

1 *Letters*

2

3 Selection on reproductive plasticity in a wild population
4 of blue tits, *Cyanistes caeruleus*

5

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19 **Abstract**

20 In the plant-insect-insectivorous-bird system, changing climates can result in mis-timing in
21 bird reproduction, potentially impacting chick survival. To adapt to earlier prey emergence,
22 birds can make use of phenotypic plasticity, which can be characterized by reaction norms.
23 Despite gaining focus in research, studies on avian reproductive reaction norms as traits are
24 scarce, particularly on laying-date-budburst-date and clutch-size-laying-date reaction norms.
25 Here we examined the possibility of evolution of these two reaction norms from a quantitative
26 genetics viewpoint, and tested whether 1) there is among-individual variance in reaction
27 norms properties (intercept and slope); 2) there is selection on these reaction norm properties;
28 and 3) variances and selection pressures differ between the two reaction norms. Data of oak
29 (genus *Quercus*) budburst and blue tit (*Cyanistes caeruleus*) reproduction were collected from
30 a wild population for 18 years. We used bivariate random regression models with a Bayesian
31 approach to test for among-individual variance in reaction norm properties and their
32 covariance with fitness. Individuals significantly differed in intercepts and slopes of both
33 laying-date-budburst-date and clutch-size-laying-date reaction norms, and directional
34 selection was present for an earlier laying date and a larger clutch size, but not on either
35 plasticity. Results suggested that variation in reaction norm properties can be attributed to
36 genetic and environmental effects, and that stabilizing selection on plasticity could be tested

37 as a next step. This study compliments previous research on the evolution of reaction norms
38 and helps shed light on their genetic structure, the context of their selection, and their
39 mediation in birds.

40

41 **Impact summary**

42 In the face of climate change, it is essential to understand how organisms adapt to changing
43 environments and novel challenges in both the short and the long term. Since phenotypic
44 plasticity provides a powerful means for temperate birds to adapt to dramatic environmental
45 changes, understanding its origin, how it is mediated, and its possibility to evolve provides
46 valuable insights in predicting the fates of temperate bird populations in the future. Our study
47 focused on two little-examined forms of plasticity, laying-date-budburst-date and
48 clutch-size-laying-date. We found individuals differ in both forms of plasticity, and direct
49 selection only acts on the traits: laying date and clutch size, but not on either forms of
50 plasticity. Our results complemented existing research to demonstrate that there is potential
51 for evolution of plasticity, and brought attention to areas where further investigation is
52 required, namely, the cues and physiological systems used by birds to time reproduction, and
53 the genetic structure of plasticity. We provided new data from a UK population of blue tits,
54 which enabled further comparisons of plasticity expression among populations across various

55 geographical locations to better the understanding of avian adaptation mechanisms, and the
56 biological and environmental means through which they are mediated. We also demonstrated
57 a powerful but underused statistical method to estimate selection pressures, and thus assisted
58 in promoting its use in the fields of evolutionary biology and quantitative genetics.

59

60 **Introduction**

61 Climate change poses many impacts on ecosystems, one of which being phenological
62 mismatch, or the mistiming of life-history events in different trophic levels of a food chain. To
63 maintain a food chain, phenological events across trophic levels are synchronized, such that
64 the peak in abundance of the food source coincides with that of the food demand of higher
65 trophic levels. However, as temperatures continue to rise (IPCC, 2018), spring phenological
66 events in over 1,700 species have advanced at an average rate of 2.3 days per decade
67 (Parmesan & Yohe, 2003), including those of the plant-insect-insectivore system, namely tree
68 budburst (Menzel et al., 2001; Badeck et al., 2004), insect emergence (Roy & Sparks, 2000),
69 and avian breeding (Both et al., 2005).

70

71 Phenological advancements lead to mismatch when species experience shifts of different
72 magnitudes or directions, resulting in predator and prey, which are reliant on each other's

73 phenology, tracking climate change to different degrees. This is because different species
74 possess different response mechanisms to changing environments. For example, in oaks
75 (genus *Quercus*), leaf development and leaf palatability to herbivorous insects are largely
76 dependent on temperature (Buse et al., 1999). In poikilothermic species whose body
77 temperature varies greatly with the environment, such as the oak-leaf-eating winter moth
78 (*Operophtera brumata*), egg-hatching and thus emergence is directly dependent on
79 accumulated heat (Embree, 1970; Dewar & Watt, 1992), and hence temperature. Therefore, as
80 temperature rises, one could expect similar phenological shifts in both oak and moth, hence a
81 relatively small mismatch between the two. In contrast, insectivorous birds such as great tits
82 (*Parus major*) and blue tits (*Cyanistes caeruleus*) have a more complex response mechanism.
83 In such species, selection occurs after the hatching of eggs, when chicks are rapidly growing
84 and thus possess the greatest need for caterpillars as food (Charmantier et al., 2008). As there
85 exists a time lag between the start of breeding and egg-hatching, females must rely on
86 environmental cues at the time of egg-laying to predict the conditions (and thus food
87 availability) at which chicks have the greatest nutritional need, and time egg-laying
88 accordingly. These cues might become unreliable as a result of climate change. Specifically,
89 Visser et al. (2004) argued that there are two possible explanations to the variations in avian
90 reproduction phenology – that climate change affects the environment at the time of

91 decision-making differently than it affects the environment at the time of selection; or that
92 birds may rely on different and/or multiple cues to different degrees, some of which, such as
93 photoperiod, would remain relatively stable, leading to the species possessing a smaller
94 phenological shift. If the shift in the insectivore does not match that of the insect and/or the
95 host plant, mismatch arises (Fig. 1).

96

97 In insectivorous birds, phenological mismatch can result in increased selection pressure for
98 earlier laying dates (Van Noordwijk et al., 1995; Visser et al., 1998; Both & Visser, 2001).

