many RLE assessments have addressed climate change, making a synthesis of lessons
85 learned timely to inform future assessments. Using these, we scrutinise the range of approaches
86 used, examine additional approaches, and provide recommendations for including climate change
87 impacts in ecosystem risk assessments.

88
89 We address two key questions for improving the reliability of ecosystem risk assessments: 1)
90 How will climate change affect ecosystem features and processes; and 2) how can we accurately
91 predict and measure these impacts? We describe key challenges across the five steps of a risk
92 assessment protocol: 1) understanding climate change impacts on ecosystems, 2) selecting
93 indicators of degradation, 3) defining ecosystem collapse thresholds, 4) collating available

94 datasets, and 5) estimating and reporting risk based on the RLE criteria (A-E). We then provide
 95 potential solutions to these challenges and recognise ongoing knowledge gaps, with the aim of
 96 improving reliability and consistency of risk assessments to support informed policy and
 97 conservation decision making under a changing climate. Although we focus on RLE assessments,
 98 the ideas apply to other environmental risk assessment frameworks (e.g.,²⁵⁻²⁶) and to ecosystem-
 99 based approaches such as ecosystem accounting²⁷.

100 **Challenges to incorporating climate change in ecosystem risk**
 101 **assessment**

102 The threat to ecosystems from climate change is complex because impacts manifest through
 103 multiple drivers and pathways, and interact with other threats in ways specific to each ecosystem
 104 type²⁸ (Figure 1). Consequently, there is no one-size-fits-all approach to incorporating climate
 105 change into ecosystem risk assessments. We address our two key questions by examining the
 106 uncertainty in how to understand and estimate or measure ecosystem-specific responses, and
 107 examine how these challenges propagate through the five steps in an assessment (Box 1).



108 **Figure 1** | Changes in the physical climate due to human-induced climate change can have cascading effects
 109 on ecosystem dynamics. Climatic changes alter the ambient abiotic environment, disturbance regimes and
 110 resources within ecosystems, driving changes in the biotic interactions and ecosystem properties (examples
 111 of relevant ecosystem processes, properties, and dynamics are provided as dot points but are not
 112 exhaustive). The Red List of Threatened Species captures changes in species populations and distributions,
 113 whereas the Red List of Ecosystems (RLE; darker red) can capture the impacts of climate-driven changes
 114 across all facets of the ecosystem type via the five criteria (A-E; darker red). Based on Keith et al.⁹
 115

Box 1 | Synthesis of the challenges and solutions for capturing the impacts of climate change for each step in the Red List of Ecosystems method, alongside general guidance that applies across all steps.

Red List of Ecosystems steps

General: Assemble multidisciplinary teams and local experts to draw various expertise in defining ecosystems, setting collapse thresholds, and compiling and using various data sources



1) Understanding climate change impacts on the ecosystem

Task: Defining how climate change will alter key features and processes in the ecosystem description

Challenges:

- Which, how, and when ecosystem features and processes may be affected by and respond to climate change may be unclear
- Difficult to predict the adaptive capacity of ecosystems to environmental changes
- Interactions and dependencies may manifest in the impacts of climate change and of other threats on an ecosystem

Solutions:

- Develop conceptual model/s to explore different hypotheses for climate change impacts and highlighting uncertainty
- Identify sentinel variables that can trigger reassessment if change (and thus risk) is more pronounced than expected
- Examine data and conceptual models for relevant functional groups, analogous ecosystems, or climate analogues where data are lacking
- Consider and capture potential adaptive capacity in conceptual model



2) Selecting indicators of degradation

Task: Selecting indicators that reliably represent how climate change will alter key features and processes to assess under Criterion C (environmental degradation) and D (biotic disruption)

Challenges:

- Identifying which indicators to use to capture collapse risk from climate change due to uncertainty in how climate change will manifest (see 1)
- Generic indicators may be unreliable as different ecosystems will be affected in various ways
- Bias towards availability of environmental vs. biotic indicators (see 4)

Solutions:

- Use conceptual models (see 1), quantitative mechanistic models, and sensitivity analysis to guide selection
- Examine analogous ecosystems and other RLE assessments for relevant indicators
- Assess multiple indicators to capture variability in ecosystem responses and pathways to collapse
- Document, evaluate and report limitations of all possible relevant indicators
- Consult local experts



3) Defining ecosystem collapse thresholds

Task: Defining collapsed states for an ecosystem and thresholds for each indicator under climate change

Challenges:

- Unknown adaptive capacity of ecosystems may alter current collapse thresholds
- Difficult to explicitly link coarse-scale abiotic indicators to collapsed states, especially in small or patchy ecosystems

Solutions:

- Link environmental changes to species physiological tolerances or ecosystem distribution limits, including collapsed parts of the distribution
- Use long-term monitoring and experimental studies to test and/or identify possible thresholds, such as mesocosms, natural experiments
- Use plausible range of collapse thresholds, specifying upper and lower bounds with a best estimate



4) Collating available datasets

Task: Gather spatial and temporal datasets that capture the impact of past or predicted future impacts of climate change

Challenges:

- Timeseries data of recent change are often short, spatially patchy, incomplete, or biased to certain indicators (especially environmental), species, or regions
- Extrapolating current trends forward may be unreliable
- Difficult to reliably link coarse, uncertainty model outputs to changes in specific ecosystems, features, and processes
- Reliability of ecosystem simulation model outputs can be affected by the data inputs and model structure and parameterisation, especially if based on current ecosystem dynamics (see 1)

Solutions:

- Extrapolate forward current trends using sub-criterion 2b, where appropriate
- Use experimental studies to identify potential ecosystem responses and timeframes
- Use expert and local knowledge, data from species Red List assessments (including population models), and information on historical climates (as analogues) to fill gaps in published studies
- Use multiple global circulation models, climate projections (including relevant downscaled projections) to capture alternative futures



5) Estimating risk and reporting outcomes

Task: Use data to apply the criteria to estimate the risk of ecosystem collapse due to climate change

Challenges:

- Many sources of uncertainty to capture and report on

Solutions:

- Report all assumptions, reasoning, and types of uncertainty
- Report uncertainty in risk category using plausible bounds
- Conduct sensitivity analysis of models and report results from multiple models, ecological models, emissions scenarios, and model runs as plausible bounds of collapse risk

116 Step 1 – Understanding climate change impacts on the ecosystem

117 The first step in an RLE assessment is describing the ecosystem type of interest – detailing
118 characteristic abiotic and biotic features and processes, and identifying the cause-and-effect links
119 between threats and ecosystem responses²⁹ (Box 1). This involves synthesising understanding of
120 how climatic changes may alter characteristic features and processes and cause the ecosystem to
121 move towards (or away from) collapse. Three substantial issues include uncertain and variable
122 ecosystem responses to climate change, their adaptive capacity, and interactions between threats.

