

1 **Into the wild: microbiome transplant** 2 **studies need broader ecological reality**

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38 **Abstract**

39

40 Resident gut microbial communities (microbiomes) have profound impacts on the
41 ecology and evolution of multicellular life, shaping host physiology, behaviour, and
42 community interactions. We are beginning to understand that ecological theories can be
43 applied to the interactions between hosts and their microbiomes. However, the
44 ecological processes that govern host-microbiome interactions may be obscured by
45 current experimental protocols that rely on highly controlled transplantation of
46 microbiomes. We surveyed current studies that used gut microbiome transplants with
47 non-human recipients, and categorized the 9 key experimental conditions that impact
48 the ecological reality (EcoReality) of the transplant. Using these categories, we rated
49 the EcoReality of all transplants and assessed the breadth of EcoReality in the
50 microbiome transplant literature. Encouragingly, we found an increase in EcoReality
51 over time, but EcoReality was still lacking in the host environment and in the state of the
52 recipient host microbiome. From this process, we have created a novel conceptual
53 framework for future researchers to adapt as necessary to incorporate fundamental
54 ecological processes in their transplant experiments and employ broader ranges of
55 EcoReality.

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58 **A Quest for Ecological Reality**

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We shall not cease from exploration

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And the end of all our exploring

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Will be to arrive where we started

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And know the place for the first time.

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T.S. Eliot - Little Gidding (1)

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66 Far from passive passengers, resident microbial communities (microbiomes) are
67 integral to basic biological functioning of multicellular life and challenge notions of
68 organismal individuality. This revelation, ushered in by advances in sequencing and
69 computing technology, is grounded in a growing understanding that microbiomes
70 profoundly shape their host's biology, including immunity (2), adiposity (3),
71 thermogenesis (4), hormonal regulation (5), physiological development (6), memory (7),
72 and behaviour (8). To date, biomedical research on human or laboratory rodent
73 microbiomes has been instrumental in advancing our understanding of how the
74 microbiome affects its host. However, there remains ample room for contributions by
75 comparative animal physiologists, ecologists, and evolutionary biologists to fill
76 knowledge gaps in our understanding of host-microbiome evolution and the interactions
77 which underlay these partnerships.

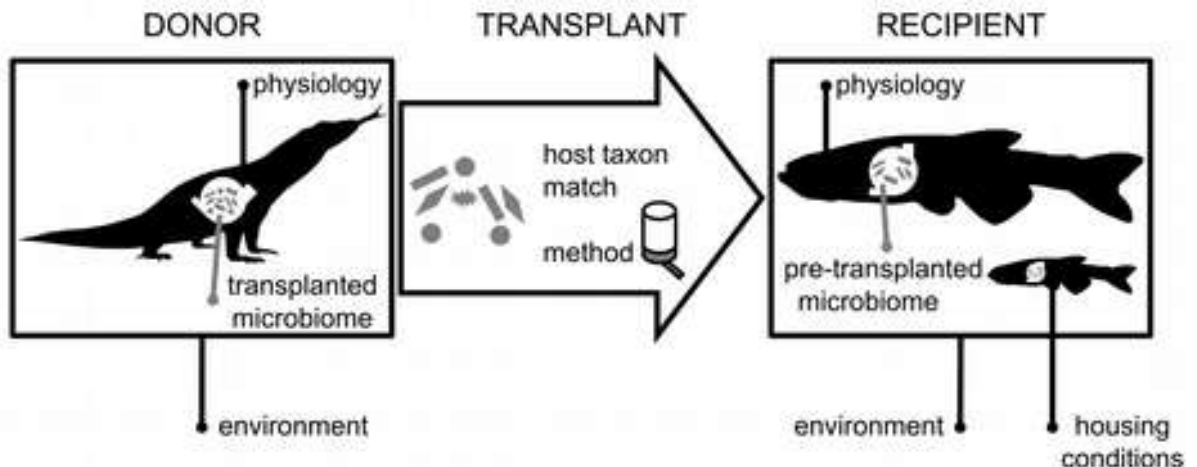
78 Recently, an appreciation of how the intertwining nature of host-microbiome
79 interactions has developed. Foster et al. (9) proposed four distinct frameworks for these
80 interactions: 1) 'host control', in which the host unilaterally governs the composition of
81 its microbiome, 2) 'symbiont control', in which the microbiome shapes the global host
82 phenotype 3) 'open ecosystem', in which the host and microbiome do not interact, and
83 4) 'ecosystem on a leash', in which the host influences the microbiome by selecting
84 upon microbial function rather than for specific microbial taxa. Regardless of the
85 interaction, these connections can be so intimate that some researchers (10,11)
86 proposed that a host and its associated microorganisms are a single biological entity, or
87 'holobiont', and the unit on which selection acts. Using this holobiont perspective,
88 Alberdi et al. (12) posited that the microbial component of the holobiont, with its greater
89 mutability compared to the host genome, may be an important mechanism facilitating
90 host adaptation to rapid environmental change. This makes understanding the interplay
91 between the host and the microbiome important from both a fundamental and an
92 applied perspective.

