Acoustic evidence for seasonal resource-tracking migration 1 by a top predator of the deep sea 2 3 4 William K. Oestreich<sup>a,\*</sup>, Kelly J. Benoit-Bird<sup>a</sup>, Briana Abrahms<sup>b</sup>, Tetyana Margolina<sup>c</sup>, John E. 5 Joseph<sup>c</sup>, Yanwu Zhang<sup>a</sup>, Carlos A. Rueda<sup>a</sup>, John P. Ryan<sup>a</sup> 6 7 <sup>a</sup> Monterey Bay Aguarium Research Institute, Moss Landing, CA 95039, USA 8 <sup>b</sup> Center for Ecosystem Sentinels, Department of Biology, University of Washington, Seattle, WA 9 98195, USA 10 <sup>c</sup> Naval Postgraduate School, Monterey, CA 93943, USA 11 \*Corresponding author: William K. Oestreich 12 Email: woestreich.research@gmail.com 13 14 **Keywords:** deep sea, movement ecology, bioacoustics, migration, resource tracking, 15 phenology, sperm whale (*Physeter macrocephalus*)

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### 17 Abstract

18 The strategies that animals employ to track resources through space and time 19 are central to their ecology and reflect underlying ecosystem phenology. Deep-sea 20 ecosystems represent Earth's largest habitable space, yet ecosystem phenology and 21 effective animal movement strategies in these systems are unknown. Analyzing seven-22 plus years of continuous population-level acoustic observations, we find evidence for 23 seasonal, latitudinal migratory movements by sperm whales in the Northeast Pacific. 24 Assessment of size-correlated echolocation click characteristics indicates that all 25 demographic groups undertake seasonal movements in this region. Integration of these 26 population-level empirical results with individual-level movement simulations provides 27 the first evidence of seasonal resource-tracking migration in a deep-sea top predator. 28 While often described as nomadic, we instead find that sperm whales track 29 oceanographic seasonality in a manner similar to many surface ocean predators. 30 Together, these findings elucidate the drivers of this top predator's long-distance 31 movements and shed light on the shrouded phenology of deep-sea ecosystems.

# 1 Introduction

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3 The movement strategies that animals use to track resources in space and time drive many aspects of their ecology, mediate their ability to respond to environmental 4 5 perturbations, and provide insight into the spatiotemporal dynamics of the ecosystems 6 they inhabit<sup>1</sup>. These individual and group-level movement strategies typically result from spatiotemporal patterns of resource availability<sup>2</sup>, and manifest in distinct patterns of 7 population-level distribution in space and time<sup>3</sup>. For example, nomadic resource 8 9 tracking has evolved in aseasonal and unpredictable environments, leading to irregular 10 patterns of individual movement and population distribution<sup>4</sup>. Conversely, in seasonal 11 ecosystems that display spatiotemporal resource dynamics driven by seasonal variation 12 in solar angle, many species have evolved to undertake seasonal migrations<sup>4</sup>. 13 Resource-tracking migrations represent an important connection between ecosystem dynamics and animal movement, closely linking ecosystem phenology with that of 14 15 seasonal animal migrations<sup>1,5</sup>. Under this strategy, migrating animals may maximize their resource gain by tracking resource phenology as it propagates across 16 spatiotemporal gradients such as latitudes or elevations<sup>6</sup>. Such resource tracking has 17 18 been shown to provide a number of individual and population-level benefits, from 19 enabling animals to have more prolonged access to food<sup>7</sup>, to increasing fat gain<sup>8</sup> and 20 allowing migratory populations to have higher growth rates than sedentary populations<sup>9</sup>. 21 These linkages between resource dynamics and animal movement strategies are increasingly well-understood in seasonal terrestrial<sup>2,5,8,10</sup>, freshwater<sup>11</sup>, coastal marine<sup>12</sup>, 22 23 and epipelagic<sup>13–18</sup> ecosystems across the globe.

Few studies to-date have assessed these connections between ecosystem
dynamics and animal movement in Earth's largest habitable space: deep pelagic
ecosystems. These oceanic waters deeper than 200m, where little sunlight penetrates,
have historically been characterized as stable and aseasonal, but poorly-understood<sup>19</sup>.
However, a growing body of evidence suggests elements of seasonality in the deep
sea. For example, oceanographic studies have documented seasonal variation in the
physical and biogeochemical transport of biomass from the surface to the deep<sup>20-22</sup>.

Further research has documented seasonality in sightings and biomass of low and mid-1 2 trophic level organisms in the mesopelagic<sup>23–25</sup>. Yet understanding of deep-sea 3 phenology remains limited, particularly for highly-mobile and high-trophic-level animals. This knowledge gap is underpinned by the challenge of making continuous and detailed 4 observations in these ecosystems<sup>19</sup>. Given the global extent, high endemic biodiversity, 5 6 and major role in global biogeochemical cycles of deep pelagic ecosystems, 7 understanding the phenology of these ecosystems and the evolved movement 8 strategies of their inhabitants is important to advance fundamental ecology and inform 9 ecosystem management.