123 **Responses of ecosystem features and processes.** Climate-induced ecosystem responses may
124 be non-linear, and can occur slowly or abruptly due to time lags and threshold effects^{30,31}, making
125 them challenging to predict³⁰. Collapse risk may be underestimated if declines are forecast
126 inaccurately. Models, experiments, and observations have revealed climate change impacts on
127 ecosystems for decades. Yet estimating future biotic changes based on past or current conditions
128 may be unreliable as past relationships may not hold under new conditions^{30,32}. Climate change
129 can alter ecological interactions, causing cascading impacts throughout the community³³. For
130 instance, species that track suitable climates will likely experience altered ecological interactions
131 as environmental conditions change¹³, and species' capacity to shift their ranges will depend on
132 the prevailing climate and biotic interactions (e.g., competition)³⁴. Although field observations can
133 help identify range shifts, the effects of novel species on ecosystems are difficult to forecast. Many
134 regions may experience novel climates, which may amplify changes in community compositions³².

135 **Adaptive capacity of ecosystems.** Adaptive capacity is the latent potential of an ecosystem to
136 alter its resilience in response to change³⁵. Much work has been done to understand whether,
137 how and to what extent some ecosystems can adapt to changing climates. For example, corals
138 with algal symbionts tolerant of warmer water are more abundant in reefs affected by recent
139 climate change, an adaptive shift that may support resistance to future thermal stress³⁶. However,
140 the adaptive capacity of many ecosystems remains unclear; the dispersal and adaptive capacity of
141 species may interact, causing unexpected effects on an ecosystems' ability to maintain
142 biodiversity¹⁷. The lack of published research focussed on validating the predicted relationships
143 between climatic change and specific ecosystem responses is a considerable factor limiting
144 reliable risk assessments. For example, high uncertainty in the presence and magnitude of
145 adaptive responses to environmental changes has meant that the impacts of these threats remain
146 unevaluated or data deficient in many RLE assessments (e.g., oyster reefs in Australia³⁷).

147 **Interactions and dependencies among threats.** The safe operating space for ecosystems (i.e.,
148 levels of stressors within which an ecosystem can persist) is normally determined for each
149 stressor³⁸. Yet interactions and synergies among threats³⁸ may exacerbate their individual
150 impacts³⁹. For example, interactions between sea surface temperatures and ocean acidification
151 have reduced metabolic rates and activity of a top predator (jumbo squid, *Dosidicus gigas*),
152 altering predator-prey interactions in the Eastern Pacific Ocean⁴⁰. Changes to ecosystem resilience
153 from processes such as habitat loss or overexploitation⁴¹ can also heighten the impacts of climate
154 change, (e.g., impacts are amplified in degraded wetlands or those modified by land-use change)⁴.
155 Multi-layered dependencies among threats are likely, as climate change may reduce the
156 environmental suitability for a particular ecosystem type while increasing suitability for other land
157 or resource uses. For example, sea ice loss will shrink some cryogenic ecosystems but may
158 increase human access to oil reserves⁴². The impacts of such interacting threats can vary
159 depending on a species position in its environmental niche; for example, vertebrate species
160 abundance declined faster in areas undergoing habitat loss that neared their high temperature
161 threshold limit⁴³. Therefore, understanding the current condition and threats affecting an
162 ecosystem is vital when estimating the risks posed by climate change.

163 **Step 2 – Selecting indicators of degradation**

164 Indicators are used in the RLE to measure past change or predict future change in an ecosystem
165 type's environmental properties (criterion C) and biotic features, processes, and interactions
166 (criterion D) (Figure 1). Indicators must be ecologically relevant to the ecosystem type, convey
167 proximity to collapse, and, when assessing climate impacts, be sensitive to threats from climate
168 change⁴⁴. The diversity of responses to climate change across ecosystem types can make indicator
169 selection challenging.

