

# Cross-scale interaction of host tree size and climate governs bark beetle-induced tree mortality

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## Abstract

The Californian hot drought of 2012 to 2015 created favorable conditions for unprecedented ponderosa pine (*Pinus ponderosa*) mortality in the Sierra Nevada mountain range, largely attributable to the western pine beetle (*Dendroctonus brevicomis*; WPB). Climate conditions can partially explain tree mortality patterns through their direct effect on tree vigor, but tree mortality rates can respond non-linearly to climate conditions when bark beetles interact with local forest characteristics while they colonize drought-stressed trees. Measuring broad-scale climate conditions simultaneously with local forest composition and structure—the spatial distribution and size of trees—will refine our understanding of how these variables interact, but is generally expensive and/or labor-intensive. We use drone surveys over 32 distinct sites along a 350-km latitudinal and 1000-m elevational gradient in western slope Sierra Nevada ponderosa pine/mixed-conifer forests and structure from motion (SfM) photogrammetry to segment and classify more than 450,000 trees over 9 km<sup>2</sup> of forest with WPB-induced tree mortality. We validated the segmentation and classification with data from 160 coincident field plots (each 0.041 ha in area) throughout the 32 sites, assuming that dead trees were all ponderosa pine killed by WPB. We modeled the probability of ponderosa pine mortality as a function of forest structure and composition and their interaction with site-level climatic water deficit (CWD), accounting for spatial covariance using exact Gaussian processes. A greater local proportion of host trees strongly increased the probability of host mortality, with greater host density amplifying this effect. Further, we found a strong interaction between host size and CWD such that larger trees increased the probability of host mortality at hot/dry sites, but smaller trees tended to drive mortality in cool/wet sites. Our results demonstrate a variable response of WPB to local forest structure and composition across an environmental

31 gradient, which may help reconcile differences between observed ecosystem-wide tree mortality patterns and  
32 predictions from models based on coarser-scale forest structure. Climate change adaptation strategies should  
33 consider that future disturbance outcomes may depend on interactions between local forest structure and  
34 broad-scale environmental gradients, with the potential for cross-scale interactions that challenge our current  
35 understanding of forest insect dynamics.

## 36 **Introduction**

37 Bark beetles dealt the final blow to many of the nearly 150 million trees killed in the California hot drought of  
38 2012 to 2015 and its aftermath (USDAFS 2019). A harbinger of climate change effects to come, record high  
39 temperatures exacerbated the drought (Griffin and Anchukaitis 2014, Robeson 2015), which increased water  
40 stress in trees (Asner et al. 2016, Brodrick and Asner 2017), making them more susceptible to colonization  
41 by bark beetles (Fettig 2012, Kolb et al. 2016). Further, a century of fire suppression has enabled forests to  
42 grow into dense stands, which can also make them more vulnerable to bark beetles (Waring and Pitman  
43 1985, Fettig 2012, Restaino et al. 2019). This combination of environmental conditions and forest structural  
44 characteristics led to tree mortality events of unprecedented size across the state (Young et al. 2017, USDAFS  
45 2017).

46 Tree mortality exhibited a strong latitudinal and elevational gradient (Asner et al. 2016, Young et al. 2017)  
47 that can only be partially explained by coarse-scale measures of environmental conditions (i.e., historic  
48 climatic water deficit; CWD) and current forest structure (i.e., current regional basal area) (Young et al.  
49 2017). Progressive loss of canopy water content offers additional insight into tree vulnerability to mortality,  
50 but cannot ultimately resolve which trees die in forests with bark beetles as a key mortality agent (Brodrick  
51 and Asner 2017). Bark beetles respond to local forest characteristics in positive feedbacks that non-linearly  
52 alter tree mortality dynamics against a background of environmental conditions that stress trees (Raffa et al.  
53 2008, Boone et al. 2011). Thus, an explicit consideration of local forest structure and composition (Stephenson  
54 et al. 2019, Fettig et al. 2019) as well as its cross-scale interaction with regional climate conditions (Senf  
55 et al. 2017) can refine our understanding of tree mortality patterns from California’s recent hot drought.  
56 The challenge of simultaneously measuring the effects of both local-scale forest features (such as structure  
57 and composition) and broad-scale environmental conditions (such as climatic water deficit; CWD) on forest  
58 insect disturbance leaves their interaction effect relatively underexplored (Seidl et al. 2016, Senf et al. 2017,  
59 Stephenson et al. 2019, Fettig et al. 2019).

60 The ponderosa pine/mixed-conifer forests in California’s Sierra Nevada region are characterized by regular  
61 bark beetle disturbances, primarily by the influence of western pine beetle (*Dendroctonus brevicomis*; WPB)

62 on its host ponderosa pine (*Pinus ponderosa*) (Fettig 2016). WPB is a primary bark beetle— its reproductive  
63 success is contingent upon host tree mortality, which itself requires enough beetles to mass attack the host tree  
64 and overwhelm its defenses (Raffa and Berryman 1983). This Allee effect creates a strong coupling between  
65 beetle selection behavior of host trees and host tree susceptibility to colonization (Raffa and Berryman 1983,  
66 Logan et al. 1998, Wallin and Raffa 2004). A key defense mechanism of conifers to bark beetle attack is to  
67 flood beetle bore holes with resin, which physically expels colonizing beetles, can be toxic to the colonizers  
68 and their fungi, and may interrupt beetle communication (Franceschi et al. 2005, Raffa et al. 2015). Under  
69 normal conditions, weakened trees with compromised defenses are the most susceptible to colonization and  
70 will be the main targets of primary bark beetles like WPB (Bentz et al. 2010, Boone et al. 2011, Raffa et al.  
71 2015). Under severe water stress, many trees no longer have the resources available to mount a defense (Boone  
72 et al. 2011, Kolb et al. 2016) and thus prolonged drought can often trigger increased bark beetle-induced  
73 tree mortality as average tree vigor declines (Bentz et al. 2010) (though we note that the inciting factors  
74 for increased tree mortality in other bark beetle systems, such as mountain pine beetle (*D. ponderosae*) in  
75 lodgepole pine (*P. contorta*), may be more related to temperature’s effect on the beetle’s physiology). As  
76 the local population density of beetles increases due to successful reproduction within spatially-aggregated  
77 weakened trees, as might occur during drought, mass attacks grow in size and become capable of overwhelming  
78 formidable tree defenses such that even healthy trees may be susceptible to colonization and mortality (Bentz  
79 et al. 2010, Boone et al. 2011, Raffa et al. 2015). Thus, water stress can be a key determinant of whether  
80 individual trees are susceptible to bark beetles under many conditions, and this environmental condition may  
81 interact with beetle population dynamics to drive tree susceptibility under extreme conditions (Bentz et al.  
82 2010, Boone et al. 2011, Stephenson et al. 2019).

83 WPB activity is strongly influenced by forest structure— the spatial distribution and size of trees— and tree  
84 species composition. Taking forest structure alone, high-density forests are more prone to bark beetle-induced  
85 tree mortality (Fettig 2012) which may arise as greater competition for water resources amongst crowded trees  
86 and thus average tree resistance is lower (Hayes et al. 2009), or because smaller gaps between trees protect  
87 pheromone plumes from dissipation by the wind and thus enhance intraspecific beetle communication (Thistle  
88 et al. 2004). Tree size is another aspect of forest structure that affects bark beetle host selection behavior  
89 with smaller trees tending to have lower capacity for resisting attack, and larger trees being more desirable  
90 targets on account of their thicker phloem providing greater nutritional content (Miller and Keen 1960,  
91 Chubaty et al. 2009, Boone et al. 2011, Graf et al. 2012). Throughout an outbreak, some bark beetle species  
92 will collectively “switch” the preferred size of tree to attack in order to navigate the trade-off between host  
93 susceptibility and host quality (Geiszler and Gara 1978, Klein et al. 1978, Mitchell and Preisler 1991, Preisler

94 1993, Wallin and Raffa 2004). Taking forest composition alone, WPB activity in the Sierra Nevada mountain  
95 range of California is necessarily tied to the regional distribution of its exclusive host, ponderosa pine (Fettig  
96 2016). Colonization by primary bark beetles can also depend on the relative frequencies of tree species in a  
97 more local area, akin to reduced oligophagous insect herbivory in forests comprising taxonomically-distinct  
98 tree species compared to monocultures (Jactel and Brockerhoff 2007).

