

Title page

Communicative roots of complex sociality and cognition

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1 **ABSTRACT**

2 Mammals living in more complex social groups typically have large brains for their body size and
3 many researchers have proposed that the primary driver of the increase in brain size through
4 primate and hominin evolution are the selection pressures associated with sociality. Many
5 mammals, and especially primates, use flexible signals that show a high degree of voluntary control
6 and these signals may play an important role in maintaining and coordinating interactions between
7 group members. However, the specific role that cognitive skills play in this complex
8 communication, and how in turn this relates to sociality, is still unclear. The hypothesis for the
9 communicative roots of complex sociality and cognition posits that in socially complex species,
10 conspecifics develop and maintain bonded relationships through cognitively complex
11 communication more effectively than through less cognitively complex communication. We
12 review the research evidence in support of this hypothesis and how key features of complex
13 communication such as intentionality and referentiality are underpinned by complex cognitive
14 abilities. Exploring the link between cognition, communication and sociality provides insights into
15 how increasing flexibility in communication can facilitate the emergence of social systems
16 characterized by bonded social relationships, such as those found in primates and humans. To
17 move the field forward and carry out both the within and between species comparisons, we
18 advocate the use of social network analysis, which provides a novel way to describe and compare
19 social structure. Using this approach can lead to a new, systematic way of examining social and
20 communicative complexity across species, something that is lacking in current comparative studies
21 of social structure.

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24 **KEYWORDS:** Hypothesis for communicative roots of complex sociality and cognition, social
25 bonding, social evolution, communicative complexity, primates, brain size, social network
26 analysis

27 I. INTRODUCTION

28 One of the distinctive features of primates is that they have unusually large brains for their body
29 size (Jerison, 1975). This observation has led to an active debate about the factors that selected for
30 the evolution of large brains in primates (e.g. Barrickman, Bastian, Isler *et al.*, 2008; Barton, 1996;
31 Byrne & Whiten, 1989; Clutton-Brock & Harvey, 1980; Dunbar, 1992; Dunbar, 1998; Evans,
32 Gilbert, Mekel-Bobrov *et al.*, 2005; Finlay, Darlington & Nicastro, 2001; Gibson, 1986; Harvey,
33 Clutton-Brock & Mace, 1980; Hofman, 1983; MacLean, Hare, Nunn *et al.*, 2014; Miller, 1999; Moll
34 & Tomasello, 2007; Reader & Laland, 2002; Van Schaik, Isler & Burkart, 2012). The comparative
35 method has been exploited as a powerful tool that has enabled scientists to increase our
36 understanding of how the pressures of the socio-ecological environment have influenced the
37 variation in brain size across primate species.

38 Social explanations have centred on the role of sociality in explaining brain size evolution.
39 Early studies suggested that behavioural innovation and social transmission of behaviour (or
40 generally information) explain relative brain size variation in non-human primates, because they
41 are cognitively demanding as they require that individuals flexibly learn from others and invent
42 new behaviours. This cognitive capacity enables primates to exploit their environment in new ways
43 and so expose them to advantages of novel selection pressures (Lefebvre, Whittle, Lascaris *et al.*,
44 1997; Reader, Hager & Laland, 2011; Reader & Laland, 2002; Van Schaik *et al.*, 2012; Wyles, Kunkel
45 & Wilson, 1983). Using ecologically relevant measures of cognitive ability such as relative and
46 absolute “executive” brain volumes, an influential study showed that the incidence of behavioural
47 innovation, social learning, and tool use correlate with brain size and cognitive capacities of
48 primates (Reader & Laland, 2002). Another proposal that has received considerable research

49 attention is the social intelligence hypothesis. This hypothesis also regarded behavioural flexibility
50 as a key factor driving evolution of large brains in primates (Byrne & Whiten, 1989; Reader &
51 Laland, 2002; Whiten & Byrne, 1997). Arguing that primate social environments are inherently
52 competitive, the nature of social environment would lead to a selection pressure for the evolution
53 of “Machiavellian” strategies. Using tactical deception as a defining criterion for this hypothesis,
54 Byrne and Corp (2004) showed how the frequency of tactical deception correlates with neocortex
55 volume in primates, suggesting that strategies of social manoeuvring have driven evolution of
56 larger brains in socially complex species.

57 These social explanations have been opposed by ecological hypotheses, suggesting that the
58 enlarged brains and generally complex cognitive skills in primates may be explained by ecological
59 factors (Powell, Isler & Barton, 2017) such as “extractive foraging” (Parker & Gibson, 1977) and
60 “cognitive mapping” (Milton, 1988). Mentally tracking and finding the location of scattered and
61 unpredictable foods is cognitively demanding and therefore primate species exhibiting greater
62 dietary complexity are hypothesized to have larger brains than species exhibiting lower dietary
63 complexity (DeCasien, Williams & Higham, 2017; MacLean *et al.*, 2014). Fruit is more scattered
64 and unpredictable than folivorous foods. Thus, early studies proposed that primates with more
65 complex frugivorous diets have larger brains than folivorous species. However, phylogenetic
66 comparative analyses initially found no link between the degree of dietary frugivory and brain size
67 when controlling for social group size across mammals (Navarrete, van Schaik & Isler, 2011; Silva
68 & Downing, 1995; Wrangham & Carmody, 2010). However, new evidence using a larger sample
69 of primates, more recent phylogenies, and updated statistical techniques, showed that brain size is
70 predicted by diet, rather than multiple measures of sociality, after controlling for body size and
71 phylogeny (DeCasien *et al.*, 2017). For instance, frugivorous lemur species have more sophisticated
72 cognitive skills (inhibitory control, memory) than folivorous lemur species. This new evidence has
73 reignited the debate over which factors - social or ecological - are of key importance in primate
74 brain size evolution.

75 What is generally agreed upon, even if this still needs to be debated, is that anthropoid
76 social evolution is generally characterised by a relationship between the level of encephalization
77 and the complexity of social system (Broad, Curley & Keverne, 2006; Curley & Keverne, 2005).
78 The highest rates of encephalisation can be found in the Primates but also other mammalian
79 families such as Hippomorphs (horse family), Tylopods (camel family), the Odontocetes (dolphin
80 family) and the Caniniformes (dog family) (Dunbar & Shultz, 2010). However, among mammalian
81 species, the anthropoid primates stand out as having a particularly strong correlation between the
82 complexity of the social system (typically quantified as group size) and brain size, and specifically
83 the neocortex size in relation to the rest of the brain (the neocortex ratio). Thus in many non-
84 primate species, a pairbonded mating system rather than group size is associated with larger brain
85 size (Shultz & Dunbar, 2007). In contrast, in primates there is a strong relationship between group
86 size and brain size. This empirical finding has been taken to support the hypothesis that the
87 demands of maintaining stable social bonds within social groups are particularly important in
88 explaining encephalization in primate species as compared to other mammals (Broad *et al.*, 2006;
89 Shultz & Dunbar, 2007). However, there is a lack of studies examining how this social complexity
90 would function at a behavioural level i.e. in the interactions between a specific pair of animals, and
91 at the cognitive level, in terms of the cognitive processes involved in these interactions and in
92 managing these relationships. This makes it unclear exactly what would make primate sociality
93 more 'cognitively complex' than other mammalian species (Dunbar & Shultz, 2010).

94 Group size is limited both by the time demands of maintaining social relationships
95 (Dunbar & Shultz, 2017) and by the cognitive demands arising from processing information about
96 social relationships, which sets an upper limit on the number of social relationships that primates
97 can keep track of (Dunbar, 1998). Group size is a correlate for social complexity, if only because
98 the number of dyads and triads of social relationships that have to be socially managed increases
99 as a power function of the number of individuals in a group. However, the social brain hypothesis
100 is specifically about the selection pressures arising from the need to create a functional, bonded

101 social group, with sociality driven by the need to solve the ecological challenge of predation
102 (Dunbar & Shultz, 2007). Group size is an emergent property of primates' ability to maintain and
103 coordinate social relationships and is used as an indicator of the complexity of social groups as it
104 is one of the only few metrics available for a large number of primate species (Dunbar & Shultz,
105 2017). However, it is a relatively crude measure of social complexity, and does not provide a
106 detailed explanation of why larger groups are more complex than smaller ones, or how the way in
107 which the group is structured affects the number and types of relationships an individual primate
108 has to keep track of. Further, there is little understanding of what it is about sociality and managing
109 social relationships that is so demanding of neural computational power. Thus, studies linking
110 social complexity and cognition should consider the relationship between managing a more
111 complex network of relationships and neocortex size, not simply the quantitative relationship
112 between group size and brain size. The attempts to date to quantify social complexity have focused
113 on the more sophisticated social strategies that may characterize more complex social systems
114 (Dunbar & Shultz, 2017). Primates with larger neocortices have higher rates of social play, more
115 complex male mating strategies, higher levels of tactical deception, are more likely to form
116 coalitions and have a higher frequency of social learning (Dunbar & Shultz, 2017). Although these
117 theoretical approaches to social complexity are valuable to improving our understanding of the
118 link between social complexity and cognition, they cannot provide a detailed explanation as to why
119 primates are unusually encephalized as compared to other mammalian species, or why the
120 relationship between encephalization and group size is stronger in primates than other mammalian
121 species (Shultz & Dunbar, 2007).

122 To understand the link between social complexity and cognition, a detailed understanding
123 of how primates interact with others to build and maintain social relationships over time is
124 required, as this is at the heart of what makes primate life socially complex (Hinde, 1976). Many
125 mammals (e.g. ungulates such as wildebeest) live in social groups but these groups are just loose
126 aggregations, without stable membership or relationships between individuals. In contrast,

127 primates live in groups with stable membership, where they form long-lasting and differentiated
128 bonds outside of mating contexts. The quality of these bonds has important fitness consequences
129 - for example, the sociality of adult female baboons is positively linked to infant survival (Silk,
130 2007).

