

## 1 Complexity revealed in the greening of the Arctic

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79 **Abstract**

80 As the Arctic warms, vegetation is responding and satellite measures indicate widespread  
81 greening at high latitudes. This 'greening of the Arctic' is among the world's most significant  
82 large-scale ecological responses to global climate change. However, a consensus is  
83 emerging that the underlying causes and future dynamics of so-called Arctic greening and  
84 browning trends are more complex, variable, and inherently scale dependent than previously  
85 thought. Here, we summarize the complexities of observing and interpreting high-latitude  
86 greening to identify key priorities for future research. Incorporating satellite and proximal  
87 remote sensing with *in-situ* observations, while accounting for uncertainties and scale issues  
88 will advance the study of past, present, and future Arctic vegetation change.

89

90 **A review of Arctic greening**

91 The Arctic has warmed at more than twice the rate of the rest of the planet in recent decades<sup>1,2</sup>.  
92 Over the past forty years, satellite-derived vegetation indices have indicated widespread  
93 change at high latitudes<sup>3-16</sup>. Satellite observations allow for the quantification of change in  
94 places that are otherwise unevenly sampled by *in-situ* ecological observations<sup>17</sup>. Positive  
95 trends in satellite-derived vegetation indices (often termed Arctic greening)<sup>15</sup> are generally  
96 interpreted as signs of *in-situ* increases in vegetation height, biomass, cover and  
97 abundance<sup>5,18,19</sup> associated with warming<sup>5,14</sup>. In the most recent Intergovernmental Panel on  
98 Climate Change report, tundra vegetation change including greening trends derived from  
99 satellite observations<sup>20</sup> was identified as one of the clearest examples of the terrestrial impacts  
100 of climate change. Large-scale vegetation-climate feedbacks at high latitudes associated with  
101 greening could alter global soil carbon storage and the surface energy budget<sup>21,22</sup>. In recent  
102 years, slowing or reversal of spectral greening trends in some regions have been observed  
103 (sometimes termed Arctic browning)<sup>3,4,12,13,15,23,24</sup>. This slowdown is seemingly at odds with  
104 earlier responses to long-term warming trends<sup>3,25</sup>. Research now indicates substantial  
105 heterogeneity in vegetation responses to climate change in the Arctic<sup>18,19,26,27</sup>. However, the

106 mechanistic links between satellite and *in-situ* observations<sup>3,6,24</sup> remain unclear due to  
107 conceptual and technical barriers in their analysis and combined interpretation.

108

109 The terms Arctic 'greening' and 'browning' can have different meanings in the remote sensing  
110 and ecology literatures. From a remote sensing perspective, 'greening' (hereafter spectral  
111 greening) generally refers to a positive trend<sup>4,5,7,8,10,13-15</sup>, and 'browning' (hereafter spectral  
112 browning) generally refers to negative trend in satellite-derived vegetation indices<sup>3,4,12,13,15,23,24</sup>.  
113 Less frequently, greening is also used to describe advances in the seasonal timing of these  
114 vegetation proxies<sup>4,28</sup>. From a field-ecology perspective, greening (hereafter vegetation  
115 greening) and browning (hereafter vegetation browning) refer to field-observed changes in  
116 vegetation<sup>4,12,13,24</sup>. Historically, the general terms greening and browning were thus used to  
117 describe both a proxy of vegetation change and/or vegetation change itself depending on  
118 context. This lack of precise usage causes conceptual misunderstandings about Arctic  
119 greening and attribution to the drivers of change. Here, we present the current understanding  
120 of Arctic spectral and vegetation greening and browning to lay the foundations for a consensus  
121 between the remote sensing and field ecology perspectives.

122

### 123 *Vegetation indices as proxies of vegetation productivity*

124 Long-term trends in global vegetation dynamics are most commonly quantified from time  
125 series of spectral vegetation indices derived from optical satellite imagery (Figure 1). These  
126 indices are designed to isolate signals of leaf area and green vegetation cover from  
127 background variation by emphasizing reflectance signatures in discrete regions of the  
128 radiometric spectrum<sup>6,29-32</sup>. Common vegetation indices include the Normalized Difference  
129 Vegetation Index (NDVI, Figure 2), Enhanced Vegetation Index (EVI) and Soil Adjusted  
130 Vegetation Index (SAVI), among others<sup>33-35</sup>. NDVI correlates with biophysical vegetation  
131 properties like Leaf Area Index (LAI) and the fraction of Absorbed Photosynthetically Active  
132 Radiation (fAPAR)<sup>14,36-39</sup>. However, these vegetation indices were not developed in polar  
133 contexts<sup>40</sup> and are only proxies of photosynthetic activity rather than direct measurements of

134 biological productivity<sup>33,39,41</sup>. NDVI is the most commonly used vegetation index because it is  
135 simple to calculate with spectral bands monitored since the launch of early-generation Earth-  
136 observing satellites in the 1970s (Figure 2) and is perhaps best defined as a measure of  
137 above-ground vegetation greenness.

138

139 The longest-term openly-available NDVI datasets have been produced from satellite-based  
140 sensors with broad spatial coverages and different sampling frequencies. The most common  
141 datasets include: 1) the Advanced Very-High-Resolution Radiometer (AVHRR – 1982 to  
142 present) on board NOAA satellites, 2) the Moderate-resolution Imaging Spectroradiometer  
143 (MODIS – 2000 to present) on board NASA satellites, and 3) NASA-USGS Landsat sensors  
144 (1972 to present). Most studies of long-term trends calculate annual measures of maximum  
145 NDVI to derive change over space and time, though time-integrated approaches are also  
146 used<sup>30,42–44</sup>. However, trends in NDVI data produced from different satellite datasets or using  
147 different methods do not always correspond at a given location<sup>6,45,46</sup> (Figure 1a,c). Thus, it can  
148 be challenging to distinguish ecological change from differences due to methods and  
149 sensor/platform-related issues when interpreting localised spectral greening or browning  
150 signals (Table 1, Figure 2).

151

### 152 *Ecological factors influencing greening and browning trends*

153 The ecological processes underlying spectral greening or browning measured by satellites are  
154 diverse and may unfold across overlapping scales, extents and timeframes. In tundra  
155 ecosystems, vegetation changes linked to spectral greening could include: encroachment of  
156 vegetation on previously non-vegetated land surfaces<sup>18,47</sup>, increases of previously existing  
157 vegetation<sup>5</sup>, changes in community composition – such as tundra shrub expansion<sup>5,19,27</sup>,  
158 and/or changes in plant traits such as height<sup>48,49</sup>, leaf area, or phenology<sup>50–52</sup>. Tall shrub tundra  
159 typically has a higher NDVI than other tundra plant types<sup>49,53,54</sup>, and bare ground<sup>29</sup> has a much  
160 lower NDVI than vegetated tundra (Figure 2). Spectral browning could be related to a variety  
161 of factors including for example loss of photosynthetic foliage<sup>12</sup> or increases in bare ground

162 cover due to permafrost thaw<sup>55</sup> (Figure 1). Thus, changes in the species composition, growth  
163 form and traits of plant communities can influence greening and browning trends.

