

1 **Monitoring large and complex wildlife aggregations with drones**

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17

18 **Abstract**

- 19 • Recent advances in drone technology have rapidly led to their use for monitoring and
20 managing wildlife populations but a broad and generalised framework for their
21 application to complex wildlife aggregations is still lacking
- 22 • We present a generalised semi-automated approach where machine learning can map
23 targets of interest in drone imagery, supported by predictive modelling for estimating
24 wildlife aggregation populations. We demonstrated this application on four large
25 spatially complex breeding waterbird colonies on floodplains, ranging from ~20,000
26 to ~250,000 birds, providing estimates of bird nests
- 27 • Our mapping and modelling approach was applicable to all four colonies, without any
28 modification, effectively dealing with variation in nest size, shape, colour and density
29 and considerable background variation (vegetation, water, sand, soil etc.). Our semi-
30 automated approach was between 3 to 8 times faster than manually counting nests
31 from imagery at the same level of accuracy
- 32 • This approach is a significant improvement for monitoring large and complex
33 aggregations of wildlife, offering an innovative solution for monitoring large and
34 complex aggregations where ground counts are costly, difficult or not possible. Our
35 framework requires minimal technical ability, is open-source (e.g., Google Earth
36 Engine and R), and generalisable to other surveys

37 **1 Introduction**

38 Recent advances in technology offer the potential to improve field methods for rapidly and
39 effectively monitoring biodiversity (Pimm *et al.* 2015). Among these advances is the use of
40 aerial vehicles, or drones, that can carry remote sensing instruments to capture extremely
41 high spatial resolution imagery with temporal flexibility (Anderson & Gaston 2013). Drones
42 are relatively easy to use and their increasing ‘off the shelf’ application to wildlife research
43 has been innovative and exciting (Chabot & Bird 2012; Chabot & Bird 2015). There are
44 increasing novel applications for monitoring both populations and behaviours of different
45 fauna, including birds (Chabot & Francis 2016; Hodgson *et al.* 2018), elephants (Vermeulen
46 *et al.* 2013), crocodiles (Evans *et al.* 2016) and marine mammals (Seymour *et al.* 2017).

47

48 Given the ability of drones to collect high quality data near large aggregations of wildlife,
49 they offer an attractive opportunity for improved methods and increased cost effectiveness of
50 monitoring wildlife population. The relative advantages of aerial counting for wildlife
51 monitoring is long established, including reduced detection error, increased precision,
52 reduced observer effects and retrospective analysis of data and. For example, aerial counting
53 was more accurate and precise than ground counting using aerial images of penguin colonies
54 (Fraser *et al.* 1999) and geese (Boyd 2000). Similar advantages of image-based counts over
55 ground-based counts have been demonstrated for drone acquired imagery too (Hodgson *et al.*
56 2018).

57

58 At large spatial scales (km) and for large aggregations (e.g. >5,000-10,000 individuals), aerial
59 surveys provide cost effective information on counts of individuals, breeding-pairs and nests
60 (Caughley 1977; Kingsford & Porter 2009), although sometimes suffering high variability
61 and imprecision (Kingsford 1999). High altitude imagery from aeroplanes allows large areas,

62 if not whole aggregations, to be captured in single images (e.g. in Boyd (2000) ~30 photos
63 captured flocks of ~10,000 geese). Owing to the fact that similar areas require many
64 thousands of drone images and to the extra complexity from increased spatial resolution,
65 drone use for monitoring wildlife aggregations continues to be limited to monitoring
66 relatively small aggregations (i.e. < 5-10,000 individuals), though there are some notable
67 exceptions (Chabot & Bird 2012; Chabot, Craik & Bird 2015; Afán, Máñez & Díaz-Delgado
68 2018).

69

70 Manually counting targets of interest (e.g. individual animals, breeding-pairs, nests) from
71 aerial images, regardless of capture platform, is laborious. This has driven the development of
72 automated or semi-automated counting approaches (Chabot & Francis 2016; Hollings *et al.*
73 2018), aided by the widespread availability of increased computing power, growing computer
74 literacy and new methods. Current approaches typically involve spectral thresholding
75 (Chabot & Bird 2012; Seymour *et al.* 2017), point process algorithms (Descamps *et al.* 2011)
76 or combinations of spectral properties and predictive modelling (Hodgson *et al.* 2018). These
77 methods rely on high contrast (i.e. dark animals on light backgrounds or light animals on dark
78 backgrounds) and consistency in the shape and colour of the targets (Hollings *et al.* 2018).
79 They are generally only applicable when the spectral and structural characteristics of the
80 animals (in the images) are unique compared to the rest of the image (Chabot & Francis
81 2016). More recently, remote sensing-based methods have been used to overcome challenges
82 with low contrast and high variation among target objects (Groom *et al.* 2011; Drever *et al.*
83 2015; Liu, Chen & Wen 2015; Afán, Máñez & Díaz-Delgado 2018; Chabot, Dillon & Francis
84 2018).

85

86 Despite the interest in automated methods for counting aggregations of birds, their use by
87 ecologists and managers for monitoring complex wildlife aggregations remains limited
88 (Chabot & Francis 2016), with manual approaches still dominating (Buckland *et al.* 2012;
89 Drever *et al.* 2015). There are three key reasons that have been highlighted for the disconnect
90 between new methods and their ecological application: 1) most methods have only been
91 demonstrated at small spatial scales relative to real-world applications (even if the number of
92 individuals is very large) and in homogenous areas with little environmental complexity
93 (Hollings *et al.* 2018); 2) ecological complexity and outcomes are not appropriately
94 considered with respect to the mobility of individuals and variation in the types of target
95 features of interest (Baxter & Hamilton 2018); and 3) there is a high technical threshold for
96 implementing most methods (Chabot & Francis 2016).

97

98 In this paper, we develop a semi-automated framework for monitoring large complex wildlife
99 aggregations using drone-acquired imagery. We use the case study of colonial waterbird
100 breeding colonies because they present the key challenges currently inhibiting uptake of
101 drone-based methods; the colonies cover large spatial extents and can have range of density
102 of animals across these extents; there are many thousands of highly mobile individuals that
103 cannot be contained to single drone images; the target features of interest are nests, which can
104 exhibit significant differences in structure and colour across space and time (e.g. empty nests,
105 adult/juvenile/chick/egg occupied nest, variable nest material, variable nest shape and
106 arrangement); and considerable variation in background environment (mud, sand, water,
107 live/dead vegetation). We developed a set of generalised methods, that transferred directly
108 between colonies without modification, and required relatively little technical ability to
109 apply. We captured imagery over four breeding waterbird colonies in New South Wales,
110 Australia, ranging in size from ~20,000 to >200,000 birds, including the largest ever

111 waterbird colonies to be surveyed by drone. We detail flight planning, image acquisition and
112 processing, manual and automated methods for mapping and accurately counting nests. We
113 include the Google Earth Engine and R code required for our analyses, along with a web-app
114 to explore drone data, intermediate machine learning predictor and nest map layers.

115

116 **2 Materials & methods**

117 Our primary motivation was mapping and counting nests for breeding colonial waterbirds,
118 with wide applicability. The methodology needed to work on both small (~10,000 – 20,000
119 birds) and large (200,000+ birds) colonies and be transferable across different environments
120 and applications, requiring limited technical modification or ability. We developed a modular
121 approach that included: 1) drone image surveys of four large breeding colonies; 2) manual
122 counting of nests for training and validation; 3) a machine learning mapping method to map
123 nests from drone imagery; and 4) a predictive modelling method to estimate total nest
124 numbers.

