

1 Complexity revealed in the greening of the Arctic

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82 **Editor's Summary**

83 As tundra ecosystems respond to rapid Arctic warming, satellites records suggest a
84 widespread greening. This Perspective highlights the challenges of interpreting complex
85 Arctic greening trends and provides direction for future research by combining ecological
86 and remote sensing approaches.

87

88 **Abstract**

89 As the Arctic warms, vegetation is responding and satellite measures indicate widespread
90 greening at high latitudes. This 'greening of the Arctic' is among the world's most significant
91 large-scale ecological responses to global climate change. However, a consensus is
92 emerging that the underlying causes and future dynamics of so-called Arctic greening and
93 browning trends are more complex, variable, and inherently scale dependent than previously
94 thought. Here, we summarize the complexities of observing and interpreting high-latitude
95 greening to identify key priorities for future research. Incorporating satellite and proximal
96 remote sensing with *in-situ* data, while accounting for uncertainties and scale issues will
97 advance the study of past, present, and future Arctic vegetation change.

98

99 **Main text**

100 The Arctic has warmed at more than twice the rate of the rest of the planet in recent
101 decades^{1,2}. Over the past forty years, satellite-derived vegetation indices have indicated
102 widespread change at high latitudes³⁻¹⁶. Satellite records allow for the quantification of
103 change in places that are otherwise unevenly sampled by *in-situ* ecological observations¹⁷.
104 Positive trends in satellite-derived vegetation indices (often termed Arctic greening)¹⁵ are
105 generally interpreted as signs of *in-situ* increases in vegetation height, biomass, cover and
106 abundance^{5,18,19} associated with warming^{5,14}. In the most recent Intergovernmental Panel on
107 Climate Change report, tundra vegetation change including greening trends derived from
108 satellite records²⁰ was identified as one of the clearest examples of the terrestrial impacts of
109 climate change. Large-scale vegetation-climate feedbacks at high latitudes associated with

110 greening could alter global soil carbon storage and the surface energy budget^{21,22}. In recent
111 years, slowing or reversal of apparent greening from satellite studies have been reported in
112 some regions (sometimes termed Arctic browning)^{3,4,12,13,15,23,24}. This slowdown is seemingly
113 at odds with earlier responses to long-term warming trends^{3,25}. Research now indicates
114 substantial heterogeneity in vegetation responses to climate change in the Arctic^{18,19,26,27}.
115 However, the mechanistic links between satellite records and *in-situ* observations^{3,6,24} remain
116 unclear due to conceptual and technical barriers in their analysis and combined
117 interpretation.

118

119 **A review of Arctic greening**

120 The terms Arctic 'greening' and 'browning' can have different meanings in the remote
121 sensing and ecology literatures. From a remote sensing perspective, 'greening' (hereafter
122 spectral greening) generally refers to a positive trend^{4,5,7,8,10,13-15}, and 'browning' (hereafter
123 spectral browning) generally refers to negative trend in satellite-derived vegetation
124 indices^{3,4,12,13,15,23,24}. Less frequently, greening is also used to describe advances in the
125 seasonal timing of these vegetation proxies^{4,28}. From a field-ecology perspective, greening
126 (hereafter vegetation greening) and browning (hereafter vegetation browning) refer to field-
127 observed changes in vegetation^{4,12,13,24}. Historically, the general terms greening and
128 browning were thus used to describe both a proxy of vegetation change and/or vegetation
129 change itself depending on context. This lack of precise usage causes conceptual
130 misunderstandings about Arctic greening and attribution to the drivers of change. Here, we
131 present the current understanding of Arctic spectral and vegetation greening and browning
132 to lay the foundations for a consensus between the remote sensing and field ecology
133 perspectives.

134

135 *Vegetation indices as proxies of vegetation productivity*

136 Long-term trends in global vegetation dynamics are most commonly quantified from time
137 series of spectral vegetation indices derived from optical satellite imagery (Figure 1). These

138 indices are designed to isolate signals of leaf area and green vegetation cover from
139 background variation by emphasizing reflectance signatures in discrete regions of the
140 radiometric spectrum^{6,29-32}. Common vegetation indices include the Normalized Difference
141 Vegetation Index (NDVI, Figure 2), Enhanced Vegetation Index (EVI) and Soil Adjusted
142 Vegetation Index (SAVI), among others³³⁻³⁵. NDVI correlates with biophysical vegetation
143 properties like Leaf Area Index (LAI) and the fraction of Absorbed Photosynthetically Active
144 Radiation (fAPAR)^{14,36-39}. However, these vegetation indices were not developed in polar
145 contexts⁴⁰ and are only proxies of photosynthetic activity rather than direct measurements of
146 biological productivity^{33,39,41}. NDVI is the most commonly used vegetation index because it is
147 simple to calculate with spectral bands monitored since the launch of early-generation Earth-
148 observing satellites in the 1970s (Figure 2) and is perhaps best defined as a measure of
149 above-ground vegetation greenness.

150

151 The longest-term openly-available NDVI datasets have been produced from satellite-based
152 sensors with broad spatial coverages and different sampling frequencies. The most common
153 datasets include: 1) the Advanced Very-High-Resolution Radiometer (AVHRR – 1982 to
154 present) on board NOAA satellites, 2) the Moderate-resolution Imaging Spectroradiometer
155 (MODIS – 2000 to present) on board NASA satellites, and 3) NASA-USGS Landsat sensors
156 (1972 to present). Most studies of long-term trends calculate annual measures of maximum
157 NDVI to derive change over space and time, though time-integrated approaches are also
158 used^{30,42-44}. However, trends in NDVI data produced from different satellite datasets or using
159 different methods do not always correspond at a given location^{6,45,46} (Figure 1a,c). Thus, it
160 can be challenging to distinguish ecological change from differences due to methods and
161 sensor/platform-related issues when interpreting localised spectral greening or browning
162 signals (Table 1, Figure 2).

163

164 *Ecological factors influencing greening and browning trends*

165 The ecological processes underlying spectral greening or browning measured by satellites
166 are diverse and may unfold across overlapping scales, extents and timeframes. In tundra
167 ecosystems, vegetation changes linked to spectral greening could include: encroachment of
168 vegetation on previously non-vegetated land surfaces^{18,47}, changes in community
169 composition – such as tundra shrub expansion^{5,19,27}, and/or changes in plant traits such as
170 height^{48,49}, leaf area, or phenology^{50–52}. Tall shrub tundra typically has a higher NDVI than
171 other tundra plant types^{49,53,54}, and bare ground²⁹ has a much lower NDVI than vegetated
172 tundra (Figure 2). Spectral browning could be related to a variety of factors including for
173 example loss of photosynthetic foliage¹² or increases in bare ground cover due to permafrost
174 thaw⁵⁵ (Figure 1). Thus, changes in the species composition, growth form and traits of plant
175 communities can influence greening and browning trends.