131 The loose aggregations of many mammal species are believed to be a direct response to
132 high costs of competition over resources such as food and mates (Dunbar & Shultz, 2010). In
133 contrast, it has been proposed that primates evolved strategies of social bonding to buffer
134 themselves against the inevitable stresses of group living such as feeding and mating competition
135 (Dunbar & Shultz, 2017; Keverne, Martensz & Tuite, 1989). Perhaps the most potent strategy
136 employed by primates is engaging in unidirectional grooming (one animal grooming another but
137 not vice versa) as this releases endorphins, reducing stress in the recipient. As a consequence of
138 unidirectional grooming, dyad partners increase their willingness to engage in social bonding
139 behaviour. Although there is an inherent difficulty in defining what a social bond is in non-verbal
140 animals, given it is experienced (or felt), a number of recent studies have defined bondedness in
141 terms of behavioural criteria such as grooming reciprocity and responsiveness, mutual grooming,
142 mutual visual contact, proximity to nearest neighbour and joint travel (Dunbar & Shultz, 2010). In
143 order to build these social bonds, primates use communication to coordinate the grooming bouts
144 that form the basis for stable social bond formation (Dunbar, 2010; Fedurek, Slocombe, Hartel *et*
145 *al.*, 2015). Furthermore, primates use communication to build social ties with group members
146 directly through rewarding function without unidirectional grooming (Fedurek, Machanda, Schel
147 *et al.*, 2013; Roberts & Roberts, 2016b). Thus, communication is a crucial way of coordinating and
148 regulating social relationships in primate societies, but the role of cognitive skills underpinning this
149 communication in social bonding has received limited attention, despite its potential significance
150 for furthering our understanding of how complexity of cognitive skills is related to complexity of
151 sociality. Communication is defined as a use of gestures (non-verbal signals such as voluntary
152 movements of the limbs or head and body postures, transmitted through visual, tactile or auditory

153 channels), vocalisations (sounds made with the vocal tract) or facial expressions (motions of the
154 muscles beneath the skin of the face), which appear to influence the receivers (Wilczynski & Ryan,
155 1999). Many acts might be less cognitively complex because they are involuntary reactions to the
156 signaller's internal emotional state. In contrast, cognitively complex communication employs
157 signals flexibly, which implies that signallers have voluntary control over communication
158 (Tomasello & Zuberbühler, 2002).

159 The purpose of this piece is to explore the cognitive complexity in communication that
160 may have led to the emergence of more complex social systems in primates. Here we strictly
161 consider complex social systems as those where species form stable, bonded social relationships
162 with unrelated individuals outside of mated pair (Dunbar & Shultz, 2017). The central idea behind
163 this hypothesis is that in socially complex species, animals can form and maintain bonded social
164 relationships in complex social settings through cognitively complex communication more
165 effectively than through cognitively less complex communication (Roberts, Vick, Roberts *et al.*,
166 2014b). Thus, the evolution of cognitive skills underpinning communication in primates may have
167 presented a key innovation that facilitated the emergence of more complex, bonded social systems
168 which are not based on kinship or reproductive ties (Dunbar & Shultz, 2017; Roberts, Roberts &
169 Vick, 2014a; Roberts, Vick, Roberts *et al.*, 2012b). In the Section 2 we discuss historical
170 perspectives that precede this hypothesis. Section 3a sketches out communication innovations that
171 may have enabled the kinds of bonded social relationships that we find in primates, Section 3b
172 briefly examines how increases in complexity of communication may have enabled bonded
173 relationships to emerge in more complex social systems of primates. In section 4 we briefly outline
174 some of the empirical evidence from primate studies in support of the hypothesis. Finally, in
175 sections 5 and 6 we describe how the study of communicative roots of socio-cognitive skills can
176 be enhanced by use of social network analysis.

177 **II. HISTORICAL APPROACH**

178 Jean-Baptise Lamarck and Charles Darwin were first pioneering figures to link size and complexity
179 of social groups with communicative complexity. Making the first argument for the greater need
180 for information transfer in socially complex societies, Lamarck wrote: “The individuals . . . having
181 largely increased their needs according as the societies which they formed became larger, had to
182 multiply their ideas to an equivalent extent, and thus felt the need for communicating them to their
183 fellows. We may imagine that this will have compelled them to increase and vary in the same degree
184 the signs which they used for communicating these ideas . . . ’ (Lamarck, 1809/1963 , p. 172).
185 Following from this argument, Darwin insisted on the role of emotional expressions as a social
186 bonding mechanism rather than communication functioning as a tool for information transfer. He
187 argued that communication by means of the voice, gestures and expressions is of a great
188 importance for maintaining social relationships in social animals. (Darwin, 1965, p. 60). In the
189 twentieth century, Marler (1977, p.46) was amongst the strongest advocates of the link between
190 social and communicative complexity, he noted: “the richest elaboration of systems of social
191 communication should be expected in intraspecific relationships, especially where trends towards
192 increasing interindividual cooperation converge with the emergence of social groupings consisting
193 of close kin.”. Elaborating on these ideas Waser (1982, p. 118) proposed that “the value to a
194 signaler of broadcasting information to recipients, and thus the degree to which selection favors
195 specialized ‘information-transfer’ abilities, depend[s] on the social system”. In recent years, this
196 debate was extended by Maestripieri (1999, p. 56). He suggested that an important avenue of
197 research extending our understanding of the link between group size and brain size “would be to
198 investigate whether there is a relationship between group size, encephalization, and the size and
199 complexity of the communicative repertoire across extant primate species”. More recently, these
200 ideas have been developed into the social complexity hypothesis for communication. According
201 to this hypothesis, groups with complex social systems demand more complex communicative
202 systems to manage interactions among group members (Blumstein & Armitage, 1997; Freeberg,
203 Dunbar & Ord, 2012; Leighton, 2017; Marler & Mitani, 1988; Roberts and Roberts, 2016b;

204 Wilkinson, 2003). In complex societies, as compared with simpler societies, individuals interact
205 frequently in many different contexts with many different individuals and also repeatedly interact
206 with many of the same individuals in the social group over time (Freeberg *et al.*, 2012). Complex
207 communication systems are defined as ‘those that contain a large number of structurally and
208 functionally distinct elements (e.g. large display repertoire sizes) or possess a high amount of bits
209 of information’ (p. 1787, Freeberg *et al.*, 2012). In the next section we build on these ideas and
210 make a number of clear and testable predictions regarding the link between the complexity of
211 cognitive skills underpinning communication and the complexity of sociality.

212 **III. COMMUNICATIVE ROOTS OF COMPLEX SOCIALTY AND COGNITION**

213 Two key mechanisms mediate the complexity of social systems in mammals. In the
214 majority of small brained mammals (e.g. rodents), individual recognition and social affiliation are
215 hormonally mediated through olfaction (Broad *et al.*, 2006). Olfactory inputs to areas of the brain
216 concerned with social reward results in priming of social affiliation by hormones such as oxytocin
217 (Keverne & Curley, 2004). Individuals form an ‘olfactory memory’, which promotes short-term
218 selective affiliation towards the brood or a mate that is mainly necessary in the context of
219 reproduction (Dluzen, Muraoka, Engelmann *et al.*, 2000). Mother-infant affiliation ceases after
220 weaning and reproductive partners cease affiliation after mating, meaning that outside of the
221 mother-infant and mating partner bonds, social relationships are characterised by high levels of
222 antagonism (Broad *et al.*, 2006). In contrast, in large brained mammals such as primates and
223 humans, social affiliation occurs even in the absence of olfactory input and priming by social
224 hormones (Curley *et al.*, 2005). The olfactory inputs to the areas of the brain concerned with social
225 reward are downregulated and replaced by neocortical inputs that promote ‘emotional’ reward
226 through individual recognition of a partner by means of integration of information from multiple
227 sources (e.g. sensory cues such as facial expression) (Schultz, Tremblay & Hollerman, 2000). The
228 role of medial prefrontal cortex (mPFC) in this process also means that the emancipation of social

229 affiliation from hormonal control is coupled with voluntary control over social bonding rather
230 than involuntary stimulus response modes (Broad *et al.*, 2006).

231 Two key mechanisms of voluntary control over interactions feature heavily in explaining
232 the patterns of social bonding in mammals. The first is the heavy emphasis on social bonding with
233 kin (Hamilton, 1964). The second is the wealth of attention devoted to explaining the role of
234 demographic constraints and conspecific similarity of features on social bonding. Thus, the
235 inclusive fitness benefits of kinship, or the appeal of interacting with members of the same age
236 cohort, are widely claimed to drive social bonding in primates. However, we do not have any
237 convincing explanations of how primate societies emerge that are complex and large and whereby
238 individuals routinely interact with conspecifics that are dissimilar and/or unrelated. We wish to
239 argue that in order to explain the complexity of social systems in primates, we have to take into
240 account both how the complexity of primate communication relates to patterns of social bonding
241 and how the complexity of cognitive skills makes this communicative complexity possible (Roberts
242 *et al.*, 2014b).

243 By definition, communication in any primate species has evolved to effectively influence the
244 behaviour of the recipient. However, selection for effectiveness in communication may not always
245 characterise social relationships in primates. If both the signaller and the recipient have a mutual
246 interest in signalling and responding, then the signals will be simple because the signaller is sensitive
247 to the fact that the recipient is likely to respond and the recipient is sensitive to the signals (Dawkins
248 & Guilford, 1997). As a result, the lack of efficiency in communication has been noted in the use
249 of low intensity communication that although adaptive in frequent one on one interactions due to
250 lower stresses incurred by the interaction, may be ineffective at influencing behavioural change in
251 the recipient when interests conflict (Nakayama, Goto, Kuraoka *et al.*, 2005; Roberts & Roberts,
252 2016a). In the next section we will outline a number of indices of cognitively complex
253 communication that may increase the ability of the signaller to influence the behaviour of the

254 recipient through lower intensity signals. A particularly important source of efficiency in low
255 intensity signals comes from a whole range of characteristics affecting ability of the recipient to
256 understand the goal of the signaller and respond adaptively. These include low intensity signals
257 that are for example, structurally complex, intentional, referential, novel, and manually precise (e.g.
258 right-handed). In circumstances when the communication takes place in complex social settings,
259 which include other conspecifics than just signaller and the recipient dyad, this complexity can
260 oppose reduced comprehension of low intensity signals, due to the distraction of monitoring the
261 third party audience. A particularly important source of distraction comes from the presence of
262 conspecifics who are more appealing social partners than the signaller. A whole range of
263 behaviours are affected by the presence of a competitive audience and are responsible for making
264 low intensity signals less effective carriers of information. These include joint attention and close
265 proximity between signaller and the recipient, as well as the recipient's visual monitoring of the
266 communication channel. The subsequent increases in complexity of low intensity signals to
267 increase efficiency of signalling may initially enable social interactions in complex social setting.
268 However, as the social complexity further increases, communication complexity may reach an
269 asymptotic limit, at which even extreme increases in complexity of low intensity signals will have
270 no bearing on the effectiveness of social interactions. As a result, the power of complex, low
271 intensity signals to influence the recipient will decline and the social bond will weaken.