10 We address this gap by integrating long-term passive acoustic monitoring data 11 and movement simulations for a deep pelagic top predator, the sperm whale (*Physeter* macrocephalus). Sperm whales are a deep-diving oceanic predator, diving to depths of 12 hundreds-to-thousands of meters<sup>26</sup> to forage on diverse deep pelagic prey<sup>27</sup>. Thus, 13 studying the movement patterns of these ocean giants can provide a rare window into 14 15 the phenology of the deep-sea environment. In addition, sperm whales produce the loudest known biological sounds<sup>28</sup> which not only reveal the presence of this often-16 17 cryptic species over large ocean volumes, but also transmit rich behavioral and 18 demographic information about detected individuals. Echolocation clicks are central to 19 the foraging ecology of sperm whales in the low-light conditions of the deep sea, and 20 further indicate individuals' behavioral state (foraging), size (both inter-click-interval<sup>29</sup> and inter-pulse-interval within individual clicks<sup>30</sup> correlate with size), and sex and age-21 22 class (sperm whales are sexually dimorphic, with males being much larger<sup>31</sup>). Sperm 23 whales use echolocation in both the meso- and bathypelagic<sup>32</sup> to locate a variety of 24 squid and fish prey species<sup>27</sup>. As a result, monitoring patterns of sperm whale 25 echolocation click detection can provide insight into the phenology of both this top 26 predator and the deep pelagic ecosystems in which they forage.



1 2 3 Figure 1. Study system and methods. (A) The Northeast Pacific Ocean, showing the location of passive acoustic recordings from the present study (Monterey Accelerated Research System (MARS) in 4 5 the Central California Current System) and previous studies<sup>33,34</sup> (Ocean Station PAPA (OSP) in the Gulf of Alaska). Some map elements adapted from <sup>13</sup> and <sup>44</sup>. (B) The Central California Current System, 6 7 indicating winter and summer detection ranges for sperm whale echolocation clicks produced at 500m depth (see Materials and Methods and SI for additional depths) based on average January and July 8 oceanographic conditions over the period 2016-2022. The circle indicates MARS (891m depth). (C) 9 Example spectrogram of audio recorded at MARS on November 30, 2022, showing a period when a 10 single foraging sperm whale's echolocation clicks (impulsive, broadband signals) were clearly visible and 11 audible. Dashed horizontal lines indicate the minimum and maximum frequencies of the automated 12 energy detector used to detect sperm whale echolocation clicks. Note the near-constant inter-click-13 interval used to discern echolocating sperm whales from other impulsive sound sources in this frequency 14 range (see Materials and Methods for details).

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In the Northeast Pacific (Figure 1A), foraging sperm whales have been detected 16 acoustically year-round, specifically in the Gulf of Alaska (GoA)<sup>33,34</sup>. Individuals of this 17 18 population have expansive home ranges, exhibiting wide-ranging movements which 19 include travel between the GoA and the Central California Current System (CCCS; Figure 1A) among other lower-latitude habitats<sup>35–37</sup>. Yet the regularity, seasonality, and 20 21 behavioral context of such movements have historically remained unclear. Previous studies based on individual-level sightings, genetic, and limited telemetry data have 22 hypothesized that latitudinal movements are likely irregular, resulting from aseasonal 23 nomadic movements<sup>36</sup> consistent with the canonical view of dampened (or nonexistent) 24 seasonality in the deep sea<sup>19</sup>. Yet recent acoustic studies in the GoA have suggested 25 26 seasonality in foraging sperm whales' presence<sup>33,34,38</sup>, challenging the hypothesis of

aseasonal nomadic movements. Others have suggested that long-distance latitudinal 1 2 movements represent migration between distinct high-latitude foraging and low-latitude breeding habitats<sup>39</sup>, akin to the seasonal migrations of many baleen whales. Sex-3 specific partial seasonal migration (with only adult males undertaking migration to higher 4 5 latitudes) has also been hypothesized based on individual-level sightings data<sup>31,40</sup>, but both sexes have been observed in both the GoA<sup>36</sup> and CCCS<sup>37,41</sup>. Further, individuals 6 with small body size (females and juveniles) are heard year-round in the GoA<sup>38</sup>, refuting 7 8 the hypothesis that only adult males undertake these long-distance movements to high 9 latitudes. While individual-level telemetry data can often provide sufficient sample sizes 10 to understand population-level seasonal movement strategies<sup>13</sup>, such data is extremely 11 limited for this sperm whale population, with only two published individual tag deployments of sufficient duration to capture seasonal movements<sup>13,35</sup>. As with most 12 inhabitants of deep pelagic ecosystems, this murky understanding of sperm whales' 13 14 movement strategies arises from the challenge of observing their population-level behavior persistently at sufficient scale<sup>42,43</sup> and limited understanding of phenology in 15 16 their foraging habitat.

17 Here, we investigate the strategies underlying movements of this deep pelagic top predator in the Northeast Pacific. We consider a hypothesis of seasonal resource-18 19 tracking migration akin to that observed in many surface ocean and terrestrial predators<sup>13,16</sup> alongside three previously-hypothesized movement strategies: nomadic 20 resource tracking<sup>36</sup>, seasonal migration between distinct habitats<sup>35,39</sup>, and sex-specific 21 partial seasonal migration<sup>31,40</sup>. We test these hypotheses by first applying automated 22 23 acoustic detection methods to more than seven years of passive acoustic recordings in 24 order to discern seasonal and interannual patterns of foraging sperm whale presence in 25 the Central California Current System as compared to the Gulf of Alaska. Passive 26 acoustic monitoring approaches provide a valuable Eulerian lens to assess populationlevel animal distributions and behavior<sup>45</sup>, particularly in largely-inaccessible oceanic 27 ecosystems<sup>17</sup>, when Lagrangian tracking data (e.g., telemetry) is scarce (as with sperm 28 whales in the Northeast Pacific), and in cases where information beyond presence 29 alone (e.g., behavioral state) can be discerned from the properties of detected acoustic 30

signals<sup>46</sup>. We then integrate these empirical patterns with simulations of individual-level 1 2 movement driven by each of the hypothesized movement strategies. Hypothesis-testing 3 using this integrated approach allows us to (i) determine the unknown seasonality and 4 regularity of foraging sperm whale presence in the Central California Current System. 5 (ii) evaluate the individual-level strategies underlying sperm whales' wide-ranging 6 foraging movements in the deep ocean, and (iii) consider the seasonal and interannual 7 flexibility afforded by these movement strategies in the context of rapid environmental 8 change.

