

1 **Community-based conservation and restoration in coastal wetlands: A review**

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9 **Abstract**

10 Research has shown that conservation and restoration efforts that engage local
11 communities are more successful at meeting stated goals than those that are externally controlled.
12 Such participatory management approaches have been increasingly applied in coastal wetland
13 ecosystems, yet our collective understanding of the scope of methods applied and outcomes
14 observed in these efforts is limited. In order to address this gap, we present a review of the literature
15 on community-based conservation and restoration in coastal wetlands. We summarize the current
16 state of coastal wetland participatory management and provide suggestions for future conservation
17 and restoration efforts, namely: expanding the ecosystem and geographic focus, incorporating
18 additional approaches and outcome metrics, and increasing the post-conservation or restoration
19 monitoring period. It is our hope that this work will encourage further implementation of
20 community-based approaches to coastal wetland management for the collective benefit of both
21 people and nature.

22

23 **Keywords:** Participatory conservation; Community-led restoration; Land management; Coastal
24 wetlands; Mangroves; Salt marshes; Seagrass

25

26 **Introduction**

27 Research over the last 20 years has shown that conservation and restoration efforts that
28 include local communities in the decision-making and management process are more sustainable
29 and successful at meeting stated goals than those that are primarily externally controlled (Folke et
30 al. 2004). For instance, in their systematic review and synthesis on the role of Indigenous peoples
31 and local communities in conservation, Dawson et al. (2021) found that more than half of locally-

32 controlled case studies reported positive social and ecological outcomes while only 15% of
33 externally-controlled interventions produced the same results. Further, more than a third of
34 externally-controlled efforts produced negative social and ecological outcomes, which was more
35 than 10 times the negative results reported for locally-controlled efforts (Dawson et al., 2021).
36 Such outcomes may be due to many factors, including participants differing in their understandings
37 of human-nature relations, inequitable negotiation practices, or when legacies of harm make
38 conservation or restoration practices appear threatening (Redpath et al., 2013). These findings
39 highlight the importance of integrating local participation into conservation and restoration
40 initiatives.

41 As one of the most important and threatened ecosystems globally, coastal wetlands such as
42 mangrove forests, salt marshes, and seagrass meadows have been a key target of conservation and
43 restoration efforts over the last 50 years (Zhang et al., 2018). Historically, management schemes
44 have relied heavily on top-down approaches driven by government institutions and conservation
45 organizations with limited local community participation. However, community engagement has
46 become increasingly central as recognition of the influence of socioeconomic and cultural factors
47 on conservation and restoration outcomes has improved (Sterling et al., 2017, Gavin et al., 2018).
48 The Mangrove Action Project, for example, is a non-profit that emphasizes working with and
49 training local community members in identifying causes of mangrove loss and reasons why natural
50 regeneration hasn't occurred, understanding the social factors that might influence mangrove
51 recovery, and developing a strategy that addresses these social and ecological aspects (Mangrove
52 Action Project). As a result, the Mangrove Action Project has facilitated the successful recovery
53 of mangrove habitats throughout Southeast Asia, coastal Africa, and Central and South America.
54 Similarly, management of the Gwaii Haanas National Park Reserve, National Marine Conservation

55 Area Reserve, and Haida Heritage Site in British Columbia, Canada embodies a community-based
56 approach to conservation (Stephenson et al., 2014; Gavin et al., 2018). The reserve encompasses
57 more than 500 km² of land and ocean and is co-managed by the Haida Nation and the Federal
58 Government of Canada through shared responsibilities and consensus building as the foundation
59 for decision-making (Nesbitt, 2016). Management follows the Haida concept of “respect for all
60 living things”, with the goal of protecting the area’s native species and habitats while meeting the
61 Haidas’ needs for food, health, and well-being (Gavin et al., 2018).

