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Imagining Kant’s Theory of Scientific Knowledge: Philosophy and Education in Microbiology

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23 **Abstract**

24 In the field of observational and experimental natural sciences (as is the case for
25 microbiology), recent decades have been overinfluenced by overwhelming technological
26 advances, and the space of abstraction has been frequently disdained. However, the
27 predictable future of biological sciences should necessarily recover the synthetic dimension
28 of “natural philosophy”. We should understand the nature of Microbiology as Science, and
29 we should educate microbiology scientists in the process of thinking. The critical process of
30 thinking “knowing what we can know” is entirely based on Kant’s Critique of Pure Reason.
31 However, this book is extremely difficult to read (even for Kant himself) and almost
32 inaccessible to modern experimental natural scientists. Professional philosophers might have
33 been able to explain Kant to scientists; unfortunately, however, they don’t get involved this
34 type of education for science. The intention of this review is to introduce natural scientists,
35 particularly microbiologists and evolutionary biologists, to the main rigorous processes
36 (aesthetics, analytics, dialectics) that Kant identified to gain access to knowledge, always a
37 partial knowledge, given that the correspondence between truth and reality is necessarily
38 incomplete. This goal is attempted by producing a number of “images” (figures) to help the
39 non-expert reader grasp the essential of Kant’s message and by making final observations
40 paralleling the theory of scientific knowledge with biological evolutionary processes and the
41 role of evolutionary epistemology in science education. Finally, the influence of Kant’s
42 postulates in key-fields of microbiology, from taxonomy to systems biology is discussed.

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48 **Introduction**

49 Maureen A. O'Malley, from Sidney University, published in 2014 a seminal book entitled
50 "Philosophy of Microbiology". In the first words of her introduction, she states that "there
51 are many good reasons to think that in fact microbes form the bases of all biological things
52 and thus have major contributions to make to philosophy of biology". She recognizes
53 Aristotle (384-322 BCE) and Kant (1724-1804) as the philosophers most invoked in the
54 philosophical tradition of reflecting on the nature of living things. Note that Immanuel Kant
55 was born almost exactly one century after Anton van Leeuwenhoek (1632-1723), and thus
56 belongs to the "microbiological era". We are very close to commemorating the 3rd centenary
57 of the birth of Immanuel Kant on the 22nd of April 1724 in Königsberg (now Kaliningrad,
58 Russia) where he died in 1804. Charles Darwin was born just five years later in 1809. In
59 1904, the German evolutionary biologist Ernst Mayr was born in Kempten, Germany. Mayr
60 died in 2005, two hundred years after Kant. Only these two names are sufficient to bridge
61 our days with the Kant's days.

62 Kant's powerful shadow extends even over until modern's evolutionary biology. In one of
63 his latest published books (1), *That is Biology* (1995), Ernst Mayr quotes Charles Darwin 22
64 times and Immanuel Kant 13 times, more than other highly recognized biologists such as
65 Linneus, Haeckel, and Lamarck. Indeed, Kant was one of the last representatives of classical
66 science, where philosophy and natural sciences were still in permeable compartments of
67 scientific knowledge. An entire generation of Kant's immediate German followers can be
68 properly considered to be philosopher-scientists, such as the botanist Matthias Jakob

69 Schleiden (1804-1881), who developed “modern science” in researching vegetable tissues,
70 and Jakob Friedrich Fries (1773-1843), one of the pioneers of modern thinking in language
71 and science. It is such permeability between compartments of knowledge that is being lost in
72 modern times. Ernst Mayr was already disappointed in his youth when he attended
73 philosophy courses at the Berlin University in the early 1920s, realizing that there were “no
74 bridges between the matter of study of biological sciences and that of philosophy”, coining
75 the idea that evolutionary biology, developed from empiric (scientific) knowledge, is based
76 on concepts rather than laws.

77

78 Such statement is probably rooted in Kant’s philosophy of knowledge. Kant’s Theory of
79 Empiric Knowledge is essentially expressed in the Critique of Pure Reason (CPR), which he
80 began to conceive in 1772, published in 1781 (“A”) and modified in 1787 (“B”) (2). The
81 Critique is a difficult-to-read book that clearly expressed the evolution of Kant’s mind during
82 the writing process and was therefore not devoid of a certain number of obscurities,
83 inconsistencies, contradictions, and reiterations but remains extremely engaging, even
84 passionate, and frequently less boring (at least for a scientist) than many other books that
85 commented on Kant’s works in academic detail.

86

87 On what grounds would a review of Kant’s Theory of Empiric Knowledge be of interest for
88 modern biologists? First, this theory constitutes one of the highest peaks reached by the
89 human mind (“because it is there”, paraphrasing George Mallory reason to climb the
90 Everest), and science is based on thinking. As natural scientists, we should urgently
91 emphasize the importance of the creative power of individual thinking when considering
92 natural empirical facts. Second, the theory constitutes a paradigmatic example of individual

93 introspective research, examining our ability to understand nature. Lastly, Kant's Theory of
94 Empiric Knowledge can be properly considered (according to Heidegger) as a theory of
95 scientific knowledge (3). In this theory, knowledge enables us to understand or even conceive
96 something as different from another and what, based on that conception, is needed to
97 establish an assertion, proposition, or judgement. This is exactly the purpose of science: the
98 origin of the word "science" is probably originally related with "*scindere*" (to cut something
99 to understand the internal structure)

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101 Living beings constitute ordered, combinatorial, architectural alternatives to the chaotic
102 multiplicity of elements of nature. Similarly, human reason is an ordered, combinatorial,
103 architectural "internal" alternative to the immense wealth of inputs that we receive from our
104 environment. The architecture of nature should have a "similar style of architecture" as that
105 of our mind (the Aquinean "*ars imitatur naturam in sua operatione*" [art imitates nature by
106 reproducing it]). Interestingly, Kant clearly states that "human reason is by nature
107 architectonic" (CPR, A473/B501). It is only through this "common style" that the ordered
108 part of nature might be understood, at least within the boundaries imposed by our
109 psychological and biological limits. Understanding nature indeed requires to understand what
110 we can understand. Nature can be conceived as what we understand about Nature: "The order
111 and regularity in appearances, *which we call nature*, are, then, something that we ourselves
112 supply, nor we encounter them if we, *or the nature of our mind*, had not originally supplied
113 them" (CPR, A125). Certainly these basic nature-mind unitarian concepts are implicitly
114 present throughout Kant's Theory of Knowledge. The basic aim of understanding nature is
115 to produce science, that is, not only to discover or experience things but in particular
116 "experiencing things that one can go on to describe". A faithful description is the result of a

117 chain of quality controls in the process of experiencing and understanding, leading to a final
118 synthetic knowledge, a knowledge able to be communicated, rooted in nature but different
119 from natural empirical objects (that is, “*a priori*”). The entire CPR is devoted to investigating
120 the conditions that are needed to reach such a knowledge, as expressed in its central question,
121 “How is synthetic *a priori* knowledge possible?” What does the question “how is science
122 possible?” imply? This review illustrates the main structures of the architectural design of
123 the theory of knowledge. “By the term architectonic I mean the art of constructing a system.
124 Without systematic unity, our knowledge cannot become science; it will be an aggregate, and
125 not a system”, says Kant (CPR A831), as an unequivocal prelude to modern systems biology,
126 **being amazingly compatible with current views in the field of neurosciences**. How can
127 objective things be thinkable? How to convert something external, physical, or objective into
128 a concept or idea? How to apply to it concepts arising from other external things?

