

1 **Social regulation of reproduction: control or signal?**

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9 Communication; Social control

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11 Traditionally, dominant breeders have been considered able to control other individuals'
12 reproduction in multi-member groups with high variance in reproductive success/reproductive
13 skew (e.g., *forced sterility* on subordinate conspecifics in eusocial animals; *suppression* of sex
14 change in sequential hermaphrodites). These actions are typically presented as active
15 *impositions* by reproductively dominant individuals. However, how can individuals regulate
16 the physiological reproductive state of others? Alternatively, less reproductively successful
17 individuals could self-restrain from reproduction in presence of dominant breeders. Shifting
18 perspective from a top-down manipulation to a broader view (which includes all contestants)
19 and using a multi-taxa approach, we propose a resolution of reproductive-skew conflicts based
20 on signalling rather than control, along a continuum of levels of strategic regulation of
21 reproduction.

22

23 **Highlights**

24 “Reproductive skew” and “variance in lifetime reproductive success” often describe an uneven
25 distribution of reproductive success, the first focusing on systems where the reproduction
26 disparity is extremely high, the latter referring to any system, including those where the degree
27 of skew is smaller in extent, but still relevant.

28

29 Theoretical models of reproductive skew in general tend to present the allocation of
30 reproduction based on dominant control (adopting the dominants' perspective). Here we
31 propose to revert this view and consider a bilateral decision rather than a top-down control.

32

33 For social systems and groups to be maintained, the conflict on the unequal sharing of
34 reproductive success needs to be resolved. A strategic self-induced regulation of reproduction
35 represents a low cost and parsimonious resolution of the conflict, based on indirect and/or

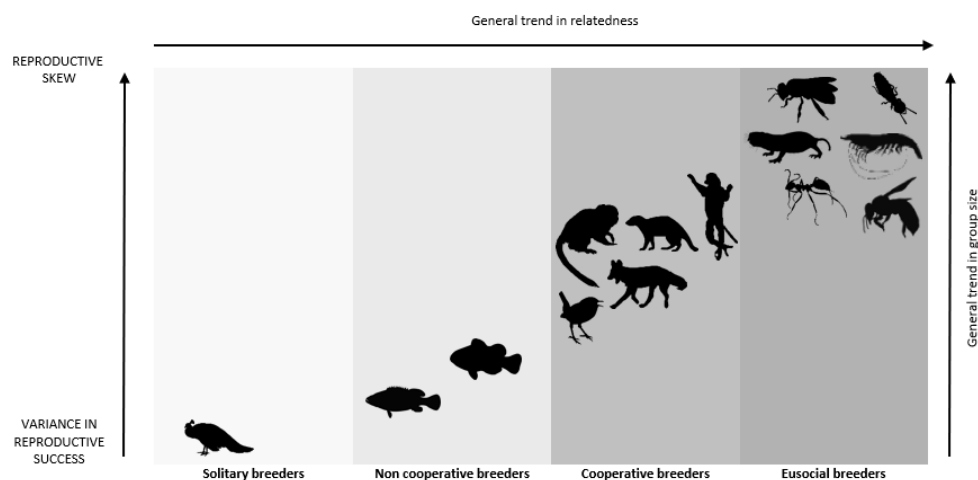
36 future benefits to individuals that accept social subordination. This is particularly true under
37 kin selection, ecological constraints (e.g., limited nesting sites or territories) and/or when group
38 members queue for reproductively dominant breeding positions.

39

40 **Reproductive skew**

41 “if each male secures two or more females, many males cannot pair” [1]

42 Inequality in **mating success** (see Glossary) is one of the consequences of intrasexual
43 competition and is at the basis of the theory of sexual selection. It typically results in high
44 variance in lifetime **reproductive success** between same-sex individuals within the population.
45 Unequal distribution of reproduction (or, when reproduction disparity is extremely high,
46 “**reproductive skew**”) is exacerbated in **cooperative society** (Figure 1): in many **eusocial**
47 **animals** (insects, mammals, crustaceans) only very few individuals in the colony reproduce
48 [2]. Less disproportionate skews are found in other cooperative breeding systems, along a
49 continuum [3,4]. In all of these systems, some individuals not only do not reproduce (or have
50 reduced/delayed reproduction) but may also cooperate with the dominant breeders to defend
51 the nest and raise their young (e.g., workers in eusocial animals, helpers in cooperative
52 breeding birds and mammals [5,6]). Non-cooperative breeders (i.e., with no **alloparental care**)
53 rely on permanent or temporary mating aggregations where, for example, alpha males
54 monopolize most mating events (harem polygyny) [7].



55

56 **Figure 1.** Increasing levels of variance in reproductive success, up to reproductive skew, are
57 common across taxa and mating strategies, often (but not always) driven by high levels of
58 relatedness (kin selection) and frequently higher in large groups/colonies (but see *Polistes*
59 social wasps [8]). Representative silhouettes of selected examples are from phylopic.org (see
60 full credit in the acknowledgements).

61

62 All the definitions of reproductive skew imply some kind of reproductive hierarchy, or
63 rank order, where few reproductively dominant individuals are the main, if not the only,
64 breeders: they apparently regulate reproductive competition by preventing other individuals
65 from reproducing via total or partial functional sterility in rivals, delaying their reproductive
66 attempts or - in **sequential hermaphrodites** - affecting their sex change (temporal sex
67 allocation [9]). In this view, reproductively less successful individuals are able to pursue their
68 reproductive interests only when the dominant breeders die, leave or are experimentally
69 removed. If this is the case, how does a single individual of one sex control the status of many
70 others?