164

#### 165 *Physical factors influencing greening and browning trends*

166 Widespread non-biological changes in high-latitude ecosystems could confound and decouple  
167 spectral greening or browning trends from changes in plant productivity (Table 1). Land cover,  
168 topography, and associated soil moisture, surface water, land-surface disturbances and snow-  
169 melt dynamics can all influence the measured spectral greenness of landscapes<sup>56–63</sup> and likely  
170 influence greening trends. For example, changes in the extent of summer snow patches<sup>63</sup>,  
171 surface water<sup>60</sup> or surface soil moisture<sup>59</sup> that are often associated with landscape-scale  
172 topographic variation could influence the measured NDVI of the land surface. At high latitudes,  
173 optical satellite sensors are only effective for a short annual window due to the prolonged polar  
174 night, while low sun angles and persistent cloud cover reduce data quality in the summer  
175 season (Table 1). The unique physical properties of high-latitude ecosystems in addition to  
176 the constraints of polar remote sensing are often underemphasized in remote sensing studies  
177 of Arctic vegetation change.

178

#### 179 *Arctic browning and heterogeneity of spectral greening trends*

180 Not all areas of the Arctic are spectrally greening (Figure 1), and in recent years spectral  
181 browning and heterogeneity of spectral greening trends have been highlighted<sup>3,4,12,13,23</sup>.  
182 Ecological explanations for vegetation browning include for example the sudden loss of  
183 photosynthetically active foliage due to extreme climatic events<sup>64–67</sup>, biological interactions  
184 (e.g., disease or herbivore outbreaks)<sup>68–70</sup>, permafrost degradation<sup>23,55</sup> (Figure 1), increases in  
185 standing dead biomass<sup>71</sup>, coastal erosion<sup>72</sup>, salt inundation<sup>73</sup>, altered surface water  
186 hydrology<sup>74,75</sup> or fire<sup>9,76,77</sup>. Spectral browning, however, could be attributed to reduced  
187 productivity caused by adverse changes in growing conditions such as lower water availability,  
188 shorter growing seasons<sup>3</sup> or nutrient limitation<sup>27</sup>. Nonetheless, long-term spectral greening  
189 trends remain far more pervasive than spectral browning in tundra ecosystems. Figures vary

190 from 42% greening and 2.5% browning from 1982 to 2014 in the GIMMS3g AVHRR dataset<sup>78</sup>,  
191 20% greening and 4% browning from 2000 to 2016 in Landsat data<sup>15</sup> and estimates of 13%  
192 greening and 1% browning for the MODIS trends calculated for 1000 random points in the  
193 tundra polygon in Figure 1 from 2000 to 2018. At circumarctic scales, the magnitude, spatial  
194 variability, and proximal drivers of patterns and trends of spectral greening versus browning  
195 are not well understood.

196

### 197 *Correspondence between satellite and ground-based observations*

198 Evidence for correspondence among *in-situ* vegetation change and trends in satellite-derived  
199 vegetation indices is mixed<sup>47,79–81</sup>. NDVI trends across satellite datasets do not necessarily  
200 directly correspond with one another<sup>6,9</sup>, nor does any one sensor or vegetation index  
201 combination correspond directly with *in-situ* vegetation change<sup>47</sup>. For example, NDVI has  
202 been related to interannual variation in radial shrub growth<sup>5,10,82</sup>, yet how radial growth links to  
203 change in leaf area, aboveground biomass, or landscape measures of productivity is not  
204 always clear<sup>83–85</sup> (Figure 3). AVHRR NDVI greening trends did not correspond with the lack of  
205 change observed with Landsat NDVI data and *in-situ* plant composition between 1984 and  
206 2009 in North Eastern Alaska<sup>47</sup>. Direct comparisons of productivity changes from vegetation  
207 cover estimates<sup>18,86</sup>, biomass harvests<sup>53</sup> or shrub growth<sup>87</sup> are complicated by the lack of  
208 annual-resolution *in-situ* data and low sampling replication across the landscape. We attribute  
209 the mixed evidence for correspondence between *in-situ* and satellite-derived measures of  
210 tundra vegetation change and greening to the complexities of existing terminology, challenges  
211 of interpretation of spectral vegetation indices at high latitudes, and the scaling issues as  
212 outlined below.

213

214 In addition to productivity analyses, changes in growing season length and advances in plant  
215 phenology have been documented using both satellite<sup>43,78,88–91</sup> and ground-based datasets,  
216 and here also paired comparisons do not always correspond (Figure 4). Measures of longer  
217 growing seasons have been attributed to earlier snowmelt and/or earlier leaf emergence in



218 spring<sup>95</sup>, and longer periods of photosynthetic activity or later snowfall in autumn<sup>96</sup>. However,  
219 few studies have monitored both leaf emergence and senescence of tundra plants *in situ* and  
220 so far provide no evidence for an increasing growing period at specific sites<sup>94,97</sup>. In addition,  
221 community-level analyses indicate shorter flowering season lengths around the tundra biome  
222 <sup>51</sup>. Shifts in plant phenology with warming<sup>51</sup> could also be linked to changing species  
223 composition or diversity<sup>18,49,87</sup>, thus influencing the phenological diversity across the  
224 landscape<sup>98,99</sup>. Satellite observations may not capture the ecological dynamics of vegetation  
225 phenology at high latitudes, as snow cover can obscure the plant seasonal signal and  
226 deciduous plants only make up a portion of the vegetated land cover. Thus, uncertainty  
227 remains whether satellite observed changes in circumarctic phenology represent a longer  
228 snow-free period uncoupled from the vegetation response or an actual realized longer growing  
229 season of plants<sup>94,100–102</sup>.

230

### 231 **Clarifying the terminology**

232 To distinguish spectral greening and browning events from longer-term trends, we propose  
233 clarified definitions of events and trends. For an individual pixel, we define the *spectral trend*  
234 as an increase or decrease in NDVI (or other spectral vegetation index) over decadal time  
235 scales and a *spectral event* as a temporal outlier in the vegetation index relative to the long-  
236 term trend. Trends should be determined using a Theil-Sen estimator or similar robust  
237 statistical test for analyses of satellite data<sup>31,103</sup>. We define a *spectral greening trend* as an  
238 increase of the vegetation index over decadal time scales. *In situ*, we interpret a *vegetation*  
239 *greening trend* as improved conditions for photosynthesis, reduced resource limitation and/or  
240 positive responses to disturbance in plant communities, resulting in greater aboveground  
241 biomass, leaf area, productivity or changes in plant community composition. We define a  
242 *spectral browning trend* as a decrease in the vegetation index over decadal time scales. A  
243 *vegetation browning trend* may correspond with an *in-situ* change in vegetation productivity  
244 due to plant dieback or loss of vegetation cover through biotic or abiotic disturbances. We  
245 define *spectral greening events* as short-term increases in land-surface greenness that can

246 be attributed to an ecological process such as revegetation of ground cover after fire and  
247 *spectral browning events* as short-term decreases in the vegetation index that can be  
248 attributed to a disturbance such as permafrost thaw or plant dieback. The definitions we  
249 propose here distinguish between slower acting climatic or biotic drivers of greening or  
250 browning trends versus event-driven changes caused by weather, biotic pulses, or other  
251 regional events such as fire.