272 So far, one type of answer has been given to the question of how primates can influence the
273 recipient when a low intensity but complex signal is clearly unsuccessful. Classical ethologists had
274 been clear in proposing that one important way to make signals more effective in eliciting
275 appropriate behaviour is by exaggeration of amplitude (Blute, 2006). For instance, the signaller
276 might use a loud auditory vocalisation simply to get its message across, even if the signaller and
277 receiver were separated by a short distance. Although this would open the way to increasing the
278 efficiency of signals, on regular one on one basis this would lead to separation between partners,
279 as the recipient avoids behaviour that is maladaptive (e.g. increasing their stress levels or anxiety

280 on regular basis). A further, previously unexplored way in which signaller can influence the receiver
281 is by increasing the reward value of communication. We will proceed to discuss in more detail in
282 the next section how some signals have special properties that can stimulate the reward system of
283 the recipient. Light, sweeping touch can stimulate the sense organs and reward centres in the brains
284 of the recipient particularly strongly. Similarly, synchronized, high amplitude, rhythmical
285 vocalisations appear to be particularly stimulating for group living primates. By making
286 communication so appealing, signaller can redirect the recipient's attention from a competitive
287 audience back onto themselves and increase the recipient's commitment to the social interaction.
288 These rewarding features of communication can have a particularly powerful effect, such that the
289 social cohesion of the group can be preserved in presence of social competition. The fact that a
290 wide variety of socially complex primates and humans evolved these types of rewarding signals
291 means that these signals are likely to have played particularly important role in social evolution.

292 Another, largely unexplored role of communication in animal societies is to facilitate recall of
293 information in the recipient about the individual identity of the signaller. This role of
294 communication has long been recognised in human studies, but only recently have primate studies
295 suggested that communication accompanied by the use of directional communication such as
296 mutual visual contact and pointing gestures made in the direction of the recipient, or distinctive
297 communication such as nonoverlapping repertoire signals made by the signaller towards the
298 recipient, can increase the memory of the signaller to the recipient. This may act as a necessary
299 prerequisite to establishing social bonding when social complexity increases.

300 From this it follows that socially complex primate species must solve problems relating to
301 complexity of social world in which they live through cognitively complex communication.
302 Primates must be able to flexibly adjust their communication according to the current situation.
303 This cognitive flexibility is required to monitor and manage social relationships in a dynamic social
304 environment. Primates must not only keep track of their own relationships, but also monitor third

305 party relationships between other group members, as changes in these relationships (e.g. a change
306 in dominance rank) can have implications for their own position in their group. There is a large
307 body of evidence showing that primates have knowledge of third party relationships, in relation
308 to, for example mother-infant relationships, relative dominance rankings and matriline (Silk,
309 2007). In certain situations, it may be advantageous for primates to use knowledge about their
310 own and third party relationships to adjust their communication according to which social partners
311 are present or visually attending. This adjustment requires complex tracking of social relationships
312 contingent on the memory of past interactions. Further, it demands an understanding of
313 intentionality where to communicate effectively, the signaller has an understanding that the direct
314 social relationship (that based on interaction between the signaller and the recipient) may differ
315 from those relationships inferred from third-party interaction (that between the recipient and a
316 third party audience) and that these third party relationships can affect the recipient's behaviour.
317 This is equivalent to mentally modelling outcomes of different behavioural strategies that signallers
318 can use towards the recipient and flexibly choosing one communication strategy over another to
319 ensure this communication strategy is effective in influencing the recipient. Thus, emancipation
320 from olfactory and hormonal determinants of affiliation would have been coupled with the
321 increased importance of cognitively complex communication that is necessitated by living in a
322 complex social group, in order to develop and maintain long lasting social bonds with unrelated
323 group members. This illustrates the central point that we make in this paper that there is likely to
324 be a need for increased cognitive complexity underpinning communication in socially complex
325 primate species, compared with less socially complex species. Although there is good evidence of
326 complex cognitive abilities underpinning communication in primates, we note that there is a
327 relative scarcity of studies addressing ability of primates to maintain complex social relationships
328 through the use of cognitively complex communication. To address this gap we first make a
329 number of predictions about the types of cognitively complex communication in primates that
330 should facilitate social bonding more effectively than cognitively simple communication (Fig. 1).

331 Second, we predict how enhanced cognitive complexity behind communication in primates may
332 have resulted in increases in social complexity (Table 1).

333 **a) Which features of primate communication facilitate social bonding?**

334 **(1) Complexity of structure**

335 There is a large amount of variation observed in complexity of communication signals
336 both across and within primate species. Communication signals can occur on their own, or they
337 can be accompanied by the use of other signals, visual orientation or objects. Signals produced by
338 the signaller can be homogenous and therefore occur in the repertoire of both the signaller and the
339 recipient, or these signals can be heterogeneous, whereby signal occurs in the repertoire of the
340 signaller but not in the repertoire of the recipient. Further, sequences of signals can have a varied
341 composition, or contain repeated signals. In primates, a large complexity of signals is often
342 interpreted as indicative of a greater underlying complexity of the cognitive skills involved in
343 learning and flexibly producing this complex communication. One view is that complexity in
344 communication is adaptive because it is more informative for the recipient than less complex
345 signals and that in turn influences the efficiency with which the recipient can respond to
346 communication (Dawkins and Guildford, 1997; Zahavi & Zahavi, 1997). For instance, facial
347 expressions are processed independently in the amygdala giving rise to largely involuntary
348 perceptions of another's communicative intent. However, combinations of facial expressions with
349 directional cues (gaze direction and pointing gesture) are integrated in premotor cortex, enabling
350 the recipient to more consciously evaluate the expectation of signaller's intent gained from
351 processing of facial expression alone (Conty, Dezechache, Hugueville *et al.*, 2012). Thus,
352 combinations of pointing gestures and gaze with facial expressions are more informative to the
353 recipient than facial expressions alone and this enables the recipient to make more adaptive
354 decisions about how to respond to communication. This indicates that complex communication
355 would play an important role in regulating social interactions in complex social systems, whereby

356 different types of signalling have different but complementary functions. There are however, many
357 different ways in which signaller can achieve a greater efficiency of communication through
358 encouraging complexity of signalling. The complexity of the structure in the form of discrete
359 signals (with no intermediate forms between adjacent elements) or fluid signals that are not rigidly
360 distinctive (signals that grade and change on a continuum from one prototypical form to another)
361 (Marler, 1976) has also been linked to communicative and cognitive abilities (Roberts *et al.*, 2012b).
362 In graded communication, the boundaries between the signal types are unclear and the signal types
363 share many similar structural traits and components. The greater complexity of structure demands
364 a greater degree of control by the signaller in the production of the precise form of communication.
365 When the structure is flexible, primates create the structure of signals that they use, which may
366 enable them to create more informative communication as compared to discrete signalling. As
367 such greater complexity of communicative structure (i.e. graded repertoires) may be more common
368 in socially complex species, as compared to less socially complex species.

369 **(2) Perception**

370 The ability to perceive communication is a critical aspect of social interactions (Seyfarth &
371 Cheney, 2013). The overall structure of communication can influence processing of
372 communication by the recipient because the complexity of structure is associated with the
373 efficiency of communication processing. As the level of distinctiveness in repertoire increases, the
374 association of the communication type with a specific goal or intention increases (Cottrell, Wack,
375 Sekerak *et al.*, 1968; Zajonc & Sales, 1966). Thus, for the recipient, processing the content of a
376 discrete signal and responding appropriately is relatively straightforward, as each signal is
377 associated with a specific type of response. In contrast, for graded communication, a greater variety
378 of signal types and forms are used in relation to specific goals or intentions (Roberts *et al.*, 2016a).
379 Processing the content of graded signals and responding appropriately is a more cognitively
380 complex task for the recipient and demands a greater degree of voluntary control underlying

381 perception than discrete signals (Leavens, Hostetter, Wesley *et al.*, 2004; Pollick & de Waal, 2007).
382 Given the greater processing demands of more complex communication, we would predict a
383 greater capacity for decoding graded signals in species that live in complex social groups, as
384 compared to those who live in less complex social groups.

385 **(3) Intentionality**

386 In intentional communication, the signaller has a goal and uses informative
387 communication that refers to the role of the recipient in attaining the desired goal (Tomasello,
388 George, Kruger *et al.*, 1985). For instance, the signaller indicates through the communication what
389 the recipient should do and the recipient produces a response which matches the goal of the
390 signaller as conveyed in the communication, enabling social behaviour to be coordinated (e.g.
391 changing the behaviour from grooming to travel) (Golinkoff, 1986; Golinkoff, 1993). Such
392 communication shows ability of the signaller to understand that the recipient is an intentional
393 being with a goal state which may differ from one's own, but which can be altered by
394 communicative behaviour (Tomasello, Hare & Fogleman, 2001). Intentionality in communication
395 is indicated by persistence, whereby signallers continue to communicate by substituting original
396 signals with new signals if the initial response to the gesture does not adhere to the goal of the
397 signaller (Bates, Camaioni & Volterra, 1975). Communicative persistence can improve the
398 efficiency of social bonding between two individuals because it increases the likelihood that the
399 recipient will more accurately understand the signaller's goal and thus respond appropriately to
400 communication, even if the initial response by the recipient does not match the signaller's goal.
401 For instance, if the recipient is not responding in the manner matching goal of the signaller during
402 grooming initiation, signaller can use another gesture to elicit desired response to the gesture in
403 circumstance, when the response would be incorrect if the signaller did not produce a new gesture.
404 Thus, in socially complex species there should be a greater need for the use of intentional
405 communication than in socially non-complex species (Roberts & Roberts, 2018a).

