

1 **Survival and cause-specific mortality of harvested willow**  
2 **ptarmigan (*Lagopus lagopus*) in central Norway**

3 Markus Fjellstad Israelsen<sup>1,2</sup>, Lasse F. Eriksen<sup>1,2,3</sup>, Pål Fosslund Moa<sup>2</sup>, Bjørn Roar Hagen<sup>2</sup> and  
4 Erlend B. Nilsen<sup>1,3</sup>

5 <sup>1</sup>Terrestrial division, Norwegian Institute for Nature Research (NINA), Høgskoleringen 9, 7030  
6 Trondheim, Norway; <sup>2</sup>Faculty of Biosciences and Aquaculture, Nord University, Skolegata 22, 7713  
7 Steinkjer, Norway; and <sup>3</sup>Centre for Biodiversity Dynamics, Department of Biology, Norwegian  
8 University of Science and Technology, Trondheim, Norway

9 **Correspondence**

10 Erlend B. Nilsen, Department for Terrestrial Biodiversity, Norwegian Institute for Nature Research,  
11 Høgskoleringen 9, 7030 Trondheim, Norway. Email: [erlend.nilsen@nina.no](mailto:erlend.nilsen@nina.no)

12

13 **Abstract**

14 Survival is a key demographic component that often vary as a result of human activities such  
15 as recreational harvest. Detailed understanding of seasonal variation in mortality patterns and  
16 the role of various risk factors is thus crucial for understanding evolution of life histories, the  
17 link between environmental variation and wildlife population dynamics, and to design  
18 sustainable harvest management systems. Here, we report from a detailed seasonal and cause-  
19 specific decomposition of mortality risks in willow ptarmigan (*Lagopus lagopus*) in central  
20 Norway. The analyses are based on radio collared (n=188) birds that were monitored across  
21 all seasons, and we used time-to-event models for competing risks to estimate mortality  
22 patterns. Overall, annual survival was estimated at 0.43 (SE: 0.04), with no distinct difference  
23 between years or sex. Analysis of mortality risk factors revealed that on the annual basis, the  
24 risk of harvest mortality was lower than the risk of dying from natural causes. However,  
25 during the autumn harvest season (Sept. – Nov.), survival was low and the dominating cause  
26 of mortality was harvest. During winter (Dec. – Mar.) and spring seasons (Apr. - May),  
27 survival was in general high and did not vary between males and females. However, during  
28 the spring season juveniles (i.e. birds born last year) of both sexes had lower survival than  
29 adults, potentially because they are more prone to predation. During the summer season (June  
30 – Aug.) females experienced a higher hazard than males, underlining the greater parental  
31 investment of females during egg production, incubation and chick rearing compared to  
32 males. Our analyses provide unique insight into demographic and seasonal patterns in willow  
33 ptarmigan mortality risks in a harvested population, and revealed a complex interplay across  
34 seasons, risk factors and demographic classes. Such insight is valuable when designing  
35 sustainable management plans in a world undergoing massive environmental perturbations.

36

37 **Key words:** demography, grouse, harvest, cause-specific mortality, survival

38

39

## 40 Introduction

41 Population dynamics is driven by temporal and spatial fluctuations in demographic rates, that  
42 together determine the population growth rate  $\lambda$  (Sæther *et al.* 1999; Sæther & Bakke 2000).  
43 Both survival and reproductive output contribute to the observed variation, and their general  
44 contribution varies both in time and space (Sæther & Bakke 2000; Nilsen *et al.* 2009). In  
45 addition, research focusing on the evolution of life history strategies have found that species  
46 can be classified along a slow-fast continuum (Stearns 1983; Sæther & Bakke 2000; Bielby *et al.*  
47 *et al.* 2007). Generally, fast living species have low survival and high reproductive output,  
48 whereas slow living species have high survival rates and lower reproductive output (Sæther *et al.*  
49 *et al.* 2013). Species on opposite ends of the continuum also differ in the way age-specific  
50 survival contributes to the population growth rate (Sæther *et al.* 2013). The potential  
51 contribution of adult survival is higher in slow living species (Sæther & Bakke 2000),  
52 whereas the potential contribution of early life survival is higher in fast living species (Bielby  
53 *et al.* 2007). Annual mortality patterns are often very different for species on different ends of  
54 the continuum. Therefore, understanding the spatiotemporal variation in survival and cause-  
55 specific mortality rates are imperative for understanding the population dynamics of wildlife  
56 species (DelGiudice *et al.* 2002; Heisey & Patterson 2006; Murray 2006).