176

177 *Physical factors influencing greening and browning trends*

178 Widespread non-biological changes in high-latitude ecosystems could confound and
179 decouple spectral greening or browning trends from changes in plant productivity (Table 1).
180 Land cover, topography, and associated soil moisture, surface water, land-surface
181 disturbances and snow-melt dynamics can all influence the measured spectral greenness of
182 landscapes^{56–63} and likely influence greening trends. For example, changes in the extent of
183 summer snow patches⁶³, surface water⁶⁰ or surface soil moisture⁵⁹ that are often associated
184 with landscape-scale topographic variation could influence the measured NDVI of the land
185 surface. At high latitudes, optical satellite sensors are only effective for a short annual
186 window due to the prolonged polar night, while low sun angles and persistent cloud cover
187 reduce data quality in the summer season (Table 1). The unique physical properties of high-
188 latitude ecosystems in addition to the constraints of polar remote sensing are often
189 underemphasized in remote sensing studies of Arctic vegetation change.

190

191 *Arctic browning and heterogeneity of spectral greening trends*

192 Not all areas of the Arctic are spectrally greening (Figure 1), and in recent years spectral
193 browning and heterogeneity of spectral greening trends have been highlighted^{3,4,12,13,23}.
194 Ecological explanations for vegetation browning include for example the sudden loss of
195 photosynthetically active foliage due to extreme climatic events^{64–67}, biological interactions
196 (e.g., disease or herbivore outbreaks)^{68–70}, permafrost degradation^{23,55} (Figure 1), increases
197 in standing dead biomass⁷¹, coastal erosion⁷², salt inundation⁷³, altered surface water
198 hydrology^{74,75} or fire^{9,76,77}. Spectral browning, however, could be attributed to reduced
199 productivity caused by adverse changes in growing conditions such as lower water
200 availability, shorter growing seasons³ or nutrient limitation²⁷. Nonetheless, long-term spectral
201 greening trends remain far more pervasive than spectral browning in tundra ecosystems.
202 Figures vary from 42% greening and 2.5% browning from 1982 to 2014 in the GIMMS3g
203 AVHRR dataset⁷⁸, 20% greening and 4% browning from 2000 to 2016 in Landsat data¹⁵ and
204 estimates of 13% greening and 1% browning for the MODIS trends calculated for 1000
205 random points in the tundra polygon in Figure 1 from 2000 to 2018. At circumarctic scales,
206 the magnitude, spatial variability, and proximal drivers of patterns and trends of spectral
207 greening versus browning are not well understood.

208

209 *Correspondence between satellite and ground-based observations*

210 Evidence for correspondence among *in-situ* vegetation change and trends in satellite-
211 derived vegetation indices is mixed^{47,79–81}. NDVI trends across satellite datasets do not
212 necessarily directly correspond with one another^{6,9}, nor does any one sensor or vegetation
213 index combination correspond directly with *in-situ* vegetation change⁴⁷. For example, NDVI
214 has been related to interannual variation in radial shrub growth^{5,10,82}, yet how radial growth
215 links to change in leaf area, aboveground biomass, or landscape measures of productivity is
216 not always clear^{83–85} (Figure 3). AVHRR NDVI greening trends did not correspond with the
217 lack of change observed with Landsat NDVI data and *in-situ* plant composition between
218 1984 and 2009 in North Eastern Alaska⁴⁷. Direct comparisons of productivity changes from

219 vegetation cover estimates^{18,86}, biomass harvests⁵³ or shrub growth⁸⁷ are complicated by the
220 lack of annual-resolution *in-situ* data and low sampling replication across the landscape. We
221 attribute the mixed evidence for correspondence between *in-situ* and satellite-derived
222 measures of tundra vegetation change and greening to the complexities of existing
223 terminology, challenges of interpretation of spectral vegetation indices at high latitudes, and
224 the scaling issues as outlined below.

225

226 In addition to productivity analyses, changes in growing season length and advances in plant
227 phenology have been documented using both satellite^{43,78,88–91} and ground-based datasets,
228 and here also paired comparisons do not always correspond (Figure 4). Measures of longer
229 growing seasons have been attributed to earlier snowmelt and/or earlier leaf emergence in
230 spring⁹², and longer periods of photosynthetic activity or later snowfall in autumn⁹³. However,
231 few studies have monitored both leaf emergence and senescence of tundra plants *in situ*
232 and so far provide no evidence for an increasing growing period at specific sites^{94,95}. In
233 addition, community-level analyses indicate shorter flowering season lengths around the
234 tundra biome⁵⁰. Shifts in plant phenology with warming⁵⁰ could also be linked to changing
235 species composition or diversity^{18,48,86}, thus influencing the phenological diversity across the
236 landscape^{96,97}. Satellite records may not capture the ecological dynamics of vegetation
237 phenology at high latitudes, as snow cover can obscure the plant seasonal signal and
238 deciduous plants only make up a portion of the vegetated land cover. Thus, uncertainty
239 remains whether satellite-derived changes in circumarctic phenology represent a longer
240 snow-free period uncoupled from the vegetation response or an actual realized longer
241 growing season of plants^{94,98–100}.

242

243 **Clarifying the terminology**

244 To distinguish spectral greening and browning events from longer-term trends, we propose
245 clarified definitions of events and trends. For an individual pixel, we define the *spectral trend*
246 as an increase or decrease in NDVI (or other spectral vegetation index) over decadal time

247 scales and a *spectral event* as a temporal outlier in the vegetation index relative to the long-
248 term trend. Trends should be determined using a Theil-Sen estimator or similar robust
249 statistical test for analyses of satellite data^{30,101}. We define a *spectral greening trend* as an
250 increase of the vegetation index over decadal time scales. *In situ*, we interpret a *vegetation*
251 *greening trend* as improved conditions for photosynthesis, reduced resource limitation and/or
252 positive responses to disturbance in plant communities, resulting in greater aboveground
253 biomass, leaf area, productivity or changes in plant community composition. We define a
254 *spectral browning trend* as a decrease in the vegetation index over decadal time scales. A
255 *vegetation browning trend* may correspond with an *in-situ* change in vegetation productivity
256 due to plant dieback or loss of vegetation cover through biotic or abiotic disturbances. We
257 define *spectral greening events* as short-term increases in vegetation index greenness that
258 can be attributed to an ecological process such as revegetation of ground cover after fire
259 and *spectral browning events* as short-term decreases in the vegetation index that can be
260 attributed to a disturbance such as permafrost thaw or plant dieback. The definitions we
261 propose here distinguish between slower acting climatic or biotic drivers of greening or
262 browning trends versus event-driven changes caused by weather, biotic pulses, or other
263 regional events such as fire.