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# 10 **Results**

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#### 12 Seasonality in acoustic detection

13 Acoustic detection revealed year-round, seasonally-varying presence of foraging sperm whales in the CCCS (Figure 2). The frequency of foraging sperm whale presence 14 15 in the average annual cycle reached a maximum in January (mean of 59.3% of days present) and a minimum in July (mean of 31.1% of days present). Monthly percent of 16 17 days with foraging sperm whale presence is a useful metric in this context for multiple 18 reasons: (1) it provides sufficient temporal resolution to assess seasonal trends, the 19 primary timescale of focus in this study; (2) automated detector performance is very 20 high at daily resolution (Figure S1), providing high confidence in this metric; and (3) this 21 metric matches that used in previous studies of foraging sperm whale presence elsewhere in the Northeast Pacific<sup>33,34</sup>, allowing for direct comparison of seasonal 22 23 presence of foraging whales across latitudes. June – September had a significantly 24 lower mean percent of days with presence as compared to the January maximum, and 25 November – April had a significantly higher mean percent of days with presence as 26 compared to the July minimum (Figure 2B). A generalized additive model (GAM) 27 revealed a significant relationship between monthly percent of days with presence and 28 month, with year nested as a random effect (p < 0.001; 45.4% deviance explained; 29 Figure S2), further indicating seasonality in foraging sperm whale presence in the CCCS. Detection seasonality did not result from seasonal changes in ambient noise or 30

maximum detection range. Maximum click detection range was slightly greater during
the summer minimum in click detections relative to detection range during the winter
detection maximum (Figures 1B, S3), suggesting that the degree of seasonality shown
here (Figure 2B) is a conservative estimate. Interannually, the percent of recording days
on which foraging sperm whales were detected varied little, with the exception of 2016
(Figure 2A). Foraging sperm whales were detected on 63.4% of recording days in 2016,
whereas the percentage in all other years varied between 38.6-49.9%.



Figure 2. Variability in foraging sperm whale presence. (A) Monthly percent presence over the full
study period (smoothed with a 3-month running mean). (B) Annual cycle of echolocating sperm whale
presence over the full study period (Aug 2015 – Dec 2022). Boxplots show the median (center line), mean
(triangle), 25<sup>th</sup>-75<sup>th</sup> percentile (box), ±1.5\*IQR (whiskers), and outlying points. \*Indicates statisticallysignificant difference in mean relative to the maximum month (January). \*\*Indicates statistically-significant
difference in mean relative to the minimum month (July). See Figure S2 for additional statistical
assessment of seasonality.

# **1** Seasonality of acoustically-detected demographic groups

2 Inter-click-interval (ICI) can be used as a proxy for body-size and therefore 3 demographic groups of acoustically-detected individuals in this sexually-dimorphic population<sup>29</sup>. Similar to acoustic results from the GoA<sup>38</sup>, we detect three clear modes of 4 5 ICI (Figure 3). We found no seasonality or interannual variation in the distribution of 6 detected ICIs (and therefore, demographic groups): ANOVA on natural log-transformed ICI data indicated no significant relationship between month (F = 1.52, p > 0.14) or year 7 8 (F = 1.70, p > 0.12) and ICI. Further, we detected individuals with both large body size (adult males,  $ICI > 0.8 \text{ s}^{29,38}$ ) and small body size (females and juveniles, ICI < 0.6 s9 10 <sup>29,38</sup>) in every individual month of the seven-plus year study period.





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**Figure 3. Inter-click-interval (ICI) monthly distributions.** Solid line represents the mean monthly distribution of ICI for detected sperm whale echolocation clicks over the full study period. Dashed lines represent the minimum and maximum monthly ICI distributions at each ICI value. ANOVA on natural log-transformed ICI data showed no significant effect of month (F = 1.52, p > 0.14) or year (F = 1.70, p > 0.12) on ICI. Colors indicate the demographic groups associated with ICI values as per references <sup>29,38</sup>.

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# 20 Comparison of individual-level movement simulations and population-level

# 21 empirical observations

22 Simulations of individual-level movement (Figure 4) yielded qualitatively and

- 23 quantitatively-distinct patterns in the seasonal-latitudinal distribution (Figure 4) and
- seasonal acoustic detection (Figure 5) of agents, dependent on the movement strategy

employed. The simulation of seasonal resource tracking agents yielded year-round 1 2 presence with moderate seasonality at both southern and northern listening ranges 3 (Figure 4A), peaking in the winter and summer for the southern and northern listening ranges, respectively (Figure 5B). The seasonal patterns of acoustic detection arising 4 5 from seasonal resource-tracking migration represented the only simulated results 6 matching the defining gualities of empirically-observed patterns: year-round presence 7 with substantial and opposite seasonality at both higher and lower-latitude listening 8 ranges (Figure 5). Agents following nomadic resource tracking decision rules showed 9 no seasonality in detection at northern or southern listening ranges (Figure 5B), driven 10 by similar winter and summer latitudinal distributions (Figure 4B). Agents undertaking 11 seasonal migrations between distinct habitats showed strong and opposite seasonality in latitudinal distribution (Figure 4C). This simulation yielded high levels of detection 12 during winter and zero detections during summer at the southern listening range, while 13 14 the northern listening range showed high levels of detection during summer and zero 15 detections during winter (Figure 5B). Simulation of sex-specific partial seasonal 16 migration resulted in strong seasonality in detection at the northern listening range (high 17 levels of detection in summer, zero detections in winter) and year-round detection with weak seasonality at the southern listening range (Figure 4D; Figure 5B). Simulated 18 19 acoustic detection patterns for seasonal resource-tracking migration were also 20 guantitatively most similar to empirical acoustic detection, yielding a root-mean-square 21 deviation among monthly means of only 15.6% (Figure 5B). All other simulated 22 movement strategies resulted in greater deviance from empirical observations in 23 monthly acoustic detections (22.4% for nomadic resource tracking, 31.7% for seasonal 24 migration between distinct habitats, 31.9% for sex-specific partial seasonal migration; 25 Figure 5B).