252

### 253 *Differentiating events and trends*

254 In any measure of remotely sensed or field-based greening separate consideration of trends  
255 and events will increase ecological interpretability (Figure 5). Spectral greening and browning  
256 trends operate at any spatial scale, from localised patches to landscapes or even biome  
257 extents over decades. In contrast, spectral greening and browning events, such as those  
258 caused by vegetation dieback or rapid vegetation increase after disturbance, are often  
259 restricted to patch and regional scales over shorter durations. Events often have more limited  
260 extents relative to trends due to their proximal causes, like changes in herbivory or  
261 precipitation. Broader scale events are also possible (e.g. globally synchronized reductions in  
262 vegetation productivity caused by changes in insolation related to an intense volcanic  
263 eruption<sup>104</sup>). Therefore, greening or browning events might be embedded within overall  
264 spectral greening or browning trends, both temporally and/or spatially, without necessarily  
265 driving them (Figure 5). Examining the trend direction, magnitude and variance around the fit  
266 over time can shape more detailed investigations into the ecological interpretation of Arctic  
267 spectral greening trends.

268

### 269 *The influence of baselines and temporal sampling*

270 The baseline to which we compare productivity change will influence our interpretation of  
271 trends<sup>105</sup>. Spectral greening or browning trends and events may result in threshold changes  
272 where on-the-ground productivity does not return to the longer-term baseline (Figure 5; e.g.,  
273 pulse in recruitment at treeline<sup>106</sup> or shrubline<sup>107</sup> or a large fire<sup>78</sup>). In both satellite datasets and

274 field observations, the baseline conditions are often constrained by the limitations of data  
275 availability rather than any deliberately selected starting point<sup>6</sup>. The low temporal sampling  
276 frequency of a few days to a few weeks of many legacy remote-sensing datasets (e.g.,  
277 AVHRR, MODIS, Landsat, etc.) also introduces temporal scale-dependent effects that may be  
278 magnified in Arctic systems (Table 1). For example, comparisons of phenology across  
279 latitudes can be less reliable at higher versus lower latitudes due to shorter growing seasons  
280 and therefore fewer satellite data collection points for use in change detection analyses<sup>43,89,90</sup>.  
281 Metrics based on the annual maximum NDVI of a given pixel are more likely to be influenced  
282 by temporal sampling artefacts at high latitudes than those that integrate productivity estimates  
283 through time, such as the growing season integrated NDVI (GSINDVI)<sup>43</sup>, time-integrated NDVI  
284 (TiNDVI)<sup>44</sup> or early growing season integrated NDVI indices<sup>45</sup>. Trends in either instance could  
285 be observed or not observed due to statistical reasons related to sample size and/or the  
286 strength or linearity of the trend. Thus, simple linear analyses of annual greenness metrics  
287 derived from satellite data may not always capture real-world ecological change (Figure 5).

288

### 289 **Challenges in the interpretation of vegetation indices**

290 In addition to the need for more clearly defined terms, challenges remain in the ecologically  
291 meaningful interpretation of long-term trends in optical satellite data, especially at high  
292 latitudes. The statistical relationship between a vegetation index and biomass, leaf area,  
293 phenology, or any other measures of productivity can vary due to a suite of intrinsic (e.g.,  
294 sensor design, quality flagging algorithms), extrinsic (e.g., atmospheric conditions, sun angle,  
295 snow cover)<sup>6,108</sup> and biological factors<sup>109</sup> (Table 1). For example, the centre wavelength and  
296 width of spectral bands (e.g., in the red or near-infrared) used to generate vegetation indices  
297 were designed for different purposes in different sensors (Figure 2). While the NDVI formula  
298 may be the same, the covered spectral wavelength ranges differ between different datasets<sup>110</sup>  
299 (Figure 2b). Thus, the datasets may be more or less sensitive to specific non-vegetative  
300 influences, such as atmospheric scattering or the magnitude of spectral mixing associated  
301 with non-vegetated surfaces<sup>58</sup>. Spectral mixing is the process of decomposing the spectral

302 signature of a mixed pixel into the abundances of a set of endmember categories<sup>111</sup>. Longer-  
303 term vegetation change is difficult to resolve from cross-sensor comparisons among different  
304 satellite datasets or even among intercalibrations of the same sensor type (Figure 1). For  
305 these reasons, caution is warranted when comparing vegetation indices derived from different  
306 satellite products or even versions of the same product with different atmospheric corrections,  
307 quality assessments, and spatial/temporal compositing approaches<sup>6,110</sup>. Differences in NDVI  
308 signal processing are actively studied by the remote-sensing community (Table 1), but could  
309 be better accounted for or quantified in Arctic greening studies.

310

### 311 *Nonlinearities in NDVI as a vegetation proxy*

312 Direct interpretations of vegetation changes from spectral data are contingent on the local  
313 relationship between NDVI and *in-situ* vegetation. The statistical relationships between  
314 vegetation indices and measures of Arctic vegetation biomass are nonlinear<sup>30,112</sup> (Figure 2).  
315 This nonlinearity presents challenges for trend interpretation that are illustrated in Figure 2a.  
316 Here, an absolute increase in biomass for a 'low biomass' community towards a 'moderate  
317 biomass' community would result in a positive NDVI trend, but that same absolute biomass  
318 increase from moderate to high biomass would show virtually no trend in NDVI due to  
319 saturation (Figure 2). Thus, the relationship to common ecological variables like changes in  
320 biomass or shrub ring widths (Figure 4) can be obscured by nonlinearities. Because the  
321 greening and browning terms are tied to changes in vegetation proxies, rather than direct  
322 biological measures, a lack of correspondence could occur between remotely-sensed  
323 vegetation proxies and *in-situ* vegetation change (Figure 2, 4 and 5). Such potential  
324 discrepancies exemplify why caution should be used when interpreting linear trends in proxies  
325 like NDVI (Figure 1) that are nonlinearly related to vegetation productivity without the use of  
326 *in-situ* data to corroborate conclusions.