264

265 *Differentiating events and trends*

266 In any measure of remotely sensed or field-based greening separate consideration of trends
267 and events will increase ecological interpretability (Figure 5). Spectral greening and
268 browning trends operate at any spatial scale, from localised patches to landscapes or even
269 biome extents over decades. In contrast, spectral greening and browning events, such as
270 those caused by vegetation dieback or rapid vegetation increase after disturbance, are often
271 restricted to patch and regional scales over shorter durations. Events often have more
272 limited extents relative to trends due to their proximal causes, like changes in herbivory or
273 precipitation. Broader scale events are also possible (e.g. globally synchronized reductions
274 in vegetation productivity caused by changes in insolation related to an intense volcanic

275 eruption¹⁰²). Therefore, greening or browning events might be embedded within overall
276 spectral greening or browning trends, both temporally and/or spatially, without necessarily
277 driving them (Figure 5). Examining the trend direction, magnitude and variance around the fit
278 over time can shape more detailed investigations into the ecological interpretation of Arctic
279 spectral greening trends.

280

281 *The influence of baselines and temporal sampling*

282 The baseline to which we compare productivity change will influence our interpretation of
283 trends¹⁰³. Spectral greening or browning trends and events may result in threshold changes
284 where on-the-ground productivity does not return to the longer-term baseline (Figure 5; e.g.,
285 pulse in recruitment at treeline¹⁰⁴ or shrubline¹⁰⁵ or a large fire⁷⁷). In both satellite datasets
286 and field observations, the baseline conditions are often constrained by the limitations of
287 data availability rather than any deliberately selected starting point⁶. The low temporal
288 sampling frequency of a few days to a few weeks of many legacy remote-sensing datasets
289 (e.g., AVHRR, MODIS, Landsat, etc.) also introduces temporal scale-dependent effects that
290 may be magnified in Arctic systems (Table 1). For example, comparisons of phenology
291 across latitudes can be less reliable at higher versus lower latitudes due to shorter growing
292 seasons and therefore fewer satellite data collection points for use in change detection
293 analyses^{42,88,89}. Metrics based on the annual maximum NDVI of a given pixel are more likely
294 to be influenced by temporal sampling artefacts at high latitudes than those that integrate
295 productivity estimates through time, such as the growing season integrated NDVI
296 (GSINDVI)⁴², time-integrated NDVI (TiNDVI)⁴³ or early growing season integrated NDVI
297 indices⁴⁴. Trends in either instance could be observed or not observed due to statistical
298 reasons related to sample size and/or the strength or linearity of the trend. Thus, simple
299 linear analyses of annual greenness metrics derived from satellite data may not always
300 capture real-world ecological change (Figure 5).

301

302 **Challenges in the interpretation of vegetation indices**

303 In addition to the need for more clearly defined terms, challenges remain in the ecologically
304 meaningful interpretation of long-term trends in optical satellite data, especially at high
305 latitudes. The statistical relationship between a vegetation index and biomass, leaf area,
306 phenology, or any other measures of productivity can vary due to a suite of intrinsic (e.g.,
307 sensor design, quality flagging algorithms), extrinsic (e.g., atmospheric conditions, sun angle,
308 snow cover)^{6,106} and biological factors¹⁰⁷ (Table 1). For example, the centre wavelength and
309 width of spectral bands (e.g., in the red or near-infrared) used to generate vegetation indices
310 were designed for different purposes in different sensors (Figure 2). While the NDVI formula
311 may be the same, the covered spectral wavelength ranges differ between different
312 datasets¹⁰⁸ (Figure 2b). Thus, the datasets may be more or less sensitive to specific non-
313 vegetative influences, such as atmospheric scattering or the magnitude of spectral mixing
314 associated with non-vegetated surfaces⁵⁷. Spectral unmixing is the process of decomposing
315 the spectral signature of a mixed pixel into the abundances of a set of endmember
316 categories¹⁰⁹. Longer-term vegetation change is difficult to resolve from cross-sensor
317 comparisons among different satellite datasets or even among intercalibrations of the same
318 sensor type (Figure 1). For these reasons, caution is warranted when comparing vegetation
319 indices derived from different satellite products or even versions of the same product with
320 different atmospheric corrections, quality assessments, and spatial/temporal compositing
321 approaches^{6,108}. Differences in NDVI signal processing are actively studied by the remote-
322 sensing community (Table 1), but could be better accounted for or quantified in Arctic
323 greening studies.

324

325 *Nonlinearities in NDVI as a vegetation proxy*

326 Direct interpretations of vegetation changes from spectral data are contingent on the local
327 relationship between NDVI and *in-situ* vegetation. The statistical relationships between
328 vegetation indices and measures of Arctic vegetation biomass are nonlinear^{29,110} (Figure 2).
329 This nonlinearity presents challenges for trend interpretation that are illustrated in Figure 2a.

330 Here, an absolute increase in biomass for a 'low biomass' community towards a 'moderate
331 biomass' community would result in a positive NDVI trend, but that same absolute biomass
332 increase from moderate to high biomass would show virtually no trend in NDVI due to
333 saturation (Figure 2). Thus, the relationship to common ecological variables like changes in
334 biomass or shrub ring widths (Figure 4) can be obscured by nonlinearities. Because the
335 greening and browning terms are tied to changes in vegetation proxies, rather than direct
336 biological measures, a lack of correspondence could occur between remotely-sensed
337 vegetation proxies and *in-situ* vegetation change (Figure 2, 4 and 5). Such potential
338 discrepancies exemplify why caution should be used when interpreting linear trends in
339 proxies like NDVI (Figure 1) that are nonlinearly related to vegetation productivity without the
340 use of *in-situ* data to corroborate conclusions.

341

342 *Scaling issues in Arctic greening analyses*

343 Scale and hierarchies present a longstanding challenge in the interpretation of remotely-
344 sensed vegetation proxies¹¹¹⁻¹¹³ (Figure 5). All long-term vegetation proxy time series
345 (Landsat, MODIS, AVHRR) spatially aggregate spectral data to pixels (i.e., grains) that span
346 hundreds of square metres to tens of square kilometres. The spectral signatures of plants
347 and non-vegetative features in a landscape are reduced to a single value. The loss of
348 variability within pixels masks information useful for the attribution of greening signals to
349 processes across ecological hierarchies from populations and communities to ecosystems
350 (Table 1, Figure 3 and 5). For example, within a single AVHRR GIMMS3g pixel, a
351 subselection of 1 x 1 km pixels are upscaled to 8 x 8 km³². Within this aggregated pixel,
352 ecological contributions to spectral greening signals such as increased shrub cover on
353 south-facing slopes or revegetation of drained lake beds may be mixed with browning
354 signals from for example disturbances such as retrogressive thaw slumps or vegetation
355 trampling by herbivores (Figure 1). High-latitude pixels may also contain shadows caused by
356 low-sun angle, patchy snow- and/or cloud-cover (Table 1). Thus, the emergent time series
357 from such a pixel describes no single vegetation dynamic or environmental factor, but rather

358 their integrated spectral responses. Broad-scale patterns of spatial variability in greening and
359 browning across pixels are also influenced by grain size¹¹³ (Figure 1, 2, 5). Finer resolution
360 satellites such as Landsat can reduce, but not necessarily eliminate such spectral mixing¹⁵.
361 However, the extent to which the sometimes-contradictory greening and browning signals
362 found across different spectral datasets can be attributed to the influence of the scale of
363 measurement is poorly understood.