71 Providing a concise historical account on the proposed mechanisms behind
72 reproductive skew, we revise the way we usually interpret how reproductive skew is reached
73 and suggest to move from a dominant-focused narrative to a broader one, considering also the
74 benefits reproductively subordinate individuals obtain when they restrain their own
75 reproduction in the presence of dominant breeders. In other words, instead of an imposed
76 control, where only the breeders make decisions, we look for evidence of a more interactive
77 use of signals between the contestants. Throughout our analysis, we refer to control as a form
78 of imposition and **manipulation** (following the definition commonly accepted in parasitology
79 [10]), where breeders increase their own fitness at the expenses of other group or population
80 members. Using simultaneous hermaphrodites and parasites as examples, we finally address
81 cases where real manipulation is likely to occur.

82 With a multi-systems and multi-taxa approach, which considers different factors in the
83 socio-ecological contexts (group size, sex ratio, resource limitations, territorial defence, degree
84 of relatedness), we propose a more parsimonious resolution of the reproductive conflict based
85 on honest signalling and individual decision-making, rather than control, which might apply to
86 social contexts with reproductive skew and result in the unequal share of mating opportunities
87 we see in animal societies. Aggression, physical intimidation and punishment (eviction,
88 infanticide, and **policing**) can still be used as reinforcing mechanisms or the sole form of
89 control (e.g., aggression-based breeding dominance) in groups where dominant breeders can
90 forcefully maintain their status (e.g., sea lions; red deer; some primitively eusocial wasps
91 lacking morphological castes). Otherwise, the signaller and the recipient exchange signals
92 which convey information of their reciprocal quality (e.g., fecundity, size, fighting ability) and
93 allow both of them to take a decision in view of direct immediate benefits for the dominant
94 breeders or indirect and/or future benefits for the others, which comply with the bad situation,
95 making the best of it. Alternatively, the latter can compete or desert.

96 **Social control of reproduction**

97 Sexual reproduction implies some level of interaction between individuals: even
98 broadcast spawners, when releasing their gametes in the environment, rely on a certain degree
99 of coordination between partners [11]. More complex interactions are found with increasingly
100 complex social context, where some individuals mate with multiple partners, typically at the
101 expenses of same sex rivals, increasing their **reproductive success**. Since the seminal paper of
102 Emlen and Oring [7], ecological factors (which allow to control the direct access to mates
103 and/or essential resources) have been connected with the evolution of **polygamy**. Such
104 monopolization is often based on some form of hierarchical reproductive dominance, where,
105 for example, alpha males sire the majority of the offspring, even when other males are present.
106 Typically, reproductive monopoly in resource/harem defence polygyny is obtained and
107 maintained with overt physical competition and aggressive encounters (e.g., among male
108 elephant seals [12]) and represents a form of control of reproduction.

109 However, in the scientific literature the term “social control of reproduction” has gained
110 a more specific meaning, which goes beyond the aggressive exclusion of sexual rivals from an
111 area or a mate. Thus, for example, we find reference to social control in multiple instances such
112 as caste determination and reproduction and queen/worker conflict in eusocial hymenopterans
113 [13,14], mammals and crustaceans [15,16]; reproduction in cooperative breeders [17];
114 temporal sex allocation in sequential hermaphrodites, where subordinates delay or forego sex
115 change [18,19]. In these cases, the term “social control” often implies a mechanism actively
116 initiated by the dominant breeder(s), which usually triggers temporarily or permanent
117 phenotypic changes in group members (behavioural, morphological and physiological,
118 including hormonal changes), regulates their reproductive output and ultimately determines the
119 reproductive skew. But what is dominance (Box 1)? And does dominance in general, and
120 breeding dominance in this case, exacerbate conflicts, or does it resolve them [20]?

121

122 **BOX 1 – Dominance and reproductive skew models**

123 The term dominance is broadly used to convey ranks (from the initial observations of
124 peck-order [21]) and to define winner (dominant) or loser (subordinate) status. Dominants gain
125 priority access to limited resources (including mates). Here we use the term dominant in the
126 sense of “dominant breeders”: individuals who have higher reproductive output than others
127 when competing for access to mates and exhibit some form of power asymmetry (surpassing
128 others in traits relevant to mate competition [22]). In many social contexts, hierarchies are first
129 established: dominants have specific phenotypic traits (size, physical condition, fecundity,