125

126 *2.1 Study location and bird colony details*

127 Straw-necked Ibis (*Threskiornis spinicollis*) are an Australian nomadic waterbird species
128 which form very large breeding colonies, sometimes mixed with other waterbird species,
129 when ecological conditions are favourable (Brandis *et al.* 2014). We surveyed four colonies:
130 *Merrimajeel, Zoo Paddock, Eulimbah and Block Bank* (Table 1). We surveyed the colonies at
131 around their maximum size, determined by progression of breeding (Brandis *et al.* 2011).
132 Straw-necked Ibis typically make their nests in flooded wetlands and floodplains, using
133 inundated vegetation as nesting material raised above ground/water level. Their nests can be
134 isolated nests or ‘clumped’ (10-200 nests). The nests are generally round or oblong in shape,
135 but are often irregular in large clumps, with trampled vegetation, forming a dark green to

136 brown colour, which increasingly whitens with guano (Fig. 1), until nests are abandoned
137 either when offspring are lost or chicks fledge; at the latter stages, nests begin to lose
138 structure and colour. At any point, nests may be empty, occupied by adults, eggs or juveniles,
139 or a combination depending on parental foraging and care and chick mortality and fledging.
140 A colony usually has a mixture of nest and juvenile ages. Most (>90 – 95%) of birds in the
141 colonies were Straw-necked Ibis, a dark glossy blue-black bird on their back and wings, and
142 with a white underside (black when viewed from above). There were also small numbers
143 (<500-1000) of Australian White Ibis (*T. Molucca*), Glossy Ibis (*Plegadis falcinellus*) and
144 Spoonbills (*Platalea spp.*) (Fig. 1).

145

146 2.2 Drone data and processing

147 Drone image data were collected during Spring and Summer of 2016 and 2017 (Table 1),
148 using a DJI Phantom 3 Professional quad-copter, with the stock single sensor red/green/blue
149 (RGB) camera. Colonies were within large flooded extents (km's wide), so multi-rotor drones
150 were the only option, with no landing area for fixed-wing drones. We launched a drone from
151 an amphibious vehicle or canoe used to enter the colonies. Flights were conducted using
152 parallel flight lines, at ~100 m and speed of 5-10 ms⁻¹ (Lyons *et al.* 2018a; Lyons *et al.* 2019).
153 We aimed to acquire imagery with ~70% forward and lateral overlap to ensure adequate
154 coverage for post-processing. Depending on weather and environmental conditions, we
155 surveyed 5 – 40 hectares per flight, requiring multiple flights to survey each colony. There
156 were no obvious negative interactions with the waterbirds; further animal ethics
157 considerations can be found in Lyons *et al.* (2018a), and a more detailed protocol for drone-
158 based monitoring of waterbird colonies in Lyons *et al.* (2019).

159

160 The drone imagery was processed using the commercial software Pix4DMapper (v4.19,
161 Pix4D SA), which uses a photogrammetry technique called ‘structure from motion’ to
162 identify points in overlapping images, ultimately generating a 3D point cloud reconstruction
163 of the landscape. The 3D information is then used to generate a digital surface model and an
164 orthorectified image mosaic. Only standard accuracy GPS (5 – 10 m accuracy) was used for
165 georeferencing. This resulted in some error in absolute geographic location, but was not
166 important, given our focus on identification and relative position of nests in the image
167 mosaics.

168

169 *2.3 Semi-automated approach for nest counting*

170 Once the imagery was acquired, we needed to effectively identify nests which were highly
171 variable in shape and colour, and sometimes had low contrast to the surrounding environment
172 (Fig. 1). We initially tested a point process algorithm (Descamps *et al.* 2011) but it could not
173 handle large data sizes; an object-based image analysis routine (*sensu* Chabot, Dillon and
174 Francis (2018) but it had difficulty identifying >3,000-5,000 nests with one ruleset; and a
175 machine learning/modelling approach (Hodgson *et al.* 2018) but it could not identify >1,000
176 nests with one parameterisation (see Data accessibility for modified Matlab routine). No
177 particular technique worked effectively within or between the colonies, supporting similar
178 findings on the limitations of automated and semi-automated methods (Hollings *et al.* 2018).
179 So, we developed a modular approach, adaptable to variable target properties and scalable to
180 large spatial extents, applicable to multiple colonies. This involved first mapping the area of
181 nests using a remote sensing approach, and then estimating the number of nests using a
182 predictive modelling approach.

183

184 *2.3.1 Manual counts for training and validation data*

185 A comprehensive training and validation data set was critical for developing counting
186 methods. So, we first manually and systematically counted all the nests in the imagery over
187 all colonies. We imposed a 50 x 50 m grid of quadrats on each colony, and digitally
188 annotated every visible nest. We used this gridded method for two reasons: 1) it enabled an
189 observer to sequentially work through the whole colony, while reducing distraction (and
190 computer memory overhead) from surrounding areas; and 2) it reflected real-world practices
191 when users choose only a limited number of training quadrats to manually count nests.
192 During the field work, we also counted nests (in situ) for GPS-tagged clumps at each colony
193 which we used to test the accuracy of the drone-based manual counting.

194

195 *2.3.2 Machine learning mapping*

196 Subsequently, we applied a supervised machine learning approach to map nests at each
197 colony. We defined nests as any material or bird that constituted a nest or nest clump, based
198 on our experience in the field. Motivated by its robustness to redundant predictor variables,
199 we used a random forest classifier (Breiman 2001). Random forests are a machine learning
200 algorithm that uses information from a training set and a suite of relevant predictor variables
201 to predict class membership of all the image pixels in the study area. Random forests are
202 particularly robust to redundant predictors, which is an important feature given that all data
203 came from the one sensor. This allowed us to include many different image-based predictor
204 variables without altering the approach for different colonies.

205

206 With all nests manually identified, we sampled a subset to train the random forest classifier.
207 We randomly placed points across the colony, at least 30 m apart, and randomly chose a
208 number of those points as a classifier training location. To approximate the 50 x 50 m

209 quadrats, a 30 m buffer was placed around each chosen training locations, within which all
210 manually counted nests were selected for training. We trialled between 5 and 20 training
211 locations for each of the colonies. The classifier also requires non-target features (non-nest)
212 randomly spread across the colonies: 1000 points for the smaller colonies (*Eulimbah* and
213 *Block Bank*) and 10,000 points for the larger colonies (*Merrimajeel* and *Zoo Paddock*).
214
215 We derived arithmetic and textural metrics from the red, green and blue channels (r, g, b
216 respectively) in the drone data to use as predictor variables in the random forest
217 classification. These included: a ‘white’ index $\frac{b+g}{r}$; a Laplacian-8 edge-detection kernel on
218 the ‘white’ metric; an RGB vegetation index $\frac{g-r}{g+r}$ (Bendig *et al.* 2015); a ‘green brightness’
219 index $\frac{g}{b+g+r}$; the ‘contrast’, ‘variance’, ‘inverse difference moment’ and ‘shade’ texture
220 metrics from the Gray Level Co-occurrence Matrix (Haralick 1979), applied to each of the
221 ‘white’ index and blue band; the standard deviation within a 2 m and 7 m radius of each pixel
222 applied to the ‘shade’ metric and vegetation index; and a 1st and 2nd order difference of
223 gaussians (Polakowski *et al.* 1997) on the ‘shade’ metric.
224
225 The training data set was compiled by extracting the pixel values for each image metric layer
226 within a 10 cm buffer, around each training nest and non-nest point, so the random forest
227 classifier was a binary nest and non-nest classification. The algorithm was parameterised with
228 500 trees and a minimum leaf population of 10. We implemented the classification in the
229 Google Earth Engine (Gorelick *et al.* 2017), allowing seamless prototyping, visualisation and
230 production environment for processing the large high resolution image data sets. Any
231 contiguous areas less than 0.03 m² were removed (classification noise was unlikely to be bird
232 nests) and exported from the Earth Engine. The Google Earth Engine is freely available to

233 anyone, and we provide the code required to run the classifications, along with an interactive
234 web-app to explore some drone data, predictor layers and nest classification interactively
235 (link in Data accessibility section).

