Hypotheses on the extended phenotype of the mitochondrion: sex, mortality, and aging

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Gordon Irlam gordoni@gordoni.com

Los Altos, California, United States

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Abstract

How did sex evolve, how is sex evolutionary stable, why do eukaryotes appear mortal, and why do eukaryotes age, are all pressing questions. This paper presents a mitochondrial perspective on the evolution of the eukaryotic cell that appears capable of answering these questions. Rather than viewing a mitochondrion as a passive entity taken up by an archaeal host that remains in the driving seat, mitochondria are viewed as the key force driving eukaryogenesis. The protomitochondrion is presumed to have manipulated its archaeal host to engage in sex in order to replicate itself in a more and more beneficial environment. This process is hypothesized to still be operating today as a result of the mitochondrion's continued production of reactive oxygen species (ROS). The specific production of ROS by the mitochondrion appears to be an intentional mechanism to cause the organism to age, and ultimately to die. Faced with mortality, if the organism wishes to pass on its nuclear genes it will typically engage in sex as a means of resetting age. Eukaryotic species that instead reproduced parthenogenetically would find themselves out-competed by sexual species due to the reassortment of genes that comes with sex. The mitochondrial genome benefits via kin selection from the death of its host as a result of an increased ability to adapt to a changing environment that comes from a shortened time between successive sexual generations. The resulting model appears capable of explaining the seeming intentionality of many age-related diseases, and provides a high level theoretical framework for better understanding them.

Keywords: evolution, eukaryogenesis, extended phenotype, mitochondria, sex, mortality, aging, ²⁶ reactive oxygen species, cellular senescence, age-related diseases. ²⁷

1 Introduction

This paper offers a mitochondrial perspective of the evolution of the eukaryotic cell. It is not that the nuclear genome is unimportant, but that it might be over-emphasized, and that by giving due consideration to the role of the mitochondrion a clearer picture of eukaryogenesis, sex, mortality, and aging emerges. 32

Many age-related diseases appear to be caused by cellular senescence, which appears to be activated by telomeric DNA damage, which in turn appears to be caused by reactive oxygen species (ROS). ³⁴

The core idea of this paper is that the production of ROS by the mitochondria can be viewed as an intentional mechanism by the mitochondrial genome to cause the individual organism to die. A shortened lifespan will reduce the mean time between successive sexual generations, and thus increase the ability of the population to adapt to a changing environment. The mitochondrial genome benefits from this increased ability to adapt via kin selection.

Syngamy is the eukaryotic process that produces one diploid cell from two haploid cells. Meiosis 40 is the process that produces four haploid cells from two diploid cells. As used in this paper, sex 41 refers to the combination of syngamy and meiosis. Sex can be viewed as a mechanism by which 42 haploid cells produce new haploid cells, or alternatively the process by which diploid cells produce 43 new diploid cells. 44

The existence of sex is troubling to some biologists because of the two-fold cost it imposes; that ⁴⁵ is only half of a parent's alleles get passed on to each offspring[1]. Parthenogenetic reproduction ⁴⁶ has no such constraint. All of the parent's alleles get passed on to each offspring. This raises the ⁴⁷ question of how sex might have evolved, and how it might continue to exist, when the alleles for it ⁴⁸ would seem hellbent on their own demise. ⁴⁹

Mortality is also troubling. Evolution appears able to produce a myriad of complex organismal forms, but unable to perform the seemingly much simpler task of keeping them working. The fact that two relatively recently diverged species, such as mice and men, have such widely different lifespans suggests mortality may be deliberate. But why and how?

The phrase "the extended phenotype" was developed by Richard Dawkins to refer to phenotypic ⁵⁴ effects beyond the boundary of the organism[2]. For example, the extended phenotype of the beaver ⁵⁵ includes the dams it builds. The extended phenotype of the mitochondrion is the phenotypic effects ⁵⁶ of the mitochondrion beyond the outer mitochondrial membrane. This paper considers extended ⁵⁷ phenotypic features of the mitochondrion, and in particular a role in the evolution of sex, mortality, ⁵⁸ and aging. ⁵⁹

The eukaryotic cell is believed to have evolved from a symbiotic relationship between an archaeon 60 and an alphaproteobacterion [3]. Most attempts to understand the eukaryotic cell focus on the 61 nuclear chromosomes, treating the incorporation of the mitochondrion as an energy providing af-62 terthought. In terms of size the mitochondrial genome is small, but in terms of what it brings to 63 the equation, a 15-fold increase in ATP[4], it is large, and thus it should have been expected to 64 play a major role in the evolution of the eukaryotic cell. In addition, the mitochondrial genome 65 may be small today, but historically the proto-mitochondrial genome is likely to have been much 66 larger. Alphaproteobacterial spotted fever group Rickettsia genomes are around 1.3M base pairs. 67

Hypothesis 1: Sex evolved as a means for the proto-mitochondrion to increase the fitness of its host environment.

Hypothesis 2: Sex continues to be the means by which the mitochondrial genome increases the fitness of its host environment.

Hypothesis 3: By enforcing mortality, the mitochondrial genome forces the nuclear genome to engage in frequent sexual recombination.

Hypothesis 4: Aging is a process in which the mitochondrial genome enforces mortality.

Hypothesis 5: Aging-related nuclear genes will also exhibit some vital life-enhancing function.

Corollary 5.1: Anti-aging interventions can commonly be expected to exhibit reduced biological fitness in the evolutionary environment.

Hypothesis 6: ROS production is the fundamental mechanism by which the mitochondrial genome causes aging.

Hypothesis 7: Cellular senescence is a key downstream mechanism of aging in vertebrates.

Table 1: Hypotheses on the extended phenotype of the mitochondrion

And known Asgard archaeal genomes, putative eukaryotic ancestors[5], aren't a lot larger, ranging from 1.4-5.7M base pairs. In short, the proto-mitochondrial genome had a lot of bargaining power over the nature of the union.

After briefly reviewing some basic biological concepts and framing the problem, the bulk of this 71 paper develops a series of seven related hypotheses on the extended phenotype of the mitochondrion 72 (HEPM). These hypotheses lay the foundation for, and formalize, the core idea that through kin 73 selection the mitochondria cause the individual host organism to die so as to maximize the fitness 74 of their host environment. These hypotheses along with one corollary are shown in Table 1. As 75 the paper develops, the predictions of these hypotheses are compared to observations. Once the 76 hypotheses are fully developed the conflict that results from the different reproductive mechanisms 77 of the nuclear and mitochondrial genomes is investigated. This is followed by an exploration of the 78 implications of the hypotheses for addressing many age-related diseases. Finally, in the discussion 79 section, an assessment of the evidence for and against the hypotheses is weighed. 80

1.1 Mitochondria

Mitochondria are reviewed in detail in Appendix A. Only the role of mitochondria in apoptosis and senescence is reviewed here.

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Mitochondria play a key role in apoptosis[6]. The pathways leading to apoptosis all flow through the mitochondria, and the release of apoptotic inter-mitochondrial membrane proteins into the statement of the st cytosol irreversibly starts the apoptotic $\operatorname{process}[7]$. $\operatorname{H}_2\operatorname{O}_2$ oxidizes cardiolipin found in the inner membrane causing it to release bound cytochrome c[8]. Oxidized cardiolipin also helps open the mitochondrial permeability transition pore in the outer membrane[9, 10, 11]. Opening of the pore leads to a swelling of the matrix, rupturing the outer mitochondrial membrane, and the release of apoptotic intermembrane proteins into the cytosol including cytochrome c[12]. The reason why mitochondria play such a key role in apoptosis presently appears to be unknown.

Mitochondria also play a key role in cellular senescence, which is a state of seemingly permanent 92 growth arrest of a cell. In cellular senescence mitochondria are enlarged, elongated, and hyper-93 fused[13]. Moreover they are less efficient at producing ATP, with a decrease in the mitochondrial 94 membrane potential, and an increase in the production of reactive oxygen species (ROS)[13]. Mi-95 tochondrial ROS may cause DNA damage that leads to telomere shortening in replicative cellular 96 senescence^[13]. Senescent cells in which the mitochondria have been eliminated are capable of 97 surviving via glycolysis[14]. Such cells remain at cell cycle arrest, but are unable to generate a 98 senescence-associated secretory phenotype[14]. The reason why mitochondria play such an impor-99 tant role in senescence appears unknown. 100

1.2 Evolution of the eukaryotic cell

Alphaproteobacteria are a class of bacteria. The eukaryotic cell is widely believed to have evolved from a symbiotic relationship between an archaeon and an alphaproteobacterion, with the alphaproteobacterion becoming the mitochondrial organelle[3]. Much of the original DNA of the alphaproteobacteria is believed to have relocated to the nucleus, leaving behind only a small mtDNA remnant.

Exactly how an alphaproteobacteria ended up living inside an archaeon isn't certain. There seem to be few theories capable of explaining the mechanics of how eukaryotes originally evolved. Currently the only serious attempt to explain the mechanism of evolution of the eukaryotes appears to be the viral eukaryogenesis hypothesis.

The viral eukaryogenesis hypothesis posits that the eukaryotic cell evolved from a virus, archaeon, ¹¹¹ and alphaproteobacteria[15]. Difficulties with the viral eukaryogenesis hypothesis include viruses ¹¹² would need to evolve the means to replicate by themselves if they are to eventually evolve into ¹¹³ gametophytes, and the lack of any known double stranded DNA viruses with segmented genomes; ¹¹⁴ so the theory doesn't explain the origin of chromosomes. ¹¹⁵

An alternative line of reasoning starts with the archaeon's development of the cytoskeleton com-116 ponent actin[16, 17, 18]. Actin filaments would have allowed the archaeon to extend its plasma 117 membrane to engulf large particles, which it would then attempt to digest, leading to the develop-118 ment of phagocytosis^[19]. When that ingested particle happened to be a living cell, the ingested 119 cell would evolve in such a way as to attempt to resist the full phagocytic effects of ingestion. An 120 example of this is provided by *Rickettsia conorii*, an intracellular pathogen, which enters the host 121 by inducing host phagocytosis, and then escapes from the phagosome into the host's cytosol[20]. 122 It should be noted that Rickettsia is a genus of alphaproteobacteria. 123

Once inside the archaeon the alphaproteobacteria would have had three possible ways to propagate. ¹²⁴ Similar to the lytic or lysogenic cycles of bacteriophages and viruses, it could replicate until the ¹²⁵

host cell bursts and then find new host cells to infect, or it could attempt to ensure that it is 126 faithfully propagated to each descendant of the host cell. Additionally the alphaproteobacteria 127 could use actin based motility to spread from cell to cell. An example of this is again provided 128 by the Rickettsia. The typhus group Rickettsia cause host cell lysis, while the spotted fever group 129 spread from cell to cell by means of actin filaments [21]. It is worth noting that spotted fever group 130 Rickettsia infection doesn't necessarily lead to host cell death, because avirulent strains of the 131 spotted fever group exist that are capable of coexisting with their host in what might be described 132 as a parasitic endosymbiosis[21]. 133

The initially defenseless host might be expected to evolve defenses against the alphaproteobacterial parasites. These defenses are unlikely to be complete, we are still vulnerable to Rickettsia today, but are likely to be substantial. The exception being if the endosymbiont provides a benefit to the host. This proved to be the case with the mitochondria, which through oxidative phosphorylation increases the ATP available to the host by roughly a massive 15 fold over the glycolysis of anaerobic fermentation[22]. This then leads to a mostly cooperative relationship between the host and parasite. Over time the endosymbiont lost the ability to survive outside of the host.

1.3 Group selection and kin selection

Group selection is the hypothesis that natural selection acts for the good of a group, such as a species. Group selection is widely dismissed by evolutionary biologists[23]. Kin selection is the hypothesis that evolution is capable of acting not just for the benefit of an organism and its offspring, but also for the benefit of the organism's relatives. Kin selection is widely accepted by evolutionary biologists[24].

1.4 Active germline replicators

An active germline replicator is an entity of which copies can be made and whose nature has some ¹⁴⁸ influence over the probability of it being copied[2]. ¹⁴⁹

Both the archaeal host and the alphaproteobacterial proto-mitochondrion are active germline replicators. The germ cells and zygotes of eukaryotes might be described as low fidelity active germline replicators. Germ cells and zygotes make copies of themselves in an environment made up of other germ cells and zygotes. The strategy they employ may involve creating zygotes, germ cells, somatic cells, and multicellular organisms, but in the end they produce more copies of themselves. They are low fidelity replicators in the sense that the DNA sequences of the copies only partially reflect the original due to homologous recombination of allelic sequences.

To be pedantic, the DNA should probably be viewed as forming the active germline replicator, and ¹⁵⁷ the archaeon or proto-mitochondria is just a vehicle for the replicator, but it is often easier to speak ¹⁵⁸ in terms of replicating proto-mitochondria than to spell out every time that it is the DNA of the ¹⁵⁹ replicating proto-mitochondria that is the replicator, with the rest of the mitochondrion existing ¹⁶⁰ because it assists in making copies of the replicator. ¹⁶¹

An important question in biology is: how can active germline replicators (the archaea and alphaproteobacteria) combine to make other active germline replicators (eukaryotes). This is the theme of 163

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the rest of this paper.

1.5 The problem of sex

For species in changing environments sex typically offers large fitness advantages, but at the nuclear genetic level it is difficult to understand how it evolved, and why it continues to exist. 167

Reported advantages of sex include the ability to combine the best mutations from several organisms, resistance to parasites, clearance of deleterious mutations, and an increase in the speed of evolution[25]. These are all advantages for the individual or the species. But evolution is widely viewed as not working for the good of the individual or the species, but for the good of the gene[26].