129

130 **The educational value of thinking about what we can know**

131 A key work in the theory of education is *Education* by Herbert Spencer, a prominent follower
132 of Darwin (4). Spencer answers the question “what knowledge is of most worth?” with a
133 single word: science (5). Spencer’s novel philosophical approaches are likely grounded in
134 the post-Kantian philosophy of Germany, despite Spencer’s opposition to Kant’s apparent
135 supranaturalism. Spencer was the first to use the concept of “survival of the fittest” (1851),
136 which was later adopted by Darwin. Dewey noticed a footnote in Spencer’s book *Social*
137 *Statics*, probably based on the post-Kantian Friedrich Shelling, stressing the natural tendency
138 towards individuation, conjoined with increased mutual dependence. In *The Classification*
139 *of the Sciences*, which Spencer realized that this truth has to do with “a trait of all evolving

140 things, inorganic as well as organic” (4). Performing science is an educational tool for
141 understanding the functioning of one’s own mind, given that the roles of understanding are
142 essentially evolutionary roles, individuating the objects and synthesizing their ensembles to
143 reveal their mutual dependence. Kant should serve as a “teacher of biology” (6).

144

145 In current technological days, educating the minds of scientists is still the best strategy to
146 advance science, but the principle of Wittgensteinian objectivity should be present; we know
147 and will know what we can know and nothing more (7). From this viewpoint, we can try to
148 use educational tools to push the limits, that is, to increase our ability to know (8), in line
149 with the lines of modern evolutionary epistemology.

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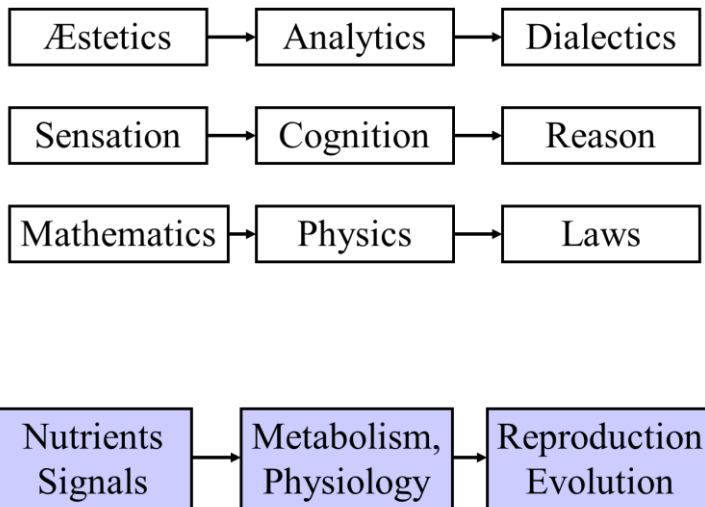
151 In this article, we present Kant’s Theory of Knowledge in an accessible (visual) and
152 educational manner, emphasizing the parallelism of knowing and evolving. Readers should,
153 however, be aware of the following caveat. The author of this review is not a professional
154 philosopher but an experimental scientist (microbiologist) and professor who has been
155 thoughtfully analyzing and scholarly discussing Kant’s original contributions for at least four
156 decades. Professional philosophers might be able to explain Kant to scientists; unfortunately,
157 they tend not to get involved in this type of education. Consequently, this educational review
158 is necessarily a simplified, schematic and perhaps slightly inaccurate explanation but will
159 hopefully make one of the more important but complex works that the human mind has
160 produced, the Critique of Pure Reason, accessible to scientists.

161

162 **The three successive compartments of knowledge**

163 The CPR section entitled “Reason in General” starts with a clear enunciation of the three
 164 main compartments in the process of knowledge: “Everything in our knowledge starts in our
 165 **sensibility**; from there, flows into the **understanding**, and finally enters into our **reason**
 166 (CPR, A298) (“*Alle unsere Erkenntnis hebt von den Sinnen an, geht von da zum Verstande*
 167 *und endigt bei der Vernunft, über welche nichts Höheres in uns angetroffen wird.....*”). These
 168 three compartments are shown in Figure 1 and refer to the main parts into which the CPR is
 169 divided.

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175 **Figure 1. The three consecutive compartments with the conditions for knowledge**

176 In the first row, the Kant’s conditions; below, analogies of these conditions; in violet,
 177 biological analogies to the conditions for knowledge, to introduce the relation of knowing
 178 and evolving.

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181 First, the conditions by which natural beings are perceived by our sensibility are studied in
 182 the **Æsthetics**. Second, the conditions by which the impressions (intuitions) that these natural

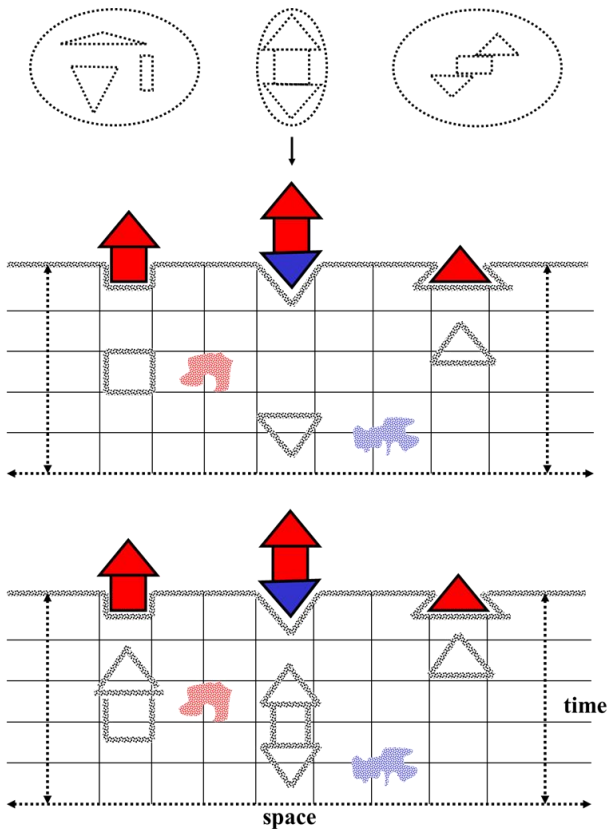
183 beings produce in our sensibility are converted into concepts, giving rise to their cognition,
184 are studied in **Analytcs**. Third, the conditions by which concepts are submitted to relational
185 judgements, making possible the emergence of ideas, are studied in **Dialectics**. All three
186 compartments (the *Æsthetics*, *Analytcs*, and *Dialectics*) are equally qualified in the CPR as
187 “transcendental”, given that the conditions studied in each of them transcend (encompass)
188 any possible natural (empirical) object and only apply to the *a priori* conditions of any
189 knowledge. This identification of three successive compartments in the process of knowledge
190 fits well with old-rooted views of scientific common wisdom. The mathematics-physics
191 (natural sciences)-general laws triad expresses the same flow, and biologists will recognize
192 analogies with our familiar cascade (nutrient and signal recognition/uptake-metabolism-
193 reproduction/evolution].