93 Animal microbiomes are specious communities, and thus are shaped by the
94 interactions between constituent microbes and processes at larger scales including the
95 host itself, which in turn affect host-microbiome interactions. Environmental filtering,
96 priority effects, random sampling, and dispersal limitation have been suggested as key
97 between community factors governing microbiome assembly (13,14). Furthermore from
98 a metacommunity perspective, hosts can be thought of as habitat patches, and
99 therefore microbial dispersal between hosts shape microbiomes too (15,16).
100 Additionally, due to the short timescales associated with microbial turnover relative to
101 microbial evolutionary rates, evolutionary processes occur in tandem with ecological
102 processes (17). Although there are clear differences in scale between macroecology
103 and microbiomes (16,18,19), overall, ecological processes, which also interact with
104 evolutionary processes, shape the microbiome. Thus considering the ecological
105 conditions that microbiomes experience is critical for a complete understanding of host-
106 microbiome interactions.

107 Much of our current understanding of host-microbiome interactions has been
108 gleaned through microbiome transplant studies, which experimentally translocate
109 microbial species or communities from donor hosts or external substrates to recipient
110 hosts. The outcomes of microbiome transplants are likely to be shaped by donor and
111 recipient host physiology and the same ecological processes (drift, dispersal,
112 competition etc.) that govern macroscopic ecosystems. Although transplants have
113 proven an invaluable and widely used tool for experimentally probing the host-
114 microbiome relationship with high precision, the ecological processes that govern host-
115 microbiome interactions in nature may be obscured by highly controlled transplantation
116 methodologies that are not ecologically realistic (which we term EcoReal, see Box 1 for
117 a full definition). For example, the use of germ-free recipients or cultured microbiomes in
118 transplants may restrict the opportunity for key ecological processes like competition

119 and dispersal to influence the resulting microbiome composition. The limitations of
120 laboratory approaches lacking ecological consideration have long been recognized by
121 comparative animal physiologists (20); however, blind-spots in our understanding of
122 host-microbiome interactions introduced by transplantation experiments lacking
123 EcoReality has, until now, not been examined and remains an exciting potential avenue
124 for future work.

125 The recent explosion of studies conducting microbiome transplants allows us to
126 evaluate whether the current microbiome transplant literature limits or removes
127 opportunities for ecological processes to influence study outcomes, and to highlight how
128 future studies can address any fundamental knowledge gaps. Here, we probe the
129 current EcoReality of microbiome transplantation studies, not unlike Hanage's (21)
130 questioning of the reality and applicability of biomedical microbiome studies. We
131 investigated three key questions: 1) How EcoReal are the experimental conditions in the
132 current microbiome transplant literature? 2) Are experimental conditions increasing in
133 EcoReality over time? and 3) does the literature currently cover the full potential range
134 of EcoReality? Using macro-ecological theory, we categorized microbiome
135 transplantations into different experimental conditions which can impact the EcoReality
136 of the transplant (Figure 1 and Box 2). Using this framework, we scored the EcoReality
137 of microbiome transplant studies that used non-human recipients. Overall, the
138 EcoReality of the present microbiome transplant literature has increased over time, but
139 has been constrained by hosts bred and kept in lab conditions and with transplants into
140 germ-free recipient hosts. Importantly, we provide a conceptual framework, as
141 illustrated in Figure 1, to emphasize the importance of considering ecological processes
142 in experimental design and to explore the wild frontiers of host-microbiome interactions.
143