57 Previous studies have reported that demographic factors such as sex and age can significantly  
58 affect the survival probability (Shackell *et al.* 1994; Caizergues & Ellison 1997; Beauplet *et al.*  
59 *et al.* 2006) and mortality causes (Hannon, Gruys & Schieck 2003; Delgiudice *et al.* 2006;  
60 Chilvers & MacKenzie 2010; Asmyhr, Willebrand & Hörnell-Willebrand 2012) of a range of  
61 species. Moreover, in temporally variable environments mortality risk might vary through  
62 time (Gauthier *et al.* 2001; Crespin *et al.* 2002), and the ability to deal with unpredictable  
63 environmental conditions may vary between life stages (Delgiudice *et al.* 2006). For instance,  
64 adult survival is often reported to be higher and less variable than juvenile survival  
65 (Guillemain *et al.* 2013). Finally, in seasonal environments certain seasons within the year  
66 may also place more stress on one sex than the other, such as the energy demanding process  
67 of egg production (Nilsson & Råberg 2001) and incubation (Haftorn & Reinertsen 1985) for  
68 female birds or risky behavior undertaken by males in the mating season (Hannon, Gruys &  
69 Schieck 2003).

70 In wild vertebrate populations, individuals are typically facing competing risks from a range  
71 of different sources, and these sources might have different intensities in different times of the  
72 year. In exploited populations, previous studies have demonstrated that harvest-related  
73 mortality risks may be significantly higher than natural mortality risks in parts of the year  
74 (Toïgo *et al.* 2008). Harvest mortality is often assumed to be partially compensated through  
75 reduced natural mortality (Pedersen *et al.* 2004). However, this may only be true at low  
76 harvest rates, where harvest mortality above certain levels may be increasingly additive or  
77 even super-additive (Sandercock *et al.* 2011). Knowledge of such thresholds and any  
78 compensatory mechanisms is thus essential information for sustainable harvest management  
79 (Brøseth *et al.* 2005). For harvested wildlife populations, understanding the interplay between  
80 harvest induced mortality and other natural mortality sources is important in order to establish  
81 sustainable harvest strategies (Sandercock *et al.* 2011).

82 Willow ptarmigan (*Lagopus lagopus* L.) is a valued game species, and is hunted in many parts  
83 of its distributional range (Storch 2007), including Scandinavia (Aanes *et al.* 2002; Asmyhr,

84 Willebrand & Hörnell-Willebrand 2012). After a strong decline in population numbers, the  
85 willow ptarmigan was in 2015 classified as near threatened (NT) in the Norwegian Red List  
86 for Species (Henriksen & Hilmo 2015), fueling a debate of harvest effects on population  
87 development (Breisjøberget *et al.* 2018). This makes the Norwegian willow ptarmigan  
88 population a highly relevant case study for a detailed examination of variation in mortality  
89 patterns for a managed wildlife species. To this end, we used five years of telemetry data from  
90 central Norway to characterize annual and seasonal mortality risks for different sex- and age  
91 classes. In particular, we first (i) estimated annual survival rates for the different demographic  
92 groups in the population. Second, (ii) we decomposed the annual cycle into distinct seasons  
93 and assessed sex- and age- effects within seasons. Finally, (iii) we estimated the relative risk  
94 of natural and harvest induced risks using a competing risks formulation, and estimated  
95 seasonal patterns in hazard rates. In sum, these analyses will provide an important description  
96 of how different hazards shape the annual mortality patterns for different demographic groups  
97 in a wildlife population.