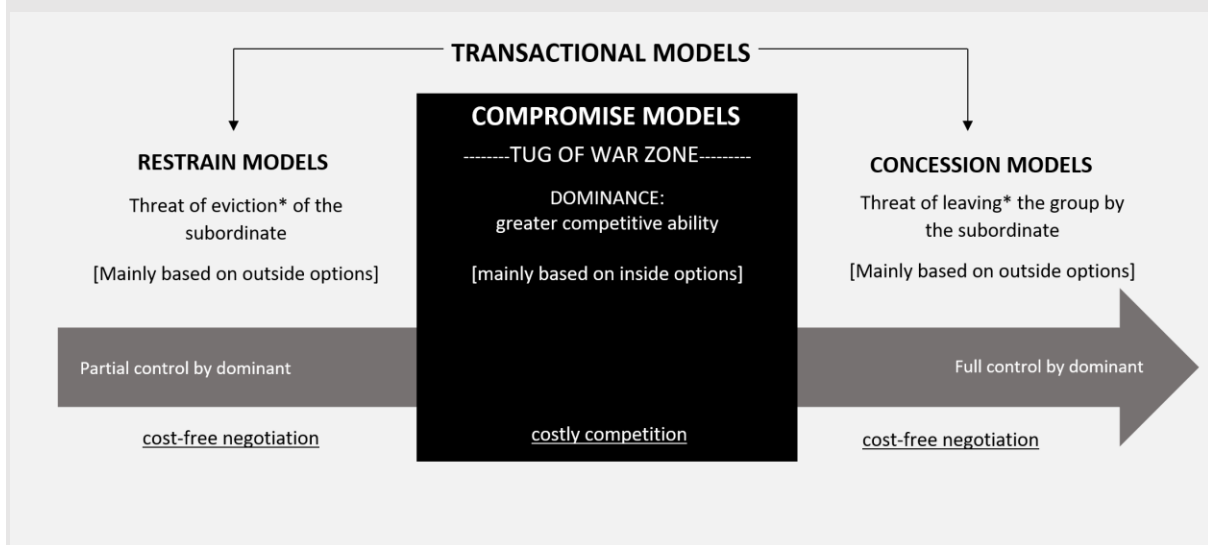
130 behavioural or personality traits) or states (winner or loser status from previous encounters)
 131 that contribute to the relative power asymmetry between contestants. Opponents displays their
 132 fighting abilities and willingness to escalate the conflict in a sequential assessment (e.g., the
 133 fighting sequence in the cichlid *Nannacara anomala* [23] or the “parallel walk” in red deer,
 134 *Cervus elaphus* [24]) up to the most dangerous fights. After the relative rank has been
 135 established, signals may be produced to advertise it: in queenless ponerine ants, for example,
 136 workers compete for reproduction via overt aggression; once a dominance hierarchy has been
 137 established, pheromones signal the reciprocal rank [25]. Similarly, in the cichlid *Astatotilapia*
 138 *burtoni* [26] only reproductively dominant males exhibit territorial behaviour and bright colors:
 139 these traits are showcased within minutes of gaining dominant status [26]. Finally, once
 140 established and advertised, such a rank might need to be maintained/enforced (via aggressive
 141 behaviours, policing, infanticide and eviction [27]).

142 Reproductive skew models [28–30] assume a game-theoretic decision, where
 143 subordinates decide to stay (if they increase or gain fitness returns comparable to being solitary
 144 [31]) or leave the group (not always a feasible option). Initial models were based on the benefit
 145 of breeding in a group (to the main advantage of dominant breeders), the possibility of
 146 reproducing outside the group (ecological constraint) and the relatedness between breeders and
 147 non-breeders (Table I).

148
 149 **Table I.** Benefits of breeding in social contexts with some level of reproductive skew, with
 150 details on the other two variables used in early theoretical models: the option of breeding
 151 outside the group (ecological constraint, EC) and the relatedness between breeders and non-
 152 breeders (R) which imply kin selection (e.g., eusocial insects) as well inbreeding avoidance
 153 (eusocial mammals).
 154

CONTESTANTS	TYPE OF BENEFIT	
Reproductively DOMINANT	DIRECT	More partners/helpers
Reproductively SUBORDINATE	INDIRECT/CURRENT	Inclusive fitness [R] Inbreeding avoidance [R]
	BEST OF A BAD SITUATION/FUTURE	Queuing [EC] Habitat saturation [EC] Reduced aggression Eviction avoidance

155 Dominant breeders could retain subordinate ones allowing for some reproductive
 156 concessions (transactional concession models) or subordinates give up part of their
 157 reproductive share (transactional restrain models, under threat of eviction by dominant breeders
 158 [32]). The tug-of-war models instead imply that a compromise should be reached, based on the
 159 limited but current competitive abilities of subordinates (Figure I). Combinations of
 160 transactional and compromise models (synthetic models [28,29]), and the addition of “outside
 161 options” (ecological constraints) and “inside options” (costly competition) have been proposed,
 162 but overall the narrative is still focused on the dominant-breeder control of group/population
 163 members [33–35].



164
 165 **Figure I.** Schematic summary of theoretical models, based on recent literature [29,33–35].
 166

167 **A change of perspective: does the resolution of the conflict need to be costly?**

168 Reproductive skew implies that one/few individual(s) gains a larger than average share
 169 of reproduction: this sets a reproductive conflict, which would typically result in an actual
 170 contest (e.g., [12]). However, in social contexts, less costly resolutions (in terms of injury and
 171 energetic costs) than actual fights might be beneficial to all contestants. Initially, reproductive
 172 skew has been strictly associated with the concept of a complete control of reproduction by the
 173 dominant [31], later relaxed into a partial control [32] (Box 1).

174 According to the dominant breeder view, some individuals actively suppress the
 175 reproduction of other group members (as shown in articles titles [18,19,36,37]), by releasing
 176 chemical signals/pheromones, and/or exhibiting visual signals or aggressive behaviours, and/or
 177 displaying morphological traits (including body size) that diminish receivers’ fertility. This
 178 hypothesis is therefore strictly associated with the expectation that reproductively dominant
 179 individuals **manipulate** (*sensu* [10]) the reproductive physiology of others and gain fitness

180 advantages at the their expenses. There has been a strong debate about the level of control and
181 the underlying mechanisms of suppression behind it, especially whether they consist in active
182 suppression/inhibition of the reproductive potential of individuals by dominant breeders. If the
183 recognition of breeding dominance can resolve the conflict with limited costs with respect to
184 reiterated aggression, then “subordinate” individuals become active players, who restrain their
185 own reproductive output. Self-restrained reproduction might be triggered by a variety of
186 reasons, from current/future and/or direct/indirect advantages, making the best of a bad
187 situation (Table I) but represents a response to a signal/assessment of rank, rather than a
188 manipulation.

189 So, the main question is: do dominant breeders directly control the reproduction of
190 group members or are the latter responding to signals? In other words, are dominant breeders
191 making all decisions about the reproductive output in the group/population or are both
192 dominant breeders and non-breeders making decisions about their own reproduction based on
193 the assessment of their reciprocal qualities?