327

### 328 *Scaling issues in Arctic greening analyses*

329 Scale and hierarchies present a longstanding challenge in the interpretation of remotely-  
330 sensed vegetation proxies<sup>113–115</sup> (Figure 5). All long-term vegetation proxy time series  
331 (Landsat, MODIS, AVHRR) spatially aggregate spectral data to pixels (i.e., grains) that span  
332 hundreds of square metres to tens of square kilometres. The spectral signatures of plants and  
333 non-vegetative features in a landscape are reduced to a single value. The loss of variability  
334 within pixels masks information useful for the attribution of greening signals to processes  
335 across ecological hierarchies from populations and communities to ecosystems (Table 1,  
336 Figure 3 and 5). For example, within a single AVHRR GIMMS3g pixel, a subselection of 1 x 1  
337 km pixels are upscaled to 8 x 8 km<sup>33</sup>. Within this aggregated pixel, ecological contributions to  
338 spectral greening signals such as increased shrub cover on south-facing slopes or  
339 revegetation of drained lake beds may be mixed with browning signals (Figure 1) from for  
340 example disturbances such as retrogressive thaw slumps or vegetation trampling by  
341 herbivores. High-latitude pixels may also contain shadows caused by low-sun angle, or patchy  
342 snow- or cloud-cover (Table 1). Thus, the emergent time series from such a pixel describes  
343 no single vegetation dynamic or environmental factor, but rather their integrated spectral  
344 responses. Broad-scale patterns of spatial variability in greening and browning across pixels  
345 are also influenced by grain size<sup>115</sup> (Figure 1, 2, 5). Higher resolution satellites such as Landsat  
346 can reduce, but not necessarily eliminate such spectral mixing<sup>15</sup>. However, the extent to which  
347 the sometimes-contradictory greening and browning signals found across different spectral  
348 datasets can be attributed to the influence of the scale of measurement is poorly understood.  
349

350 *Complexities of capturing phenology*

351 Measuring landscape phenology with satellite data presents additional challenges to  
352 ecological interpretation of Arctic greening (Table 1). The variability of timing of satellite  
353 imagery from year to year particularly at high latitudes<sup>92</sup> can confound measures of phenology  
354 (known as phenometrics). Cloud or fog cover is highly variable and sensitive to changing sea  
355 ice conditions in coastal Arctic sites<sup>45</sup>. Seasonal variation in cloud and fog cover influences  
356 both data availability and image compositing approaches in many phenology products<sup>92</sup>. In  
357 addition, vegetation metrics from early spring are much more likely to be influenced by snow,  
358 standing water or low sun angle than those closer to peak biomass in mid- to late-  
359 summer<sup>8,55,60</sup>. However, early spring is a critical period for establishing a baseline for curve  
360 fitting or thresholding used to derive phenometrics. Ultimately no phenometric is best suited  
361 to all Arctic environments or time periods<sup>116</sup>. Snow regimes and land cover variability differ  
362 annually and regionally and thus phenometrics using coarse-grain imagery integrate different  
363 abiotic and biotic signals at different points in space and time<sup>116</sup>. Phenological differences of  
364 days to weeks or even months can result from analyses using different methods and metrics  
365 for the same datasets at the same location<sup>117</sup>. These relative differences are of substantial  
366 ecological importance given the short growing seasons of the Arctic<sup>79,116</sup> (Figure 4).  
367 Circumarctic analyses of vegetation indices generally agree that phenological shifts in the  
368 spectral greenness of the land surface are widespread<sup>79,89-91</sup>. However, the magnitude and  
369 extent of spatial and temporal scaling issues in high-latitude remotely-sensed phenology  
370 trends warrant further consideration and research<sup>114</sup>.

371

372 ***Towards a consensus perspective on Arctic greening***

373 The fields of remote sensing and field-based ecology will benefit from jointly addressing the  
374 complexities of interpreting spectral and vegetation greening and browning trends. Analyses  
375 from one satellite platform or one specific ecological context is not sufficient to disentangle  
376 Arctic greening complexity. The required next steps will be an integration of perspectives and

377 approaches through existing and new international research efforts to address the following  
378 critical research gaps:

379

380 1. *Addressing scale issues by integrating proximal remote sensing and in-situ*  
381 *observations into pan-Arctic greening analyses*

382 Analyses of observations across scales will allow us to bridge the gap and improve our  
383 mechanistic understanding of the links between *in-situ* vegetation dynamics and broader  
384 remotely-sensed patterns and trends. New instruments for carrying out *in-situ* and proximal  
385 remote-sensing observations for comparison with satellite data are developing rapidly.  
386 However, we must urgently develop standardized field data collection protocols. In order to  
387 facilitate future synthesis, we need to incorporate data from long-term ecological  
388 monitoring<sup>12,18,87,94</sup>, historical imagery<sup>118</sup>, phenocam networks<sup>119</sup>, flux towers<sup>120</sup>, high-resolution  
389 imagery such as from aircraft, towers, and drones<sup>121</sup> and satellite observations.

390

391 2. *Incorporation of heterogeneity and uncertainty into analyses to improve confidence in*  
392 *detection of Arctic greening trends*

393 New higher spatial or temporal resolution data will inform analyses of historic greening trends.  
394 Current panarctic Landsat analyses are shedding light on greening trends by exploiting higher  
395 spatial resolution data while accounting for the lower temporal resolution of observation  
396 records<sup>15</sup>. Recent and ongoing release of higher-resolution satellite datasets (e.g., EU-funded  
397 Sentinel missions, Digital Globe, Planet constellations) and data products (e.g., the Arctic  
398 Digital Elevation Model) will provide higher spatial (2-10 m) and/or temporal resolution (1-5  
399 days) data across the Arctic<sup>122</sup>. We can gain a better understanding of past spectral greening  
400 signals from legacy satellite datasets by conducting standardized reprocessing with for  
401 example statistical methods incorporating uncertainty in observations such as image quality  
402 information, improved atmospheric corrections and snow detection.

403

404 3. *Inclusion of new observational tools beyond optical vegetation indices to clarify the*  
405 *mechanistic links between spectral greening and vegetation change*

406 In addition to incorporating higher resolution datasets, new types of data collection can inform  
407 our understanding of what greening patterns and trends represent. New remote sensing  
408 campaigns using hyperspectral sensors or those that can measure Solar-Induced  
409 Fluorescence (SIF)<sup>123</sup> will provide new insights into vegetation dynamics. However, future  
410 sensor development across satellite, aircraft and near-surface platforms should be designed  
411 to maximize comparability. In addition to new data collection, novel data integration  
412 approaches, for example those employing machine learning, will provide greater insights into  
413 biome-scale analyses linking remote sensing observations with ecological change in high-  
414 latitude ecosystems<sup>21,124</sup>.

415

416 **Conclusions**

417 Recent research has highlighted the complexity in observed Arctic greening and browning  
418 trends. Although satellite data have been used to detect and attribute global change impacts  
419 and resulting climate feedbacks in Arctic ecosystems<sup>20,22</sup>, numerous questions and  
420 uncertainties remain. The three major challenges in resolving these uncertainties are: 1)  
421 improving the clarity of the definitions of widely used terminology associated with greening  
422 and browning phenomena, 2) promoting the understanding of the strengths and limitations of  
423 vegetation indices when making ecological interpretations and, 3) better incorporating and  
424 accounting for different scales of observation and uncertainty in analyses of changing tundra  
425 productivity and phenology. New sensors and better access to legacy data are improving our  
426 ability to remotely sense vegetation change. However, new data alone will not provide  
427 solutions to many of the longstanding conceptual and technical challenges. The complexity of  
428 Arctic greening will only be fully understood through multidisciplinary efforts spanning the fields  
429 of ecology, remote sensing, earth system science and computer science. As a field, we need  
430 to look forwards to quantify contemporary and future change, but also backwards by  
431 conducting reanalyses of historical data. Ultimately, we urgently need a deeper understanding



432 of the relationships between patterns and processes in greening and browning dynamics to  
433 improve estimates of the globally-significant climate change feedbacks in high-latitude  
434 ecosystems<sup>20</sup>.