98

## 99 **Materials and methods**

### 100 **Study area**

101 The basis of our field study was two locations, Lifjellet (64°25' – 64°30'N, 13°11' – 13°24'E)  
102 and Gusvatnet (64°15' – 64°18'N, 13°25' – 13°37'E) respectively, in Lierne municipality in  
103 Central Norway, where all captures and marking of birds occurred (Figure 1). Because some  
104 birds migrated long distances (> 25km), our dataset also include several relocations in  
105 neighboring municipalities. Radio tagged willow ptarmigan were triangulated inside the total  
106 study area, as the birds dispersed or migrated out of the main areas. The capture sites for  
107 willow ptarmigan (see next section) spanned elevations from 459 – 757m, and were located in  
108 the subalpine to alpine bioclimatic zone. The subalpine bioclimatic zone was dominated by  
109 spruce (*Picea abies* L.) interspersed with birch (*Betula pubescens*). Dwarf birch (*B. nana* L.)  
110 and willows (*Salix spp.*) comprise most of the shrubbery scattered amongst forest patches. At  
111 lower elevations bogs/marshes are covered by grasses and sedges and the forests by  
112 ericaceous plants, while the vegetation at higher altitudes is dominated by dwarf birch  
113 heather, sedges and lichens. The ground is typically snow-covered from October until May.  
114 Main predators on adult willow ptarmigan observed in the study area include, gyrfalcons  
115 (*Falco rusticolus* L.), golden eagles (*Aquila chrysaetos* L.) and red fox (*Vulpes Vulpes* L.). In  
116 addition, arctic fox (*Vulpes lagopus* L.) and lynx (*Lynx lynx* L.) are present in the study area,  
117 but probably does not represent major mortality risks for ptarmigan in the study area due to  
118 low densities.

119

### 120 **Field methods**

121 During February and March 2015-2019, we captured a total of 188 willow ptarmigan at night  
122 using snowmobiles and large hand nets with prolonged handles, as described in Nilsen *et al.*  
123 (2020). To prevent birds from flying off before the field personnel were close enough to  
124 capture them, a high-powered head lamp was used to dazzle the birds. After capture, we  
125 placed the birds in an opaque bag to reduce stress. We aged the birds based on descriptions in  
126 Bergerud, Peters and McGrath (1963) and Myrberget (1975), by examining the pigmentation

127 on the outer primaries and categorized them as either juvenile (< 1 year old) or adult (> 1 year  
128 old). We assessed the sex of each bird in the field by visual inspection of morphological  
129 characteristics and later confirmed the sex by DNA analyses using a feather sample collected  
130 during capture. For 17 birds we did not obtain any biological samples or the DNA analysis  
131 was unsuccessful, and could thus not confirm sex using DNA. Based on the birds where both  
132 field-based and DNA-based sex determination was obtained, field-based determination was  
133 correct in 85% of the cases. We therefore opted to include birds where sex was not verified  
134 using DNA analyses, aware of the small potential bias here. Before releasing the birds, they  
135 were fitted with a uniquely numbered leg ring (~ 2.4g) and a Holohil RI-2BM or Holohil RI-  
136 2DM radio transmitter (~ 14.1g). The radio transmitters had an expected battery lifetime of 24  
137 months (RI-2BM) or 30 months (RI-2DM), and included a mortality circuit that was activated  
138 if a bird had been immobile for 12 hours. For all marked birds, the combined weight of the leg  
139 ring and radio transmitter were < 3.5% of the body mass. From the total number of birds that  
140 we instrumented with VHF radio collars (n = 188), some birds (n = 6) were never relocated  
141 after release and were thus excluded from the study. This left us with a total sample of n =  
142 182 individual willow ptarmigan included in the analyses. Of these birds, there were 53%  
143 females and 47% males. During the study period, we recorded mortalities for 124 birds (i.e.,  
144 68% of all birds marked), whereas 58 birds (32%) were censored either because we lost  
145 contact or because they were alive at the end of the study period (Table 1). As previous  
146 studies did not find adverse effects of radio tags on survival (Thirgood *et al.* 1995; Hannon,  
147 Gruys & Schieck 2003; Terhune *et al.* 2007), we assumed the radio tags would not influence  
148 the survival of willow ptarmigan.