The fundamental problem with sex is it results in only half of each parents' alleles getting passed 172 on to each offspring. This includes the alleles promoting sex. 173

Consider a very simple scenario in which a single dominant nuclear allele for parthenogenesis arises ¹⁷⁴ in a large sexual population. At the population steady state each sexual female produces an average ¹⁷⁵ of two offspring; only one of which contains a given parent's allele. Meanwhile, assuming the male ¹⁷⁶ parental investment in the sexual case is zero, the asexual organisms will also produce two offspring, ¹⁷⁷ doubling the population of the allele. Consequently the allele for parthenogenesis should rapidly ¹⁷⁸ increase in the population and allele for sex should rapidly be lost from the population. This is J. ¹⁷⁹ Maynard Smith's classic argument for the two-fold cost of sex[1]. ¹⁸⁰

Care must be taken when using the phrase "two-fold cost". In the literature "two-fold cost" 181 sometimes refers to gene dilution, as above, and sometimes it refers to the cost of producing 182 males [27]. For gene dilution, extra care must be taken with the phrase "two-fold cost" as it is not 183 something that gets offset against the benefits of sex for a population. Rather it is a cost born 184 by nuclear alleles in favor of sex. Even when sex is highly beneficial to the population, the two 185 fold-cost can make nuclear alleles in favor of sex become extinct. It is true that parthenogenetic 186 reproduction is associated with a small, gradual, loss in fitness, but this doesn't come close to the 187 two-fold cost over the time frame in which nuclear alleles become extinct. The cost of producing 188 males is something that does get offset against the benefits of sex for a population, making genome 189 dilution the more fundamental problem. 190

A more complex scenario than the one just considered, in which there are multiple dominant or recessive alleles for sex or for parthenogenesis, is unlikely to change the two-fold cost. The problem with sex lies with the fundamental nuclear genome sharing nature of sex, not with the genes promoting it. So how might sex have evolved, and how might it continue to exist?

Smith's argument depends critically on the amount of male parental investment. If male parental 195 investment was 50% of the total parental investment in the offspring, the asexual organism would 196 only produce one offspring. But even if male parental investment is 50% there are still problems 197 with sex. A parthenogenetic reproducer could mimic a female and accept parental investment from 198 a male, but then discard the male's genes. Or the allele for parthenogenesis could favor itself 199 during or post meiosis by killing its siblings. Such an allele might be expected to spread within a 200 population, even if it is harmful to the success of the species. Fundamentally, sex involves an allele 201 sharing half of its accrued rewards with an unknown competitor. This does not seem a productive 202

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thing to do.

Despite the advantages of sex for a species, the two-fold cost to alleles in favor of sex, and hence 204 Darwinian evolution, seem to argue against it. 205

2 Sex

2.1 A proposed evolution of sex

It is proposed here that sex evolved as a means for mitochondrial active germline replicators to replicate themselves inside of more and more suitable hosts. The mitochondria were engaged in the ultimate selective breeding experiment, crossbreeding those host nuclear chromosomes that proved successful in previous generations. 208

Hypothesis 1: Sex evolved as a means for the proto-mitochondrion to increase the fitness of its host environment.

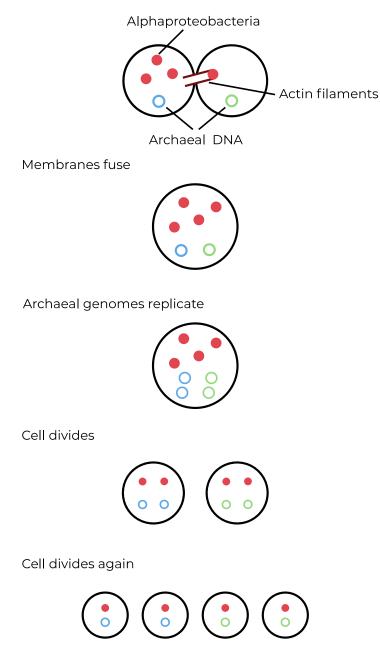
The proposed route to sex is as follows. Imagine an actin propelled alphaproteobacteria attempting 212 to spread from cell to cell by punching a hole in two apposed archaeal cells' plasma membranes. 213 This would be similar to the way in which the spotted fever group Rickettsia are known to spread 214 by punching a hole in their host by means of actin, and then entering a neighboring cell[21, 28, 29]. 215 If the plasma membranes were close enough to each other when the holes were punched then there 216 is a possibility that the holes might heal by joining together around the apposing points on their 217 rims. You would then end up with a single cell containing alphaproteobacteria and two copies of 218 the archaeal genome. This would be much like the mitochondria and two copies of the nuclear 219 genome found in eukaryotic cells today. When the cell next replicated the two archaeal genomes 220 would become four genomes, which would be followed by cell division. All it would take is for this 221 to be followed by a second cell division, and you would have something that is starting to resemble 222 syngamy followed by meiosis – minus the important reassortment of nuclear genes. This is shown 223 in Figure 1. Partial reasonment of genes could occur if the circular archaeal genomes were broken 224 into distinct lengths: primitive nuclear chromosomes. 225

Reassortment of genes would bring huge benefits to the organism. Suddenly evolution can occur 226 in parallel across all the genomes in the population, and the best features of each merged, instead 227 of having to be evolved along a single lineage. Ortholog radA in archaea, recA in bacteria, and 228 Dmc1 in eukaryotes, is a key gene in homologous recombination[30]. It is capable of scanning 229 for double-stranded DNA homologous to a single-stranded DNA template. Since radA and recA 230 are found in archaea and alphaproteobacteria, its recruitment to the process could thus quickly 231 lead to full blown meiosis[30]. At first the process would be messy, with multiple archaeal cells 232 sometimes merging, and chromosomes and proto-mitochondria not getting distributed evenly among 233 descendants. Frequently failures would occur, but the sequence of steps leading to tightly regulated 234 syngamy and meiosis is precisely the sort of thing evolution is good at climbing. 235

An evolution of sex like the one described would explain why anaerobic environments don't contain ²³⁶ any anciently amitochondrial eukaryotic species[31]. The mitochondria was there at the start of ²³⁷

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Alphaproteobacteria infected archaea infects adjacent archaea

Figure 1: Proposed route to sex. An alphaproteobacteria from an infected archaea spreads to an adjacent archaea by means of actin filaments. The membranes of the two archaeal cells then fuse. The archaea genomes then replicate followed by cell division. If a second round of cell division then occurs the process starts to be reminiscent of syngamy followed by meiosis. The only major missing component is the reassortment of genes.

eukaryogenesis.

The possibility that the alphaproteobacteria that evolved into the mitochondrion had a broad ²³⁹ host cell range has the potential to explain difficulty in determining the archaeal ancestor of the ²⁴⁰ eukaryotic cell. There need not be one single ancestor or ancestor species. ²⁴¹

An actin-based alphaproteobacteria motility model of eukaryogenesis is a simple theory in which ²⁴² mitochondria replicate themselves inside more and more suitable hosts. Other theories of eukaryogenesis are possible. One such other theory is that sex somehow evolved as a means to provide ²⁴⁴ compatibility between a rapidly mutating mitochondrial genome and a more slowly mutating archaeal genome[32]. Provided at least part of the mitochondrial genome survived the process of ²⁴⁶ crossbreeding nuclear genomes intact, other such theories would lead to similar conclusions regarding the nature of mortality and aging to those to be reached here. ²⁴⁸

2.2 On sex

Who benefits from sex? As hypothesized in this paper, sex evolved for the benefit of the protomitochondrial genome. Proto-mitochondrial genomes were manipulating their environment (the archaeal host) in order to make it more probable they will survive.

Do the nuclear genes of the originally archaeal host benefit from sex? A nuclear gene benefits ²⁵³ relative to a nuclear gene in an asexual species by being placed in a fitter and fitter environment. ²⁵⁴ This environment is created by the recombination of the other nuclear genes. But a particular ²⁵⁵ nuclear allele does not benefit relative to some other allele of the same nuclear gene. Each nuclear ²⁵⁶ allele would prefer to reproduce selfishly, asexually. This point is no different than a multi-player ²⁵⁷ prisoner's dilemma. Everyone might benefit from cooperating, but an individual allele gains a ²⁵⁸ strong initial advantage by defecting. ²⁵⁹

Note that although sex had benefits for the species, it need not. Sex could have been harmful to the success of the species, but so long as the proto-mitochondrial genome benefits, in the short run it would still occur. Depending on how harmful it was this could lead to the decline or extinction of the population. This is in contrast to most existing theories on sex, which attempt to divine how both nuclear alleles and the species benefit[25].

Why did the nuclear genes participate in sex then? This is a thorny question which will be addressed ²⁶⁵ in section 3 dealing with mortality. For now, simply note that the species benefited from the ²⁶⁶ recombination of advantageous alleles and from the energy provided by the mitochondrion: the ²⁶⁷ primary beneficiary of sex. ²⁶⁸

How does the mitochondrial genome cause sex? Today, this is difficult to see. Most mitochondrial 269 genes that once existed to cause sex, say by riding an actin filament, punching a hole in two cell 270 membranes, and causing recombination to occur, have probably nearly all long since migrated to 271 nuclear genes, and been replaced by other nuclear genes. As will be explored in sections 3 and 4 272 dealing with mortality and aging, the mitochondrial genome maintains a genetic mechanism that 273 causes sex to occur through the generation of reactive oxygen species. 274

2.3 Sex in present-day eukaryotes

One possibility is the alphaproteobacterion got the ball rolling with respect to the occurrence of ²⁷⁶ sex, and then the alphaproteobacterion faded into the background becoming the mitochondrion, ²⁷⁷ and the nuclear mechanisms of sex became self supporting. This however fails to explain how sex ²⁷⁸ can continue to exist in unicellular organisms that are capable of parthenogenetic reproduction ²⁷⁹ given the two-fold cost to alleles in favor of sex. Also as explored in section 3, it fails to explain ²⁸⁰ why eukaryotes appear mortal. And it also doesn't explain why the mitochondrion continues to ²⁸¹ maintain its own separate genome. ²⁸²

The near universality of mitochondria, mitochondrial DNA, and sex in present day eukaryotes ²⁸³ allows us to hypothesize that the crossbreeding of nuclear chromosomes by the mitochondrion isn't ²⁸⁴ confined just to eukaryotic evolution, but that it continues to occur today. ²⁸⁵

Hypothesis 2: Sex continues to be the means by which the mitochondrial genome increases the fitness of its host environment.

How the tiny mitochondrial genome can achieve this will be explored in sections 3 and 4 dealing with mortality and aging. 287

2.3.1 Asexual eukaryotes

A nuclear gene that prevents sex and leads to parthenogenetic reproduction might be expected to ²⁸⁹ propagate within a species, but represents an evolutionary dead end. The benefits of sex will be ²⁹⁰ lost, and the species will be out-competed by other species. This is consistent with the observation ²⁹¹ that it is very rare for a taxon higher than a species to consist entirely of asexual species[33]. The ²⁹² only reported exception appears to be the bdelloid rotifers: ²⁹³

• The bdelloid rotifers are famous as a class of ancient asexual eukaryotes[34]. Bdelloid rotifers ²⁹⁴ appear to engage in interspecies and intraspecies horizontal gene transfer[35, 36]. Importantly ²⁹⁵ bdelloid genomes have been found to contain pairs of homologous chromosomes and engage ²⁹⁶ in occasional gene transfer between the homologous chromosomes[37]. This may go some way ²⁹⁷ to explaining why the bdelloid rotifers don't need to engage in sex. They may get one of ²⁹⁸ the benefits of sex through other means: the ability to try out multiple mutations without ²⁹⁹ permanently losing the original genome. ³⁰⁰

There may also be a mitochondrial angle to the bdelloid rotifer story. The bdelloid rotifers 301 Rotaria rotatoria and Philodina citrina mitochondrial genomes have been sequenced [38, 39]. 302 The large subunit sequence sizes are 529 and 477 nucleotides respectively. The mitochondrial 303 small ribosomal subunit sizes are 521 and 720 nucleotides respectively. This seems very small 304 to be a functioning ribosome, particularly for the large subunit. For comparison, the human 305 large and small subunits are 1.559 and 954 nucleotides. As described in section 7, a review 306 of the mitochondrial large subunit sizes of 13,645 species only turned up 30 sequences that 307 were putatively smaller than that of R. rotatoria. All 30 had substantial adjacent non-coding 308 regions, suggesting they were incompletely identified. Thus it is conceivable that bdelloid 309

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rotifers have lost a fully functioning stand-alone mitochondrial ribosomal gene along with the loss of sex, and would then require some nuclear component to assist in the translation of the mitochondrial genome. This might seem unlikely, but so does a large subunit size of 529 or 477 nucleotides.

It should be noted that the non-bdelloid rotifers *Brachionus plicatilis* and *Brachionus rubens* ³¹⁴ mitochondrial genomes are unusual in that they consist of two chromosomes[40]. Whether this ³¹⁵ odd arrangement was a stepping stone to the bdelloid's asexuality isn't known. Summarizing, ³¹⁶ something appears to be going on in the mitochondrial genome of bdelloid rotifers. ³¹⁷

Two taxa that were once thought to be as exual are aphids of the genus Trama, and bivalved ³¹⁸ crustaceans of the family Darwinulidae [34]: ³¹⁹

- Trama was reportedly a genus of asexual aphids, but with the report of sex in Trama ³²⁰ troglodytes, this is no longer the case[41]. ³²¹
- Like *Trama*, the darwinulid ostracods were long thought to be asexual, but males have now ³²² been found in one species, suggesting any loss of sex might have been more recent[42]. ³²³

If the loss of sex is represents an evolutionary dead end, ancient asexual species should be rare. ³²⁴ They are. Besides the bdelloid rotifers and darwinulid ostracods the only other well known ancient ³²⁵ asexuals appear to be the arbuscular mycorrhizal fungi[34]: ³²⁶

 Like the bdelloid rotifers, arbuscular mycorrhizal fungi might have found an alternative to sex. 327 In arbuscular mycorrhizal fungi, offspring receive hundreds of nuclei from their parent[43]. 328 Thus there is a population of individually mutating nuclear genomes that might provide some 329 of the benefits of sex seen in other organisms. 330

If the mitochondrial genome is the cause of sex, it follows sex is more likely to be lost when the ³³¹ mitochondrial genome is delivering little or no value to the resulting organism, such as in anaerobic ³³² environments. Here the loss of mitochondria or the loss of the mitochondrial genome might be ³³³ expected to lead to the loss of sex. It is thus worth considering a few other taxa within which sex ³³⁴ appears to have been more recently lost: ³³⁵