194

195 **Historical change in perspective: the role of queen pheromones in social insects**

196 In 1991, honest signalling models started to emerge in different fields of behavioural
197 sciences [38] and the mechanisms underlying the control of reproduction was questioned in
198 social insects. At the time, queens (dominant reproductive females) were considered capable
199 of inhibiting the reproduction of workers by means of chemical compounds: queen pheromones
200 were typically interpreted as a means of direct coercive manipulation of workers, so that
201 workers would behave in ways that increased the queen’s fitness at their own expenses
202 [25,39,40]. With a now renown paper, Keller and Nonacs [41] questioned this perspective,
203 asking whether queen pheromones, rather than being manipulative agents that queens use to
204 impose worker sterility, were honest signals of fertility. If this was the case, workers, which do
205 not mate but have functional ovaries [42], would respond to such signals by self-restraining
206 from reproduction, still at the benefit of their own inclusive fitness. They noted the lack of
207 evidence that queen pheromones actively suppress worker reproduction, while valid alternative
208 explanations often existed; for instance, due to **kin selection**, workers may have selfish genetic
209 interests in preventing nestmate workers from reproducing (worker policing [41,43,44]).
210 Moreover, it would be difficult to explain how such pheromones are evolutionarily maintained
211 [41]: pheromonal queen control is expected to result in an arms race where workers are under
212 strong selection to resist manipulation and, in turn, queens would be selected to increase the

213 amount of pheromone or produce new control-effective compounds [45] – whereas we now
214 know such signals are highly conserved in Hymenoptera [40] (Table 1).

Table 1. Predictions of the two contrasting hypotheses of signalling (SH) vs. control (CH) using selected examples across multiple taxa (following the work of Oi et al. [44] in social insects). Proposed proximate mechanisms are listed and instances of empirical evidence are reported. For sequentially hermaphroditic fishes please refer to Table 2.

	Predictions		Mechanisms	Empirical support
Taxon/Social system	Signal Hypothesis (SH): subordinates respond to dominant’s signals by self-restraining their reproductive output as this is their best option	Control Hypothesis (CH): subordinates are manipulated to decrease their fertility output against their own reproductive interests	Proposed mechanism used by dominant and response by subordinate	The hypothesis with the best empirical support is reported (SH or CH)
Social insects	Genetic interests: queens and workers are related (kin selection) so subordinates gain indirect genetic benefits	The control does not depend on the kin structure of the colony	SH: queens release pheromones which function as fertility signals; self-restrained ovary development in the workers (not imposed: some workers reproduce) CH: queens release “control” pheromones that chemically sterilize all workers from laying eggs	SH: evidence of worker policing [41,43,44] maximizing indirect genetic benefit (workers care for eggs laid by their mother and destroy those laid by sisters) CH: not common, but see box 2 for facultative intraspecific parasitism , e.g., in <i>Polistes</i> wasps [46]
	Signals are honest and “uncheatable indices of fertility” [44]; they correlate with ovarian activity	The level of control depends on the size of the colony, rather than queen fertility (though colony size and fertility often correlate)	SH: queen pheromones reflect queen’s fertility; workers/subordinates give up reproduction based on benefit gained CH: production of queen “control” pheromones depends on colony size (larger colonies might require larger production)	SH: strong evidence in many species of pheromones as honest signal (see [44]) CH: some cuticular compounds in honeybees correlate with colony size more than with fertility [47]

	Signals reflect fecundity so they are conserved across different social insect taxa	Control mechanisms need to be constantly changed to maintain effectiveness as workers may evolve counter-adaptations (mechanisms not conserved)	SH: queen pheromones highly conserved across different taxa CH: arms race expected. Workers selected to resist manipulation; queens selected to produce new/more effective control pheromones	SH: cross-activity of pheromones supports highly conserved signalling [40]
Eusocial mammals: naked mole-rats (<i>Heterocephalus glaber</i>) and Damaraland mole-rats (<i>Fukomys damarensis</i>)	Genetic interests: queens and workers are related to some extent (kin selection); reduced inbreeding depression as members of the colony are related to some extent	"Physiological block to reproduction" by queens on non-breeding females [15] Queens increase fecundity and reduce workload [48]	SH: direct interactions with the breeding queen; no evidence of pheromonal control of reproduction by queens notwithstanding vast empirical approach [49] CH: possible transfer of oestrogens from dominant female to non-breeders via coprophagy may alter oestrogen levels in the latter [50]	SH: mating with related individuals is avoided [49] CH: no evidence that ingestion of faecal oestrogens results in diminished fertility, although coprophagy reported (see [49])
	Future benefits: queueing for breeder position			SH: Subordinates restrain their own growth to avoid aggression by dominants [51]
Eusocial crustaceans: caridean snapping shrimp, genus <i>Synalpheus</i>	Ecological constraints: benefit in living in host sponges (shelter and food provision); shared resource defence	One or multiple queens in the colony; non-breeders increase the dominants' reproductive success by helping in cooperative defence of the colony [52]	CH: chemical mechanisms postulated [53] but no evidence	SH: Presence of the queen "suppresses" gonadal development in workers; no aggression [53]
	Genetic interests: queens and workers are related in some species (kin selection); reduced inbreeding depression		SH: direct development favours natal philopatry and within group relatedness [52]	
Cooperative breeding birds	Genetic interests: Kin selection in some	Non-breeding subordinates increase the dominant		SH: Ability to discriminate kins [54]