99 While microevolutionary response to selection in laying date at the population-level has been
100 observed (Møller et al., 2006; Gienapp et al., 2008), it is speculated to be less important for
101 birds to adapt to shifting prey phenology, since laying date is only moderately heritable,
102 leading to microevolution being a slow process and unable to keep up with the more rapid
103 prey phenological changes (Gienapp et al., 2008; Charmantier & Gienapp, 2014). Instead, it
104 is argued that phenotypic plasticity plays a greater role in providing a faster way to adapt to
105 rapidly changing environments (Gienapp et al., 2008).

106

107 Phenotypic plasticity is defined as the expression of more than one phenotypic value from a
108 single genotype across changing environments, and can be characterized by the reaction

109 norm, a regression line of phenotypic values of the focal trait against an environmental
110 gradient, of which the intercept represents the trait value at the average environment, and the
111 slope represents plasticity (Fig 2, Stearns, 1989). Plasticity can be found in avian life-history
112 traits such as laying date (Nussey et al., 2005; Charmantier et al., 2008; Porlier et al., 2012;
113 Thorley & Lord, 2015), but more importantly, it has been shown that plasticity in laying date
114 varies among individuals and is heritable, thus it could be subjected to selection and hence
115 evolution (Nussey et al., 2005; Porlier et al., 2012).

116

117 Previous studies on plasticity have largely focused on laying-date-temperature reaction norms,
118 which, while crucial, may be insufficient in providing a complete picture in understanding
119 how birds adapt to phenological mismatch. This is because, while temperature remains the
120 main driver of spring phenology in the plant-insect-insectivore system, it is ultimately tree
121 budburst phenology that determines the emergence of food peaks for emerging insects, thus
122 forming the basis of synchrony among trophic levels (Dewar & Watt, 1992). Therefore, it may
123 be preferable to examine laying-date-budburst-date reaction norms instead of temperature to
124 investigate avian adaptation through plasticity, especially when it remains largely uncertain
125 what cues birds use to time egg-laying. Moreover, one should also consider plasticity in other
126 life-history traits related to laying date, such as clutch size, as this trait is closely related to

127 fitness (Rowe et al., 1994). The interaction between clutch size and laying date results in a
128 trade-off to determine an optimal clutch size for every laying date (Lack, 1954). This
129 optimization is governed by two considerations: that reproductive value of an egg declines
130 seasonally with laying date; and that clutch size increases with laying date, since later
131 breeding means that parents have more time to gather resources (Brommer et al., 2003).
132 Overall, these considerations result in the trend that clutch size decreases with laying date
133 (Brommer et al., 2003). Hence in this study, we characterized plasticity as the slope of
134 clutch-size-laying-date reaction norms. A more plastic female may be advantageous and
135 favored over a less plastic one since she can better adjust her optimal clutch size – should she
136 lay early, she can lay more eggs with higher reproductive values; should she lay late, she can
137 better minimize phenological mismatch, since a smaller clutch means she can more quickly
138 proceed to incubation, as most insectivorous passerines are constrained to lay a maximum of
139 one egg per day (Perrins, 1979).

140

141 Despite the importance of laying-date-budburst-date (LDBD) and clutch-size-laying-date
142 (CSLD) plasticity, little research exists that examines the evolution of plasticity in such traits.
143 Here, we investigated the possibility for evolution of both forms of plasticity using long-term
144 data of a wild blue tit (*Cyanistes caeruleus*) population. To our knowledge, this is the first

145 study on laying-date-budburst-date correlation using individually coupled tree and nest box
146 data. We used an advanced but underused one-step statistical approach (Arnold et al., 2019) to
147 assess selection on plasticity. We tested three hypotheses: 1) that there is among-individual
148 variance in LDBD and CSLD reaction norms properties (intercept and slope), allowing the
149 possibility for an evolutionary response; 2) that there is selection on these reaction norm
150 properties; and 3) that variances and selection pressures differ between LDBD and CSLD
151 reaction norms. Together with past research, this study shall provide insights on how plasticity
152 may evolve as a trait.

153

154 **Methods**

155 *Study species and site*

156 This study used long-term data of a nest-box population of blue tits at Silwood Park, United
157 Kingdom. The blue tit is a small passerine that commonly dwells in deciduous or mixed
158 woodlands and breeds readily in holes or nest boxes (Svensson et al., 2009). Its breeding
159 season commences in late-March and typically lasts until June, where females lay a single
160 brood with up to 19 eggs according to previous records and feed their young with
161 predominantly caterpillars. The study site has an area of approximately 100 ha and consists of
162 deciduous woodlands ranging from 60 to several hundred years of age (Crawley, 2005). The

163 site is dominated primarily by the English oak (*Quercus robur*), among other deciduous tree
164 species. Within the site, 200 nest boxes were installed in 2002, with further changes in
165 subsequent years, totaling 259 nest boxes as of 2019.

166

167 *Data collection*

168 Collection of blue tit breeding data began in 2002. Every year data collection began in
169 late-March, and nest boxes were examined every other day for signs of nest-building and
170 egg-laying. We recorded the laying date, defined as the date on which the first egg of each
171 clutch is laid, in ‘April Days’, the number of days passed since the 1st of April (= Day 0) in a
172 given year. Upon allowing 15 days for females to complete their clutches, we caught blue tits
173 in their nest boxes and recorded the final clutch size. Birds were identified by uniquely
174 numbered metal rings from the British Trust for Ornithology (BTO). We sexed birds by the
175 presence of a brood patch, a patch of featherless, highly vascularized skin on the abdomen of
176 females, and aged them by the coloration of the primary covert feathers on the wing. We
177 separated birds into two age groups, ‘one year old or less (≤ 1)’, and ‘over one year old (> 1)’.
178 We then allowed 11 days before revisiting nests to check for egg hatching, upon which
179 hatching date is recorded. We measured, weighed and fitted chicks with BTO metal rings and
180 counted the number of ringed chicks. From 2002 to 2011, this was done when chicks were 7

181 days old, and from 2012 onwards, when chicks were 14 days old. We recorded the number of
182 fledglings by revisiting nest boxes when chicks were 19 days old.