- Further evidence that the mitochondrial genome has something to do with sex is provided 336 by the microsporidia. Microsporidia are a group of fungi that lack mitochondria[44]. They 337 do however have an organelle called a mitosome, that appears to have been derived from the 338 mitochondrion[44]. Mitosomes appear to have lost their organellar DNA. This makes the fact 339 that some species of microsporidia are entirely asexual interesting. Even more interesting is 340 the fact that this loss of sex doesn't appear to have occurred in one ancient ancestral lineage, 341 but to have occurred several times in different lineages [45]. This loss of sexuality has occurred 342 in the absence of a mitochondrial genome. 343
- Diplomonads and trichomonads are two orders that have lost their mitochondria[46]. Despite 344 some diplomonads having genes for meiosis, they are not known to be sexual[47]. Trichomonads are also believed to be asexual[48]. Once again the loss of mitochondria and the possibility 346 for asexuality go hand in hand. 347

In conclusion there seems to be a relationship between missing or unusual mitochondrial systems and 348 asexuality in eukaryotes. More specifically, asexuality rarely transcends taxa larger than a species, 340 asexuality frequently appears to be an evolutionary dead end with very few ancient asexuals, and 350 asexuality is particularly common in amitochondrial species. 351

$\mathbf{2.4}$ Sex and species

In a seemingly strange coincidence, DNA barcodes of the mitochondrial COX1 gene make it possible 353 to group organisms into clusters, and these clusters usually just happen to be the same as domain 354 expert's judgments of distinct species [49, 50]. This happens even though new species are believed 355 to most commonly be formed through vicariant allopatric speciation [51], in which there isn't a 356 strong population bottleneck on the mitochondrial genome. 357

There are many definitions for the concept of a species. The mitochondrial perspective provides 358 another one. A species could be defined as the phenotypes of all of the nuclear genomes associated 359 with a set of closely related kin mitochondrial genomes. The mitochondrial genomes are in compe-360 tition with other mitochondrial genomes, but they cooperate with kin, and they benefit from the 361 crossbreeding of the nuclear genomes associated with kin mitochondrial genomes. The larger the 362 nuclear genome pool, the more opportunities the mitochondrial genomes and thus the species have 363 to survive. Cooperation between kin mitochondria has the power to explain why mitochondrial 364 DNA barcodes should cluster organisms into species. 365

Mitochondrial genomes function as selfish replicators, much like prokaryote genomes, but within 366 a more complex environment. The nuclear genome pool forms the extended environment within 367 which related mitochondrial genomes evolve, assisting some mitochondrial genomes, and impinging 368 on others, and generally ensuring all the mitochondrial genomes associated with a nuclear genome 369 pool remain sufficiently similar, that is, related. 370

If sex was lost in some species this would reduce the fitness for the embedded mitochondrial genomes 371 relative to the mitochondrial genomes of other species as they would no longer benefit from the 372 crossbreeding of the nuclear genomes. It would represent a rapid evolutionary dead end for the 373 species. 374

3 Mortality

So far we have seen how the mitochondrial genome might favor sex so that it gets to exist in a fitter 376 and fitter environment. The theory we have developed so far however is incomplete. Nuclear alleles 377 in favor of sex might be expected to be replaced by alleles favoring parthenogenetic reproduction. 378 Or when parthenogenetic reproduction is not a possible option, nuclear alleles in favor of sex might 370 be expected to be replaced by alleles favoring continued mitotic growth. In order to understand 380 why this doesn't occur it will be necessary to examine eukaryotic mortality, and in particular to 381 hypothesize a role for the mitochondrial genome in bringing about eukaryotic mortality. How 382 mortality promotes sex will be explained below. 383

Eukaryotic mortality refers to the existence of an apparent intrinsic time limit for which a eukaryotic 384

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organism can live, before death occurs. This time limit may be measured in terms of actual time, aggregate metabolic inputs, or some other organismal process. Here an organism that only dies as a result of extrinsic evolutionarily unavoidable misfortune is not viewed as being mortal. 387

The nuclear alleles desire immortality and asexual reproduction. Suppose after a certain time period ³⁸⁸ without sex the mitochondria always sabotaged the existence of the organism. If this was the case, ³⁸⁹ the nuclear alleles would have no alternative way to continue to exist other than to periodically ³⁹⁰ engage in sex. This is precisely what appears to happen. Individual eukaryotes age and die, the ³⁹¹ mitochondrion appears to be implicated in organism mortality, and reproduction causes a resetting ³⁹² of the aging process. ³⁹³

Hypothesis 3: By enforcing mortality, the mitochondrial genome forces the nuclear genome to engage in frequent sexual recombination.

For single celled organisms, mortality might only come after several rounds of parthenogenetic ³⁹⁴ reproduction. Sexual recombination, not parthenogenetic reproduction, is expected to reset the ³⁹⁵ age of the organism. ³⁹⁶

For multicellular organisms, mortality means that the strategy of surviving by just continuously 397 growing through mitosis is ultimately going to fail. If the nuclear genome is going to survive it 398 ultimately has to engage in meiosis. 399

How mitochondria bring about mortality will be explored in section 4. For now it is sufficient to 400 recall that mitochondrial reactive oxygen species (ROS) are harmful to many cellular components, 401 and that the mitochondria play key roles in apoptosis and cellular senescence. 402

Death as a means of promoting a fitness increase that comes from sex is capable of explaining why unicellular eukaryotes are capable of committing apoptosis[52]. 404

That the population will benefit from a shorter generation time than desired by the nuclear alleles 405 may appear fairly obvious. Sex provides an increase in fitness as a result of the combination of 406 advantageous alleles and the overcoming of Muller's ratchet. A full analysis would however need to 407 take into account changing population sizes, organism sizes, niche sizes, and mutation rates that 408 may be associated with a change in the generation time. 409

Since the interests of the mitochondrial genome are tightly tied to the interests of the organisms 410 within which they reside, if the organism benefits from a shorter generation time, so will the 411 mitochondrial genomes. 410

Any talk of the mitochondrial genome sabotaging the existence of the organism so as to force it to 413 engage in sex has to rely on kin selection. The benefits to closely related kin of the mitochondrial 414 genomes that engage in sabotage have to outweigh the loss of mitochondrial genomes as a result 415 of this sabotage. The kin are the other mitochondrial genomes of the species. And the benefit 416 to the kin is the removal of nuclear alleles from the gene pool that conferred a resistance to sex. 417 In so doing the mitochondrial genomes maintain a potentially large ability to adapt to a changing 418 external environment as a result of nuclear genetic recombination. Mitochondrial genomes that 419 don't enforce sex to survive upon the nuclear genomes will find the nuclear genomes engaging in 420 sex less and less frequently, leading to a worsening ability to adapt and ultimately the loss of the 421

species.

To understand how kin selection of mitochondrial genomes works, consider a population of organ-423 isms with the optimal fitness producing lifespan for the population, except for a single organism 424 with an allele A coding for a lifespan y years longer than optimal for the population. Because y is 425 larger than normal, the organism with allele A has increased fitness and will bear more offspring. A 426 longer generation time may increase the fitness for the organism, but reduces the mean fitness for 427 a population. Because of the increased organismal fitness the population of allele A will eventually 428 become fixed, at which point each successive generation will experience a log fitness loss of, say, c. 429 τ generations after this the total aggregated log fitness loss will be in excess of $c\tau$. A value that 430 will grow without bound as τ increases. But this entire fitness loss could be avoided at small cost 431 if the mitochondrial genome killed organisms that lived longer than the optimal lifespan. Thus by 432 kin selection there is an advantage for the mitochondria to kill organisms that would have survived 433 longer than the optimal lifespan. 434

In summary, nuclear alleles strive for near immortality at a cost to the species, while the mitochondrial genome supports frequent mortality. Given mortality the nuclear genes will bend to the interests of the mitochondrial genome, and support sex as a means of resetting mortality.

3.1 Mortality and metabolism

So far the mitochondrial genome has been viewed as imposing a time limit on the life of the organism. 439 But mitochondrial genomes aren't armed with a stopwatch, and even if they were this might not 440 be the most appropriate way of doing things. Instead it seems more likely that lifespan might be 441 tied to something easier to measure such as the aggregate inputs or outputs of the mitochondria. 442 This might explain the observation that lifetime energy consumption per unit of body weight is 443 roughly constant for related species [53]. This might also explain the correlation between obesity 444 (the result of greater metabolic inputs), and shortened lifespan. The world beyond the organism 445 might be viewed as containing energy, and the evolutionary mandate is to gather up enough energy 446 to create another organism as quickly as possible. 447

3.2 Mortality and species

Life exists in a dynamic equilibrium. New species are constantly being formed. Meanwhile existing 449 species are evolving. Some evolve to live longer, and then they go extinct through a failure to adapt 450 in comparison to other species that live a shorter length of time. 451

Successful organisms might come from a long line of successful organisms, but successful species 452 usually come from a long line of failures; failures to live longer that is. 453

There is almost no limit to the length of time the nuclear genome would like the organism to live, since the longer the organism lives the more offspring are possible. There is however a species specific length of time the mitochondrial genome would like the organism to live. This length of time relates to how quickly the organism can produce successful offspring, as well as the fitness loss to the mitochondrial genome that comes with a longer lifespan. 458

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If species faced little competition, then nuclear mutations that increase lifespan would occur, ex-459 tending species lifespans. On the other hand, the more intense the competition between species, 460 the closer to the mitochondrial genome's preferred length of time to live that species should be 461 found. Anecdotally, consider the very long lifespan of the Galápagos tortoise, which probably faces 462 little interspecies competition. Similarly, the naked mole-rat occupies a relatively unique ecological 463 niche, subterranean burrows in the Horn of Africa that often have little oxygen, and it exhibits a 464 very long lifespan for its size[54]. And finally, the bristlecone pine, *Pinus longaeva*, generally grows 465 in harsh environments where most other plants are unable to grow, and has the longest lifespan of 466 all known non-clonal organisms[55]. 467

Some species are hypothesized to go extinct due to an increased lifespan and consequent failure 468 to adapt. For this hypothesis to be valid it is desirable that proteins evolve more slowly than the 469 rate at which new species come into existence. Otherwise most new species might evolve proteins 470 to overcome the constraints on lifespan and go extinct. Proteins evolve slowly. Comparing 2,820 471 orthologous humans and mice genes, a median non-synonymous substitution rate per site per vear 472 of 3.9×10^{-10} has been reported [56]. If we very conservatively require 5% non-synonymous sequence 473 divergence to effect a new lifespan affecting function, a 5% non-synonymous sequence divergence 474 occurs every 130 million years. Even the fastest evolving gene pair in that study only evolved at 475 a rate of 3.81×10^{-9} substitutions per site per vear, or 5% every 13 million years. On the other 476 hand, new species are formed relatively quickly. For instance there are at least 495 known species 477 of Muridae that are estimated to have evolved in the last 22 million years [57]. Assuming an equally 478 balanced tree, this amounts to a species diverging into two species after every 2.5 million years. 479 Thus the nuclear genome appears unable to out-evolve the rate of species creation. 480

The larger the species population, the more rapidly the nuclear genome might be able to mutate 481 to overcome its mitochondrial genome derived constraints and extend the species generation time. 482 This would reduce the mean fitness of the population relative to other species, and so reduce the 483 population. In this way populations may be kept in balance. 484

Viewing species from a mitochondrial perspective provides new insight into the question of whether species can be considered as a unit of selection above and beyond selection acting on the individual organisms and nuclear alleles that make up a species[58]. This seems more likely if traits such as lifespan are coded for by the mitochondrial genome of the species. 488

4 Aging

So far we have seen how the mitochondrial genome would benefit from enforcing mortality. It 490 would lead to a shorter time between successive generations, and this would lead to an increased 491 ability to adapt. But he idea that the mitochondrial genome is actually causing mortality may seem 492 like a bridge too far. It will thus be necessary to examine the causes of mortality, and especially 493 aging, to see if the mitochondrial genome is implicated. In particular, we will explore the roles of 494 mitochondrial ROS production in causing apoptosis, cellular senescence, and age-related diseases. 495

Aging is a process of declining ability to respond to stress over time, and an increase in the 496 probability of death. Cellular senescence is a key mechanism of aging. As previously mentioned 497 mitochondria appear to play key roles in both apoptosis and in cellular senescence. 498

Almost all eukaryotic organisms appear to age, while under suitable conditions symmetrically 499 dividing prokaryotic populations must be immortal [59, 60]. It seems reasonable here to hypothesize 500 that the primary purpose of aging is to cause organism death as a means of promoting frequent sex. 501 It is also reasonable to hypothesize that the aging phenotype is the result of the interplay between 502 mitochondrial pro-aging genetic elements, and nuclear genome anti-aging genetic elements. This 503 interplay occurs on a stage in which the most successful nuclear genome anti-aging adaptations die 504 out. The development of brand new mitochondrial genome pro-aging genetic elements must be a 505 very rare event because the effective size of the mitochondrial genome is very small. 506

Hypothesis 4: Aging is a process in which the mitochondrial genome enforces mortality.

Some support for this hypothesis is given by age getting reset by the mitochondrially desired process of sex, rather than in response to some other event. Further evidence will be provided in sections 4.3 and 4.4 that examine aging in unicellular and multicellular organisms.

4.1 The duality hypothesis

Along with mitochondrial genome derived genetic elements that cause aging, it is possible to also ⁵¹¹ have nuclear genes that cause a shorter lifespan or aging. If such nuclear genes only caused aging ⁵¹² they would be selected against, but if such genes also played some separate and important life ⁵¹³ giving role, they need not be. We should thus expect aging-related nuclear genes to be pleiotropic; ⁵¹⁴ also exhibiting some beneficial function from a nuclear genome perspective. To be precise, the ⁵¹⁵ gain in organism fitness obtained over a shorter lifespan should exceed the loss in organism fitness ⁵¹⁶ associated with that shorter lifespan. ⁵¹⁷

Hypothesis 5: Aging-related nuclear genes will also exhibit some vital life-enhancing function.

The duality hypothesis suggests there will be some difficulty in properly determining the agingrelated pathways. Not only is there the effect of gene duplication to contend with, but each gene can be expected to have both life giving and lifespan reducing functions.

The duality hypothesis appears capable of explaining the otherwise extraordinary observation that ⁵²¹ most of the apoptotic death effectors also play some vital, non-death related function in the cell[61]. ⁵²² For unicellular organisms this is straightforward. For multicellular organisms there needs to be a ⁵²³ link between cellular apoptosis and organismal death, such as a link from cellular apoptosis to ⁵²⁴ thymic involution and hence increased disease susceptibility. This is explored in subsection 4.4.2. ⁵²⁵

An immediate corollary to the duality hypothesis is given below.