(e.g., chestnut-crowned babbler, <i>Pomatostomus ruficeps</i>)	species/inbreeding avoidance	reproductive success by helping at the nest		
	Future benefit: queueing for breeder position		CH: aggressive behaviour	SH: In some species helpers at the nest are not close relatives, but instead help for future benefits [55] or direct advantage of foraging in groups and diminish predation risk [56] However, no signalling by helpers of their contribution (as a rent payment) was detected [57] CH: dominant females prevent subordinate ones from access to nests and thus to laying ([58] but see [59]); no aggression towards non-related helpers [57]
Cooperative breeding carnivores: dwarf mongooses (<i>Helogale parvula</i>), African wild dogs (<i>Lycaon pictus</i>) and wolves (<i>Canis lupus</i>)	High energetic costs of reproduction for females: age and body mass can be interpreted as honest signal of reproductive dominance	Behavioural suppression of reproduction in males; endocrine and behavioural in females [60]	SH: subordinates recognize reproductive dominance signals and self-restrain reproduction: enforcement by infanticide can be used by both dominant and subordinate females, similarly to policing in social insects (e.g., in meerkats [61]) CH: endocrine suppression of subordinates' reproduction by dominant: adrenal glucocorticoids and gonadal steroids are expected to be correlated with rank [62]	SH: not always endocrine levels correlate with ranks; subordinates sometimes reproduce; infanticide [62]
	Benefits from group living (e.g., protection from predators); queueing for breeder position (breeders are under predation threat as well as non-breeders; Radford, pers. comm.)			SH: No mechanisms identified. Evidence for widespread social monitoring (e.g., in dwarf mongooses [63,64])

<p>Social primates, e.g., marmosets (<i>Callithrix jacchus</i>); Verreaux's sifakas (<i>Propithecus verreauxi</i>); white-faced capuchins (<i>Cebus capucinus</i>), and more</p>	<p>Benefits from group living; queuing for breeding positions</p>	<p>Non reproductive subordinates increase the number of offspring (sired by the dominant) helping with parental care [65,66]</p>	<p>SH: Self-restrained reproduction following chemo-signals by the breeding pair (anal scent marking) where subordinate females may stay and queue for breeding opportunities, or leave the group; attempts to breed by subordinate females are reported; reinforcement by infanticide and eviction from the group [66]</p> <p>CH: Hypothesized suppression of ovulation in subordinate females (via scent marking) and diminished sperm cell production in subordinate males [66]</p>	<p>SH: Possible inbreeding avoidance; evidence of infanticide as a deterrent of reproduction in subordinates marmosets [67]</p> <p>CH: no evidence</p>
<p>Semi-solitary orang-utans (<i>Pongo pygmaeus</i>) and mandrills (<i>Mandrillus sphinx</i>) (subordinate and dominant males have different secondary sexual traits [68])</p>	<p>Genetic interests: dominant and subordinate males are related (kin selection: indirect genetic benefits)</p>	<p>The control does not depend on the kinship, dominant males monopolize access to females</p>	<p>SH: flanged males in orang-utans and “fatted” males in mandrills signal their dominant rank; subordinate males self-restrain from developing full secondary sexual traits in a sort of alternative reproductive strategy; only some reproduce</p>	<p>SH: no evidence</p> <p>CH: no evidence</p>

215 **Change in perspective: from social insects to sequential hermaphrodites**

216 As queens in social insects were considered able to inhibit reproduction in other females, in
217 sequential hermaphrodites dominant breeders of the second sex (i.e., the sex with the highest
218 reproductive output [69]) were considered capable of suppressing conspecifics' sex change at
219 their advantage. This idea stemmed from simple experiments in female-first sex-changing
220 fishes (protogynous, characterized often by a harem system [69]) where removing the
221 dominant male from the group "allowed" a large female to change sex and become a male [70].
222 As subordinates changed their reproductive strategy only after the removal of the dominant, it
223 was assumed that the dominant coercively kept them in the reproductively less-rewarding sex,
224 either by physical intimidation or by chemical manipulation (Table 1,2). Nonetheless, in the
225 case of fish, "active domination" [18] is not always feasible in large groups and other proximate
226 mechanisms have not been reported, to our knowledge. Moreover, not always the largest
227 female is the one changing sex [71].

228 A similar "aggressive dominance" has been reported mainly in one instance of male-
229 first sex-changers (protandrous, characterized often by a monogamous system [69]): the
230 clownfishes (subfamily Amphiprioninae; Table 2). These fishes live in symbiosis with
231 anemones, a scarce resource [72]. Each anemone hosts a reproductive pair (a large dominant
232 female and her male partner) and many smaller nonbreeders. Here, the removal of the female
233 seemed to "allow" the breeding male to change sex to female and the largest non-breeder to
234 develop as the breeding male [73]. Yet, staying at the anemone as a non-breeder is an adaptive
235 choice (rather than an imposition), as young clownfish evicted from the anemone would almost
236 certainly die, to the point that they restrain their own growth to avoid challenging the breeding
237 pair ([74]; see strategic growth below). In the situation of habitat saturation (Table I, 2),
238 reducing eviction from the group while queuing for future reproduction represents the best
239 choice (Table 2). In other protandrous species, sex change is often regulated by body size
240 and/or population sex ratio (Table 2). Individuals of male-first sex-changers can also delay sex
241 change if they are obtaining high reproductive success as males (e.g., the slipper snail
242 *Crepidula* [75]).

243 Thus, we propose that also in sequential hermaphrodites, decisions about reproduction
244 (in this case, sex change) are individual decisions based on the social contexts (Table 2). The
245 relevant social cues used to assess their own breeding position vary; for instance, female-first
246 sex-changers with harem nuptial system base their decision on relative morphological and
247 behavioural cues, whereas if they reproduce in spawning aggregations use their own relative
248 size and population sex ratio, which nonetheless indicates they monitor the social contest.