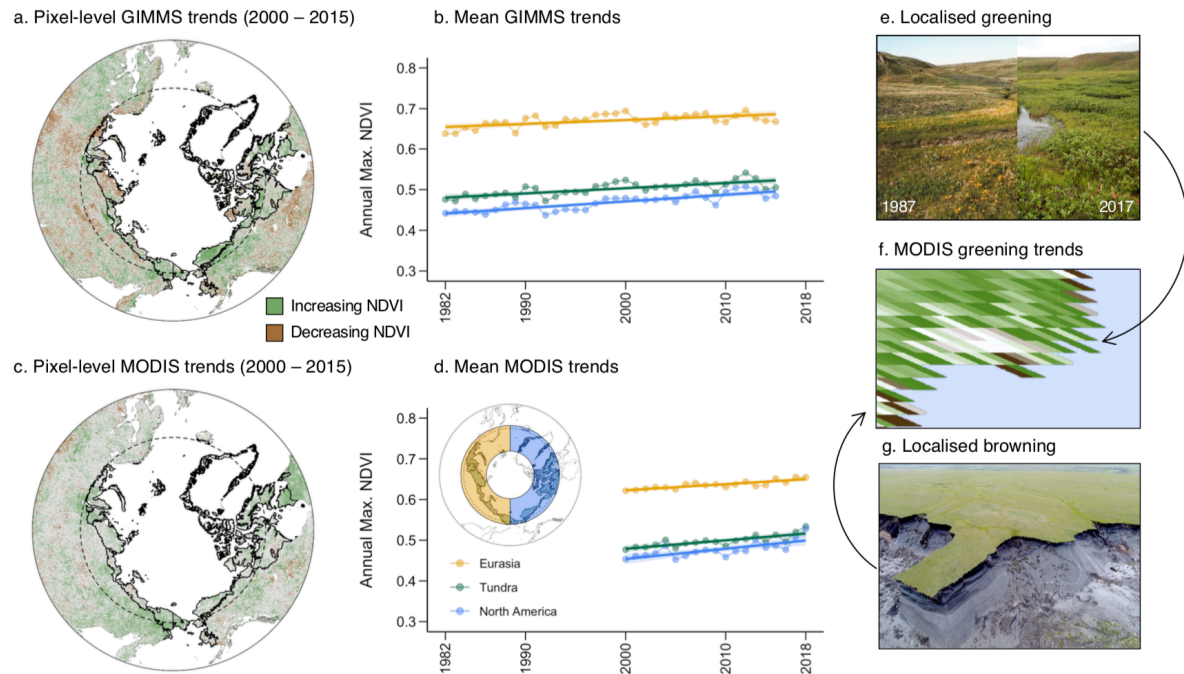
435 Table 1. A variety of geophysical<sup>13,108,125</sup>, environmental<sup>45,61,62</sup> and ecological<sup>12,48,50,55,58,112</sup>  
 436 factors can influence the magnitude and direction of change in vegetation indices and are  
 437 particularly problematic at high latitudes<sup>6</sup>. The effects include: 1) Radiometric effects:  
 438 differences among satellite datasets including band widths, atmospheric effects, cloud-  
 439 screening algorithms, sensor degradation, orbital shift and bidirectional reflectance distribution  
 440 functions originating from differences in field of view and sun geometries. 2) Spectral mixing:  
 441 the reflectance of sub-pixel spatial heterogeneity that can influence the overall pixel signal  
 442 (Figure 2). 3) Adjacency effects: the reflectance of surrounding pixels that can influence the  
 443 signal of a given pixel (Figure 2). And, 4) a variety of environmental and ecological factors  
 444 from snow melt and soil moisture dynamics to composition of evergreen versus deciduous or  
 445 vascular versus non-vascular plants.

<b>Factors influencing vegetation indices</b>	<b>Specific effects</b>	<b>Influence on greening patterns and trends</b>
Low sun angle	Radiometric effects	At high latitudes, low sun angles and cloud shadows can have a greater influence on vegetation indices relative to lower latitudes <sup>126</sup> . Low sun angle reduces NDVI, an effect magnified in spring and autumn. Shadows also reduce NDVI and may be difficult to detect in coarse grained imagery <sup>45</sup> .
Cloud cover	Radiometric effects, Spectral mixing, Adjacency effects	Thin cloud, fog and smoke can influence imagery, reducing NDVI. Cloud and fog are particularly problematic in coastal regions and can vary greatly between image acquisitions <sup>45</sup> . Cloud-screening algorithms differ among satellite datasets (in part as a function of available spectral bands), and partly cloudy or hazy conditions are particularly difficult for screening algorithms to detect consistently. In addition, the fogginess of Arctic locations can vary over time due to changing sea ice conditions <sup>127</sup> or temperatures <sup>45</sup> .
Standing water	Spectral mixing, Adjacency effects	Standing water <sup>61</sup> can influence comparisons of vegetation indices across space and may not be detectable in coarse-grained imagery, despite influencing spectral signatures. NDVI values of water are generally low, however shallow water or standing water intermixed with vegetation or algal growth may not be identified as water by quality filters and may have higher NDVI. Water within a pixel may lead to artificially low NDVI values and can influence estimates of NDVI change over time. This is especially relevant to the Arctic during the spring and summer as snow melts and turns into ephemeral ponds and lakes whose spectral signatures will be mixed with nearby vegetation. NDVI signals could be driven by changes in standing water over time associated with changing precipitation, permafrost conditions, and/or warming rather than by changes in vegetation <sup>57,58,61,128</sup> .
Snow patches	Spectral mixing, adjacency effects	Sub-pixel sized snow patches will decrease the NDVI for a given tundra area <sup>58</sup> . NDVI values of snow are strongly negative. Earlier snow loss or later snow return may drive a strong positive trend in