183

184 Oak leaf budburst phenology was monitored starting from 2007. We monitored in total 3,945
185 oak trees, each of which has a unique ID and a record of its nearest nest box. 10% of trees are
186 monitored annually, and the remaining 90% biannually. Each year, we carried out tree
187 monitoring from April to May. We visited each tree every two or three days and recorded the
188 overall budburst score for that tree from not yet budding (stage 0) to fully tanninized (stage 6,
189 Fig. 3) based on the majority of its leaves, until all trees have been scored at stage 6. Dates on
190 which a tree reached a certain stage were recorded as April Days.

191

192 *LDBD and CSLD relationships*

193 We ran all models in R v.3.5.1 (R Core Team, 2018). Laying dates and budburst dates were
194 standardized annually, so that within a year the mean date was scaled to zero, and the standard
195 deviation to one. For each breeding record, we summarized the average dates on which the
196 oak trees corresponding to its nest box reached budburst stages 1 to 6 respectively. We
197 selected the average date where trees reach stage 3 as the budburst date, as this date presents
198 the highest correlation with laying date ($r = 0.0771$) before the final stage 6, and thus most

199 likely a cue for egg-laying. To examine the overall LDBD and CSLD relationships, we used
200 the R package *lme4* (Bates et al., 2019) to run two models, a linear mixed model with laying
201 date as the response variable and budburst date as the explanatory variable, and a generalized
202 linear mixed model with clutch size as the response variable, laying date as the explanatory
203 variable, and ‘Poisson’ as the data distribution family. In both models, we modelled age as an
204 interacting fixed effect, and bird ID and year as random effects on the intercept to account for
205 repeated measures of the same individuals and in the same year respectively.

206

207 *Assessing selection pressures on reaction norms*

208 This study follows the one-step approach demonstrated by Arnold et al. (2019), using a
209 bivariate generalized linear mixed model to assess selection pressures on LDBD and CSLD
210 reaction norms. We illustrate the approach here with the LDBD reaction norm. First, we
211 constructed a mixed model for individual reaction norm properties:

$$LD \sim BD * age + (LD)_{year} + (LD)_{ID} + (LD:BD)_{ID}$$

212 where LD is laying date, BD is the fixed covariate of budburst date, age is a fixed factor,
213 $(LD)_{year}$ is the random effect of year on the intercept, $(LD)_{ID}$ is the random effect of
214 individual birds’ intercepts, and $(LD:BD)_{ID}$ is the random effect of individual birds’ slopes.
215 At the individual random effect, this model has the following variance-covariance structure:

$$P_2 = \begin{bmatrix} \sigma^2_{LD} & \sigma_{LD,LD:BD} \\ \sigma_{LD,LD:BD} & \sigma^2_{LD:BD} \end{bmatrix}_{ID}$$

216 where σ^2_{LD} is the among-individual variance in intercept, $\sigma^2_{LD:BD}$ is the among-individual
 217 variance in slope, and $\sigma_{LD,LD:BD}$ is the covariance between the intercept and the slope.

218

219 Next, we extended this model to a bivariate one by considering the equation

$$\omega = \mu_\omega + (\omega)_{ID}$$

220 where ω is individual fitness, μ_ω is the mean fitness of the population, and $(\omega)_{ID}$ here is
 221 the deviation of individual birds' fitness values from the mean. Since there is one fitness value
 222 for each individual, $(\omega)_{ID}$ can also be treated as a random effect of individual birds' fitness
 223 in the bivariate model, resulting in the following variance-covariance structure in the final
 224 model, with three levels at the individual effect:

$$P = \begin{bmatrix} \sigma^2_{LD} & \sigma_{LD,LD:BD} & \sigma_{LD,\omega} \\ \sigma_{LD,LD:BD} & \sigma^2_{LD:BD} & \sigma_{LD:BD,\omega} \\ \sigma_{LD,\omega} & \sigma_{LD:BD,\omega} & \sigma^2_\omega \end{bmatrix}_{ID} + \sigma^2_{year} + \sigma^2_{residual}$$

225 where σ^2_ω is the among-individual variance in fitness, $\sigma_{LD,\omega}$ is the covariance between
 226 individual reaction norm intercept and fitness, $\sigma_{LD:BD,\omega}$ is the covariance between individual
 227 reaction norm slope and fitness, σ^2_{year} is the among-year variance in intercept in LD, and
 228 $\sigma^2_{residual}$ is the residual variance in LD. $\sigma_{LD,\omega}$ and $\sigma_{LD:BD,\omega}$ are thus selection differentials
 229 for individual intercepts and slopes respectively, and represents the total selection on
 230 individual intercepts and slopes. If these selection differentials are concatenated into a vector

231 S, then direct selection can further be obtained by calculating the selection gradients of
232 individual intercepts and slopes β using

$$\beta = P_2^{-1}S$$

233 where P_2^{-1} denotes the inverse matrix of P_2 (Lande & Arnold, 1983).

234

235 Likewise, we assessed selection on CSLD reaction norm using the same approach. We used
236 lifetime breeding success (LBS) in terms of the number of ringed chicks a female produced
237 throughout her breeding career as the fitness measure (ω), and discarded breeding data of the
238 latest year (2019) under the assumption that all females breeding in that year have not yet
239 completed their breeding careers. We removed outlier observations with clutch sizes over 20
240 as they were likely the result of recording errors and/or of multiple birds breeding in the same
241 nest box. In total, 1,284 females, 3,945 oak trees, 615 breeding observations for LDBD
242 analyses and 1,957 observations for CSLD analyses were included in the final models. We
243 used the R package *MCMCglmm* v2.26 (Hadfield, 2019), a package using Bayesian modelling
244 approach to run these models, with 15 million iterations, 1.5 million burn-ins and 10,000
245 thinning intervals. Degrees of belief in the prior distributions were set to be equal to the
246 dimension of the among-individual variance-covariance matrix i.e. 3 to ensure propriety
247 (Hadfield, 2009). We determined model posterior modes as significantly different from zero

248 when their 95% credible intervals (CI) do not overlap zero.