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Corollary 5.1: Anti-aging interventions can commonly be expected to exhibit reduced biological fitness in the evolutionary environment.

The corollary to the duality hypothesis suggests that interventions intended to extend lifespan will 527 have to tread carefully so as to not interfere with any life-enhancing role. 528

One area where the corollary to the duality hypothesis is less likely to be problematic is anti-aging ⁵²⁹ interventions that affect energy uptake. The evolutionary environment was punctuated by periods ⁵³⁰ of feast and famine. Consequently the organism was evolutionarily programmed to take up large ⁵³¹ amounts of energy when times were good, so that that energy was available when times were bad. ⁵³² Artificially reducing energy uptake despite times being good might fool the organism into believing ⁵³³ it is a low energy environment, and thus needs to be given longer to complete its biological program. ⁵³⁴

A second area where the corollary to the duality hypothesis is less likely to be problematic is antiaging interventions that target pathways for which the vital life-enhancing function occurs during development. 537

4.2 Reactive oxygen species

The mitochondrion is a major source of reactive oxygen species (ROS). Complexes I and III of the 539 electron transport chain both leak superoxide ($O_2^{\bullet-}$), with roughly 0.2-2.0% of all oxygen consumed 540 by the mitochondria ending up as $O_2^{\bullet-}[62]$. Complex I leaks towards the mitochondrial matrix, 541 while complex III leaks towards both the matrix and the intermembrane space [62]. O_2^{\bullet} gets 542 converted into the more stable ROS hydrogen peroxide (H_2O_2) by superoxide dismutase. H_2O_2 543 is stable by itself, but in the presence of Fe^{2+} it undergoes the Fenton reaction, producing an 544 extremely reactive hydroxyl radical (HO[•]), a hydroxide ion (OH⁻), and $Fe^{3+}[63]$. As the name 545 suggests, ROS are highly reactive, and unless neutralized by antioxidants, can cause damage to 546 the nucleic acids, proteins, and lipids that make up the cell[64]. Cellular membranes are weakly 547 permeable to H_2O_2 , but not $O_2^{\bullet}[65, 66, 67]$. 548

In mammals mitochondrial ROS production is known to increase with age[68].

By physically damaging the components of the cell, ROS may have been the original mechanism 550 through which the mitochondrial genome caused the cell to age and die. The nuclear genome 551 might be expected to develop proteins to oppose such aging and death, while, within limits, the 552 mitochondrial genome will seek to promote it. Consequently, there has been much evolutionary 553 plastering over of ROS as a mechanism of aging, but the observed result is something that has 554 been done with a light hand. Too heavy of a plastering over and the species goes extinct. The 555 mito-nuclear back and forth and the need that things be done with a light hand may explain the 556 seeming complexity of the apoptotic pathway which probably involves the concerted effect of close 557 to 100 proteins. 558

Hypothesis 6: ROS production is the fundamental mechanism by which the mitochondrial genome causes aging.

The evidence for ROS production as the mitochondrial mortality mechanism is reviewed when we consider aging in unicellular and multicellular organisms in sections 4.3 and 4.4.

Superoxide dismutase (SOD) converts $O_2^{\bullet-}$ into O_2 and H_2O_2 . Eukaryotes contain several forms of 561

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SOD. SOD1, a Cu-Zn-SOD, is found in the cytosol. SOD2, a Mn-SOD, is found in the mitochondrial ⁵⁶² matrix, and SOD3, another Cu-Zn-SOD, is found extracellularly in mammals and most chordates. ⁵⁶³

Peroxidases break down H_2O_2 to water and oxygen. Three common peroxidases are peroxiredoxins ⁵⁶⁴ (Prxs), glutathione peroxidase (GPx), and catalase. Prxs exist in the cytosol, mitochondria, and ⁵⁶⁵ peroxisomes. GPx is found in the cytosol and mitochondria. Catalase has an extremely high ⁵⁶⁶ turnover rate. In most eukaryotes catalase is only found in peroxisomes, and not in the cytosol. ⁵⁶⁷ The Ctt-1 gene of *Saccharomyces cerevisiae* and the ctl-1 gene of *Caenorhabditis elegans* are two ⁵⁶⁸ exceptions. The frequent lack of a cytosolic catalase may be the result of H_2O_2 being used to signal ⁵⁶⁹ species lifespan. ⁵⁷⁰

Seemingly opposing the perspective that ROS cause the cell to age, mice with an error-prone version 571 of the mtDNA polymerase γ display an aged phenotype without an increase of ROS in embryonic 572 fibroblast cells[69]. It is as if the mtDNA mutations alone are directly responsible for the aged 573 phenotype, but the natural mtDNA mutation rate appears far too small to have a significant 574 effect[70]. Looking at various tissues it was subsequently shown that mutator mice do show slightly 575 elevated H_2O_2 as they age[71]. It was also shown that age-dependent cardiomyopathy in mutator 576 mice could be attenuated by mitochondrially targeted catalase[72]. The evidence from mutator 577 mice is sufficient to cast serious doubt on the theory that ROS induces more ROS damage creating 578 a vicious cycle, but still leaves open a role for ROS as a residual signaling-like mechanism in aging. 579

Consistent with ROS being the evolutionary mechanism through which the mitochondria controls lifespan, comparisons between different species have generally shown a negative correlation between ROS levels and lifespan[73, 74].

Within individual species the overexpression of antioxidant enzymes is generally associated with an 583 increase in lifespan [75] [73] [Table 4]. Similarly the deletion of genes coding for antioxidant enzymes 584 generally results in a decrease in lifespan [73] [Table 5]. Exposure to antioxidants compounds also 585 often increases lifespan [73] [Table 6]. These effects are however by no means universal. The reason 586 for this lack of universality may be because ROS are also used by the cell as signaling molecules 587 and for the killing of bacteria [76, 77]. Contradicting the theory being developed, mild exposure to 588 ROS generating compounds can increase lifespan^[73][Table 7]. Similarly mutations that increase 589 ROS production can sometimes increase lifespan^[73][Table 8]. This is known as hormesis. 590

In humans, various mitochondrial haplogroups have been correlated with longevity [78, 79]. It might 591 be argued that this association could simply be the result of correlations between the mitochondrial 592 and nuclear genomes [78]. However, by transplanting different mitochondrial genomes into the same 593 cell line, some of these longevity associated haplogroups have been found to produce less ROS[79]. 594 This suggests that reduced mitochondrial ROS could be the cause of the mitochondrial haplogroup associated longevity. 596

ROS can be expected to be produced in proportion to the extent to which the mitochondrion is used to produce ATP. If this ROS proves harmful to the cell, this could explain why caloric restriction is capable of extending an organism's lifespan[80]. Caloric restriction will result in less energy being available to the cell, and thus a lower rate of ATP and ROS production by the mitochondrion.

4.3 Aging in unicellular organisms

The yeast *Saccharomyces cerevisiae* is a unicellular organism that can exist as either a haploid or a diploid[81]. In yeast cell division is asymmetric with mitosis involving a small daughter cell budding off from a larger mother cell[81]. Haploid lab yeast strains are often modified to prevent mating type switching[82]. This may have little relevance for most lab studies, but may be significant here as we are interested in the behavior of yeast in its evolutionary environment.

Two different measures of lifespan are used in yeast. Replicative lifespan (RLS) is the number of daughter cells a mother cell can produce[83]. Chronological lifespan (CLS) is the length of time a cell remains viable after reaching stationary phase and cell cycle arrest in nutrient deprived media[83]. The RLS is possibly more relevant here. Surprisingly, but consistent with the model developed here, the RLS is finite, and is typically somewhere around 25 cell divisions[84]. Chronologically aged yeast appear to undergo a form of programmed cell death similar to the apoptosis of multicellular organisms[85].

Deletion or overexpression of SOD in yeast increases lifespan in a manner largely consistent with the idea that $O_2^{\bullet-}$ rather than H_2O_2 causes aging in yeast. Deletion of the gene for cytosolic SOD1 dramatically reduces RLS[86, 87]. Overexpression of SOD1 (in conjunction with cytosolic catalase) has no effect on RLS[88]. Deletion of the gene for SOD1 significantly reduces CLS[89, 87]. ⁶¹⁷ Overexpression of SOD1 increases CLS[90]. Deletion of the gene for SOD2 decreases or has no effect on RLS[86, 87]. Deletion of the gene for SOD2 decreases or has no effect on RLS[86, 87]. Deletion of the gene for SOD2 significantly reduces CLS[89, 87]. ⁶¹⁹ of SOD2 increases CLS[90]. ⁶²⁰

A major paradigm in yeast aging research has been studying the effect of calorie restriction (CR), 621 which typically involves growing yeast on a 0.5% or lower, rather than a 2%, glucose medium[91]. 622 There is some uncertainty, but CR does not appear to have a major impact on RLS in yeast[92]. 623 On the other hand, CR extends CLS[91]. A proposed mechanism involves CR causing increased 624 mitochondrial respiration; as opposed to fermentation [93]. This will increase the production of 625 O_2^{\bullet} , which is converted to H_2O_2 by the action of SOD. An increased concentration of H_2O_2 626 activates the cell's antioxidant defense system which includes production of SOD1, SOD2, and 627 cytosolic catalase [94, 95]. SOD1 and SOD2 will then presumably somewhat paradoxically reduce 628 the concentration of $O_2^{\bullet-}$. How a reduction in $O_2^{\bullet-}$ leads to an increase in CLS doesn't appear to 629 have been determined. 630

In yeast, two mechanisms of resetting RLS based age are known. The first is mitosis, in which 631 just the daughter bud's replication based age appears to get reset[96]. The second is meiosis[97]. 632 The resetting of RLS based age by meiosis is dependent on the mid-meiosis transcription factor 633 Ndt80 which is expressed after DNA replication and Holliday junction formation, and activates 634 around 200 genes[97, 98, 99]. Mutants deficient in Ndt80, or Cdc5 which it regulates, show reduced 635 Holliday junction joint molecule resolution, and reduced crossing over[100]. Importantly, transient 636 induction of Ndt80 restores replicatively aged cells to a young state, and a nucleolar morphology 637 to that of a replicatively young cell[97]. 638

Failure of haploid yeast cells to mate in the presence of the opposing mating pheromone leads to apoptosis[101]. This may represent a more direct form of mortality in the absence of meiosis than aging based on the number of cell divisions. It would allow yeast cells to survive for extended periods when no mating partner exists, but as soon as mating partners exist they are expected to 642 mate.

Thus in yeast we see several of the core predictions of HEPM: aging and mortality in the absence of 644 sex, apparently intentional mortality, and the induction of youth being closely tied to recombination. 645 What goes against HEPM is replicative youth in mitotic daughter cells. 646

4.4 Aging in multicellular organisms

In the wild, most animals exhibit organismal senescence, displaying increased mortality rates at 648 increased chronological ages[102]. The existence of organismal senescence can be readily explained 649 by HEPM. 650

Aging in multicellular organisms differs from yeast. Some of the principles are likely the same: 651 mitochondrial ROS leading to organismal death as a means of promoting sex. But there are 652 important differences relating to multicellularity. In particular, rather than committing apoptosis, 653 cells that wish for the organism to die need a mechanism to reach consensus before they try to kill 654 the host organism. 655

This subsection might apply more broadly, but will primarily be focused on the mechanisms of 656 aging in vertebrates. 657

4.4.1Cellular senescence

Senescent cells fail to divide, resist apoptosis, and usually exhibit the senescence-associated se-659 cretory phenotype (SASP)[103]. The SASP is frequently pro-inflammatory, proapoptotic, and is 660 capable of inducing senescence in both nearby and distant non-senescent cells[103, 104]. Natural 661 killer cells are often capable of clearing senescent cells[105]. However, with age, the number of 662 senescent cells is found to accumulate, and this is implicated in various age-related diseases[106]. 663 The SASP appears to be caused by a pathway that is triggered by mitochondrial ROS[107, 108]. A 664 reduction in mitochondrial content is known to prevent senescence and attenuate the SASP[109]. 665 Since the SASP is implicated in various age-related diseases, and senescent cells can induce senes-666 cence in other cells while themselves being resistant to apoptosis, the SASP represents an ideal 667 mechanism for mitochondria to ultimately cause organismal death. 668

The threshold theory of cellular senescence postulates that once the senescent cell burden exceeds 669 some threshold level, the induction of senescence outpaces the rate of clearance, and senescence 670 spreads through the organism due to the paracrine and endocrine activity of the SASP[105]. The 671 threshold theory dovetails nicely with the idea that senescent cells need to reach a consensus or 672 quorum before attempting to cause organismal death. 673

Consistent with the duality hypothesis cellular senescence plays important life-giving roles during 674 organismal development and wound healing[110, 111]. 675

Moderate doses of certain antioxidants are known to inhibit cellular senescence[112]. Disruption 676 of the electron transport chain is known to promote senescence [113]. This is consistent with mito-677 chondrial ROS leading to senescence. 678

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4.4.2 Immunosenescence

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Immunosenescence is the gradual decline in the efficacy of the immune system with age[114]. 680 Multiple factors contribute to immunosenescence [115]. A major factor is the effect of thymic 681 involution[114]. The thymus is the site of T-cell maturation. Thymic involution is the gradual 682 shrinking of the thymus with age. Thymic involution appears to include an increased thymocyte 683 apoptosis and reduced thymocyte proliferation in the aged thymus [116]. This leads to a reduc-684 tion in naive T-cell output that likely contributes to immunosenescence[117]. Thymic involution in 685 adults and adolescents, but not in infants and children, may be caused by thymic epithelial cells 686 increasingly exhibiting SASP[118]. ROS are also implicated as a cause of thymic involution[119]. 687 Thymic involution is common to nearly all organisms possessing a thymus [120], although the se-688 lective pressures for thymic involution appear not well understood. The possibility that thymic 689 involution is intended to cause organism death and therefore promote early genetic recombination 690 doesn't appear to have been considered. 691

4.4.3 Age-related diseases

Many age-related diseases involve senescence or the SASP:

- Cardiovascular disease. Myocardial infarction (heart attack) and stroke are both the result of atherosclerosis. Age is an independent risk factor for the development of atherosclerosis and premature biological aging such as in patients with Werner syndrome or Hutchinson Gilford progeria syndrome accelerates the development of atherosclerosis[121]. The SASP is implicated in atherosclerosis[122].
- Cancer. Age is a primary risk factor for most cancers. One model of tumorigenesis holds 699 that the immune system is capable of resolving many cancers in the young, but that im-700 munosenescence leads to reduced ability to do so in the elderly [123, 124]. Oncogene-induced 701 senescence is widely considered a tumor suppressor. However the SASP can also promote 702 tumorigenesis[125]. In addition senescent cells may be able to escape oncogene-induced senes-703 cence leading to tumor progression [126]. Perhaps senescence in the context of a premalignant 704 lesion should be viewed as a decision by the cells making up the lesion to leave it to the 705 immune system to decide upon the organism's fate. 706
- Alzheimer's disease. Alzheimer's disease is of the elderly that results in neuronal apoptosis. 707 Allele 4 of apolipoprotein E (ApoE) is a major risk factor for Alzheimer's disease[127]. It 708 might thus be expected to be selected against. However, like the apoptotic death effectors that 709 also have a non-death related function, ApoE4 is correlated with a higher level progesterone 710 in women, increasing the chances of conception and successful pregnancy [128]. From the 711 perspective of the nuclear genome this represents a case of antagonistic pleiotropy, but from 712 a mitochondrial genome perspective it seems purely adaptive. SASP astrocytes may play a 713 role in Alzheimer's disease[129]. 714
- Diabetes. Insulin promotes the cellular absorption of glucose. Type 2 diabetes involves a 715 combination of inadequate insulin production by β -cells in the pancreas and cellular insulin 716 resistance. The production of insulin by β -cells appears to be limited in type 2 diabetes 717 because many of the β -cells have committed apoptosis[130]. Insulin resistance is a reduced 718

ability to absorb insulin and use it to take up glucose. Senolytics are drugs that kill senescent 719 cells. Senolytic drugs are known to be able to prevent and alleviate insulin resistance in 720 mice[131]. 721

• Infectious diseases. Increased susceptibility and death due to infectious diseases with age result of immunosenescence including thymic involution. result of result

The picture that emerges is of many age-related diseases having cellular senescence as a common 724 mechanism, and these different age-related diseases merely being different tissue or organ specific 725 expressions of cellular senescence. 726

Hypothesis 7: Cellular senescence is a key downstream mechanism of aging in vertebrates.

Section 4.5 dealing with the molecular pathways of cellular senescence will show cellular senescence exists downstream from mitochondrial ROS production. 728

Aging in vertebrates conforms to HEPM: mortality rates that increase with age creating an upper 729 bound on lifespan, and mortality reset by sex. Moreover, mitochondrial ROS appears to cause the 730 SASP, which then causes various age-related diseases. 731

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4.4.4 Apparent intentionality of variation in lifespan across species

Mice have a gestation time of slightly less than 1 month, reach sexual maturity in about 2 months, and have a reproductive lifespan of 7 to 8 months. Thus a rough estimate of the mean generation time is 0.5 years. The mean generation time for humans in their evolutionary environment is around 30 years[132], or 60 times longer than mice. 736

The biology of humans and mice is very similar; they diverged 87 million years ago[57]. A 60 ⁷³⁷ fold difference in mean generation times thus suggests mean generation time may be under genetic ⁷³⁸ control. ⁷³⁹

Mice display many of the same causes of death as humans [133]. This seems remarkable given 740 they have a much shorter lifespan. Cancer for instance is the leading cause of death in mice and 741 ranks second for humans[133]. That 1 year old mice frequently die from cancer while 1 year old 742 humans rarely do strongly suggests that death from cancer is an evolutionary adaptation. This 743 seems even more likely when consideration is given to the relative sizes and numbers of cells in 744 mice and humans. 1 year old mice are much smaller, yet they die from cancer more frequently. 745 The alternative hypothesis is that mortality is a maladaptation but that closely related humans 746 have found a way to largely beat cancer and all the other causes of death that 1 year old mice 747 experience. This alternative hypothesis seems implausible. Thus mortality appears to be adaptive. 748

Mice don't die at random. Presumably, the older the mouse, the more likely it is to die. In humans 749 this phenomenon has been codified by the Gompertz-Makeham law[134]. The death rate at age y, 750 is given by, 751

 $\alpha e^{\beta y} + \lambda$

for constants α , β , and λ . Based on this form, for the 2019 U.S. Social Security area population, ⁷⁵² the mortality rate doubles every 8 or 9 years. This means the probability of death throughout ⁷⁵³ the reproductive lifespan is small, but it becomes substantial some time thereafter. Thus sexuality ⁷⁵⁴ seems to have evolved to ensure the vast majority of organisms are capable of bearing offspring ⁷⁵⁵ before they die. This is consistent with the hypothesis that the purpose of mortality is to force the ⁷⁵⁶ nuclear genome to engage in sex. ⁷⁵⁷

4.4.5 Periodontitis

Severe chronic periodontitis affects about 27% of the global population over the age of 40[135]. ⁷⁵⁹ Periodontitis appears to be an independent risk factor for cardiovascular disease, cerebrovascular ⁷⁶⁰ diseases, certain cancers, diabetes, and rheumatoid arthritis[136]. In one study the relative risk ⁷⁶¹ of all cause mortality for individuals with periodontitis compared to no periodontal disease was ⁷⁶² 1.46[137]. This was after adjusting for other factors that may influence the outcome: age, sex, race, ⁷⁶³ education, poverty index, marital state, systolic blood pressure, total cholesterol concentration, ⁷⁶⁴ diabetes, body mass index, physical activity, alcohol consumption, and cigarette smoking. ⁷⁶⁵

The presence of senescence cells in periodontal tissue might explain how periodontitis is capable 766 of affecting all cause mortality to such a significant extent[138, 139]. A hypothesis might be that 767 bacteria activate the immune system, leading neutrophils to release ROS to destroy the bacteria, 768 but ROS are also harmful to periodontal tissue, causing telomeric DNA damage that leads to 769 senescence[138]. Assuming components of the SASP are able to spread in an endocrine-like fashion, 770 the SASP could then influence the health of more distant cells. 771

4.5 Molecular pathways of cellular senescence in vertebrates

Cellular senescence comes in different flavors[140]. Replicative senescence limits the number of 773 divisions a cell can make and is linked to mitotic telomere shortening. Oncogene-induced senes- 774 cence is in response to DNA damage. Stress-induced senescence is the induction of senescence in 775 response to chemicals such as H_2O_2 . All three result in growth arrest, the SASP, and morphological 776 changes. We are most interested in stress-induced senescence, as it most closely reflects the action 777 of mitochondrial ROS. 778

One plausible method of aging is for there to be an intracellular timekeeper that records the age 779 of the cell, and organismal age is then a function of the age of the cells that make it up. But what 780 fundamentally determines the age of the cell? ROS levels appear to reflect the rate of passage of 781 time as the cell ages, but ROS levels do not reflect the age of the cell. One possibility would be 782 for age to be determined based upon the levels of some other chemical that gradually builds up 783 or is depleted over time. This has the problem that concentrations of most chemicals are likely to 784 undergo stochastic fluctuations, and be too variable to stably convey an accurate age signal over a 785 period of years. There is however one chemical whose concentration is invariable over the life of the 786 cell: DNA. If cellular age was encoded on DNA it could form a stable signal. This is what appears 787

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to happen. Telomeres undergo occasional irreversible ROS induced damage, leading to telomere shortening, and this appears to play the role of the intracellular timekeeper. 789

A proposed molecular pathway leading from mitochondrial O_2^{\bullet} production to senescence is shown ⁷⁹⁰ in Figure 2 and expanded upon below. The molecular biology of senescence is still being elucidated, and other plausible pathways exist. Gene duplication, and the fact that every nuclear prosenescence gene can be expected to have both aging-related and vital life-enhancing effects (the duality hypothesis), creates some difficulty in determining the relevant pathways with certainty. ⁷⁹⁰

4.5.1 ROS

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As shown at the top of Figure 2, it is proposed that mitochondrially produced O_2^{\bullet} gets converted into the stable ROS H_2O_2 by SOD. H_2O_2 is weakly membrane permeable and should over the course of minutes to hours be capable of migrating to the nucleus[65, 66]. The Fenton reaction then produces the highly reactive HO[•] from H_2O_2 .

The Fenton reaction involves the oxidation of Fe^{2+} . In humans genome wide association studies 800 have found the heme metabolism pathway is related to lifespan, and that serum iron has been 801 found to correlate negatively with lifespan[141]. Generally speaking, mild iron deficiency and iron 802 chelators have been found to increase lifespan in various species, while excess iron has been found 803 to promote aging[142]. This is understandable if increased iron leads to increases in the production 804 of HO[•]. In S. cerevisiae the effects of iron appear mixed. Increased levels of iron brought about 805 by deletion of the inositol phosphosphingolipid phospholipase C gene (isc1) showed increased H_2O_2 806 sensitivity, a shorter chronological lifespan, and increased evidence of apoptosis[143]. Opposing this, 807 iron supplementation, again in S. cerevisiae, has been reported to extend chronological lifespan[144]. 808 The relevance of this mixed evidence is muted by the lack of clarity on how ROS levels modulate 809 lifespan in unicellular organisms. 810

Interestingly, the Fenton reaction is known to be greatly enhanced in the presence of the DNA sequences AGGG and GGGG[145]. These sequences form part of the telomeric repeat for a majority of multicellular organisms, with TTAGGG being the canonical sequence for opisthokonts; which includes animals and fungi[146].

Note that the presence of H_2O_2 , as opposed to O_2^{\bullet} , as a part of the proposed pathway to senescence is slightly uncertain. This is because microsomes, peroxisomes, and other cytosolic enzymes are also capable of producing substantial amounts of $H_2O_2[147]$.

4.5.2 Telomeric damage

As further shown in Figure 2, HO[•] is capable of producing a range of DNA damage, including frequently converting guanine, G, into 8-oxoguanine (8-oxo-G)[148]. 8-oxo-G is detected and removed by the base excision repair (BER) machinery. In BER, 8-oxoguanine glycosylase (OGG1) removes 8-oxo-G and creates a single strand break (SSB) in the DNA backbone, which is normally immediately filled with the correct base and ligated[149]. In telomeres the SSB repair steps appear impaired[150]. This may be due to the action of telomeric repeat-binding factor 2 (TRF2) which associates with the telomeres[151]. Thus HO[•] is capable of producing longer lasting SSBs.

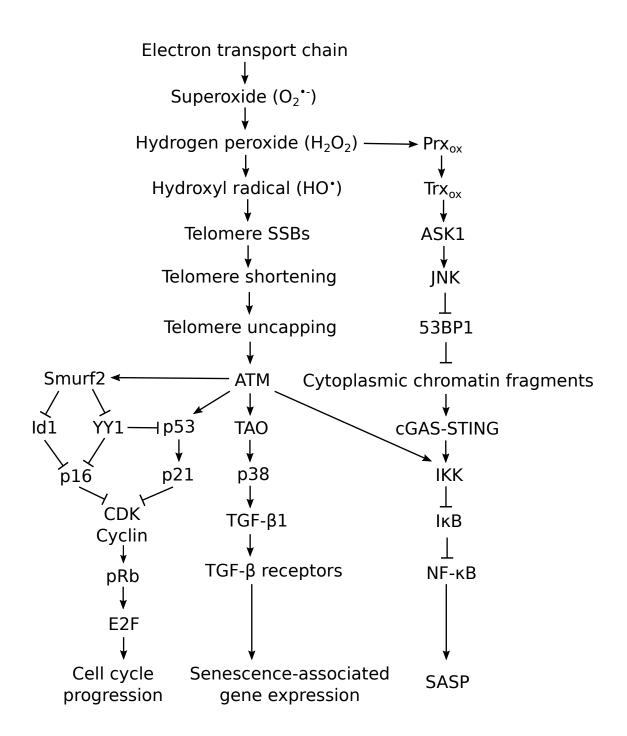


Figure 2: A proposed molecular pathway leading from mitochondrial superoxide production to senescence in vertebrates and possibly other species.

Unrepaired telomeric SSBs will lead to telomere shortening when the cell next divides [152]. In 826 non-proliferating cells, two unrepaired SSBs within approximately 1 or 2 turns of the DNA double 827 helix (10 to 20 base pairs) located on opposing strands are likely to lead to a double strand break 828 (DSB)[153, 154], creating telomere shortening. 829

The DNA damage response (DDR) might view chromosome ends as DSBs and attempt to randomly 830 repair them by joining chromosomes together [155]. TRF2 binds to telomeres and usually prevents 831 the induction of the DDR at chromosome ends[155]. If telomeres shorten sufficiently they become 832 uncapped, adopting a linear conformation, in which the remaining TRF2 appears sufficient to 833 prevent end joining, but insufficient to prevent DDR signaling by ataxia telangiectasia mutated 834 (ATM)[156], leading to persistent ATM DDR signaling by the telomere. 835

The occurrence of multiple persistent DDR signals from multiple telomeres is sufficient to induce 836 cellular senescence [157]. 837

Support for persistent ATM DDR signaling by telomeres as the indicator of age for the cell is 838 provided by a number of observations. Telomeric damage irreparably appears to be evolutionarily 830 conserved; it occurs in both yeasts and humans [158, 159]. Live-cell imaging experiments show 840 all persistent DNA damage foci to be associated with telomeres [160]. There is an age-dependent 841 increase in the number of telomere-associated foci that occurs irrespective of telomere length[160]. 842 Shortened telomeres are associated with aging, as well as mortality risk[161]. Intracellular ROS 843 levels are known to accelerate telomere shortening in an exponential manner [162]. SOD3 is known to 844 reduce the rate of telomere shortening[163]. And all eukarvotes appear to have linear chromosomes 845 with telomeres rather than circular chromosomes or circular genomes like bacteria and archaea. 846

Consistent with the duality hypothesis, the ATM mediated DDR provides both an aging-related 847 signal, and by signaling for the repair of DSBs, a fitness enhancing function. 848

4.5.3ATM

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The DSB DDR in the form of persistently phosphorylated ATM appears to be at the hub of the 850 senescent phenotype. Activated ATM appears to be responsible for cell cycle arrest, the expression 851 of a number of genes associated with senescence, and the SASP. This is enumerated in the following 852 sections. 853

Arguing for the model of activated ATM as the cause of senescence, elevated levels of activated ATM 854 have been found with age in naturally aged and acceleratedly aged mice. and reducing ATM activity 855 has been found to reduce senescence [164]. Similarly inhibition of ATM has been found to ameliorate 856 senescence [165]. In this latter result, ATM was hypothesized to phosphorylate a component of an 857 ATPase responsible for acidification of the lysosome leading to lysosomal dysfunction. Seemingly 858 contradicting these findings, decreased ATM levels along with reduced p53 activity have been 859 found in older mice [166]. Similarly, declining levels of ATM have been reported with replicative 860 passage, knocking down ATM has been reported to accelerate senescence, and activation of ATM 861 has been reported as being capable of clearing replicative senescence [167]. Part of the reason for 862 the seeming discrepancy in these results may be due to the difference between ATM expression 863 levels and phosphorylated and activated ATM, and the study of replicatively induced as opposed 864 to DNA-damage-induced or stress-induced senescence. 865

4.5.4 p16, p53, p21, and cell cycle arrest

As shown in the leftmost fork of Figure 2, activated ATM is able to phosphorylate and activate Smurf2[168]. Smurf2 is a ubiquitin ligase, and its targets include the transcriptional repressors inhibitor of DNA binding 1 (Id1) and yin yang 1 (YY1)[169, 170]. Id1 and YY1 repress the transcription of cyclin-dependent kinase inhibitor p16[171]. The pathway from ATM's activation to activation of p16 doesn't appear to be well studied, and it is possible other pathways exist different from this one.