194

195 **The first compartment: *Æsthetics***

196 ***Æsthetics*** is the compartment of human sensibility. We prefer not to use the term “senses”
197 (*Sinnen*) here as in Kant’s original text, given that modern technology has significantly
198 extended the power of our natural “senses” but still provide only elements for our sensibility.
199 To sense something implies the existence of a deformable “membrane” differentiating the
200 outside and the inside but able to connect both sides. The inside should be a mind “receptive
201 for impressions” (CPR, A50/B74), a receptive subject (a “me”) able to be influenced by the
202 outside. The significant outside is composed by the type of external “things” that, reaching
203 our neighborhood, can influence our sensibility. Out of us, natural things remain
204 unknowledgeable in their intrinsic ontological nature, centripetally directed to themselves;
205 they are, in Kant’s words, just “things-in-themselves” or “intelligible existences” or
206 “noumena” (CPR, B306). These things can only influence our internal senses and therefore

207 become visible for our knowledge, when wrapped (the term is mine) *within* our sensibility
208 with space and time. We can imagine, as in Figure 2, that space and time are two *internal*
209 dimensions providing shape (cognoscibility) to the external things-in-themselves; in other
210 words, what we perceive from external things is just the deformation they produce in our
211 internal space-time frame, resulting in the “**intuition**” of them. A particular place of space-
212 time cannot be filled by identical objects, even if they share identical features. We can
213 imagine these impressions or intuitions of our sensibility as different forms of colors and
214 shapes and as particular intensities and dimensions and occurring in successive instants; at
215 this stage, however, they are nothing like complex “objects” or “things”. The Kantian
216 revolutionary view implies that space and time are not empirical properties associated with
217 the appearance of these external things (phenomena) but just “*a priori*”, intrinsic, structural
218 conditions of our sensibility. It is the intuitions from things (*qua* subjects of the senses) that
219 conform to the nature of our faculty of intuition, making it possible (as we will see later) for
220 us to think about them. The object is not the source of any form, rather, it is formalized by
221 intuition. Kant was well aware of such a revolution, compared it with the Copernican
222 revolution in the Preface to the Second Edition of CPR (B-XV): “We here propose to do just
223 what Copernicus did in attempting to explain the celestial movements”. Indeed it was rather
224 an opposite but equivalent movement. With Copernicus, the Earth was displaced from the
225 center of the system; with Kant, the “object” –as primary source of all its objective
226 knowledgeable attributes- was displaced from the center, being replaced by the aprioristic
227 frame of pure reason; everything we know about external things is being produced by
228 ourselves. Obviously, as things are formalized by means of our time and space (as “*a priori*”
229 structural, essential, pure conditions of our sensibility), we cannot conceive anything
230 represented outside of time or space.



232

233 **Figure 2. Aesthetics, the condition for sensibility.** Up in the figure, external “things-in-
 234 themselves” than are perceived in our sensibility by the deformation of an “*a priori*” space-
 235 time dimensional field in our mind. Below, two successive instants where these “things-in-
 236 themselves” are perceived as eventually composed by parts, with different intensities or
 237 dimensions, that is, we have an “intuition” of them.

238

239

240 **The second compartment: Analytics**

241 **Analytics** is the compartment of our cognition (understanding), which is based on the
 242 elaboration of concepts (in German, *Begriffe*) using the material about external things
 243 provided by intuitions. “Intuitions without concepts are blind” (CPR A50/B74), which could
 244 be better expressed as, “we are blind for intuitions without concepts”. Given that intuitions

245 are based on experience (interaction with external things), concepts derived from this
246 experience are called **empirical concepts** (A220/B267). Paraphrasing Hartnack (9), an
247 intuition might be just a particular bacterial form with a particular color that is detected under
248 the microscope when examining the liquor of a patient with meningitis but that is only
249 recognized as *Streptococcus* if the observer has an empirical concept of *Streptococcus*.
250 However, the building-up of empirical concepts requires the contribution of other type of
251 concepts, not derived from experience, that is, “*a-priori*” (**pure**) **concepts**, present in the
252 architectural framework of our understanding. These pure concepts serve to establish
253 relationships between intuitions and previously acquired empirical concepts, giving rise to
254 judgements: this stained form corresponds to a *Streptococcus* (an affirmative judgement).
255 The judgement is the result of applying a pure concept, the concept of “reality” or “identity”
256 (obviously needed to formulate the question: has “A” the same reality, the same identity as
257 “B”?), which links intuition with a previously known empirical concept. Note that intuitions
258 are made by “*a priori*” conditions of our sensibility (space-time), and “*a-priori*” concepts
259 (such as “reality” and “identity”) convert them, by means of a judgement, into “empirical
260 concepts”.

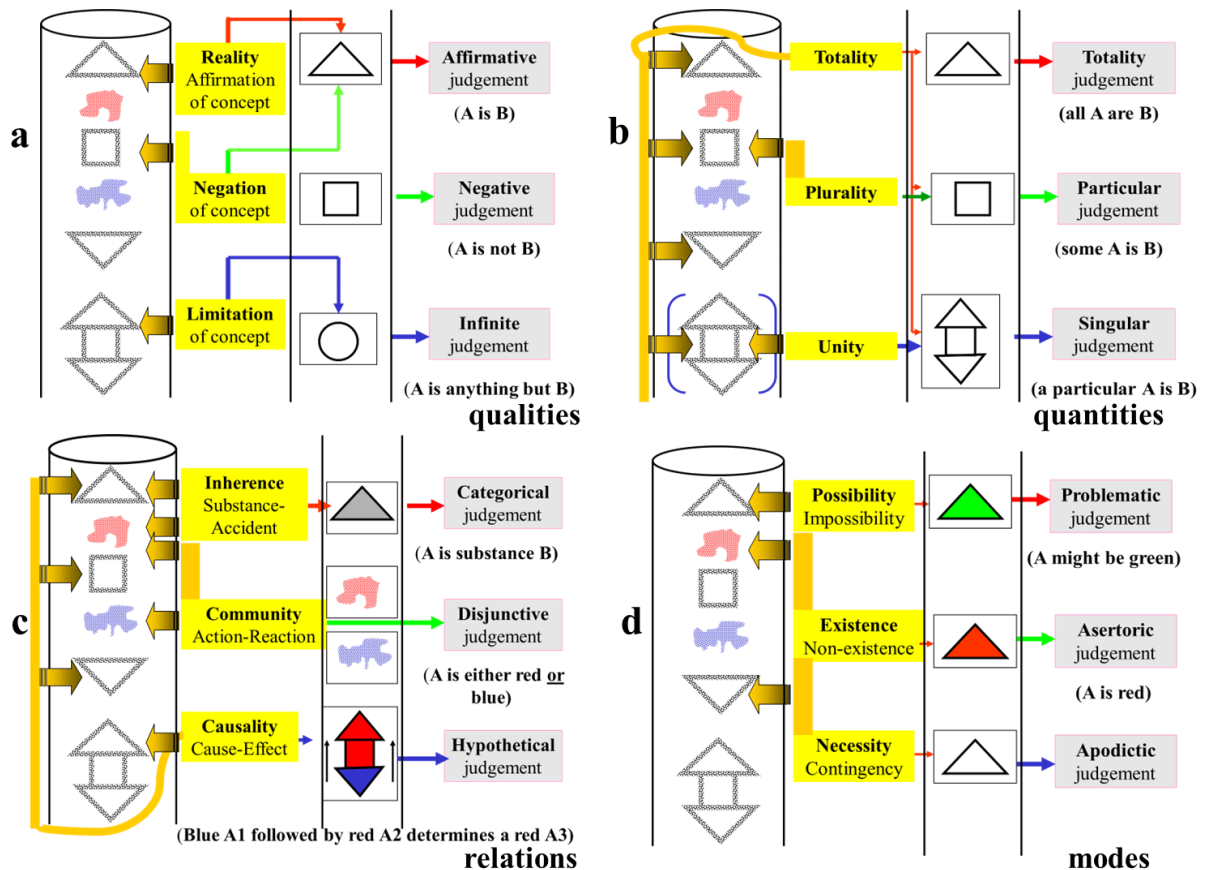
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262 In the CPR, the pure concepts of understanding are denominated “**categories**”, a possibly
263 puzzling term for modern scientists, especially for evolutionary biologists who will interpret
264 “categories” as ranks or levels in a hierarchic classification; as a class, the member of which
265 are all the taxa to which a given rank is assigned (1). This is an evolved definition of the old
266 classic Aristotelian term “category”, which is essentially the one employed by Kant. In this
267 classic definition, categories are the rules that should be applied to make clearer the “type of
268 thing” we are sensing with intuition, for instance, if it is or not like other things we know or

269 if it is single or multiple, occasional or constant. In other words, the categories are, in the
270 Aristotelian sense, predicaments, serving to link a predicate to the objects provided by the
271 intuition, in a sense, to trigger a first judgement about how these objects appear. The notion
272 of link is critical here. Categories provide “linking power”, in Kant’s words, providing
273 “connections” in a process of “**pure synthesis**” (that is, between *a priori*, pure elements),
274 i.e., “joining different representations to each other, and comprehending its multiplicity in
275 one act of knowledge” (CPR A77-A80). Thanks to categories, the intuitions are submitted to
276 knowledge: for the first time, they can be thought. To a certain extent, this view parallels
277 what is familiar to us in biochemistry, that categories are functional activities, as “enzymes”,
278 ensuring the binding of different molecules. Applying categories to intuitions results in
279 “**judgements**”.