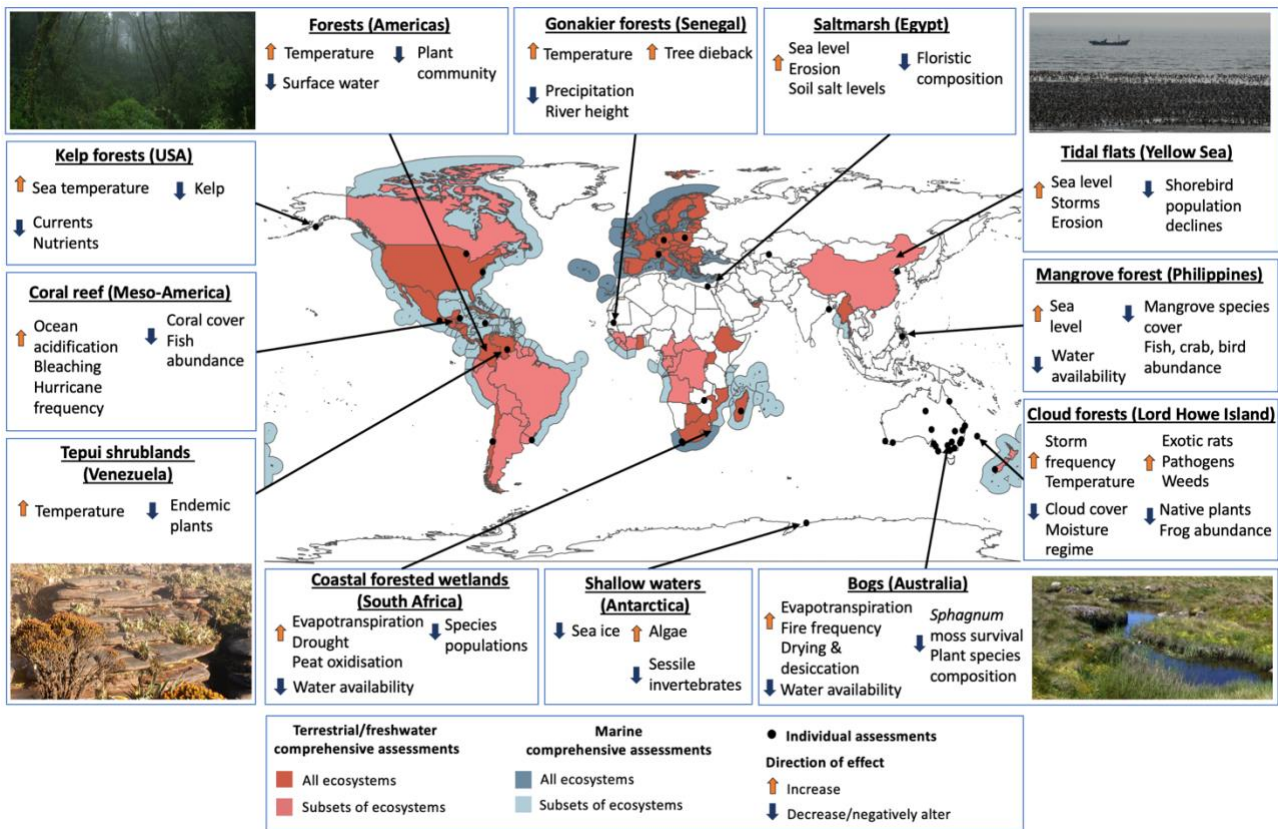
170 **Diversity in symptoms of climate change.** Selecting reliable indicators of risk under climate
171 change is hampered by the range and uncertainty of ecosystem responses⁴⁵ to future local
172 environmental conditions (see *Understanding climate change impacts on ecosystems*), which are
173 less clear than global trends¹. Collapse can manifest via diverse symptoms among and within
174 ecosystems (Figure 2). Thus, generic indicators of condition that are relatively simple to estimate
175 (e.g., area, species richness, annual rainfall) may be insensitive or inadequate to reliably measure
176 ecosystem state¹⁰ within and across ecosystem types. For instance, taxonomic and functional
177 diversity has increased in wetter forests but decreased in drier forests in Ghana, West Africa, since
178 the 1980s⁴⁶. Further, the extent of Mountain Ash (*Eucalyptus regnans*) forest in Australia has not
179 changed over the past 50 years, yet the ecosystem type is Critically Endangered due to the loss of
180 old-growth areas and hollow-bearing trees required to support fauna⁴⁷. This necessitates use of
181 ecosystem-specific indicators matched with ecosystem-specific understanding of the direction of
182 impact. There are also differences in data availability for various types of indicators; future
183 projections are more readily available for environmental conditions than for biotic features and
184 processes⁴⁸, limiting the capacity to assess collapse risk due to biotic change (see *Collating*
185 *available datasets*).

186 **Step 3 – Defining ecosystem collapse thresholds**

187 Collapse occurs when the defining environmental and biotic features and processes of an
188 ecosystem change so that characteristic native biota cannot be sustained⁴⁹, and is replaced by a
189 different ecosystem type⁴⁹. A collapse threshold must be set for each indicator of collapse – this
190 threshold represents a value that, once exceeded (i.e., sufficient change has occurred), the
191 ecosystem transitions into a collapsed state (Box 1)¹⁰. Setting collapse thresholds is one way that
192 the RLE facilitates assessors to consider how climatic drivers can affect an ecosystem. Yet linking
193 environmental or biotic changes to ecosystem collapse is the primary issue for conceptualising and
194 quantifying ecosystem collapse under climate change.

195 **Linking change to collapse.** Defining collapse thresholds requires understanding the
196 environmental and biotic conditions of various collapsed states of an ecosystem⁴⁹. Yet uncertainty
197 in how ecosystems will respond and their capacity to adapt to climatic changes¹⁷ impairs our
198 ability to set reliable collapse thresholds. The level of change an ecosystem can cope with before
199 shifting into a collapsed state may vary in the future as species adapt, so thresholds based on
200 current understanding of ecosystem responses may not be robust. Data are also more commonly
201 available for environmental indicators (via climate projections) than for biotic indicators, which are
202 more difficult to reliably predict (Table 1). Yet linking environmental data to collapsed states can
203 be challenging, especially where data are coarse. For instance, estimating collapse risk from
204 changes in future fire regimes using fire danger ratings requires knowledge of the link between
205 fire danger rating and incidence of fire in an ecosystem type⁵⁰; this may be especially difficult for
206 small, patchy ecosystems.

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Figure 2 | Examples of different symptoms of climate change among various types of marine, freshwater, and terrestrial ecosystems with changes in environmental conditions on the left and biota on the right. The map shows the current coverage of Red List of Ecosystems assessments, including individual assessments (dots) and comprehensive national or regional assessments (coloured regions). See Appendix 2 for full list of references. Source photos (clockwise from top left): Forests (Jose Rafael Ferrer-Paris), Tidal flats (Nicholas J. Murray), Bogs (Joslin L. Moore), and Tepui shrublands (Marek Arcimowicz).

Table 1 | Examples of indicators and approaches used to assess the impacts of climate change in IUCN Red List of Ecosystems assessments for criteria A, C and D. Realms are based on the Global Ecosystem Typology⁹. ROC: recent rate of change. References noted using letters; full references in Appendix 3.