236

237 *2.3.2 Predictive model estimation*

238 To estimate the number of nests as a function of the mapped nest area for each colony, we
239 used predictive modelling. We first summarised the number of manually counted nests and
240 the mapped nest area within each 50 x 50 m quadrat. We then predicted the number of nests
241 in each quadrat, with the whole colony count being the sum of the quadrat estimates. We used
242 two simple approaches: 1) an assumption that the number of nests was directly proportional
243 to the mapped nest area (linear area:count ratio); and 2) a generalised linear model (GLM;
244 Poisson error distribution) of nest count as a function of nest area and local nest density. We
245 expected that the local density of nests would have a relationship to the number of nests.
246 Density was calculated as the percentage of the 50 x 50 m quadrat mapped as nests. Using a
247 GLM with a negative binomial error distribution or a generalised additive model with
248 smoothers for nest area and density provided no appreciable gains, so neither was pursued.

249

250 We used a resampling procedure to examine the number of manually counted 50 x 50 m
251 quadrats needed to accurately estimate the number of nests for a whole colony. This involved
252 repeated random sampling of n quadrats, estimating the number of nests using the area ratio
253 and GLM approaches described above. We used 800 iterations without replacement (i.e.
254 Monte Carlo resampling, not a bootstrap) for each of 1, 2, ..., n_{max} quadrats. This resulted in a
255 sampling distribution of 800 whole-colony nest count estimates at each n .

256

257 To simulate the scenario of limited resources for manual counting, we implemented another
258 resampling approach to determine whether a given sample of the manually counted quadrats
259 provided an accurate estimate (plus a confidence interval) of nest count for a whole colony.
260 This involved a random draw of n quadrats (i.e. scenario of choosing a set of quadrats for
261 training), and applying a repeated k -fold cross-validation using the area ratio and GLM
262 estimation approaches. Each random draw of quadrats was stratified by mapped nest area
263 density, to simulate choosing a range of nest density quadrats to count. We used $k = 10$ and
264 10 repeats for the cross-validation, and varied n from ~10-40% of the total number of
265 manually counted quadrats. This resulted in a sampling distribution of 100 nest count
266 estimates for each random draw of quadrats, and we took the mean as the resampling estimate
267 and 2.5 and 97.5 percentiles as a 95% confidence interval. We decided on k -fold resampling
268 as a good approach to reduce bias for the small sample sizes, but a range of resampling
269 options are available (Lyons *et al.* 2018b). All statistical analysis was performed in R version
270 3.5.1 ((Team 2018); see Data accessibility section).

271

272 **3 Results**

273 *3.1 Manual training and validation nest counts*

274 The four study colonies varied widely in size, number of nests and bird density (Table 1). The
275 flying height of ~100 m generated orthomosaic imagery with a pixel size between 3 – 4 cm. It
276 took 5 – 15 minutes to manually count the nests in a 50 x 50 m quadrat, with higher nest
277 density on the upper end of that time. Ibis nests and the flooded colony environment were so
278 variably complex that it was often not possible to accurately manually count nests, even from
279 3 – 4 cm pixel drone imagery. Occasionally, artefacts from drone imagery processing also
280 obstructed counting. The accuracy of the manual counting was estimated using the on-ground
281 field counts, which ranged from $\pm 6\%$ to $\pm 12\%$ (Table 1). The smallest colony had a manual

282 count of 7717 nests and the largest colony had 96,989 nests, and with an estimated population
283 of over 200,000 birds at the time (Lyons *et al.* 2018a).

284

285 *3.2 Semi-automated approach*

286 The same Google Earth Engine code was applied to each colony, showing that the nest area
287 mapping routine was robust to differing background environments and nest characteristics
288 within and among each of the colonies. Around 10 of the 30 m training buffer locations were
289 required for consistent classification of the large extent colonies (*Merrimajeel, Zoo Paddock*;
290 ~5% total area), and around 5 for the smaller extent colonies (*Eulimbah, Block Bank*; ~10%
291 total area). Our assessment of consistent was relatively ad hoc, using a visual assessment of
292 whether nests and background were well separated. We left a quantitative assessment of
293 accuracy for estimation of the total numbers. The chosen predictor variables did a good job at
294 extracting the salient features of the bird colonies (Fig. 2) and the machine learning
295 classification appeared to identify nests and birds appropriately (Fig. 3).

296

297 The first resampling routine demonstrated that considerable variation in nest estimates was
298 likely given any random draw of quadrats, but only a small subset of the quadrats was
299 required to capture most of the variation and provide estimates within the manual count error
300 range (Supplementary Fig. 1). There was no noticeable improvement in using the GLM
301 estimation method over the straight area ratio method. Comparing the results of the nest
302 count estimates for individual quadrats showed that there was a large amount of variation
303 among estimates for individual quadrats, the primary motivation for the use of a resampling-
304 based estimate (Supplementary Fig. 2).

305

306 For the k -fold nest count estimation, we decided that an adequate number of quadrats (n) to
307 use would be signified by most of the estimates from each k -fold cross-validation falling
308 within the error margin of the manual nest counting (Fig. 4, Table 2). For the two largest
309 colonies, *Merrimajeel* and *Zoo Paddock*, we used 30 quadrats (~12% of all 50 x 50 m
310 quadrats) to provide accurate estimates. For the two smaller colonies, *Eulimbah* and *Block*
311 *Bank*, we used 15 and 10 quadrats respectively (~20% and ~30% of total quadrats
312 respectively). The manual effort time saving was best for the larger colonies – the nest counts
313 were eight times faster for the two larger colonies (*Merrimajeel* and *Zoo Paddock*), but only
314 five and three times faster for *Eulimbah* and *Block Bank* respectively (Table 2). The
315 estimation was most accurate for the smaller two colonies, and there was some over-
316 estimation for the larger colonies, particularly *Zoo Paddock* (Fig. 4), that could not be
317 rectified with more training data. Again, there was no noticeable gain in using the GLM
318 estimation method over the straight area ratio method; the gain from stratifying the random
319 draw by mapped nest density was far more appreciable.

320

321 **4 Discussion**

322 We developed a generalised approach for monitoring complex wildlife aggregations,
323 demonstrated through a semi-automated analysis providing estimates of numbers of nests in
324 four large and complex waterbird colonies, using remotely sensed data captured via drones.
325 The method was effective and provided accurate estimates at significant time savings
326 compared to manual counts from the imagery. In our study, we obtained credible and useful
327 estimates for one of Australia's more extensive breeding of colonial waterbirds. Our
328 methodology is simple and robust enough to be applied in multiple environments, and works
329 for both simple and complex target features. Continued development will see drone-based
330 monitoring become integrated into waterbird monitoring (Lyons *et al.* 2019), and used to help

331 quantify salient biological features like nesting success (Sarda-Palomera *et al.* 2017). There
332 are potential benefits for monitoring some of the many other species of birds that form
333 complex aggregations, as well as other animals such as marine animals in coral reef and
334 rocky shore environments, migrating ungulates across different vegetation types or even
335 unorthodox applications for counting spatially and spectrally complex target features such as
336 coral bommies.

337

338 Our approach is modular, and the nest mapping and counting is implemented on free open
339 source platforms, allowing users to readily change parameters or substitute their own or more
340 appropriate methods. The semi-automated approach applied a machine learning classifier to
341 high-resolution drone imagery to identify nests (Figs. 2 & 3), supported by modelling to
342 estimate nest counts (Fig. 4). The methods were effectively applied across four different
343 waterbird colonies, that contained highly variable target features on variable backgrounds.
344 The colonies ranged in size from around 7000 nests to almost 100,000 nests (Table 1), and
345 our semi-automated method required only relatively small amount of training data to produce
346 comparable accuracy to manually counting from the drone imagery (Fig. 4, Table 2). Here we
347 further discuss the cost-benefit aspects, opportunities for wider uptake, current challenges,
348 and finish with some recommendations moving forward.