280

281 Kant differentiated 12 “categories” within the Analytics compartment (to a certain extent,
282 just a “round magic number”) that submit the intuitions to qualitative, quantitative, relational,
283 and modal analysis, as they successively appear in the time-space frame of the *Æsthetics*
284 compartment.



285

286

287 **Figure 3. The 12 categories.** The “things” or “objects” sequentially captured by our
 288 sensibility (vertical tube) are analyzed in their qualities, quantities, relations, and modes by
 289 the 12 categories of pure understanding (in yellow) matching them with empirical concepts,
 290 giving rise to judgements (in grey). Dark yellow arrows correspond to the “schemas”
 291 providing an abstract “image” of what was perceived by sensibility (see figure 4).

292

293 Figure 3a illustrates the following categories analyzing the **qualities** of intuitions: categories
 294 of *Reality* (examining whether we can link an *affirmative* predicate to the intuition; in plain
 295 words, if we could think of something affirmative about it), *negative* (if we could think of
 296 something denying a particular attribution), and *limitative* (if we could eliminate an
 297 attribution among many others). The resulting judgements are respectively *affirmative*

298 judgements (*A is B*), *negative* judgements (*A is not B*), and *infinite* judgements (*A is*
299 *everything but B*). Figure 3b illustrates the categories analyzing the **quantities** of intuitions.
300 These are the categories of *totality* (could be something postulated –predicated– for all
301 intuitions of this type), *plurality* (could be something predicated for a number –more than
302 one– of these intuitions), and *unity* (could be something predicated just to a single member
303 of the perceived intuitions). The resulting judgements are respectively *totality* judgements
304 (*all A are B*), *plurality* judgements (*some of A are B*), and *unity* judgements (*a particular A*
305 *is B*). Figure 3c illustrates the function and effect of categories analyzing the **relationships**
306 that can be predicated to the intuitions. These are the categories of *inherence-subsistence* (the
307 intuition corresponds to either a *substance* or an *accident*; substances and accidents are
308 respectively permanent or changing traits and could be conceived as a kind of relationship
309 within the intuition), *community* (a predicate could be applied such that another one is
310 specifically excluded, and *vice versa*, so that if one acts, the other reacts in a type of
311 antagonistic reactive community), and *causality-dependence* (could be predicated if the
312 intuition is a cause or a consequence of something). The resulting judgements are
313 respectively *categorical* judgements (*A is of the substance –or accident– of B*), *disjunctive*
314 (*A is either A or B*), and *hypothetical* judgements (can we attribute a cause-effect $A \rightarrow B$
315 determination to a given temporal succession of intuitions A and B?). Lastly, Figure 3d
316 illustrates the functions of the categories analyzing the **modes** that can be predicated to the
317 intuitions. These are the categories of *possibility* (could we attribute a predicate with a
318 *probability of being fit –existent– to the intuited?*), *existence* (one-step further, could we
319 affirm that it exists?), and *necessity* (could we predicate something that not only applies but
320 should apply necessarily?). The resulting judgements are respectively *problematic* (the

321 affirmation or negation is accepted as merely possible), *assertoric* (we regard the proposition
322 as real or true), and *apodictic* (we look on it as necessary).

323 We have introduced in Figure 3, in a parallel manner to the flow of intuition, another flow of
324 “predicates” that are linked to intuitions by categories and provide material to judgements.
325 These predicates should be “**empirical concepts**” that have been collected necessarily as a
326 result of previous experiences. For a modern biologist, there is the temptation to assume that
327 the result of the knowledge process should be the creation of novel empirical concepts, which
328 will enter into the flow to bind new intuitions through the categories and thereby endlessly
329 provide better possibilities for understanding. As stated by Justus Hartnack, “The empiric
330 concept can be considered as a rule to know, to recognize, or imagine, the type of things or
331 objects that the concept represent” (9). That view is poorly expressed both in the CPR and in
332 most comments about the CPR, probably because the main focus for Kant was “pure” reason.
333 Hartnack states, “Obviously there are a countless number of empirical concepts to speak
334 about what is provided to us by intuition. Nevertheless, what matters here are not the
335 empirical concepts, but rather those concepts that are *a priori*”. In any case, “existence” is
336 not considered a predicate.

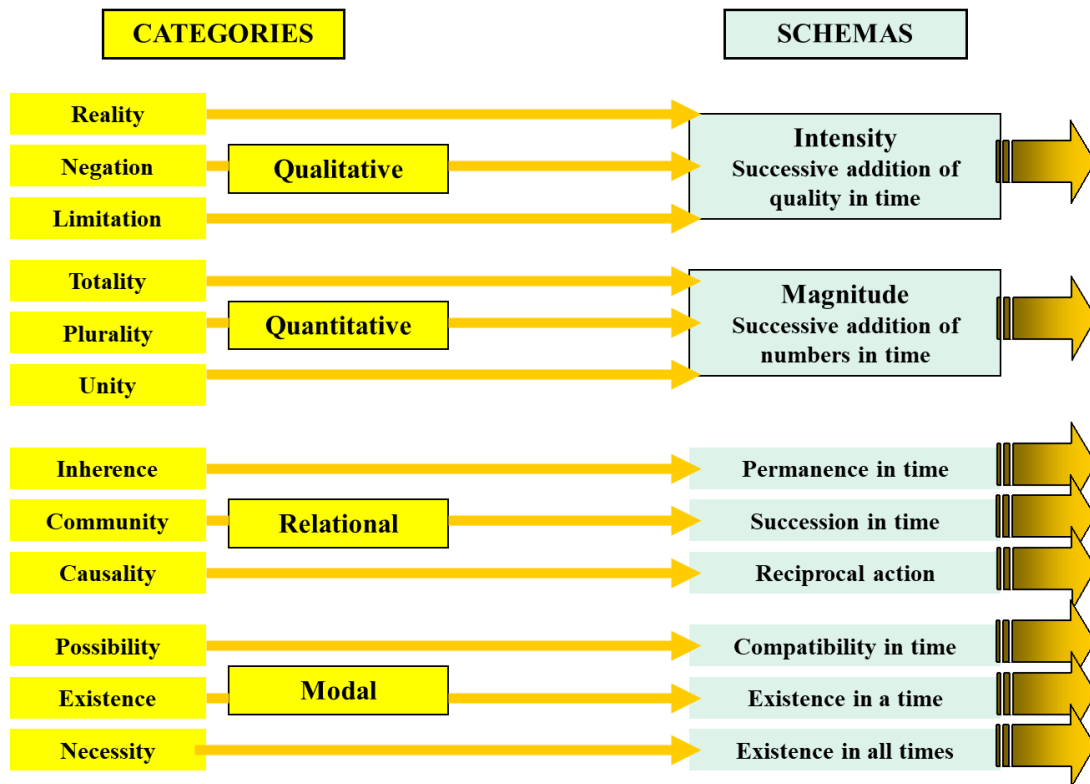
337 As we have seen before, there are 12 categories and correspondingly 12 judgements. Kant
338 stated that this series of operations covered all possibilities by which an object arriving from
339 the field of experience (empirical) could be understood by pure reason. We can now see
340 clearly why we emphasize include the term “scientific” in the title of this review. Indeed,
341 Kant’s Theory of Knowledge applies more to the scientific method of thinking (judgements
342 as to whether A is precisely B or not) than to ordinary thinking (what is A?).

