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1 *Quantifying the autonomic response to stressors – one way to expand the definition of*  
2 *“stress” in animals*

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10 Matt Gaidica<sup>1</sup>, Ben Dantzer<sup>1,2</sup>,

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15 <sup>1</sup>Department of Psychology, University of Michigan, Ann Arbor, MI, USA

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17 <sup>2</sup>Department of Ecology and Evolutionary Biology, University of Michigan, Ann Arbor,  
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19 MI, USA

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22 \*Corresponding author: dantzer@umich.edu

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## 11 Abstract

12 Quantifying the impact of changes or stimuli in the external and internal environment  
13 that are challenging (“stressors”) to whole organisms is difficult. To date, physiological  
14 ecologists and ecological physiologists have mostly used measures of glucocorticoids  
15 (GCs) to assess the impact of stressors on animals. This is of course too simplistic as  
16 Hans Selye himself characterized the response of organisms to “noxious stimuli” using  
17 multiple physiological responses. Possible solutions include increasing the number of  
18 biomarkers to more accurately characterize the “stress state” of animal or just  
19 measuring different biomarkers to more accurately characterize the degree of acute or  
20 chronic stressors an animal is experiencing. We focus on the latter and discuss how  
21 heart rate (HR) heart rate variability (HRV) may be better predictors of the degree of  
22 activation of the sympathetic-adrenal-medullary system and complement or even  
23 replace measures of GCs as indicators of animal health, welfare, fitness, or their level of  
24 exposure to stressors. The miniaturization of biological sensor technology (“bio-sensors”  
25 or “bio-loggers”) presents an opportunity to reassess measures of stress and develop  
26 new approaches. We describe some modern approaches to gathering these HR and  
27 HRV data in free-living animals with the aim that heart dynamics will be more integrated  
28 with measures of GCs as bio-markers of stress and predictors of fitness in free-living  
29 animals.

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## 31 Introduction

32 There is a growing appreciation that measures of “stress” are problematic. In  
33 vertebrate physiological ecology and ecological physiology, researchers have almost  
34 exclusively relied on glucocorticoids (GCs) for assessing how stressors impact animals  
35 (Romero et al. 2015; MacDougall-Shackleton et al. 2019). For example, in a review of  
36 how to measure “stress” in wildlife using measures of GCs, Sheriff et al. (2011) stated  
37 that “*Measuring GC levels does not equate to measuring “stress”, but they are a critical*  
38 *component of the stress response and, when taken together with other indices of stress*  
39 *(e.g., measures of immune function, metabolism, nitrogen balance), they offer*  
40 *considerable insight into how animals perceive and adapt to their environment.”.*

41 Measuring GCs is nonetheless useful because they seem to play a major role in  
42 facilitating organismal resilience through environmental challenges (Sapolsky et al.,  
43 2000; Vitousek et al., 2018). However, this is problematic because of its simplicity as  
44 the stress response is multifaceted, composed of autonomic, neuroendocrine, and  
45 behavioral responses. In fact, glucocorticoids are not necessarily symptomatic of stress,  
46 nor do they reliably predict individual animal fitness in nature (Breuner 2008; Bonier et  
47 al. 2009; Crespi et al. 2013).

48 Other than altering our terminology and verbiage so that we are more careful to not  
49 equate “an increase in GCs” with “an increase in stress levels”, where do we go from  
50 here? One solution is to make our measures of “stress” more multifaceted than they  
51 have been in the past. Interestingly, this is similar to what Selye (Selye 1936; Selye  
52 1943; Selye 1956) described when he characterized the stress response as the

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3 53 “general adaptation syndrome” or “general alarm reaction”. Seyle measured not just one  
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6 54 feature of the stress response but the “syndrome” that was composed of multiple  
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8 55 physiological responses and endpoints indicative of exposure to noxious stimuli. The  
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10 56 suggestion to expand how we quantify the stress response has been called for recently.  
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12 57 For example, Breuner et al. (2013) highlighted the need for other metrics to quantify  
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14 58 exposure to chronic stress such as glucose or free-fatty acid levels or the production of  
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16 59 heat shock proteins. Dickens and Romero (2013) emphasized that there is not one  
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18 60 single physiological variable that one could use to characterize individuals as being  
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20 61 “under chronic stress”. Recent studies (e.g., Romero et al. (2009)) have also  
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22 62 endeavored to quantify the multitude of effects stressors have on behavior, the  
23  
24 63 hypothalamic-pituitary-adrenal (HPA) axis, and the sympathetic-adrenal-medullary  
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26 64 system.