Supporting a role for p16, p16 increases with age, and has even been proposed as a biomarker of aging [172, 173]. p16 expression is also significantly elevated in senescent cells [174].

p16 binds specifically to cyclin dependent kinases (CDKs) 4 and 6 preventing them from phosphorylating retinoblastoma protein (pRb)[171]. In its phosphorylated form pRb would have changed conformational form releasing bound E2F transcription factors[171]. The E2F transcription factors are responsible for the transcription of the genes necessary for the G1 to S phase transition, or in the event of prolonged E2F expression, apoptosis[171, 171].

Activated ATM is also able to phosphorylate and stabilize p53, a key regulator of cell fate. p53 eso positively regulates transcription of the cyclin-dependent kinase inhibitor p21[175]. p21 binds to end non-specifically blocks the activity of CDKs again preventing the G1 to S phase transition[176]. esse transition[176] es

In addition, YY1 acts as a negative regulator of p53[177].

Thus, activated ATM is able to arrest the cell cycle through multiple means.

4.5.5 p38 and senescence-associated gene expression

As shown in the central fork of Figure 2, in addition to arresting the cell cycle, ATM is also ca-886 pable of phosphorylating and activating thousand and one amino acid (TAO) kinases[178]. TAO 887 kinases are MAPK kinase kinases (MAP3K), which activate MAPK kinases (MAP2K) kinases, 888 which activate p38 MAPK[179]. Activated p38 is known to both mediate apoptosis and in specific 889 circumstances cell survival [180]. Activated p38 is also known to cause overexpression of transform-890 ing growth factor- $\beta 1$ (TGF- $\beta 1$)[181]. Osteonectin, apolipoprotein J, and fibronectin are commonly 891 over-expressed in senescence [182]. TGF- β 1 appears to cause an increased expression of mRNA for 892 these three genes, as well for its own receptor [183]. This increased expression is eliminated by anti-893 body neutralization of TGF- β 1 or its receptor. Thus activated ATM may be capable of producing 894 part of the phenotype associated with senescence. 895

4.5.6 Retrograde signaling, NF-kB, and the SASP

Finally, as shown in the rightmost part of Figure 2, the transcription factor nuclear factor kappalight-chain-enhancer of activated B cells (NF- κ B) is capable of being activated through two mechanisms. NF- κ B appears responsible for the SASP[184].

The first mechanism of activating NF- κ B is by cytosolic ATM[164].

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The second mechanism appears to only occur in senescent cells and involves retrograde signaling 901 from the mitochondria to the nucleus. Peroxiredoxin (Prx) reduces H_2O_2 to H_2O , and in the pro-902 cess becomes oxidized. Prx is reduced back to its active form by the action of thioredoxin (Trx), 903 which itself becomes oxidized. Oxidized Trx is hypothesized to act as a H_2O_2 sensor[185]. Reduced 904 Trx forms an inactive complex with apoptosis signal-regulating kinase 1 (ASK1), a MAP3K. Oxi-905 dation of Trx produces a conformational change which leads to the activation of ASK1[186]. ASK1 906 activates c-Jun N-terminal kinase (JNK)[187]. JNK interacts with p53-binding protein 1 (53BP1) 907 through mechanisms that are still being elucidated [188]. 53BP1 plays a role in the non-homologous 908 end-joining DSB repair pathway. The absence of 53BP1 leads to the formation of cytoplasmic 900 chromatin fragments (CCF)[108]. The presence of double stranded DNA in the cytosol triggers 910 the cyclic GMP-AMP synthase (cGAS) – stimulator of interferon genes (STING) pathway[189]. 911 STING activates the I κ B kinase (IKK), which then phosphorylates I κ B leading to I κ B degradation 912 via the ubiquitin-proteasome pathway, freeing NF- κ B from its association with I κ B, and allowing 913 NF- κ B to enter the nucleus[190]. 914

Taken together these pathway show a route leading from mitochondrial ROS production to cellular senescence. This provides evidence for the claim that the mitochondrial genome seeks to enforce mortality, and in so doing maximizes the fitness of the environment within which it is embedded.

5 Addressing age-related diseases

Today there exist many one-disease-at-a-time approaches for addressing age-related diseases. These ⁹¹⁹ approaches are likely to only be weakly effective. The elimination of all forms of cancer for instance ⁹²⁰ is only expected to extend lifespan in the U.S. by 3 years[191]. If one age-related disease doesn't ⁹²¹ kill you, another one will. ⁹²²

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Proposed multi-disease approaches for addressing age-related diseases are split across the nine ⁹²³ different hallmarks of aging: genomic instability, telomere attrition, epigenetic alterations, loss of ⁹²⁴ proteostasis, deregulated nutrient sensing, mitochondrial dysfunction, cellular senescence, stem cell ⁹²⁵ exhaustion, and altered intercellular communication[161]. There isn't a clear consensus on the ⁹²⁶ relationship between the different hallmarks of aging, and what causes what. ⁹²⁷

Age-related diseases and their mechanisms may be divided into three classes. Those that exist 928 downstream of mitochondrial ROS production; these may be considered fundamental and of mito-929 chondrial origin. Those that exist due to an evolutionary trade-off between the nuclear genome's 930 desires for immortality and reproduction; these are probably rare, and may also be considered fun-931 damental, but of nuclear origin. And those that exist merely because they occurred infrequently 932 enough in the evolutionary environment to be selected against; these may be considered as ancil-933 lary. These ancillary diseases may exert a significant toll if the optimal lifespan for the species is 934 increasing, or if most of the fundamental diseases have been cured. 935

If the fundamental mitochondrial origin age-related diseases were eliminated, and mortality rates dropped to match those of a U.S. 20 year old in 2019, the lifespan of men would increase to 927 years, and for women it would increase to 2,469 years. These lifespans are probably unobtainable due to ancillary age-related diseases, but they provide an upper bound on what might be possible. 939 939

The proposed molecular pathway from mitochondrial ROS production to senescence leads to several 940

simple predictions. ROS inhibitors may be able to delay or prevent age-related diseases. Telomeric 941 interventions may be able to prevent or cure age-related diseases. Senescence interventions, includ-942 ing senolytics and senomorphics, may be able to prevent or treat age-related diseases. However, by 943 the duality hypothesis, all such interventions must be careful not to interfere with any vital life-944 enhancing role. Interfering with genes that effect energy and nutrient uptake is somewhat promising 945 because the life enhancing role of increased energy uptake in the evolutionary environment no longer 946 exists. Similarly, interfering with aging-related genes that also influence development is promising 947 as such genes may no longer play a role in the developed organism. 948

Other approaches to aging that may initially appear unrelated to the mechanisms proposed here are worth considering. If the molecular pathway proposed here is correct it should largely be possible to align the other approaches with this pathway. This is done to good effect in Appendix B. 951

6 Discussion

The evidence for and against hypotheses on the extended phenotype of the mitochondrion (HEPM) is presented in one place in Appendix C. 954

Overall, as seen throughout this paper, the available evidence appears to strongly support HEPM. 955 In reaching this conclusion it is important to look not just at the number of pieces of evidence, 956 but to weigh the strength of the evidence for and against. Some of the strongest evidence for 957 HEPM relates to ROS and senescence. Mitochondria have been suspected to drive the process that 958 leads to cellular senescence [13], but the reason why has been elusive. Another important area is in 950 understanding the seeming intentionality of many age-related diseases, and being able to explain 960 why humans live so much longer than mice. Here selection of the nuclear genes has prevented 961 jumping to the conclusion that it is intentional, but if the process is being driven by kin selection 962 of mitochondrial genomes, intentionality suddenly becomes plausible. 963

HEPM offers new biological and biomedical insight by providing answers to the important questions of how did sex evolve, and how is sex evolutionarily stable, as well as why eukaryotes are mortal, and explaining the root cause of many age-related diseases. These have been thorny questions that for a long time have gone unanswered. 967

The mitochondrial genome is engaged in the ultimate crossbreeding experiment for its own benefit. ⁹⁶⁸ This involves the crossbreeding of nuclear genomes to produce a more beneficial environment within ⁹⁶⁹ which for it to reside. The mitochondrial genome achieves this crossbreeding through the production ⁹⁷⁰ of ROS, which renders the organism mortal, and leaves sex as the only long term option for ⁹⁷¹ the nuclear genome to pass on, at least some of, its genes. The results of this crossbreeding ⁹⁷² experiment are all the varied eukaryotic forms that now exist, and within which trillions of trillions ⁹⁷³ of mitochondrial genomes now reside. ⁹⁷⁴

Treating the mitochondrial genome as a selfish replicator offers a different way of looking at the eukaryotic cell, and with it new understanding. Sex might have evolved as a means for the protomitochondria to propagate itself into a more and more competent host. Thus creating the defining advantage for eukaryotes relative to prokaryotes: meiosis. Aging and mortality exist at least in part as a means of ensuring meiosis occurs at a near to optimal frequency for the species. And the whole eukaryotic cell can be viewed as the extended phenotype of the mitochondrial genome. This 920

What explains facultative sexual reproduction such as occurs in some plants and fungi? How does HEPM apply to plants with their energy producing plastid genome? Might mitochondrial-derived peptides play the role of rejuvenating the cell during meiosis? What is happening with the mitochondrial ribosome of bdelloid rotifers? Section 2.3.1. Does HEPM imply changes to models of species and speciation? Sections 2.4 and 3.2. Does phosphorylated ATM level correlate with senescence or not? Section 4.5.3.

Table 2: Some questions raised by hypotheses on the extended phenotype of the mitochondrion.

isn't the only way the eukaryotic cell should be viewed, but it adds an interesting new perspective. 981

Arguments against HEPM appear muted. The strongest argument against HEPM appears to be 982 that mild ROS exposure, or an increase in ROS production, can sometimes extend lifespan. This 983 though is by no means universal. Furthermore, ROS also play a role as signaling molecules [76], and 984 it might be hypothesized that the increased ROS activates the cell's antioxidant system, leading 985 to reduced cytosolic ROS over the long term. A pathway like this is known to occur for caloric 986 restriction in the yeast Saccharomyces cerevisiae and germline signaling in Caenorhabditis elegans. 987 A second argument against HEPM is the resetting of replicative age in daughter cells, again in the 988 yeast S. cerevisiae. But so far, this is known to occur in just one species. And here the failure to 980 mate in the presence of mating pheromone leads to apoptosis. This creates a limit on lifespan that 990 applies even to daughter cells. 991

If correct, HEPM raises a number of new questions and enhances the importance of some existing questions as listed in Table 2. 993

If it was possible to increase human lifespan to, say 150 years, this would, assuming no change in female reproductive lifespan, result in a doubling of the planet's population. This would have many serious social and environmental implications. Despite this it appears desirable. Otherwise why else would we today be investing heavily in finding cures to many age-related diseases through onedisease-at-a-time approaches. It is just that the one-disease-at-a-time approaches are only likely to be weakly effective, while targeting the core mechanism of aging has the potential to make major gains in healthspan and lifespan.

HEPM makes an important clinical prediction: ROS inhibitors, telomeric interventions, and senescence interventions including senolytics and senomorphics, may be capable of preventing, treating, or curing many age-related diseases. The heavy burden of age-related diseases strongly argues for a Manhattan or Apollo project-like effort to better understand the fundamental biology of aging and to invest in the development and clinical trial of senolytics, senomorphics, telomeric interventions, and ROS inhibitors so as to delay, prevent, treat, and cure these age-related diseases.

7 Materials and methods

For the bioinformatic analysis of rRNA large subunit sizes in section 2.3.1, annotated mitochondrial ¹⁰⁰⁸ genomes from the NCBI Reference Sequence Database (RefSeq) release 214 were used. See Sup- ¹⁰⁰⁹ plement 1 for a copy of this data[192]. Of these genomes, 13,645 were identified as being annotated ¹⁰¹⁰

with a name indicating the ribosomal large subunit. Reported sizes and maximum possible sizes 1011 were both computed from the annotations. 1012

For the bioinformatic analysis of mitochondrial gene frequencies in Appendix A, the same 13,959 ¹⁰¹³ quality filtered genomes were used. After filtering for data quality the 14,062 genomes were reduced ¹⁰¹⁴ to 13,959 genomes. Different names used in the annotations for the same orthologous genes were ¹⁰¹⁵ mapped to the most common name. This process may have missed some annotated names that ¹⁰¹⁶ only occurred once, and orthologs that have split into multiple separate genes. The frequency of ¹⁰¹⁷ different genes was then computed. ¹⁰¹⁸

See Supplement 2 for the software used for both analyses[193].

Appendices

A Mitochondria

The mitochondrion is an organelle present in the vast majority of eukaryotic cells. The mitochondrion contains a double membrane. Each membrane is a phospholipid bilayer with embedded 1023 proteins. The space between the inner and outer membranes is termed the intermembrane space. 1024 The space within the inner membrane is termed the matrix. 1025

Somewhere in the range of 100 to 500 mitochondria are found in a typical cell[194]. The mitochondria in the cell are capable of undergoing processes of fission (splitting) and fusion (joining). ¹⁰²⁷ Mitochondria may engage in these dynamics as part of a quality control mechanism that also ¹⁰²⁸ involves autophagy[195]. ¹⁰²⁹

The structure of a typical mitochondrion is illustrated in Figure 3.

