# Evidence synthesis for tackling research

# <sup>2</sup> waste

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11 There is an urgent need for a change in research workflows so that pre-existing knowledge

12 is better utilised in designing new research. A formal assessment of the accumulated

13 knowledge prior to research approval would reduce the waste of already limited resources

14 caused by asking low priority questions.

15 "Research waste" is a well-established concept in medical research<sup>1</sup>. Research is wasted when 16 its outcomes cannot be used for the benefit of society<sup>2</sup>, for the benefit of training students or the 17 benefit of engaging stakeholders, for example because no new knowledge is gained or the 18 knowledge gained cannot be applied. Waste can occur at any of the four stages of the research process<sup>2</sup>; question setting; methods; accessibility; and reporting (Table 1). In medicine, global 19 20 research waste was estimated in 2009 to cost US\$85bn<sup>2</sup>, with few signs of improvement in the 21 last decade<sup>1</sup>. There is little reason to believe that the situation is substantially different in ecology 22 and conservation, although there are no field-wide formal assessments of research waste.

Emerging topics are beginning to address some of the factors that result in wasted research efforts (Table 1). In particular, there is increased focus on methodological improvements in individual studies (e.g. <sup>3,4</sup>), and on open science leading to improved accessibility and reporting<sup>5,6</sup>. Less formal effort is devoted to the question setting stage. Here we suggest that "Evidence Synthesis" should be considered an additional stage of research (Table1, Figure S1). Evidence synthesis methods close the research process into a loop, and will have additional benefits in terms of reducing research waste at the question setting stage.

## 30 Reducing waste in question setting

There are two related areas where research waste can be reduced by taking into account the existing body of evidence by applying evidence synthesis methods.

33 Low priority questions

New studies may ask low priority questions - those that are irrelevant to stakeholders. The remedy

35 to this is to include stakeholders in the research commissioning process<sup>2</sup>. Evidence synthesis, or

horizon scanning for novel problems, should be used to provide evidence to practitioners,
 researchers and other stakeholders so that they can identify research gaps that are important to
 them and to develop future guestions<sup>7</sup>.

#### 39 The answer is already known with certainty

40 If a topic has been sufficiently addressed in the existing literature we might already know the 41 outcome with high certainty. Further studies that fail to leverage this existing knowledge are at 42 high risk of wasting limited research resources. There are a variety of tools available for research-43 funders and researchers to assess the state of knowledge on the topic of interest. For example, 44 systematic maps (also known as Evidence gap maps or Evidence maps), were designed to give an overview of the available evidence on a broad topic<sup>8</sup>. They can highlight where there is enough 45 46 available evidence for a systematic review or where primary research is required (i.e. there is a 47 lack of evidence). However, users of systematic maps should be aware that the number of papers 48 available on a topic does not equate to the strength of evidence which should be formally 49 examined before making conclusions about if sufficient evidence is available<sup>9</sup>. Systematic reviews 50 can be used to synthesise knowledge about a narrow topic such as the evidence for the 51 effectiveness of an intervention and can provide a statistical summary of the pooled effect size. 52 The statistical combination of numerical data extracted from the evidence base during the process 53 of a systematic review is known as meta-analysis. Meta-analysis is commonly used in 54 conservation and ecology<sup>6</sup> providing an understanding of the magnitude of the known effect of an 55 intervention across individual studies. These results can then be used to identify what a new 56 research project can add to the current evidence base.

#### 57 Identifying research waste with cumulative meta-analysis

58 In medicine, one additional tool used to quantify research waste is cumulative meta-analysis. A 59 cumulative meta-analysis typically describes the accumulation of evidence (e.g., about the 60 effectiveness of an intervention) across time, and available estimates are added to the analysis 61 in chronological order<sup>10</sup>. Using cumulative meta-analysis, a researcher, funding agency or 62 decision maker can identify if there is sufficient evidence to be confident that a reported effect is 63 true. At this stage new trials are no longer required to predict the outcome with satisfactory 64 certainty and hence future research waste will be avoided. Cumulative meta-analyses 65 demonstrate how new research is frequently undertaken generating research waste, even when effect sizes are temporally stable and precise<sup>10</sup>. Researchers in domains relying on 66 67 heterogeneous observational studies (such as ecologists) should beware of temporal instability of effects<sup>11</sup> which should be considered as part of the strength of the existing evidence-base. 68

#### 69 An applied example

As an example, we consider to what extent autonomous acoustic recorders can replace human observers in wildlife sampling and monitoring when the focus is on estimating species richness, which now has a long history in the ecological literature<sup>12</sup>. Technological advances over the last two decades have allowed this potential to be explored fully, and well over 150 field studies have sought to answer this question. A meta-analysis in 2018<sup>12</sup> explored the pooled effect of these studies using a meta-analytic approach to estimate species richness of birds. Based on the combined evidence from the included studies, they concluded that when human observers (using point counts) and sound recorders sample areas of equal size then there is no difference between estimates of bird species richness. When properly conducted (see specific advice in <sup>12</sup>), it can be inferred that sound recorders can be used to monitor aspects of biodiversity as efficiently as human observers. Twenty-eight primary studies published between 2000 and 2017 were included in the meta-analysis.