406 (4) Referentiality

407 One key function of communication is to influence behaviour of the recipient by directing
408 their movement and attention towards either the self (the reference to the signaller), other (the
409 reference to the recipient) or the immediate environment (reference to the location in the external
410 environment or third party). Communication is identified as referential when the referent is
411 consistently associated with the signal form and the signal form consistently elicits a congruent
412 response to the signal from the recipient (Seyfarth, Cheney & Marler, 1980). In instances of
413 referential signalling, there is a coordination of attention and communication between the signaller
414 and receiver to a referent, a goal and to one another, providing evidence that signallers act
415 purposefully to communicate about the referent. Primate signals, such as bodily gestures and
416 vocalisations can draw the recipient's movement and attention to the signaller (Hopkins,
417 Tagliatela & Leavens, 2007; Leavens *et al.*, 2004; Roberts *et al.*, 2014a; Roberts *et al.*, 2012b).
418 Furthermore, primates can draw the attention and movement of the recipient – to the recipient
419 themselves by the use of directional manual gestures (Roberts & Roberts, 2018b). For instance,
420 when primates are grooming, signallers sometimes indicate to recipients that they need to move a
421 specific part of their body, in order that the signaller can groom a particular body area (e.g. for the
422 recipient to raise their arm so the signaller can groom under their arm). Signallers can use distal,
423 visual gestures (received through visual contact) to communicate this information and this may
424 represent a type of referential signalling that could be viewed as having characteristics of referential
425 pointing (e.g. 'limp extend', see video clip at www.youtube.com/watch?v=Ut3Gu9Eoqjk, Roberts
426 *et al.*, 2014a; Roberts *et al.*, 2012b). Camaioni (1993) argued that this type of visual gesturing may
427 have greater cognitive complexity than either tactile (received through tactile sensation) or auditory
428 gestures (received through hearing). Visual gestures demand that the signaller sees the recipient as
429 capable of comprehension that the interaction can be causally influenced by distal means. In
430 contrast, tactile or auditory gestures may exercise direct causal effect on the recipient that is
431 cognitively less complex. In this context, primates may specify information contained in distal,

432 visual gestures by physically touching the spot to be moved by the recipient if the visual gesture
433 was unsuccessful in conveying the goal of the signaller providing further evidence for referential
434 nature of this type of signalling (Roberts, Vick & Buchanan-Smith, 2013). This capacity to refer to
435 entities external to the self can increase the efficiency of social bonding by increasing the ability of
436 the recipient to identify the goals of the signaller regarding changes in recipient's behaviour
437 (Roberts *et al.*, 2018b). Given the greater need to coordinate social bonding activities in primates
438 in order for the group to function as a cohesive whole (Dunbar & Shultz, 2017), we would expect
439 to see a greater rate of referential communication in socially complex, bonded species, compared
440 to less socially complex species.

441 **(5) Laterality**

442 Laterality is defined as a dominance of one side of the brain in controlling particular
443 activities or communication (Fitch & Braccini, 2013). There is a widely documented hemispheric
444 bias in the use of primate communication, whereby left-handed or left-sided signals are controlled
445 through right-hemisphere and right-handed or right-sided signals are controlled through the left-
446 hemisphere. In humans, right-hemisphere-controlled communication is more emotionally
447 expressive than left-hemisphere controlled communication (Sackeim, Gur & Saucy, 1978). Right
448 hemisphere-controlled communicative complexity can lead to attentional and behavioural
449 convergence by inducing compatible affect between two interacting individuals (Owren & Rendall,
450 2001). In contrast, left-hemisphere-controlled communication tends to be used in evolutionarily
451 'urgent' contexts and can increase the communication specificity (Mutha, Sainburg & Haaland,
452 2010). For instance, in humans, right-handed gestures are more effective in accurately indicating
453 the target of communication than left-handed gestures. By increasing the signaller's accuracy of
454 movement, left-hemisphere-controlled communication can achieve the signaller's goal more
455 effectively, improving the efficiency of social coordination. For instance, in contexts of antagonism
456 recruitment of support from dominant individual in the group may depend on a primate ability to

457 indicate to the dominant individual precisely that they are a target of a gesture (Video 1). Further,
458 the signallers right-handed gestures made in the context of giving grooming can indicate more
459 precisely to the recipient which body part they should move and this would have aided efficiency
460 of establishment of social bonds with the recipient by reducing the risk of miscomprehension (e.g.
461 video clip at <https://www.youtube.com/watch?v=Ut3Gu9Eoqjk>). Thus, the capacity to
462 coordinate movement and attention through increased precision of indication is one characteristic
463 of the complex cognitive skills that may lead to more complex sociality of bonded systems by
464 improving the ability of the recipient to respond accurately to communication (Roberts, Murray &
465 Roberts, in press). As such, we would expect that there will be a hemispheric bias in the use of
466 communication in primate bonded systems, with different social functions controlled through
467 right-hemisphere-controlled communication and left-hemisphere controlled communication
468 (Sackeim *et al.*, 1978).

469 **(6) Learning and innovation**

470 Communication innovation (creating new signals) and communication learning (copying
471 or modification) are two complementary processes involved in the production and usage of signals.
472 The complexity of cognitive skills underlying these processes in primates is much debated as many
473 different cognitive mechanisms have been proposed as being involved in innovation and learning
474 (McGuigan, Burdett, Burgess *et al.*, 2017). However, researchers agree that these skills require a
475 high degree of voluntary control to facilitate their operation (Ruch, Zürcher & Burkart, 2018).
476 Learning and innovation of communicative signals give rise to the distinct patterns in the overlap
477 of communicative repertoires, with both homogeneity (presence of the same signal in the
478 repertoire of both signaller and the recipient) and heterogeneity (presence of the signal in the
479 repertoire of the signaller but not in the repertoire of the recipient) occurring within and between
480 dyads (Roberts & Roberts, 2017). For instance, signallers direct homogenous communication
481 repertoire at the recipient who is likely to respond to communication (greater homogeneity) but

482 differentiate from features of the communication repertoire of the recipient who is less likely to
483 respond to communication (greater heterogeneity). This capacity to modify communication in
484 relation to the likelihood of responsiveness of the recipient may be important for the efficiency of
485 signalling and social bonding (Roberts *et al.*, 2017). Homogenous communication may facilitate
486 communication comprehension by facilitating making of the perception–production link that
487 enhances mutual understanding between interactants. Indeed, homogenous communication has
488 been found in contexts such as mutual grooming and joint travel when social bonds have been
489 established (Roberts *et al.*, 2017). In contrast, heterogeneous communication may contain
490 attention-catching properties that effectively direct movement and attention of the recipient
491 towards the signaller and these properties can in turn influence likelihood that the recipient will
492 remember the signaller and respond to the signal (Cullen, 1966; Marler, 1961). Unidirectional
493 grooming, for example, where capturing recipient’s attention is particularly important because
494 social bonds are weaker, is one context where heterogeneous communication has been observed
495 (Roberts *et al.*, 2017). Since socially bonded species require a large degree of negotiation over
496 responsiveness of the recipient, it could be predicted that this ability to innovate and learn
497 communication would influence the ability of the signaller to maintain more complex social
498 relationships. For instance, signallers use heterogeneous communication to build social
499 relationships and use homogenous communication to maintain ongoing relationships. As such, we
500 would predict greater use of differentiated homogenous and heterogeneous communication in
501 complex, bonded species as compared with those that are less complex.

502 **(7) Arousal control**

503 Emotional arousal has been operationally defined as a state of physiological activation
504 experienced as a change in heart rate (Aureli, Preston & de Waal, 1999; Boysen & Berntson, 1989),
505 cortisol secretion (Behringer, Borchers, Deschner *et al.*, 2013; Thompson, Muller, Kahlenberg *et*
506 *al.*, 2010) or nasal temperature (Kano, Hirata, Deschner *et al.*, 2016). Arousal change is associated

507 with corresponding communication patterns, classified along the dimension of intensity or
508 strength such as the potency of its presentation (loudness), frequency and duration (Burgoon,
509 Kelley, Newton *et al.*, 1989). For instance, louder human voices are associated with a higher level
510 of arousal of the signaller than quieter voices, suggesting that communication loudness can be used
511 to indicate the intensity of arousal of the signaller (Scherer, 1982; Scherer & Oshinsky, 1977).
512 Further, communication in itself can also alter the arousal of the recipient (Patterson, Jordan,
513 Hogan *et al.*, 1981), in that communication associated with different levels of emotional arousal of
514 the signaller is associated with different behavioural, physiological and fitness outcomes in the
515 recipients (Beerda, Schilder, van Hooff *et al.*, 1998; Kano *et al.*, 2016; Wascher, Scheiber &
516 Kotrschal, 2008). High-arousal signals trigger a range of neurological and hormonal responses
517 associated with increased heart rate and cortisol release (Beerda *et al.*, 1998; Kano *et al.*, 2016;
518 Wascher *et al.*, 2008), which can negatively influence the recipient's health and survival (Capitanio,
519 Mendoza, Lerche *et al.*, 1998). In contrast low -arousal signals can have a stress buffering effect on
520 the recipient, and therefore increase the duration of time spent in social bonding activities (Roberts
521 *et al.*, 2016a). Flexibility in modifying arousal underpinning communication should therefore be
522 important to regulating social relationships in bonded primate groups, but such flexibility requires
523 greater cognitive skills than the use of a simpler communication system in an inflexible way. For
524 instance, communication inhibition, whereby signallers suppress their pre-potent drive to express
525 high emotional arousal through high intensity communication and instead use low intensity signals
526 to communicate requires a high degree of voluntary control underpinning communication (Tops
527 & Boksem, 2011). The ability to inhibit pre-potent responses correlates with neocortex volume
528 and depends on the Brodman area 10, brain regions which underpin complex cognitive processing
529 (Passingham & Wise, 2012). Reducing the arousal associated with communication can facilitate a
530 greater level of responsiveness in the recipient, because it creates the perception of a positive,
531 fitness rewarding intent of the signaller (Mendl, Burman & Paul, 2010). Thus, low intensity
532 communication fosters trust that the relationship will not be compromised through a random act

533 of aggression and this type of signalling may be especially important in the circumstances when
534 the dominance relationships have been unresolved as is often the case in more egalitarian, bonded
535 species (Maestriperi, 1999). Thus, by reducing arousal associated with communication, signallers
536 can be more successful in eliciting a response from the signaller without resorting to higher arousal
537 forms of communication designed to elicit a response from an unresponsive recipient (Mendl *et*
538 *al.*, 2010). Whilst in many instances reducing arousal can be advantageous, increasing arousal can
539 also demand voluntary control over communication and can be beneficial in circumstances of
540 social bonding in complex social settings. Thus, we predict that in socially complex species there
541 will be a greater need for arousal control underpinning communication than in socially non-
542 complex species (Roberts *et al.*, 2016a).

543 **(8) Rewarding communication**

544 Primates often experience anxiety in response to uncertainty in social situations such as proximity
545 to unpredictable social partners with whom dominance relationships have been unresolved (Aureli,
546 1997; Schino, Scucchi, Maestriperi *et al.*, 1988). In primates, rewarding communication may play
547 an important role in mediating the relationships with the individuals who experience high levels of
548 anxiety. This type of communication may create a psychopharmacological environment within
549 which social bonds of trust develop that enhance recipient's commitment to the social interaction.
550 For instance, a mild mechanical touch as well as more intense tactile contact (Video 2) can act as
551 a stimulus that not only effectively influences recipient's behaviour through more intense, literal
552 means but also has a potential to activate neural sensory afferent fibres (C fibres) involved in the
553 release of a suite of neurohormones that act on the reward system. By the same token, high-
554 intensity, synchronized vocalisations accompanied by loud, rhythmic, auditory gestures such as
555 drumming (Video 3) or clapping (<https://www.youtube.com/watch?v=-T8qJ9D1-g8>) can trigger
556 the release of social neurohormones on a larger scale similar to those released by tactile gestures
557 (Chanda & Levitin, 2013). By relieving recipient's anxiety through increasing pleasure from the

558 interaction, rewarding communication is hypothesized to draw recipient's attention onto the
559 signaller and elicit their commitment to the ongoing social behaviour (e.g. grooming or travel) by
560 increasing their responsiveness. We predict that in socially complex species there will be a need
561 for primates to engage in rewarding, dyadic one on one communication and also synchronized
562 communication (Roberts *et al.*, 2016b).