249 Similarly, male-first sex-changers also base their sex change decisions either on individual cues
250 (e.g., relative body size in clownfish with territorial anemones [76]), or on the sex ratio of the
251 population (solitary breeders and species with random mating). This social monitoring is
252 affected by anthropogenic interference: overfishing depletes the population of the largest
253 individuals, which exhibit the second sex [71]. The density and sex ratio of the population can
254 also trigger alternative reproductive strategies, such as the presence of non sex-changers
255 (primary males and females): individuals that develop directly the second sex and keep it
256 throughout life [72].

Table 2. Predictions of the two contrasting hypotheses of signalling (SH) vs. control (CH) using selected examples across sequentially hermaphroditic fishes. In each sexual system (protogyny: female first sex-change; protandry: male-first sex change), different strategies of social regulation/control of reproduction based on mating system and ecological/social conditions are highlighted.

SEXUAL SYSTEM	MATING SYSTEM	ECOLOGICAL/SOCIAL CONDITIONS	SIGNAL OR CONTROL?
PROTOGYNY ♀ → ♂	TERRITORIAL HAREMS	Individuals of the first sex (female) choose to delay or skip sex change in the presence of a larger male	SH: regulation of sex change based on aggressive <u>display</u> , sexual dimorphism (colour - dichromatism - and behaviour) efficient even through glass (as found in <i>Anthias anthias</i> [70]); in <i>Labroides dimidiatus</i> sex change even in the presence of males in females at the periphery of the male territory, where male-female interactions are scarce [18] not always the larger female change sex [71] CH: control of sex change based on aggression (when dominant is removed the subordinate change sex)
	TEMPORAL SPAWNING AGGREGATIONS	Sex change based on population sex ratio and relative size (better to be a large male to compete with other males in the spawning grounds). Possibility of delaying sex change with current reproductive outcome	SH: <i>Sex ratio induction</i> [77]
PROTANDRY ♂ → ♀	MONOGAMY with ecological constraints	Individuals change sex when breeding positions are vacant (e.g., in clownfish, after queueing at the anemone, as dispersing involves predation risk [72])	SH: The dominant female grows bigger and signal her reproductive dominance (size-based hierarchy); the reproductive males is the second largest fish; non-breeders restrain their own growth and gonadal development thus avoiding aggression/eviction [51,78] CH: aggressive dominance by dominant couple
	MONOGAMY or RANDOM MATING with no ecological constraints	Sex change based on population sex ratio and relative size	SH: <i>Sex ratio induction</i> [77]

257 **Lessons from simultaneous hermaphrodites: from self-adjustment of sex allocation to**
258 **manipulation of reproduction**

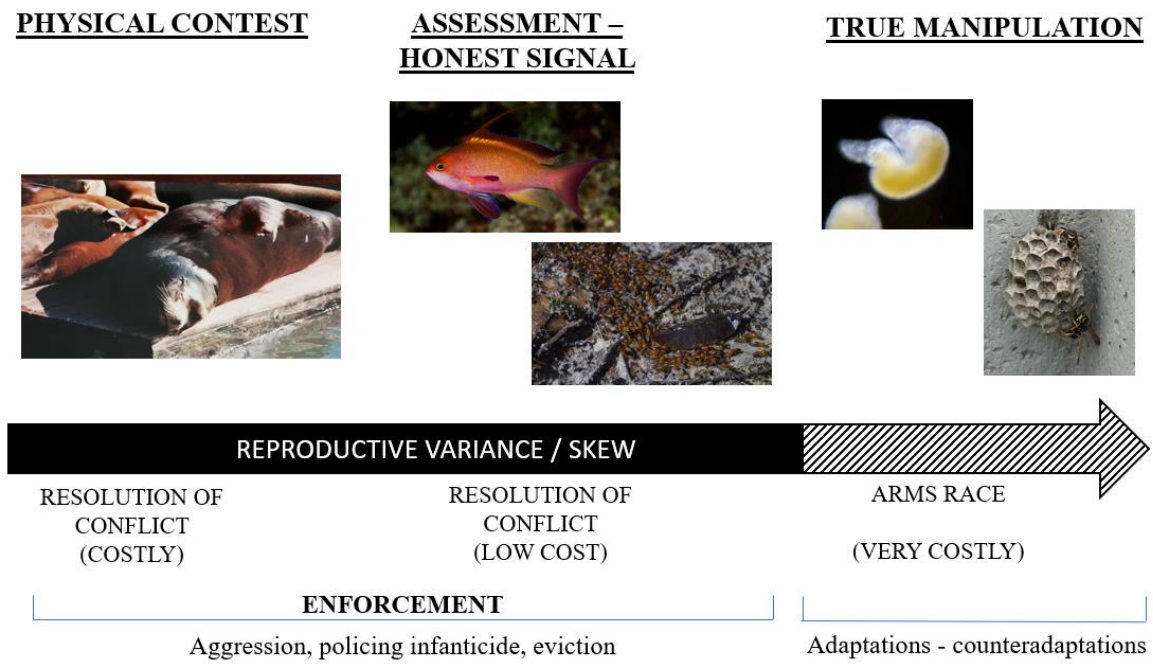
259 Ten years before Keller and Nonacs' paper [41], Charnov [9] proposed that sex
260 allocation was an adaptive response to social conditions: each individual was expected to adjust
261 its resource investment in reproductive traits as a function of current mating opportunities [9].
262 This perspective was also successfully applied to hermaphrodites, who were expected to
263 change their allocation to the female or the male sexual function depending on mating
264 opportunities [9]. However, while for *simultaneous* hermaphrodites the individual benefit
265 perspective has been largely applied in subsequent studies (as reviewed in [79,80]) and the
266 origin of the relevant social signals identified in some species (e.g., [81,82]), it was often easier
267 to explain the decision to change sex in *sequential* hermaphrodites as the result of the
268 manipulation of one sex over the other. But why two similar systems, in which individuals act
269 as both sexes either simultaneously or sequentially, should be explained with two different
270 theoretical approaches: self-adjustment of sex allocation in the former, but lack of it in the
271 latter? And how can individuals of one sex prevent the change of sex of others? Even if
272 manipulation can indeed occur (simultaneous hermaphrodites not only can be used as a great
273 playground to test decisions on partitioning of male and female functions [83], but also provide
274 examples of actual manipulation of reproduction (Box 2) [84]), in most cases manipulation
275 does not explain sex-change decisions.