99 The interaction between forest structure and composition also drives WPB activity. For instance, dense forests  
100 with high host availability may experience greater beetle-induced tree mortality because dispersal distances  
101 between potential host trees are shorter reducing predation of adults searching for hosts and facilitating  
102 higher rates of colonization (Miller and Keen 1960, Berryman 1982, Fettig et al. 2007), or because high host  
103 availability reduces the chance of individual beetles wasting their limited resources flying to and landing  
104 on a non-host tree (Moeck et al. 1981, Evenden et al. 2014). Stand-scale measures of forest structure and  
105 composition thus paint a fundamentally limited picture of the mechanisms by which these forest characteristics  
106 affect bark beetle disturbance, but finer-grain information explicitly recognizing tree species, size, and local  
107 density should better capture the ecological processes underlying insect-induced tree mortality (Geiszler  
108 and Gara 1978, Mitchell and Preisler 1991, Preisler 1993, Kaiser et al. 2013). Additionally, considering the  
109 effects of local forest structure and composition with the effects of environmental conditions may help refine  
110 our understanding of tree mortality patterns in widespread events, such as during the recent California hot  
111 drought.

112 The vast spatial extent of tree mortality in the 2012 to 2015 California hot drought challenges our ability to  
113 simultaneously consider how broad-scale environmental conditions may interact with local forest structure  
114 and composition to affect the dynamic between bark beetle selection and colonization of host trees, and host  
115 tree susceptibility to attack (Anderegg et al. 2015, Stephenson et al. 2019). Measuring local forest structure  
116 generally requires expensive instrumentation (Kane et al. 2014, Asner et al. 2016) or labor-intensive field  
117 surveys (Larson and Churchill 2012, Stephenson et al. 2019, Fettig et al. 2019), which constrains survey  
118 extent and frequency. Small, unhumanned aerial systems (sUAS) enable relatively fast and cheap remote  
119 imaging over hundreds of hectares of forest, which can be used to measure complex forest structure and  
120 composition at the individual tree scale with Structure from Motion (SfM) photogrammetry (Morris et al.  
121 2017, Shiklomanov et al. 2019). The ultra-high resolution of sUAS-derived measurements as well as the  
122 ability to incorporate vegetation reflectance can help overcome challenges in species classification and dead  
123 tree detection inherent in other remote sensing methods, such as airborne LiDAR (Jeronimo et al. 2019).  
124 Distributing such surveys across an environmental gradient can overcome the data acquisition challenge  
125 inherent in investigating phenomena with both a strong local- and a strong broad-scale component.

126 We used sUAS-derived remote sensing images over a network of 32 sites in Sierra Nevada ponderosa pine/mixed-  
127 conifer forests spanning 1000 m of elevation and 350 km of latitude (see Fettig et al. 2019) covering a total of  
128 9 km<sup>2</sup>, to investigate how broad-scale environmental conditions interacted with local forest structure and  
129 composition to shape patterns of tree mortality during the cumulative tree mortality event of 2012 to 2018.

130 We asked:

- 131 1. How does the proportion of host trees in a local area and average host tree size affect WPB-induced  
132 tree mortality?
- 133 2. How does the density of all tree species (hereafter “overall density”) affect WPB-induced tree mortality?
- 134 3. How does the total basal area of all tree species (hereafter “overall basal area”) affect WPB-induced  
135 tree mortality?
- 136 4. How does environmentally-driven tree moisture stress affect WPB-induced tree mortality?
- 137 5. Do the effects of forest structure, forest composition, and environmental condition interact to influence  
138 WPB-induced tree mortality?

## 139 **Methods**

### 140 **Study system**

141 We built our study coincident with 160 vegetation/forest insect monitoring plots at 32 sites established  
142 between 2016 and 2017 by Fettig et al. (2019) (Figure 1). The study sites were chosen to reflect typical  
143 west-side Sierra Nevada yellow pine/mixed-conifer forests and were dominated by ponderosa pine (Fettig  
144 et al. 2019). Plots were located in WPB-attacked, yellow pine/mixed-conifer forests across the Eldorado,  
145 Stanislaus, Sierra and Sequoia National Forests and were stratified by elevation (914-1219 m, 1219-1524  
146 m, 1524-1829 m above sea level). In the Sequoia National Forest, the southernmost National Forest in our  
147 study, plots were stratified with the lowest elevation band of 1219-1524 m and extended to an upper elevation  
148 band of 1829-2134 m to capture a more similar forest community composition as at the more northern  
149 National Forests. The sites have variable forest structure and plot locations were selected in areas with >35%  
150 ponderosa pine basal area and >10% ponderosa pine mortality. At each site, five 0.041-ha circular plots  
151 were installed along transects with 80 to 200m between plots. In the field, Fettig et al. (2019) mapped all  
152 stem locations relative to the center of each plot using azimuth/distance measurements. Tree identity to  
153 species, tree height, and diameter at breast height (DBH) were recorded if DBH was greater than 6.35cm.  
154 Year of mortality was estimated based on needle color and retention if it occurred prior to plot establishment,  
155 and was directly observed thereafter during annual site visits. A small section of bark (approximately 625

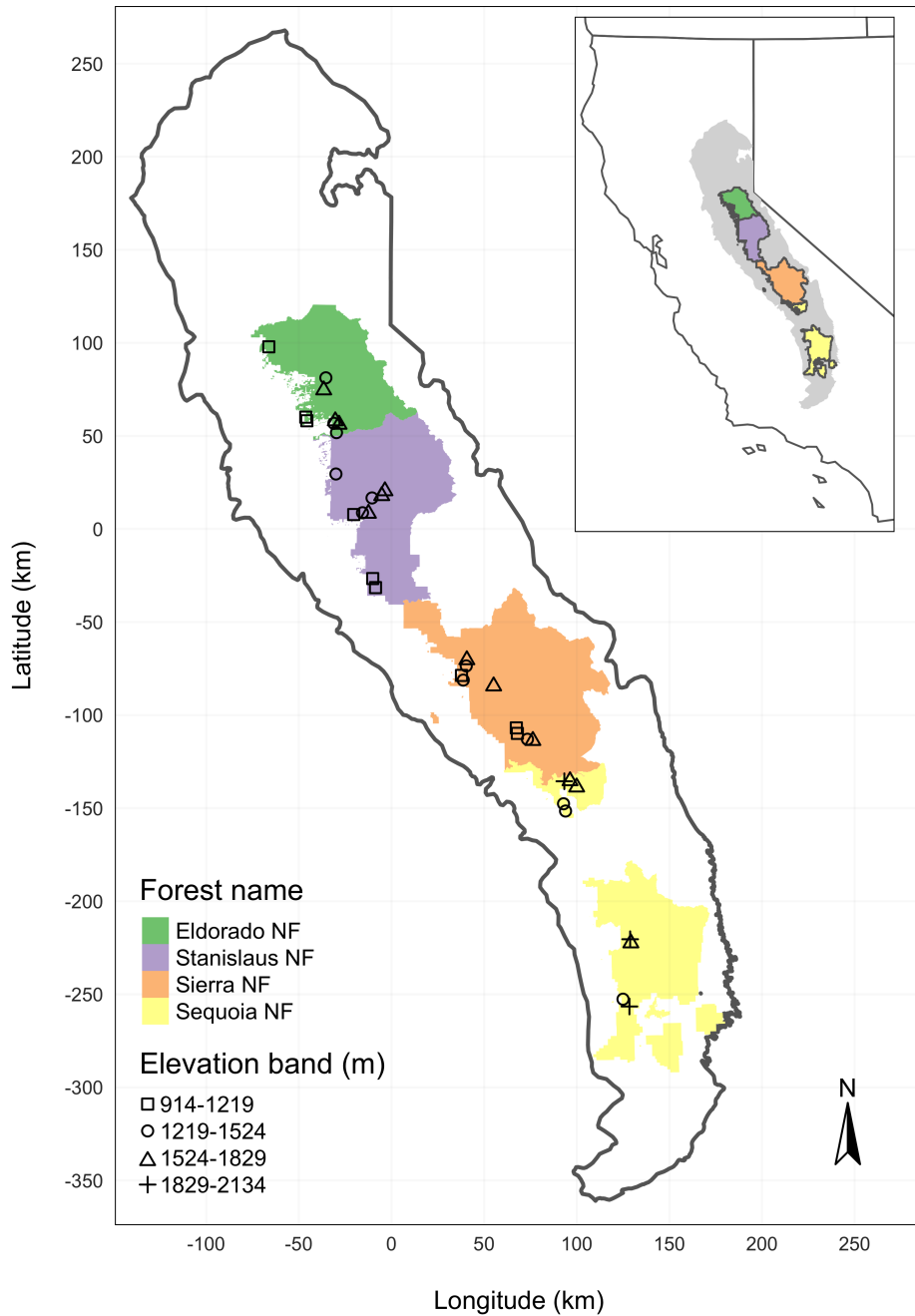


Figure 1: The network of field plots spanned a 350-km latitudinal gradient from the Eldorado National Forest in the north to the Sequoia National Forest in the south. Plots were stratified by three elevation bands in each forest, with the plots in the Sequoia National Forest (the southern-most National Forest) occupying elevation bands 305 m above the three bands in the other National Forests in order to capture a similar community composition.