364

365 *Complexities of capturing phenology*

366 Measuring landscape phenology with satellite data presents additional challenges to
367 ecological interpretation of Arctic greening (Table 1). The variability of timing of satellite
368 imagery from year to year particularly at high latitudes⁹¹ can confound measures of
369 phenology (known as phenometrics). Cloud or fog cover is highly variable and sensitive to
370 changing sea ice conditions in coastal Arctic sites⁴⁴. Seasonal variation in cloud and fog
371 cover influences both data availability and image compositing approaches in many
372 phenology products⁹¹. In addition, vegetation metrics from early spring are much more likely
373 to be influenced by snow, standing water or low sun angle than those closer to peak
374 biomass in mid- to late-summer^{8,54,59}. However, early spring is a critical period for
375 establishing a baseline for curve fitting or thresholding used to derive phenometrics.
376 Ultimately no phenometric is best suited to all Arctic environments or time periods¹¹⁴. Snow
377 regimes and land cover variability differ annually and regionally and thus phenometrics using
378 coarse-grain imagery integrate different abiotic and biotic signals at different points in space
379 and time¹¹⁴. Phenological differences of days to weeks or even months can result from
380 analyses using different methods and metrics for the same datasets at the same location¹¹⁵.
381 These relative differences are of substantial ecological importance given the short growing
382 seasons of the Arctic^{78,114} (Figure 4). Circumarctic analyses of vegetation indices generally
383 indicate that phenological shifts in the spectral greenness of the land surface are
384 widespread^{78,88–90}. However, the magnitude and extent of spatial and temporal scaling issues

385 in high-latitude remotely-sensed phenology trends warrant further consideration and
386 research¹¹².

387

388 ***Towards a consensus perspective on Arctic greening***

389 The fields of remote sensing and field-based ecology will benefit from jointly addressing the
390 complexities of interpreting spectral and vegetation greening and browning trends. Analyses
391 from one satellite platform or one specific ecological context is not sufficient to disentangle
392 Arctic greening complexity. The required next steps will be an integration of perspectives
393 and approaches through existing and new international research efforts to address the
394 following critical research gaps:

395

396 1. *Addressing scale issues by integrating proximal remote sensing and in-situ*
397 *observations into circumarctic greening analyses*

398 Analyses of observations across scales will allow us to bridge the gap and improve our
399 mechanistic understanding of the links between *in-situ* vegetation dynamics and broader
400 remotely-sensed patterns and trends. New instruments for carrying out *in-situ* and proximal
401 remote-sensing observations for comparison with satellite data are developing rapidly.
402 However, we must urgently develop standardized field data collection protocols. In order to
403 facilitate future synthesis, we need to incorporate data from long-term ecological
404 monitoring^{12,18,86,94}, historical imagery¹¹⁶, phenocam networks¹¹⁷, flux towers¹¹⁸, high-
405 resolution imagery such as from aircraft, towers, and drones¹¹⁹ and satellites.

406

407 2. *Incorporation of heterogeneity and uncertainty into analyses to improve confidence in*
408 *detection of Arctic greening trends*

409 New finer spatial or temporal resolution data will inform analyses of historic greening trends.
410 Current circumarctic Landsat analyses are shedding light on greening trends by exploiting
411 finer spatial resolution data while accounting for the lower temporal resolution of observation
412 records¹⁵. Recent and ongoing release of finer-resolution satellite datasets (e.g., EU-funded

413 Sentinel missions, Digital Globe, Planet constellations) and data products (e.g., the Arctic
414 Digital Elevation Model) will provide finer spatial (2-10 m) and/or temporal resolution (1-5
415 days) data across the Arctic¹²⁰. We can gain a better understanding of past spectral greening
416 signals from legacy satellite datasets by conducting standardized reprocessing with for
417 example statistical methods incorporating uncertainty in observations such as image quality
418 information, improved atmospheric corrections and snow detection.

419

420 *3. Inclusion of new observational tools beyond optical vegetation indices to clarify the*
421 *mechanistic links between spectral greening and vegetation change*

422 In addition to incorporating finer resolution datasets, new types of data collection can inform
423 our understanding of what greening patterns and trends represent. Emerging remote
424 sensing campaigns using hyperspectral sensors or those that can measure Solar-Induced
425 Fluorescence (SIF)¹²¹ will provide new insights into vegetation dynamics. However, future
426 sensor development across satellite, aircraft and near-surface platforms should be designed
427 to maximize comparability. In addition to new data collection, novel data integration
428 approaches, for example those employing machine learning, will provide greater insights into
429 biome-scale analyses linking remote sensing observations with ecological change in high-
430 latitude ecosystems^{21,122}.

431

432 **Conclusions**

433 Recent research has highlighted the complexity in observed Arctic greening and browning
434 trends. Although satellite data have been used to detect and attribute global change impacts
435 and resulting climate feedbacks in Arctic ecosystems^{20,22}, numerous questions and
436 uncertainties remain. The three major challenges in resolving these uncertainties are: 1)
437 improving the clarity of the definitions of widely used terminology associated with greening
438 and browning phenomena, 2) promoting the understanding of the strengths and limitations of
439 vegetation indices when making ecological interpretations and, 3) better incorporating and
440 accounting for different scales of observation and uncertainty in analyses of changing tundra