249

250 **Results**

251 *Descriptive statistics*

252 Of the 1,449 blue tit females recorded in this study, 945 (65.217%) were one-time breeders,

253 and the maximum number of breeding observations was seven. A summary of breeding

254 records was provided in Table 1. The average LBS of the 1,284 females used in the analyses

255 was 8.425 chicks, with a range of 0 to 53, and a variance of 62.435. Summaries of blue tit

256 breeding data and oak budburst data were provided in Tables 2 and 3, respectively.

257

258 *LDBD relationship*

259 The linear mixed model revealed no statistically significant relationship between laying date

260 and budburst date (Table 4 & Fig. 4). Birds with age >1 did not differ significantly from those

261 of age ≤ 1 .

262

263 *Selection on LDBD reaction norm*

264 There was non-zero among individual variance in both reaction norm intercept and slope, and

265 a non-zero selection differential on intercepts for an earlier laying date (Table 5). There was

266 zero selection differential on reaction norm slope. After transforming selection differentials,
267 the selection gradient for individual intercepts was -0.890 (95% CI = -1.397 – -0.411), and
268 that of individual slopes was -0.283 (95% CI = -1.044 – 0.576), indicating direct directional
269 selection on reaction norm intercept but not on slope.

270

271 *CSLD relationship*

272 The generalized linear mixed model revealed a statistically significant and negative
273 correlation between clutch size and laying date (Table 6 & Fig. 5). For birds of age ≤ 1 , the
274 slope translated to approximately 8.64% decrease in clutch size per unit increase in laying
275 date. Birds of age > 1 did not significantly differ in either intercept or slope (Table 6).

276

277 *Selection on CSLD reaction norm*

278 There was among-individual variance in CSLD intercepts and slopes, though smaller than that
279 displayed in the LDBD model (Table 7). There was a small but significant selection
280 differential on individual intercepts for a larger clutch size. A significant selection differential
281 on individual slopes, on the other hand, could not be detected.

282

283 Transforming total selection differentials resulted in a selection gradient of 1.93 (95% CI =

284 0.931 – 3.01) on individual intercepts and a gradient of 0.163 (95% CI = -0.927 – 1.05) on
285 individual slopes. Results indicated a non-zero direct selection pressure on individual
286 intercepts for a larger clutch size, but a lack of direct selection on plasticity.

287

288 **Discussion**

289 *LDBD and CSLD relationships*

290 Oak budburst is coupled with caterpillar emergence and abundance (Nilsson & Källander,
291 2006), hence if birds are to successfully time reproduction, one would expect a positive
292 correlation between laying date and oak budburst date – the earlier the emergence of new
293 leaves, the earlier the egg-laying. However, the results demonstrated otherwise, implying this
294 population did not utilize oak budburst as an important cue for egg-laying. This was in line
295 with some studies which showed that blue tits did not respond to oak phenology in both
296 egg-laying and reproductive hormone secretion (Visser et al., 2002; Nilsson & Källander,
297 2006; Schaper et al., 2011), but contradicted others (e.g. Bourgault et al., 2010). In particular,
298 Thorley and Lord (2015) showed a correlation between temperature and laying date in this
299 population, implying temperature as a main cue used by birds. Since temperature is often
300 correlated with biotic changes, it is likely that causal relationships between oak phenology
301 and bird breeding found in other studies are merely apparent, and further effort is required to

302 tease apart the contributions of different cues and the physiological mechanisms used to time
303 avian reproduction.

304

305 On the other hand, clutch size displayed a decline with laying date, consistent with the
306 predicted outcome of the trade-off between the two traits (Lack, 1954). An earlier laying date
307 coincides better with the caterpillar abundance peak, and translates to more food available for
308 chick rearing, resulting in heavier chicks with a higher chance of survival after fledging
309 (Perrins, 1965). As spring passes, food availability diminishes and parents are unable to feed
310 as many chicks as during the start of the season. Furthermore, feeding effort of parents do not
311 increase proportionally with brood size (Gibb, 1955), and the larger the brood, the less food
312 each chick receives. Considering these, it is therefore a better strategy to lay fewer eggs as the
313 season progresses, so as to ensure success of all chicks in the brood. This ultimately creates
314 the negative relationship between clutch size and laying date shown in this study.

315

316 *Among-individual variation in LDBD and CSLD reaction norms*

317 We demonstrated that individuals possess the ability to adjust laying date and clutch sizes
318 under their own regimes, as there was significant among-individual variance in the slopes and
319 intercepts of both LDBD and CSLD reaction norms. Since trait variation is essential for

320 natural selection, there is capacity for LDBD and CSLD reaction norms to be subjected to
321 selection. This among-individual variation could be attributed to two sources. First is a
322 genetic system controlling the expression of reaction norms, such that genetically related
323 individuals display less variation than non-related individuals would. Second is the effect of
324 the environment. Birds have the ability to learn – those that have experienced a warmer spring
325 begin egg-laying earlier in the subsequent year and vice versa, for example (Nussey et al.,
326 2005). Within a population, individuals may experience a unique set of environmental
327 changes throughout their lifetimes based on their location, its associated microclimate, and
328 chance. Thus each individual could ‘learn’ differently and develop varying reaction norm
329 properties, optimized to their local environments (Brommer et al., 2003).