82 Taking the role as a research funder or researcher at the question setting stage, we can utilise 83 cumulative meta-analysis to determine if we need another study quantifying the difference 84 between acoustic recorders and human observers for bird survey point counts. We adapted the analysis of <sup>12</sup> to demonstrate the use of cumulative meta-analysis (see supplementary materials). 85 86 The effect size (i.e. the magnitude of the difference between intervention and control) of studies 87 investigating the difference between autonomous acoustic recorders and human observers in 88 terms of bird species richness estimates was consistently close to 0.07 since 2015 (Figure 1). 89 This means that there was no clear difference between acoustic recorders and human observers 90 on bird point counts. It would be wasteful to fund yet another study that addressed this specific 91 question.

92 To reduce research waste we need to be able to first identify it. One option is to use cumulative 93 meta-analysis. The approach demonstrated here is well known and tested in the medical literature 94 and should not be challenging to integrate into conservation and applied ecology workflows. 95 Cumulative meta-analysis has already been used in our field to assess time-lag bias<sup>13</sup> but is not 96 commonly used in the way we have shown here.

97 Caveats

98 There are several important caveats that need to be addressed. The heterogeneity in reporting 99 and the drive for novelty in publications means that meta-analysis is currently challenging in 100 applied ecology. There might not be sufficient good quality research to quantify the cumulative 101 effect of even some apparently well studied phenomena. Researchers are best placed to add to 102 the evidence base by ensuring that they use of comparable measures of outcomes rather than 103 novel ones.

104 In addition, publication bias, where the direction of statistical significance of the outcome 105 influences the decision to publish the result, might bias the evidence base available. This is a 106 major caveat for all evidence synthesis approaches, but one which can be identified. With 107 cumulative meta-analysis one can explore publication bias<sup>13</sup> by accumulating the effect sizes in 108 order of journal impact factor for example. Although this method makes it possible to detect 109 publication bias it will not solve the underlying problem, and researchers should endeavour to 110 reduce publication bias by following open science (Table 1).

To address this and the problem of synthesising diverse information sources in non-linear systems with multiple complexities, methodologists propose use of systems models to combine empirical evidence from systematic reviews and meta-analysis with expert opinion which allows 114 key areas of uncertainty in a topic to be identified and prioritised for research focus (e.g. <sup>14</sup>).

- Formal value of information analysis can then be undertaken if a decision-theoretic framework
- 116 exists.

# 117 Outlook

118 Research waste can be reduced and it is the responsibility of funders as well as individual 119 researchers to do so. Researchers and funders could search for existing research syntheses in 120 the literature and on synthesis platforms (e.g. <u>https://www.conservationevidence.com/</u>). We agree 121 with the statement targeted at medicine 25 years ago that "We need...better research, and 122 research done for the right reasons"<sup>15</sup>. Without a change in focus ecology and conservation 123 funding will continue to be wasted which will be detrimental to our efforts to provide solutions to 124 global societal challenges.

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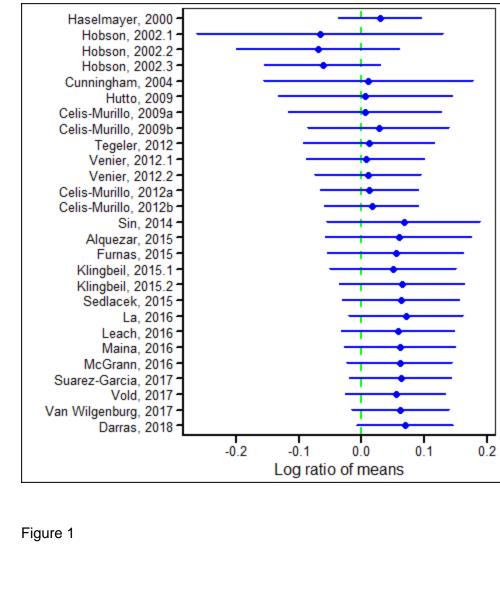
#### 161 Figures