Realm	Area (criterion A)	Environmental conditions (criterion C)	Biota (criterion D)
<i>Terrestrial</i>	Extent of forests (extrapolated ROC) ^a	Snowpack depth in snowpatch herbfield (extrapolated ROC) ^c	Shrub cover in snowpatch herbfields (extrapolated ROC) ^c
	Suitable habitat extent of shrubland (bioclimatic modelling) ^b	Surface water extent in forests (extrapolated ROC) ^a	Hollow-bearing trees in forests (modelled fire and logging regimes) ^d
		Climatic suitability in forests and woodlands (bioclimatic modelling) ^{a,d,e}	Weed invasion in shrublands (extrapolated ROC) ^g
		Frequency of cloud cover in forests (extrapolated ROC) ^f	Dispersal and pollination in terrestrial systems (distribution models) ^{j,k}
		Water table depth in shrublands (projections) ^g	Productivity and vegetation condition in river basin (extrapolated ROC) ⁱ
		Water stress/water balance in terrestrial systems (projections) ^h	
		Soil water deficit and soil carbon in a river basin (extrapolated ROC) ⁱ	
Water availability in terrestrial systems (projections) ^{j,k}			
<i>Freshwater</i>	Extent of a lake (extrapolated ROC) ^l		
<i>Marine</i>	Live coral cover extent of a coral reef (ecosystem simulation model ^m ; survey data ^o)	Sea surface temperature to estimate mass bleaching/thermal stress in a coral reef (projections) ^{m,o}	Live coral cover in a coral reef (ecosystem simulation model ^m ; survey data ^o)
		Aragonite concentration to estimate ocean acidification in a coral reef (projections) ^m	Herbivorous fish biomass or abundance in a coral reef (ecosystem simulation model ^m ; survey data ^o)
	Seagrass extent in a seagrasses (extrapolated ROC) ⁿ	Hurricane frequency/intensity in a coral reef (projections) ^m	Piscivorous fish biomass or abundance in a coral reef (ecosystem simulation model ^m ; survey data ^o)
		Sea level rise in seagrasses and intertidal rocky shores (projections) ^{n,p}	Algae:coral cover ratio (survey data) ^o
		Sea ice breakout date in Antarctic marine invertebrate communities (satellite data) ^q	Algal/invertebrate abundance for Antarctic marine animal forest (survey data) ^q
		Rainfall, storm frequency, sea level rise in oyster reefs (projections) ^r	Oyster abundance in oyster reefs (survey data) ^r
<i>Terrestrial-Freshwater</i>	Suitable habitat extent of riverine forest or shrublands (bioclimatic modelling) ^b	Saltwater intrusion from sea level rise in wetlands (projections) ^b	Bird abundance/breeding activity in wetlands (inferred same as recent trend) ^b
		Habitat suitability – flood extent and climate of riverine forest, wetlands and shrublands (bioclimatic modelling) ^b	Waterbird assemblage responses in wetlands (survey data) ^b
<i>Freshwater-Marine</i>		Barrage flow volume of coastal wetlands (ecosystem simulation model) ^b	
		Salinity of coastal wetlands (ecosystem simulation model) ^b	

Marine- Freshwater- Terrestrial	Foundation species extent in saltmarshes and mangroves (extrapolated ROC) ^{n,5}	Sea level rise in saltmarshes and mangroves (projections) ⁿ
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216 **Step 4 – Collating available datasets**

217 The RLE requires past and future timeseries data for each indicator to assess ecosystem
218 trajectories relative to collapse thresholds (Box 1). The RLE can accommodate data from a range of
219 sources and of varying quality¹⁰, including information from scientific literature, reports, experts,
220 historical accounts, maps, satellite imagery, Indigenous Knowledge, or other sources. We outline
221 three major challenges to collating and using datasets to support assessments incorporating
222 climate change impacts.

223 **Measuring past functional change.** Recent impacts of climate change can be measured or
224 estimated using existing datasets (Table 1)²². Impacts over the past 50 years may be assessed
225 using empirical timeseries, where available. However, these timeseries often span < 50 years or
226 are incomplete, patchy, and biased towards types of variables, species, or regions^{51–53}. For
227 example, long-term monitoring is often more feasible for environmental than biotic variables,
228 resulting in a bias towards assessing indicators of environmental conditions^{54,55}.

229 **Extrapolating current trends.** Current trends can be extrapolated (with confidence intervals) to
230 estimate future impacts of climate change (Table 1), where the recent rate of change will likely
231 continue (e.g., assuming a linear change in snowpack depth in snowpatch herbfields⁵⁶). However,
232 due to uncertainty in future climate-driven changes, assuming a particular rate of change may be
233 inaccurate or produce uninformatively wide uncertainty bounds. Under-estimating risk may delay
234 critical action, whereas over-estimating risk may divert resources from more at-risk ecosystem
235 types.

236 **Forecasting with models.** Climate projections from global circulation models provide
237 information on temperature, precipitation and wind, plus other environment conditions based on
238 known relationships (e.g., between air temperatures and sea surface temperatures)⁵⁷. However,
239 climate projections are typically made at coarse spatial resolutions¹, making it challenging to
240 identify changes specific to an ecosystem type, particularly those strongly affected by
241 microclimates (e.g., aspect and topography for snowpatch herbfields⁵⁶). Further, the magnitude
242 and rate of climate change diverges across emission scenarios due to highly uncertain socio-
243 economic factors (e.g., population growth, lifestyle, energy use and policy)¹. The projections from
244 each circulation model can also be strongly affected by the model structure and
245 parameterisation^{58,59}, while confidence in projections also differs among variables, with
246 temperature being more predictable than rainfall⁵⁸. It is therefore difficult to confidently identify
247 the direction and severity of ecosystem response to climate change using climate projections.