349

350 *4.1 Cost-benefit of the semi-automated approach*

351 The two key motivators for drone-based automated methods are reducing (on-ground) human
352 observer bias and reducing cost (Chabot & Bird 2015; Baxter & Hamilton 2018; Hodgson *et*
353 *al.* 2018; Hollings *et al.* 2018). For large and complex wildlife aggregations, such as our
354 waterbird colonies, it is rarely possible to perform comprehensive on-ground counts and so
355 drone-use provides an attractive option, and coupled with semi-automated methods, presents

356 significant time savings too. In our case the large colonies were eight times quicker to survey
357 (Table 2), representing a cost (time or money) saving of almost 90% compared to full manual
358 counts. Cost-benefit analysis will continue to vary with user ability and conditions, including
359 data acquisition in the field, drone image processing, modelling and programming, and even
360 the level of detail and accuracy required for monitoring outcomes. Benefits will also vary
361 with the nature of application with one-off monitoring perhaps better achieved using manual
362 methods, until the technology and processes become routine. The efficiency increases in
363 Table 2 are probably more likely to represent the potential time savings of further application
364 of the methods. For new applications in novel environments, large manual counts are
365 probably still required to understand the potential sources of error.

366

367 *4.2 Opportunities for uptake of semi-automated methods*

368 Transferability across environments and spatial scales prevents widespread adoption of semi-
369 and fully- automated methods in wildlife monitoring (Chabot & Francis 2016; Hollings *et al.*
370 2018). We successfully implemented our semi-automated approach, using the same
371 routine/code, on four different waterbird colonies, providing opportunities of transferability
372 for other avian applications but also more broadly to a range of different large complex
373 aggregations of wildlife. The key challenge we overcame was identification of target features
374 with high spatial and spectral variation, on high variability backgrounds, across large spatial
375 extents. Most current detection approaches rely on methods that require high consistency in
376 the spatial and spectral organisation of target and background features. Our use of a random
377 forest classifier efficiently handled redundant predictor data (Breiman 2001), allowing
378 inclusion of many different colour, spatial and textural metrics as predictor layers. This
379 helped capture more of the spatial and spectral variation in target features, compared to just

380 using the image colour, as well as potential image blur and illumination artefacts (Fig. 3, top
381 row).

382

383 Research is increasingly tackling this issue of consistency across target and background
384 features, adapting methods from remote sensing mapping (Afán, Máñez & Díaz-Delgado
385 2018; Chabot, Dillon & Francis 2018). Application of a single consistent detection routine to
386 many different applications will provide significant opportunity for broad uptake across
387 scientific and management applications (Hollings *et al.* 2018). Although we found that
388 existing methods (Descamps *et al.* 2011; Chabot, Dillon & Francis 2018; Hodgson *et al.*
389 2018) were not directly able to deal with the level of complexity in our case studies, our
390 method ultimately required more training data, which reduces the overall cost saving. Thus
391 continued development of a range of methods will provide opportunities for significant time
392 and cost savings when applied over large spatial extents, over time.

393

394 Detection approaches from imagery, such as drone imagery, are increasingly benefiting from
395 the remote sensing disciplines (Chabot, Dillon & Francis 2018), due to innovations in dealing
396 with large volumes of data efficiently. Existing detection methods typically deal with image
397 tiles in the order of 1-10 Mb. Our waterbird colonies involved 500 Mb to 5 Gb of data,
398 requiring significantly improved data management and analysis. Use of the Google Earth
399 Engine platform (or similar platforms) enables handling of large data, and will facilitate
400 future expansion into web-based tools where users only supply imagery and training data,
401 reducing local expertise and computing resource requirements.

402

403 We successfully identified both nests and individual birds when they were away from their
404 nests (see Fig. 3, 3rd row). This demonstrates the opportunity to use our mapping driven

405 approach to identify and count individual waterbirds. Indeed Chabot, Dillon and Francis
406 (2018) used an object-based mapping approach for identifying and counting individual Snow
407 Geese. If only individual birds were of interest, and they did not form complex spatial
408 aggregations, the mapping process would be sufficient to identify and count individuals (i.e.
409 the k -fold estimation process would be unnecessary). This represents an additional cost
410 saving because it took less training data to train the machine learning mapping (e.g. for
411 *Merrimajeel*, ~5% of the quadrats were needed to train the random forest, but 12% were
412 needed to train the k -fold estimation). For small and simple tasks (e.g. counting just a few
413 thousand birds or nests) our k -fold estimation process could also be replaced with simple
414 thresholding or classification of the predictor metrics. For example, thresholding and
415 vectorizing the predictor layers we used (e.g. Fig. 2, bottom row) produces accurate nest
416 counts, but these thresholds become increasingly variable as spatial scale increases, making
417 consistent application difficult.

418

419 4.3 Challenges for drone-based monitoring

420 Our main challenge was converting mapped nests to nest count estimates. Although
421 comparable to manual counting, we were unable to rectify over estimation for the *Zoo*
422 *Paddock* colony (Fig. 4, Table 2). This colony has a large spatial extent but was only sparsely
423 populated, compared to the other large colony (*Merrimajeel*; Table 1). Improved modelling
424 of density effects may reduce this problem. As it was, only five out of the 40 scenarios we ran
425 would be considered a sizable overestimation (Fig. 4), and even then these numbers would be
426 unlikely to affect management decisions, but this may vary depending on location and species
427 of interest. We randomly selected quadrats, so a more judicious initial choice of quadrats for
428 training may rectify this issue to some degree.

429

430 Another challenge is the potential impact of uncertainty (~6-12%, Table 1) in manual
431 counting that can propagate through to the mapping and estimations. Moving semi-automated
432 methods to increasing spatial scales or more complex environments requires dealing with
433 more variation in image quality and limitations in the resolution able to be captured (Hollings
434 *et al.* 2018). In our surveys, image resolution and quality was challenge, affected by our
435 ability to access appropriate remote points for take-off and landing, along with environmental
436 and ethics considerations that limited time available to collect imagery. This in turn resulting
437 in varying incident sun angles and wind conditions during image collection, resulting in sun
438 glint and image blur that sometimes obscured manual counting. Identifying old nests (e.g.
439 Fig.1, top and bottom rows) was difficult, potentially further increasing manual counting
440 errors. Our cross-validation approach was motivated by the need to account for uncertainty,
441 and generally accounted well for this error (Fig. 4, Supplementary Fig. 1 & 2), but had a cost
442 in terms of increased training data requirements.

443

444 Another challenge is the potential antipathy towards use of drones, when sometimes the
445 literature present them in terms of taking over the role of surveyors. This is a fallacy because
446 equally large amounts of human effort are needed in collection and processing of drone
447 imagery, deriving the training and test data, and developing detection routines. Just as Fraser
448 *et al.* (1999), almost two decades ago, demonstrated improved aerial counting from a kite-
449 mounted camera, drones are now becoming part of the toolkit. Further, researchers and
450 managers can be excited about access to fast and accurate counting, without adequately
451 considering the potential uncertainty, labour and skills required for effective use of drones for
452 monitoring large and complex wildlife aggregations, and that drones still cannot produce all
453 the required biodiversity metrics for monitoring (Callaghan *et al.* 2018).