149

150 Following release of the radio tagged birds, they were triangulated from the ground at least  
151 once a month for 10 months of the year (February – November) by qualified field personnel.  
152 If a mortality signal was heard from the transmitter, we recovered it as soon as possible to  
153 determine cause of death. A number of birds dispersed out of the main study areas and was  
154 thus out of signal range for field personnel on the ground. To avoid loss of data, we conducted  
155 aerial triangulation using a helicopter or airplane three times a year (May, September and  
156 November) in the years 2016-2019. In 2015, we only conducted one triangulation from the air  
157 in October.

158 The data used here is based on an ongoing field project, and the dataset is therefore  
159 continuously updated as new data is registered. For analyses reported here we used data  
160 collected between 16.02.2015 to 27.11.2019.

161

## 162 **Individual capture histories**

163 As a basis for our analysis of annual survival probabilities, we set 1 August to represent the  
164 start of the biological year. This choice made it possible to directly compare our results with  
165 those from previous studies in Scandinavia (Smith & Willebrand 1999; Sandercock *et al.*  
166 2011). With the redefined year, the first time period of the study started 1 August 2014 and  
167 ended on 31 July 2015, while the final time period (6 in total) started 1 August 2019 and ends  
168 31 July 2020. Hereafter, “year” refers to the biological year from 1 August to 31 July.

169 In addition to the analysis of annual survival probabilities, we also assessed patterns of  
170 survival in four distinct seasons. First, we defined the autumn season as 1 September to 30  
171 November. This season is strongly affected by the annual recreational harvest season starting  
172 10 September, and previous studies from Scandinavia have shown that harvest is a  
173 dominating mortality factor in autumn (Smith & Willebrand 1999; Sandercock *et al.* 2011).  
174 Most of the hunting effort usually takes place during the first weeks after the hunting season  
175 has started (Smith & Willebrand 1999; Willebrand, Hörnell-Willebrand & Asmyhr 2011). In  
176 our case, there were only two harvest-related mortalities outside the defined autumn season  
177 (during the winter harvest season in February). Second, we defined the winter season as 1  
178 December to 31 March. Winter survival of willow ptarmigan in Scandinavia have typically  
179 been found to be high (Smith & Willebrand 1999; Sandercock *et al.* 2011). Finally, we  
180 defined the mating and pre-brooding period as the spring season (1 April to 31 May), while  
181 the incubation and chick rearing period was defined as the summer season (1 June to 31  
182 August). The age of each bird (juvenile vs adult) was estimated at capture in February/March  
183 and separated into two age categories (< 1 year old and > 1 year old). In the further analyses,  
184 age was only included as a predictor variable for the spring survival analysis.

185 Based on the time schedules described above, we constructed capture histories for each bird  
186 following a time-to-event modelling approach (Pollock *et al.* 1989). Birds that were alive at  
187 the end of the year (31 July) or season (see above for definitions) were censored, and re-  
188 entered in a new row in the dataset for the next year or season. Thus, each observation in the  
189 dataset is one bird in one given year. For all years in total, we had 350 observations or ‘bird-  
190 years’. Naturally, with only one tagging session in February/March the number of  
191 observations available for analyses decreased due to mortalities from winter (n = 251), spring  
192 (n = 232), summer (n = 206) to autumn (n = 161). In addition to the capture-related variables  
193 (ring identification number, sex and age), five new variables were created: time period, entry  
194 day, exit day, event (if the bird was alive = 0 or dead = 1) and cause of death (harvest = 1 or  
195 natural = 2). Natural causes were defined as any non-harvest related mortality. All unknown  
196 mortality causes were assumed to be natural (since harvested marked ptarmigans were  
197 reported), but not identifiable to a single natural cause. We assumed that all harvested birds  
198 were reported as harvested. Hunters were frequently reminded to report and return radio tags  
199 and/or leg rings and since marked birds were not banned from harvest, this should be a valid  
200 assumption.