144
145 Figure 1: Conceptual framework of all the experimental conditions in a microbial
146 transplant where EcoReality can vary. See Box 2 for explanations for each experimental
147 condition.
148

149 **Box 1.** Key terms and definitions
 150

Term	Definition
Transplant Instance	A transplant of a microbial strain or community from its native host or substrate to a different host population. A given study can involve multiple transplant instances, which are delineated based on non-substitutability of host populations or of transplant parameters.
Experimental Conditions	A decision or step in a transplant instance where there is the potential for variation in ecological reality.
Level of EcoReality	The degree to which an experimental condition matches the conditions that a host-microbiome interaction would experience in a wild ecosystem. Each experimental condition possesses its own intrinsic EcoReality. Each transplant instance and article can also be assigned an EcoReality score.

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Box 2. Ecological reasoning for each experimental conditions within a transplant

Experimental conditions	Reasoning
Taxon Match	Organisms can become locally adapted to their environment (22). Local adaptation of a microbial species to its host may mean it is not adapted to hetero-specific hosts and performs poorly after transplantation.
Donor & Recipient Environment	The wider species pool from which a local environment gets its species from can influence community assembly and dynamics (23). Compared to field conditions, laboratory conditions likely possess smaller microbial pools, especially if laboratory conditions are sterile.
Donor & Recipient	The local environment is an important filter in community

Physiology	assembly and changes to this environment can affect species interactions (23). A host's physiology is the <i>de facto</i> environment of inhabitant microbes, and changes or dysregulation in the host may disrupt associations between host and the microbes that persist under homeostatic physiological conditions.
Transplanted Microbiome	The interactions within an invading community, including predation or mutualism, can impact whether colonisation is successful or not (24). Thus, a full community microbiome transplantation may differ significantly from the transplantation of a single microbe.
Transplant Method	Species have different dispersal abilities (25) and local environments filter species from the wider species pool (26). Active transplantations may circumvent differing dispersal abilities of microbial species and may undermine host filtering of the microbial community. Furthermore, active transplant methods can stress the host thereby changing host physiology and disrupting endogenous microbial communities (27).
Recipient Pre-transplanted Microbiome	High species diversity in a community is predicted to reduce niche opportunities and to increase invasion resistance (24). Germ free or antibiotically perturbed recipients are likely to have lower invasion resistance than recipients with intact microbiomes.
Housing conditions	Dispersal between patches is an integral ecological process which can maintain stable populations or can rescue extirpated populations (23,28). Recipient host cohabitation allows for further transmissions of the microbiome.

157

158 **Lay of the land**

159

160 *Literature Search*

161 We conducted a directed review of the existing literature on gut microbiome
162 transplants, finishing on October 26th 2018. We conducted our literature search in three
163 stages. First, to gauge the extent of the current literature, we did a preliminary search of
164 gut microbiome transplant studies using both Google Scholar and Web of Science
165 (University of Guelph subscription). Based on this preliminary search, we conducted a
166 more methodical search using both Google Scholar and Web of Science. Search terms
167 can be found in the Supporting Information (SI) section of Greyson-Gaito et al. (29). We
168 then sought additional publications through “forward snowballing” (i.e. searching the

169 citations of papers already collected) using the Web of Science citations tool. We
170 retained only those studies that conducted at least one gut microbiome transplant into a
171 non-human recipient organism. To ensure our findings were generalizable to ecological
172 and evolutionary frameworks across a broad range of taxa and ecosystems, we
173 excluded studies focused on a single human disease, such as *C. difficile*.

174

175 *Literature Evaluation*

176 For each study that met our criteria, we determined the number of transplant
177 instances, which we defined as the transfer of a microbial strain or community from its
178 native host or substrate to a different host population. (see Box 1). We used transplant
179 instances as our unit of focus because many studies contained multiple transplant
180 instances which sometimes differed substantially in EcoReality (e.g. Seedorf et al. (30)).
181 For studies that had sequential transplants (i.e., transplant from donor to a first
182 recipient, which then was the donor for a second recipient, e.g. Seedorf et al. (30)), we
183 used only the first phase of the transplant experiment.