Mitochondria nearly always have their own circular double-stranded DNA genome, commonly referred to as mtDNA. This genome is much smaller than the nuclear genome both in terms of the number of genes encoded, and in terms of the number of base pairs.

mtDNA differs far more between species than within species. Indeed, it is hypothesized that all ¹⁰³⁴ the mitochondrial genomes found in humans today descended from a single mitochondrial "Eve" ¹⁰³⁵ that existed perhaps 200,000 years ago[196]. ¹⁰³⁶

Multiple copies of the same mtDNA genome exist within a single eukaryotic cell. A typical cell ¹⁰³⁷ might have around 5,000 mtDNA copies contained within its mitochondria[197].

The mtDNA genome almost invariably includes genes for the large and small subunits of the ¹⁰³⁹ mitochondrial ribosome and usually all of the corresponding mitochondrial tRNA genes. The ¹⁰⁴⁰ remaining mtDNA genes vary to some extent from species to species. These remaining genes nearly ¹⁰⁴¹ always include genes for components of the electron transport chain (COX, ND, and CYTB genes) ¹⁰⁴² and ATP synthase (ATP genes). Occasionally genes for mitochondrial ribosomal proteins (RPL ¹⁰⁴³ and RPS genes) are also present. This is shown in Table 3. Most species' mitochondria comprise ¹⁰⁴⁴ the same 13 protein coding genes, but the sequence making up each gene will vary between species. ¹⁰⁴⁵

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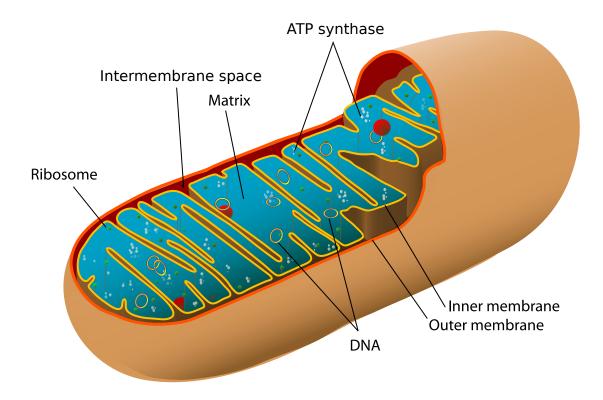


Figure 3: Structure of a typical mitochondrion.

CYTB	99.6%
COX1	99.5%
COX3	99.4%
ND5	99.0%
ND4	99.0%
ND2	99.0%
COX2	99.0%
ND6	98.9%
ATP6	98.9%
ND3	98.9%
ND1	98.9%
ND4L	98.7%
ATP8	95.1%
ATP9	9.7%
RPS3	7.5%
RPS12	5.4%
RPL16	4.9%
ND9	4.6%
ND7	4.5%
RPS4	4.5%

Table 3: Estimated frequency of the top 20 mtDNA protein coding genes from an analysis of 13,959 RefSeq mitochondrial genomes. Due to vagaries in the names used for orthologous genes, frequencies are likely to be slight under-estimates. For further details see section 7.

The vast majority of mitochondrial proteins are not encoded by the mtDNA, but by the nuclear ¹⁰⁴⁶ genome[109], and are directed to the mitochondria by the presence of a mitochondrial targeting ¹⁰⁴⁷ presequence that is cleaved off. ¹⁰⁴⁸

The mitochondria are the locations of the energy producing reactions of the cell. The citric acid 1049 cycle turns pyruvate and water into CO₂ and in so doing produces the cofactors NADH, FADH₂, 1050 and GTP. The electron transport chain oxidizes NADH and FADH₂ releasing energy which is used 1051 to pump H⁺ from the matrix to the intermembrane space. ATP synthase then uses the resulting 1052 H⁺ electrochemical gradient to produce ATP from ADP. 1053

mtDNA is normally maternally inherited. Various mechanisms exist to prevent the paternal inheritance of mtDNA in most species[198].

Base pairs in mitochondrial genes evolve 10 times more rapidly than base pairs in nuclear genes, but 1056 because the mtDNA coding regions are roughly $\frac{1}{2,000}$ th the length of nuclear DNA coding regions, 1057 the mitochondrial genome effectively evolves 200 times more slowly than the nuclear genome. To 1058 be precise, humans and chimpanzees are estimated to have diverged $T = 6.7 \times 10^6$ years ago[57]. 1059 Comparing human and chimpanzee mtDNA, the non-synonymous substitution rate of protein coding genes is 2×10^{-9} substitutions per site per year[199]. The rate of substitution for the mtDNA 1061 rRNA genes is somewhat higher. For synonymous sites the substitution rate is 3×10^{-8} [199]. These 1062 substitution rates should be compared to the nuclear DNA non-synonymous and synonymous substitution rates of protein coding genes of around 2×10^{-10} and 9×10^{-10} substitutions per site per 1064 year respectively[200][Supplement S23, site weighted K_a and K_s values divided by 2T]. 1059

The somatic mutation rate of the mitochondrial genome is around 2×10^{-7} mutations per base pair ¹⁰⁶⁶ per year based on mutational accumulation in aged humans[201][1.9×10^{-5} mutations divided by ¹⁰⁶⁷ a mean age of 83 years]. It is hypothesized that the female germ line contains quiescent template ¹⁰⁶⁸ mitochondria that are protected from this high rate of mutation[202]. ¹⁰⁶⁹

The human mitochondrial genome contains 16,568 base pairs. In addition to genes for the large and 1070 small subunits of the mitochondrial ribosome, it contains the standard 22 tRNA genes, 11 electron 1071 transport chain genes, and 2 ATP synthase genes. The gene content of the human mitochondrial 1072 genome is identical to that of most other organisms, although in terms of base pairs fungal and 1073 plant mitochondrial genomes are substantially larger.

B Other approaches to aging

This appendix reviews other approaches to aging, and shows that they can largely be aligned with ¹⁰⁷⁶ the pathway proposed in section 4.5. Multiple mechanisms for some of these other approaches have ¹⁰⁷⁷ been suggested. In reviewing these other approaches proposed mechanisms that align with the ¹⁰⁷⁸ pathway proposed in section 4.5 are considered. ¹⁰⁷⁹

B.1 Caloric restriction

The lifespan extending effects of caloric restriction have been discussed in section 4.2. There it 1081 is hypothesized that caloric restriction results in a lower rate of ATP and thus ROS production, 1082 extending lifespan.

B.2 Down-regulation of the insulin/IGF-1 signaling pathway

Insulin signals to the organism the availability of glucose energy that should be taken up by 1085 cells. Insulin-like growth factor 1 (IGF-1) stimulates cell growth, proliferation, and survival[203]. 1086 The down-regulation of the insulin/IGF-1 signaling pathway has been proposed as an anti-aging 1087 intervention[204].

The mitochondrial genome seeks to optimize the fitness of the species. It does this by enforcing ¹⁰⁸⁹ mortality on the organism. Fitness will be maximized if organism lifespans are kept short. If ¹⁰⁹⁰ the lifespan is too short however there will be insufficient time for reproduction to occur. If the ¹⁰⁹¹ organismal environment has little energy, it will take longer for the organism to grow and reproduce, ¹⁰⁹² and it might be expected that there would be a more permissive mitochondrial mandate regarding ¹⁰⁹³ the lifespan of the organism. This may be why caloric restriction works. Similarly, if the organism is ¹⁰⁹⁴ tricked into believing it is in a low energy environment, it might be expected to exhibit an increased ¹⁰⁹⁵ lifespan. As discussed below, this appears to be the case: down-regulation of insulin/IGF-1 signaling ¹⁰⁹⁶ increases lifespan.

Caenorhabditis elegans has a single insulin/IGF-1 receptor gene, daf-2. daf-2 mutants show increased lifespan[205]. daf-2 mutants exhibit a change in gene expression compared to the wild-type that is mediated by several transcription factors. This includes daf-16 up-regulation, a forkhead subclass O (FOXO) transcription factor[204]. In *Drosophila melanogaster* inhibition of insulin/IGF-1 signaling or increasing FOXO increases lifespan[204]. In mice there is a negative correlation between IGF-1 levels and lifespan[204]. Finally, small dogs have a mutation that decreases IGF-1 levels and live longer[204].

One possible mechanism through which daf-2 mutants might extend lifespan is by causing the cell ¹¹⁰⁵ to adapt to the low energy environment by reducing the cellular demand for ATP which would ¹¹⁰⁶ then reduce ROS production. The reduction in ROS would then reduce the rate of telomeric DNA ¹¹⁰⁷ damage. In this regard, daf-2 mutants are known to exhibit differentially expressed mRNA lipid, ¹¹⁰⁸ protein, and energy metabolism gene families[206]. ¹¹⁰⁹

A second possible mechanism by which daf-2 mutants extend lifespan might be through a reduction ¹¹¹⁰ in the level of H_2O_2 . This reduction might occur through the up-regulation of H_2O_2 reducing genes. ¹¹¹¹ Unlike humans, which possess a single catalase that is located in the peroxisome, *C. elegans* contains ¹¹¹² 3 catalase genes. ctl-1 is widely considered to be cytosolic[207], although WormBase WS286 lists its ¹¹¹³ putative location as peroxisomal and mitochondrial[208]. ctl-2 is peroxisomal[207]. ctl-3's location ¹¹¹⁴ is uncharacterized[207], but predicted to be peroxisomal and mitochondrial in WormBase WS286. ¹¹¹⁵ Mitochondrial and cytosolic catalases in particular can be expected to reduce cytosolic ROS levels ¹¹¹⁶ and reduce telomeric damage. Up-regulation of these catalases in daf-2 mutants has been confirmed ¹¹¹⁷ by examining the results from a few gene expression experiments as shown in Table 4. ¹¹¹⁸

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Both the inhibition of mTOR and increased mitochondrial biogenesis without a concomitant in-	1140
crease in the energy demands of the cell, might be expected to reduce ROS, and with it extend	1141
lifespan.	1142

Over-expression of the AMPK activator aak-2 in *C. elegans* has been shown to extend lifespan[220]. 1139

The AMP-activated protein kinase (AMPK) is activated when the AMP to ATP ratio rises[216]. 1136 Amongst other things activated AMPK inhibits mTOR and promotes mitochondrial biogenesis[217, 1137 218]. This mitochondrial biogenesis includes production of mitochondrially encoded proteins[219]. 1138

ative effect is consistent with the corollary to the duality hypothesis. 1134

Down-regulation of mTOR has been associated with muscular atrophy and frailty [215]. This neg-

B.4 Up-regulation of AMPK

cluding ribosomes, initiation factors, and elongation factors [214]. Thus inhibition of mTOR will 1125 reduce the energy needs of the cell. Reducing the energy needs of the cell should reduce the 1126 amount of oxidative phosphorylation performed by the mitochondria, and hence reduce the production of ROS. In addition it has been shown that the inhibition of mTOR increases the translation 1128 of mitochondrial encoded oxidative phosphorylation subunits, which likely leads to few electrons 1129

transiting a given electron transport chain, an oxidized chain, reduced ROS production, and less 1130 ROS-mediated cellular damage[213]. Therefore lifespan extension by mTOR inhibition might be 1131

Inhibition of mTOR down-regulates the production of multiple protein synthesis components, in-

The mTOR pathway has invoked considerable interest as a possible aging mechanism [212]. Inhibition of mTOR has been shown to significantly extend lifespan in a number of species[213]. 1123

locus includes both ctl-1 and ctl-2.

The mammalian target of rapamycin (mTOR) kinase is an energy and nutrient sensor that stimu-

lates growth and blocks autophagy when nutrients are plentiful[204].

mechanistically linked to mTOR's role as a mitochondrial ROS inhibitor.

Table 4: N-fold change in catalase mRNA of C. elegans daf-2 mutants versus control. * - mapping

B.3 Down-regulation of mTOR

experiment type	experiment id.	ctl-1	$\operatorname{ctl-3}$
microchip	NCBI GEO DataSets GSE106672[209]	2.4	2.5
RNA-Seq	NCBI GEO DataSets GSE111338	1.5^{*}	2.8
NRA-Seq	NCBI GEO DataSets GSE70117[210] at 15°C	1.5	1.8
NRA-Seq	NCBI GEO DataSets GSE70117[210] at 25°C	2.9	2.6
RNA-Seq	NCBI GEO DataSets GSE67975[211]	1.6	4.2

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B.5 Up-regulation of sirtuins

Sirtuins are a family of NAD+ dependent deacetylases and ADP-ribosyltransferases[221]. Overexpression of the sirtuins SIRT1 and SIRT6 has been demonstrated to extend lifespan in various species[221].

Mice, unlike humans, express telomerase in somatic cells[222]. In mice SIRT1 expression correlates with telomere length and reduces age-related telomere shortening[223]. In humans a single nucleotide polymorphism in SIRT1 correlates with telomere length and longevity[224].

SIRT6 deacetylates histone H3K9 promoting telomere stability by enabling telomere association ¹¹⁵⁰ with Werner syndrome ATP-dependent helicase (WRN)[225]. Mutations in WRN result in Werner ¹¹⁵¹ syndrome, a disease exhibiting premature aging[226]. SIRT6 knockout mice exhibit hypersensitivity ¹¹⁵² to $H_2O_2[227]$. SIRT6 is also believed to play a role in stimulating DSB repair, with more effective ¹¹⁵³ SIRT6 activity correlating with longer lifespan[228]. Finally, SIRT6 deficiency is associated with ¹¹⁵⁴ increased NF- κ B signaling[229]. ¹¹⁵⁵

In summary, SIRT1 and SIRT6 may extend lifespan by affecting telomere length, and assisting in telomere damage repair processes.