330

331 To quantify the contributions of genes and the environment to the variation in reaction norm
332 properties, heritability analysis is essential. Heritability in the broad sense refers to the
333 proportion of genetic variance over the total phenotypic variance (Goldberger, 1979), and
334 requires a genetic pedigree to be estimated. The proportion of non-genetic variation is
335 attributed to environmental effects. Heritability has been proven in laying-date-temperature
336 reaction norms properties (Nussey et al., 2005; Charmantier et al., 2008; Porlier et al., 2012),
337 but not on LDBD and CSLD reaction norms, calling for further research effort. In addition, to

338 examine environmental effects, it would be sensible to compare reaction norms of birds of
339 different number of breeding records. If learning plays a critical role in shaping plasticity, one
340 could expect older and more experienced birds to display a steeper slope in their reaction
341 norms than younger birds. While this difference was not found in this study, it could be
342 because the true age difference in the two age groups remained largely unknown. Thus,
343 breeding records might prove a better predictor.

344

345 Furthermore, our results show that LDBD reaction norm properties possess much higher
346 among-individual variance than those of the CSLD reaction norm. Brommer et al. (2012)
347 theorized that there should exist an optimal reaction norm to maximize reproductive output in
348 a particular set of environments i.e. in a particular population. When there is deviation of
349 individual reaction norms from the optimum, fitness is reduced, and selection drives
350 individuals towards the optimal reaction norm, ultimately decreasing among-individual
351 variance. The results here may thus mean that in the Silwood Park population, CSLD reaction
352 norm has already been pushed closer to the optimum by selection than has LDBD reaction
353 norm (Charmantier et al., 2008). Without heritability estimates, it is difficult to conclude that
354 CSLD reaction norm had the capacity to evolve. Nevertheless, one could expect evolution on
355 the CSLD reaction norm to halt before the LDBD reaction norm does, due to little and

356 decreasing individual variation in the former.

357

358 *Selection on LDBD and CSLD reaction norms*

359 The results indicated direct selection pressure towards an earlier laying date and a larger

360 clutch size, which is consistent with each other and with previous literature (Van Noordwijk et

361 al., 1995; Brommer et al., 2012; Thorley & Lord, 2015). An earlier laying date allows better

362 synchrony with food abundance, and a larger associated clutch size, pushing individuals

363 towards a higher overall reproductive output. These selection pressures, along with the

364 presence of among-individual variation, strongly suggest the ability for both reaction norm

365 intercepts to evolve. Contrary to common findings (Brommer et al., 2005; Nussey et al.,

366 2005), there was no significant covariance between intercept and slope in both reaction norms,

367 meaning that in this population selection on the intercept is unlikely to result in indirect

368 selection on the slope.

369

370 The lack of significant covariance between slope (plasticity) and fitness in both LDBD and

371 CSLD reaction norms inferred that the more plastic females did not perform better or worse

372 than the less plastic females in reproductive output. Selection gradients also indicated no

373 directional selection on plasticity for both reaction norms. In LDBD plasticity, this could be

374 explained by the possibility that selection favors reaction norms that enable birds to achieve
375 maximum synchrony with oak budburst. As the emergence of food peak is only momentary,
376 there is a narrow window for birds to reproduce. This means that to ensure a well-timed
377 laying date with caterpillar abundance across years, an optimal reaction norm slope i.e. an
378 intermediate response to budburst, is needed (Reed et al., 2006). When budburst phenology
379 varies among years, as in the study site (Table 3), an overly-plastic female would hurry laying
380 too much ahead of the caterpillar peak in an early-budburst year, and delay laying too much in
381 a late-budburst year, thus falling out of synchrony. On the contrary, a non-plastic female
382 would lay too late in an early-budburst year, and too early in a late-budburst year, and
383 likewise fall out of synchrony. As such, the highest fitness should be associated with the
384 optimal plasticity, and selection should drive individuals towards the single reaction norm
385 slope in favor of more extreme ones. In other words, stabilizing selection would occur. In
386 CSLD plasticity, the case is similar – an overly-plastic female suffers a reduction in number
387 of chicks produced greater than the gain from improved chick survival, and vice versa
388 (Brommer et al., 2012). An essential next step, therefore, would be to examine stabilizing
389 selection on reaction norm slope, which could be achieved by detecting directional selection
390 on the square term of the slope (Reed et al., 2006; Brommer et al., 2012).

391

392 It is worth noting, however, that the inability to detect directional selection in this study could
393 also be attributed to limitations in estimating fitness. Silwood Park blue tits are an open
394 population (Table 1), and LBS estimates are thus prone to errors, as females might have raised
395 broods elsewhere, resulting in the underestimation of reproductive output overall, and an
396 upward bias in LBS towards birds with more recorded breeding observations. Nevertheless,
397 LBS remains one of the most widely used fitness measures (e.g. Slate et al., 2000; Brommer
398 et al., 2005; Nussey et al., 2005) in wild populations, and thus appropriate for this study.

399

400 *Bivariate random regression models to estimate selection*

401 In this study we demonstrated a statistical approach capable of estimating among-individual
402 variance in reaction intercept and slope, covariance in intercept and slope, and selection
403 differentials on intercept and slope simultaneously. This approach has advantages over a
404 conventional two-step method, which requires: 1) characterizing the among-individual
405 variance in reaction norm intercepts and slopes, and 2) calculating selection pressure by
406 regressing a lifetime reproductive fitness measure on these intercepts and slopes (e.g. Nussey
407 et al., 2005). The latter is essentially stats-on-stats, where statistical errors in Step 1 would be
408 carried over to Step 2 (Arnold et al., 2019). In addition, to perform Step 2, one can either
409 utilize estimates from a simple linear regression, or best linear unbiased predictors (BLUP) of

410 random effects from mixed models in Step 1 (Brommer et al., 2012). The former allows only
411 data of individuals with a fairly large number of repeated measurements, thus discarding
412 potentially a large proportion of data, while the latter violates the assumption that BLUP
413 values are derived when all variables affecting the response variable have been included
414 (Brommer et al., 2012). Bivariate models do not have these limitations and are thus an
415 advanced way to assess selection pressure. In addition, they allow also the estimation of
416 selection on non-linear reaction norms, by fitting a quadratic or higher order function as the
417 individual trait-environment/trait-trait relationship (Arnold et al., 2019). These models are,
418 however, unable to detect non-linear selection pressures on plasticity, which requires the
419 covariance between fitness and the square term of the slope. Since in the model the slope is
420 developed from the within-individual covariance between the focal trait and the
421 environment/predictor trait, one cannot directly manipulate it to obtain a square term. As such,
422 conventional methods will need to be employed for non-linear selection analyses in the future.