184 We identified nine key experimental conditions in a transplant where variation in
185 EcoReality might substantially affect the outcome of the experiment: host taxonomic
186 match, donor environment, donor physiology, transplanted microbiome, transplant
187 method, recipient microbiome, recipient environment, recipient physiology, and housing
188 conditions of the recipient (see Box 2). Each experimental condition was given an
189 ordinal data scale (see SI in Greyson-Gaito et al. (29)) based on the range of observed
190 and possible levels for that condition, with one always representing the lowest level of
191 EcoReality. For each transplant instance, we characterized the level of EcoReality in
192 each of the 9 experimental conditions. EcoReality scoring for each transplant instance
193 was conducted by two of the co-authors (separate pairs randomized per paper) to
194 ensure consistent evaluation methods.

195 To determine the overall standardized EcoReality score of a transplant instance,
196 we divided each score by its corresponding maximum potential EcoReality score and
197 then added the scaled scores for each experimental condition. Thus all experimental
198 conditions were equally weighted in the overall calculation of standardized EcoReality.
199 We gave each article an overall EcoReality score using the average of its transplant
200 instances' EcoReality scores.

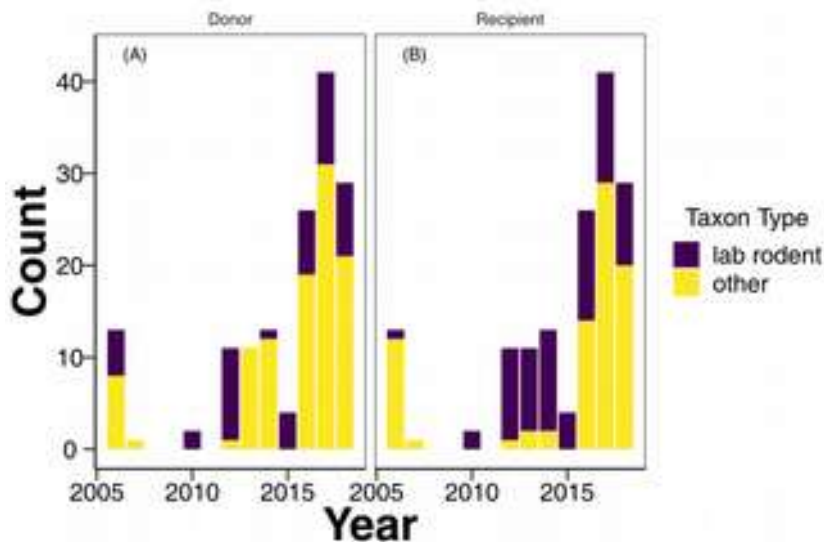
201 The literature is dominated by studies using lab-reared, inbred rodent models for
202 biomedical research, the ecology, physiology and genetics heavily modified from wild-
203 type rodents in ways that may affect our understanding of host-microbiome interactions
204 (for example Newman et al. (20)). Thus, we separated lab rodents from other animals in
205 our results for each experimental condition.

206

207 *Literature EcoReality patterns*

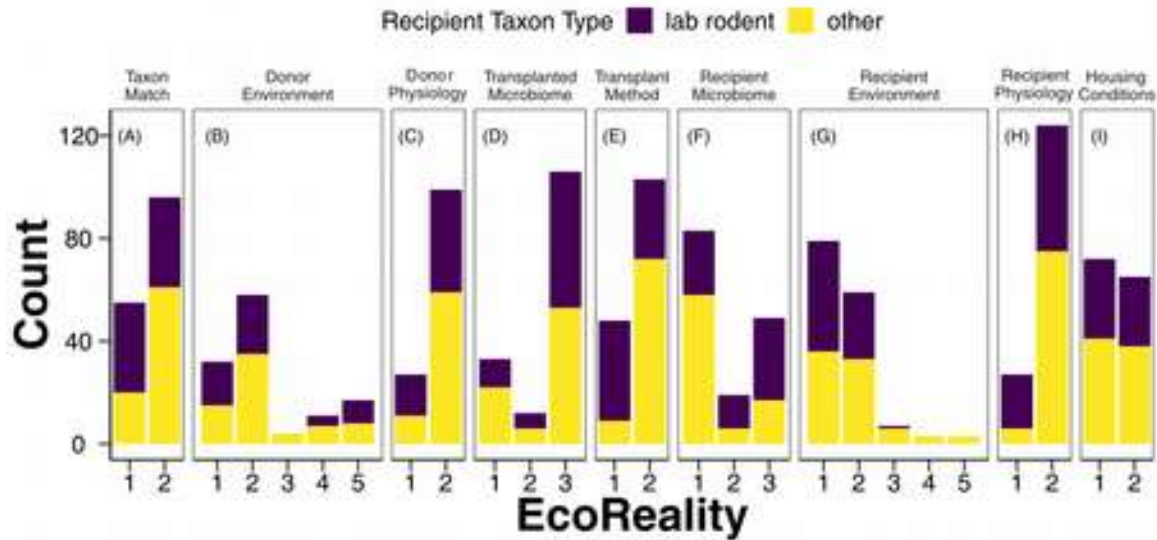
208 Our literature search returned 53 articles that met our criteria for inclusion. These
209 articles ranged from having one to 13 transplant instances with an average of 2.85