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32 65 Those of us that study free-living animals have been historically constrained by the  
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34 66 field environment to the extent that concurrent toolsets are difficult to implement. Thus,  
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36 67 the reliance on GCs as an accessible and proximate measure of stress has become  
37  
38 68 prominent, though flawed. The miniaturization of biological sensor technology (“bio-  
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40 69 sensors” or “bio-loggers”) presents an opportunity to reassess measures of stress and  
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42 70 develop new approaches—potentially animal-borne—that can be united with the vast  
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44 71 work on measures of GCs in free-living animals. One such route of investigation  
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46 72 focuses on the brain-heart axis as they are bi-directionally connected to the  
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48 73 sympathetic-adrenal-medullary system. Here, the electrical activity of the central  
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50 74 nervous system modulates the catecholaminergic tone onto the heart, causing changes  
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3 75 in heart rate (HR) and heart rate variability (HRV, Fig. 1). This link between the brain  
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5 76 and the rest of the body is potentially significant with respect to stress. We aim to  
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8 77 describe the physiological mechanisms involved in the sympathetic stress response,  
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10 78 their significance in animal physiological ecology and ecological physiology, and present  
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13 79 modern approaches to gathering these data in free-living animals. In doing so, we hope  
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15 80 to present a balanced comparison, and perhaps, integration of heart dynamics with GCs  
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18 81 as bio-markers of stress and predictors of fitness in free-living animals.  
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## 23 83 The Autonomic Stress Response

26 84 The common caricature of the immediate response to adversity or environmental  
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29 85 challenges (“fight or flight”) is accompanied by a cascade of physiological changes. In  
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31 86 mammals, the limbic system is highly conserved and serves as a neural substrate for  
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34 87 fears and emotions (Jänig 1985), controlling sympathetic outflow systems to eventually  
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36 88 activate the cardiac muscle and adrenal glands (Porges 1995; Chapleau and Abboud;  
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39 89 Jansen et al. 1995). This is how and why GCs remain a valid surrogate measure for  
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41 90 stress, and although the effect of GCs on the body are relatively slow, they play an  
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44 91 important role in responding and adapting by regulating glucose production and  
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46 92 temporarily suppressing the immune system (Padgett and Glaser 2003).

48 93 More immediate are the effects catecholamines, namely, epinephrine and  
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50  
51 94 norepinephrine, which agonize  $\beta$ 1-receptors on the heart enhancing contractility while  
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53 95 increasing heart rate (Cyr and Romero 2009; Lacombe and Jones 1990). Meanwhile,  
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55 96 the parasympathetic pathway (i.e. “rest and digest”) is inhibited, which is why the stress

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3 97 response is said to be “sympathetic-dominant”. The heart also naturally accelerates  
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5 98 during inhalation due to the inhibition of vagal outflow, which is restored via the release  
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8 99 of acetylcholine following exhalation (Eckberg and Eckberg 1982).  
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10 Prolonged activation of the sympathetic system can be due to emotional and  
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12 101 neural dysregulation, environmental uncertainty, consistent threats, lingering noxious  
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15 102 stimuli, or irregular breathing, and should ultimately be detrimental and maladaptive. For  
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18 103 example, one of the best cardiac risk factors in humans remains an elevated heart rate  
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20 104 (greater than 90 beats per minute) due to sympathetic over-activation (Zhang et al.  
21  
22 105 2016; Curtis and O’Keefe Jr 2002) and similar, negative implications of an elevated  
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25 106 heart rate has been found in other animals (Umana et al. 2003).  $\beta$ -blockers are  
26  
27 107 competitive antagonists that compete with endogenous catecholamines on  $\beta$ -receptors  
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29  
30 108 and can reduce heart rate and normalize blood pressure (Amer 1977). Importantly,  
31  
32 109 significant positive outcomes relating to cardiac events and mortality from the use of  $\beta$ -  
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34 110 blockers suggest heart dynamics as the crucial physiological measure (Arnold et al.  
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37 111 2008) rather than systemic catecholamines.  
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## 40 41 42 113 Heart Rate

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44 114 HR has historical significance as one of the best measures to assess the health  
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47 115 and behavioral status across taxa (Levine 1997). HR is often used to characterize the  
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49 116 autonomic response to stress as it can reflect the balance between the sympathetic and  
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52 117 parasympathetic systems that elevate and depress heart rate, respectively. The  
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54 118 autonomic influence over HR has been directly tested by co-administering a beta-