343

344

345 **The link between the Aesthetics and Analytics Compartments: the Schemas**

346 How can an intuition, a pure imprint in our sensibility, wrapped in just space and time, be
347 “considered” by the categories, which are “*a priori*” pure concepts, but ultimately concepts,
348 thus necessarily outside of space and time? This was a critical problem that Kant solved by
349 introducing the notion of “schemas”. Categories, when entering into timeframe-wrapped
350 intuitions, conform themselves into “schemas” (“schematism of pure concepts of
351 understanding”). The schemas are an abstract image of the intuitions, exclusively based on
352 their “time component”. We could say that the time component of intuitions determines
353 something like an imprint in the pure tissue of categories. In an extremely abstract Kantian
354 view, time (our “internal time”, but only time) is sufficient to describe (to imagine, to
355 illustrate) any empirical object. It is for this reason that “time” was considered an absolute
356 “*a priori*” condition of knowledge. As illustrated in Figure 4, different types of categories
357 adopt the form of different types of schemas.



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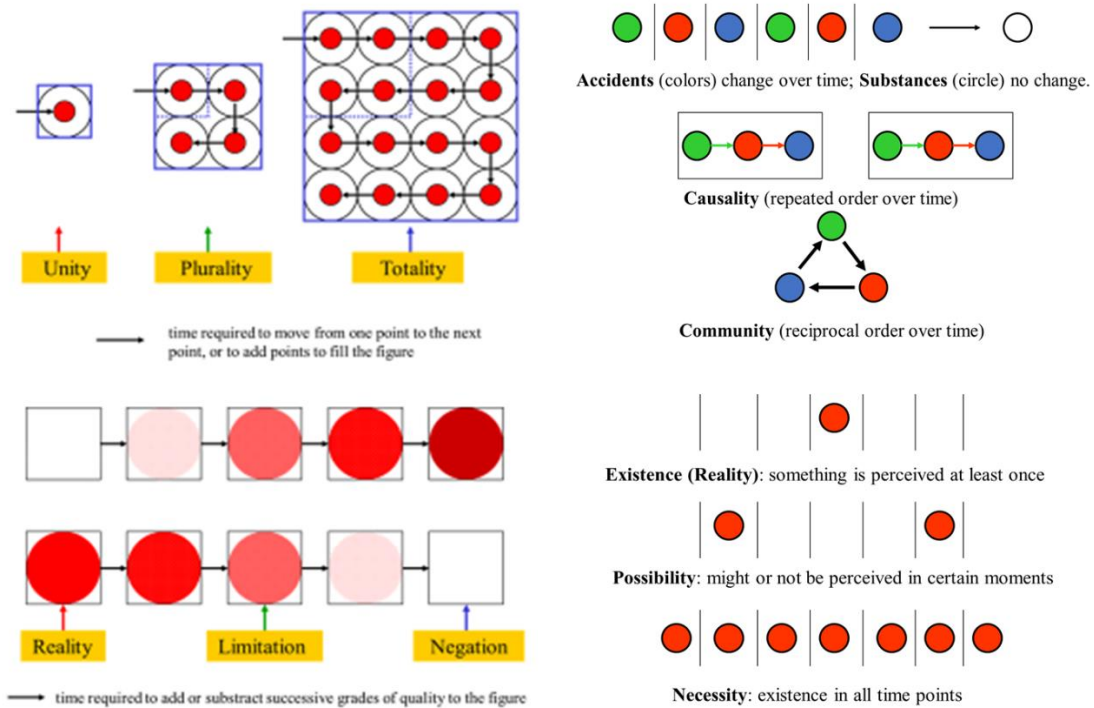
360 **Figure 4: The schemas.** The schemas provide an abstract image of the nature of intuitions
 361 detected in our sensibility. The schemas (in blue) serve to link (dark yellow arrows) the
 362 “categories of understanding” (in yellow) with the intuitions of our sensibility, exclusively
 363 based on their “time component” (see Figure 5). Each of the relational categories has its
 364 own schema.

365

366 For instance, the *Intensity* schema corresponds to the expression of *qualitative* categories
 367 when operating in the analysis of intuited objects. We can imagine that the “intensity” of the
 368 intuited object is evaluated by something like a “scanning” process, measuring the *time*
 369 required to fit with the “intensity of the quality in the object” when the category successively
 370 compares the intuited object with a series of empirical concepts ordered in a succession of
 371 different intensities (Fig. 5a). “No intensity” could correspond to the Negation category;
 372 “full-intensity” to the Reality category (affirmation); and “intermediate intensity” to the

373 Limitation category. Similarly, the *Magnitude* schema corresponds to the expression of
 374 *quantitative* categories when analyzing a given type of intuition. The “magnitude” of the
 375 intuited objects could also derive from a time analysis, using a time series of empirical
 376 concepts, for instance in the form of dimensional points. In a sense, the “magnitude” can be
 377 measured by the time required to consider the object through the virtual addition (or
 378 subtraction) of time points. If a single “period of time” is used to add a single point, it
 379 corresponds to the Unity category; if several periods are used, they correspond to the Plurality
 380 category, and when no more periods of time could be added to cover the object, we refer to
 381 the Totality category (Fig. 5b).

382



383

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385

386 **Figure 5. Extensive and intensive magnitudes, substances and necessities, revealed by**
387 **time.** The schemas are able to analyze the objects perceived (intuited) by the sensibility by
388 using a “time dynamics” procedure. Left at the top, the time in filling a virtual space
389 provides information about quantity (magnitude); at the bottom, along time, different
390 qualities (intensities) are tested (color, in the figure) until reaching the one fitting with the
391 empirical concept (reality) also informing about increase, limitation or absence of this
392 quality. Right at the top, differentiation of accidental detection (colors changing over time)
393 from detection of substances (the circle never change); at the bottom, detection of existence
394 in time (occurs at least once), possibility (might or not occur at a given time), and necessity
395 (necessarily occurs at all times).

396

397 Each of the relational categories has its own schema. The Inherence category has the
398 *Permanence in time* schema: substances are permanent over time, accidents change over
399 time. The *Repeated order in time* schema corresponds to the Causality category: if a
400 particular sequence is constantly followed in actual experience, the first component of the
401 series probably determines the second (cause-effect). The *Reciprocal action* schema
402 corresponds to the Community category if, in a given time point, only a single type of intuited
403 **object (and never the opposite)** occurs, and vice versa.

404 Similarly, a particular schema corresponds to each modal category. the *Existence at least in*
405 *a time point* schema corresponds to the Reality category: the intuition has been experienced
406 at least in one time (and the contrary intuition was then excluded at the same time). The
407 *Existence in some time points and not in others* schema corresponds to the Possibility
408 category. Lastly, the *Existence in all times* schema corresponds to the Necessity category.