210 transplant instances per article and a total of 151 from all articles. There was a clear
 211 shift over time in the number of articles using microbiome transplants. Notably, there
 212 were 20 articles in the first 10 years of our search period in comparison to almost 40
 213 articles during 2015-2018 (SI Figure 1, (29)). This increase coincided with a switch from
 214 mainly lab rodent studies to a more diverse group of donor hosts (Figure 2A, around
 215 2013), and later also to more diverse recipient hosts (Figure 2B, around 2016).
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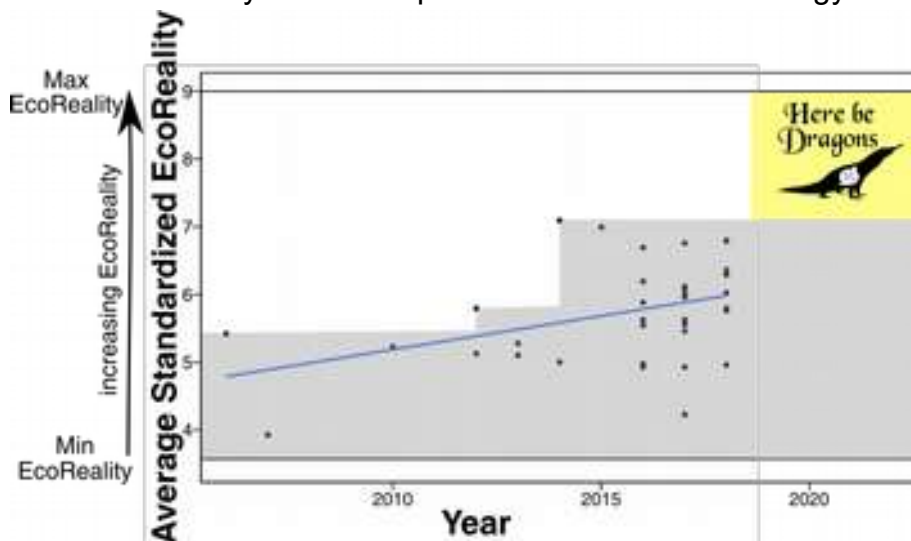
217
 218 Figure 2: Number of transplant instances over time where the donor or recipient animal
 219 was either a lab rodent (mouse or rat) or another animal.
 220

221 The transplant conditions Donor and Recipient Physiology had the highest
 222 EcoReality with average scores of 1.8 out of 2 (Figure 3C & H). Taxon match (score 1.6
 223 out of 2, Figure 3A), transplanted microbiome (score 2.5 out of 3, Figure 3D), transplant
 224 method (score 1.7 out of 2, Figure 3E), and housing condition (score 1.5 out of 2, Figure
 225 3I) were moderately EcoReal. Donor environment (score 2.4 out of 5, Figure 3B),
 226 recipient environment (score 1.6 out of 5, Figure 3G), and recipient microbiome (score
 227 1.8 out of 3, Figure 3F) had the lowest EcoReality. Breaking EcoReality into recipient
 228 lab rodents and other animals, we see that active transplant methods were used more
 229 for lab rodents and passive transplant methods were used more for other animals
 230 (Figure 3E). Interestingly, there were more other animals than lab rodents who were
 231 germ-free (Figure 3F). This pattern was driven by bees (19 out of 83 transplant
 232 instances from five articles) and zebrafish (14 out of 83 transplant instances from two
 233 articles). Overall, most transplants were performed with matching wild-type, non-
 234 diseased donor and recipient hosts using passive transplant methods and with a
 235 mixture of individual and cohousing of recipient hosts. However, transplants were
 236 mostly in lab conditions with germ-free recipient hosts.