62 As community-based conservation and restoration efforts (hereafter, ‘CBCR’) in coastal
63 wetlands have increased, there is an attendant need to better understand the scope of approaches
64 applied and outcomes observed in these efforts. To date, a comprehensive evaluation of CBCR in
65 coastal wetlands has not been completed even though this represents a critical step towards
66 assessing the relative effectiveness of these methods. Therefore, here we present a review of the
67 literature and narrative synthesis on CBCR in coastal wetlands with an emphasis on participant
68 involvement, approaches used, and reported outcomes. This work aims to highlight the current
69 state of community-based practices in coastal wetland ecosystems and provide key suggestions for
70 implementing and evaluating outcomes in future CBCR efforts.

71

72 **Materials and Methods**

73 *Literature search and review*

74 A systematic literature search was conducted to compile a comprehensive list of
75 publications on community-based conservation and restoration in coastal wetlands. We searched
76 ISI Web of Science in May 2022 and February 2023 using the following query: TS = (salt marsh*
77 OR mangrove* OR seagrass* OR seagrass bed* OR coastal wetland* OR coastal marsh*) AND

78 TS = (conservation* OR restoration* OR rehabilitation* OR remediation*) AND TS =
79 (community-based* OR community-led* OR community-involv* OR participatory OR
80 collaborat*). This resulted in 734 papers, excluding conference proceedings and editorials.

81 A filtering process was then applied to each study wherein the abstracts and body were
82 reviewed and those that met the following criteria were retained: (1) the study focused on
83 community-based conservation or restoration where “community-based” was defined as efforts
84 that incorporate local community participation in decision-making; (2) conservation or restoration
85 efforts were conducted in mangroves, salt marshes, or seagrass meadows; and (3) the study
86 provided details on CBCR approaches taken and the outcomes observed. Following this filtering
87 process, 55 publications were retained (see Supplementary Materials).

88

89 *Data collection and analysis*

90 For each study, we extracted bibliographic information along with data on the country or
91 geographic region of focus, habitat type, participants involved (e.g., local communities, policy-
92 makers, etc.), CBCR approaches taken, and reported outcomes. Details on participants,
93 approaches, and outcomes were initially categorized by the terms and phrases used in each study
94 and were then further grouped using the terms and definitions listed in Table 1 in order to make
95 comparisons across studies.

96 We used descriptive statistics to summarize the number of studies detailing CBCR efforts
97 in coastal ecosystems, the geographic focus, the participants involved in the efforts, the
98 conservation or restoration approaches used, and the conservation or restoration outcomes
99 reported. All quantitative analyses were completed using RStudio version 2023.03.0+386.

100

101 **Results**

102 *Publication details*

103 The 55 studies included in this review were published between 2000 and 2022, with the
104 largest number of publications per year occurring between 2016 and 2022. Of these studies, 52
105 evaluated conservation and restoration efforts in mangroves, two focused on seagrass meadows,
106 and one focused on salt marshes. Finally, 37 studies were qualitative (i.e., relied primarily on
107 surveys and focus group interviews of participants), 15 presented quantitative results, and three
108 were narrative literature reviews.

109

110 *Geographic distribution*

111 A total of 20 countries were identified across 9 geographic regions (Table S1). The region
112 with the greatest representation was Asia, with 34 publications, followed by Africa with 10, and
113 the Americas with eight. Because these large geographic regions are not homogenous
114 sociopolitical landscapes with shared environmental management approaches, it is important to
115 also note the within-region distribution of publications. Namely, 22 studies were published on
116 Southeast Asia, 12 on South Asia, six on East Africa, four each on West Africa and North America,
117 three on South America, two on the Pacific Islands, and one each on the Caribbean and Australia.
118 Finally, of the 55 publications, all but two featured a country-specific geographic focus. The first
119 exception detailed a collaborative restoration effort between the United States and Mexico
120 (Zaldívar-Jiménez et al., 2017), and the second assessed conservation and restoration initiatives in
121 India, the Philippines, and Thailand (Datta et al., 2012).

122

123 *CBCR participants, approaches, and outcomes*

124 As a requirement for inclusion in this synthesis, local communities were involved in
125 conservation or restoration efforts in each study considered here and additional participants were
126 included in all but six cases. Policy-makers or government officials were involved in 71% of
127 studies, private-sector businesses and NGOs in 55% of studies, and researchers or scientists in just
128 under 10% of studies (Table 1).