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		NDVI. Longer persistence of snow on the landscape in patches may not be filtered by quality algorithms, yet could still lead to lower NDVI values.
	Snow versus phenology dynamics	Surface reflectance just after snow off is commonly used as the baseline when fitting phenology models. This approach masks the effects of sub-nivean phenological progression and/or may overemphasise the role of snow-off or snow-on dates as a driver of plant phenology <sup>58,64</sup> .
Soil moisture	Spectral mixing	Soil moisture can influence the reflectance of vegetated tundra surfaces <sup>59,60</sup> . NDVI values are sensitive to soil moisture, which may or may not covary with vegetation change. Furthermore, NDVI is relatively insensitive to changes in very sparsely vegetated (e.g., the High Arctic <sup>129</sup> ) and very densely vegetated (e.g., forest or shrubland <sup>130</sup> ) environments.
	Plant water content	Mosses can absorb water and thus influence surface reflectance of landscapes independent of vascular plant phenology and productivity <sup>128</sup> .
Short growing season	Timing of image acquisition	Trends in NDVI metrics and growing season length can be influenced by the timing of data acquisition. To compare spatial patterns in vegetation indices among sites, images are required from the same time within the growing season and the same time points within the day <sup>128</sup> . However, the short growing seasons at high latitudes make image acquisition particularly challenging. Satellites have different temporal frequencies for overpasses thus influencing comparisons. Growing season length decreases at higher latitudes, thus the impact of missing data is of a greater magnitude as latitude increases.
Rapid plant phenology	Chosen phenometric	The specific metrics used to quantify phenology will influence the resulting patterns observed <sup>92</sup> . Combining datasets with different spatial and temporal resolutions can limit comparisons (Figure 2). Variation in phenology metrics due to curve-fitting methods can exceed variation in measured phenology signals. Thus, using the same phenological functions across large geographic and ecological gradients, such as across the high latitudes, may introduce biases and/or errors.
	Phenological diversity	Changes in phenology of individual species or plants growing in particular microclimates can lead to shifts in landscape phenology <sup>51</sup> .
Plant traits and functional groups or types	Isolating changes in plant productivity and canopy structure versus composition	Vegetation indices are related to radiation absorbed by green foliage (APAR), canopy structure, species composition, leaf-level traits and biomass <sup>38,40</sup> (Figure 2). However, how vegetation indices and ecological properties covary across diverse Arctic ecosystems is not well established. Other factors including bare ground cover, canopy structure, etc. that influence vegetation indices must be accounted for to isolate productivity change from other land surface changes.
	Vascular and deciduous versus non-vascular and evergreen plants	Non-vascular or evergreen plants can obscure the deciduous vascular plant seasonal signal <sup>50,82</sup> . Tundra without vascular plants can additionally have a substantial cover of biological soil crust communities consisting of lichens, cyanobacteria, mosses and green algae that may also influence NDVI <sup>109,128</sup> .

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**Satellite records indicate greening trends across the circumpolar Arctic**

447

448 **Figure 1. Arctic greening varies across space and time and among satellite datasets**449 **driven by both actual in-situ change and, in part, by the challenges of satellite data**450 **interpretation and integration.** Trends in maximum NDVI vary spatiotemporally and the

451 magnitude of changes is different depending on what satellite imagery is analysed (a and b,

452 data subsetting to temporally overlapping years; c and d, GIMMS3gv1 1982 to 2015 and

453 MODIS MOD13A1v6 2000 to 2018). Regional trends may summarise localised greening, for

454 example shrub encroachment (e) and browning such as permafrost thaw (g) occurring at the

455 pixel scale on Qikiqtaruk - Herschel Island in the Canadian Arctic (f). NDVI trends (a and b)

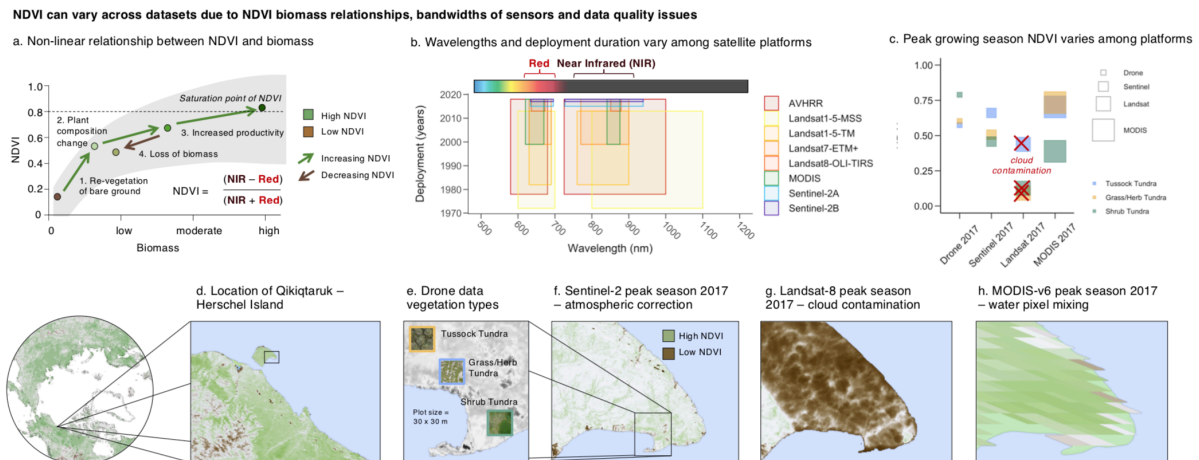
456 were calculated using robust regression (Theil-Sen estimator) in the Google Earth Engine.

457 Dashed line indicates the Arctic Circle and the black outlined polygon and green 'Tundra' line

458 (c and d) indicates the Arctic tundra region from the Circum-Arctic Vegetation Map

459 ([www.geobotany.uaf.edu/cavm/](http://www.geobotany.uaf.edu/cavm/)). The inset map in d indicates the regions for the mean trends

460 for yellow 'Eurasia' and blue 'North America' polygons.



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**Figure 2. Ecological interpretation of trends in the Normalized Difference Vegetation**

**Index (NDVI) requires a consideration of non-ecological factors.** NDVI, calculated as the

difference between red and near infrared bands (NIR), has a non-linear relationship with

different metrics of plant productivity (a). Satellite platforms have different spectral band widths

which can influence calculations of NDVI despite shared centre wavelengths (b). NDVI values

from commonly available satellite data products and drone datasets (c) differed substantially

across products and across plots of three different vegetation types (e) during the period of

peak biomass in 2017 on Qikiqtaruk – Herschel Island, Yukon. Here, factors such as a lack of

atmospheric correction (f), cloud or fog contamination (g), sub-pixel mixing (h), different plot

grain sizes of data in more or less heterogeneous vegetation cover and timing of data

acquisition could have all influenced NDVI values. Data were analysed and extracted for 30 x

30 m plots from 13<sup>th</sup> July to 4<sup>th</sup> August in 2017 using the Google Earth Engine for the MODIS

MYD13A1v6 (pixel size = 500 m x 500 m) and Landsat 8 (pixel size = 30 m x 30 m) NDVI

product, and the top-of-atmosphere Sentinel-2 NDVI product without atmospheric corrections

(pixel size = 10 m x 10 m) NDVI, and Pix4D-processed drone data collected using a

radiometrically calibrated four-band multispectral sensor (Sequoia, pixel size = 12 cm x 12 cm)

on an FX-61 fixed-wing platform with the High-latitude Drone Ecology Network protocols

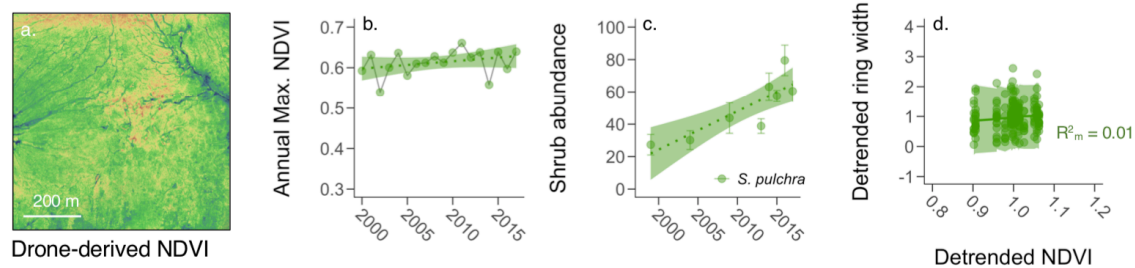
(<https://arcticdrones.org/>). We purposefully present data with quality and processing issues

above to highlight the challenges in quantifying NDVI in regional-to-global studies where data