156 cm<sup>2</sup>) on both north and south aspects was removed from dead trees to determine if bark beetle galleries  
157 were present. The shape, distribution, and orientation of galleries are commonly used to distinguish among  
158 bark beetle species (Fettig 2016). In some cases, deceased bark beetles were present beneath the bark to  
159 supplement identifications based on gallery formation. During the spring and early summer of 2018, all field  
160 plots were revisited to assess whether dead trees had fallen (Fettig et al. 2019).

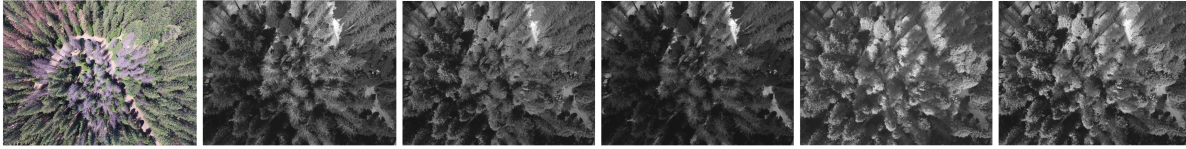
161 In the typical life cycle of WPBs, females initiate host colonization by tunneling through the outer bark and  
162 into the phloem and outer xylem where they rupture resin canals.

163 As a result, oleoresin exudes and collects on the bark surface, as is commonly observed with other bark beetle  
164 species. During the early stages of attack, females release an aggregation pheromone component which, in  
165 combination with host monoterpenes released from pitch tubes, is attractive to conspecifics (Bedard et al.  
166 1969). An antiaggregation pheromone component is produced during latter stages of host colonization by  
167 several pathways, and is thought to reduce intraspecific competition by altering adult behavior to minimize  
168 overcrowding of developing brood within the host (Byers and Wood 1980). Volatiles from several nonhosts  
169 sympatric with ponderosa pine have been demonstrated to inhibit attraction of WPB to its aggregation  
170 pheromones (Fettig et al. 2005, Shepherd et al. 2007). In California, WPB generally has 2-3 generations  
171 in a single year and can often out-compete other primary bark beetles such as the mountain pine beetle in  
172 ponderosa pines, especially in larger trees (Miller and Keen 1960).

### 173 **Aerial data collection and processing**

174 Nadir-facing imagery was captured using a gimbal-stabilized DJI Zenmuse X3 broad-band red/green/blue  
175 (RGB) camera (DJI 2015a) and a fixed-mounted Micasense Rededge3 multispectral camera with five narrow  
176 bands (Micasense 2015) on a DJI Matrice 100 aircraft (DJI 2015b). Imagery was captured from both cameras  
177 along preprogrammed aerial transects over ~40 ha surrounding each of the 32 sites (each of these containing  
178 five field plots) and was processed in a series of steps to yield local forest structure and composition data  
179 suitable for our statistical analyses. All images were captured in 2018 during a 3-month period between  
180 early April and early July, and thus our work represents a postmortem investigation into the drivers of  
181 cumulative tree mortality. Following the call by Wyngaard et al. (2019), we establish “data product levels”  
182 to reflect the image processing pipeline from raw imagery (Level 0) to calibrated, fine-scale forest structure  
183 and composition information on regular grids (Level 4), with each new data level derived from levels below  
184 it. Here, we outline the steps in the processing and calibration pipeline visualized in Figure 2, and include  
185 additional details in the Supplemental Information.

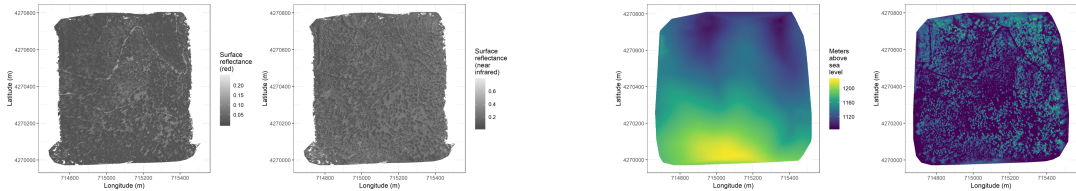
## Level 0: raw data from sensors



## Level 1: basic outputs from photogrammetric processing



## Level 2: corrected outputs from photogrammetric processing

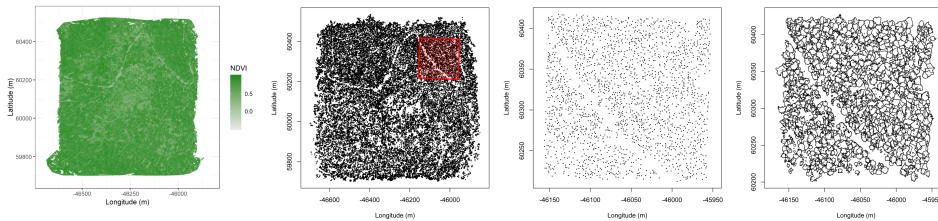


radiometric (e.g., normalize for atmosphere)

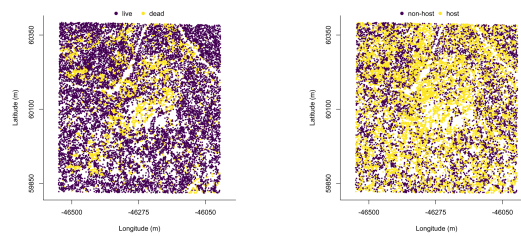
geometric (e.g., normalize for terrain)

## Level 3: domain-specific information extraction

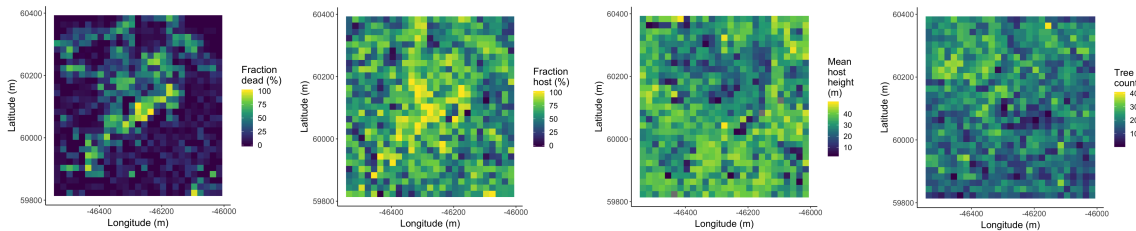
L3a  
spectral  
OR  
geometric



L3b  
spectral  
AND  
geometric



## Level 4: aggregations to regular grids





187 Figure 2. Schematic of the data processing workflow for a single site with each new data product level derived  
188 from data at lower levels.

189 Level 0 represents raw data from the sensors. From left to right: example broad-band RGB photo from  
190 DJI Zenmuse X3 camera, example blue photo from Rededge3 (centered on 475nm), example green photo  
191 from Rededge3 (centered on 560nm), example red photo from Rededge3 (centered on 668nm), example near  
192 infrared photo from Rededge3 (centered on 840nm), and example red edge photo from Rededge3 (centered on  
193 717nm).

194 Level 1 represents basic outputs from the photogrammetric workflow, in this case implemented with  
195 Pix4Dmapper. From left to right: a dense point cloud visualized in CloudCompare (<https://www.danielgm.net/cc/>), an orthophoto generated from the RGB camera, and a digital surface model representing the  
196 altitude above sea level (ground height + vegetation height) for every cell.  
197

198 Level 2 represents outputs from photogrammetric processing that have been corrected radiometrically or  
199 geometrically. From left to right: a radiometrically-corrected surface reflectance map of the red narrow band  
200 from the Rededge3 camera, a radiometrically-corrected surface reflectance map of the near infrared narrow  
201 band from the Rededge3 camera, a rasterized version of the digital terrain model derived by a geometric  
202 correction of the dense point cloud, and a canopy height model derived by subtracting the terrain height  
203 from the digital surface model.

204 Level 3 represents domain-specific information extraction from Level 2 products and is divided into two  
205 sub-levels. Level 3a products are derived using only spectral or only geometric data. From left to right: a  
206 reflectance map of Normalized Difference Vegetation Index (NDVI; Rouse et al. 1973) derived using the red  
207 and near infrared Level 2 reflectance products, a map of points representing detected trees from the canopy  
208 height model with a red polygon highlighting the area presented in more detail for the next two images, a  
209 close-up of points representing detected trees, and a close-up of polygons representing segmented tree crowns.  
210 Level 3b products are derived using both spectral and geometric data. From left to right: a map of the point  
211 locations of detected trees that have been classified as alive or dead based on the pixel values within each  
212 segmented tree crown and a map of the point locations of detected trees classified to WPB host/non-host  
213 using the same spectral information. Note that our study relies on the generation of Level 3a products in  
214 order to combine them and create Level 3b products, but this need not be the case. For instance, deep  
215 learning/neural net methods may be able to use both the spectral and geometric information from Level 2  
216 simultaneously to locate and classify trees in a scene and directly generate Level 3b products without a need  
217 to first generate the Level 3a products shown in this schematic (Weinstein et al. 2019, dos Santos et al. 2019).