129 Conservation and restoration approaches were grouped into four categories: community-
130 based land-use, joint land management, planting, and hydrological restoration, with nearly 65% of
131 studies incorporating community-based land-use or planting practices (Table 1). The distribution
132 of approaches varied considerably by ecosystem type – planting and hydrological restoration
133 occurred in salt marshes, planting and community-based land-use was applied in seagrass
134 meadows, and all of the identified approaches were used in mangrove ecosystems (Figure 1A).

135 CBCR outcomes were placed into five thematic categories based on the descriptions
136 provided in each study: economic outcomes (reported in 42% of studies), ecosystem functions and
137 services (reported in 18% of studies), ecosystem health (reported in 75% of studies), social
138 (reported in 18% of studies), and sustainability outcomes (reported in 27% of studies). While these
139 outcomes included positive, negative, and neutral observations, the overwhelming majority of
140 studies reported positive outcomes such as increased area of the target ecosystem, increased
141 ecosystem health, and increased income for local community participants. Approximately 16% of
142 studies observed negative outcomes such as increased conflict, exacerbated unsustainable activity,
143 and diminished coastal resilience. These observed outcomes varied considerably by ecosystem
144 type (Figure 1B). Notably, studies on salt marshes and seagrass meadows only reported an increase
145 in area of the target ecosystem and improved ecosystem health while all other outcomes were
146 reported for mangroves.

147

148 **Discussion and Conclusions**

149 *CBCR in coastal wetlands: Participants, approaches, and outcomes*

150 Across the 55 studies evaluated here, the majority of CBCR efforts were collaboratively
151 implemented, particularly with partnerships between local communities, policy-makers, and the
152 private sector. For example, two local communities inhabiting Pantanos de Centla Biosphere
153 Reserve (PCBR) in Tabasco, Mexico worked with PCBR officials and researchers to reforest 160
154 ha of mangrove area and cleaned 4,942 m of natural channels to reestablish water flow across 34.7
155 ha. (Gómez-Ruiz et al., 2022). Similarly, efforts to reduce nutrient-loading and facilitate improved
156 water quality and seagrass meadow recovery in Tampa Bay, Florida, USA relied heavily on
157 strategies defined by the local community in partnership with state and local governments as well
158 as private businesses (Greening et al., 2014). These examples highlight the value of leveraging
159 diverse knowledge systems and resources across participant groups in order to build capacity and
160 implement meaningful action.

161 Conservation and restoration approaches are often uniquely tailored to the local
162 environmental context and the specific goals of the effort; therefore, approaches can vary widely
163 from one initiative to another. That said, nearly every study included in this review emphasized
164 community-based land-use as a conservation strategy and planting vegetation as a restoration
165 method. This reflects the broader shared objectives across studies to implement management
166 approaches that integrate local needs and repair lost or degraded coastal habitat. In an effort that
167 incorporated both approaches, Katon et al. (2000) found that co-management between community
168 members, the local government, a private firm, and an international NGO resulted in the
169 rehabilitation of 110 ha of mangrove areas, planting of 109 ha, and assisted natural regeneration

170 of 20 ha. Additionally, community survey results indicate that participants perceived
171 improvements in conflict resolution, knowledge of mangroves, information exchange, control over
172 resources, and influence over resource management due to the co-management approach. This
173 case study therefore underscores the particular value of taking a mixed-methods approach in
174 CBCR initiatives.