276

277 **BOX 2 - The social regulation of reproduction continuum**

278 As shown for eusociality [4], reproductive modes and strategies [69,85], also
279 reproductive conflicts can be seen along a gradient, a continuum (often taxon-specific), where
280 a multi-systems and multi-taxa approach can broaden up our understanding of reproductive
281 conflicts and allow for a better interpretation of their resolution (Figure II). In the perspective
282 of variance in lifetime reproductive success, up to extreme reproductive skews in animal
283 societies, the establishment and maintenance of a dominant breeding position [27] is considered
284 the most relevant mechanism to establish the actual share of mating opportunities, often
285 considered the sole prerogative of winners. A change of perspective, with a focus on both
286 contenders [86], who all assess honest signals and make their decisions based on current social
287 context (including kinship and ecological constraints), represents a more parsimonious
288 mechanism of conflict resolution, enforced when necessary (figure II). At one end of the
289 continuum, there are cases of true manipulation (increased fitness of one individual at the
290 expenses of others). Interesting examples (with corresponding arms races) are found in

257 simultaneous hermaphrodites (intraspecific manipulation) and parasites (interspecific
 258 manipulation).



259 **Figure II:** Resolution of reproductive conflict, across taxa and mating system. Physical contest
 260 can be used in many harem-like societies where dominant males aggressively monopolize
 261 access to mates (e.g., California sea lions, *Zalophus californianus*). Less costly resolution
 262 (often used in larger groups, with high reproductive skew) can be obtained by reciprocal
 263 assessment of dominance breeding status by both reproductively dominant and subordinate
 264 individuals, as proposed for sequential hermaphrodites (female-first sex changing *Anthias*
 265 *squamipinis* fish, courtesy of Nuno Vasco Rodrigues) and eusocial animals (such as termites).
 266 Reproductive monopoly can be enforced by aggression, policing, infanticide and eviction. An
 267 active arms race (involving adaptations and counter-adaptations) occurs in intraspecific
 268 reproductive conflicts (e.g., in simultaneous hermaphrodites: *Macrostomum lignano* courtesy
 269 of Lukas Schärer) or interspecific ones (e.g., in social parasites, photo of *Polistes biglumis* and
 270 its social parasite *Polistes atrimandibularis*).

271
 272 In simultaneous hermaphrodites, sperm donors can manipulate partners' sperm uptake
 273 and/or use, usually not without resistance: for example, antagonistic coevolution has been
 274 described in the flatworm *Macrostomum lignano* [87] (whose seminal fluid contains
 275 compounds which affect the partner propensity to remove received sperm) and ways to
 276 manipulate partners' use of own sperm has been reported in snails [88,89] and earthworms
 277 [90]. These are real cases of intraspecific manipulation of reproduction, adding to interspecific
 278 examples: in **obligate social insect parasites** [91,92] a female can invade the colony of another
 279 species, take over the reproductively dominant position using chemical mimicry, camouflage

257 and/or chemical insignificance and manipulate the host reproductive output [91,93].
258 Interestingly, facultative social parasites can switch from signalling to manipulating: *Polistes*
259 social wasps use cuticular compounds as honest signals of fertility in their own colony, or as
260 manipulative compounds when they act as social parasites [94,95].

261 More extreme cases are parasites which castrate their hosts, e.g., parasitic barnacle
262 *Sacculina carcini*, whose castrating mechanism is well known (destruction of the androgenic
263 gland in male crabs [96]). We could thus think about manipulative social control of
264 reproduction as a form of intraspecific castration or imposed contraceptive pill [49]. But the
265 main question remains: how can this control be performed?

266

267 **Concluding remarks**

268 Animals constantly check their environment, get relevant information about it and make
269 decisions. This includes a constant **social monitoring** and consequent behavioural adjustment
270 to the current social context (e.g., [97,98]). Why shouldn't they use this info to adjust also their
271 reproduction? Indeed, theoretical models and empirical studies show us that individuals adjust
272 the number and sex ratio of their offspring and/or their own sex allocation to current condition
273 [9,99,100]. However, somewhere along the way it became easier to consider reproductive
274 decisions (limitation or delay of reproduction by some group members) as manipulations
275 imposed by dominant breeders on the other group/population members rather than responses
276 by any individual to current condition, even though evidence for the underlying proximate
277 mechanisms was lacking (see outstanding questions). Indeed "subordinates" and less
278 successful breeders often choose to stay and restrain their own reproduction [86] if leaving the
279 group (or colony, nest, anemone, etc.) is risky whereas staying increases their fitness either
280 indirectly (via kin selection) or directly by increasing their survival chances and allowing some
281 even small probability to inherit the breeding position (e.g., by queueing and replacing the
282 breeding positions). Similarly to the switch of perspective occurred in social insects - from
283 control to signal for queen pheromones [41] - and following the recent revisitation of social
284 control of size (**strategic growth** [51]) we should consider "social control of reproduction" as
285 an adaptive and active response to breeding dominants rather than a passively received,
286 imposed manipulation from them, and possibly redefine it as "strategic regulation of
287 reproduction". The use of the traditional ("dominant") view might conceal reproductive
288 adaptations, including responses to signals and mechanisms for self-restraining of
289 reproduction. The new perspective we propose results instead in a more effective and less
290 costly resolution of reproductive conflicts in animal societies.