218 Level 4 represents aggregations of Level 3 products to regular grids which might better reflect the grain size  
219 of the data for which we have the best calibration and thus the most confidence or which might provide  
220 new information not possible at an individual-tree level (e.g., average distance between trees in a small  
221 neighborhood). From left to right: aggregation of live/dead classified trees as fraction of dead trees in a 20 x  
222 20-m cell, aggregation of host/non-host classified trees as fraction of hosts in a 20 x 20-m cell, aggregation of  
223 mean host height in a 20 x 20-m cell, and aggregation of tree count (including all species), in a 20 x 20-m  
224 cell. In our case, the 20 x 20-m aggregation produces a grid cell with an area of 400 m<sup>2</sup>, which most closely  
225 matches the 404-m<sup>2</sup> area of the ground-based vegetation plots whose data we used in an aggregated form to  
226 calibrate our derivation of Level 3 products.

### 227 **Level 0: Raw data from sensors**

228 Raw data comprised approximately 1900 images per camera lens (one broad-band RGB lens and five narrow-  
229 band multispectral lenses) for each of the 32 sites (Figure 2; Level 0). Prior to the aerial survey, two strips of  
230 bright orange drop cloth (~100 x 15 cm) were positioned as an “X” over the permanent monuments marking  
231 the center of the 5 field plots from Fettig et al. (2019) (see Supplemental Information).

232 We preprogrammed north-south aerial transects using Map Pilot for DJI on iOS flight software (Drones-  
233 MadeEasy 2018) at an altitude of 120 m above ground level (with “ground” defined using a 1-arc-second  
234 digital elevation model (Farr et al. 2007)). The resulting ground sampling distance was approximately 5  
235 cm/px for the Zenmuse X3 RGB camera and approximately 8 cm/px for the Rededge3 multispectral camera.  
236 We used 91.6% image overlap (both forward and side) at the ground for the Zenmuse X3 RGB camera and  
237 83.9% overlap (forward and side) for the Rededge3 multispectral camera.

### 238 **Level 1: Basic outputs from photogrammetric processing**

239 We used SfM photogrammetry implemented in Pix4Dmapper Cloud ([www.pix4d.com](http://www.pix4d.com)) to generate dense point  
240 clouds (Figure 2; Level 1, left), orthophotos (Figure 2; Level 1, center), and digital surface models (Figure 2;  
241 Level 1, right) for each field site (Frey et al. 2018). For 29 sites, we processed the Rededge3 multispectral  
242 imagery alone to generate these products. For three sites, we processed the RGB and the multispectral  
243 imagery together to enhance the point density of the dense point cloud. All SfM projects resulted in a single  
244 processing “block,” indicating that all images in the project were optimized and processed together. The  
245 dense point cloud represents x, y, and z coordinates as well as the color of millions of points per site. The  
246 orthophoto represents a radiometrically uncalibrated, top-down view of the survey site that preserves the  
247 relative x-y positions of objects in the scene. The digital surface model is a rasterized version of the dense

248 point cloud that shows the altitude above sea level for each pixel in the scene at the ground sampling distance  
249 of the camera that generated the Level 0 data.

## 250 **Level 2: Corrected outputs from photogrammetric processing**

### 251 **Radiometric corrections**

252 A radiometrically-corrected reflectance map (Figure 2; Level 2, left two figures; i.e., a corrected version of the  
253 Level 1 orthophoto) was generated using the Pix4D software by incorporating incoming light conditions for  
254 each narrow band of the Rededge3 camera (captured simultaneously with the Rededge3 camera using an  
255 integrated downwelling light sensor) as well as a pre-flight image of a calibration panel of known reflectance  
256 (see Supplemental Information for camera and calibration panel details).

### 257 **Geometric corrections**

258 We implemented a geometric correction to the Level 1 dense point cloud and digital surface model by  
259 normalizing these data for the terrain underneath the vegetation. We generated the digital terrain model  
260 representing the ground underneath the vegetation at 1-m resolution (Figure 2; Level 2, third image) by  
261 classifying each survey area’s dense point cloud into “ground” and “non-ground” points using a cloth simulation  
262 filter algorithm (Zhang et al. 2016) implemented in the `lidR` (Roussel et al. 2019) package and rasterizing  
263 the ground points using the `raster` package (Hijmans et al. 2019). We generated a canopy height model  
264 (Figure 2; Level 2, fourth image) by subtracting the digital terrain model from the digital surface model.

## 265 **Level 3: Domain-specific information extraction**

### 266 **Level 3a: Data derived from spectral OR geometric Level 2 product**

267 Using just the spectral information from the radiometrically-corrected reflectance maps, we calculated several  
268 vegetation indices including the normalized difference vegetation index (NDVI; Rouse et al. (1973); Figure  
269 2; Level 3a, first image), the normalized difference red edge (NDRE; Gitelson and Merzlyak (1994)), the  
270 red-green index (RGI; Coops et al. (2006)), the red edge chlorophyll index ( $CI_{\text{red edge}}$ ; Clevers and Gitelson  
271 (2013)), and the green chlorophyll index ( $CI_{\text{green}}$ ; Clevers and Gitelson (2013)).

Table 1: Algorithm name, number of parameter sets tested for each algorithm, and references.

Algorithm	Parameter sets tested	Reference(s)
li2012	131	Li et al. (2012); Jakubowski et al. (2013); Shin et al. (2018)

Algorithm	Parameter sets tested	Reference(s)
lmax	30	Roussel (2019)
localMaxima	6	Roussel et al. (2019)
multichm	1	Eysn et al. (2015)
ptrees	3	Vega et al. (2014)
vwf	3	Plowright (2018)
watershed	3	Pau et al. (2010)

272 Using just the geometric information from the canopy height model or terrain-normalized dense point cloud,  
 273 we generated maps of detected trees (Figure 2; Level 3a, second and third images) by testing a total of 7  
 274 automatic tree detection algorithms and a total of 177 parameter sets (Table 1). We used the field plot data  
 275 to assess each tree detection algorithm/parameter set by converting the distance-from-center and azimuth  
 276 measurements of the trees in the field plots to x-y positions relative to the field plot centers distinguishable in  
 277 the Level 2 reflectance maps as the orange fabric X’s that we laid out prior to each flight. In the reflectance  
 278 maps, we located 110 out of 160 field plot centers while some plot centers were obscured due to dense  
 279 interlocking tree crowns or because a plot center was located directly under a single tree crown. For each of  
 280 the 110 field plots with identifiable plot centers– the “validation field plots”, we calculated 7 forest structure  
 281 metrics using the ground data collected by Fettig et al. (2019): total number of trees, number of trees greater  
 282 than 15 m in height, mean height of trees, 25<sup>th</sup> percentile tree height, 75<sup>th</sup> percentile tree height, mean  
 283 distance to nearest tree neighbor, and mean distance to second nearest neighbor. For each tree detection  
 284 algorithm and parameter set described above, we calculated the same set of 7 structure metrics within the  
 285 footprint of the validation field plots. We calculated the Pearson’s correlation and root mean square error  
 286 (RMSE) between the ground data and the aerial data for each of the 7 structure metrics for each of the 177  
 287 automatic tree detection algorithms/parameter sets. For each algorithm and parameter set, we calculated its  
 288 performance relative to other algorithms as whether its Pearson’s correlation was within 5% of the highest  
 289 Pearson’s correlation as well as whether its RMSE was within 5% of the lowest RMSE. We summed the  
 290 number of forest structure metrics for which it reached these 5% thresholds for each algorithm/parameter  
 291 set. For automatically detecting trees across the whole study, we selected the algorithm/parameter set that  
 292 performed well across the most number of forest metrics (see Results).

293 We delineated individual tree crowns (Figure 2; Level 3a, fourth image) with a marker controlled watershed  
 294 segmentation algorithm (Meyer and Beucher 1990) implemented in the `ForestTools` package (Plowright

295 2018) using the detected treetops as markers. If the automatic segmentation algorithm failed to generate  
296 a crown segment for a detected tree (e.g., often snags with a very small crown footprint), a circular crown  
297 was generated with a radius of 0.5 m. If the segmentation generated multiple polygons for a single detected  
298 tree, only the polygon containing the detected tree was retained. Because image overlap decreases near the  
299 edges of the overall flight path and reduces the quality of the SfM processing in those areas, we excluded  
300 segmented crowns within 35 m of the edge of the survey area. Given the narrower field of view of the Rededge3  
301 multispectral camera versus the X3 RGB camera whose optical parameters were used to define the ~40 ha  
302 survey area around each site, as well as the 35 m additional buffering, the survey area at each site was ~30  
303 ha (see Supplemental Information).