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3 119 blocker (propranolol) and anticholinergic (atropine), therefore exposing the spontaneous  
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5 120 HR generated intrinsically by the sinoatrial node (Jose and Collison 1970). Somewhat  
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8 121 surprisingly, the heart beats faster when the autonomic inputs are blocked in this  
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10 122 fashion, suggesting that the parasympathetic branch, which depresses heart rate  
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12 123 through the vagal nerve, is normally dominating. In contrast to sympathetic activation,  
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14 124 these findings have led researchers to alternatively focus on vagal “tone” as a health  
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16 125 indicator (Levy and Schwartz 1994).  
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20 126 Acute stressors (e.g., an immediate, novel, or unpredictable stimulus) seem to  
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22 127 affect humans, and free-living birds and mammals in similar ways, although direct  
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24 128 comparisons are difficult and often necessitate captivity. In wild birds, anthropogenic  
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26 129 disturbances such as exposure to humans can elevate heart rates (Viblanco et al. 2015;  
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28 130 Viblanco et al. 2012a) as can exposure to agonistic interactions between neighbors  
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30 131 (Viblanco et al. 2012b). Black bears have a significant increase in HR associated with the  
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32 132 perceived threat of road crossings (Ditmer et al. 2018). Similar elevations in HR are  
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34 133 found in captive birds and mammals where restraint or noxious stimuli are presented  
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36 134 (Nephew et al. 2003; Ellen et al. 2014). The startle response is also associated with an  
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38 135 immediate elevation in HR following the disturbance (Young and Leaton 1994; Nephew  
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40 136 and Romero 2003; Johnson and Mayers 2001; Laferton et al. 2018).  
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46 137 How chronic stress impacts HR is less clear. For example, 4 weeks of exposure  
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48 138 to a chronic stress paradigm causes sustained elevations in baseline HR in laboratory  
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50 139 rats (Grippe et al. 2003). In female prairie voles (*Microtus ochrogaster*), social isolation  
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52 140 is a type of chronic stressor and voles experiencing 4 weeks of social isolation exhibited  
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3 141 substantial increases in resting HR (Grippe et al. 2007; Grippe and Johnson 2009). In  
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6 142 captive birds, baseline HR is initially elevated for the first 30 hours but declines to  
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8 143 control levels after 10-14 months (Dickens and Romero 2009) Fischer and Romero  
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10 144 (2016). Interestingly, the daytime increase in HR during chronic stress exposure (15-16  
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12 145 days) was met with a nighttime decrease during that same period (Romero et al. 2009).  
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15 146 However, this counterbalancing effect to a chronic stressor (wounding) is abolished if  
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17 147 the birds are moulting (Kostelanetz et al. 2009), which in itself may be a stressful life  
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20 148 history stage in birds as it is often associated with elevated GCs.  
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22 149 Heart rhythm is still one of the first vital signs examined by a physician, but as we  
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25 150 expect with other species, it is not interpreted without greater context (e.g., did the  
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27 151 patient drink a cup of coffee in the waiting room?). Even then, the prognostic quality of  
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30 152 HR itself is tenuous in clinical medicine. In humans, a typical standard deviation of heart  
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32 153 rate can be up to 10 beats per minute, which is not itself significantly different from  
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34 154 some disease conditions (Albanese et al. 2016). HR associations with chronic  
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37 155 conditions like depression are mixed, sometimes showing higher resting HR  
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39 156 (Krittayaphong et al. 1997) and sometimes lower (Hu et al. 2016). Conditions such as  
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42 157 depression are often accompanied by a sedentary lifestyle and metabolic syndrome  
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44 158 (Licht et al. 2011; Thayer et al. 2010), which taken together represent a unique,  
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47 159 maladaptive condition that is, of course, rare in wild animals. Socioemotional conditions  
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49 160 like anxiety are not met with the same physiological adaptations as physical stressors  
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51 161 (Watkins et al. 1998), although primates may have specially adapted neural machinery  
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54 162 for these situations (Cameron and Schoenfeld 2018). The problem with using HR as a  
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3 163 window into the stress state of an animal is challenging from a taxonomic,  
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5 164 environmental, circadian, and life history perspective, as they are all factors that affect  
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8 165 HR and HR-associated adaptations (Viblanç et al. 2015). Therefore, a cardiac measure  
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10 166 that provides more resolution into the underlying physiology is required and may be  
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13 167 found in HRV.

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## 169 Heart Rate Variability

170 In 1965 it was found that the inter-beat interval of the heart was an earlier  
171 predictor of fetal distress than HR itself (Hon and Lee 1963). Overlapping with the rise  
172 of accessible computing power, statistical measures of HRV were soon pioneered  
173 (Akselrod et al. 1981). By the late 1980s HRV gained clinical relevance as a detector of  
174 autonomic neuropathy in diabetic patients (Ewing et al. 1985) and as a strong predictor  
175 of mortality following an acute myocardial infarction (Wolf et al. 1978; Bigger Jr et al.  
176 1992; Malik et al. 1989; Kleiger et al. 1987). Since then, there has been a relatively  
177 lengthy body of research showing that HRV is an accurate measurement of the activity  
178 of the autonomic stress response (Thayer et al. 2012) and signifies a state of  
179 heightened vigilance (Thayer and Lane 2000). A reduction in HRV (i.e., a more regular  
180 heartbeat) is a result of vagal withdrawal and sympathetic activation (Schiweck et al.  
181 2019) characteristic of exposure to stressors (Stauss 2003; von Borell et al. 2007; Cyr  
182 and Romero 2009; Koolhaas et al. 1999; Perini and Veicsteinas 2003), which can  
183 result in unfavorable health outcomes. The regularity between heartbeats under  
184 sympathetic dominance is likely advantageous for survival, as it guarantees a consistent

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3 185 blood circulation and delivery of nutrients and glucose to peripheral organs. However,  
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6 186 maintaining such a mode of operation may be biophysically maladaptive, as it can  
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8 187 render the organism impervious to changing circumstances (Thayer and Sternberg  
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10 188 2006). An autonomic blockade not only increases HR but decreases HRV in humans  
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13 189 (Camm et al. 1996) and rodents (e.g., Lakin et al. 2018, Cyr et al. 2008) highlighting the  
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15 190 important influence of vagal tone on regulating HRV.