**B: Nomadic resource tracking** 





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Figure 4. Simulated individual-level movement strategies. Top panel provides a legend for simulation results. In each of the bottom panels A-D, one individual agent's track (two agents, one female and one male, in the case of sex-specific partial seasonal migration) is shown from year 10 of the simulation alongside the summer and winter distribution of all agents over years 2-10. Circular acoustic monitoring areas appear elliptical due to distortion of the simulation domain in this visualization to highlight individual agent tracks. (A) Seasonal resource-tracking migration. (B) Nomadic resource tracking. (C) Seasonal migration between distinct habitats. (D) Sex-specific partial seasonal migration, showing one migratory 9 (male) and one resident (female) individual track.

#### A: Empirical observations



#### **B: Simulations**



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Figure 5. Comparison of empirical and simulated acoustic detection seasonality under different

hypothesized individual-level movement strategies. (A) Empirical acoustic detections from the Central

California Current System (green; present study) and the Gulf of Alaska (blue; <sup>33,34</sup>). Dotted curves

represent a fourth-order polynomial fit to monthly data from each recording site. (B) Acoustic detection at northern (blue) and southern (green) listening ranges for simulated agents following each of the

- 3 4 5 6 7 hypothesized movement strategies. Boxplots show the median (center line),  $25^{th}$ - $75^{th}$  percentile (box),  $\pm$
- 8 1.5\*IQR (whiskers), and outlying points of monthly acoustic detection over years 2-10 of each simulation.
- 9 RMSD refers to the root-mean-square deviation of each simulation's monthly mean acoustic detection
- 10 results across both hydrophones relative to empirical observations. Empirical data fourth-order polynomial
- 11 from (A) is overlaid on all simulated results.
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#### **1** Seasonality of movement in relation to seasonally-shifting oceanographic habitat

2 Monthly percent presence of foraging sperm whales also correlated with 3 oceanographic seasonality in the North Pacific Ocean (Figure 6). The latitude of the North Pacific Transition Zone (NPTZ), which has previously been correlated with the 4 5 seasonal-latitudinal movements of diverse surface ocean predators, was inversely 6 correlated with foraging sperm whale presence in the CCCS. Monthly sperm whale detection rates were highest in winter when the NPTZ is at its lowest latitudinal extent, 7 8 and sperm whale detection rates were lowest in summer when the NPTZ is at its 9 highest latitudinal extent (Figure 6, Figure 1A).



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# 17 Discussion

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Animals' movement strategies shape their ecology and their ability to respond to environmental perturbations. Moreover, these strategies offer a window into revealing the spatiotemporal dynamics of the ecosystems they inhabit<sup>1</sup>. Our findings provide the

22 first evidence for seasonal resource-tracking movements by a top predator in the deep

Figure 6. Foraging sperm whale presence tracks oceanographic seasonality in the Northeast
 Pacific. Monthly acoustic detection of foraging sperm whales at MARS relative to the monthly mean
 latitude of the North Pacific Transition Zone (NPTZ; see Methods and Figure S5 for details).

ocean, the sperm whale, suggesting seasonal-latitudinal phenological patterns in the 1 2 meso- and bathypelagic prey on which sperm whales forage. Below, we discuss several 3 lines of evidence supporting this conclusion of seasonal resource-tracking migration in sperm whales. We then consider how these findings advance understanding of this 4 5 endangered species' foraging and movement behaviors in response to environmental 6 perturbations. More broadly, we discuss how these results advance knowledge of 7 phenology in the poorly-understood deep ocean ecosystems in which sperm whales 8 forage.

9 The long-term acoustic detection results presented here indicate clear 10 seasonality in the latitudinal movements of foraging sperm whales, with greater 11 frequency of echolocation click detection in California during winter (Figure 2B; Figure S2), opposite the known summer peak of detection in the Gulf of Alaska<sup>33,34</sup> (Figure 5A). 12 Despite this opposite seasonality, foraging sperm whales are detected year-round in 13 14 both locations. We posit that these patterns indicate a seasonal resource-tracking 15 migration in this population, based on several lines of evidence. First, seasonal 16 resource-tracking migration is the only hypothesized movement strategy allowing for 17 both year-round presence and significant seasonality in presence across latitudes 18 (Figure 4A; Figure 5B), matching empirical observations (Figure 5A). Nomadism yields 19 relatively uniform latitudinal distributions and year-round but non-seasonal acoustic detection (Figure 4B; Figure 5B). Seasonal migration between distinct habitats (Figure 20 21 4C; Figure 5B) leads to seasonality in acoustic detection, but does not allow for year-22 round detection across latitudes. Sex-specific partial seasonal migration similarly does 23 not allow for year-round detection across latitudes, and only results in significant 24 seasonality at some latitudes (Figure 4D; Figure 5B). Additionally, if sex-specific partial 25 seasonal migration were occurring, we would expect the migratory demographic (previously hypothesized to be adult males<sup>31,40</sup>, with larger body sizes and higher inter-26 click-intervals (ICI)) to drive seasonal patterns in the distribution of detected ICIs. Yet 27 28 we do not observe any significant seasonal shifts in the monthly distribution of detected ICIs in California, detecting clicks consistent with female, juvenile, and adult male body 29 sizes year-round (Figure 3). We also find no relationship between monthly mean ICI and 30

monthly percent presence (Figure S4), further indicating that the seasonal pattern
observed in Figure 2 is not driven by adult males alone. These results are consistent
with long-term acoustic results from the GoA which also show year-round use of high
latitudes by females and juveniles<sup>38</sup>. This growing body of evidence from long-term,
persistent, population-level observations via passive acoustics is inconsistent with the
individual-sightings-based hypothesis of sex-specific latitudinal segregation, likely
arising from differences in the scale and persistence of observation<sup>42,43</sup>.