423

424 *Conclusions*

425 Using breeding data of a wild blue tit population, along with tightly coupled oak phenology
426 data, we examined whether laying-date-budburst-date and clutch-size-laying-date reaction
427 norms have the potential to evolve. Laying date did not correlate with budburst date, while

428 clutch size decreased with laying date. We found significant among-individual variance in the
429 properties of both reaction norms, the intercept (individual laying date/clutch size) and the
430 slope (plasticity), suggesting the possibility of a genetic effect. We found directional selection
431 for an earlier laying date and a larger clutch size, but no directional selection of both LDBD
432 and CSLD plasticities, suggesting stabilizing selection might be present instead. While
433 research in phenotypic plasticity is gaining momentum, it will take further effort to unravel
434 the mechanisms by which evolution of plasticity operates. By providing new findings on
435 plasticity and demonstrating an advanced statistical method, this study contributed to
436 unravelling the genetic structure of plasticity, the context of selection on plasticity, and the
437 cues through which organisms mediate plastic responses.

438

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443 statistical model construction. The Blue Tit Monitoring Project was initiated with a UK
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445 The authors declare no conflicts of interest.

446

447 **Author contributions**

448 HYJC conceived the study. HYJC, CE, YW and PV collected the data in 2019, and CE and JS
449 coordinated data collection. HC analyzed the data with input from YW and PV. HYJC drafted
450 the initial version of the manuscript and all authors contributed to later versions. AL and JS
451 supervised the project. All authors approved the final version of the manuscript to be
452 published.

453

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548

549 **Tables and figures**

550 Table 1. Number of females and associated number of breeding observations (2002-2019).

<i>Number of breeding observations</i>	<i>Number of females</i>
1	945
2	283
3	144
4	55
5	14
6	6

551

552 Table 2. Summary of blue tit breeding data. LD = raw laying date, CS = clutch size.

<i>Year</i>	<i>No. of nests</i>	<i>Mean LD (Range)</i>	<i>Var (LD)</i>	<i>Mean CS (Range)</i>	<i>Var (CS)</i>	<i>No. of ringed chicks</i>	<i>Mean no. of ringed chicks per female</i>
2002	103	12.60 (3–25)	28.65	9.70 (4–14)	3.33	853	8.28
2003	131	22.27 (5–34)	19.31	8.11 (1–13)	2.57	734	5.60
2004	153	23.14 (12–41)	26.91	8.86 (3–14)	3.19	991	6.48
2005	193	19.63 (6–34)	38.36	9.00 (1–15)	4.40	668	3.46
2006	82	24.56 (19–35)	12.27	9.27 (6–12)	1.90	676	8.24
2007	179	14.91 (7–28)	16.89	9.69 (4–19)	3.40	890	4.97
2008	93	17.56 (5–30)	40.44	10.57 (6–16)	4.99	776	8.34
2009	122	13.74 (5–41)	34.09	10.98 (6–18)	4.16	811	6.65
2010	82	20.51 (7–42)	46.38	10.41 (5–14)	4.00	708	8.63
2011	134	13.47 (1–36)	23.23	10.01 (6–15)	2.31	1175	8.77
2012	171	15.81 (1–42)	66.98	9.24 (3–15)	5.74	483	2.82
2013	153	33.16 (24–53)	31.59	8.37 (3–17)	2.72	468	3.06
2015	134	21.61 (12–48)	57.23	8.66 (2–13)	3.32	540	4.03
2016	139	25.57 (10–49)	64.17	8.07 (4–13)	2.81	393	2.83
2017	135	12.68 (-3–42)	94.44	9.21 (4–14)	3.74	541	4.01
2018	108	21.17 (1–38)	22.10	9.58 (5–15)	2.99	714	6.61
2019	171	9.34 (-7–37)	70.66	9.55 (5–15)	3.20	884	5.17

553

554 Table 3. Summary of oak budburst data. BD = raw budburst date, defined as when a tree

555 reaches stage 3.

<i>Year</i>	<i>No. of oaks measured</i>	<i>Mean no. of oaks measured per nest box</i>	<i>Mean BD (range)</i>	<i>Var (BD)</i>
2007	423	10.32	11.06 (1–25)	18.31
2008	661	7.18	25.22 (3–40)	40.62

2009	1032	8.82	17.64 (-2–40)	33.87
2010	1629	10.31	28.34 (10–63)	44.64
2011	1699	11.48	12.42 (-2–29)	17.71
2012	1813	11.62	25.96 (-7–56)	136.03
2013	1844	8.78	33.82 (2–52)	13.26
2015	346	2.98	21.16 (10–41)	23.60
2016	534	2.64	25.29 (12–41)	38.32
2017	477	2.59	17.02 (5–55)	58.82
2018	812	4.00	22.93 (16–42)	12.87

556

557 Table 4. LMM summary on LDBD relationship. BD = budburst date. *Yearly variance

558 undetected due to annual standardization of LD. **2013 data excluded due to lack of age

559 records.