248 Ecological simulation models can be used to estimate impacts on biota (e.g., changes in
249 foundation species using species distribution models⁶⁰) and the probability of ecosystem collapse
250 (RLE criterion E, Figure 1)⁶¹ based on changes in environmental conditions, indirect impacts of
251 climate change on land-use change⁶², and other threats⁴⁴. Yet the efficacy of simulation models
252 depends on the evidence informing the ecological processes (including the resolution of the
253 climate data⁵⁸), dependencies and assumptions underpinning model structure. Estimating biotic
254 change is challenging as it relies on understanding species responses to potentially novel
255 environments and altered species interactions (see *Climate change impacts on ecosystems*). The

256 model type also influences the reliability of future projections; mechanistic models are considered
257 more robust to prediction outside the range of their training data than statistical models⁶³.

258 **Step 5 – Estimating risk and reporting outcomes**

259 The final step in an RLE assessment involves using indicators to estimate proximity to collapse
260 and reporting the outcome. One key issue is accounting for uncertainties in the assessment.

261 **Reporting uncertainties.** Capturing uncertainties in predictions of future climate conditions is a
262 prime concern in IPCC assessments – all inferences include a qualifier of confidence⁶⁴. Many types
263 of uncertainty are reducible with more data or knowledge (e.g., process and model uncertainty)
264 and others are not (e.g., variability uncertainty)^{65,66}. Some uncertainties will reduce as climate
265 change plays out and impacts become clearer. But climate change will not have a single endpoint
266 in time – impacts will continue to occur, and ecosystems will continue to change, at least until
267 ecosystems equilibrate if and when net zero emissions are reached. Eliminating all uncertainties is
268 impossible – assumptions and uncertainty, along with subjective judgements in selecting future
269 climate scenarios, indicators, models, and collapse thresholds, are inevitable in estimating the
270 future. Many of these challenges are dealt with in the above four steps as dealing with uncertainty
271 is key in risk assessments.

272 **Recommendations for navigating climate risks in ecosystem risk** 273 **assessment**

274 Here we recommend approaches to address the above challenges across the five steps of RLE
275 assessments that we identified. Our intention is to outline methods from across relevant
276 disciplines and synthesise those in the context of RLE assessments.

277
278 **Step 1 – Conceptualising climate change impacts.** Several approaches support dealing with
279 uncertainty and complexity in identifying ecosystem responses to climate change and interacting
280 threats (Box 1). Conceptual models are excellent tools for describing how ecosystems function
281 based on available evidence (Box 2)⁸. These relatively simple qualitative models can underpin
282 quantitative analysis. Uncertainty in how climate may affect ecosystem processes and how
283 interactions may occur can be captured explicitly in conceptual models, drawing on expert
284 knowledge and synthesis of available evidence. For example, multiple conceptual models can
285 depict different hypotheses for mechanisms underpinning climate change impacts, highlighting
286 uncertainty using dashed lines²². Different pathways as alternative probabilities could be
287 incorporated in a model (e.g., Bayesian belief network). New approaches such as causal networks
288 can estimate how mechanisms may interact to increase risk⁶⁷. The level of certainty in the
289 postulated relationships can be quantified using relevant data from similar ecosystem types or
290 structured expert elicitation⁶⁸. Where conceptual or simulation models have captured multiple
291 plausible mechanisms, sentinel indicators could be established to understand which pathways
292 manifest through time and may trigger reassessment if they register greater risk than first
293 thought. Overall, conceptual models can provide a robust evidence-base for statistical and
294 mechanistic models by allowing alternative scenarios of change to be explored, highlighting
295 unidentified assumptions, and generating testable hypotheses⁶⁹.

296 Monitoring, experiments, and modelling are valuable approaches for predicting ecosystem
297 responses to climate change and other interacting threats⁷⁰ but most ecosystem types are data
298 poor. Risk assessments and related decisions need to be made with imperfect understanding⁶⁵ and
299 can be supported by a range of approaches. Data and knowledge from analogous ecosystem types

300 (such as those in the same functional group in the Global Ecosystem Typology^{8,9}) may provide a
301 useful supplement where data are lacking. The assembly model from the relevant functional group
302 may provide a useful starting point for creating a conceptual model of features, interactions, and
303 threat pathways of climate change impacts. Assessors can examine regions with similar forecast
304 climates (i.e., climate analogues)⁷¹, such as using the Analogue Atlas database⁷²
305 (<https://plus2c.org>). Similarly, space-for-time substitution, using natural climatic gradients, has
306 been demonstrated to be a plausible proxy for climate effects in similar environments
307 elsewhere⁷³.

308 Adaptive capacity represents one source of uncertainty associated with risk outcomes. The
309 effects of climate change have predominantly been evaluated in RLE assessments by assuming no
310 adaptative responses⁷⁴, yet adaptive capacity would likely lower estimated levels of risk. The
311 adaptive capacity of ecosystems may be evaluated based on variables critical to ecosystem
312 functioning^{17,35} and quantified though hypothesis testing⁷⁵, particularly for foundation species
313 (e.g., hard corals in coral reefs⁷⁶). But often the adaptive capacity will be unknown, and should be
314 treated as another plausible mechanism, to be captured in the approaches outlined above. For
315 example, components or mechanisms (e.g., ecological memory, cross-scale interactions, functional
316 redundancy, positive feedbacks)³⁵ and their dynamic links can be integrated to conceptual
317 ecosystem models to improve understanding of ecosystem functioning and aid selection of
318 appropriate indicators, although care is needed to avoid unnecessary complexity and maintain
319 parsimony.