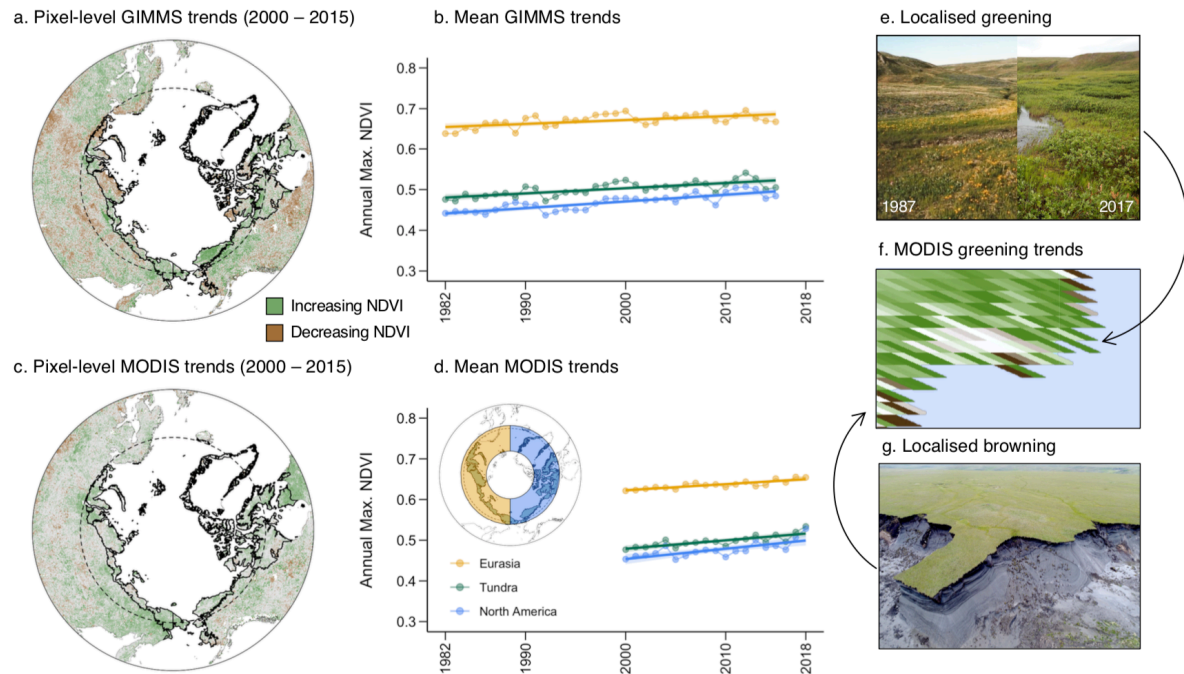
441 productivity and phenology. New sensors and better access to legacy data are improving our
442 ability to remotely sense vegetation change. However, new data alone will not provide
443 solutions to many of the longstanding conceptual and technical challenges. The complexity
444 of Arctic greening will only be fully understood through multidisciplinary efforts spanning the
445 fields of ecology, remote sensing, earth system science and computer science. As a field,
446 we need to look forwards to quantify contemporary and future change, but also backwards
447 by conducting reanalyses of historical data. Ultimately, we urgently need a deeper
448 understanding of the relationships between patterns and processes in greening and
449 browning dynamics to improve estimates of the globally-significant climate change
450 feedbacks in high-latitude ecosystems²⁰.

451 Table 1. A variety of geophysical^{13,106,123}, environmental^{44,60,61} and ecological^{12,47,49,54,57,110}
 452 factors can influence the magnitude and direction of change in vegetation indices and are
 453 particularly problematic at high latitudes⁶. The effects include: 1) Radiometric effects:
 454 differences among satellite datasets including band widths, atmospheric effects, cloud-
 455 screening algorithms, sensor degradation, orbital shift and bidirectional reflectance
 456 distribution functions originating from differences in field of view and sun geometries. 2)
 457 Spectral mixing: the blending of sub-pixel spatial heterogeneity that can influence the overall
 458 pixel signal (Figure 2). 3) Adjacency effects: the reflectance of surrounding pixels that can
 459 influence the signal of a given pixel (Figure 2). And, 4) a variety of environmental and
 460 ecological factors from snow melt and soil moisture dynamics to composition of evergreen
 461 versus deciduous or vascular versus non-vascular plants.

Factors influencing vegetation indices	Specific effects	Influence on apparent greening patterns and trends
Low sun angle	Radiometric effects	At high latitudes, low sun angles and cloud shadows can have a greater influence on vegetation indices relative to lower latitudes ⁶² . NDVI varies with sun angle, an effect magnified in spring and autumn ⁶² . Shadows also reduce NDVI and may be difficult to detect in coarse grained imagery ⁴⁴ .
Cloud cover	Radiometric effects, Spectral mixing, Adjacency effects	Thin cloud, fog and smoke can influence imagery, reducing NDVI. Cloud and fog are particularly problematic in coastal regions and can vary greatly between image acquisitions ⁴⁴ . Cloud-screening algorithms differ among satellite datasets (in part as a function of available spectral bands), and partly cloudy or hazy conditions are particularly difficult for screening algorithms to detect consistently. In addition, the fogginess of Arctic locations can vary over time due to changing temperatures ⁴⁴ and/or sea ice conditions ¹²⁴ .
Standing water	Spectral mixing, Adjacency effects	Standing water ⁶⁰ can influence comparisons of vegetation indices across space and may not be detectable in coarse-grained imagery, despite influencing spectral signatures. NDVI values of water are generally low, however shallow water or standing water intermixed with vegetation or algal growth may not be identified as water by quality filters and may have higher NDVI. Water within a pixel may lead to artificially low NDVI values and can influence estimates of NDVI change over time. This is especially relevant to the Arctic during the spring and summer as snow melts and turns into ephemeral ponds and lakes whose spectral signatures will be mixed with nearby vegetation ¹²⁵ . NDVI signals could be driven by changes in standing water over time associated with changing precipitation, permafrost conditions, and/or warming rather than by changes in vegetation ^{56,57,60,125,126} .
Snow patches	Spectral mixing, adjacency effects	Sub-pixel sized snow patches will decrease the NDVI for a given tundra area ⁵⁷ . NDVI values of snow are strongly negative. Earlier snow loss or later snow return may drive a strong positive trend in NDVI. Longer persistence of snow on the landscape in patches may not be filtered by