Fixed effects			
	<i>Estimate</i>	<i>Standard error</i>	<i>t-value</i>
Intercept	-0.074	0.050	-1.465
BD	0.024	0.049	0.479
age>1	-0.053	0.081	-0.653
BD:age>1	0.142	0.083	1.704
Random effects			
<i>Group</i>	<i>Variance</i>	<i>No. of groups</i>	
ID	0.204	482	
year	0.000*	10**	
residual	0.719	615	

560

561 Table 5. Model summary of LDBD reaction norms, showing variance-covariance matrix for

562 individual reaction norm intercept, slope and LBS, plus other random and fixed effects.

563 Variances are on the diagonal while covariances are on the sides. Bolded text indicates

564 selection differentials on individual intercepts and slopes respectively. DIC = 8,379. LD =
 565 laying date, BD = budburst date, LBS = lifetime breeding success.

Variance-covariance matrix				
	<i>Post. Mean (95% CI)</i>			
	LD	LD:BD	LBS	
LD	0.225 (0.155 – 0.355)	0.028 (-0.038 – 0.079)	-0.244 (-0.347 – -0.117)	
LD:BD	0.028 (-0.038 – 0.079)	0.152 (0.099 – 0.244)	-0.088 (-0.189 – 0.077)	
LBS	-0.244 (-0.347 – -0.117)	-0.088 (-0.189 – 0.077)	1.452 (1.269 – 1.630)	

Random effects			
	<i>Post. Mean</i>	<i>95% CI</i>	<i>Effective sample size</i>
year	0.164	0.045 – 0.336	1168
residual	0.649	0.540 – 0.762	1350

Fixed effects				
	<i>Post. Mean</i>	<i>95% CI</i>	<i>pMCMC</i>	<i>Eff sample size</i>
intercept	-0.023	-0.290 – 0.275	0.865	1350
LD:BD	0.055	-0.080 – 0.164	0.379	1509
LD:age>1	-0.081	-0.250 – 0.084	0.375	1350
LD:BD:age>1	0.108	-0.068 – 0.316	0.271	1350

566

567 Table 6. Summary of generalized linear mixed model on CSLD relationship. LD = laying date.

568 Sample size = 1,957. AIC = 8,168.3. *Individual variance close to zero. **2013 data excluded

569 due to lack of age records.

Fixed effects				
	<i>Estimate</i>	<i>Standard error</i>	<i>z-value</i>	<i>Pr(> z)</i>
Intercept	2.229	0.023	94.870	<0.001
LD	-0.090	0.009	-9.532	<0.001
age>1	0.006	0.018	0.336	0.737
BD:age>1	-0.035	0.017	-1.940	0.052

Random effects		
<i>Group</i>	<i>Variance</i>	<i>No. of groups</i>

ID	0.000*	1266
year	0.007	15**

570

571 Table 7. Model summary of clutch-size-laying-date plasticity, showing variance-covariance

572 matrix for individual reaction norm intercepts, slopes and LBS, and other random effects.

573 Variances are on the diagonal while covariances are on the sides. Bolded text indicates

574 selection differentials on individual intercepts and slopes respectively. DIC = 16,033. CS =

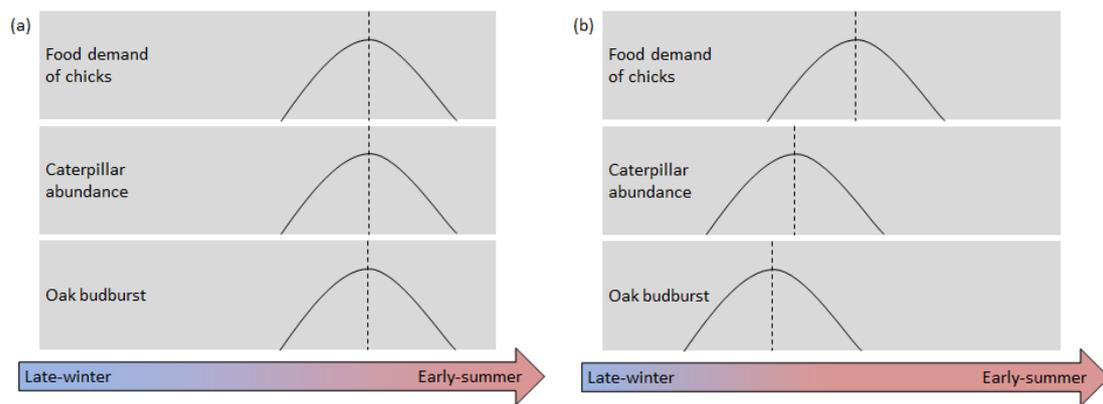
575 clutch size, LD = laying date, LBS = lifetime breeding success.

Variance-covariance matrix				
	<i>Post. Mean (95% CI)</i>			
	CS	CS:LD	LBS	
CS	0.019 (0.016 – 0.022)	0.001 (-0.001 – 0.004)	0.032 (0.013 – 0.059)	
CS:LD	0.001 (-0.001 – 0.004)	0.022 (0.018 – 0.026)	0.002 (-0.021 – 0.031)	
LBS	0.032 (0.013 – 0.059)	0.002 (-0.021 – 0.031)	1.434 (1.290 – 1.641)	

Random effects			
	<i>Post. Mean</i>	<i>95% CI</i>	<i>Effective sample size</i>
year	0.085	0.034 – 0.150	1136
residual	0.010	0.008 – 0.012	1350

Fixed effects				
	<i>Post. Mean</i>	<i>95% CI</i>	<i>pMCMC</i>	<i>Eff sample size</i>
intercept	2.203	2.063 – 2.352	<0.001	1246
CS:LD	-0.093	-0.122 – -0.069	<0.001	1350
CS:age>1	0.016	-0.025 – 0.059	0.468	1512
CS:LD:age>1	-0.035	-0.082 – 0.016	0.182	1350