320 **Step 2 – Identifying indicators.** The RLE outlines how to identify indicators linked to ecosystem
321 functioning²², starting with developing a conceptual model to inform the selection^{44,47} and
322 exploring indicators used in analogous systems (Table 1). This approach can pinpoint indicators
323 likely to be most sensitive to climate change or capture climate-induced adaptations, and can be
324 reasonably monitored to detect future change (see ⁴⁴). Quantitative mechanistic models that
325 predict change under different climate scenarios can inform the most suitable indicators for
326 detecting changes in risk⁴⁴; these have been used to analyse risks to species¹⁵ but are currently
327 only available for a few ecosystem types. Sensitivity analyses can identify indicators or
328 assumptions that are most likely to affect assessment outcomes^{44,77,78} and can drive further
329 research or data collection. Assessment of multiple ecosystem-specific indicators can provide
330 multiple lines of evidence of collapse risk, while capturing the variability in ecosystem responses
331 and uncertainty in future change^{54,79}. Data limitations may necessitate trade-offs between
332 indicators more directly relevant to functional changes but supported by minimal or unreliable
333 data, and proximal or generic indicators with greater data availability; the limitations of each
334 indicator should be reported alongside uncertainty in the resulting risk category (via plausible
335 bounds). Local knowledge, including Indigenous People and Local Communities (IPLC), could be
336 consulted to assist with development of realistic indicators relevant to a given ecosystem.

337 **Step 3 – Setting collapse thresholds.** Collapse thresholds can be defined for environmental
338 changes by examining the physiological tolerances of key native biota, informed by population
339 theory^{44,80}, species vulnerability to environmental changes^{13,15,81}, and data collated in relevant
340 species Red List assessments¹³. For example, in seagrass meadows, a collapse threshold for
341 dissolved oxygen levels may be based on hypoxia tolerance for seagrass⁸¹. Environmental collapse
342 thresholds can also be based on an ecosystem's distribution limits (e.g., geographical limits of
343 foundation species⁸²), assuming alignment of realised and fundamental niches, and areas where
344 the ecosystem has locally collapsed. Experimental studies can assist in developing a causal
345 understanding of changes in environmental conditions and ecosystem collapse⁸³. An alternative
346 option to develop collapse thresholds could involve (data permitting) exploring responses of key
347 biota to environmental change at the leading edge, core, and lagging edge of an ecosystem type's

348 distribution⁸⁴. This may allow a comparison of areas that are more and less tolerant of
 349 environmental change. Ultimately, uncertainty in collapse thresholds is likely; reporting multiple
 350 plausible collapse thresholds can explicitly capture this uncertainty (e.g., a best estimate with
 351 upper and lower bounds). For example, separate collapse thresholds were used to capture
 352 scenarios where coral could and could not adapt to ocean warming to avoid coral bleaching⁴⁴.
 353

Box 2 | Using conceptual models to capture climate-driven threats in ecosystem risk assessment.

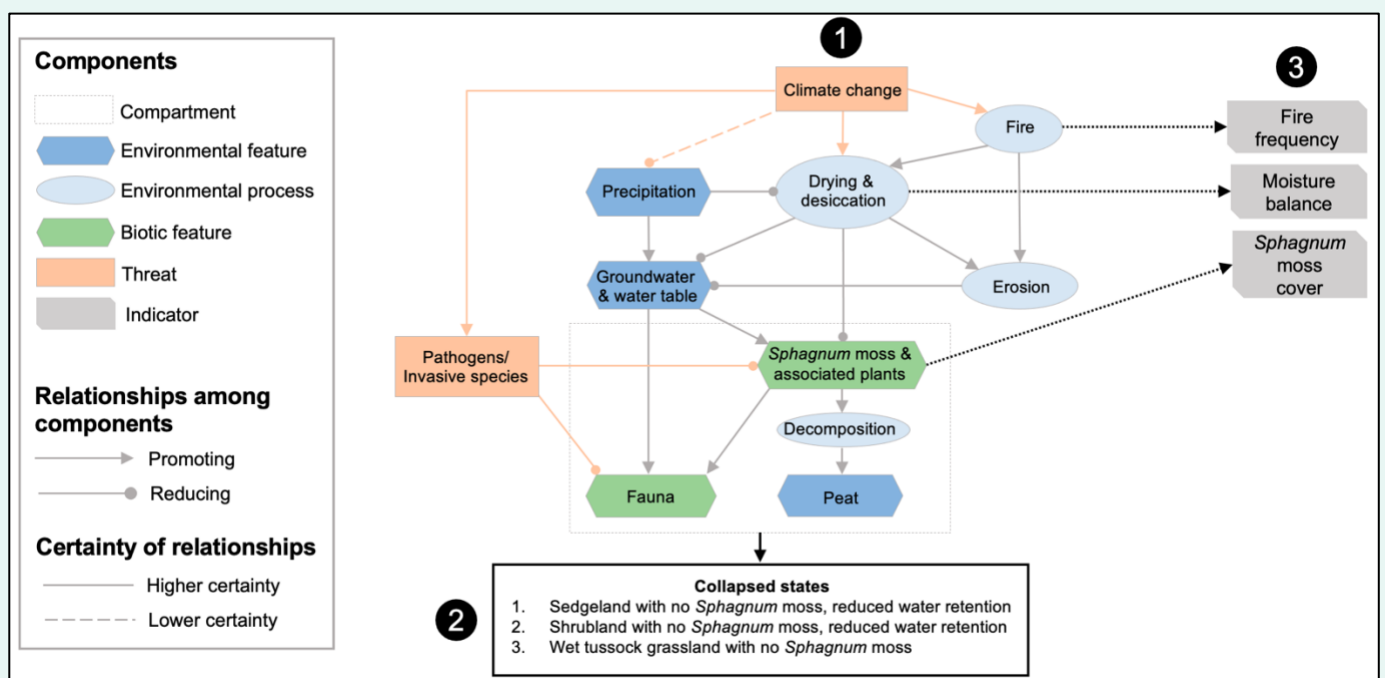
An example of a conceptual model developed collaboratively by multiple experts for the Red List of Ecosystems assessment of alpine peatlands across Australia⁸⁵ to show how they can be valuable tool for ecosystem risk assessment in the context of climate change.