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18 191 Across different types of species, exposure to stress is associated with a  
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20 192 reduction in HRV. For example, transportation or acute restraint (e.g., during grooming)  
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22 193 of agricultural animals is associated with a reduction in their HRV (Schmidt et al. 2010;  
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24 194 Reefmann et al. 2009). Lameness in cows (characterized as abnormalities of the feet  
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26 195 that cause pain when moving and may lead to infection and sepsis) is a type of chronic  
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29 196 stressor and cows that exhibit lameness had lower HRV than those that did not exhibit  
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32 197 these symptoms (Kovacs et al., 2015). In laboratory rats, 4 weeks of exposure to a  
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34 198 chronic stress paradigm resulted in reductions in HRV (Grippe et al. 2003). Female  
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37 199 prairie voles exposed to social isolation for 4 weeks exhibited reductions in HRV (Grippe  
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39 200 et al. 2007; Grippe and Johnson 2009). It is less clear how stress and HRV are related  
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42 201 in non-mammals although many findings are consistent (Fischer and Romero 2016;  
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44 202 Müller et al. 2017). Acute stress (trauma associated with surgery) in snakes almost  
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46 203 eliminates HRV for the first 10 days following surgery (Sanches et al. 2019). In contrast,  
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49 204 studies in captive birds exposed to a chronic stress paradigm for 16 or 18 d, HRV was  
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51 205 unaffected (Cyr and Romero 2009; Kostelanetz et al. 2009).  
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3 206 The causes and consequences of variation in HRV have been best investigated  
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6 207 in humans. In humans, HRV decreases with age (Reardon and Malik 1996; Padgett  
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8 208 and Glaser 2003) and many pathophysiological conditions including heart failure,  
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10 209 diabetes, and hypertension (Xhyheri et al. 2012) as well as obesity (Mazurak et al.  
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12 210 2016). It is unclear how mental stress manifests in non-humans, however patients with  
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14 211 depression have a lower HRV (Krittayaphong et al. 1997) which is unrelated to existing  
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16 212 cardiovascular disease (Carney and Freedland 2009) and worsening symptoms further  
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18 213 decrease HRV (Kemp et al. 2010; Krittayaphong et al. 1997). HRV is negatively  
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20 214 correlated with exposure to stressful experiences (Porges 2003; Stauss 2003; von  
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22 215 Borell et al. 2007) and self-reported anxiety (Berntson and Cacioppo 2004) or  
23  
24 216 increased work-related stress (Chandola et al. 2008; Thayer et al. 2010). Experimental  
25  
26 217 application of standardized psychological stress tests (e.g., Stroop or speech task  
27  
28 218 paradigm) to humans also decreases their HRV during wakefulness (Delaney and  
29  
30 219 Brodie 2000) and subsequently during sleep (Hall et al. 2004).

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32 220 HRV may also be a window into the reactivity and integration capacity of the  
33  
34 221 central nervous system (CNS) to deal with challenges and coordinate context-specific  
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36 222 responses in the periphery (Thayer et al. 2012). However, the central site responsible  
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38 223 for these adaptations has been challenged by the fact that the sinoatrial node is also  
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40 224 plastic (Stein et al. 2002). The finding that adaptations to HRV in response to physical  
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42 225 exercise are abolished during an autonomic block (e.g., propranolol hydrochloride and  
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44 226 atropine) support the former hypothesis, that the CNS modulates HRV through the  
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46 227 parasympathetic pathway (Lakin et al. 2018).

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3 228 Compared to individuals with high resting HRV, those with low resting HRV do  
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6 229 not recover as quickly from psychological stressors based on cardiovascular, endocrine,  
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8 230 and immune markers (Weber et al. 2010). One meta-analysis showed that poor  
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10 231 recovery following laboratory stressors is associated with cardiovascular risk status  
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12 232 (e.g., elevated blood pressure, hypertension, clinical cardiac events), although subjects  
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15 233 also exhibited heightened reactivity (Chida and Steptoe 2010). Indeed, biological  
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17 234 responses to stressors or threats can be exaggerated, leading to anxiety or aggression  
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20 235 (Valiente et al. 2003; Carthy et al. 2010). For example, studies on severely depressed  
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22 236 individuals are mixed, albeit consistently atypical, showing both higher reactivity or a  
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24  
25 237 blunted response to stressors (Hamilton and Alloy 2016; Schiweck et al. 2019) similar  
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27 238 to the inverted U performance-arousal curve of the Yerkes-Dodson Law (Yerkes and  
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30 239 Dodson 1908; Cohen 2011). Physical exercise is one way to decrease resting HRV  
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32 240 while establishing normal/optimal autonomic reactivity (Kiss et al. 2016). Unlike  
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34  
35 241 psychological stressors, transient activation of the autonomic system from exercise is  
36  
37 242 followed by an augmentation of vagal tone (Pardo et al. 2000).