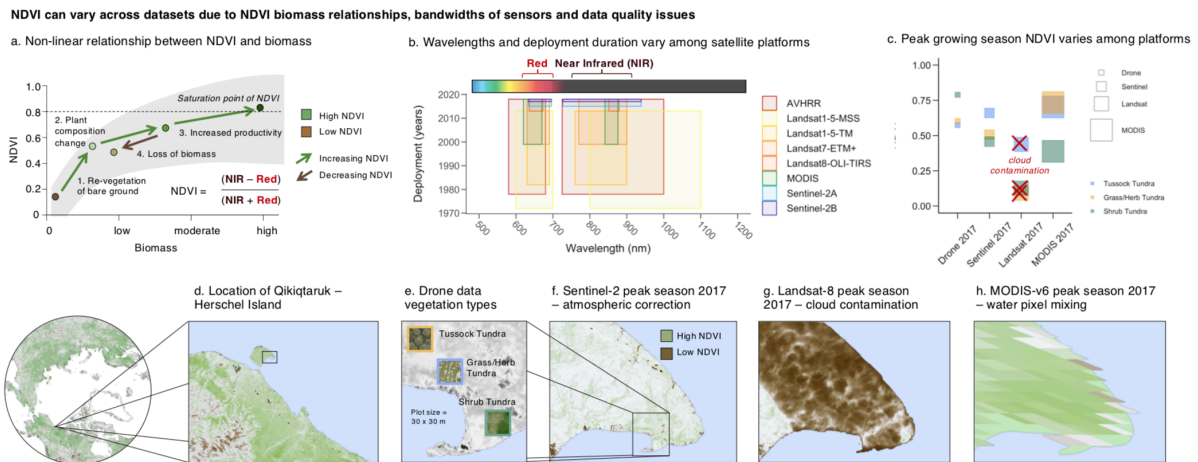
		quality algorithms, yet could still lead to lower NDVI values.
	Snow versus phenology dynamics	Surface reflectance just after snow off is commonly used as the baseline when fitting phenology models. This approach masks the effects of sub-nivean phenological progression and/or may overemphasise the role of snow-off or snow-on dates as a driver of plant phenology ^{57,63} .
Soil moisture	Spectral mixing	Soil moisture can influence the reflectance of vegetated tundra surfaces ^{58,59} . NDVI values are sensitive to soil moisture, which may or may not covary with vegetation change ¹²⁵ . Furthermore, NDVI is relatively insensitive to changes in very sparsely vegetated (e.g., the High Arctic ¹²⁷) and very densely vegetated (e.g., forest or shrubland ¹²⁸) environments.
	Plant water content	Mosses can absorb water and thus influence surface reflectance of landscapes independent of vascular plant phenology and productivity ¹²⁶ .
Short growing season	Timing of image acquisition	Trends in NDVI metrics and growing season length can be influenced by the timing of data acquisition. To compare spatial patterns in vegetation indices among sites, images are required from the same time within the growing season and the same time points within the day ¹²⁶ . However, the short growing seasons at high latitudes make image acquisition particularly challenging. Satellites have different temporal frequencies for overpasses thus influencing comparisons. Growing season length decreases at higher latitudes, thus the impact of missing data is of a greater magnitude as latitude increases.
Rapid plant phenology	Chosen phenometric	The specific metrics used to quantify phenology will influence the resulting patterns observed ⁹¹ . Combining datasets with different spatial and temporal resolutions can limit comparisons (Figure 2). Variation in phenology metrics due to curve-fitting methods can exceed variation in measured phenology signals. Thus, using the same phenological functions across large geographic and ecological gradients, such as across the high latitudes, may introduce biases and/or errors.
	Phenological diversity	Changes in phenology of individual species or plants growing in particular microclimates can lead to shifts in landscape phenology ⁵⁰ .
Plant traits and functional groups or types	Isolating changes in plant productivity and canopy structure versus composition	Vegetation indices are related to radiation absorbed by green foliage (APAR), canopy structure, species composition, leaf-level traits and biomass ^{37,39} (Figure 2). However, how vegetation indices and ecological properties covary across diverse Arctic ecosystems is not well established. Other factors including bare ground cover, canopy structure, etc. that influence vegetation indices must be accounted for to isolate productivity change from other land surface changes.
	Vascular and deciduous versus non-vascular and evergreen plants	Non-vascular or evergreen plants can obscure the deciduous vascular plant seasonal signal ^{49,81} . Tundra without vascular plants can additionally have a substantial cover of biological soil crust communities consisting of lichens, cyanobacteria, mosses and green algae that may also influence NDVI ^{107,126} .

Satellite records indicate greening trends across the circumpolar Arctic



463

464 **Figure 1. Arctic greening, which varies across space and time and among satellite**
 465 **datasets, is driven by both actual in-situ change and, in part, by the challenges of**
 466 **satellite data interpretation and integration.** Trends in maximum NDVI vary
 467 spatiotemporally and the magnitude of changes is different depending on what satellite
 468 imagery is analysed (a and c, data subsetted to temporally overlapping years; b and d,
 469 GIMMS3gv1 1982 to 2015 and MODIS MOD13A1v6 2000 to 2018). Regional trends may
 470 summarise localised greening, for example shrub encroachment (e) and browning such as
 471 permafrost thaw (g) occurring at the pixel scale on Qikiqtaruk - Herschel Island in the
 472 Canadian Arctic (f). NDVI trends (a and c) were calculated using robust regression (Theil-
 473 Sen estimator) in the Google Earth Engine¹²⁹. Dashed line indicates the Arctic Circle and the
 474 black outlined polygon (a and c) and green 'Tundra' line (b and d) indicates the Arctic tundra
 475 region from the Circumpolar Arctic Vegetation Map (www.geobotany.uaf.edu/cavm/). The
 476 inset map in d indicates the regions for the mean trends for yellow 'Eurasia' and blue 'North
 477 America' polygons.



478

479

Figure 2. Ecological interpretation of trends in the Normalized Difference Vegetation

480

Index (NDVI) requires a consideration of non-ecological factors. NDVI, calculated as the

481

difference between red and near infrared bands (NIR), has a non-linear relationship with

482

several common metrics of plant productivity, like biomass and LAI (a). Satellite platforms

483

have different spectral band widths which can influence calculations of peak of NDVI despite shared

484

centre wavelengths (b). NDVI values from commonly available satellite data products and

485

drone datasets (c) differed substantially across products and across plots of three different

486

vegetation types (e) during the period of peak biomass in 2017 on Qikiqtaruk – Herschel

487

Island, Yukon. Here, factors such as a lack of atmospheric correction (f), cloud or fog

488

contamination (g), sub-pixel mixing (h), different plot grain sizes of data in more or less

489

heterogeneous vegetation cover and timing of data acquisition could have all influenced

490

NDVI values. Data were analysed and extracted for 30 x 30 m plots from 13th July to 4th

491

August in 2017 using the Google Earth Engine¹²⁹ for the MODIS MYD13A1v6 (pixel size =

492

500 m x 500 m) and Landsat 8 (pixel size = 30 m x 30 m) NDVI product, and the top-of-

493

atmosphere Sentinel-2 NDVI product without atmospheric corrections (pixel size = 10 m x 10

494

m) NDVI, and Pix4D-processed drone data collected using a radiometrically calibrated four-

495

band multispectral sensor (Sequoia, pixel size = 12 cm x 12 cm) on an FX-61 fixed-wing

496

platform with the High-latitude Drone Ecology Network protocols (<https://arcticdrones.org/>).