1. Visualising links. Developing a conceptual model forces assessors to explicitly state the impacts of key threats (orange shapes) on environmental and biotic features (dark blue and green shapes) and processes (light blue shapes) in the ecosystem type and the cascading effects throughout the system. Assessors can directly depict the direction of impact (promoting or reducing) and the certainty in the relationships, whether qualitative (e.g., using different line types for certain or uncertain relationship; see figure) or quantitative (e.g., elicited from experts), thus highlighting the possible pathways of decline that require greater elucidation.

2. Defining collapse. Once the relationships are finalised, the model can be used to help define climate-change driven degraded and collapsed states. This can occur by examining the pathways of threat (orange links) due to various climatic changes and

conceptualising how these will degrade the environmental and biotic components (blue and green shapes), and what the endpoint of each degradation pathway will look like. For example, drying and desiccation may increase due to warmer temperatures, less precipitation, and increased frequency of fires under climate change. These changes will likely decrease the water retained in the system and moss cover, altering peat-formation processes. This may allow dry-adapted shrubland plant species to encroach, causing a shift to a shrubland ecosystem.

3. Selecting indicators. Clearly depicting the key ecosystem components and the pathways towards collapse can support identification of critical indicators of decline (grey shapes). Here, drying of this characteristically water-logged ecosystem is a key pathway in which peatlands can collapse. Therefore, selecting indicators that capture changes in moisture levels may provide a useful indication of ecosystem condition and progress towards collapse.



Conceptual model for a bog ecosystem in the alpine and subalpine regions across Australia showing the (1) key features and processes, and impact points of climate change, (2) identified collapsed states, and (3) indicators of decline. Simplified version based on Regan et al.⁸⁵.

354 **Step 4 – Identifying useful datasets and tools.** Numerous approaches have proved useful for
355 estimating future climate change impacts (Table 1). Recent trends can be extrapolated in RLE
356 assessments to estimate future change, for example, over the 50-year window covering the past,
357 present and future (sub-criterion 2b for criteria A, C, D), where appropriate^{10,77}. Experimental
358 studies, as outlined above, may provide insights by constructing likely future conditions and
359 evaluating ecosystem responses⁸⁶. The vast knowledge bank and tools collated for species risk
360 assessments can be harnessed to support RLE assessments. For instance, over 150,300 species
361 have been assessed under the IUCN Red List of Threatened Species (as of May 2023)⁸⁷, capturing
362 information including estimates of vulnerability to climate change and changes in species
363 populations or distributions^{5,88,89}. Information on ecosystem engineers, keystone species or
364 foundation species may be particularly useful where species decline is explicitly linked to collapse
365 (e.g., mangroves⁹⁰, seagrasses⁹¹, coral⁵), recognising that the likelihood of a single species causing
366 an ecosystem's collapse may be uncertain. Finally, information on historical climates can be used
367 as analogues for climate change to understand potential shifts in ecosystems based on changes in
368 suitable habitat⁹².

369 It is important to think critically about which models and scenarios are most appropriate for the
370 target ecosystem types and how these will be analysed (see *Estimating and reporting*
371 *uncertainties*). Multiple or ensemble global circulation models and appropriate climate projections
372 could be used to understand the range of the potential futures^{16,93}. Assessors may choose to use a
373 multi-model mean or present results from multiple models individually. The latter may be most
374 appropriate for ecosystem types where climate extremes and seasonality are important⁵⁸.
375 Projections can be downscaled to finer spatial resolutions via dynamical downscaling into regional
376 climate models, statistical downscaling, or simple scaling, but care must be taken to avoid
377 misinterpreting the accuracy and precision of the data⁵⁸ when interpreting the results in the
378 context of risk. The relative importance of spatial uncertainty in datasets may depend on the scale
379 of the ecosystem type classification⁵⁸; some ecosystem types are assessed at broad scale,
380 therefore coarser resolution datasets may have less impact on collapse risk than for finely defined
381 ecosystem types.

382 Expert judgements have long been used to estimate ecological variables where empirical data
383 are lacking⁹⁴, including in risk assessments for species⁹⁵, ecosystems⁹⁶ and ecosystem services⁹⁷.
384 Capitalising on the wealth of knowledge and experience of experts is likely to be critical to
385 capturing climate change impacts in risk assessments⁹⁸. Expert judgements (informed by available
386 evidence) are pivotal in RLE assessments, including in constructing conceptual models, selecting
387 indicators, defining collapse thresholds, and determining the relevance of datasets. Using expert
388 judgements to estimate ecological variables requires the same scrutiny afforded to empirical data
389 to ensure its reliability. It is best done using a structured approach (e.g., IDEA protocol⁶⁸) that
390 aggregates estimates from numerous experts and captures the degree of certainty⁹⁹.

391 Available data and expert opinion can then be used to underpin relationships between
392 ecosystem dynamics and projected environmental changes in process-based, mechanistic
393 simulation, statistical or climate envelope models¹⁰⁰. For example, mechanistic spatial modelling
394 approaches have been encouraged for extinction risk assessments and may be useful for
395 ecosystem risk assessment; these approaches better capture processes (e.g., physiology, dispersal,
396 demography and biotic interactions) and have better predictive potential when extrapolating to
397 conditions outside those in their training data, including novel conditions under climate change⁶³.

398 **Step 5 – Estimating and reporting uncertainties.** Capturing uncertainty is important to allow
399 comparisons between older and newer assessments as climate change projections and ecosystem
400 science in general rapidly evolves. When dealing with future projections and predictions, it is vital
401 to consider, capture and report on the types and extent of uncertainty and consider how these

402 affect the risk of collapse for the ecosystem type. To ensure assessments are transparent and
403 repeatable, assessors should explicitly report all assumptions and reasoning, data quality, and
404 plausible upper and lower bounds around a most likely risk category to reflect uncertainties in the
405 data²² (Box 1).