563 **b) How increases in complexity of cognitive skills underpinning primate communication**
564 **would have facilitated complex sociality?**

565 Social characteristics of primate groups differ across number of dimensions but perhaps
566 the most important dimension is the degree of influence of kinship on intraspecific social dynamics.
567 In many primate species, distribution of affiliative behaviour and agonism (aggression and
568 alliances) is strongly influenced by kinship, in that affiliation occurs mainly within clusters of kin,
569 whereas agonism is more common between unrelated conspecifics (Maestriperi, 1999). In these
570 species, there may be less pressure to develop complex communication because there is a greater
571 degree of influence of olfaction and hormonal priming on intraspecific affiliation. In contrast, in
572 primate species where the influence of kinship on intraspecific social dynamics is reduced,
573 affiliation is not limited to clusters of kin and can occur between any unrelated dyads. This means
574 that a redundancy in hormonal priming for affiliative behaviour demands more complex
575 communication skills. In these societies, complex communication facilitates formation of social
576 bonds with unrelated and less familiar conspecifics and this has a profound influence on individual
577 success in the group and fitness (Maestriperi, 1999). Hence the cognitive skills underpinning
578 communication will not be uniform across all primates, but will vary according to the extent to
579 which different primate species are less reliant on kinship and therefore require more complex
580 communication for the maintenance of the social system. In this section we outline different ways
581 in which more complex cognitive skills underpinning primate communication may have facilitated
582 increases in complexity of social relationships (Table 1).

583 **(1) Group size**

584 Within primates, large groups are assumed to be more socially complex than small groups, as there
585 are more relationships to track, and individuals must spend an increasing amount of their time
586 servicing their social relationships, in order to enable large groups to function as stable, functional
587 cohesive units (Dunbar *et al.*, 2007). However, there is currently no standard way to compare social
588 complexity across groups of different sizes, and we have little understanding of how the patterning
589 of social relationships changes with increasing group size. In smaller groups, primates may be able
590 to form relatively strong ties with all group members, with frequent interactions based on multiple
591 different behaviours such as grooming, facial expression, gestures, vocalisations and proximity.
592 However, as group size increases, the ties primates have with other individuals will become
593 increasingly weak. These weaker, indirect ties are cognitively complex to manage, and this is
594 especially true in fission-fusion social systems, where the frequency of interaction between two
595 individuals will be much lower than in stable groups (Barrett, Henzi, & Dunbar, 2003). Thus, in
596 larger groups one may predict that there will be an increasing need for flexibility in communication.
597 Namely, primates must be able to flexibly use different communication strategies and behaviours
598 to maintain ties of weaker strength. Thus, if cognitively complex communication is more effective
599 at managing bonded social relationships than cognitively less complex communication, then in
600 large social groups it could be predicted that there would be increased communicative complexity
601 (e.g. intentionality, rewarding property), because of the need to use increasingly sophisticated
602 strategies to maintain an increasing number of weaker ties. Finally, it could be predicted that the
603 structuring of the group may change, with an increasing number of sub-groups forming in larger
604 groups.

605 **(2) Temporal and spatial stability of social system**

606 One of the main variations in different social systems is in the degree of temporal and spatial
607 stability shown in group size and composition. In fission-fusion social systems the broader group

608 or community changes its size by means of the fission and fusion of subunits (known as parties or
609 sub-groups) according to both the activity (e.g. resting, feeding) and distribution of resources
610 (Aureli, Schaffner, Boesch *et al.*, 2008). The term fission-fusion dynamics refers to the extent of
611 variation in spatial cohesion and individual membership in a group over time (Amici, Aureli &
612 Call, 2008). Some animal groups have a low degree of fission-fusion dynamics in that the
613 membership of the group is temporally and spatially stable, and thus all individuals will typically
614 encounter every member of the group every day (Aureli *et al.*, 2008). In contrast other animal
615 groups have a high degree of fission-fusion dynamics (Aureli *et al.*, 2008). In these groups,
616 individuals form socially and geographically circumscribed communities, within which they
617 associate in temporary subgroups ('parties') that vary in size, composition and duration (Amici *et*
618 *al.*, 2008). Individuals in the wider community may thus only see each other at infrequent intervals,
619 often weeks apart, but each individual can recognise members of their own community and is
620 capable of maintaining long-term relationships with these individuals (Barrett *et al.*, 2003). Tracking
621 these indirect relationships is hypothesised to be cognitively demanding, as in fission-fusion
622 systems individuals must be able to retain and manipulate information about others whom they
623 see only infrequently, as compared to systems with groups that are stable spatially and temporally
624 where members see each other every day (Barrett *et al.*, 2003). Thus fluid fission-fusion system
625 and stable, cohesive groups are at opposite ends of a continuum of social organisation.

626 In both fission-fusion and stable social systems, primates maintain stable, long lasting social
627 relationships. Thus, in both fission-fusion and stable social systems, individuals use multiple
628 different behaviours such as grooming, facial expression, gestures, vocalisations and proximity to
629 maintain their social relationships. However, in a fission-fusion social system, the time and
630 cognitive demands behind managing multiple social relationships based on irregular interaction
631 implies that the social bonds are weaker, as individuals encounter each other less often (Barrett *et*
632 *al.*, 2003). Thus in a fission-fusion social system there may be a greater need for flexibility in
633 communication, relative to a stable social system. Specifically, in fission-fusion social systems,

634 there should be a greater ability to maintain bonded relationships flexibly through cognitively
635 complex communication, as seen in a greater dissociation between networks based on different
636 measures of behaviour (e.g. grooming, vocalisations, gestures, intentional communication,
637 rewarding communication).

638 In addition, group size influences the underlying social structure and changes patterns of
639 communication, so understanding the influence of group size is important in examining the
640 influence of social organisation on the level of social complexity individual animals have to deal
641 with. Increasing group size in a stable species will result in individuals simply encountering more
642 individuals each day, whereas increasing community size in the fission-fusion species will result
643 in the animals having to keep track of more indirect relationships with whom interaction may be
644 infrequent (Barrett *et al.*, 2003). Thus, the influence of group size on the patterning of social
645 relationships should be greater in fission-fusion than stable groups, as there is a greater need for
646 differentiated communication in fission-fusion compared to stable social systems.

647 **(3) Group density**

648 In denser groups, where the number of individuals who are in direct close proximity is greater than
649 in less dense groups, the number of third party social relationships that individuals must track
650 increases (Dunbar *et al.*, 2007). Thus we can predict that it will become increasingly difficult for an
651 individual to adjust their behaviour in denser groups, and that primates in denser groups will
652 therefore demonstrate an asymptotic limit on how many social relationships they can track. For
653 instance, in denser social groups, the difficulty of adjusting behaviour to a large number of third
654 party relationships may precipitate the evolution of broadcast communication that can bond
655 interactants on a larger scale, without the need for dyadic one on one social bonding (Roberts *et*
656 *al.*, 2016b). In particular, gestural communication in primates exhibits greater flexibility than vocal
657 communication, and this may be shown in the extent to which primates are capable of using
658 gestures and vocalisations flexibly according to the social situation (Corballis, 2003; Fitch, 2010;

659 Killin, 2017; Sterelny, 2012). Examining how the density of social groups is associated with
660 communicative complexity can thus provide insight into the cognitive complexity involved at the
661 micro-level of managing social relationships.

662 **(4) Member roles**

663 The position and network characteristics of individuals vary by age, sex and dominance rank,
664 meaning that these characteristics affect the patterning of social relationships, and the roles that
665 different individuals play in the group as a whole. However, there is considerable variation in the
666 extent of the sex, age and rank differences in sociality in different populations of primates
667 (Lehmann & Boesch, 2008). For instance, in large groups which form because the risk of mortality
668 or injury is high, vulnerable individuals may stay in closer proximity to dominant ‘protector’ males,
669 party spread may be reduced and proximity between individuals increased (Altmann, 1979; DeVore
670 & Washburn, 1963; Hockings, Anderson & Matsuzawa, 2006; Otali & Gilchrist, 2006; Reynolds,
671 1963). However, with increasing group size there is a tendency to reduce proximity and mutual
672 visual contact, as the social bonds are weaker. Thus, the need to strengthen social bonds with
673 ‘protector individuals’ in order to reduce mortality may drive the need to develop differentiated
674 communication strategies by vulnerable group members that increase social cohesion in these
675 contexts. The role individuals play in the network will influence the number and type of signal
676 recipients and hence the demands of tracking differentiated social relationships, which may be
677 reflected in the type and complexity of communication used by a signaller. For instance, protector
678 individuals track many social relationships of group members and to reduce these demands,
679 vulnerable individuals may use strategies that reduce the cognitive load of protector individuals by
680 using communicative strategies that facilitate involuntary recall of information about their
681 individual identity (e.g. use of mutual visual contact) (Conty & Grèzes, 2012; Roberts *et al.*, 2017;
682 Roberts *et al.*, 2018b). When individuals play many different roles in the network, there may be a

683 greater need for more complex communication to differentiate between different types of social
684 relationships and this will be particularly important with increasing group size.

685 **(5) Egalitarian structure**

686 In primate societies, a distinction can be made between 1) despotic social relationships based on a
687 strong influence of the dominance hierarchy, where the dominant individual always supplants or
688 antagonizes the subordinate individual over access to resources, but is never supplanted or
689 antagonized by the subordinate and; 2) egalitarian social relationships where the influence of social
690 hierarchy on social relationships is weaker and thus social partners are equally likely to supplant,
691 antagonize and win if engaged in a fight over access to resources (Goodall, 1986). In despotic
692 species, signallers know the likelihood other conspecifics will win in contests over access to
693 resources and therefore despotic relationships increase certainty by having predictable outcomes
694 (Ay, Flack & Krakauer, 2007; Flack, Girvan, De Waal *et al.*, 2006). Maintenance of social structure
695 can be achieved by special signals which show the signaller's consensus for the dominance status
696 of the recipient (Ay *et al.*, 2007; Flack *et al.*, 2006). In contrast, in egalitarian species, the likelihood
697 of winning is not known in advance, resulting in high levels of uncertainty. Social coordination is
698 driven by communication that demands high levels of flexibility to resolve ambiguity over one's
699 access to resources. The presence of third party audience may add another challenge to these
700 interactions, in terms of inferring the potential of a partner to recruit support from the audience
701 when competing for access to resources. Thus despotic and egalitarian social relationships will
702 differ in the complexity of cognitive skills underpinning communication, in terms the need to
703 incorporate knowledge of past relationships and the behavioural context when deciding how to
704 communicate.