8 The results presented here for the CCCS and previously for the GoA<sup>33,34</sup> 9 specifically document the presence of foraging (echolocating) individuals, underscoring 10 that sperm whales are actively foraging in these study locations and are not only 11 present for non-foraging behaviors (e.g., mating). But what resource or resource-rich habitat are these sperm whales tracking seasonally in the Northeast Pacific? 12 Characterizing the widespread, deep-dwelling, and diverse prey of sperm whales across 13 14 the Northeast Pacific is a daunting observational task—instead we rely on 15 characterizing the seasonally-shifting North Pacific Transition Zone, the dominant foraging habitat which numerous surface ocean predators track in this ocean basin<sup>13,47</sup>. 16 17 We tested whether sperm whales' acoustically-inferred seasonal movements similarly 18 track seasonal-latitudinal patterns in the NPTZ. We find support for this hypothesis, with 19 higher detection of foraging sperm whales in California when the NPTZ is at lower 20 latitude and higher detection in the GoA when the NPTZ is at higher latitude (Figure 6). This similar resource tracking behavior by top predator of the deep ocean to that 21 previously documented for surface ocean predators<sup>13</sup> suggests ecological linkages 22 23 between surface and deep ocean processes and seasonality (discussed in greater 24 detail below).

This discovery of resource-tracking migratory movements by sperm whales has implications for understanding this deep ocean predator's fundamental ecology and ability to adapt to rapid environmental change. Seasonal resource-tracking migrations in terrestrial and epipelagic populations typically evolve as a strategy to maximize resource gain in dynamic, seasonal ecosystems<sup>1,4,8</sup>. Interannual variability around the average seasonal-latitudinal patterns exhibited by foraging sperm whales (Figure 2)

suggests that the cues driving their latitudinal movements are not fixed seasonal cues 1 2 (e.g., day length), thus affording flexibility to respond to environmental variation and change. More specifically, sperm whales were most often detected in the CCCS during 3 2016 (Figure 2A), a year in which a persistent marine heatwave combined with a strong 4 El Niño to drive widespread biological impacts in both the CCCS<sup>48</sup> and GoA<sup>49</sup>. By 5 6 exhibiting a movement strategy driven by resource tracking rather than fidelity to a fixed 7 foraging area or migratory schedule, sperm whales appear to respond flexibly to interannual variability in oceanographic conditions (Figure 2A; <sup>44</sup>). Such flexibility is 8 9 often characteristic of greater resilience to environmental perturbations<sup>50</sup> including 10 marine heatwaves<sup>51</sup>. Understanding the individual and population-level outcomes of 11 such flexibility in this sperm whale population remains an important and rich area for 12 future study.

While the specific cues that enable this seasonal resource-tracking migration 13 14 remain unclear, some combination of individual and social information likely influences 15 these movements. As air-breathing predators, sperm whales spend significant time in 16 surface waters subject to seasonal variability in solar irradiation. This provides a direct 17 means of tracking progression of the seasons, perhaps enabling movements influenced 18 by spatiotemporal memory similar to that observed in highly-mobile epipelagic 19 predators<sup>16</sup>. Further, sperm whales and other deep-foraging odontocetes are known to 20 plan deep foraging dives from near the surface using long-range echolocation<sup>26,52</sup>. 21 Given that mesopelagic and bathypelagic prev can display significant heterogeneity in 22 density<sup>53</sup>, this approach might allow sperm whales to minimize diving effort in areas of 23 low prey density and allot greater time and energy to horizontal movements to track 24 seasonal-latitudinal forage variability. Because sperm whales echolocate to find prey, 25 long-distance acoustic information on the foraging behavior of conspecifics might further 26 direct this search, similar to the "mobile sensory networks" formed by echolocating bats<sup>54</sup>. Social learning of foraging and movement strategies could also play a role<sup>55,56</sup>, 27 28 as sperm whales are highly-social animals<sup>31</sup>.

29 More broadly, because animal movements evolve to reflect underlying resource 30 dynamics in the ecosystems they inhabit, our findings indicate seasonal-latitudinal

variability in elements of the deep pelagic ecosystems in which sperm whales forage. 1 2 This challenges the view of seasonal stability in the deep ocean, and contributes to a 3 growing body of evidence for seasonal dynamics in these ecosystems. This seasonality likely arises indirectly via interactions between surface and deep waters<sup>57</sup>. For example, 4 5 diel vertical migration of animals between the meso- and epipelagic can vary seasonally 6 in terms of depth distribution of animals, migration distance, total biomass, and carbon 7 transport<sup>24,58,59</sup>. In Monterey Bay specifically, total biomass throughout the meso- and 8 epipelagic is at a minimum in spring and summer, rises in the fall, and remains elevated 9 through the winter<sup>24</sup>, allowing for greater transport of biomass between surface and 10 deep waters during the seasons when foraging sperm whale detections peak in this 11 region (Figure 2B). Many of the sperm whale's primary prey are themselves vertical migrators<sup>32</sup>, emphasizing the potential link between seasonal processes in the surface 12 ocean and the seasonal-latitude resource tracking in sperm whales documented here. 13