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#### 40 41 42 244 Associations between GCs & HRV

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45 245 Given that most studies to date have focused on measuring “stress” using only  
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47 246 GCs, it is useful to briefly look at the associations between GCs and HRV. Some  
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49 247 studies that employ captivity as a chronic stressor show that the period following initial  
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52 248 captivity is associated with an increase in GCs and HR and a decline in HRV but as the  
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54 249 time from initial captivity increases, GCs and HR decline and HRV increases (Dickens  
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3 250 and Romero 2009). Following the transportation of agricultural animals (a type of acute  
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6 251 stressor), GCs are elevated and HRV is reduced (Schmidt et al. 2010). However, other  
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8 252 studies find no association between HRV and measures of GCs or even the opposite  
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10 253 association where both GCs and HRV are elevated. For example, lameness in cows (a  
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12 254 type of chronic stressor) was associated with reduced HRV but no change in fecal  
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15 255 glucocorticoid metabolites compared to non-lame cows (Pacifici et al. 2015). In wild  
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17 256 birds brought into captivity, HRV and plasma GCs were reduced at the beginning of  
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20 257 captivity compared to 6-7 days after captivity was initiated (Fischer et al. 2016; Fischer  
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22 258 et al. 2018). These latter studies suggest that the lag time from the initiation of the  
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25 259 stressor (in these studies it was captivity) affects their impact on HRV or GCs; HRV is  
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27 260 low immediately after the start of captivity and then increases whereas GCs are low  
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29  
30 261 immediately after captivity and then increase.

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32 262 In humans, HRV does not directly correlate with the cortisol waking response  
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34 263 (Stalder et al. 2011) highlighting the potential nuance of HRV. Interpreting HRV may  
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36  
37 264 benefit from the context of the three-stage response model originally proposed by Selye  
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39 265 (Selye 1956). That is, HRV measured during the alarm, resistance, and exhaustion  
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42 266 stages of the stress response should be assumed to communicate different information  
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44 267 about the state of an animal.

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## 49 269 Tools and Methods to Measure HR and HRV

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52 270 As a relatively young field of investigation, the tools and methods used to record  
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54 271 HR and HRV are actively undergoing standardization, which may account for conflicting  
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3 272 results. An electrocardiogram (ECG, sometimes called an EKG from the German word  
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5 273 *Elektro-kardiographie*) is the gold standard method for measuring heart rhythms and  
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8 274 requires an amplifier and electrodes to be strategically placed near the heart muscle.  
9  
10 275 Ample resolution on an ECG will provide information about atrial and ventricular  
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12 276 depolarization and repolarization. Ventricular depolarization is the largest deflection in  
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14  
15 277 the signal and can be identified as the R-wave in the QRS-wave complex. The inter-  
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18 278 beat interval (IBI) is synonymous with the normal-to-normal R-R interval (NN) and is the  
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20 279 basis for calculating time-series measures like mean NN interval or HR, as well as  
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22 280 statistical operations that are used in HRV analyses.

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25 281 HR and HRV measures can be affected by a subject's head or body position,  
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27 282 respiration rate or pace, sex, age, and aerobic fitness level, and interrelate with natural  
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29  
30 283 biophysical rhythms (e.g., circadian, metabolic, hormonal). Clinicians and researchers  
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32 284 alike should be aware of the relatively extensive list of best practices and caveats when  
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34  
35 285 approaching HR and HRV measurements (Shaffer and Ginsberg 2017; Camm et al.  
36  
37 286 1996). Here, we focus our discussion on the potential utility of HRV analyses based on  
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40 287 "short-term" 5-minute recordings, as this is a well-documented standard, reasonable to  
41  
42 288 achieve in animals from battery-powered devices, and applicable to 24-hour recordings  
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44 289 that are chunked into smaller time windows.

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46 290 Common time-series HRV measures include the standard deviation of all NN  
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48 291 intervals (SDNN, measured in ms), the root mean square of successive differences of  
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51 292 the NN interval (RMSSD), and the percentage of adjacent NN intervals that differ by  
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54 293 more than 50 ms (pNN50) (Camm et al. 1996). SDNN measurements reflect both  
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3 294 sympathetic and parasympathetic activity, but in short-term recordings, the primary  
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6 295 source of variation is parasympathetically-mediated respiratory sinus arrhythmia,  
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8 296 making this measure extremely sensitive to respiratory status (Shaffer et al. 2014).  
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10 297 RMSSD and pNN50 are correlated with each other and closely with parasympathetic  
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12 298 activity. Although time-series analyses are conceptually straight forward, they fail to  
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15 299 correlate with the same measures over 24 hours (Shaffer and Ginsberg 2017), making  
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17  
18 300 their interpretation context-dependent. Studies have also found that short-term  
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20 301 recordings are prognostically insufficient (Kleiger et al. 2005).

22 302 Analyses in the frequency-domain may offer more insight for short-term  
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24 303 recordings. This often begins by subjecting the time-series data (i.e., the entire 5  
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26  
27 304 minutes) to a form of spectral analysis where the power contributions from different  
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30 305 frequency bands can be viewed in two dimensions (power  $\times$  frequency). A generalized  
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32 306 approach has been to quantify low-frequency (LF, 0.04 – 0.15 Hz) and high-frequency  
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34 307 (HF, 0.15 – 0.4 Hz) power which are correlated with sympathetic and parasympathetic  
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36  
37 308 tone, respectively (Xhyheri et al. 2012). Therefore, the LF/HF ratio has been suggested  
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39 309 as an index of the interaction between sympathetic and vagal activity (Pagani et al.  
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41  
42 310 1986), but this notion has been challenged, primarily because the LF band is  
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44 311 multifaceted. For example, during resting conditions LF power represents baroreflex  
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47 312 rhythms, and may only approximate sympathetic tone when subjects are ambulating  
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49 313 (Shaffer and Ginsberg 2017).