705 **(6) Dispersal**

706 Social groups of primates are different to those of other mammals by having distinct layers of
707 social structure (Hill, Bentley & Dunbar, 2008; Kudo & Dunbar, 2001; Roberts *et al.*, 2016a;

708 Roberts *et al.*, 2016b). One important factor that influences the partner preferences that create
709 these layers of social structure, is whether individuals remain faithful to their natal breeding site or
710 group. In mammals it is usually males who disperse rather than females (Greenwood, 1980). Male
711 dispersal leads to enhanced opportunities for female social bonding, meaning that among
712 mammals, male-bonded social systems are rare. Hence, it has long been acknowledged that the
713 defining feature of mammal sociality is female-bondedness (Lehmann & Dunbar, 2009). In
714 particular, related females form stable social groups in almost all of the Old World and New World
715 monkey species. However, most socially and cognitively complex primates (i.e. great apes such as
716 chimpanzees, bonobos, gorillas and humans) are based on male-bondedness, as females disperse
717 (Greenwood, 1980). Unlike in female bonded groups, primates in male bonded groups form
718 equitable social bonds that are cognitively demanding in terms of remembering previous
719 experiences with the partner, making decisions about how to compete based on small differences
720 in resource holding potential, and in recognizing relatedness in the absence of shared history of
721 association with the mother (as the majority of the males are paternally related). Managing these
722 social relationships ineffectively may have severe consequences, in terms of a high risk of injury or
723 death as result of physical conflict. These social relationships may demand a subtle appreciation of
724 others intentions and greater communicative complexity. Thus, primates who evolve skills of
725 complex cognition underpinning communication can evolve a more complex bonded system than
726 other mammal species.

727 **(7) Mating system**

728 In some mammals, females form an enduring, life-long 'pair bond' with the mating partner. In
729 some taxa, these types of relationships have been seen as a baseline of social complexity (primates:
730 Dröscher & Kappeler, 2013), whereas in others, they have been considered as a very complex form
731 of sociality (bats: Pitnick, Jones & Wilkinson, 2005; ungulates: Shultz & Dunbar, 2005). Whereas
732 pair-living may be viewed as simple form of sociality due to the small number of social

733 relationships that have to be maintained, the bonded type of social relationship requires a number
734 of communication skills that are cognitively demanding. These include for example, subtle
735 coordination through low intensity signals that requires a greater ability to voluntarily modify the
736 arousal underpinning communication, in order to successfully manage relationships in repeated
737 social interactions. Furthermore, due to the memory of the past interactions and familiarity, pair-
738 bonded partners have a much more subtle appreciation of each other's needs and intentions, and
739 ability to respond to low intensity signals. The cognitive complexity of communication that is
740 needed to maintain bonded relationships should therefore be reflected in larger brains of pair-
741 bonded species, relative to other species in the same order. For instance, when group size is
742 partialled out, pair-bonded species have larger brains and especially neocortex, than species living
743 in very much larger social groups where relationships are less intense, less structured and more
744 casual, suggesting that cognitive demands behind complex communication may drive the link
745 between pair bonding and cognitive skills (Shultz & Dunbar, 2010; Shultz & Dunbar, 2007).

746 **IV. A BRIEF REVIEW OF EVIDENCE FOR COMMUNICATIVE ROOTS OF** 747 **COMPLEX SOCIALITY AND COGNITION**

748 To date, partly because the primary medium of human language is vocal, research in animal
749 communication has mainly examined important aspects of primate cognitive abilities in relation to
750 the vocal communication systems (Tomasello & Zuberbühler, 2002). The evidence suggests that
751 primates may have complex cognitive abilities indicated by functionally referential calls in many
752 primate species that can reliably provide recipients with information about the presence of
753 predators or food in the environment (Zuberbuhler, 2009). For instance, vervet monkeys use
754 different alarm calls in association with different predators leading to different escape responses
755 in recipients; perceiving the call or the predator itself elicits the same specific response (Seyfarth *et*
756 *al.*, 1980). Chimpanzees in captivity produce acoustically different food grunts in response to
757 quality of the food eaten (Slocombe & Zuberbuhler, 2005; Slocombe & Zuberbuhler, 2006).

758 Additionally, there is evidence in vocal communication for audience effects, where the signaller's
759 vocal behaviour is affected by social characteristics (such as relative dominance or familiarity) or
760 the presence or absence of conspecifics. For instance, Gouzoules and colleagues (1984) have
761 shown that rhesus macaques produce acoustically different scream variants as a function of
762 aggression severity, relatedness and the relative rank of the opponent.

763 However, whilst receivers can infer referents from signaller's calls, there is less strong
764 evidence that vocalisations are intentional from the signaller's perspective. Thus, whether signallers
765 attempt to inform others about the presence of external referents, or whether vocalisations express
766 the emotional state of the signaller, is still a topic of intense debate (Crockford, Wittig, Mundry *et*
767 *al.*, 2012; Fischer, 2017). For instance, vervet monkeys continue producing alarm calls even after
768 the recipients have responded to the signal (i.e. the monkeys have already escaped to safety)
769 (Seyfarth *et al.*, 1980). Chimpanzees continue producing loud pant-hoot calls upon finding patches
770 of food even if the whole community is already feeding on the food tree (Clark & Wrangham,
771 1994). The findings from vocal development in primates also indicate that certain cognitive skills
772 in vocal domain may be more constrained. Although primates can modify existing call types to
773 match those of the partner (Watson, Townsend, Schel *et al.*, 2015), there is ample evidence for the
774 inability of primates to invent and acquire new sounds from other individuals. For instance, cross-
775 fostering of Rhesus monkeys and Japanese monkeys produces no significant changes in the
776 repertoire or structure of their species-typical vocalisations (Owren, Dieter, Seyfarth *et al.*, 1992).
777 Additionally, language-trained apes subjected to years of language instruction are unable to acquire
778 any substantial vocabulary of words (Hayes & Hayes, 1951). This reduced breadth of cognitive
779 skills in the vocal domain of communication does not reflect overall limitations of primate
780 cognition, but rather the difficulty of the communicative mechanism to control vocal output
781 (Lieberman, 1968). Whilst the basic vocal tract anatomy of primates would support production of
782 complex sounds, and is likely to do so in low intensity calls, the neural abilities responsible for
783 detailed voluntary control of the vocalisations and the capacity to link auditory input to

784 corresponding motor outputs are less robust in our primate relatives (Jurgens, 1998). Given the
785 limitations of the previous findings on cognitive skills underlying vocal behaviour (Fischer, 2017),
786 it is perhaps unsurprising that the cognitive skills underpinning vocalisations have not yet been
787 related to sociality. One notable exception to this general pattern is the demonstration of the link
788 between vocal repertoire size, group size and brain size across primates (McComb & Semple,
789 2005). The research showed that the size of the vocal repertoire in primates is associated with brain
790 size suggesting that the cognitive demands behind managing more complex relationships in large
791 social groups precipitated evolution of large vocal repertoire and brain size.

792 Whilst features of cognition make primate vocalisations an unlikely sole candidate for an
793 evolutionary driver of primate bonded social system, it is possible that the cognitive skills
794 underlying social evolution are also present in the gestural modality of communication (Burling,
795 1993; Corballis, 2003; Hewes, 1992). This is because primates have a greater voluntary control over
796 their limbs than their vocal output and more important similarities with human communication
797 can be observed in the gestural modality in many areas of cognition such as learning, symbolic
798 communication and intentionality (Tomasello & Zuberbühler, 2002). For instance, whilst vocal
799 culture has not yet been shown among any of the primate species (Pollick & de Waal, 2007),
800 gestural cultures have been reported both in the wild (see e.g. hand clasp, leaf clipping) (McGrew
801 & Tutin, 1978; Whiten, Goodall, McGrew *et al.*, 1999) and in captivity (Tomasello *et al.*, 1985).
802 Additionally, whilst primates display an inability to learn vocal modifications, they have ability to
803 acquire and use symbolically many gestures of American Sign Language, which they are then able
804 to transmit culturally to their offspring (Gardner, Gardner & Van Cantfort, 1989; Menzel, 1999).
805 Moreover, primates do not appear to use their calls intentionally (but see Crockford *et al.*, 2012 for
806 possible evidence of this) whereas they have an ability to interact intentionally in their interactions
807 with humans (Cartmill & Byrne, 2007; Leavens, Russell & Hopkins, 2005; Roberts *et al.*, 2014b)
808 and with conspecifics (Roberts *et al.*, 2018a; Roberts, Vick & Buchanan-Smith, 2012a; Roberts *et*
809 *al.*, 2013).

810 When exploring the link between cognitive skills underlying gestural communication and
811 sociality, manual gestures, defined as communicative movements of hands without using or
812 touching objects, are particularly important. This is because manual gestures are neurologically
813 distinct from other types of gestural communication, such as bodily movements and locomotory
814 gaits. Broca's area is a region of the hominid brain with functions linked to human communication
815 (Broca, 1861). The ape Brodmann's area 44, which is homologous with humans Broca's area, is
816 enlarged in the left hemisphere (Cantalupo & Hopkins, 2001). In contrast to vocalisations, the
817 monkey's Brodmann's area is activated during both the production and perception of manual
818 movements (Rizzolatti, Fadiga, Gallese *et al.*, 1996). These neural structures underlying manual
819 gestures in the great apes are homologous with the communication areas in the human brain,
820 suggesting an important link between human communication and primate manual gestures, but
821 not primate calls or other primate bodily movements (Corballis, 2003). Additionally, while many
822 primate species commonly communicate with calls, facial expressions or bodily movements,
823 manual gestures are typically widely used only in humans and other great apes (Byrne, Cartmill,
824 Genty *et al.*, 2017; Pollick & de Waal, 2007). This lack of homology between Hominoidea and all
825 other primate species regarding manual gestures, indicates a shift towards a more flexible and
826 intentional production of manual gestures in our pre-hominid ancestors (Corballis, 2003) which
827 may have facilitated the emergence of complex social system.