201 Because the birds were not monitored in continuous time, the exit date (i.e., date for mortality  
202 or censoring) had to be estimated in many cases. For birds that were alive at the end of the  
203 study, exit day was set to the day that they were last confirmed to be alive. Birds that died due  
204 to natural causes, had their exit day defined as the midpoint between the last day they were  
205 heard alive and the first time the mortality signal from the transmitter was heard. For birds  
206 that were shot by hunters, exit day was set according to the day the bird was shot, as reported  
207 by the hunters. A few birds (n = 4) that were censored due to loss of contact (radio transmitter  
208 failure or other), re-entered the study when they were reported as shot and their status was  
209 changed to alive until the day they were shot.

210

211 **Survival analyses**

212 Survival rates were estimated using five years of radio telemetry data, collected between 2015  
213 and 2019 in Lierne, Snåsa, Grong and Røyrvik municipalities. We applied Pollock *et al.*  
214 (1989) staggered-entry modification of the Kaplan-Meier procedure (Kaplan & Meier 1958)  
215 to estimate annual and seasonal survival rates on a daily basis in the statistical software R,  
216 version 3.6.1 (R Core Team 2019), employing functions from the *survival* package (Therneau  
217 2015). Other analyses and data handling were also conducted in R.

218 To examine variation in mortality risk due to sex, age and year, we used Cox proportional  
219 hazards regression models fitted using the function *coxph* (Therneau 2015). To account for  
220 non-independence caused by the fact that some individuals were represented by more than  
221 one observation, individual ID (ring identification number) was included as a random  
222 variable. The proportional hazards assumption of all cox regression models were assessed by  
223 running model diagnostics with the *cox.zph* function (Therneau 2015). Annual cause-specific  
224 mortality under the competing risks of natural and harvest mortality was estimated by  
225 employing a nonparametric cumulative incidence function estimator (NPCIFE) described by  
226 Heisey and Patterson (2006), using the code modified by Sandercock *et al.* (2011). The same  
227 procedure was also used to estimate the cumulative risk of natural and harvest mortality  
228 during autumn only. To test for any dependencies in harvest or natural mortality risk due to  
229 sex, we used a stratified Cox proportional hazards analysis. We first stratified the data by  
230 mortality cause (natural or harvest) and then ran two separate Cox proportional hazards  
231 regressions, one for natural mortality risk and one for harvest mortality risk, testing for an  
232 effect of sex in each model. Finally, we estimated separate continuous annual hazard  
233 functions for both mortality causes combined, for harvest mortality only and natural mortality  
234 only by employing Gu (2014) smoothing spline functions.

235 All survival analysis figures were created using package *ggplot2* (Wickham 2016), while the  
236 map in Figure 1 was created with packages *leaflet* and *mapview* (Cheng, Karambelkar & Xie  
237 2018; Appelhans *et al.* 2019).

238

## 239 **Results**

### 240 **Annual survival probabilities**

241 Annual survival probability of willow ptarmigan across all years was estimated to be  $0.43 \pm$   
242  $0.04$  SE (Figure 2a). For females and males, annual survival was estimated to be  $0.40 \pm 0.05$   
243 SE and  $0.45 \pm 0.05$  SE respectively (Figure 2b). When stratified by sex, the proportional  
244 hazards assumption was not met ( $\chi^2 = 5.71$ ,  $P = 0.02$ ), and we therefore did not use Cox  
245 proportional regression to assess this difference statistically. We further examined if there was  
246 any between-year variation in annual survival (Figure 2c), but no significant between-year  
247 variation in annual survival was found (Wald test = 1.67,  $df = 3$ ,  $P = 0.60$ ). The assumption  
248 about proportional hazards for the global model was met ( $\chi^2 = 7.27$ ,  $P = 0.06$ ). Therefore,  
249 annual survival estimates remained relatively stable for all years.