454

455 *4.3 Recommendations*

456 There are major improvements in data collection, interpretation and understanding which can
457 come through using drone imagery, including cost savings and potentially improved
458 accuracy. Applications will continue to grow, assisted by development of semi-automated
459 methods such as ours. Drones should be viewed as a tool to complement ecological and
460 environmental monitoring practitioners, rather than a replacement option. We suggest
461 development of semi-automated approaches should focus on adaptability to deliver key
462 monitoring indicators (Baxter & Hamilton 2018), and that detection methods themselves
463 should aim for three main properties: 1) use predictor data that is easily derived from
464 common drone-based (or airborne) imagery; 2) minimal parametrisation among
465 environments, ensuring any parametrisation should be accessible to non-expert users; and 3)
466 implementation on widely available platforms, not requiring significant local computing
467 resources but able to manage large volumes of image data.

468

469 **5 Data accessibility**

470 Our nest mapping routines were implemented in the Google Earth Engine
471 (<https://earthengine.google.com/>). All of the statistical analyses, including nest counting,
472 were performed in the R programming environment (Team 2018). The Earth Engine and R
473 code are available on Github (<https://github.com/mitchest/bird-colony-count-drones>) and
474 archived on Zenodo (*eventual Zenodo DOI link*). Raw drone data cannot be released publicly
475 for most of the colonies, because they are on private land, but the code provided includes the
476 summarised data required for our analyses. We have developed a web-app through the Earth
477 Engine (<https://mitchest.users.earthengine.app/view/ibis-drone-count>), using the public part
478 of the *Eulimbah* colony, so users can explore drone data, predictor variables and nest map
479 classification interactively.

480

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485 ethics approvals from the University of New South Wales Animal Care and Ethics
486 Committee (16/3B and 16/131B). **Author statement:** All authors contributed to study
487 design, ML, CC, JM and KB carried out field work, ML, JW and NM led the data processing
488 and statistical analysis, and all authors wrote the manuscript.

489

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- 589

590

591 **Tables**

592 **Table 1.** Location and information on drone-surveyed waterbird colonies. All colonies were
 593 in New South Wales, Australia. Nests were manually counted from the drone-based imagery.
 594 Nest count error was calculated from in situ ground counts cross-referenced with manual nest
 595 counts from drone imagery.

Location (Colony name)	Date	Approx. colony size	Manual nest count	Manual nest count error	Estimated number of birds*
Lachlan River (<i>Merrimajeel</i>)	Oct 2016	60-65 Ha	96,989	±6.1%	200-250,000
Macquarie Marshes (<i>Zoo Paddock</i>)	Nov 2016	60-65 Ha	20,411	±8.8%	40-50,000
Murrumbidgee River (<i>Eulimbah</i>)	Nov 2016	15-20 Ha	13,343	±8.4%	30-40,000
Lachlan River (<i>Block Bank</i>)	Sep 2017	7-10 Ha	7717	±12.1%	15-20,000

*From (Lyons *et al.* 2018a) – the estimated number of birds incorporates site-specific information.

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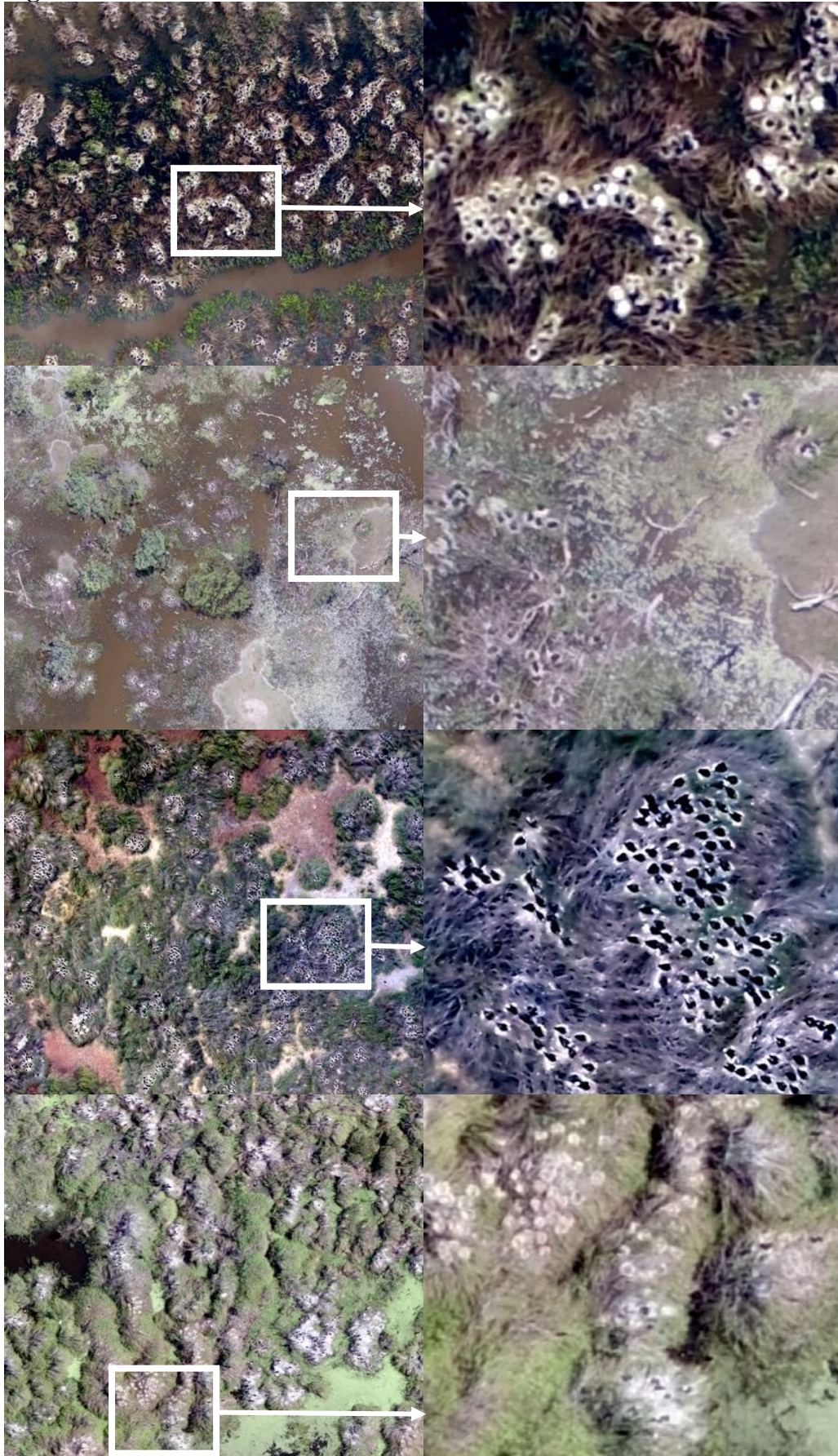
598 **Table 2.** Manual and semi-automated counting results for drone-surveyed waterbird colonies.
 599 Colonies were divided into a grid of quadrats and nests were manually counted with accuracy
 600 from in situ counts. *k*-fold nest estimates were derived from our semi-automated approach,
 601 using 40 different random subsets of quadrats.