828 Most of our knowledge about the link between sociality and gestural communication
829 comes from studies of gestural behaviour in chimpanzees, as this is the great ape species whose
830 gestural communication has been most intensively studied (Byrne *et al.*, 2017; Hobaiter & Byrne,
831 2014; Pika & Mitani, 2006; Roberts *et al.*, 2014a; Tomasello & Frost, 1989). For instance, the
832 gestural communication of the Sonso group of Budongo (Uganda) in East Africa, with a special
833 focus on adults, was described by Roberts and Roberts (2016b). They found that gestural
834 communication is associated with the duration of time spent in proximity. Use of visual gestures
835 combined with hand extensions and low intensity calls (Video 4) occur at a higher rate between

836 individuals who spend longer periods of time in proximity. In contrast, tactile and auditory gestures
837 unaccompanied by these behaviours occur between individuals who spend shorter periods of time
838 in proximity (Roberts *et al.*, 2018b). These observations were later supplemented by observations
839 of intentional use of gestures in relation to proximity (Roberts *et al.*, 2018a). Chimpanzees that
840 spent longer periods of time in proximity used persistence sequences at a higher rate, as compared
841 to chimpanzees that spent shorter periods of time in proximity. Moreover, recent studies showed
842 that a larger repertoire of gestural communication occurs between dyad partners who spent a
843 longer duration of time in proximity, as compared to dyad partners who spent a shorter duration
844 of time in proximity (Roberts, Chakrabarti & Roberts, 2019). Further, chimpanzees who spent
845 shorter periods of time in proximity appear to engage in rewarding communication more often
846 than the chimpanzees who spent longer periods of time in proximity (Roberts *et al.*, 2018a).
847 Chimpanzees produce ‘synchronized high intensity panthoots’ (Video 3) – a form of
848 communication where loud auditory gestures (e.g. drumming made by hitting tree trunk with the
849 feet) are responded to by the joint vocal reaction of a wider audience (Roberts *et al.*, 2016b).
850 Chimpanzees also produce tactile gestures that can be responded to by turn-taking episode of
851 visual or tactile gesture (Video 2) (Roberts *et al.*, 2018a). Both of these communication types appear
852 to occur between pairs of individuals that spent a short time duration in close proximity (Roberts
853 *et al.*, 2018a; Roberts *et al.*, 2016b). These behaviours are shown to reduce anxiety in recipient, thus
854 influencing social bonding.

855 From this, it follows that chimpanzees can flexibly modify their communication in relation
856 to the duration of time they spend in proximity to others. Differentiation in communicative
857 strategies in relation to proximity shows that chimpanzees have social awareness, and can gesture
858 flexibly in relation to the strength of the social bond with the partner (Schneider, Liebal & Call,
859 2017). However, the more informative source of data in regards to the link between social and
860 communication complexity comes from examining the communicative underpinnings of
861 bondedness, or degree to which chimpanzees engage in social interactions directly. These data

862 demonstrate that bonded relationships based on reciprocated grooming in larger parties are
863 maintained through cognitively complex communication more effectively than through less
864 cognitively complex signalling. Right handed gestures are believed to be more cognitively complex
865 than left handed gestures because they are linked to greater cortical control over motor actions in
866 terms of ability to learn and flexibly adjust manual movement, enabling more effective
867 comprehension and learning of communication by the recipient (Mutha, Haaland & Sainburg,
868 2012). When social bonds are weaker, meaning dyad partners are not engaged in mutual grooming,
869 right handed gestures are more likely to elicit a response and reciprocity to grooming, relative to
870 left handed gestures (Roberts *et al.*, in press).

871 Right handed gestures are particularly important in maintaining bonded relationships in
872 complex social settings. The bonded social relationships in average parties that have a size of five
873 individuals for East African chimpanzees appear to be maintained through left handed visual
874 gestures. Since the interests of the signaller and the recipient in social bonding in these parties
875 coincide, chimpanzees use low intensity, visual left-handed gestures to manage social relationships
876 (Dawkins and Guilford 1997). However, when the complexity of the social setting increases,
877 monitoring of third party audience can cause distractions in the joint attention between the
878 signaller and recipient. In turn, this leads to a decrease in social bonding, as measured by mutual
879 visual attention, mutual grooming and proximity between the signaller and the recipient (Roberts,
880 2018). When the size of the party increases from approximately five individuals to eight individuals,
881 visual right-handed gestures can enable social bonding in this more complex social setting. As the
882 size of the party reaches thirteen chimpanzees, signallers adapt to the increasing social complexity
883 by increasing their reliance on more intense left-handed gestures (tactile, auditory) that incorporate
884 a rewarding property in signalling and exploit similar mechanisms to grooming. This also suggests
885 that as the number of social bonds based on reciprocated grooming increases, it eventually reaches
886 an asymptotic limit where no more of these types of relationships can be maintained in larger social
887 parties, due to time and cognitive demands of maintaining them (Dunbar, 2018). In order to

888 overcome this limit, chimpanzees may use rewarding gestures to facilitate social interactions in the
889 absence of reciprocated grooming. By having rewarding properties, these gestures facilitate greater
890 social complexity by redirecting the recipient's attention away from the wider audience and back
891 onto the signaller. This means that signallers can coordinate social interactions such as travel more
892 effectively, as compared to other types of signalling. Another piece of evidence in support of the
893 notion that bondedness in complex social settings is facilitated by cognitively complex signalling
894 comes from examining the link between communicative persistence and sociality. Recent data
895 shows that in larger parties where the social bonds are weaker and the chimpanzees engage in
896 mutual grooming for shorter periods, communicative persistence facilitates bonded relationships
897 based on grooming (Roberts, 2018). This research clearly shows how cognitively complex
898 behavioural strategies can shape social bonding in response to increases in social complexity.

899 Whilst we have increasingly good insight into various aspects of cognitively complex
900 communication in relation to complexity of sociality, the lack of ability to infer causality in
901 observational studies may make it difficult to draw inferences about the presence and form of
902 communication that facilitated life in complex social groups in our hominin ancestors (Fitch,
903 2005). Some of the most compelling evidence of the influence of cognitively complex
904 communication on social coordination comes from the experimental studies of language-trained
905 apes. In a task that required use of communication to obtain hidden food that could not be
906 obtained individually, language trained chimpanzees using communicative persistence to correct
907 experimenters understanding about location of hidden food were able to obtain the food much
908 faster, as compared to chimpanzees who did not use such complex communication (Roberts *et al.*,
909 2014b). Since social coordination of dyadic one-on-one interactions gives rise to social groups,
910 these results suggest that more complex social structure can emerge through cognitively complex
911 behaviour. These findings are consistent with the hypothesis for communicative roots of complex
912 sociality and cognition: as the complexity of cognitive skills underpinning communication
913 increased, we would predict primate species living in more complex, structured social systems.

914 Whilst there is clear evidence of flexibility in primate gestural communication (Byrne *et al.*,
915 2017; Schneider *et al.*, 2017), there is still a debate as to the cognitive underpinnings of this flexibility
916 in communication, and the cognitive abilities of non-human primates in general. Some researchers
917 claim non-human primates, and particularly some great ape species have the ability to understand
918 mental states in others (Krupenye, Kano, Hirata *et al.*, 2016), but others are more sceptical of such
919 claims, arguing that behaviour that appears complex can have relatively simple cognition
920 underpinning it (Barrett, 2016; Fischer & Price, 2017; Heyes, 2017; Leavens, Bard & Hopkins,
921 2017). For example, Fisher and Price (2017) argue that whilst primate vocalisations and gestures
922 do appear to be goal-directed, there is a lack of evidence of communicative intent and propose
923 that this is in line with the lack of solid evidence of mental state understanding. In turn receiver's
924 use signals to predict the signaller's behaviour, leading to a flexible communication system that is
925 not underpinned by higher-level mental state understanding from either the signaller or the
926 receiver. This view is consistent with the studies that showed relative difficulty of identifying theory
927 of mind in primates such as evidence for false belief (e.g. chimpanzees, Call & Tomasello, 1999;
928 Hare, Call & Tomasello, 2001; Kaminski, Call & Tomasello, 2008; chimpanzees, bonobos, human
929 children, Krachun, Carpenter, Call *et al.*, 2009) and deception (capuchin monkeys, Wheeler &
930 Hammerschmidt, 2013). More broadly, Barrett and colleagues (2007) suggest that much of primate
931 behaviour is based not on long-term durable social bonds between pairs of individuals
932 underpinned by conceptual knowledge of these relationships that persists through time. Instead,
933 Barrett *et al.* (2007) argue that primates make moment-by-moment adjustments to the current
934 social situation, using social contact and proximity to achieve their immediate goals. For example,
935 coalition formation among free-ranging male vervet monkeys appears to be opportunistic, allowing
936 pairs of monkeys to target a conspecific with a reduced risk of injury, and there was little evidence
937 of long-term strategic advantage to the coalition partners in terms of fitness benefits or an increase
938 in rank (Freeman, Young, Barrett *et al.*, 2016). Finally Leavens and others (2017) notes the inherent
939 difficulty in formulating hypotheses and interpreting behaviour based on unobservable mental

940 states, and argue that future studies should define mental states purely in terms of behaviour and
941 context, as this is all that can be measured objectively and scientifically. Overall, therefore there is
942 still ongoing debate both with regard to primate communication and primate cognition more
943 generally as to the evidence for higher-level cognitive process in primates (intentionality in
944 communication, mental state understanding) and the utility of these concepts in studying primate
945 behaviour and communication.

946 **V. USING SOCIAL NETWORK ANALYSIS TO EXAMINE THE LINK BETWEEN** 947 **COMMUNICATION, COGNITION AND SOCIALITY**

948 To assess how communicative and cognitive complexity varies across different levels of social
949 complexity such as groups of differing sizes and with different levels of fission-fusion dynamics
950 requires a systematic way of defining and measuring and comparing social complexity across
951 groups and species. Currently, there is a lack of such a standardized measure of social complexity
952 and developing such a measure that can be applied across different species has been described as
953 the ‘grail of social analysis’ (p. 20, Whitehead, 2008). Social network analysis can be used to develop
954 such a quantitative measure that can be applied across a wide number of primate and non-primate
955 species. A network models a system composed of individual components (‘nodes’) and their
956 connections (‘ties’). Recent advances in computing power, in mathematics and statistical physics
957 and in the availability of large-scale electronic databases have resulted in new paradigms for the
958 characterisation of the structure of complex networks in a range of fields, including electrical
959 power grids, transport systems, the world wide web and metabolic reaction networks (Watts, 2004).
960 There is also an increasing realisation that network analysis - by providing common techniques
961 and modes of analysis - can lead to a greater synthesis across the many disciplines in the
962 mathematical, biological and social sciences in which network-related problems arise.