250

### 251 **Seasonal survival rates**

252 In the second part of the analysis we created distinct datasets for the various seasons (as  
253 defined in the methods), and estimated survival probabilities for each season separately. As  
254 expected, autumn survival was low ( $0.67 \pm 0.04$  SE), and there were some indications that  
255 males had higher mortality risk than females during this season (HR = 1.53, 95% CI = 0.90 –  
256 2.60,  $z = 1.58$ ,  $P = 0.11$ ; Figure 3a). The assumption of proportional hazards was met when  
257 stratified by sex ( $\chi^2 = < 0.01$ ,  $P = 0.98$ ). During the winter season, overall survival probability  
258 was high ( $0.90 \pm 0.03$  SE), with no discernible difference in mortality risk between males and  
259 females (HR = 0.65, 95% CI = 0.24 – 1.78,  $z = -0.84$ ,  $P = 0.40$ ). The assumption of  
260 proportional hazards when stratified by sex was met ( $\chi^2 = 2.28$ ,  $P = 0.13$ ). Also during spring,  
261 survival probabilities were high ( $0.90 \pm 0.02$  SE). The proportional hazards assumption was  
262 met for sex ( $\chi^2 = 0.07$ ,  $P = 0.79$ ) and age ( $\chi^2 = 0.08$ ,  $P = 0.78$ ), for the spring survival data.  
263 There were no difference in survival between males and females (HR = 1.10, 95% CI = 0.47 –  
264 2.58,  $z = 0.23$ ,  $P = 0.82$ ) in spring, but juveniles (< 1 year old) had a substantially higher risk  
265 of mortality than adult birds (HR = 2.35, 95% CI = 1.01 – 5.45,  $z = 1.98$ ,  $P = 0.05$ ; Figure 3b).  
266 During the three month long summer season, survival probability was lower than both winter  
267 and spring survival ( $0.82 \pm 0.03$  SE), and males had a substantially lower mortality risk than  
268 females (HR = 0.33, 95% CI = 0.16 – 0.69,  $z = -2.93$ ,  $P = < 0.01$ ; Figure 3c). The summer  
269 survival data for sex met the assumption of proportional hazards ( $\chi^2 = 3.09$ ,  $P = 0.08$ ). For all  
270 seasonal analyses, year did not explain a significant amount of the variation in mortality risk  
271 for any season and the proportional hazards assumption was met for all seasonal data (except  
272 summer) used to test for effects of year (see Appendix 1).

273

#### 274 **Temporal variation in cause-specific mortality risk**

275 In the third and final part of the analyses we investigated annual and seasonal cause-specific  
276 mortality risk. Annually, there was a higher probability of mortality due to natural causes  
277 (CIF =  $0.33 \pm 0.03$  SE, 95% CI = 0.28 – 0.38) than being shot (CIF =  $0.25 \pm 0.04$  SE, 95% CI  
278 = 0.19 – 0.31) for willow ptarmigan in this study (Figure 4a). Unsurprisingly, this relationship  
279 was reversed when we examined the autumn season only, with harvest mortality being  
280 substantially higher (CIF =  $0.24 \pm 0.04$  SE, 95% CI = 0.18 – 0.30) than the probability of  
281 dying of natural causes (CIF =  $0.09 \pm 0.03$  SE, 95% CI = 0.04 – 0.14; Figure 4b). We did not  
282 find any clear difference in mortality risk between males and females for the risk of being  
283 shot (HR = 1.51, 95% CI = 0.81 – 2.81,  $z = 1.28$ ,  $P = 0.20$ ) or dying of natural causes (HR =  
284 1.60, 95% CI = 0.53 – 4.82,  $z = 0.83$ ,  $P = 0.41$ ).