576



577

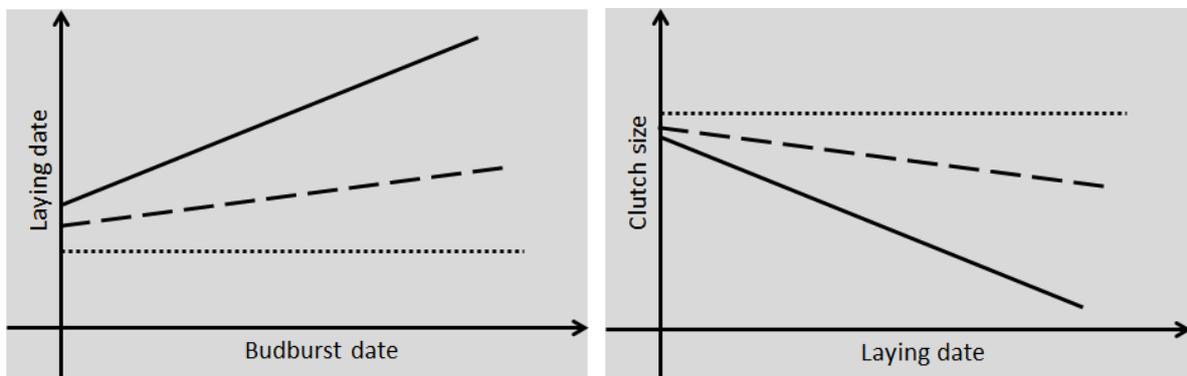
578 Fig. 1. Schematic diagram of phenological shifts. (a) depicts phenological match where oak

579 budburst, caterpillar emergence and chick food demand align with one another. (b) depicts

580 mismatch under advancing spring, where laying date of birds remains late, and chick food

581 demand peaks later than the peak of food availability.

582



583

584 Fig. 2. Schematic diagrams of LDBD and CSLD reaction norms examined in this study. Lines

585 represent linear regressions of individual reaction norm, which differ in intercept (mean trait

586 value of the individual) and slope (plasticity). Solid line represents a more plastic reaction

587 norm; dashed line represents a less plastic one, and dotted line represents a non-plastic one.

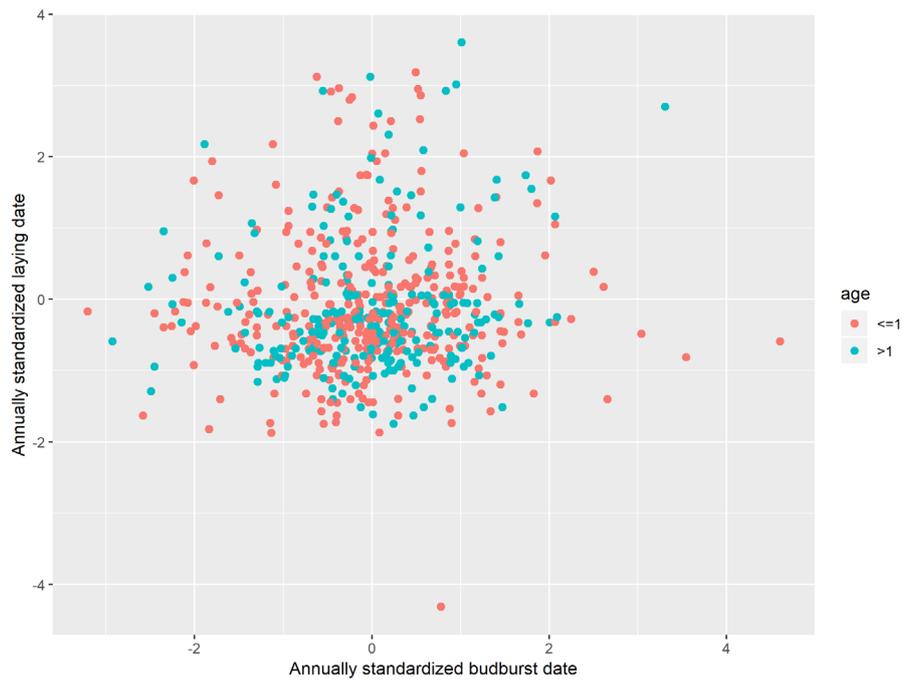
588

589



590 Fig. 3. Leaf stages at different scores. Adopted from Doblas, 2017

591

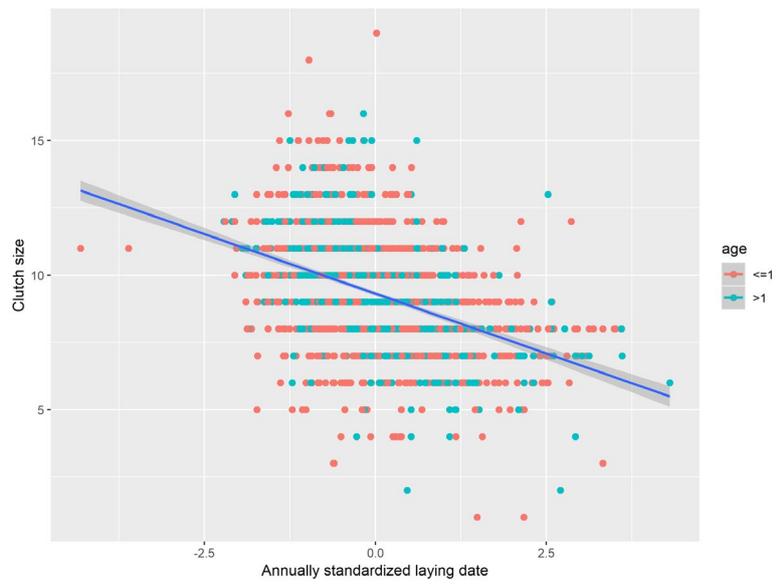


592

593 Fig. 4. Relationship between laying date and budburst date. Red dots represent birds one year

594 old or less; blue dots represent birds more than one year old.

595



596

597 Fig. 5. Relationship between clutch size and laying date. Red dots represent birds one year old

598 or less; blue dots represent birds more than one year old. Shaded area represents 95%

599 confident interval.