175 The majority of outcomes reported across studies indicate positive impacts on
176 environmental, economic, and social variables. In particular, an increase in ecosystem area and
177 health, as well as an increase in income for community members were the most commonly reported
178 outcomes associated with CBCR activities. These results suggest that CBCR approaches can
179 improve ecosystem conditions and simultaneously address community needs. However, several
180 CBCR efforts produced negative outcomes, including increased conflict between participants and
181 an exacerbation of unsustainable practices. For instance, DasGupta et al. (2017) found that
182 participatory management of mangroves in the Indian Sundarbans resulted in conflict between
183 mangrove users and forest management officials due to restricted access to economically
184 exploitable mangrove products, reduced financial gains when conducting business with the local
185 forest office, and lack of trust and conflicting interests between the officials and the communities.
186 Though negative outcomes represent a small portion of the studies included here, such results
187 highlight the importance of *equitably* engaging participant groups in the decision-making and
188 management process.

189

190 *Knowledge gaps and next steps*

191 The CBCR efforts summarized here were almost exclusively applied in South and
192 Southeast Asia mangrove ecosystems. While this provides important insights into the structure and

193 efficacy of these approaches in a geographic region experiencing a high rate of habitat loss, other
194 geographic regions and coastal systems would benefit from CBCR approaches. Approximately
195 36% of the world's wetlands are legally protected, but the effectiveness of these conservation
196 approaches is still debated (Friess et al. 2019; Cadier et al. 2020). Further, the success of restoration
197 has been estimated to range between 38% in seagrass meadows and 65% in salt marsh ecosystems
198 (Bayraktarov et al. 2016; Cadier et al. 2020). These data suggest that there is considerable room
199 for improvement in salt marsh and seagrass meadow conservation and restoration, and community-
200 based approaches may help facilitate increased conservation and restoration success.

201 Across the studies evaluated here, the CBCR approaches that were overwhelmingly used
202 were community-based land-use and planting vegetation. Although these approaches primarily
203 resulted in positive outcomes, future efforts may benefit from incorporating a more comprehensive
204 ecological approach that integrates conserving or restoring non-target species. Positive species
205 interactions, such as mutualism and facilitation, and trophic cascades have the potential to improve
206 environmental conditions or survival rates of target species and therefore may play an important
207 role in conservation and restoration initiatives (Renzi et al., 2019, Sievers et al., 2022). None of
208 the studies included in this review explicitly considered these broader ecological features; this
209 therefore represents an important area for future CBCR in coastal wetlands efforts to explore.

210 Finally, future CBCR efforts and studies assessing their efficacy should aim to standardize
211 and incorporate additional outcome metrics that provide comparable and holistic assessments. This
212 includes incorporating other measures of ecosystem functions and services (e.g., soil nutrient
213 availability, cultural ecosystem services, etc.), health and well-being aspects (e.g., mental and
214 physical health, social connection, etc.), and monitoring outcomes over longer periods of time.
215 Such metrics and monitoring practices will allow for a comprehensive assessment of CBCR efforts

216 that integrates critical attributes of complex social and ecological systems. Taken together, it is our
217 hope that this work and the aforementioned suggestions will encourage further implementation of
218 community-based approaches to coastal wetland management for the collective benefit of both
219 people and nature.

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277 **Table Legends**

278 Table 1. Category groupings and definitions for CBCR participant types, approaches, and
279 outcomes. Values in parentheses indicate the number of studies that incorporated these aspects.