14 Taken together, our findings not only reveal unexpected seasonal resource-15 tracking by a top predator in the deep ocean, but also point toward previously 16 underappreciated seasonal variation in light-limited deep pelagic ecosystems. This 17 study underscores the need for additional research to enhance both fundamental and 18 applied ecology on the phenology of deep pelagic ecosystems across trophic levels. A 19 growing suite of technologies, including remotely-operated vehicles, autonomous underwater vehicles, and continuous active and passive acoustic monitoring are 20 21 providing an unprecedented opportunity to observe and understand deep ocean ecosystems<sup>19,25,59</sup>. Especially when integrated<sup>25,60,61</sup>, these tools can continue to shed 22 23 light on our murky understanding of seasonal processes and animals' resource-tracking 24 strategies in the deep sea. In turn, we can provide more precise scientific insight in 25 support of spatiotemporally dynamic ecosystem management efforts which have to-date been used on land and in the surface ocean<sup>62</sup>, but which may be possible and valuable 26 in open and deep ocean ecosystems<sup>63</sup>. 27

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- 1 Methods
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#### 3 Study site and hydrophone recordings

Acoustic recordings were collected on the continental slope outside Monterey 4 5 Bay, CA, via icListen hydrophones sequentially deployed on the Monterey Accelerated 6 Research System (MARS) cabled observatory (36° 42.75'N, 122° 11.21'W; depth 891 m). These hydrophones recorded at 256 kHz; all recordings were decimated<sup>64</sup> to a 7 8 sample rate of 16 kHz before analysis to dramatically reduce the computational time 9 required to run the workflow described below. While directional components of sperm 10 whale echolocation clicks can have a peak frequency exceeding the Nyguist frequency of these 16 kHz audio files<sup>28</sup>, this sample rate allows for reliable detection of the 11 12 omnidirectional low-frequency component of these clicks. Previously, these clicks have been reliably detected in audio files with a sample rate as low as 1 kHz<sup>33</sup>. 13

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#### 15 Passive acoustic analyses

Sperm whales produce a variety of click types associated with distinct behaviors. The present analysis focused only on "usual" clicks, which are associated with searching for prey<sup>31</sup> and are hereafter referred to as clicks. We used a two-step automated workflow (detection and filtration) to determine presence or absence of sperm whale clicks at daily resolution.

21 Candidate detections of individual clicks were generated using a band limited energy detection (BLED) approach implemented in Raven Pro v1.6<sup>65</sup>. The BLED 22 23 "estimates the background noise of a signal and uses this to find sections of signal that 24 exceed a user-specified signal-to-noise ratio threshold in a specific frequency band, 25 during a specific time"<sup>65</sup>. We manually tuned the parameters of a BLED (Table S1) to 26 maximize the chances of detecting sperm whale clicks under a range of background 27 noise scenarios, but this coarse first step in acoustic processing also generated many false positives. 28

These false positives were filtered out in the second step of our automated workflow by searching BLED results for repetitive, evenly-spaced sequences of

detections matching the known inter-click interval (ICI) of sperm whale usual clicks (~0.5 1 2 - 2.0 seconds<sup>66</sup>). Because the intervals between clicks in sperm whale echolocation sequences are largely regular but not exactly constant, we calculated the time 3 difference between each BLED detection (inter-detection interval; IDI), then rounded to 4 5 the nearest guarter second to enable a search for sequences of detections with a near-6 constant IDI. Using these rounded IDI values, each day of recording was automatically 7 searched for IDI sequences matching three criteria: (1) rounded IDI must be between 8 0.5 and 2.0 seconds (inclusive); (2) rounded IDI must be constant; and (3) the number 9 of consecutive IDI values meeting criteria (1) and (2) must meet a sufficient number of 10 repetitions (r) to confidently determine sperm whale echolocation click presence. We 11 considered any day with at least one sequence meeting these criteria to have sperm whale clicks present; any day without any sequence meeting these criteria was 12 considered to have such clicks absent. Setting the number of repetitions required (r) to 13 14 consider clicks present can significantly impact the accuracy of this automated workflow 15 at daily resolution (Figure S1). The optimal value for this parameter was determined via 16 comparison to manual identification of sperm whale search clicks. Manual assessments 17 were completed for one randomly chosen day of each month in both 2016 and 2020, as 18 well as two days of known sperm whale presence near our recording location (Figure 1) 19 in late 2022. These 26 days provided a representative range of soundscape conditions 20 by covering the full seasonal cycle, including periods recorded by each of the two 21 consecutively-deployed hydrophones, and including recording periods both affected 22 (2020) and unaffected (2016) by the change in anthropogenic noise conditions 23 associated with the COVID-19 pandemic<sup>67</sup>. We found optimal performance at r = 6, 24 yielding a daily balanced accuracy of 97% (precision = 100%, recall = 94%) and false 25 positive rate of 0% (Figure S1).

Using this time series of daily-resolution presence and absence, we then calculated monthly and annual percent of recording days with foraging sperm whales present for each year and month of the time series. This monthly percent presence metric matches the metric used in previous passive acoustic studies on sperm whale echolocation at Ocean Station PAPA in the Gulf of Alaska over the years 1999-2001<sup>33</sup> and 2007-2012<sup>34</sup>. Monthly percent presence values were estimated graphically from
 these studies and were later used in comparison to simulation results (Figure 5A; see
 below).