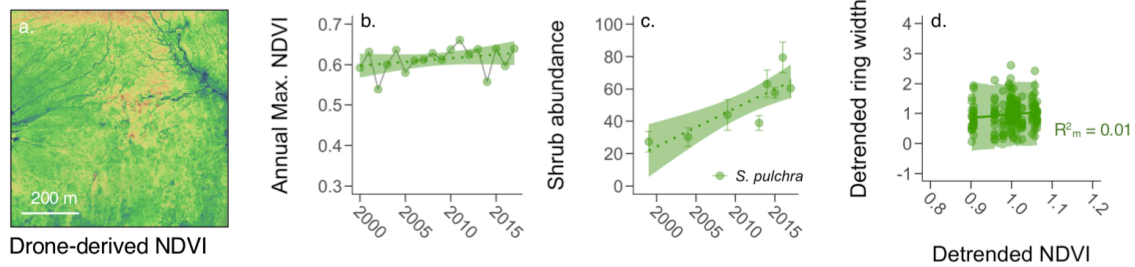
497

We purposefully present data with quality and processing issues above to highlight the

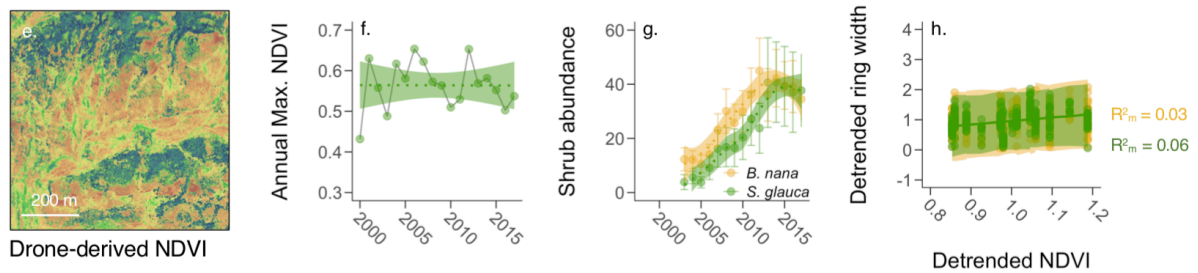
498 challenges in quantifying NDVI in regional-to-global studies where data quality issues may
499 be spatially or temporally variable among locations.

Spatial heterogeneity in landcover can influence NDVI ~ vegetation relationships

Qikiqtaruk, Canada – low landscape-level heterogeneity and increasing shrub abundance and variable radial growth



Kangerlussuaq, Greenland - high landscape-level heterogeneity, increased yet stabilized shrub abundance and variable radial growth



500

501 **Figure 3. Sub-pixel spatial heterogeneity in vegetative greening and browning cannot**

502 **be accurately captured at coarser grains.** Landscape patterns (a, e), trends (b, f), and

503 variability (d, h) in NDVI may not represent *in-situ* observations of vegetation change. NDVI

504 trends and interannual variability had mixed correspondence with increases in shrub

505 abundance (c, g) and interannual variability in shrub growth on Qikiqtaruk – Herschel Island,

506 Yukon⁹⁴ (c, point framing in twelve 1-m² plots; d, *Salix pulchra* = 21,

507 <https://github.com/ShrubHub/QikiqtarukHub>) and Kangerlussuaq, Greenland^{84,130} (g, 13

508 0.25-m² plots; H, *Betula nana* = 42, *Salix glauca* = 32,

509 <https://arcticdata.io/catalog/view/doi:10.18739/A24X0Q>,

510 <https://arcticdata.io/catalog/view/doi:10.18739/A28Q18>,

511 <https://arcticdata.io/catalog/view/doi:10.5065/D6542KRH>). Errors are standard error bars

512 around mean values (c, g) and 95% credible intervals for a Bayesian hierarchical model of

513 the relationship between detrended annual growth rings and NDVI with shrub individual and

514 year as random effects (d, h). Detrending was done using a spline fit from the dplR package

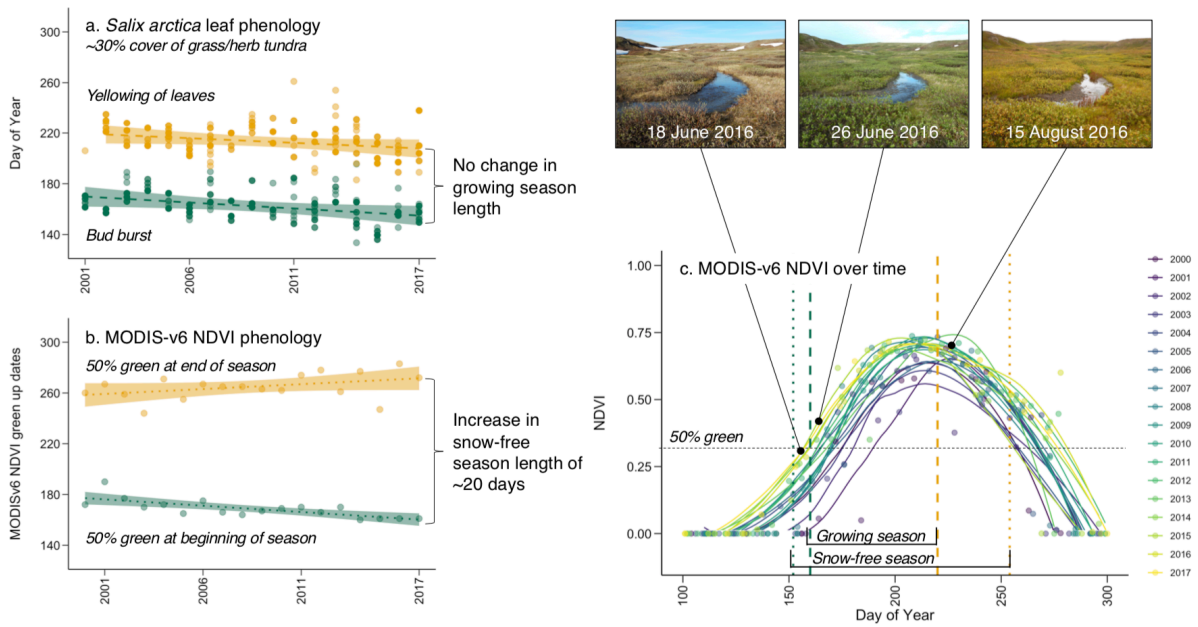
515 in R. Credible intervals for model slopes overlapped with zero (d, h). Marginal R² values

516 indicate the variance in detrended ring widths explained by detrended NDVI (d, h).

517 Landscape NDVI patterns (a and e) were measured using a Parrot Sequoia and FX-61 fixed

518 wing platform according to High-latitude Drone Ecology Network protocols in the summer of
519 2017 (<https://arcticdrones.org/>) and analysed using the Pix4D software. Coarser-grain NDVI
520 time series (MODIS MOD13A1v6, 500m pixels) were calculated using Google Earth
521 Engine¹²⁹ and the Phenex package in R.

Plant phenology does not always match land-surface greenness across the growing season



522

523 **Figure 4. Satellite-derived phenology estimates do not always match with in-situ plant**

524 **phenology observations.** Satellite-observed snow-free season length of the land surface

525 (here defined as the period with NDVI greater than 50% of the max NDVI, b and c) might not

526 directly correspond to the growing season of vascular plants in tundra ecosystems,

527 particularly in autumn (a). Snow-melt dynamics can obscure the plant phenology signal and

528 non-vascular or evergreen plants can obscure the deciduous vascular plant seasonal signal.