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6 315 Bio-loggers to Record HR and HRV

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9 316 In recent years, the use of miniaturized bio-loggers to make physiological and  
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11 317 environmental measurements from free-ranging animals has radically transformed  
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13 318 scientific capabilities. Technological strides in battery, computation, memory, and  
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15 319 sensor technology continue to support a rich suite of bio-logging tools that are not only  
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17 320 becoming smaller and longer-lasting, but providing multi-featured, high-resolution data  
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19 321 (Williams et al. 2019).

22  
23 322 Natural stressors imposed by natural environments may better approximate the  
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25 323 physiological capabilities of an animal to respond and adapt than stressors applied in  
26  
27 324 the laboratory (Williams et al. 2016). However, being multifaceted, accurately measuring  
28  
29 325 the stress response using an attachable, or implantable bio-logger is challenging. Some  
30  
31 326 of the first attempts in this vein used accelerometers to identify behavioral patterns in  
32  
33 327 response to stressors (Kröschel et al. 2017). Accelerometry has also been used to map  
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35 328 micro-movements onto specific internal (Wilson et al. 2008) or disease states (Downey  
36  
37 329 et al. 2017; Cancela et al. 2014). The dynamic relationship between HR and respiration  
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39 330 has been characterized using bio-loggers in diving birds (Butler and Woakes 1979) and  
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41 331 again examined in penguins with a device capable of identifying unique body functions  
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43 332 such as defecation (Wilson et al. 2004). Self-contained, automated hemodynamic  
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45 333 measurement units have been used to sample blood during a physical challenge to  
46  
47 334 analyze changes in GCs and other hormones (Takei et al. 2016; Landry et al. 2014).

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3 335 Cardiac rhythms have been a central focus of bio-logging as HR accurately  
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6 336 predicts energy expenditure (Weimerskirch et al. 2002) and metabolic rate (Green  
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8 337 2011), and both HR and HRV are becoming widely appreciated surrogates of stress and  
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10 338 resilience (see above). Some bio-loggers perform on-board HR estimation (Pulopulos et  
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12 339 al. 2018; Bevan et al. 1997; Chaise et al. 2017) which is more efficient from a power  
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14 340 and memory standpoint, but limits HRV analyses. Beat-to-beat signals are useful for  
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16 341 time-series analyses, as the periodically measured RMSSD of inter-beat intervals in  
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18 342 free-ranging, pregnant horses correlate with changing seasons, which may be due to  
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20 343 environmental, pregnancy, or metabolic pressures (Pohlin et al. 2017). However, to  
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22 344 perform proper short-term HRV spectral analyses the entire, raw waveform must be  
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24 345 analyzed, and to our knowledge has so-far relied on post hoc, rather than on-board  
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26 346 computation in battery-powered bio-loggers (although this is not true for consumer  
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28 347 'wearables' designed for humans). For example, bar-headed geese have been fitted  
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30 348 with bio-loggers that record long-duration, raw ECG patterns during a trans-Himalayan  
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32 349 migration (Spivey and Bishop 2014).

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39 350 It should be recognized that bio-loggers have additional constraints compared to  
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41 351 biotelemetry systems, where data is or transmitted rather than directly saved (Fu et al.  
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43 352 2011), and wireless charging might be an option (Young-Ho et al. 2004 Nov).  
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45 353 Telemetered heart rhythms have been applied to mammals (Arnold et al. 2004; O'Mara  
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47 354 et al. 2017), birds (Cyr et al. 2008), fish (Cooke et al. 2004), and reptiles (Butler et al.  
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49 355 2002). Telemetry systems have outpaced bio-loggers in both capability and use across  
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51 356 model systems, although there are notable synergies, and indeed mixed capabilities, as  
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3 357 in the form of marine “pop-up” tags (Musyl et al. 2011) and RFID-enabled devices  
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5 358 (Williams et al. 2016). Bio-loggers that can record neural data with the resolution to  
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7 359 identify single action potentials (> 20 kHz) have been implemented in behaving animals  
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9 360 with the option to perform short-term experiments free of a recording tether (Massot et  
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11 361 al. 2019). Brain rhythms that are present during sleep can be identified through much  
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13 362 slower sampling rates (Aulsebrook et al. 2016; Rattenborg et al. 2008), thereby  
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15 363 extending the lifetime and utility of neuro-based bio-loggers. The discovery that great  
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17 364 frigatebirds (*Fregrata minor*) sleep mid-flight used bio-loggers that constantly recorded  
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19 365 electroencephalography (at 200 Hz) over 10 days (Rattenborg et al. 2016). Similar  
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21 366 devices have been implemented in pigeons and represent a powerful toolset when  
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23 367 paired with other onboard sensors (Vyssotski et al. 2006). Indications that sleep states  
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25 368 affect physical performance and recovery (Shapiro et al. 1981) and that stress has a  
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27 369 bidirectional relationship with sleep (Hall et al. 2004; Martire et al. 2019) makes the  
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29 370 neural toolset an exciting new direction for bio-logging technology. HR/HRV may be a  
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31 371 key marker for the efficiency and effectiveness of autonomic regulatory processes like  
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33 372 sleep and can, therefore, be examined in association with physical and reproductive  
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35 373 fitness.