### 304 **Level 3b: Data derived from spectral AND geometric information**

305 We overlaid the segmented crowns on the reflectance maps from 20 sites spanning the latitudinal and elevation  
306 gradient in the study. Using QGIS (<https://qgis.org/en/site/>), we hand classified 564 trees as live/dead  
307 (Figure 3) and as one of 5 dominant species in the study area (ponderosa pine, *Pinus lambertiana*, *Abies*  
308 *concolor*, *Calocedrus decurrens*, or *Quercus kelloggii*) using the mapped ground data as a guide. Each tree was  
309 further classified as “host” for ponderosa pine or “non-host” for all other species (Fettig 2016). We extracted  
310 all the pixel values within each segmented crown polygon from the five, Level 2 orthorectified reflectance  
311 maps (one per narrow band on the Rededge3 camera) as well as from the five, Level 3a vegetation index  
312 maps using the `velox` package (Hunziker 2017). For each crown polygon, we calculated the mean value of  
313 the extracted Level 2 and Level 3a pixels and used them as ten independent variables in a five-fold cross  
314 validated boosted logistic regression model to predict whether the hand classified trees were alive or dead.  
315 For just the living trees, we similarly used all 10 mean reflectance values per crown polygon to predict tree  
316 species using a five-fold cross validated regularized discriminant analysis. The boosted logistic regression and  
317 regularized discriminant analysis were implemented using the `caret` package in R (Kuhn 2008). We used  
318 these models to classify all tree crowns in the data set as alive or dead (Figure 2; Level 3b, first image) as  
319 well as the species of living trees (Figure 2; Level 3b, second image). Finally, we estimated the basal area of  
320 each tree from their photogrammetry-derived height using species-specific simple linear regressions of the  
321 relationship between height and DBH as measured in the coincident field plots from Fettig et al. (2019).

### 322 **Level 4: Aggregations to regular grids**

323 We rasterized the forest structure and composition data at a spatial resolution similar to that of the field  
324 plots to better match the grain size at which we validated the automatic tree detection algorithms. In each  
325 raster cell, we calculated: number of dead trees, number of ponderosa pine trees, total number of trees, and

326 mean height of ponderosa pine trees. The values of these variables in each grid cell and derivatives from  
327 them were used for visualization and modeling. Here, we show the fraction of dead trees per cell (Figure 2;  
328 Level 4, first image), the fraction of host trees per cell (Figure 2; Level 4, second image), the mean height of  
329 ponderosa pine trees in each cell (Figure 2; Level 4, third image), and the total count of trees per cell (Figure  
330 2; Level 4, fourth image).

### 331 **Note on assumptions about dead trees**

332 For the purposes of this study, we assumed that all dead trees were ponderosa pine and thus hosts colonized  
333 by WPB. This is a reasonably good assumption for our study area; for example, Fettig et al. (2019) found  
334 that 73.4% of dead trees in their coincident field plots were ponderosa pine. Mortality was concentrated in  
335 the larger-diameter classes and attributed primarily to WPB (see Figure 5 of Fettig et al. 2019). The species  
336 contributing to the next highest proportion of dead trees was incense cedar which represented 18.72% of the  
337 dead trees in the field plots. While the detected mortality is most likely to be ponderosa pine killed by WPB,  
338 it is critical to interpret our results with these limitations in mind.

### 339 **Environmental data**

340 We used CWD (Stephenson 1998) from the 1981-2010 mean value of the basin characterization model (Flint  
341 et al. 2013) as an integrated measure of historic temperature and moisture conditions for each of the 32 sites.  
342 Higher values of CWD correspond to historically hotter, drier conditions and lower values correspond to  
343 historically cooler, wetter conditions. CWD has been shown to correlate well with broad patterns of tree  
344 mortality in the Sierra Nevada (Young et al. 2017) as well as bark beetle-induced tree mortality (Millar  
345 et al. 2012). The forests along the entire CWD gradient used in this study experienced exceptional hot  
346 drought between 2012 to 2015 with a severity of at least a 1,200-year event, and perhaps more severe than  
347 a 10,000-year event (Griffin and Anchukaitis 2014, Robeson 2015). We converted the CWD value for each  
348 site into a z-score representing that site's deviation from the mean CWD across the climatic range of Sierra  
349 Nevada ponderosa pine as determined from 179 herbarium records described in Baldwin et al. (2017). Thus,  
350 a CWD z-score of 1 would indicate that the CWD at that site is one standard deviation hotter/drier than  
351 the mean CWD across all geolocated herbarium records for ponderosa pine in the Sierra Nevada.

### 352 **Statistical model**

353 We used a generalized linear model with a zero-inflated binomial response and a logit link to predict the  
354 probability of ponderosa pine mortality within each 20 x 20-m cell using the total number of ponderosa  
355 pine trees in each cell as the number of trials, and the number of dead trees in each cell as the number of

356 “successes”. As covariates, we used the proportion of trees that are WPB hosts (i.e., ponderosa pine) in each  
357 cell, the mean height of ponderosa pine trees in each cell, the count of trees of all species (overall density) in  
358 each cell, and the site-level CWD using Eq. 1. Note that the two-way interaction between the overall density  
359 and the proportion of trees that are hosts is directly proportional to the number of ponderosa pine trees in  
360 the cell. We centered and scaled all predictor values, and used weakly-regularizing default priors from the  
361 `brms` package (Bürkner 2017). To measure and account for spatial autocorrelation underlying ponderosa pine  
362 mortality, we subsampled the data at each site to a random selection of 200, 20 x 20-m cells representing  
363 approximately 27.5% of the surveyed area. Additionally with these subsampled data, we included a separate  
364 exact Gaussian process term per site of the noncentered/nonscaled interaction between the x- and y-position  
365 of each cell using the `gp()` function in the `brms` package (Bürkner 2017). The Gaussian process estimates the  
366 spatial covariance in the response variable (log-odds of ponderosa pine mortality) jointly with the effects of  
367 the other covariates.

$$\begin{aligned}
y_{i,j} &\sim \begin{cases} 0, & p \\ \text{Binom}(n_i, \pi_i), & 1 - p \end{cases} \\
\text{logit}(\pi_i) &= \beta_0 + \\
&\beta_1 X_{cwd,j} + \beta_2 X_{propHost,i} + \beta_3 X_{PipoHeight,i} + \\
&\beta_4 X_{overallDensity,i} + \beta_5 X_{overallBA,i} + \\
&\beta_6 X_{cwd,j} X_{PipoHeight,i} + \beta_7 X_{cwd,j} X_{propHost,i} + \\
&\beta_8 X_{cwd,j} X_{overallDensity,i} + \beta_9 X_{cwd,j} X_{overallBA,i} + \\
&\beta_{10} X_{propHost,i} X_{PipoHeight,i} + \beta_{11} X_{propHost,i} X_{overallDensity,i} + \\
&\beta_{12} X_{cwd,j} X_{propHost,i} X_{PipoHeight,i} + \\
&\mathcal{GP}_j(x_i, y_i)
\end{aligned}$$

368 Where  $y_i$  is the number of dead trees in cell  $i$ ,  $n_i$  is the sum of the dead trees (assumed to be ponderosa pine)  
369 and live ponderosa pine trees in cell  $i$ ,  $\pi_i$  is the probability of ponderosa pine tree mortality in cell  $i$ ,  $p$  is the  
370 probability of there being zero dead trees in a cell arising as a result of an independent, unmodeled process,  
371  $X_{cwd,j}$  is the z-score of CWD for site  $j$ ,  $X_{propHost,i}$  is the scaled proportion of trees that are ponderosa pine  
372 in cell  $i$ ,  $X_{PipoHeight,i}$  is the scaled mean height of ponderosa pine trees in cell  $i$ ,  $X_{overallDensity,i}$  is the scaled  
373 density of all trees in cell  $i$ ,  $X_{overallBA,i}$  is the scaled basal area of all trees in cell  $i$ ,  $x_i$  and  $y_i$  are the x- and  
374 y- coordinates of the centroid of the cell in an EPSG3310 coordinate reference system, and  $\mathcal{GP}_j$  represents

375 the exact Gaussian process describing the spatial covariance between cells at site  $j$ .