529 Plant phenology data were collected at 20 monitoring plots on Qikiqtaruk-Herschel Island for

530 the species *Salix arctica*, which makes up approximately 30% of the cover in the grass- and

531 forb-dominated vegetation type. Analyses indicate that both leaf emergence and senescence

532 have become earlier, resulting in no change in realized growing season length despite

533 substantial increases in the snow-free period of the land surface⁹⁴ (a – c,

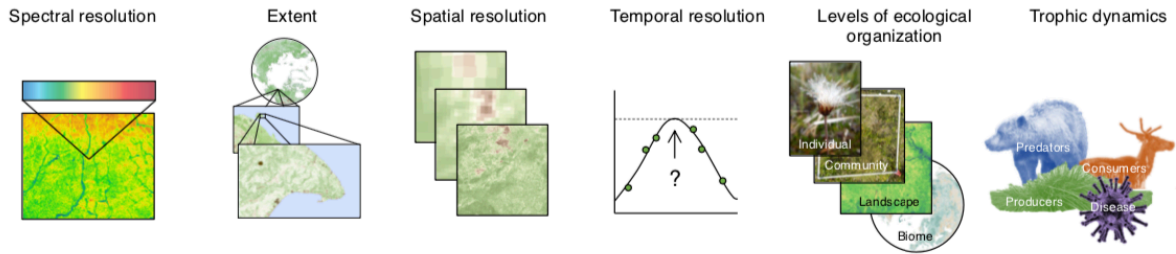
534 <https://github.com/ShrubHub/QikiqtarukHub>). Satellite data are MODIS MOD13A1v6

535 extracted for the pixel containing the phenology transects using Google Earth Engine¹²⁹ and

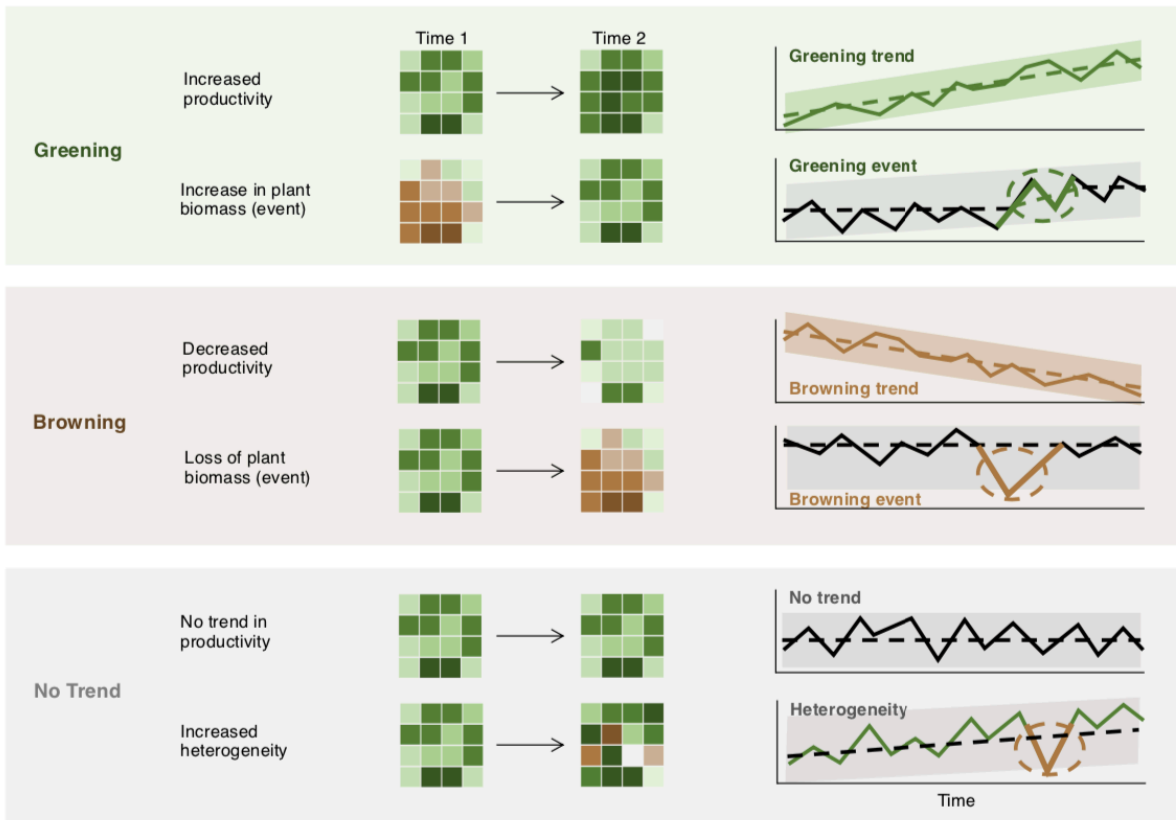
536 the Phenex package in R (b and c).

Greening and browning complexity can be addressed by incorporating scale and clarifying ambiguity in terminology

a. Arctic greening patterns and trends are influenced by issues of scale



b. Spatial heterogeneity in NDVI greening/browning patterns can influence greening/browning trends over time



537

538 **Figure 5. Arctic greening is influenced by both issues of measurement scale and**

539 **inference across ecological hierarchies.** Spectral resolution (Figure 2), extent (Figure 1),

540 spatial resolution (Figure 2), landscape-level heterogeneity (Figure 3), temporal resolution

541 (Figure 4), and ecological factors all influence the interpretation of greening trends (a).

542 Within-pixel changes in land surface greening and browning events and trends can translate

543 into different greening and browning patterns as their effects are scaled up (b). Ecological

544 processes that comprise greening and browning trends include a combination of events,

545 such as a pulse of plant recruitment or growth, a dieback of plants due to an extreme winter

546 climate event, herbivore or disease outbreak or other disturbance and subsequent recovery.
547 Longer-term change such as increasing shrub cover or progression of permafrost
548 disturbances can also influence real-world NDVI time series. These different factors add
549 complexity to the interpretation of Arctic greening trends. The scale and hierarchy of
550 observations need to be incorporated into and/or accounted for in future analyses of Arctic
551 greening.

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555

556 **Author Contributions**

557 IHM-S and JTK conducted the analyses and wrote the manuscript with contributions from all
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594

595 **Data availability**

596 Data come from publicly available remote sensing and ecological datasets including:

597 MODIS (<https://modis.gsfc.nasa.gov/>), GIMMS3g.v1
598 (<https://nex.nasa.gov/nex/projects/1349/>), the High Latitude Drone Ecology Network
599 (<https://arcticdrones.org/>), shrub abundance, annual growth ring and phenology
600 datasets (<https://github.com/ShrubHub/QikiqtarukHub>,
601 <https://arcticdata.io/catalog/view/doi:10.18739/A24X0Q>,
602 <https://arcticdata.io/catalog/view/doi:10.18739/A28Q18>,
603 <https://arcticdata.io/catalog/view/doi:10.5065/D6542KRH>).
604

605 **Code availability**

606 Code is available in a GitHub repository (<https://github.com/ShrubHub/GreeningHub>).

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