237
 238 Figure 3: Number of transplant instances in each experimental condition, separated into
 239 whether the recipient animal was a lab rodent or another animal. The X-axis is the level
 240 of EcoReality, with 1 always the lowest EcoReality. The levels are explained in our
 241 Supporting Information on GitHub (29).
 242

243 Finally, we found that studies did increase in average standardized EcoReality
 244 scores in recent years (Figure 4). While this improved the breadth of EcoReality studied,
 245 the maximum EcoReality score was still below the theoretical maximal average
 246 standardized EcoReality score of 9 possible with our methodology.



247
 248 Figure 4: Average standardized EcoReality score for each article. Each point is for a
 249 single article. The blue line is the line of best fit from a least squares regression. The
 250 grey zone identifies the zone of EcoReality that is studied in the literature, and the
 251 yellow "Here be Dragons" zone is bound at the top by the theoretical maximum average
 252 standardized EcoReality score of 9.
 253

254

255 **Here Be Dragons!**

256

257 Traditionally disparate, the disciplines of ecology, evolution, and physiology are
258 being integrated in the burgeoning field of microbiome research. However, the potential
259 this field holds can only be met with a rich understanding of how each of these
260 disciplines contributes to the interactions between host and microbiome. By surveying
261 the state of the literature on microbiome transplant experiments and identifying gaps in
262 ecological reality (i.e., EcoReality, Box 1), we are taking a critical step in ensuring that
263 our understanding of host-microbiome interactions includes the various ecological
264 processes that are known to shape traditional ecological systems.

265

266 Our evaluation of the microbiome transplantation literature revealed both
267 broadening EcoReality in experimental procedures and some key knowledge gaps that
268 will need to be addressed. Encouragingly, transplants often used wild-type non-
269 diseased donors as well as a mixture of individual and cohabitation housing conditions.
270 Furthermore, there was a sharp increase in taxonomic diversity of both donor and
271 recipient hosts, and where non-lab rodent animals were used, passive transplantation
272 methods predominated. Although average EcoReality of microbiome transplant studies
273 has been increasing over time, most studies have used sterile lab conditions where the
274 recipient hosts were either germ free or antibioticly perturbed, highlighting two areas
275 where EcoReality can be increased. If we are to understand the ecological and
276 evolutionary processes at work in host-microbiome interactions, exploring the largely
277 uncharted space of EcoReal experimental conditions is essential.

278

279 The current literature lacks EcoReality most often in two key areas: host
280 environment and the state of the recipient microbiome. Although the environment of the
281 donor hosts was on average more EcoReal than the environment of the recipient hosts,
282 in general, the EcoReality of the donor and recipient host's environments was low. Most
283 studies that we evaluated used laboratory settings which excludes the chance for hosts
284 to encounter the broader microbial species pool in the environment (16,23). Laboratory
285 conditions can also either increase or decrease conspecific interactions relative to what
286 would be observed in nature, thus affecting the dispersal of microbes between hosts
287 (16). Furthermore, there are likely feedbacks between the host and its microbiome that
288 can impact diet and habitat choice, further obscuring natural conditions with laboratory
289 conditions (16). The second key area lacking EcoReality is the state of the recipient
290 microbiome where most recipient hosts were germ free. Although some animals
291 naturally start out with germ free gastrointestinal tracts (e.g., newly eclosed worker
292 bees (31)) or do not have a resident microbiome (32), most animal species host
293 substantial microbial communities (33). Germ-free gastrointestinal tracts may lack key
294 biotic processes such as predation and competition, which are important filters in classic

293 ecological communities that act to mediate incoming species (34). Classic ecological
294 theory would predict that a microbial species or community may colonise a germ free
295 gut successfully where they would never have been able to under natural conditions
296 (24). Overall, neglecting natural environments and intact recipient microbiomes risks
297 constraining the fundamental processes that impact host-microbiome interactions,
298 suggesting that we are sampling a sliver of the total range of host-microbiome
299 interactions which occur in free-living systems.