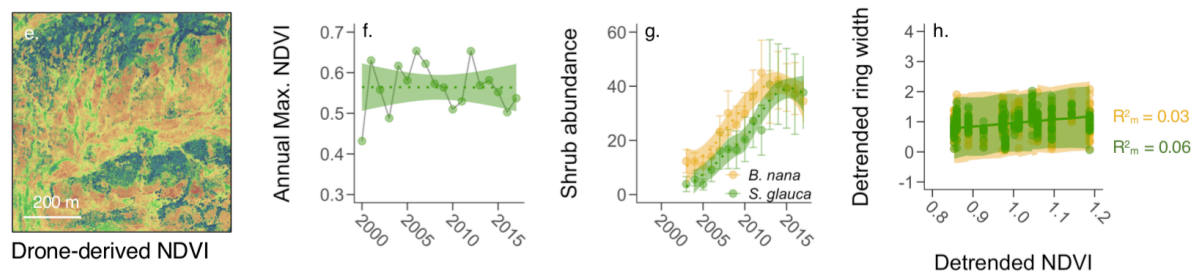
quality issues may be spatially or temporally variable among locations.

**Spatial heterogeneity in landcover can influence NDVI ~ vegetation relationships**

Qikiqtaruk, Canada – low landscape-level heterogeneity and increasing shrub abundance and variable radial growth



Kangerlussuaq, Greenland - high landscape-level heterogeneity, increased yet stabilized shrub abundance and variable radial growth



482

483 **Figure 3. Sub-pixel spatial heterogeneity in vegetative greening and browning cannot**484 **be accurately captured at coarser grains.** Landscape patterns (a, e), trends (b, f), and485 variability (d, h) in NDVI may not represent *in-situ* observations of vegetation change. NDVI

486 trends and interannual variability had mixed correspondence with increases in shrub

487 abundance (c, g) and interannual variability in shrub growth on Qikiqtaruk – Herschel Island,

488 Yukon<sup>94</sup> (c, point framing in twelve 1-m<sup>2</sup> plots; d, *Salix pulchra* = 21,489 <https://github.com/ShrubHub/QikiqtarukHub>) and Kangerlussuaq, Greenland<sup>85,131</sup> (g, 13 0.25-490 m<sup>2</sup> plots; H, *Betula nana* = 42, *Salix glauca* = 32,491 <https://arcticdata.io/catalog/view/doi:10.18739/A24X0Q>,492 <https://arcticdata.io/catalog/view/doi:10.18739/A28Q18>,493 <https://arcticdata.io/catalog/view/doi:10.5065/D6542KRH>). Errors are standard error bars

494 around mean values (c, g) and 95% credible intervals for a Bayesian hierarchical model of the

495 relationship between detrended annual growth rings and NDVI with shrub individual and year

496 as random effects (d, h). Detrending was done using a spline fit from the dplR package in R.

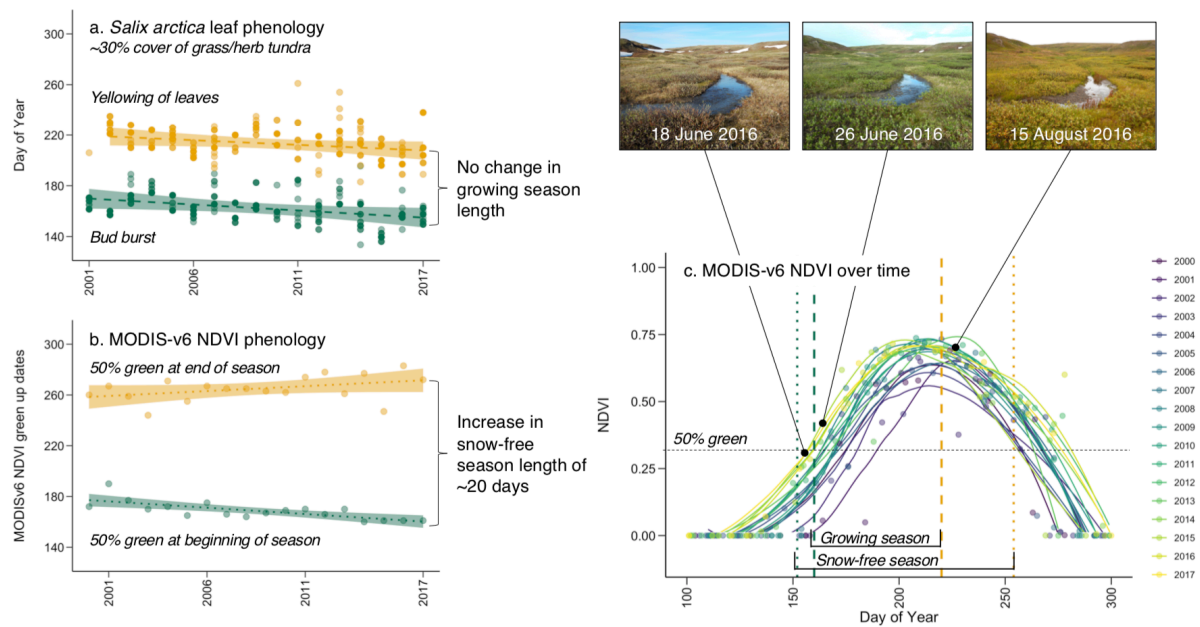
497 Credible intervals for model slopes overlapped with zero (d, h). Marginal R<sup>2</sup> values indicate

498 the variance in detrended ring widths explained by detrended NDVI (d, h). Landscape NDVI

499 patterns (a and f) were measured using a Parrot Sequoia and FX-61 fixed wing platform

500 according to High-latitude Drone Ecology Network protocols in the summer of 2017  
501 (<https://arcticdrones.org/>) and analysed using the Pix4D software. Coarser-grain NDVI time  
502 series (MODIS MOD13A1v6, 500m pixels) were calculated using Google Earth Engine and  
503 the Phenex package in R.