285 Finally, we estimated smoothed instantaneous mortality risk for natural and harvest  
286 mortalities combined (total), harvest mortalities only and natural mortality only (Figure 4c).  
287 In general, the mortality risk was highest in September and October, coinciding with the first  
288 few weeks of the hunting season (10 September to 28 February). During winter and early  
289 spring mortality risk was very low, but increased slowly and gradually until mid-June,  
290 yielding another peak in mortality risk. The risk of harvest mortality is mainly relevant in the  
291 autumn, and the spring peak in mortality risk is driven exclusively by natural mortality  
292 factors.

293

#### 294 **Discussion**

295 **Survival**

296 In our study area we estimated annual survival to be  $0.43 \pm 0.04$  SE, with no discernible  
297 distinction between years. This annual survival probability is comparable to previous studies  
298 from other localities in Norway (Figure 5; Sandercock *et al.* 2011) and North America  
299 (Martin, Hannon & Rockwell 1989; Sandercock, Martin & Hannon 2005). Annual survival in  
300 our study area was lower than the estimates by Sandercock *et al.* (2011) for annual survival in  
301 non-harvested areas (0.54, 95% CI = 0.38 – 0.70) and areas with experimental treatments of  
302 15% harvest (0.47, 95% CI = 0.35 – 0.59), as well as the estimate in Smith and Willebrand  
303 (1999) for non-harvested areas (0.53, 95% CI = 0.40 – 0.67). However, the survival  
304 probability found in our study area was higher than those reported under 30% experimental  
305 harvest in central Norway (Sandercock *et al.* 2011; 0.30, 95% CI = 0.20 – 0.40) and under  
306 harvest in central Sweden (Smith & Willebrand 1999; 0.28, 95% CI = 0.18 – 0.38, Figure 5).  
307 This gives some insight into the importance of harvest intensity on annual survival for willow  
308 ptarmigan in Scandinavia. We did not find any clear difference in annual survival between  
309 males and females. This might be the result of counteracting seasonal effects, as suggested by  
310 (Hannon, Gruys & Schieck 2003); in general we found that males tended towards lower  
311 survival (although not statistically significant) than females in autumn, while females had  
312 substantially lower survival than males during summer.

313

314 In winter and spring, survival was generally high, and there were no clear signs of sex  
315 differences in survival. However, juvenile birds had much lower survival in spring than adult  
316 birds. Willow ptarmigan vigorously defend their established territories from any intruders,  
317 including juveniles (Pedersen, Steen & Andersen 1983; Rørvik, Pedersen & Steen 1998;  
318 Eason & Hannon 2003). We expect that inexperienced yearlings trying to acquire a territory  
319 may be less alert to predators during this time, and may therefore suffer greater mortality risk  
320 than adults. This difference might arise due to differential predation pressure, and Barichello  
321 and Mossop (2011) suggested that gyrfalcon exerts higher predation pressure on young  
322 ptarmigan compared to adults. Such a preference would indicate that juveniles are easier prey  
323 than adult birds and could explain the lower survival of juveniles in spring found in this study.  
324 Inexperience may also affect the foraging ability of young birds during winter-spring,  
325 resulting in poor spring body condition (Wiebe & Martin 1998).

326 We also found a distinct difference in survival between males and females during summer,  
327 with female willow ptarmigan having markedly lower survival compared to males. Hannon,  
328 Gruys and Schieck (2003) suggest that female willow ptarmigan are more prone to predation  
329 in the breeding season than males as a result of their great parental investment. This  
330 investment includes the process of egg laying and incubation, as well as any clutch defense  
331 behavior towards predators (Martin & Horn 1993). Both male and female willow ptarmigan  
332 defend the nest from predators, although males for the most part indirectly defend the nest by  
333 defending their female partner (Martin 1984; Martin & Horn 1993). The higher survival of  
334 males during summer suggest that they do not invest as much in the nest and are therefore in  
335 better condition than females during this time, allowing them to more effectively avoid  
336 predation.