300
301 Given that we may understand only a small subset of possible host-microbiome
302 interactions, curbing the expectations of the efficacy of microbiome applications to
303 ecological adaptation and conservation might be prudent. Due to the large effects of the
304 microbiome on its host and its mutability, Alberdi et al. (23) argued that the microbiome
305 could act as an additional axis of ecological adaptation for hosts. If the microbiome does
306 act as an additional axis, conserving microbial diversity and using bioaugmentation tools
307 (probiotic therapy and transplantation of microbiomes) would then be critical tools for
308 animal conservation (35). However, we caution that experimental protocols which lack
309 EcoReality might lead us to overestimate the capacity for microbiome variation to shape
310 host phenotypes in nature by biasing our understanding of the host-microbiome
311 relationship towards models of symbiont control (Foster et al. (9)). We suspect that a full
312 reckoning of the spectrum of EcoReality in microbiome transplant studies would
313 uncover more examples of nuanced host-microbiome interactions, including the
314 'ecosystem on a leash' type interactions. These nuanced interactions may or may not
315 include the large microbiome effects which underpin the ecological adaptation and
316 conservation arguments above. Consequently, we assert that a consideration of
317 EcoReality is required in the design and interpretation of every study that explores how
318 the host-microbiome relationship impacts ecological adaptation and conservation.

320
321 To be clear, we are not advocating for moving entirely out of the lab; laboratory
322 studies offer a critical point of comparison and can play a key role in identifying host-
323 microbiome systems that might be worth pursuing in a wilder but more logistically
324 challenging contexts. Nevertheless, we advocate for increasing the range of
325 experimental conditions and crossing our present frontier into highly EcoReal
326 experimental conditions with a variety of animal species. We could prescribe specific
327 changes to transplant procedures, but we could not possibly cover all permutations of
328 microbiome transplant studies here. Therefore, we urge researchers to use and adapt
329 our conceptual breakdown (Figure 1) in their own systems to help identify where
330 EcoReality can and cannot be increased and, where appropriate, consider how
331 constrained EcoReality may be impacting their conclusions. Likewise, we encourage
332 researchers to report the methodological details pertaining to each experimental
333 condition we have identified. Some recommendations are to use wild caught animals

334 and where possible allow them to roam freely, or failing that, house them in outdoor
335 enclosures. These experiments should also seek to use recipient animals with intact
336 microbiomes, rather than germ-free recipients. When germ-free recipients are of the
337 greatest utility, researchers could consider the EcoReality of these hosts, for example,
338 developing a germ-free mouse model more closely aligned with the phylogenetic history
339 of the donors. Finally, the current literature has scratched the surface of recipient and
340 donor host taxa, and so we suggest identifying and filling in major phylogenetic gaps in
341 the tree of life. Other research fields have undergone a similar stage of self-reflection,
342 identifying key issues and biases, which then precipitated new conceptual frameworks
343 and methodologies (36). We hope that our critiques and conceptual framework will
344 precipitate such a self-reflection stage in the host-microbiome research field.
345

346 Microbiome research has excited biologists because it spans disciplines and
347 promises to help advance both pure and applied biology. Our objective here--to survey
348 the extent of EcoReality in the microbiome transplant literature and identify key areas
349 lacking EcoReality--is not unlike a fact finding mission expanding the map of our
350 understanding of host-microbiome interactions. We recommend a full, extended journey
351 into the wilds to round out the literature's coverage of the landscape of possible
352 EcoReality. Charting all territories, from highly controlled lab studies to free-ranging
353 remote organisms, is necessary to fully comprehend the interplay between microbiomes
354 and their hosts.
355

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365
366

367 **Author contributions**

368 All authors conceived of and produced the directed review. CJGG wrote the first draft
369 and all authors contributed to editing the manuscript.
370

371 **Data accessibility**

372 The data, supporting information, and R script for this manuscript can be found on
373 Github (29). The full list of transplant studies used in this article can be found in the
374 dataset on GitHub, and (15,30,31,37–59,59–86) in the bibliography below.

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