376 We fit this model using the `brms` package (Bürkner 2017) which implements the No U-Turn Sampler extension  
377 to the Hamiltonian Monte Carlo algorithm (Hoffman and Gelman 2014) in the Stan programming language  
378 (Carpenter et al. 2017). We used 4 chains with 4000 iterations each (2000 warmup, 2000 samples), and  
379 confirmed chain convergence by ensuring all `Rhat` values were less than 1.1 (Brooks and Gelman 1998) and  
380 that the bulk and tail effective sample sizes (ESS) for each estimated parameter were greater than 100 times  
381 the number of chains (i.e., greater than 400 in our case). We used posterior predictive checks to visually  
382 confirm model performance by overlaying the density curves of the predicted number of dead trees per cell  
383 over the observed number (Gabry et al. 2019). For the posterior predictive checks, we used 50 random  
384 samples from the model fit to generate 50 density curves and ensured curves were centered on the observed  
385 distribution, paying special attention to model performance at capturing counts of zero.

### 386 **Software and data availability**

387 All data are available via the Open Science Framework. Statistical analyses were performed using the `brms`  
388 packages. With the exception of the SfM software (Pix4Dmapper Cloud) and the GIS software QGIS, all  
389 data carpentry and analyses were performed using R (R Core Team 2018).

## 390 **Results**

### 391 **Tree detection algorithm performance**

392 We found that the experimental `lmfx` algorithm with parameter values of `dist2d = 1` and `ws = 2.5` (Roussel  
393 et al. 2019) performed the best across 7 measures of forest structure as measured by Pearson’s correlation  
394 with ground data (Table 2).

Table 2: Correlation and differences between the best performing tree detection algorithm (`lmfx` with `dist2d = 1` and `ws = 2.5`) and the ground data. An asterisk next to the correlation or RMSE indicates that this value was within 5% of the value of the best-performing algorithm/parameter set. Ground mean represents the mean value of the forest metric across the 110 field plots that were visible from the sUAS-derived imagery. The median error is calculated as the median of the differences between the air and ground values for the 110 visible plots. Thus, a positive number indicates an overestimate by the sUAS workflow and a negative number indicates an underestimate.

Forest structure metric	Ground mean	Correlation with ground	RMSE	Median error
total tree count	19	0.67*	8.68*	2
count of trees > 15 m	9.9	0.43	7.38	0
distance to 1st neighbor (m)	2.8	0.55*	1.16*	0.26



Forest structure metric	Ground mean	Correlation with ground	RMSE	Median error
distance to 2nd neighbor (m)	4.3	0.61*	1.70*	0.12
height (m); 25 <sup>th</sup> percentile	12	0.16	8.46	-1.2
height (m); mean	18	0.29	7.81*	-2.3
height (m); 75 <sup>th</sup> percentile	25	0.35	10.33*	-4

### 395 **Classification accuracy for live/dead and host/non-host**

396 The accuracy of live/dead classification on a withheld test dataset was 96.4%. The accuracy of species  
 397 classification on a withheld testing dataset was 64.1%. The accuracy of WPB host/non-WPB-host (i.e.,  
 398 ponderosa pine versus other tree species) on a withheld testing dataset was 71.8%.

### 399 **Site summary based on best tree detection algorithm and classification**

400 Across all study sites, we detected, segmented, and classified 452,413 trees. Of these trees, we classified  
 401 118,879 as dead (26.3% mortality). Estimated site-level tree mortality ranged from 6.8% to 53.6%. See  
 402 Supplemental Information for site summaries and comparisons to site-level mortality measured from field  
 403 data.

### 404 **Effect of local structure and regional climate on tree mortality attributed to western pine** 405 **beetle**

406 Site-level CWD exerted a positive, but relatively weak, main effect on the probability of ponderosa mortality  
 407 (effect size: 0.16; 95% CI: [0.03, 0.30]; Figure 4). We found a positive main effect of proportion of host trees  
 408 per cell (effect size: 0.76; 95% CI: [0.70, 0.82]), with a greater proportion of host trees (i.e., ponderosa pine)  
 409 in a cell increasing the probability of ponderosa pine mortality. We detected no effect of overall tree density  
 410 nor overall basal area (i.e., including both ponderosa pine and non-host species; tree density effect size: -0.05;  
 411 95% CI: [-0.13, 0.03]; basal area effect size: 0.00; 95% CI: [-0.11, 0.11]).

412 We found a positive two-way interaction between the overall tree density per cell and the proportion of trees  
 413 that were hosts, which is equivalent to a positive effect of the density of host trees (effect size: 0.08; 95% CI:  
 414 [0.03, 0.13]; Figure 4).

415 We found a negative effect of mean height of ponderosa pine on the probability of ponderosa mortality,  
 416 suggesting that WPB attacked smaller trees, on average (effect size: -0.40; 95% CI: [-0.50, -0.30]). However,  
 417 there was a positive interaction between CWD and ponderosa pine mean height, such that larger trees were

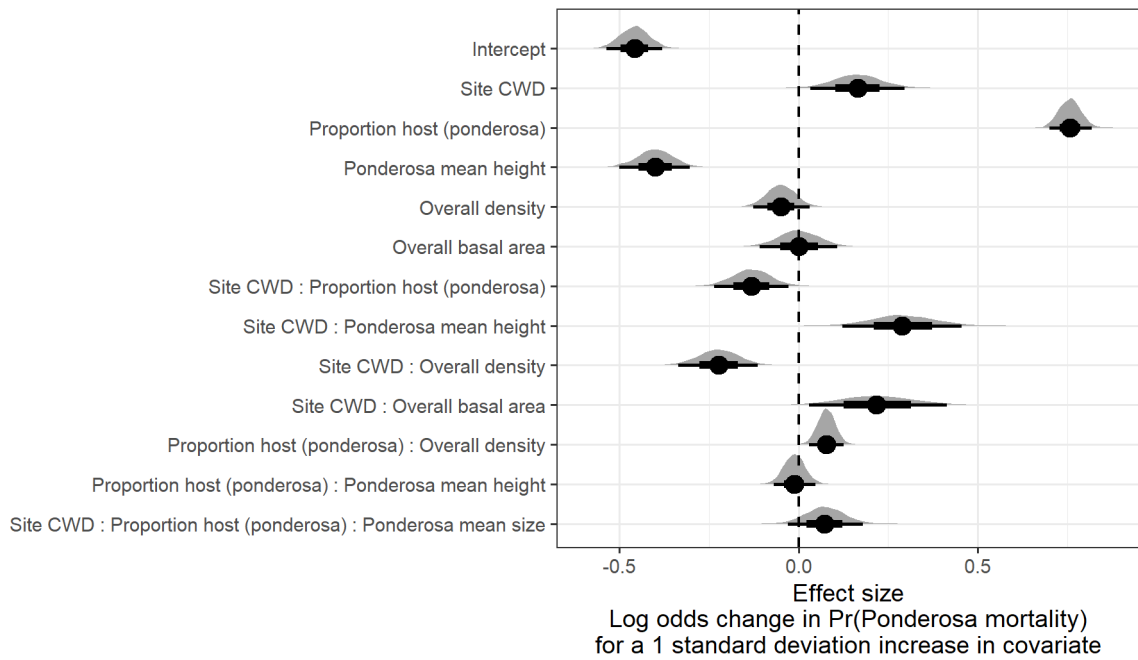


Figure 4: Posterior distributions of effect size from zero-inflated binomial model predicting the probability of ponderosa pine mortality in a 20 x 20-m cell given forest structure characteristics and site-level climatic water deficit (CWD). The gray filled area for each model covariate represents the probability density of the posterior distribution, the point underneath each density curve represents the median of the estimate, the bold interval surrounding the point estimate represents the 66% credible interval, and the thin interval surrounding the point estimate represents the 95% credible interval.

418 more likely to increase the local probability of ponderosa mortality in hotter, drier sites (effect size: 0.29;  
419 95% CI: [0.12, 0.46]; Figure 5).

420 We found weakly negative effects of the site-level CWD interactions with both the proportion of host trees  
421 and overall tree density (CWD/proportion host interaction effect size: -0.13; 95% CI: [-0.23, -0.03]; Figure 4;  
422 CWD/overall tree density interaction effect size: -0.22; 95% CI: [-0.34, -0.11]; Figure 4; Figure 5). We found  
423 a positive effect of the interaction between CWD and total basal area (effect size: 0.22; 95% CI: [0.03, 0.42];  
424 Figure 4; Figure 5).