### Plant phenology does not always match land-surface greenness across the growing season



504

505 **Figure 4. Satellite-derived phenology estimates do not always match with in-situ plant**506 **phenology observations.** Satellite-observed snow-free season length of the land surface

507 (here defined as the period with NDVI greater than 50% of the max NDVI, b and c) might not

508 directly correspond to the growing season of vascular plants in tundra ecosystems, particularly

509 in autumn (a). Snow-melt dynamics can obscure the plant phenology signal and non-vascular

510 or evergreen plants can obscure the deciduous vascular plant seasonal signal. Plant

511 phenology data were collected at 20 monitoring plots on Qikiqtaruk-Herschel Island for the

512 species *Salix arctica*, which makes up approximately 30% of the cover in the grass- and forb-

513 dominated vegetation type. Analyses indicate that both leaf emergence and senescence have

514 become earlier, resulting in no change in realized growing season length despite substantial

515 increases in the snow-free period of the land surface<sup>94</sup> (a – c,516 <https://github.com/ShrubHub/QikiqtarukHub>). Satellite data are MODIS MOD13A1v6

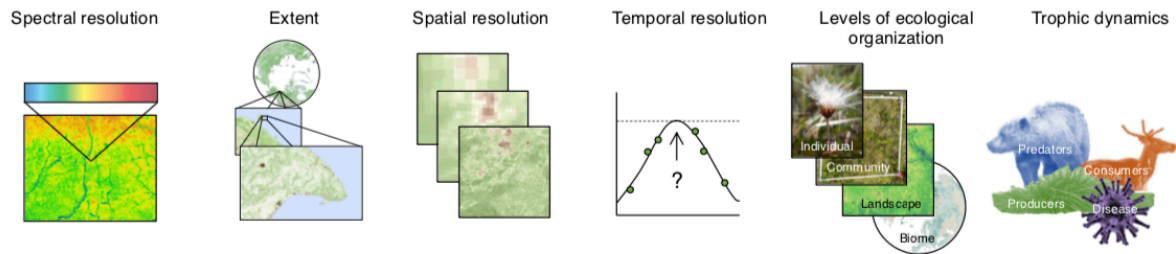
517 extracted for the pixel containing the phenology transects using Google Earth Engine and the

518 Phenex package in R (b and c).

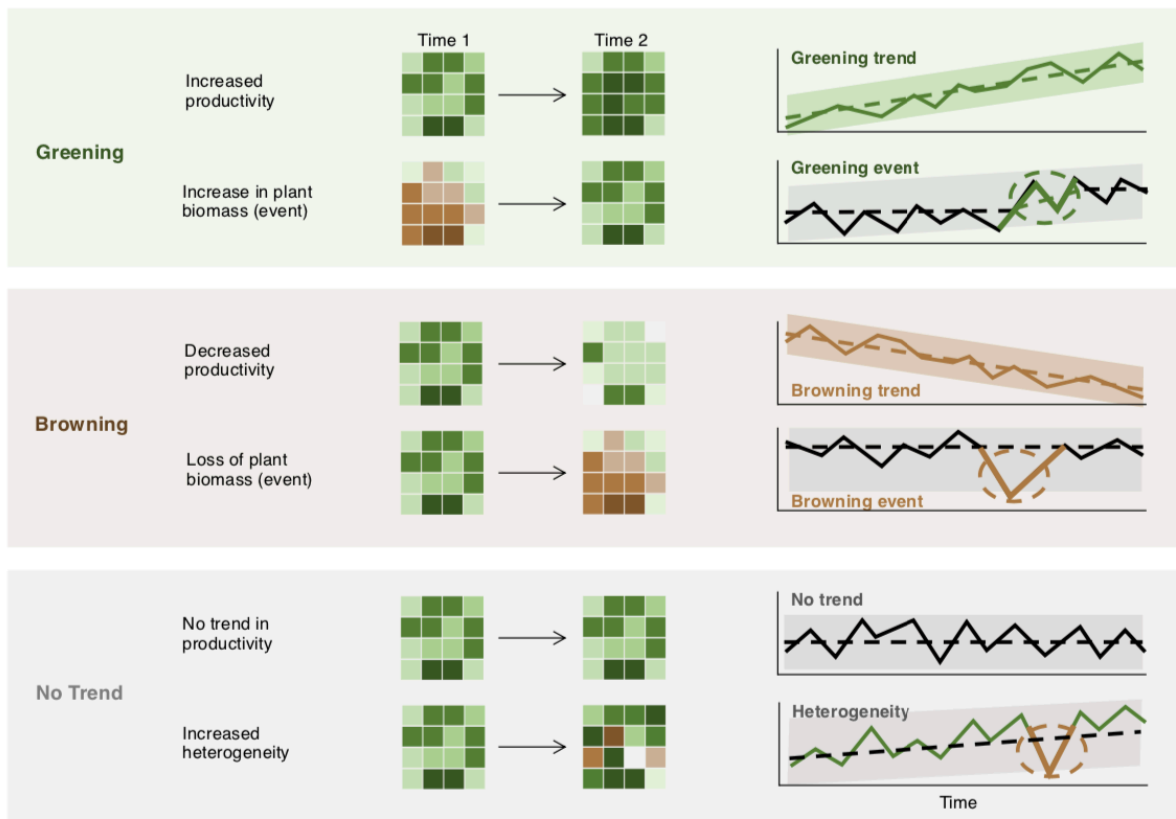


**Greening and browning complexity can be addressed by incorporating scale and clarifying ambiguity in terminology**

a. Arctic greening patterns and trends are influenced by issues of scale



b. Spatial heterogeneity in NDVI greening/browning patterns can influence greening/browning trends over time



519

520 **Figure 5. Arctic greening is influenced by both issues of measurement scale and**

521 **inference across ecological hierarchies.** Spectral resolution (Figure 2), extent (Figure 1),

522 spatial resolution (Figure 2), landscape-level heterogeneity (Figure 3), temporal resolution

523 (Figure 4), and ecological factors all influence the interpretation of greening trends (a). Within-

524 pixel changes in land surface greening and browning events and trends can translate into

525 different greening and browning patterns as their effects are scaled up (b). Ecological

526 processes that comprise greening and browning trends include a combination of events, such

527 as a pulse of plant recruitment or growth, a dieback of plants due to an extreme winter climate

528 event, herbivore or disease outbreak or other disturbance and subsequent recovery. Longer-  
529 term change such as increasing shrub cover or progression of permafrost disturbances can  
530 also influence real-world NDVI time series. These different factors add complexity to the  
531 interpretation of Arctic greening trends. The scale and hierarchy of observations need to be  
532 incorporated into and/or accounted for in future analyses of Arctic greening.

**533 Author Contributions**

534 IHM-S and JTK conducted the analyses and wrote the manuscript with contributions from all  
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539 Arctic Browning Workshop' at the University of Sheffield led by GKP.

540

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567

### 568 **Data and code availability**

569 Data come from publicly available remote sensing and ecological datasets including:

570 MODIS (<https://modis.gsfc.nasa.gov/>), GIMMS3g.v1

571 (<https://nex.nasa.gov/nex/projects/1349/>), the High Latitude Drone Ecology Network

572 (<https://arcticdrones.org/>), shrub abundance, annual growth ring and phenology datasets

573 (<https://github.com/ShrubHub/QikiqtarukHub>,

574 <https://arcticdata.io/catalog/view/doi:10.18739/A24X0Q>,

575 <https://arcticdata.io/catalog/view/doi:10.18739/A28Q18>,

576 <https://arcticdata.io/catalog/view/doi:10.5065/D6542KRH>). Code is available in a GitHub

577 repository (<https://github.com/ShrubHub/GreeningHub>).

578

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