4 Seasonality in the detection of foraging sperm whales in the CCCS was 5 assessed statistically in two ways. First, we used t-tests to identify months with mean 6 detection rates significantly higher or lower than the maximum (January) and minimum 7 (July) monthly means (Figure 2). Second, we constructed a generalized additive model 8 of monthly percent presence as a function of month with year nested as a random effect 9 to test for the deviance in percent presence explained by the seasonal cycle alone 10 (Figure S2). Finally, we calculated the ICI of all detected click sequences in the time 11 series, allowing for statistical assessment of seasonal or interannual effects on ICI distribution (ANOVA, Figure 3) and comparisons of ICI and foraging sperm whale 12 presence (monthly %) (linear regression, Figure S4). 13

14

# Estimation of ambient noise levels, acoustic propagation loss, and detection range

17 To assess seasonality in click detection range at MARS, we evaluated 18 seasonality in both ambient noise levels and acoustic propagation loss between sound 19 source and acoustic receiver. The ambient noise level metric is single-sided mean-20 square sound pressure spectral density, following ISO 18405 3.1.3.13 (ISO, 21 2017). From daily files of 16 kHz audio data spanning the full study period, daily mean 22 noise levels were computed for the frequency band targeted by the click detector, 1.4 to 23 4 kHz. Daily values were binned by month across years to examine seasonality (Figure 24 S3B).

Acoustic propagation loss was modeled for a sound source matching the
characteristics of sperm whale echolocation at the frequencies targeted by our
automated detection approach. Specifically, we modeled transmission loss for an
impulsive sound source at 2.7kHz (the center frequency of the BLED), 185 dB re: 1μPa
at 1m (peak level of the omnidirectional low-frequency component of sperm whale
echolocation clicks<sup>68</sup>), and source depths of 100, 500 and 1000m (typical of

echolocation in foraging sperm whales in many ecosystems<sup>26,32,67,69</sup>), received at the 1 2 location of MARS. Propagation loss was modeled for January and July to assess 3 seasonality in click detection range. Oceanographic water column properties for the January and July model runs were calculated as the climatological mean of 4 5 oceanographic conditions over the period 2016-2022 as estimated by the HYCOM 6 (HYbrid Coordinate Ocean Model) data assimilative system<sup>70</sup> with 4.8-minute spatial 7 resolution. Acoustic propagation loss was then calculated for each of 360 1° bearings 8 from MARS using a wave-theory parabolic equation model that accounts for absorption 9 in both the water column and the bottom, scattering in the water column and at the 10 surface and bottom, geometric spreading (spherical and cylindrical), refraction, and 11 diffraction<sup>71</sup>. Finally, detection range for each source depth and season was estimated for each of these 360 bearings, requiring received level at MARS to exceed 5.0 dB 12 (SNR of the click detector, Table S1) above monthly median ambient noise levels 13 14 (Figure S3).

15

#### 16 Simulation of individual-level movement strategies

17 To test hypotheses regarding the individual-level movement strategies underlying 18 empirically observed patterns of sperm whale foraging, we employed simulations in 19 which agents move through a spatial domain (Figure 4) with two hydrophone "listening ranges" (one at higher latitude and one at lower latitude), analogous to passive acoustic 20 monitoring of sperm whales in the Gulf of Alaska<sup>33,34</sup> and the Central California Current 21 22 System (present study). In all simulations, 100 agents moved daily according to 23 strategy-specific decisions over a ten-year period. The spatial domain in which these 24 simulations occur is not meant to specifically represent the spatial dimensions of the 25 North Pacific or hydrophone listening ranges used in the present or previous studies. 26 Instead, this spatial domain provides a simplified arena for testing realistic individual movement strategies<sup>72</sup> and their influence on population-level spatiotemporal patterns of 27 28 acoustic detection. Agent step lengths, hydrophone listening ranges, and domain dimensions were scaled proportionally to allow agents to move seasonally without 29 leaving the domain, while also having limited probability of acoustic detection even if 30

present at the latitude of a listening range (i.e., listening ranges cover only a proportion
of both the latitudinal and longitudinal dimensions). This approach allows for realistic
probabilities of acoustic detection for a large number of individual position-days
(365,000 per simulation) without the extreme computational expense of simulating a
number of agents comparable to the estimated population size of sperm whales in the
eastern North Pacific (~2000<sup>73</sup>).

7 We used known information about the typical step lengths, turn angles, and 8 seasonality of movement for well-documented movement "syndromes"72 to formulate 9 movement decision rules (described below) for agents representing four distinct 10 movement strategies: nomadic resource tracking, seasonal migration between distinct 11 habitats, sex-specific partial seasonal migration, and seasonal resource-tracking migration. We explored the population-level acoustic detection patterns resulting from 12 each of these four movement strategies via four separate simulations with agents 13 14 subject to these decision rules. At each daily timestep of each ten-year simulation, we 15 recorded each agent's position and presence or absence in each of the simulated 16 hydrophone listening ranges. The population-level patterns resulting from each 17 simulation were compared to empirical observations of seasonality in sperm whale foraging (Figure 5A) in the Gulf of Alaska<sup>33,34</sup> and the Central California Current System 18 19 (present study; Figure 2B). Specifically, we calculated the root-mean-square deviation 20 of simulated monthly mean acoustic detection results from both listening ranges relative 21 to empirical results from the Gulf of Alaska and the Central California Current System. 22 All results in Figure 5B show agent position and acoustic detection statistics for years 2-23 10 of the simulation to minimize the influence of initial conditions.