B.6 Manipulation of redox pathways

Mitochondrial thioredoxin reductase (TrxR) levels are elevated in long lived species of primates, ¹¹⁵⁹ rodents, and birds[230]. Disruption of Trx or TrxR shortens lifespan, increased Trx or TrxR expression can extend it, and allelic variation in cytosolic TrxR has been associated with longevity ¹¹⁶¹ in humans[231]. ¹¹⁶²

NADPH reduces TrxR, which then reduces Trx. The existence of reduced Trx is key to the reduction 1163 of peroxiredoxin (Prx), which enables Prx to reduce H_2O_2 to water, and more importantly reduced 1164 Trx inactivates the ASK1-JNK pathway that leads to the SASP as shown previously in Figure 2. 1165

Trx can also be reduced by glutaredoxins, which are reduced by the oxidation of reduced glutathione ¹¹⁶⁶ (GSH)[231]. GSH is generated by glutathione reductase (GR), which is reduced by NADPH. ¹¹⁶⁷ Accordingly, acceleratingly aged mice and naturally aged mice and humans show decreasing levels ¹¹⁶⁸ of the antioxidants GSH and GR with age[232]. ¹¹⁶⁹

Thus, both by reducing H_2O_2 and through the production of reduced Trx which interferes with $_{1170}$ ASK1, increases in redox reduction pathways may extend lifespan. $_{1171}$

B.7 Modulation of germline signaling

The removal of the germ cells in *C. elegans* significantly increases lifespan[204]. Countervailing $_{1173}$ this, the removal of the ovaries is correlated with increased all cause mortality in women[233]. $_{1174}$

In the case of *C. elegans*, germline loss appears to result in a bust of ROS in somatic tissues in $_{1175}$ early adulthood[234]. In response to this burst in ROS mitochondrial biogenesis is increased[234]. $_{1176}$

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This increase in mitochondrial content could be hypothesized to lead to reduced ROS production 1177 over the long term, and increased lifespan. 1178

Women undergo a gradual loss of germ cells as they age. The depletion of germ cells typically ¹¹⁷⁹ occurs earlier than death, and might represent a mechanism to ensure that resources are directed ¹¹⁸⁰ to viable offspring. For women, the presence of germ cells might thus cause the nuclear genes of ¹¹⁸¹ the organism to seek to resist the aging process. ¹¹⁸²

B.8 Enhanced autophagy

Elevated levels of autophagy occur in common with multiple lifespan extending interventions: reduced insulin/IGF-1 signaling, reduced mTOR signaling, germline removal, caloric restriction, and reduced mitochondrial respiration[235]. As such, autophagy is hypothesized as a common mechanism of aging, and interventions to enhance autophagy are hypothesized to extend lifespan. Mitochondrial mechanisms have been proposed here whereby each of these interventions may extend lifespan without having to invoke autophagy as an explanation. These proposed mechanisms might suggest that the link between autophagy and lifespan may be more correlative than causative.

Autophagy related 5 (ATG5) is a key gene of autophagy. The over-expression of ATG5 in mice ¹¹⁹¹ enhances autophagy and extends lifespan[236]. ATG5 transgenic mice had the same food intake ¹¹⁹² per body weight, but weighed slightly less, and so had less food intake overall[236]. ¹¹⁹³

The effect of autophagy could thus be correlative, or it could be to reduce the energy needs of the 1194 cell, thereby reducing mitochondrial respiration, and in this way extending lifespan.

B.9 Parabiosis

Continuous blood exchange between an older and a younger animal, heterochronic parabiosis, improves cellular proliferation in the older animal, and reduces the lifespan of the younger animal[237, 1198 238]. mRNA levels of the senescence markers p16 and p21 and SASP genes are reduced in the older 1199 animal as a result of heterochronic parabiosis[239]. 1200

A possible mechanism for heterochronic parabiosis is through the modulation of one or more endocrine factors making up the SASP. Both the SASP factors IL-6 and TNF appear capable of exerting endocrine effects [240, 241].

B.10 Metformin

Metformin is the first line drug for the treatment of type 2 diabetes [242]. Metformin is also ¹²⁰⁵ associated with a 30-50% reduction in the risk of cancer among type 2 diabetes patients [243]. ¹²⁰⁶ Metformin extends lifespan in *Caenorhabditis elegans* and some strains of *Mus musculus*, but not ¹²⁰⁷ in *Drosophila melanogaster* [244]. Metformin is proposed to be tested as a drug to increase healthy ¹²⁰⁸ human lifespan in the TAME trial [245]. ¹²⁰⁹

The precise mechanism by which metformin exerts its multiple effects has not been fully elucidated. 1210

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the evidence for the hypotheses appears substantially greater than the evidence against.

Not every one of the answers to the questions in Tables 5 and 6 will likely be correct. And some ¹²³⁷ of these questions already have one or more existing plausible answers. However most of these are ¹²³⁸ single point theories that address one question. By the principle of parsimony there is considerable ¹²³⁹ advantage to replacing them by a single unifying theory. ¹²⁴⁰

This appendix collects in one place both the evidence for and against hypotheses on the extended ¹²³² phenotype of the mitochondrion (HEPM) in Tables 5 and 6 and in Table 7 respectively. Evidence ¹²³³ for the hypotheses that exists, but is not discussed in this paper has been omitted. On the other ¹²³⁴ hand, all known evidence against the hypotheses has been discussed and presented. Despite this, ¹²³⁵

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One of several possibilities is that it reduces cytosolic ROS concentrations. Metformin has been ¹²¹¹ shown to inhibit complex I of the electron transport chain[246, 247]. A reduction in complex I ¹²¹² activity should result in a reduction in the activity of subsequent electron transport chain units, ¹²¹³ and a reduction in cytosolic ROS. A related mechanism of action for metformin is through the ¹²¹⁴ activation of AMPK which is also hypothesized here to reduce ROS[248]. ¹²¹⁵

Metformin potentially illustrates the role of mitochondrial ROS production in mortality, and the potentially beneficial effects of ROS inhibition.

B.11 Epigenetic reprogramming

The loss of epigenetic information such as DNA and histone methylation and histone acetylation ¹²¹⁹ patterns has been proposed to occur as a part of the aging process[249]. As such, epigenetic ¹²²⁰ reprogramming may be able to treat certain age-related diseases. ¹²²¹

It is possible to construct a pathway from mitochondrial ROS production to the loss of epigenetic ¹²²² information via the displacement of SIRT1, which plays a role in the histone deacetylation that ¹²²³ maintains epigenetic silencing, and is also involved in DSB repair[250]. ROS are assumed to create ¹²²⁴ DSBs and the recruitment of SIRT1 to this damage prevents it from playing its role in epigenetic ¹²²⁵ silencing. However these arguments are currently only speculative. If proven correct, the loss of ¹²²⁶ epigenetic information might turn out to be a fundamental mechanism of aging of mitochondrial ¹²²⁷ origin according to the classification scheme proposed here. Otherwise the loss of epigenetic information might be viewed as having occurred infrequently in the evolutionary environment and thus ¹²²⁹ being classified as an ancillary mechanism of aging. ¹²³⁰

C Review of evidence

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- H1 How can active germ line replicators combine? Section 1.4
- H1 How did sex evolve? Section 2.1.
- H1 Why is evidence for eukaryotes prior to mitochondrial symbiosis lacking? Section 2.1.
- H1 Why do eukaryotes have multiple nuclear chromosomes? Section 2.1.
- H1 Who benefits from sex? Section 2.2.
- H1 What did the mitochondrion get in return for its symbiotic bargaining power? Section 6.
- H2 Why is the mitochondrial genome of the bdelloid rotifers so anomalous? Section 2.3.1.
- H2 Why are amitochondrial species more likely to be asexual? Section 2.3.1.
- H2 Why does as exuality rarely encompass a taxa larger than a species? Section 2.3.1.
- H2 Why are there so few ancient asexual eukaryotes? Sections 2.3.1 and 2.4.
- H2 Why do mitochondrial DNA barcodes cluster organisms into species? Section 2.4.
- H2 How is sex evolutionarily stable? Sections 2.4 and 3.2.
- H3 Why are eukaryotes mortal? Section 3.
- H3 Why do unicellular eukaryotes appear to engage in apoptosis? Section 3.
- H3 Why is lifetime energy consumption per unit mass constant across species? Section 3.1.
- H3 Why is obesity correlated with shortened lifespan? Section 3.1.
- H3 Why does the Galápagos tortoise live so much longer than insects? Section 3.2.
- H3 Can selection operate at the level of the species? Section 3.2.
- H3 Why does failing to mate in the presence of pheromones induce apoptosis? Section 4.3.
- H3 Why does recombination reset replicative age in yeast? Section 4.3.
- H3 Why do humans live so much longer than mice? Section 4.4.4.
- H3 Why is the mortality rate so low throughout the reproductive lifespan? 4.4.4.
- H3 Why does down-regulation of insulin/IGF-1 signaling extend lifespan? B.2.
- H4 Why is age reset by sex? Section 4.
- H4 Why do most animal species exhibit organismal senescence? Section 4.4.
- H4 Why does the thymus involute? Section 4.4.2.
- H4 Why do so many human diseases exhibit the hallmarks of intentionality? Section 4.4.3.
- H4 Why do humans and mice experience many of the same causes of death? Section 4.4.4.
- H5 Why do apoptotic death effectors also perform some vital function? Section 4.1.
- H5 Why is senescence beneficial for development and wound healing? Section 4.4.1.
- H5 Why is ATM mediated DDR both an aging-related and a life-giving signal? Section 4.5.2.
- H5 Why does down-regulation of mTOR extend lifespan and muscular atrophy? Section B.3.
- H6 Why do mitochondria appear to play a key role in apoptosis? Section 3.
- H6 Why is catalase usually only found in the peroxisome? Section 4.2.
- H6 Why do mutator mice show increased ROS as they age? Section 4.2.
- H6 Why does a mitochondrially targeted catalase reduce cardiomyopathy? Section 4.2.
- H6 Why does ROS inversely correlate with lifespan across species? Section 4.2.
- H6 Why does overexpression of antioxidant genes increase lifespan? Section 4.2.
- H6 Why does deletion of antioxidant genes decrease lifespan? Section 4.2.
- H6 Why does exposure to antioxidants increase lifespan? Section 4.2.

Table 5: Primary hypotheses and questions answered or informed by hypotheses on the extended phenotype of the mitochondrion.

H6	Why do mitochondrial haplogroups correlate with longevity? Section 4.2.
H6	Why does caloric restriction extend lifespan? Section 4.2.
H6	Why does deletion of SOD1 or SOD2 reduce RLS in yeast? Section 4.3.
H6	Why does deletion of SOD1 or SOD2 reduce CLS in yeast? Section 4.3.
H6	Why does overexpression of SOD1 or SOD2 increase CLS in yeast? Section 4.3.
H6	Why is ROS implicated in thymic involution? Section 4.4.2.
H6	Why does iron negatively influence lifespan? Section 4.5.1.
H6	Why do telomeres contain the sequence AGGG? Section 4.5.1.
H6	Why are telomere DSBs irreparable? Section 4.5.2.
H6	Why does telomere damage increase with age? Section 4.5.2.
H6	Why do shortened telomeres correlate with age? Section 4.5.2.
H6	Why do shortened telomeres correlate with mortality risk? Section 4.5.2.
H6	Why does ROS accelerate telomere shortening? Section 4.5.2.
H6	Why does SOD3 reduce the rate of telomere shortening? Section 4.5.2.
H6	Why don't eukaryotes possess circular chromosomes? Section 4.5.2.
H6	Why do daf-2 mutants have increased catalase expression? Section B.2.
H6	Why does the inhibition of mTOR extend lifespan? Section B.3.
H6	Why does the activation of AMPK extend lifespan? Section B.4.
H6	Why does enhancement of autophagy extend lifespan? Section B.8.
H6	Why does metform reduce the risk of cancer? Section B.10.
H6	Why does metform extend lifespan in $C.$ elegans? Section B.10.
H7	Why do mitochondria appear to play a key role in senescence? Section 4.4.
H7	Why do senescent cells exhibit increased mitochondrial ROS? Section 4.4.1.
H7	Why does the SASP exist? Section 4.4.1.
H7	Why does a reduction in the number of mitochondria attenuate the SASP? Section 4.4.1.
H7	Why does senescence appear to spread once a threshold level is reached? Section 4.4.1.
H7	Why do antioxidants prevent senescent cell cycle arrest? Section 4.4.1.
H7	Why does electron chain disruption contribute to senescence? Section 4.4.1.
H7	Why is the SASP implicated in thymic involution? Section 4.4.2.
H7	Why do so many age-related diseases involve senescence and the SASP? Section 4.4.3.
H7	Why does phosphorylated ATM level correlate with age? Section 4.5.3.
H7	Why is the p16 level considered a biomarker of age? Section 4.5.4.
H7	Why is p16 elevated in senescent cells? Section 4.5.4.
H7	Why does phosphorylated ATM arrest the cell cycle? Section 4.5.4.
H7	Why does phosphorylated ATM induce senescence associate genes? Section 4.5.5.
H7	Why does phosphorylated ATM induce the SASP? Section 4.5.6.
H7	Why does SIRT1 or SIRT6 over-expression extend lifespan? Section B.5.
H7	Why does Werner syndrome result in accelerated aging? Section B.5.
H7	Why does TRx and TrxR correlate with lifespan? Section B.6.
H7	Why do GSH and GR levels decline with age? Section B.6.

H7Why does parabiosis modulate aging? Section B.9.

Table 6: Primary hypotheses and questions answered or informed by hypotheses on the extended phenotype of the mitochondrion (continued).

- H3 Hydra are able to regenerate without sex?
- H3 The replicative lifespan of yeast daughter cells is reset by mitosis. Section 4.3?
- H6 Peroxisomal H_2O_2 should interfere with mitochondrial ROS signaling? Section 4.5.1.
- H6 Mild ROS exposure increases lifespan? Section 4.2.
- H6 Mutations that increase ROS sometimes increase lifespan? Section 4.2.
- H6 Overexpression of SOD1 fails to increase RLS in yeast? Section 4.3.
- H6 Iron supplementation extend CLS in yeast? Section 4.5.1.

Table 7: Primary hypotheses and evidence against hypotheses on the extended phenotype of the mitochondrion.

Conflict of interest disclosure

The author declares they have no financial conflicts of interest in relation to the content of this 1245 manuscript. 1246

Supplements

Supplement 1 - Mitochondrial genome sequence data. https://doi.org/10.5281/zenodo.7901579	1248 1249
Supplement 2 - Mitochondrial genome analysis software and results.	1250
https://doi.org/10.5281/zenodo.7901623	1251

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