406 Approaches to capturing uncertainty are well established and becoming more accessible for risk
407 assessment as guidelines develop, including examples of assessments that manage and report
408 uncertainties well^{44,47}. Where possible, collapse risk should be calculated using multiple relevant
409 climate models, ecological models, emission scenarios (from low to high), and model realisations
410 (runs) to provide a plausible range of collapse risk that explicitly captures the uncertainty in future
411 change^{58,93}. Conducting sensitivity analyses can quantify some uncertainties by estimating change
412 under a range of alternate scenarios of environmental changes (scenario sensitivity)⁶¹, using a
413 range of data sources from local weather stations to global projections (data sensitivity)¹⁰¹, or
414 using multiple ecosystem models that represent alternate ecosystem responses (ecosystem model
415 sensitivity)⁶¹. Sensitivity analyses can also identify the components to which collapse risk is most
416 sensitive, thereby highlighting components that can be preferentially monitored. Quantifying the
417 agreement in spatial and temporal predictions from each scenario, model, and model run can
418 show points of consensus and difference among predictions, and to understand which type of
419 uncertainty most affects differences in predicted ecosystem changes^{58,93} and thus collapse risk.

420 By communicating multiple types of uncertainty, end-users can evaluate the consequences of
421 that uncertainty for their purposes and their tolerance for adverse outcomes. Ultimately, the risk
422 categories used in RLE assessments are coarse, providing a buffer to some level of uncertainty in
423 our capacity to estimate future changes under climate change.

424 **Conclusions and outlook**

425 A major challenge posed by climate change is the uncertainty in how the climate will change,
426 and how ecosystems will respond. The RLE is well suited to capturing the threats from climate
427 change on ecosystems (Figure 1) because of its versatility to assess any ecosystem type, handle
428 varied availability of data and knowledge, and assess change over different timeframes¹⁰. Yet
429 challenges remain in adequately representing uncertainty. The diagnostic process of defining
430 ecosystem dynamics, selecting indicators, and setting collapse thresholds is essentially the same,
431 regardless of the threats affecting the ecosystem type¹⁰. The practical solutions presented here
432 help overcome many challenges hindering reliable, comprehensive ecosystem risk assessments
433 capturing the threats from climate change: using innovative approaches to capture multiple
434 plausible climate response pathways, how to use diverse data sources and deal with data-poor
435 ecosystem types, creative use of sensitivity analyses, and evaluating and reporting uncertainties.

436 The uncertainty in forecasting ecosystem change under a changing climate requires a
437 multidisciplinary approach. Assembling multidisciplinary teams with a broad range of experience
438 in target ecosystems can enhance the capacity to produce assessments that adequately capture
439 the ecosystem dynamics. For example, gathering experts in remote sensing products, ecological
440 specialists, those with modelling and uncertainty expertise would facilitate a robust understanding
441 of ecosystem dynamics, how indicators and threats may vary or be measured, and how to
442 generate bounds on future risk for diverse indicators. Enhancing collaborations among diverse
443 experts may increase the accessibility of datasets; for example access to ecosystem-specific
444 climate predictions can be a major barrier because of infrequent collaboration among ecosystem
445 experts and climate modellers¹⁰². Enhancing knowledge sharing and using diverse information
446 sources will be essential to manage perceived data gaps that might otherwise limit our capacity to
447 estimate collapse risk under climate change.

448 Long-term monitoring data are required to support revised assessments every 5-10 years that
449 report whether the predicted changes manifested. This underlines the importance of developing,
450 implementing, and resourcing such programs. The suggestions above, including the use of
451 sensitivity analyses and sentinel variables, are designed to make this recommendation of
452 reassessments tractable because enhancing knowledge of ecosystem organisation, drivers, and
453 dynamics are critical to developing plausible predictions about climate change responses and
454 corresponding collapse risk. Further research is also needed to address and acknowledge the
455 uncertainties in global and regional climate models, particularly for uncertain variables such as
456 rainfall.

457 Key unresolved issues remain a challenge for ecosystem risk assessments. Firstly, while we
458 focus on extant ecosystems, novel ecosystems may arise as suitable conditions overlap among
459 ecosystems³². Novel ecosystems may represent depauperate versions of existing ecosystem types
460 (e.g., with new species assemblages), collapsed states of other ecosystem types, or completely
461 novel assemblages of species and processes (i.e., new ecosystem types). Judgements are required
462 to decide whether the novel ecosystems lie within the variations of the ecosystem under
463 assessment, or represent transition into a new ecosystem type, and collapse of the previous
464 ecosystem type. Current management focus to retain existing ecosystems can be assisted by
465 accurately capturing collapse risk, and thus direct attention to high-risk ecosystems where
466 interventions may slow or prevent transition to a novel ecosystem. Secondly, climate change will
467 likely have cascading effects on systems, whereby climatic changes have flow-on effects within
468 and between systems along impact chains². Ideally, this should be captured in the conceptual
469 modelling to show which features may be affected directly or indirectly. Yet our capacity to
470 confidently detect and attribute impacts on ecosystems to climate change decreases further along
471 the impact chain². Nonetheless, the RLE focuses on symptoms of collapse¹⁰, so attributing the
472 change to a specific threat may be less important than detecting change to the ecosystem (which
473 may be caused by multiple threats) and relating it to collapse.

474 Despite inherent uncertainty in ecosystem responses to climate change, risk assessments can
475 inform management to prioritise investments and planning to prevent collapse. Capturing climate-
476 driven risk is critical to inform policy, prioritisation for biodiversity and ecosystem conservation,
477 and potential risks to vital ecosystem services⁹⁷. There are clear implications that climate change
478 increases the risk of collapse for particular ecosystem types, and thus impacts social-ecological
479 systems⁷, including ecosystem services, human wellbeing and economic value derived from those
480 systems. Risk-based approaches highlight the urgent imperative and return-on-investment for
481 climate change mitigation, can inform selection of the most effective ecosystem-specific strategies
482 for enhancing ecosystem resilience, and help secure a sustainable trajectory for future
483 generations.

484 **Supplementary information**

- 485 Appendix 1 – Glossary of terms
- 486 Appendix 2 – Reference list from Figure 2
- 487 Appendix 3 – Reference list from Table 1

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