We simulated nomadic individuals using decision rules previously documented for nomads<sup>72</sup>: low probability of behavioral state switching between active foraging and searching, small step lengths and uniformly-distributed turn angles during active foraging, and longer step lengths during searching with normally-distributed turn angles (around the initial direction after switching from foraging to searching).

We simulated migration between distinct habitats again using the decision rules
 documented by <sup>72</sup>: four months of foraging in a southern range (steps defined by

uniform step length and turn angle distributions), two months of northward migration
(longer step lengths and normal turn angle distribution centered on north), four months
of foraging in a northern range (steps again defined by uniform step length and turn
angle distributions), and finally two months of southward migration (longer step lengths
and normal turn angle distribution centered on south).

6 We simulated sex-specific partial seasonal migration by assigning 50% of agents 7 to a migratory (male) group and 50% of agents to a resident (female and juvenile) 8 group. Migrants followed the decision rules described above for migration between 9 distinct habitats; residents followed the decisions rules described above for nomadic 10 resource tracking, but only in the southern portion of the simulation domain.

11 We simulated movements to track resources with a shifting seasonal-latitudinal distribution using decision rules similar to those for nomadic resource tracking as 12 described above, but with differences in movement behavior between times and 13 14 locations of active foraging. Rather than searching in a single direction with turn angles 15 normally-distributed around a randomly-selected initial search direction (as in nomads), agents in this simulation moved between active foraging periods by tracking resources 16 17 with headings normally-distributed around due north and due south. The probability of 18 northward-centered or southward-centered heading distributions during resource 19 tracking varied seasonally to mimic seasonal shifts in latitudinal forage availability.

20

#### 21 Comparison to oceanographic seasonality

22 The North Pacific Transition Zone (NPTZ; Figure 1A) is a major oceanographic 23 feature in the North Pacific Ocean, representing a transition in surface primary 24 productivity between the subpolar and subtropical gyre<sup>74</sup> and serving as important foraging habitat for a wide range of predators in the surface ocean<sup>13,47</sup>. The latitudinal 25 26 position of the NPTZ varies seasonally, reaching its southern extent in the winter and northern extent in the summer (Figure 1A, <sup>74</sup>). We calculated the monthly latitude of the 27 28 NPTZ for each month of the MARS acoustic time series as in <sup>74</sup>, identifying the mean latitude of the 18 °C sea surface temperature (SST) isotherm between 160-180 °W 29 using monthly composite Agua MODIS 0.025° daytime SST imagery. We then 30

1	compared the monthly percent of days with foraging sperm whale present to the
2	monthly NPTZ latitude via linear regression.

3

#### 4 Software

All analyses and visualizations of click detections and individual-level movement
strategies were conducted in R version 4.2.0<sup>75</sup>. The map in Figure 1A was created using
the R packages "ggOceanMaps"<sup>76</sup> and "geosphere"<sup>77</sup>. Background noise, acoustic
propagation, and satellite-based oceanographic analyses were conducted in Matlab<sup>78</sup>.
Candidate click detections were generated using Raven Pro v1.6<sup>64</sup>.

10

# 11 Data and code availability

12 Raw (256 kHz) and decimated (16 kHz) acoustic data from the MARS

13 hydrophone are available here: <u>https://docs.mbari.org/pacific-sound/</u><sup>79</sup>. Code for

14 processing acoustic data, analyzing sperm whale detections, and simulating individual-

15 level movement strategies are available here:

16 <u>https://github.com/woestreich/cachalot\_seasonal</u><sup>80</sup>.

- 17
- 18

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# 1 Competing Interest Statement

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3 The authors declare no competing interests.

# **Supporting Information for:**

# Acoustic evidence for seasonal resource-tracking migration

# by a top predator of the deep sea

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**Fig. S1.** Performance of automated daily acoustic processing relative to manual assessment. Requiring six repetitions of click detection at near-constant inter-click interval (r = 6) yields a daily balanced accuracy of 97% and daily false positive rate of 0%.



**Fig. S2.** Generalized additive model fit relationship for monthly foraging sperm whale presence (% of days) and month, with year nested as a random effect.



**Fig. S3. Seasonal variation in listening conditions at MARS. (A)** Average annual cycle of echolocating sperm whale presence averaged over the full study period (Aug 2015 – Dec 2022), reproduced from Figure 2B in the main text. **(B)** Average annual cycle of ambient noise conditions at MARS in the frequency range (1.4-4kHz) targeted by the band limited energy detector employed to identify candidate sperm whale echolocation detections. **(C)** Estimated maximum detection range at MARS for sperm whale echolocation clicks produced at depths of 100, 500, and 1000m during the maximum (January) and minimum (July) months of foraging sperm whale presence. Points and lines represent the mean and standard deviation of 1-degree bearing ranges between 154-311° around MARS, representing the offshore area where 500m and 1000m source depth results are not limited by the shelf break (Figure 1B), and where sperm whales are most likely to be found. See Materials and Methods for information on modeling of acoustic propagation and detection range.



Fig. S4. Additional inter-click-interval (ICI) comparison to monthly foraging sperm whale presence. Monthly mean ICI vs. monthly percent presence, indicating no significant relationship between these variables (p > 0.05).

BLED signal calculation				
Min. Frequency	1.4 kHz			
Max. Frequency	4.0 kHz			
Min. Duration	8.125 ms			
Max. Duration	32.5 ms			
Min. Separation	32.5 ms			
BLED noise calculation				
Block size	2.0 s			
Hop size	0.5 s			
Percentile	20.0			
Signal-to-noise parameters				
Min. Occupancy	70.0%			
SNR Threshold	5.0 dB			
Spectrogram calculation				
Window	Hann			
Window Size	512 samples			
Window Overlap	95%			

 Table S1. Band limited energy detector parameters.

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