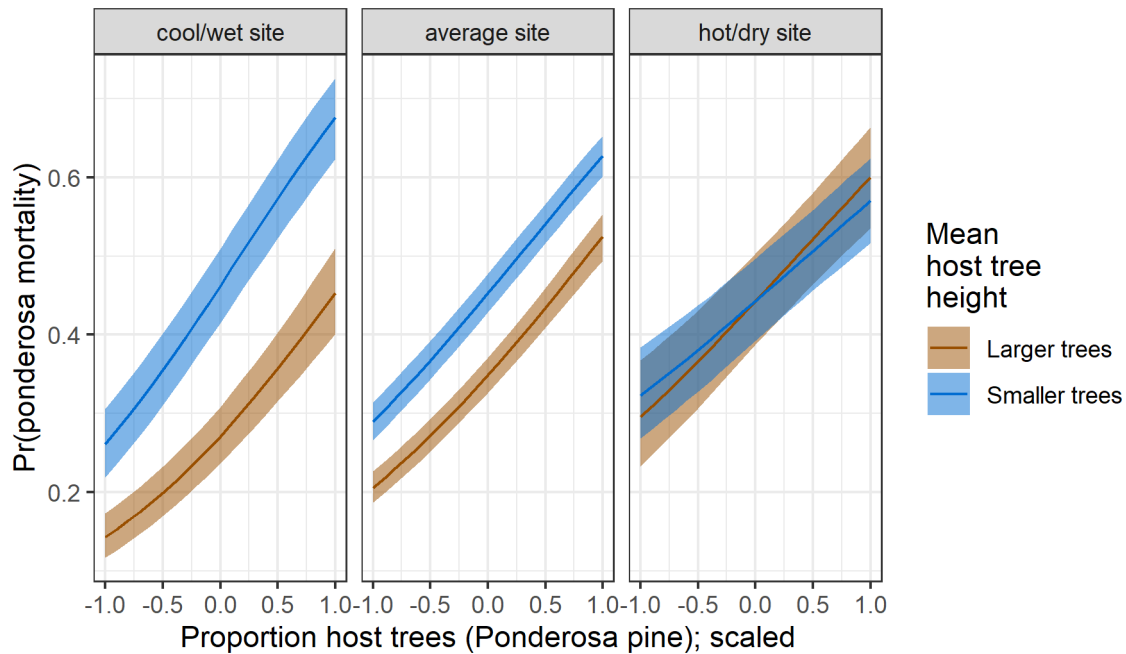


Figure 5: Line version of model results with 95% credible intervals showing primary influence of ponderosa pine structure on the probability of ponderosa pine mortality, and the interaction across climatic water deficit. The ‘larger trees’ line represents the mean height of ponderosa pine 0.7 standard deviations above the mean (approximately 24.1 m), and the ‘smaller trees’ line represents the mean height of ponderosa pine 0.7 standard deviations below the mean (approximately 12.1 m).

## 425 Discussion

426 This study represents a novel use of drones to refine our understanding of the patterns of tree mortality  
427 following the 2012 to 2015 California hot drought and its aftermath. By simultaneously measuring the effects  
428 of local forest structure and composition with broad-scale environmental gradients, we were able to better  
429 characterize the disturbance amplifying effect of a tree-killing insect, the WPB, compared to using correlates  
430 of tree stress alone.

431 **Weak positive main effect of CWD**

432 We found only a relatively weak positive effect of site-level CWD on ponderosa pine mortality rate. To that  
433 end, we did not measure tree water stress at an individual tree level as in other recent work (Stephenson et  
434 al. 2019), and instead treated CWD as a general indicator of tree stress following results of coarser-scale  
435 studies (e.g., Young et al. 2017), which may have contributed to our failure to detect a stronger CWD effect.  
436 When measured at a fine scale, even if not at an individual tree level, progressive canopy water loss can be  
437 a good indicator of tree water stress and increased vulnerability to mortality from drought or bark beetles  
438 (Brodrick and Asner 2017). Our entire study area experienced exceptional hot drought between 2012 and  
439 2015 (Griffin and Anchukaitis 2014, Robeson 2015) and the variation of mortality explained by a main effect  
440 of CWD may be dampened when most trees are experiencing a high degree of water stress (Floyd et al. 2009,  
441 Fettig et al. 2019). Importantly, using a 30-year historic average of CWD as a site-level indicator of tree  
442 stress doesn't allow us to disentangle whether water availability was lower in an absolute sense during the  
443 drought or whether increasing tree vulnerability to bark beetles was driven by chronic water stress at these  
444 historically hotter/drier sites (McDowell et al. 2008).

445 **Positive effect of host density and a negative effect of overall density**

446 A number of mechanisms associated with the relative abundance of species in a local area might underlie the  
447 strong effect of host proportion on the probability of host tree mortality. Frequency-dependent herbivory—  
448 whereby mixed-species forests experience less herbivory compared to monocultures (as an extreme example)—  
449 is common, especially for oligophagous insect species (Jactel and Brockerhoff 2007). Nonhost volatiles reduce  
450 attraction of several species of bark beetles to their aggregation pheromones (Seybold et al. 2018), including  
451 WPB (Fettig et al. 2005). Combinations of nonhost volatiles and an antiaggregation pheromone have been  
452 used successfully to reduce levels of tree mortality attributed to WPB in California (e.g., Fettig et al. 2008,  
453 2012). Hayes et al. (2009) and Fettig et al. (2019) found that measures of host availability explained less  
454 variation in mortality than measures of overall tree density. Those conclusions, however, were based on a  
455 response variable of “total number of dead host trees,” rather than the number of dead host trees conditional  
456 on the total number of host trees as in our study (i.e., a binomial response).

457 The negative relationship between overall tree density and the probability of ponderosa pine mortality  
458 corroborates findings of coincident ground plots (Fettig et al. 2019, in their analysis using proportion of trees  
459 killed as a response) and other work during the same hot drought (Restaino et al. 2019). In the absence of  
460 management, forest structure is largely a product of climate and, with increasing importance at finer spatial  
461 scales, topographic conditions (Fricker et al. 2019). Denser forest patches in our study may indicate greater

462 local water availability, more favorable conditions for tree growth and survivorship, and increased resistance  
463 to beetle-induced tree mortality (Ma et al. 2010, Restaino et al. 2019, Fricker et al. 2019). The negative  
464 two-way interaction between site CWD and overall density that amplifies the negative overall density effect  
465 in hotter, drier sites (effect size: -0.22; 95% CI: [-0.34, -0.11]) supports this explanation if greater local tree  
466 density implies especially favorable growing conditions (and locally resistant trees) when denser patches are  
467 found in hot, dry sites.

468 The positive relationship between host density and susceptibility to colonization by bark beetles has been so  
469 well-documented at the experimental plot level (e.g., Raffa and Berryman 1987, Oliver 1995) that lowering  
470 stand densities through selective harvest of hosts is commonly recommended for reducing future levels of tree  
471 mortality attributed to bark beetles (Fettig and Hilszczański 2015), including WPB (Fettig 2016). Greater  
472 host density shortens the flight distance required for WPB to disperse to new hosts, which likely facilitates  
473 bark beetle spread, however we calibrated our aerial tree detection to ~400 m<sup>2</sup> areas rather than to individual  
474 tree locations, so our data are insufficient to address these relationships. Increased density of ponderosa pine,  
475 specifically, may disproportionately increase the competitive environment for host trees (and thus increase  
476 their susceptibility to WPB colonization) if intraspecific competition amongst ponderosa pine trees is stronger  
477 than interspecific competition as would be predicted with coexistence theory (Chesson 2000). Finally, greater  
478 host densities increase the frequency that searching WPB land on hosts, rather than nonhosts, thus reducing  
479 the amount of energy expended during host finding and selection as well as the time that searching WPB  
480 spend exposed to a variety of predators outside the host tree.

#### 481 **Positive interaction effect of CWD and basal area**

482 While overall tree density is likely an indicator of favorable microsites in fire-suppressed forests, overall basal  
483 area is a better indicator of the local competitive environment especially in water-limited forests (Ma et al.  
484 2010, Fricker et al. 2019). While we found no main effect of overall basal area on the probability of ponderosa  
485 mortality, we did detect a clear interaction between site-level CWD and basal area such that mortality rates  
486 of ponderosa pine in hotter, drier sites were greater when local overall basal area was high. This is a similar  
487 interaction as found by Young et al. (2017), and we perhaps did not detect a similar main effect of basal  
488 area as Young et al. (2017) because we partitioned this overall effect into the influence of finer-scale forest  
489 structure and composition (e.g., number of host trees).