963 In social networks analysis, each node usually represents an individual, and each edge (or, as used
964 in this proposal ‘tie’) represents some measured social interaction or association (e.g. time spent

965 grooming). The social network approach is grounded in the notion that the patterning of ties in
966 which individuals are embedded has important consequences for these individuals. Network
967 analysis provides a way of exploring how individual social relationships build up to produce the
968 social structure observed at the group or population level. Understanding this link between
969 individual behaviour and population-level phenomena is a long standing challenge in ecology and
970 evolutionary biology (Croft, James & Krause, 2007). Network theory provides novel insights into
971 the properties of social structure in groups that are not possible either by considering the
972 interactions between pairs of individuals in isolation, or by studying the average properties of the
973 group as a whole (Croft *et al.*, 2007; Wey, Blumstein, Shen *et al.*, 2008a).

974 Further, recently developed methods for identifying natural subgroups in networks provide a way
975 to assess intermediate-level groupings, defined as groups of individuals that associate with each
976 other more than with other individuals in the network. These structures may be especially difficult
977 to detect in fission-fusion systems where group membership is unstable over time and space. Thus,
978 using network analysis, subgroups of individuals that preferentially associate with each other could
979 be identified within the larger social group, thus revealing the internal structure of the group in a
980 way that would not be possible purely based on individual relationships or association indices.

981 Network analysis therefore provides a well-developed and established set definitions and
982 quantitative measures (based on explicit mathematical formulae) for objectively characterising both
983 individual relationships and social groups. As many of the measures can be standardized by
984 dividing by group size, systematic comparisons between different groups and species can be made
985 (Sundaresan, Fischhoff, Dushoff *et al.*, 2007). Using these quantitative measures of relationships,
986 statistical models about social relationships and social structure can be tested (Wey *et al.*, 2008a).
987 By comparing networks both within and between species, network methods help to determine the
988 extent to which social structure is driven by ecology or phylogeny (Sundaresan *et al.*, 2007).

989 **VI. THE NOVELTY OF APPLYING SOCIAL NETWORK ANALYSIS TO THE**
990 **STUDY OF COMMUNICATIVE ROOTS OF COGNITIVE AND SOCIAL**
991 **COMPLEXITY**

992 The novelty of applying social network analysis to the study of communicative and cognitive
993 complexity in relation to social factors lies in three areas. First, until recently social network analysis
994 has not been applied to the study of animal societies, although recent review papers have noted
995 the vast potential of network analysis to enhance our understanding of social systems and the
996 evolution of sociality in animals (Krause, Croft & James, 2007; Wey, Blumstein, Shen *et al.*, 2008b).
997 Thus there is a need to apply the recent advances in network analysis to the study of primates in
998 the wild. A particularly novel aspect of this line of research may lie in the use of weighted and
999 directed ties in the network analyses. In weighted, directed networks a numerical value reflects the
1000 strength of the tie, and there is the possibility of asymmetry in the ties. In contrast, the great
1001 majority of network analysis in social sciences, biological sciences and mathematics use binary
1002 networks, where the tie between two nodes is classified as present (1) or absent (0). The use of
1003 weighted ties is challenging, as the techniques of analysing - and in particular comparing - weighted
1004 networks are less well established than those using binary networks, and work on weighted social
1005 networks in animals is in its infancy. However, the use of weighted networks, and the comparison
1006 between weighted networks of different sizes and in different species, has the potential to open
1007 up a major new field of research in network analysis that would be applicable in many different
1008 disciplines across the biological and social sciences. This would represent a major advance on the
1009 current reliance on binary network analysis.

1010 Second, most studies of primate relationships, in the wild or in captivity, focus on one aspect of
1011 behaviour, such as grooming or vocalizations. However, to develop a real understanding of social
1012 bonds in primates, several measures of the relationship are needed. As well as grooming, primates
1013 use visual attention to monitor conspecifics, communicate with conspecifics through both

1014 vocalisations and gestures and also preferentially associate with others through proximity. All these
1015 modes of interaction go into forming social relationships (Hinde, 1976). Thus studies which only
1016 focus on one aspect may miss important features of social relationships. Thus there is an urgent
1017 need to use data on all these types of interactions, and analyze them using network analysis. The
1018 extent to which these measures produce similar or different social networks may then be used as
1019 an indicator of social complexity. In a complex social system, individuals may need to use a variety
1020 of different behavioural interactions (grooming, vocalisations, gestures, proximity and visual
1021 attention) to manage social relationships, whereas in less complex social systems individuals would
1022 use fewer types of behavioural interactions to manage their relationships.

1023 Third, by comparing primates with different social systems, comparisons will be possible both
1024 within species (small, medium and large groups) and between species with very different social
1025 systems (fission-fusion vs. stable cohesive groups). Network analysis provides a rigorous and
1026 systematic way of describing the networks and carrying out the comparisons at the level of
1027 individual relationships, community structures that form the building blocks of the network and
1028 global descriptors of the entire network (Croft *et al.*, 2007; Krause *et al.*, 2007).

1029 This line of research would open up new opportunities for research in several areas. Developing
1030 models of social networks in primates offer the possibility of developing species-neutral measures
1031 of social complexity, something that is sorely lacking in current comparative studies of social
1032 structure (Wey *et al.*, 2008b; Whitehead, 2008). This measure - or more likely series of measures -
1033 can then be used to assess social complexity in a wide variety of species, both human and non-
1034 human. The cognitive complexity involved in living in different size groups and in different types
1035 of social systems can thus be determined.

1036 Further, whilst much progress has been made assessing the archaeological record, the study of
1037 hominin social life is in its infancy (Dunbar, Gamble & Gowlett, 2014). As hominins are likely to
1038 have been characterised by a fission-fusion social system, and one of the trends in human evolution

1039 is of increasing group size over time, understanding how social network structure changes with
1040 increasing group size, and how this is affected by the social system, provides valuable insights into
1041 the evolution of human sociality. Network analysis provides new methods and a new approach
1042 with which to examine the archaeological record, with the focus on nodes (individuals or groups)
1043 and the ties (e.g. exchange of material culture, ideas, mates) between them. The network approach
1044 is just starting to be applied to human evolution, and this project will provide both the methods,
1045 and the comparative framework of how social networks operate in primates, to allow the potential
1046 of this network approach in the study of human evolution to be realised.

1047 Finally, a detailed understanding of the social structure of primates will aid in conservation
1048 management, and an assessment of how they are likely to react to changes in habitat through
1049 deforestation or climate change. Many primate species are classified as endangered, meaning that
1050 they are considered to be facing a very high risk of extinction in the wild. For instance, there are
1051 only around 700 mountain gorillas surviving today, all in the wild and a recent survey has
1052 demonstrated an alarming fall in the population of wild chimpanzees. An urgent priority is thus to
1053 assess how future changes in habitat are likely to affect the social structure and long-term viability
1054 of primate species, to allow for effective planning of management and conservation strategies.
1055 How the social structure will change is dependent on the nature of the social network, the role of
1056 key individuals within the network and the flexibility inherent in terms of both group size and
1057 social system (e.g. level of fission-fusion dynamics). A detailed understanding of the social
1058 networks of primates will provide an invaluable tool in ensuring a long-term future for our closest
1059 living relatives.

1060 **VII. CONCLUSIONS**

1061 (1) Although much progress has been made in assessing the archaeological record, our
1062 understanding of hominin social life is in its infancy. Primates are our closest living ancestors, and

1063 as such an improved understanding of the forces governing their sociality is important for
1064 providing valuable insights into human social evolution (Aureli *et al.*, 2008; Byrne *et al.*, 2017).

1065 (2) Fission-fusion dynamics characterise chimpanzee and bonobos, and also are typical of modern-
1066 day hunter-gatherer (Aureli *et al.*, 2008). This suggests that fission-fusion dynamics were
1067 characteristic of the social system of the last common ancestor of chimpanzees, bonobos and
1068 modern humans (Aureli *et al.*, 2008). Further, a general trend in the course of human evolution is
1069 an increase in brain size, and this is likely to have been accompanied by a corresponding increase
1070 in social group size (Aiello & Dunbar, 1993). However, the information processing demands that
1071 drive the corresponding increase in brain size and group size are currently unclear.

1072 (3) We propose that cognitive demands behind the communication needed to maintain bonded
1073 social groups, is a key factor in explaining the link between social complexity and cognition. In
1074 particular, we emphasize that manual gesture was a key innovation that led to more complex social
1075 systems of primates. We provide first comprehensive review that explains why complexity of
1076 cognitive skills underpinning communication is important for maintaining social bonds relative to
1077 cognitively less complex communication. We provide information about set of recent advances
1078 that suggests that communication increasing bondedness (e.g. intentionality, referentiality,
1079 rewarding communication) when the social bonds weaken (e.g. mutual grooming, close proximity,
1080 grooming reciprocity decline) enables primates to maintain social relationships in complex social
1081 settings (e.g. larger groups).

1082 (4) To date there is no evidence how complexity of cognitive skills underlying communication
1083 varies as a function of social complexity at level of group of social system. A comparison of social
1084 complexity (e.g. stable and fission-fusion societies) offers the opportunity to explore the challenges
1085 involved in regulating social relationships in more complex, as compared to less complex social
1086 groups, and how this complexity changes in groups of different sizes. This will help us understand
1087 how the social structure is likely to have changed with increasing group size in the fission-fusion

1088 system of early hominins, and the cognitive complexity involved in managing groups of increasing
1089 size. Further, it will help to elucidate origins of language.

1090 (5) To carry out both the within and between species comparisons, we propose use of social
1091 network analysis, which provides a novel way to describe and compare social structure. By applying
1092 social network analysis a new, systematic way of comparing social complexity across species can
1093 be achieved, something that is lacking in current comparative studies of social structure. Given a
1094 fission-fusion system is likely to have characterised hominins, a comparison of the social and
1095 communicative complexity involved in fission-fusion and more stable social systems is likely to
1096 provide important new insights into human brain size evolution.

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- 1526 Supplementary Information

- 1527 Video 1 – Stretched extend gesture made with right-hand towards the dominant individual during
1528 aggressive event
- 1529 Video 2 –Chimpanzee is responding with tactile communication to the gesture
- 1530 Video 3 – Synchronized high intensity panthoot with drumming
- 1531 Video 4 – Arm extension combined with visual gesture (‘lower back’)
- 1532 Fig. 1 The link between complexity of cognitive skills underpinning communication and social
1533 complexity
- 1534 Table 1. Link between cognitive complexity underpinning communication and social complexity
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