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37 374 The future of bio-logging to quantify how animals respond and recover from  
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39 375 exposure to stressors may rely on smarter and more clever recording techniques to  
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41 376 mitigate power and memory constraints (Woakes et al. 1995; Clark et al. 2009; Spivey  
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43 377 and Bishop 2014; Cox et al. 2018). For example, accelerometry and time-of-day data  
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45 378 could augment ECG recording routines, as some cardiac measurements are only  
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3 379 relevant following a period of rest or in the morning (Shaffer and Ginsberg 2017). Brain  
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6 380 rhythm data not only pairs well with accelerometry data for the ability to distinguish  
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8 381 between active, rest, and sleep state but could also coordinate low-power modes on the  
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10 382 bio-logger so that the neural recording circuitry is idle when the animal is moving.  
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12 383 Although onboard computation is power-intensive, algorithms that perform HRV  
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14 384 statistics (Park et al. 2018), detect sleep states (Allocca et al. 2019), extract neuronal  
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16 385 spiking rates (Dragas et al. 2013), or discretize any other physiologic variables could be  
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18 386 valuable depending on the experimental recording strategy.  
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22 387         The largest challenge to monitoring HR and HRV in free-living animals to obtain  
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24 388 an index of their exposure to stress is that it can be more invasive than the collecting of  
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26 389 blood samples and other tissues that can be used to measure GCs non-invasively  
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28 390 (feces, urine, feathers, hair). However, they may provide much higher quality and  
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30 391 informative data than measures of GCs alone (e.g., Aimie-Salleh et al. (2019)).  
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32 392 Researchers must also consider whether intervening on either end of an experiment to  
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34 393 implant and explant a bio-logger is altogether less disruptive to the population and other  
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36 394 species in the community than the consistent or frequent presence of humans, which is  
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38 395 often required to collect samples to measure GCs.  
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## 46 397 Conclusions & Why HRV?

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49 398         HRV appears to occur in all vertebrate taxa (sensu Sanches et al. 2019) and  
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51 399 there are several similarities to studies that use measures of GCs to measure how an  
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53 400 animal perceives its world and the degree of environmental challenges it is facing. For  
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3 401 example, GCs (Schoenle et al. 2018) and HRV (Muller et al. 2018) both show  
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5 402 repeatable individual differences, suggesting that these traits can exhibit an evolutionary  
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7 403 response to natural selection. Although there are challenges associated with measuring  
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9 404 HRV compare to GCs, we think there are several clear advantages. First, GCs play a  
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11 405 role in the mobilization of glucose to fuel behavioral activities and so elevated GCs may  
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13 406 be a biomarker of elevated energetic expenditure in wild animals. However, by  
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15 407 measuring HRV, measures of HR are also available. It is quite likely that measures of  
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17 408 HR better reflect actual energetic expenditure than measures of GCs given that they  
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19 409 can be used to estimate oxygen consumption and therefore energetic expenditure  
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21 410 (Groscolas et al. 2010; Ellenberg et al. 2013), although they require careful validation  
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23 411 (e.g., Hicks et al. (2017)). Second, measures of GCs have been used to investigate how  
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25 412 anthropogenic activities impact wildlife (Dantzer et al., 2014) but measures of HR and  
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27 413 perhaps HRV in wild animals may provide a more in-depth view of how they affect  
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29 414 wildlife. For example, wild animals may not exhibit a behavioral response to  
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31 415 anthropogenic activities but still exhibit an increase in HR (Ditmer et al. 2015).  
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33 416 Measures of HR may also provide insights into the unexpected impacts of humans on  
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35 417 animals such as the presence of wildlife photographers provoking a stronger increase in  
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37 418 HR in penguins than did the capture and handling (Ellenberg et al. 2013). Finally, as we  
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39 419 noted above, HRV may better reflect the stress state of an animal as well as the amount  
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41 420 of acute or chronic stressors the animal has been exposed to compared to measures of  
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43 421 GCs. This is reflected by the ability of HRV to predict human health (Thayer and Lane  
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45 422 2000; Lane et al. 2009) and health/welfare in other animals (von Borell et al. 2007). For  
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3 423 example, there is a strong interest in understanding how social interactions affect the  
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6 424 health and fitness of all animals including humans (“sociality-health-fitness” nexus).  
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8 425 Interestingly, social interactions may increase oxytocin (Uvnas-Moberg 1998) and  
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10 426 experimental administration of oxytocin increases HRV (Romero et al. 2014). Moreover,  
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13 427 social isolation in prairie voles reduces HRV but these effects are abolished if oxytocin  
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15 428 is administered during the period of isolation (Grippe and Johnson 2009). These  
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18 429 studies suggest one way by which social interactions increase health, well-being, and  
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20 430 fitness in animals through its effects on oxytocin and HRV.