409

410 **Quality-control of the Analytic process: the Principles of Cognition**

411 The principles of cognition are discussed in CPR in the obscure chapter entitled “System of
412 all principles of the pure understanding” (A147/B187). In this chapter, Kant envisages the
413 possibility of establishing the general “*a priori*” conditions under which the faculty of
414 judgement is *justified* in using the pure concepts of understanding (the categories) to produce
415 judgements. In the global architectural frame of the Theory of Knowledge, we might locate
416 the principles of cognition as a checkpoint, a quality control of the entire process of the
417 Analytic compartment. Kant’s designations for these principles of cognition are intimidating
418 and confusing and do not clearly explain what those principles really mean. There are four
419 principles corresponding to the four groups of categories. The *Axioms of Intuition* correspond
420 to the “qualitative” categories, which state that any intuition as object of understanding
421 should have an extensive magnitude, should be wrapped in space and time. The *Anticipations*
422 *of Perception* correspond to the “quantitative” categories, indicating that all intuitions should
423 have a degree, that is, a given intensity (if the intensity is zero, the intuitions do not exist).
424 The *Analogies of experience* correspond to the “relational” categories, meaning that what is
425 intuited should be inserted in a simultaneous relational frame; that is, the experience is
426 possible only if a link can be established between perceptions, as anything perceived by
427 experience is necessarily related. Lastly, the *Postulates of empirical thought in general*
428 correspond to the “modal” categories and state that the intuition should conform to the
429 conditions of the experience (the previous principles), should be real (perceived by
430 experience), and eventually, necessary (existing accordingly to a law).

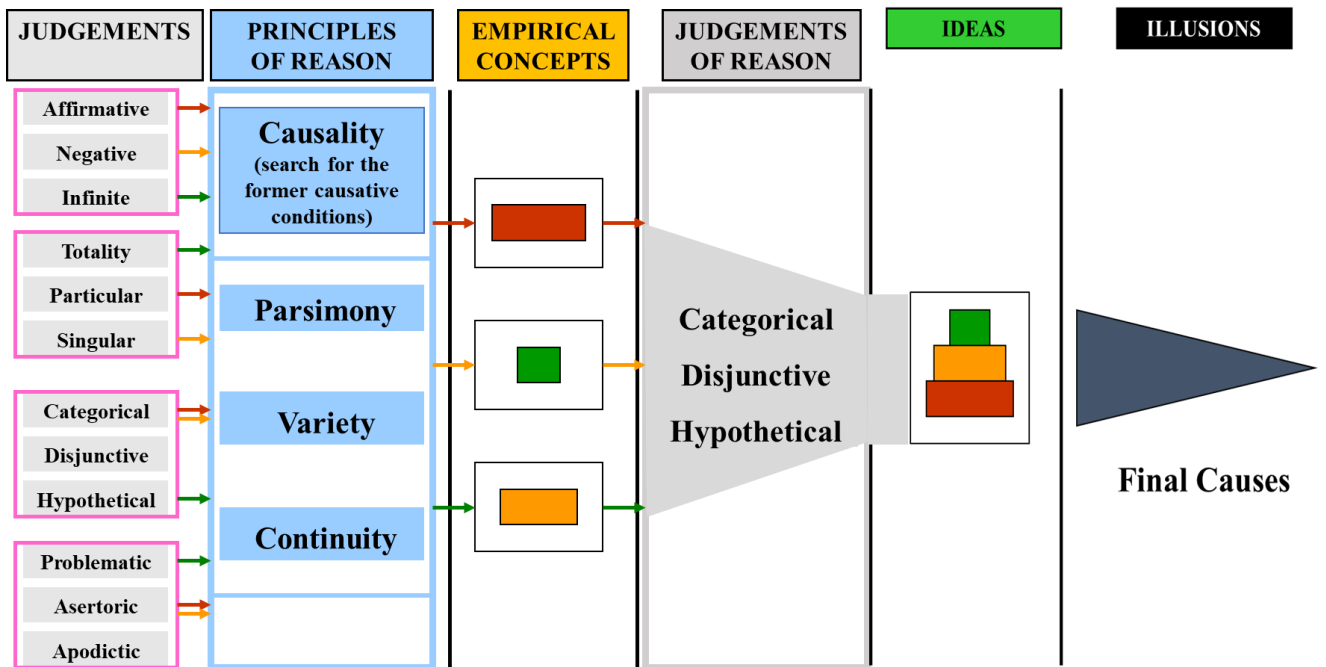
431

432 **The Third Compartment: Dialectics**

433

434 Dialectics is the compartment of reason, that is, the faculty of linking judgements in a
435 synthetic process following a mysterious “*a priori*”, transcendental attraction to reduce and
436 condense in a small number of principles the multiplicity of knowledge generated during the
437 process of understanding (Fig. 6).

438



439

440 **Figure 6. The process of understanding (knowledge).** Once the objects of intuition
441 captured by our sensibility have given rise to analytic judgements (grey), the process of
442 reasoning occurs by a progressive condensation of knowledge using the principles of reason
443 (blue), able to identify the empirical concepts, that are combined by judgements of reason
444 (dark grey) to give rise to synthetic knowledge (ideas, in green). The progressive
445 condensation of the elements of knowledge seems to be “attracted” by final (or pseudo-final)
446 causes (illusions), that are necessarily out of the knowledge process. The Kant’s process of
447 knowing, with successive refining and assembling steps recalls an evolutionary process
448 searching for a final optimum of complex information.

449

450

451 This attraction for rational synthesis is based on the **causal Principles of Reason**, which state
452 that everything has a cause, that its existence is determined by some other thing. In the limit,
453 the reason seeks for the ultimate, unconditioned cause (B364); its dialectic, its movement,
454 aims to investigate the absolute, unconditioned knowledge. The Principles constitute a highly
455 abstract concept. We can imagine the Principles as a type of virtual screen or imaginary focus
456 beyond an spherical mirror, nothing in itself but able to connect to a kind of representation
457 provided by the judgement process. The condensing function of these unconditioned
458 **Principles of Reason** originate new concepts, independent from those emerging from
459 aesthetics and analytics (A299/B355). These new concepts are now “thinkable” (objects for
460 reasoning) and correspond to “transcendental ideas” (A311/B368) or “ideas of reason”
461 (A669/B697). Three Principles or maxims are applied to ensure the correct synthetic activity.
462 The principle of **parsimony** states Occam’s razor “*non sunt multiplicanda entia sine*
463 *necessitate*” (entities are not be multiplied without necessity). The principle of **variety** states
464 that the diversity of beings should be preserved. The principle of **continuity** states that the
465 logical cause-effect continuum between beings cannot be violated, remembering the basic
466 Leibnizian concept that “*natura non facit saltus*” (nature does not make jumps).

467

468 Everything in Dialectics is based on the progressive linkages on the pure concepts of reason,
469 leading to **Judgements of Reason**. Judgements acting on/producing relationships between
470 judgements are in fact a recapitulation of the function of “relational” categories. As
471 previously stated, these are **categorical** judgements (A is the *substance* of B, there is no
472 difference), **hypothetical** judgements (answering the question “Can we attribute to a given
473 temporal succession of intuitions A and B a cause-effect of the type ‘A produces B?’”) and
474 **disjunctive** judgements A is either A or B (could be called a *divergency* judgement).