Colony name	50 x 50 m quadrats in grid	Manual nest count (± manual error)	Mean and range of <i>k</i>-fold nest estimates	Full count effort (hours)	<i>k</i>-fold count effort (hours & speed-up)
<i>Merrimajeel</i>	233	96,989 (91,073–102,905)	99,645 (90,383–106,727)	40	5 (8x)
<i>Zoo Paddock</i>	244	20,411 (18,615–22,207)	21,432 (16,627–27,361)	42	5 (8x)
<i>Eulimbah</i>	71	13,343 (12,222–14,464)	13,479 (12,212–14,879)	12	2.5 (5x)
<i>Block Bank</i>	33	7717 (6783–8651)	7777 (7152–8425)	5.5	2 (3x)

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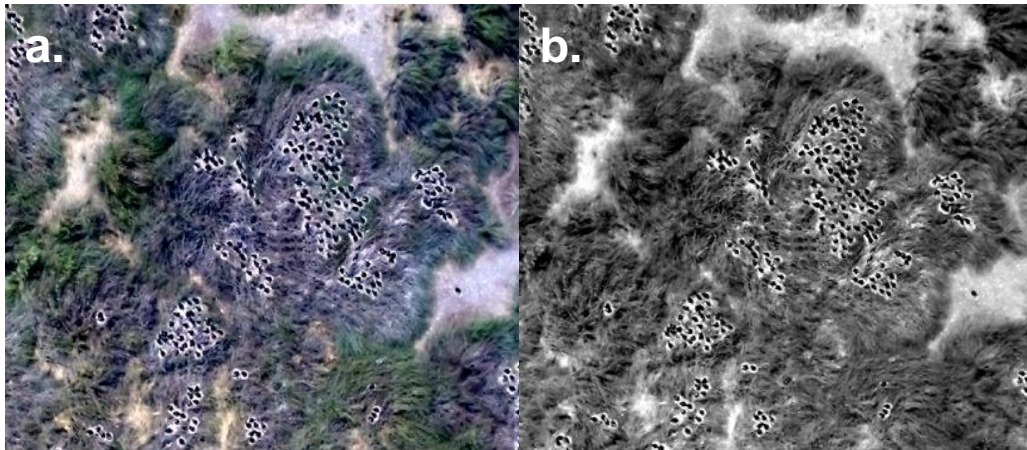
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604 **Figures**

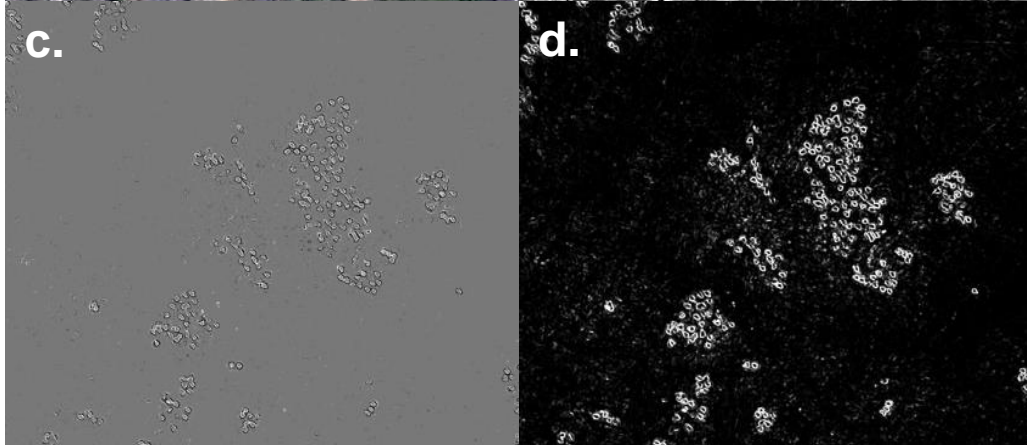


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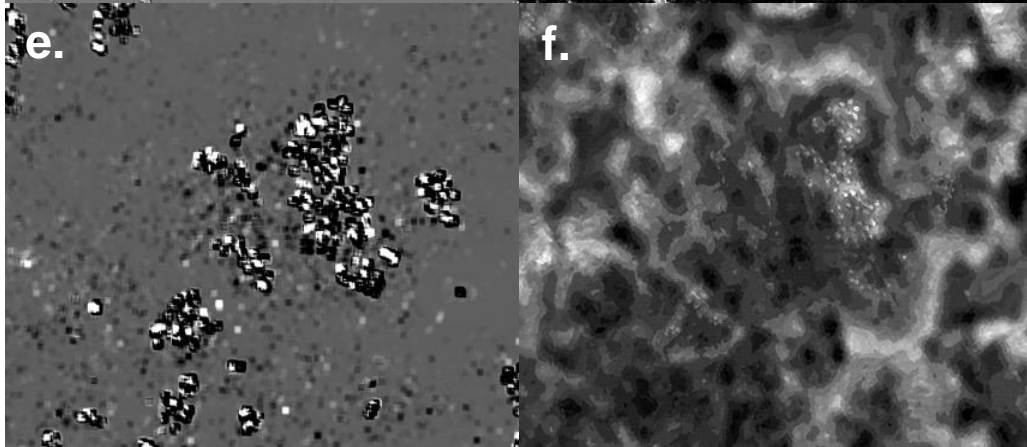
610 **Figure 1.** Example drone imagery showing the variation in nest types and environments
611 across four breeding colonial waterbird colonies. Images from top row to bottom row are
612 from the following colonies: *Merrimajeel*, *Zoo Paddock*, *Eulimbah* and *Block Bank* (details in
613 Table 1).
614