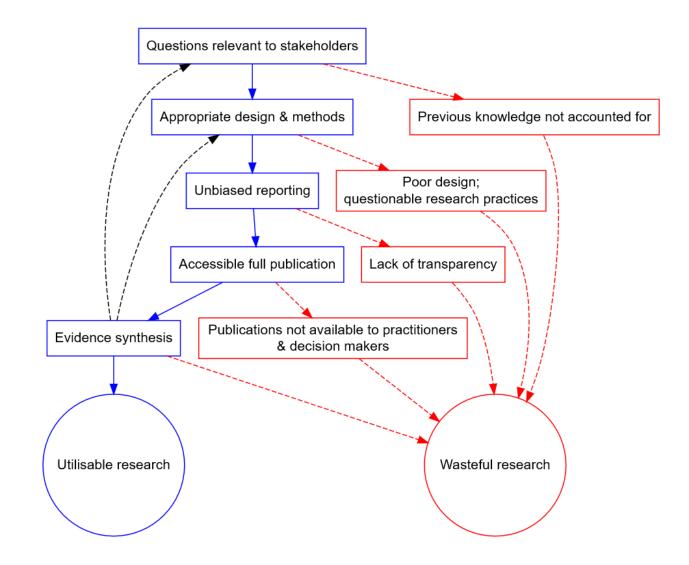
- Figure 1. Cumulative forest plot of the meta-analysis of <sup>12</sup> on the difference between human
  observers and acoustic recorders in terms of species richness.
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- Table 1. The research process stages (adapted from <sup>2</sup>), examples of potential research waste
   and how ecology and conservation can limit these.
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- 169 Figure S1. The production of research flows through five stages (blue lines) all of which can
- 170 lead to research waste<sup>2</sup> (red dashed lines). Ecology and conservation have begun to reduce
- 171 waste by focusing on methodological improvements and open science. Evidence synthesis
- 172 (including reporting to decision makers) can contribute to the reduction in research waste by
- 173 influencing question setting and appropriate methods and design (black dashed lines). Poor
- 174 evidence synthesis can also lead to research waste
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- 177 Table 1.

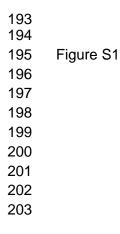
Research Stage	Examples of potential for research waste	Where ecology and conservation can reduce waste
Questions relevant to stakeholders	Irrelevant questions asked	Co-development of research questions with stakeholders and using appropriate methodology such as Delphi exercises to avoid issues such as group think or not including the right group of

		experts or stakeholders
	Previous knowledge not properly taken into account	Make use of evidence synthesis methods (e.g. cumulative meta-analysis, systematic mapping, systematic reviews, meta- analysis) to identify questions that are not satisfactorily answered
Appropriate design and methods	Study poorly designed, under-powered (or over- powered. etc.)	Use simulations or power- analysis prior to undertaking data collection. Predefine effect size of interest with stakeholders (i.e do not rely on rules of thumb for "statistical significance")
	Using inappropriate statistical tools (including overfitting etc.)	Better training of early-career researchers in methods. Open code and data to ensure reproducibility of methods
	Questionable research practices <sup>3</sup> lead to poor quality research	Open science (open methods and data, reproducible methods, sharing code, etc.) Better training of early-career researchers in methods of open science and evidence synthesis.
Unbiased reporting	Lack of open data	Open science (open methods and data, reproducible methods, sharing code, etc.)
	Hypothesising after the results are known	Pre-registration of hypotheses
	<i>p</i> -hacking	Open science (open methods and data, reproducible methods, sharing code, etc.)
	File-drawer syndrome (only some studies are published)	Pre-registration of hypotheses and methods. Open publishing (including preprints)
		Increasing knowledge of

	Incomplete reporting, making evidence synthesis difficult or impossible	researchers and peer reviewers on what is essential to report, and changing journal guidelines where necessary to ensure all relevant information is reported
Accessible full publication	Publications not available to practitioners and decision makers	Open access publishing, including making resources available to researchers to be able to publish open access
Evidence synthesis	Research not designed or presented in the context of the existing knowledge	Using systematic reviews, systematic maps, meta- analysis, etc. to shape research priorities. Where good quality evidence is available these should be synthesised providing evidence to relevant stakeholders. Research gaps should be the focus of primary studies.







## 205 Supplementary Information

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207 Methods

We extracted the data and R code from the supplementary information in <sup>S1</sup> to recreate their 208 209 analysis. As such we are dependent on the accurate extraction of data from the primary studies 210 by the original authors. We intended our re-analysis of their data to be an example of the 211 cumulative meta-analysis approach rather than to make explicit recommendations about the use 212 of acoustic recorders for avian surveys. Building on their random effects meta-analysis we ran a cumulative meta-analysis using the "cumul" function in the "metafor"<sup>S2</sup> package in R. The 213 214 cumulative meta-analysis was ordered by publication year and plotted using the ggplot2 package 215 in R<sup>S3</sup>. Where a single study provided more than one estimate of effect the order in which the 216 estimates were accumulated was the same as the order presented by <sup>S1</sup> and treated as 217 subsequent trials. Changing the order of that these particular studies were accumulated made no 218 difference to the stability of the estimates over time (see the code for an assessment). The original 219 code, the original data, our additional code for running the analysis and plotting can be found at 220 https://github.com/DrMattG/Research waste.

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