475

476 Forced by this knowledge dynamic seeking for the final cause (the one that explains
477 everything), reason is compelled to follow what biologists could define as a “phylogenetic”
478 process of synthetic understanding, always requesting these primary causes. At each step of
479 knowledge, judgements are used as premises in a syllogism, and conclusions are obtained by
480 reason. These conclusions are then incorporated as premises in new syllogisms, determining
481 a *ratiocinatio polysyllogistica* (A331) of indeterminate length (B387). “General knowledge
482 may serve as the major (premise) in an inference” (A300/B356) and is therefore converted
483 into a new principle, that is, knowledge that is used to build new knowledge. This new
484 knowledge is, in a sense, “internal novelties”, “internal objects” that are presented to reason,
485 with a closer or remoter causal root in empiric knowledge but born in reason itself and
486 therefore non-empirical. Interestingly, Kant finds a resemblance of these “internal novelties”
487 generated by inference in the process of understanding and the intuitions, perceived “external
488 novelties” that were presented to our sensibility. At the same time, as they serve to link
489 premises forming new knowledge, they also might resemble categories. If categories are
490 “pure concepts of understanding”, these “pure concepts of reason” are **Transcendental Ideas**
491 **or Ideas of Pure Reason** (A311-A312; A669-B697). These Transcendental Ideas require the
492 unity of the thinking subject, the unity of thinking conditions, and the absolute unity
493 provoked by the attraction of the final and highest concept of the “being of all beings” (A335-
494 B392). The name “idea” is recovered from Plato, and Kant uses it to reinterpret the meaning
495 of platonic ideas. In Kant’s view, the platonic ideas were archetypes of the things themselves;
496 whereas in Kantian doctrine, because of his “Copernican revolution”, the ideas, as a late
497 consequence of an imprint of the reality in our sensibility, are just deviated from any direct
498 link with the “external objects”. The function of transcendental ideas is “*regulative*”, that is,

499 they serve to link judgements in an approachable manner without disturbing the higher
500 possible synthesis of all particular knowledge offered in our process of understanding.

501

502 However, it is impossible to indefinitely pursue the series of causes pushing synthetic
503 knowledge. Therefore, at which point will the full condensation of knowledge take place?

504 The apparent last steps of the causal chain should necessarily be synthesized with the
505 previous causes in a possible next step of understanding. These **provisional end-points** (as
506 if they were the “final cause”) in the process of reasoning are also “principles of reason”, but
507 clearly the whole synthetic process should be attracted by something that is beyond any
508 cause, that is, unconditioned, the final cause.

509

510 Of particular interest for scientists is that emergence from hypothetical judgements (cause-
511 effect linkages) of **transcendental ideas, nature** (the world), **the unconditioned limit of all**
512 **series of causal events**, and the “*absolute series*” expressing the unity of the series of
513 conditions leading to empirical evidence. Nature constitutes a transcendental idea attracting
514 knowledge of everything that is caused, but “the nature of nature” remains undetermined, or
515 better stated, cannot be determined, given that nature does not correspond to anything, even
516 if we use this term “as if it corresponded to something”, a **transcendental illusion**. Note that
517 Kant’s main message in the Critique is that human reason should only deal with
518 “experiences” and that any inclination of reason beyond the limits provided by empirical
519 objects constitute a source of **illusory for knowledge**, which can only be operatively used as
520 a virtual (operative) attractor. We can recognize in ourselves a kind of illusory shadow of
521 these last causes (as “nature”), our irrepressible curiosity of knowing, a curiosity, as an avatar
522 of the “last cause” provoking knowledge, that can be modulated by education.

523 The main focus of the present perspective is aimed at disseminating among biologists, and
524 particularly among microbiologists (“the basic biologists”), Kant’s Theory of Knowledge as
525 presented in the *Critique of Pure Reason*. A concise view of the meaning of this Kantian
526 approach for microbiologists is presented in Box 1. However, Kant developed his main
527 concepts about biological phenomena in the two Introductions and the second half of the
528 *Critique of the Power of Judgment*, in which he discusses the peculiar and complex
529 organization of living nature, “not analogous with any causality that we know,” given that
530 there is a mysterious “attraction” where the final cause influences, in his point of view, the
531 connections among efficient causes. To analyze this part of Kantian philosophy is out of the
532 scope of this publication, and we would like only to remark on, in the next paragraph, the
533 conceptual link of the Theory of Knowledge with Evolutionary Theory.

534

535 **The Kant’s Theory of Scientific Knowledge and the Evolutionary Theory**

536 Did Kant’s Theory of Knowledge influence the scientific climate that gave birth to the
537 Theory of Evolution? As commented by Ernst Mayr, “Considering the seemingly
538 universality of evolutionary thinking in Germany during the first half of the nineteenth
539 century, it is quite puzzling that this background did not lead to the elaboration of a
540 substantial theory of evolution by even a single German biologist” (10). Why was there no
541 German Darwin? The local powerful Linnean influence of essentialism (there is no way by
542 which an essence, a single substance or species cannot be converted into another) was
543 shadowing the clearly evolutionary dynamics of the consecution of knowledge in Kant’s
544 Theory of Scientific Knowledge, where judgements act as selective events orientating the
545 progress of elementary pieces of knowledge towards the (always partial) truth. A number of
546 unselected empirical entities of nature enter into the compartment of sensibility and, once

547 converted into elementary intuitions, are submitted to the combinatory effect of the analytic
548 compartment and subjected to a progressive system of judgement barriers that is allowed to
549 persist only if a number of principles are fulfilled. The surviving elements are those whose
550 properties ensure the elements possible integration with other elements in synthetic
551 judgements progressing to the truth. The truth is the highest fitness in the landscape of
552 possible knowledge, not implying accordingly to Kant any “evidence” (implicit in Descartes’
553 though) of a complete correspondence between understanding (theory) and reality (3).
554 Certainly Kant might have been a forerunner of Darwin if the gradualist biological causal-
555 effect bases had been available in Kant’s time. Indeed, Kant’s “A General History of Nature
556 and a Theory of the Heavens” (1755) includes gradualistic views: “The future succession of
557 time, by which eternity is unexhausted, will entirely animate the whole range of space.... and
558 will *gradually* put it into a regular order with is conformable with His plan... the creation is
559 never finished or complete. It did once have a beginning, but will never cease”. The concept
560 of a creative function of time (“the future succession of time...will entirely animate...”)
561 pushing evolution is an interesting, albeit untestable hypothesis (11). For Kant, all thinking
562 processes are also biological processes, and there is a (non-explicit) correspondence between
563 knowing and evolving (12) which does not imply any teleological trend, except if teleology
564 is understood in heuristically (a tool facilitating an approximation to a possible reality). As
565 in Kant’s process of knowing, evolution can be considered as a anti-entropic process leading
566 a progressive condensation of information, increasing fitness as information is energy (13).
567 In fact, this approach is currently considered in the contemporary philosophy of biology and
568 theoretical biology (14). If Kant’s Theory of Knowledge resembles the natural evolutionary
569 process, it implies that our mind, our “knowing machine” acts similarly (and might be

570 influenced) by evolution. This important concept is probably the cornerstone of modern
571 evolutionary epistemology and is closely related to science education.

572

573 **Education in Microbiological Sciences and Evolutionary Epistemology**

574 Working in the lab or with computer bioinformatic programs, undergoing training in novel
575 technologies, and reading publications in one's field of interest are certainly necessary
576 activities for scientists but are not sufficient. The essential element is thinking, being involved
577 in free, personal thinking. Science education should therefore include education far beyond
578 technology. Increasing the faculty of understanding is a key educational target, although it
579 does not, in and of itself, help derive explanations for phenomena as does not in itself help
580 to acquire explanations of the phenomena, but can project such understanding in a practically
581 usable form (15). Education for thinking in science can (but not necessarily) be oriented
582 towards developing particular "objectives of knowledge", as has been proposed (16, 17).

583

584 Evolutionary epistemology is a term coined by Campbell (18), using the analogy of knowing
585 and evolving, and has deeply influenced education in Science (19, 20) Knowledge deploys
586 experimental facts, models, metaphors, and theories that (as living beings) are subjected to
587 the continuous judgement (critique, selection) of science, and only the fitter conceptual
588 changes tend to survive and diversify, serving as new growing points enriching the
589 connections between varied fields of knowledge, "patches of knowledge". Indeed,
590 ecosystem-based thinking in science mimics an evolutionary process, so that genetic or
591 organismal coalitions and interactions give rise to emergent evolutionary properties, i.e.,
592 "unexpected novel knowledge" (21).