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22 431 Studies of the relationship between HRV and measures of fitness in wild animals  
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24  
25 432 are clearly needed here but these previous studies suggest that HRV may more reliably  
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28 433 predict past or current exposure to stress and may more reliably predict fitness. The  
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30 434 obvious limitation here is that measuring HR and HRV is still difficult and much more  
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32 435 invasive to the individual compared with measures of GCs. However, a comprehensive  
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35 436 picture of the stress response to environmental challenges, as Seyle advocated, is  
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37 437 going to require data on the autonomic stress response in addition to measures of GCs  
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39 438 and HRV may be the most reliable biomarker.

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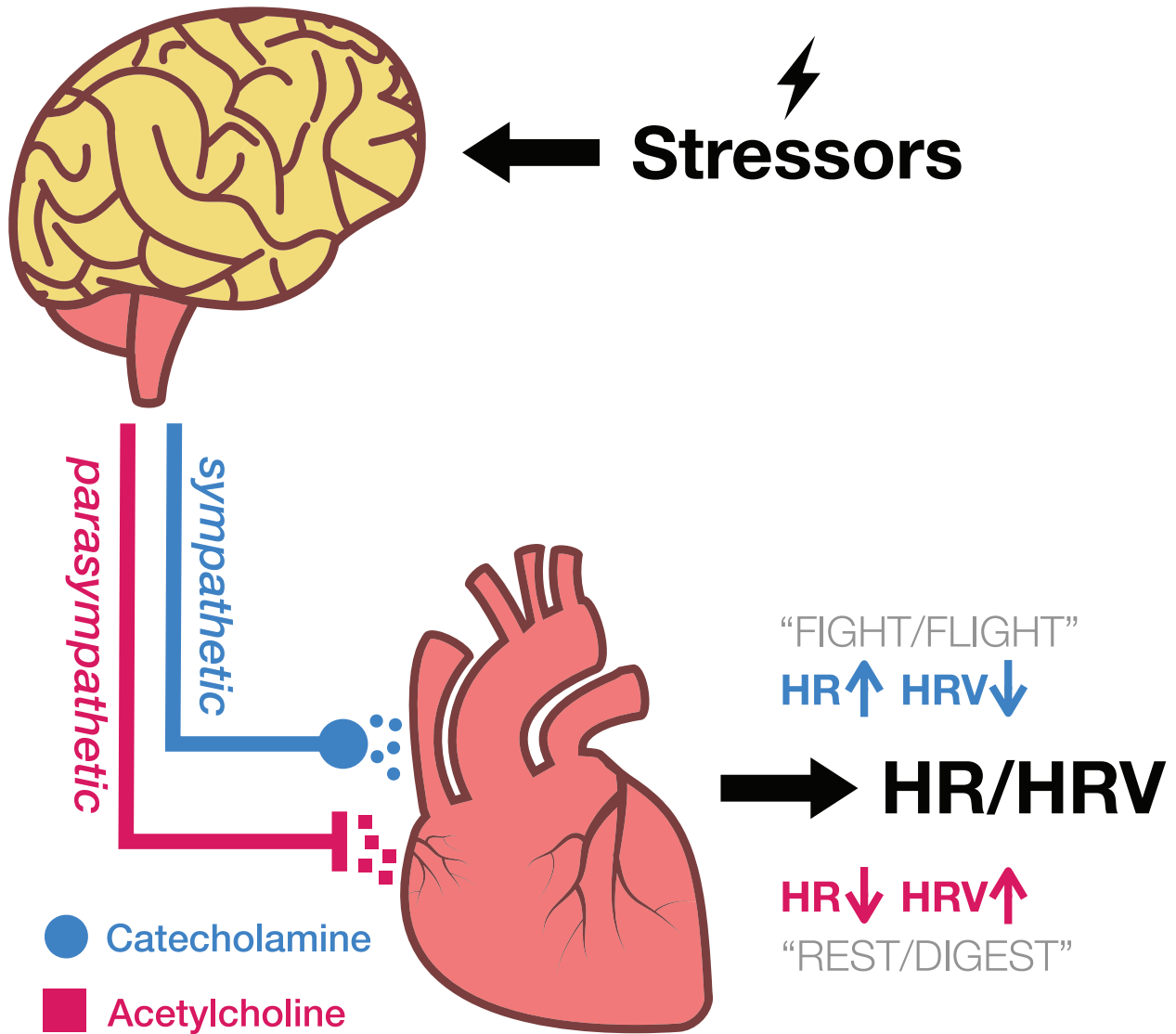
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445 Figures

446 **Fig 1.** Stressors modulate cardiac rhythms through descending autonomic  
447 pathways. Sympathetic branch activity is indicative of exposure to stressors and causes  
448 an increase in heart rate (HR) and decrease in heart rate variability (HRV),  
449 while parasympathetic branch activity decreases HR and increases HRV.

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