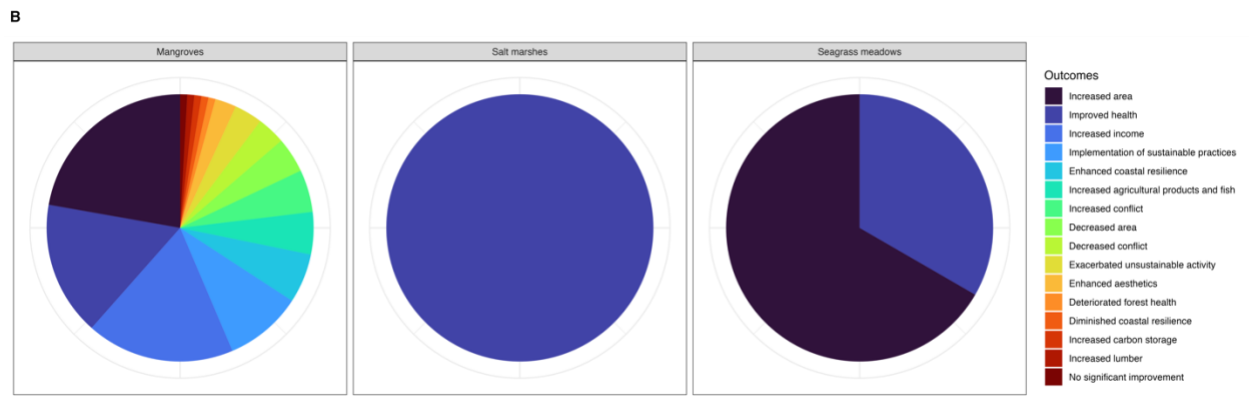
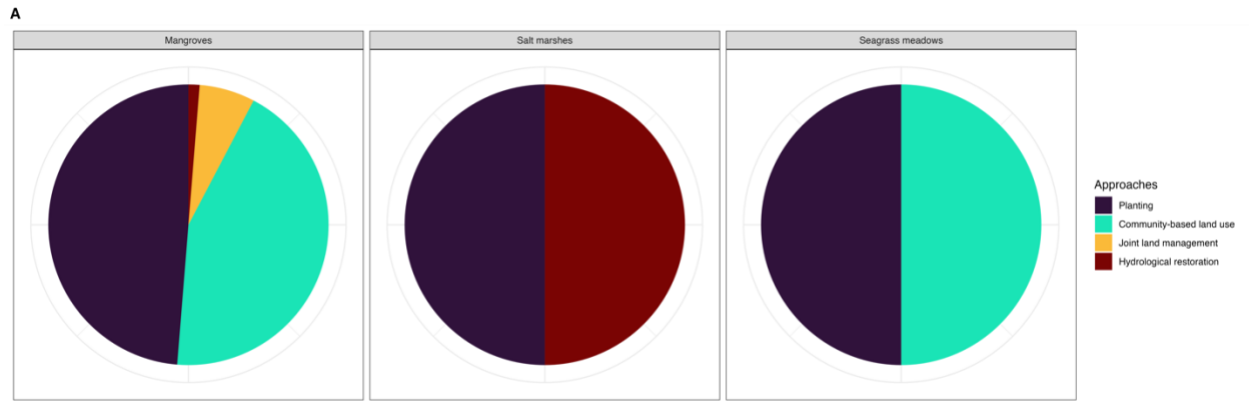
280 **Table 1**
281

Participant Type	Definition
Local Communities (55)	Groups of interacting people living in the same location(s)
Policy-Makers (39)	Government or local officials involved in making policy and management decisions.
Private Sector (30)	Organizations or institutions that are not under government control, such as industry or NGOs.
Researchers (5)	Individuals or groups carrying out academic or scientific research.
Conservation/Restoration Approach	
Community-based Land Use (35)	Management approach that integrates a diversity of land-uses based on the needs of the local community.
Hydrological Restoration (2)	The removal or modification of barriers to improve hydrological connectivity and tidal flow.
Joint Land Management (5)	Management approach that involves formal collaboration and decision-making between local communities and other entities, such as the local government.
Planting (40)	Seeding or planting vegetation to increase ecosystem area. This includes reforestation and afforestation for forested ecosystems.
Conservation/Restoration Outcome	
Economic (23)	Outcomes that involve an economic or monetary component, such as increased income or an increase in local tourism.
Ecosystem Health (41)	Outcomes that consider aspects of ecosystem health, such as the size of the ecosystem or measures of biodiversity.
Ecosystem Functions and Services (10)	Outcomes that involve the functions and services that ecosystems provide, such as carbon storage and coastal protection.
Social (10)	Outcomes that include changes in the ways that community members interact with one another, including an increase or decrease in conflict
Sustainability (15)	Outcomes that consider changes in behavior towards more or less sustainable activities.

283 **Figure Legends**

284 Figure 1. CBCR approaches (A) and outcomes (B) reported by ecosystem type.

285 **Figure 1**



286