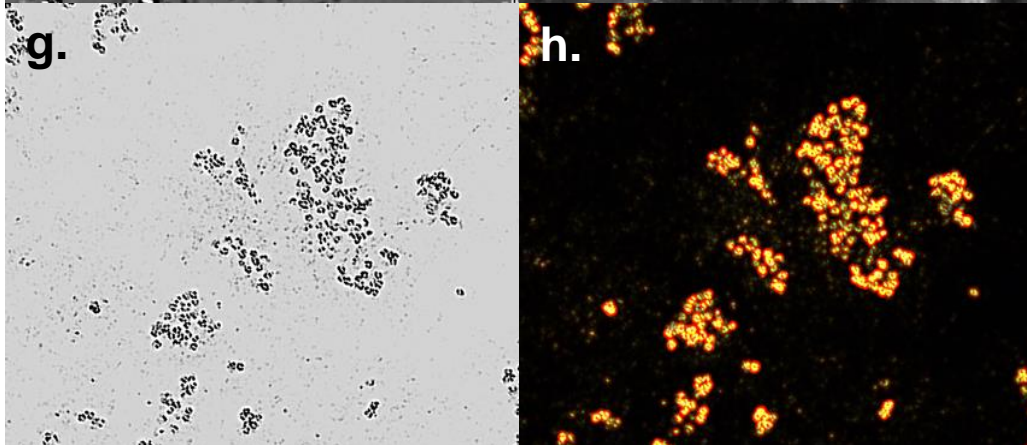
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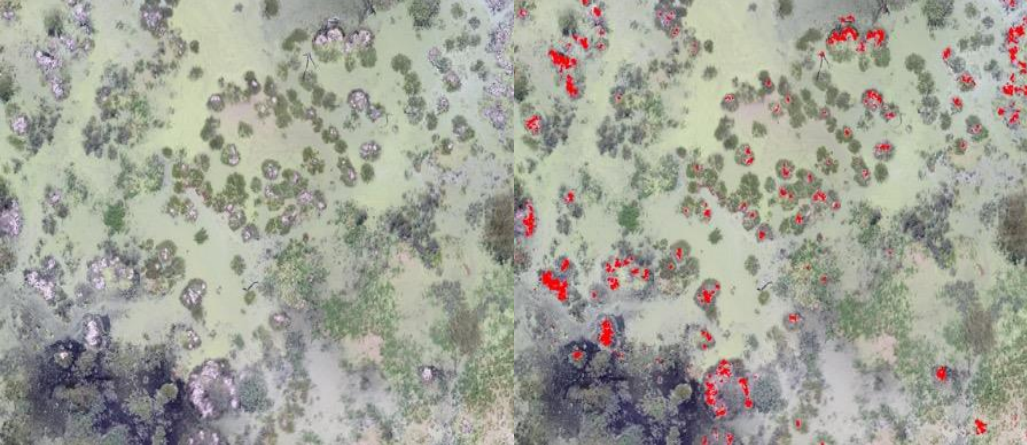
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620 **Figure 2.** An example of image metrics derived from drone imagery over a waterbird colony
621 (*Eulimbah*), used as predictor variables in the random forest classification: **a** – raw drone
622 imagery; **b** – ‘white’ brightness image; **c & d** – GLCM ‘shade’ and ‘contrast’ of the ‘white’
623 metric; **e** – GLCM ‘shade’ of the blue reflectance; **f** – RGB vegetation index; **g** – difference
624 of gaussians applied to the GLCM ‘shade’ on the ‘white’ metric; **h** – an RGB composite of
625 the ‘white’ metric and the standard deviation within a 2m and 7 m radius for the GLCM
626 ‘shade’ of the ‘white’ metric.
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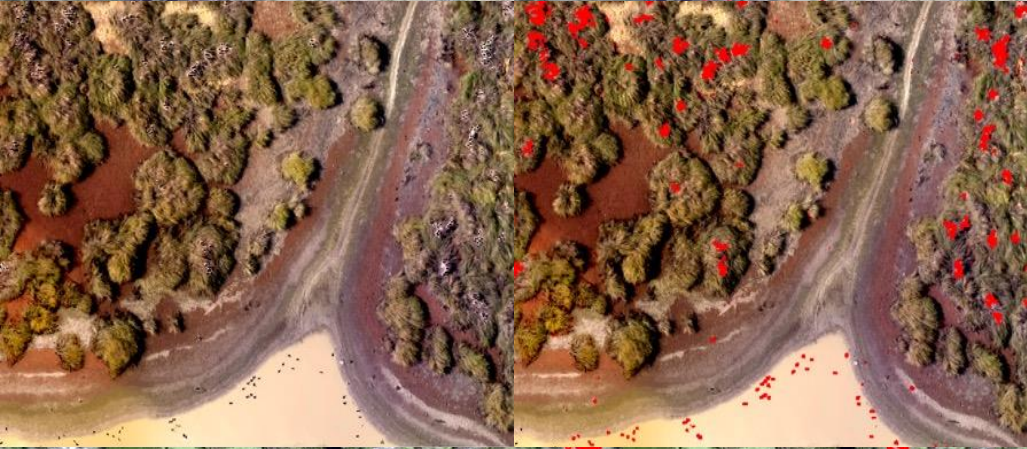
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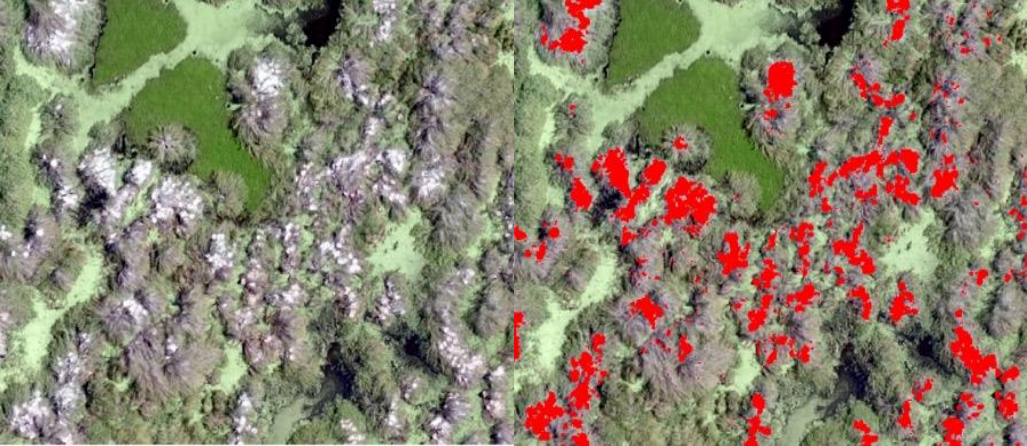
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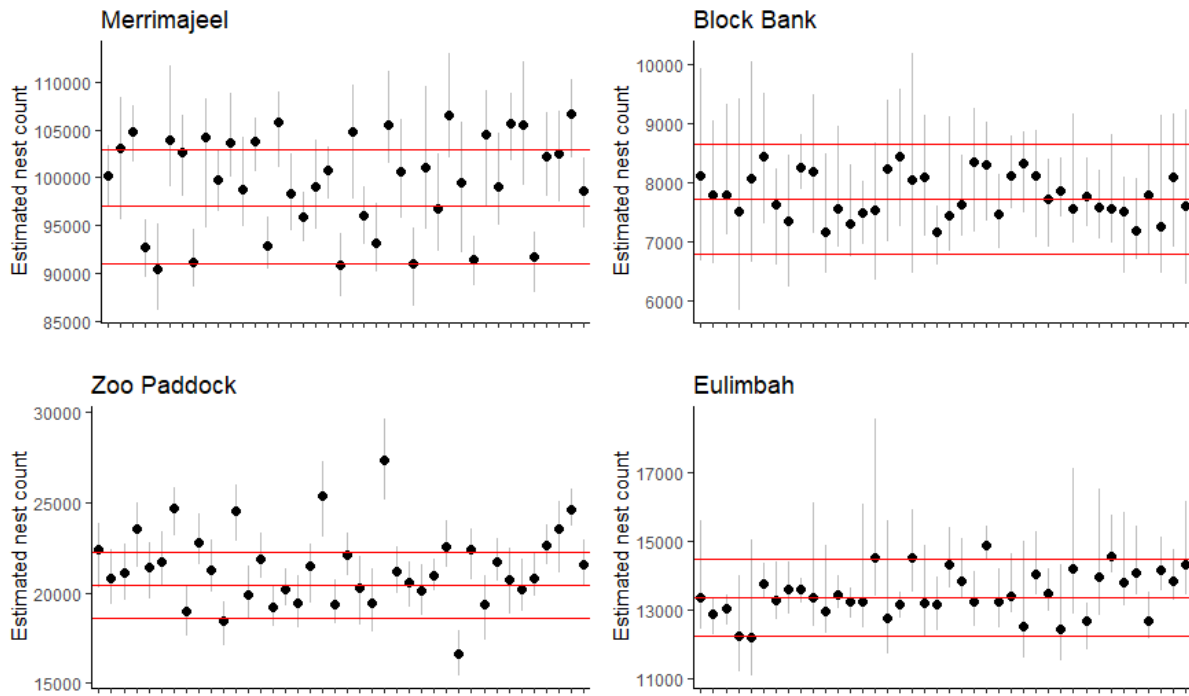
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633 **Figure 3.** Example nest area classifications for four colonial waterbird colonies, surveyed via
634 drone and classified using a random forest classifier in the Google Earth Engine. Images from
635 top row to bottom row are from the following colonies: *Merrimajeel*, *Zoo Paddock*, *Eulimbah*
636 and *Block Bank*. Full details in Table 1 & 2.