257 **Outstanding questions**

258 What are the key experiments to test signalling (“subordinates” assessing the presence
259 of a dominant breeder and restraining their own reproduction) vs. control (dominant breeders
260 actively manipulating the reproduction of other group/population members)? The classical
261 experiment of removing the reproductively dominant individual(s) changes the social context
262 and fails to disentangle whether the subordinates’ change in reproductive status is associated
263 to the end of the dominant manipulation or to subordinate(s) perceiving the changed social
264 context and updating the appropriate reproductive decision. In fact, the challenging
265 experiments or observations required to unravel between dominant breeder(s) manipulation
266 and honest signalling of breeding dominance have yet to be conducted. Could facultative social
267 parasites (e.g., *Polistes* wasps [95]) or hermaphrodites be convenient model systems?

268 How do organisms assess their social environment (conspecific breeding/fighting
269 ability, population sex ratio or densities...)? Are mechanisms consistent across taxa and mating
270 systems?

271 What are the proximate mechanisms underlying strategic regulation of reproduction?

272 What mechanisms/behaviours are used to establish dominance? What characteristics
273 identify winners? How consistent are they across taxa and mating systems?

274 Can the view of the “strategic regulation of reproduction” help to investigate the
275 evolution of low-skew societies?

257 **Glossary**

258 **Alloparental care:** care of young provided by individuals who are not the parents.

259 **Cooperative animal society:** social group, where reproduction is restricted to dominant pairs
260 and the rest of the group help in foraging, defence and mainly caring for offspring other than
261 their own; often characterized by the presence of a specific territory/nest.

262 **Eusocial animals:** definition coined initially for social insects with reproductive division of
263 labour, overlapping generations and cooperative care of young [15]; for eusocial mammals
264 see [48,49].

265 **Obligate social parasites:** social insects that rely on the worker caste of another species to
266 rear their brood, as they lack workers and produce only reproductive individuals. They invade
267 the host nest, kill or subdue the resident queen and take over her breeding role [101].

268 **Kin selection:** indirect inclusive fitness obtained by the fitness of close relatives.

269 **Mating success:** effectiveness in securing one or more mates.

270 **Manipulation:** alteration of behaviour and/or physiology of other individuals for individual
271 benefit (at the expenses of others). It has been initially addressed in parasites [10,102], where
272 it is clear how the benefit to the parasite is detrimental to the host.

273 **Facultative intraspecific parasitism:** social insects of free-living species that invade the
274 nest of a conspecific female and take over her breeding role [103] (see Box 2).

275 **Policing:** behaviour in social hymenopterans. In worker policing, workers remove and
276 destroy eggs laid by other workers; also, queens can destroy eggs laid by workers.

277 **Polygamy:** one member of one sex mating with multiple members of the opposite sex.

278 **Polygyny** (one male mating with multiple females) is more common than **polyandry** (one
279 female mating with multiple males), as males are often less limited than females in the
280 number of gametes they can produce/offspring they nurture (but this is not always the case
281 for social insects, where males do not generally defend harems [104,105]); for females,
282 increased reproductive success may depend more on getting help in rearing offspring rather
283 than on multiple mating, as in **eusocial animals** (see [106] and current discussion on
284 Bateman's principles [107]).

285 **Reproductive skew:** unequal partitioning of reproduction within a social group. In highly
286 skewed societies, only one or few individuals reproduce, while the others delay or forgo
287 reproduction completely.

288 **Reproductive success:** successful production of offspring. Can be calculated for breeding
289 attempts, seasons, years or lifetime.

257 **Sequential hermaphroditism:** sex change. Each individual is able to produce gametes of the
258 two sexes but not at the same time: they develop as one sex and later change to the opposite
259 sex.

260 **Simultaneous hermaphroditism:** Each individual is able to produce gametes of the two
261 sexes at the same time; with the exception of self-compatible species, they need a partner to
262 reproduce.

263 **Social monitoring:** tracking signals and behaviours of other members of the
264 group/population and adjusting behaviour and/or relationships appropriately.

265 **Strategic growth:** Adaptive plastic adjustment in body size as a function of social context
266 [51].

267

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277 [aa19-4f6f-8afa-f039cc860d7e/](http://www.phylopic.org/image/aa19-4f6f-8afa-f039cc860d7e/)) Richard J. Harris (wasp

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283 <http://www.phylopic.org/image/147096a8-7d0e-41ba-9301-f30dd06fbce5/>), T. Michael

284 Keeseey (ant <http://www.phylopic.org/image/c2ce58fd-bc12-4557-9617-c5c5e31f3820/>;

285 primate <https://beta.phylopic.org/images/8856a7d8-eae6-4fdb-a4ad-9618ec066376>; wild dog

286 <https://beta.phylopic.org/images/0bdb6532-0fa9-4ae7-992c-5b04a58d04d2>); Anthony

287 Caravaggi (bird <https://beta.phylopic.org/images/e38c264a-8989-4e69-a6c1-7778b919980d>);

288 Christopher Kenaley (fish <https://beta.phylopic.org/images/86c40d81-2613-4bb4-ad57->

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259

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