490 **Negative main effect of host tree mean size, but strong positive interaction with site CWD**

491 The negative main effect of host tree mean size was surprising, and appears to contradict long-standing  
492 wisdom on the dynamics of WPB in the Sierra Nevada. WPB exhibit a preference for trees 50.8 to 76.2  
493 cm DBH (Person 1928, 1931), and a positive relationship between host tree size and levels of tree mortality  
494 attributed to WPB was reported by Fettig et al. (2019) in the coincident field plots as well as in other  
495 recent studies (Restaino et al. 2019, Stephenson et al. 2019, Pile et al. 2019). Indeed, Fettig et al. (2019)  
496 attributed no mortality to WPB in ponderosa pine trees <10.0 cm DBH and found no tree size/mortality  
497 relationship for incense cedar or white fir in the coincident field plots. These species represent 22.3% of the  
498 total tree mortality observed in their study, yet in our study all dead trees were classified as ponderosa pine  
499 (see Methods) which could dampen the positive effect of tree size on tree mortality. Larger trees are more  
500 nutritious and are therefore ideal targets if local bark beetle density is high enough to successfully initiate  
501 mass attack and overwhelm tree defenses, as can occur when many trees are under severe water stress (Bentz  
502 et al. 2010, Boone et al. 2011, Kolb et al. 2016). In the recent hot drought, we expected that most trees  
503 would be under severe water stress, setting the stage for increasing beetle density, successful mass attacks,  
504 and targeting of larger trees. A possible explanation for our finding counter to this expectation is that our  
505 observations represent the cumulative mortality of trees during a multi-year drought event and its aftermath.  
506 Lower host tree mean size led to a greater probability of host mortality earlier in this drought (Pile et al.  
507 2019, Stovall et al. 2019) and that signal might have persisted even as mortality continued to accumulate  
508 driven by other factors. Another explanation may be that our extensive sampling design better captured the  
509 contagious process by which bark beetles colonize smaller, suboptimal trees in the vicinity of the larger, more  
510 desirable trees that are the focus of initial attack (e.g., Klein et al. 1978). If larger, desirable trees tend to  
511 be associated with a greater local density of smaller trees that are also colonized in this contagious process,  
512 then we might observe a negative relationship between tree size and ponderosa mortality rates. Finally, tree  
513 growth rates may be a better predictor of susceptibility to WPB colonization than tree size per se, with  
514 slower-growing trees being most vulnerable (Miller and Keen 1960). While slow-growing trees are often also  
515 the largest trees, this may not be the case for our study sites especially given the legacy of fire suppression  
516 in the Sierra Nevada and its effect of perturbing forest structure far outside its natural range of variation  
517 (Safford and Stevens 2017).

518 In hot, dry sites, larger average host size increased the probability of host mortality while smaller host sizes  
519 increased the probability of host mortality in cooler, wetter sites. Notably, a similar pattern was shown  
520 by Stovall et al. (2019) with a strong positive tree height/mortality relationship in areas with the greatest  
521 vapor pressure deficit and no tree height/mortality relationship in areas with the lowest vapor pressure

522 deficit. Stovall et al. (2019) did not observe that this environmental dependence extended to a negative tree  
523 height/mortality relationship (as we did) even at the lowest extremes of their vapor pressure deficit gradient,  
524 perhaps because their entire study took place in the southern Sierra Nevada which represents a hotter, drier  
525 portion of the more spatially extensive results we present here. Our work suggests that the WPB was cueing  
526 into different aspects of forest structure across an environmental gradient in a spatial context in a parallel  
527 manner to the temporal context noted by Stovall et al. (2019) and Pile et al. (2019), who observed that  
528 mortality was increasingly driven by larger trees as the hot drought proceeded and became more severe.

529 All of our sites were considered in an “epidemic” population phase for WPB (>5 trees killed per ha; see  
530 Supplemental Information; Miller and Keen 1960, Hayes et al. 2009), but our results challenge the notion  
531 that outbreak behavior by the WPB and subsequent tree mortality is always driven by greater tree size.  
532 Despite a strong tree size/mortality relationship in coincident ground plots across our study area (Fettig et al.  
533 2019), our results from surveying the broader context surrounding those ground plots reveals different effects  
534 of host tree size depending on CWD. Thus, it is possible that the massive tree mortality in hotter/drier  
535 Sierra Nevada forests (lower latitudes and elevations; Asner et al. 2016, Young et al. 2017) during the 2012  
536 to 2015 hot drought arose as a synergistic alignment of environmental conditions and local forest structure  
537 that allowed WPB to successfully colonize large trees, rapidly increase in population size, and expand. The  
538 unexpectedly low mortality in cooler/wetter Sierra Nevada forests compared to model predictions based on  
539 coarser-scale forest structure data (Young et al. 2017) may result from a different WPB response to local  
540 forest structure due to a lack of an alignment with favorable climate conditions.

## 541 **Limitations and future directions**

542 We have demonstrated that drones can be effective means of collecting forest data at multiple, vastly different  
543 spatial scales to investigate a single, multi-scale phenomenon– from meters in between trees, to hundreds of  
544 meters of elevation, to hundreds of thousands of meters of latitude. Some limitations remain, but can be  
545 overcome with further refinements in the use of this tool for forest ecology. Most of these limitations arise  
546 from tree detection and classification uncertainty, making it imperative to work with field data for calibration  
547 and uncertainty reporting.

548 The greatest limitation in our study arising from classification uncertainty is in the assumption that all dead  
549 trees were ponderosa pine, which we estimate from coincident field plots is true approximately 73.4% of  
550 the time. Because the forest structure factors influencing the likelihood of individual tree mortality during  
551 the hot drought depended on tree species (Stephenson et al. 2019), we cannot rule out that some of the  
552 ponderosa pine mortality relationships to forest structure that we observed may be partially explained by

553 those relationships in other species that were misclassified as ponderosa pine using our methods. However,  
554 the overall community composition across our study area was similar (Fettig et al. 2019) and we are able to  
555 reproduce similar forest structure/mortality patterns in drone-derived data when restricting the scope of  
556 analysis to only trees detected in the footprints of the coincident field plots, but with dramatically different  
557 patterns observed when including data from the forest surrounding the coincident field plots (see Supplemental  
558 information). Thus, we remain confident that the patterns we observed were driven primarily by the dynamic  
559 between WPB and ponderosa pine. While spectral information of foliage could help classify living trees to  
560 species, the species of standing dead trees were not spectrally distinct. This challenge of classifying standing  
561 dead trees to species implies that a conifer forest system with less bark beetle and tree host diversity, such  
562 as mountain pine beetle outbreaks in monocultures of lodgepole pine in the Intermountain West, should be  
563 particularly amenable to the methods presented here even with minimal further refinement because dead  
564 trees will almost certainly belong to a single species and have succumbed to colonization by a single bark  
565 beetle species.

566 Some uncertainty surrounded our ability to detect trees using the geometry of the dense point clouds derived  
567 with SfM. The horizontal accuracy of the tree detection was better than the vertical accuracy, which may  
568 result from a more significant error contribution by the field-based calculations of tree height compared to  
569 tree position relative to plot center (Table 2). Both the horizontal and vertical accuracy would likely improve  
570 with better SfM point clouds, which can be enhanced with greater overlap between images (Frey et al. 2018)  
571 or with oblique (i.e., off-nadir) imagery (James and Robson 2014). Frey et al. (2018) found that 95% overlap  
572 was preferable for generating dense point clouds in forested areas, and James and Robson (2014) reduced  
573 dense point cloud errors using imagery taken at 30 degrees off-nadir. We only achieved 91.6% overlap with  
574 the X3 RGB camera and 83.9% overlap with the multispectral camera, and all imagery was nadir-facing.  
575 While our live/dead classification was fairly accurate (96.4% on a withheld dataset), our species classifier  
576 would likely benefit from better crown segmentation because the pixel-level reflectance values within each  
577 crown are averaged to characterize the “spectral signature” of each tree. With better delineation of each  
578 tree crown, the mean value of pixels within each tree crown will likely be more representative of that tree’s  
579 spectral signature. Better crown segmentation might most readily be achieved through greater overlap in  
580 imagery. We anticipate that computer vision and deep learning will prove helpful in overcoming some of  
581 these detection and classification challenges (Gray et al. 2019).

582 Finally, we note our study is constrained by using the probability of ponderosa mortality as our key response  
583 variable. This measure is well-suited to understanding the dynamics between WPB colonization behavior and  
584 host tree susceptibility, but may not capture impacts on the forest ecosystem and its services as well as a



585 measure of biomass reduction such as tree basal area.

## 586 **Conclusions**

587 Climate change adaptation strategies emphasize management action that considers whole-ecosystem responses  
588 to inevitable change (Millar et al. 2007), which requires a macroecological understanding of how phenomena at  
589 multiple scales can interact. Tree vulnerability to environmental stressors presents only a partial explanation  
590 for tree mortality patterns during hot droughts, especially when bark beetles are present. We've shown that  
591 drones can be a valuable tool for investigating multi-scalar phenomena, such as how local forest structure  
592 combines with environmental conditions to shape forest insect disturbance. Understanding the conditions  
593 that drive dry western U.S. forest responses to disturbances such as bark beetle outbreaks will be vital for  
594 predicting outcomes from increasing disturbance frequency and intensity exacerbated by climate change  
595 (Vose et al. 2018). Our study suggests that outcomes will depend on interactions between local forest  
596 structure and broad-scale environmental gradients, with the potential for cross-scale interactions to enhance  
597 our understanding of forest insect dynamics.

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