637

638



639

640 **Figure 4.** Resampling estimates of nest counts for four breeding waterbird colonies surveyed

641 via drone, trained using a classification of nest area and manually counted nests. Each black

642 dot represents the mean of the sampling distribution (10x repeated k -fold $k=10$ cross-

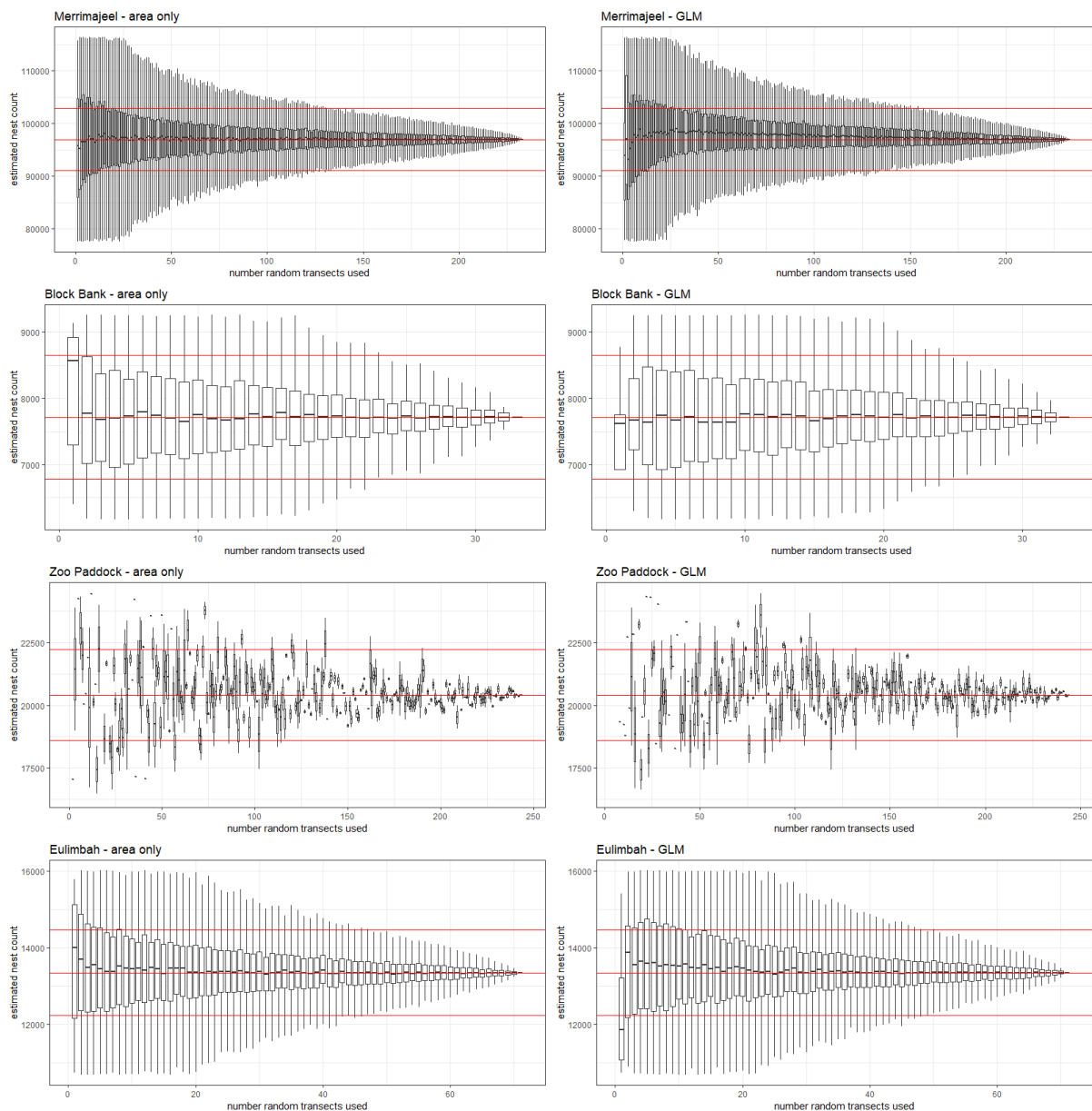
643 validation) for a different subset of the manually counted training nests (corresponding lines

644 denote 95% percentile), and the red horizontal lines denote the manual estimate for the whole

645 colony, and the 95% error margin calculated from on-ground counts.

646

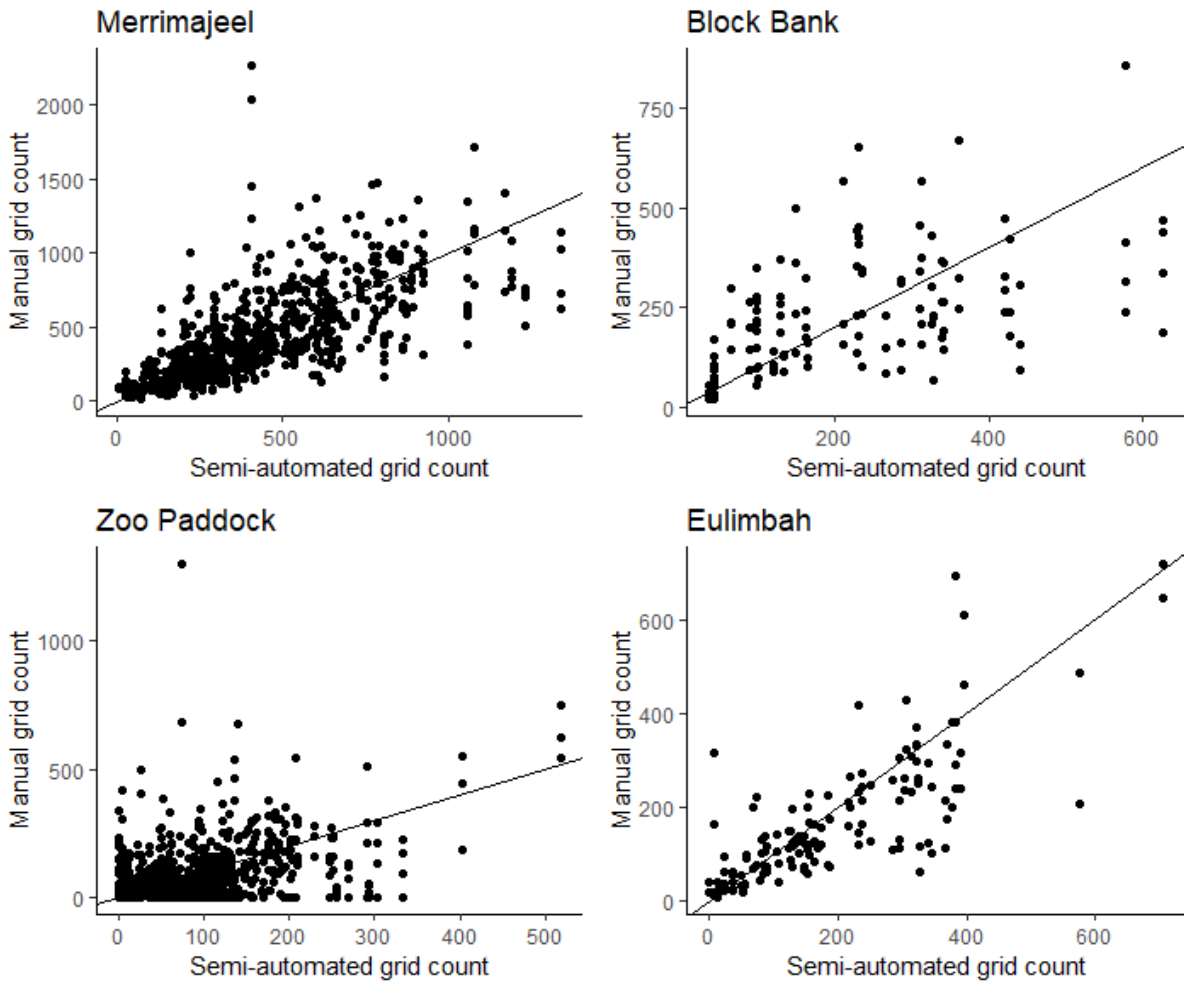
647 **Supplementary Material**



648

649 **Supplementary Figure 1.** Resampling estimates of nest counts for breeding waterbird
650 colonies surveyed via drone, trained using a classification of nest area and manually counted
651 nests (area ratio method on left and GLM method on right). Each box plot represents the
652 sampling distribution (800x Monte Carlo cross-validation) for a different subset of the
653 manually counted training nests, and the red horizontal lines denote the manual estimate for
654 the whole colony, and the 95% error margin calculated from on-ground counts.

655



656

657 **Supplementary Figure 2.** Individual quadrat nest area estimates from a machine learning
 658 classifier plotted against the manual count from the corresponding quadrat, for four breeding
 659 waterbird colonies surveyed via drone.

660