593

594 The “knowledge machinery” proposed by Kant in his CPR should also be the result of
595 evolution, and the innate capacities of our understanding, given that the “*a priori*” Kantian
596 concepts were probably born in non-human organisms (15, 22). This is an “Evolutionary
597 Biology of Reason” where Kant’s knowledge construction laws emerge as an intrinsic aspect
598 of evolutionary biology (23) a field certainly close to evolutionary epistemology. Compared
599 with the rate of scientific progress (knowledge), the progress of evolutionary biology of the
600 knowledge machinery provided by reason is probably negligible (22), which is likely due to
601 the progress in education, availability of information, and, in general, in human cultural
602 networking. An open question for philosophical and scientific research is the future role of
603 computer sciences, including artificial intelligence and machine learning, as an
604 epistemological complement to advance the possibilities for developing human knowledge
605 and understanding. Science, is the knowledge of most worth (24). and should be understood
606 as such by students, even undergraduates (25).

607 Our intention in the precedent paragraphs was more to capture in a number of images (the
608 figures) the spirit of Kant’s Theory of Scientific Knowledge rather than describe in detail the
609 complexity of Kantian thoughts. The extent to which the author might succeed in such a goal
610 must be measured by the degree of stimulation of at least some students of natural sciences
611 in reconstructing bridges between philosophy and experimental biological sciences. Indeed,
612 that also imply a reflection on the conceptual roots of Microbiology as a Science.

613

614 **The Roots of Microbiology as Science and Immanuel Kant: from taxonomy to synthetic** 615 **biology**

616 Beyond the influence of evolutionary thinking, there are “classic” and “modern” fundamental
617 aspects of microbiology where Kant’s shadow can be recognized.

618 Among the “classics”, an important task of microbiology is the recognition of
619 microbiological entities. Bacterial systematics is involved in the establishment of the
620 difficult-to-grasp objects of taxonomy, particularly the species taxon. Around 1850, this
621 problem had not yet been addressed, and Ferdinand Cohn (1828-1898), a mentor of Robert
622 Koch and corresponding with Charles Darwin, considered that in the field of bacteriological
623 systematics “One has to start at point zero” (26). In the revision of the International Code of
624 Nomenclature of Bacteria in 1975, considerations were made regarding whether a
625 classification of bacteria does justice to the laws of homogeneity, specification, and
626 continuity as laid down by Kant in his transcendental dialectic in the *Critique of Pure Reason*
627 (CPR). Phylogeny as a way of classifying (judging) entities accordingly with pure reason
628 was certainly considered by Kant (27). The variety of infra-subspecific subdivisions was
629 taken into consideration, but the species maintained a preferential position. Kant proposed
630 that biological entities tend to preserve their internal unintentional purposive organization at
631 the level of species, but they can be modified by external changes (26). The species taxon
632 was also maintained because of the needs of communication in applied bacteriology, also in
633 agreement with Kant’s postulates (28). Note that Kant’s theory of knowledge has a final
634 moral purpose, which is to avoid mistakes in order to ensure “the use and benefit of man’s
635 life,” which suggests an applied, practical, and humanitarian objective.

636 Among the “modern” aspects of microbiology where we can find Kant’s roots is in the
637 synthetic biology of microorganisms. How mechanical phenomena can result in biological
638 phenomena remains a fundamental Kantian question regarding the origin and evolution of
639 life (29). Certainly, living beings have a “mechanical background” but it is extremely
640 complex, subject to variation, and therefore impossible to describe, at least at the level of
641 what can be known in physics (30). The essence of a living entity cannot be understood by

642 merely studying its parts (31). Andrés Moya appropriately quoted the famous Kantian
643 expression: “There will never be a Newton of a grass blade,” given that in living entities,
644 every part is a function of the whole and the whole is a function of every part, and in which
645 “nothing is for nothing” (32). Therefore, the Kantian prediction is that knowledge based only
646 on the component parts will be worthless to understand life (32). In microbiology, the only
647 way out is synthetic biology, which is an “epistemological methodology” based on the
648 combination of parts of biological systems to gain partial but cumulative judgement-based
649 knowledge about cellular organization and the collective behavior of the microbiosphere,
650 without intending to reach a final, transcendental explanation. Note how close this approach
651 is to the “technology (legislation) for knowing” proposed in Kant’s CPR.

652

653 Finally, Kant’s philosophical heritage contributed to the recognition of microbiology (with
654 biological and evolutionary natural sciences) as specific sciences. Recapitulating the Ernst
655 Mayr idea that biology as a science, that is, developed from empiric (scientific) knowledge,
656 is based on concepts rather than laws. Knowledge of biological entities should also be based
657 more on empirical concepts, not on laws established by philosophers, even if Kant proposed
658 that “the philosopher is the legislator of human reason” (A839/B867). These laws are of
659 *reason*, not necessarily of nature. Biological sciences, such as microbiology, differ from other
660 sciences because of nature’s apparently logical but unintentional purposiveness, which
661 produces in the philosopher a feeling of admiration (33) given that this characteristic does
662 not comply with the closed legislation of Kantian pure reason. However, our knowledge of
663 biology should necessarily follow reason, but understanding that biological entities always
664 point beyond themselves, a “cause of admiration”. This is the transcendental admiration and

665 even reverence that a wise man such as as Josep Casadesús experienced when benching,
666 teaching, and thinking about microbes.

667

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670 microbiologist, who discussed with me some of the educational issues treated in this work.

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672 microbiologist who introduced me to the subtleties of the Critique of Pure Reason when I
673 was just a teenager and discussed its content with me over decades.

674

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682 No conflicts of interest or competing interests

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685 **Authors' contributions**

686 FB wrote the manuscript and prepared all figures.

687

688

689

Box 1

690

Knowledge in Microbiology: a concise Kantian view

691

Aesthetics

692

- What are the objects detected by our sensitivity (Aesthetics). That depends on our analytic technology. Before optical microscopy (Leeuwenhoek, 1674) microbes were outside our sensitivity; before X-ray crystallography the DNA double helix remained in the dark; (Watson and Crick, 1953); before electronic microscopy ribosomes (George E. Palade, 1955) were outside our knowledge. These analytic discoveries make it possible to detect discrete “objects” of nature and assess them with our reason.

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Analytics

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- The “objects” provided by Aesthetics should be “conceptualized.” There are microorganisms, but how different they are they from each other? By using Kantian categories, hierarchical classification allows us to link what is similar and to separate that which is dissimilar, as well as to provide “connections” in a qualitative, quantitative, relational, and modal (possibility, actuality) way. Fusing analytics with aesthetics, we reach “schemas,” where we understand the size, construction, and structure (such as the genetic code, the genome sequence), permanence in time, or compatibility in terms of the various microbes or their reciprocal interactions. Such knowledge should be real (accessed by experience) or even necessary (required by the logic of the real world).

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712 **Dialectics**

- 713 • Aesthetics and Analytics have informed our reasoning about microbial organisms,
714 but dialectics forces us to think (ideas of reason) about the causal processes that might
715 explain their existence “as they are.” The Principles of Reason induce thinking about
716 how diversity has evolved and how compatible variation is (“variety states”) with
717 continuity and parsimony. Dialectics might re-propose new objects to the aesthetics:
718 “are there objects nested inside other objects?” which is implicit in the ideas of the
719 Units of Selection and the Evolutionary Transitions (Samir Okasha and John
720 Maynard-Smith, 1995). Is there, as we can imagine in evolutionary biology, a chain
721 of causes driven by a transcendental attraction in nature for a kind of entangled unity,
722 encompassing not only biological entities, but the whole world? (Lovelock and Lynn
723 Margulis, mid 1970’s)

724
725
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