337 There was no significant distinction in autumn survival between male and female willow  
338 ptarmigan, but our results did provide some indications that females have higher survival



339 during autumn. Because our sample size in autumn is lower than in the other seasons resulting  
340 from mortalities between winter tagging and autumn, the power to detect any trend is also  
341 lower in autumn compared to the other seasons.

342

### 343 **Cause-specific mortality risk**

344 In our study, we found that natural mortality risk varied throughout the year, revealing a  
345 minor peak in late September and a major peak in mid-June. Sandercock *et al.* (2011) found a  
346 very similar pattern, although they reported an autumn peak that was more distinct and a  
347 summer peak that occurred somewhat earlier than mid-May. In our study, the summer peak in  
348 natural mortality risk (Figure 4c) coincided with late incubation or hatching stage, a period  
349 which has previously been associated with high mortality risk (Winder *et al.* 2014; Winder *et*  
350 *al.* 2016). The reason for this heterogeneity between the studies is of yet unknown.

351 Differences in climate between the two locations could explain the observed distinctions, with  
352 the Lierne study area being located both further north and further inland than Meråker-Selbu,  
353 which may cause the breeding dates of willow ptarmigan and/or predators to differ between  
354 the two areas. The distance and distinct climates between Lierne and Meråker-Selbu means  
355 that there could also be spatial differences in the predator communities of the two areas as  
356 well, yielding differing mortality risk patterns. Moreover, our data was collected  
357 approximately 20 years later than the data analyzed by Sandercock *et al.* (2011), which means  
358 that temporal changes to the predator community is also a potential explanation for the  
359 observed differences.

360 As expected, the vast majority of harvest mortalities occurred during the first weeks of the  
361 autumn hunting season, and the annual patterns in harvest mortality risk were mostly driven  
362 by these weeks. The estimated autumn harvest mortality risk ( $0.24 \pm 0.04$  SE) was identical to  
363 the estimate of hunting mortality in autumn in central Sweden (Smith & Willebrand 1999). It  
364 is important to note that the core areas in our study (Gusvatnet and Lifjellet) are easily  
365 accessible, and areas close to infrastructure are often associated with higher hunting effort  
366 compared to more remote locations (Brøseth & Pedersen 2000; Breisjøberget *et al.* 2018).

367 We found no significant autumnal difference between the sexes for either natural mortality  
368 risk or harvest mortality risk. Asmyhr, Willebrand and Hörnell-Willebrand (2012) were also  
369 unable to find an effect of sex on harvest risk in a harvested area in central Sweden.  
370 Interestingly, Sandercock *et al.* (2011) showed that females were more at risk of harvest  
371 mortality under experimental harvest. In their experiment hunters mostly used pointing dogs  
372 during the hunt (Sandercock *et al.* 2011), while our study area had a mix of hunters with and  
373 without dogs (Nils Vidar Bratlandsmo, pers. comm., 08.04.2020). Male and female willow  
374 ptarmigan are to different degrees following the brood during the autumn hunting season, and  
375 this may affect the susceptibility for being shot (Bunnefeld *et al.* 2009). We speculate whether  
376 this grouping behavior may have different effects on harvest with or without dogs. As using a  
377 hunting dog usually gives the hunter more time to prepare before firing in each situation, it is  
378 likely that hunters may have time to shoot more individuals from large coveys of ptarmigan  
379 than if hunting without a dog. Since females are more prone to grouping, this might imply that  
380 more females may be shot when hunting with dogs than without, which would give a possible  
381 reason for the observed differences between our study and Sandercock *et al.* (2011).

382

### 383 **Harvest management**

384 In our study area the willow ptarmigan harvest mortality risk was substantially higher than  
385 what is generally considered to be compensatory (Sandercock *et al.* 2011). Moreover, there  
386 seem to be a clear connection between harvest rate and willow ptarmigan survival, where  
387 willow ptarmigan in non-harvested areas have higher survival (Figure 5). It is therefore  
388 important to implement a harvest strategies that can reduce risks of overharvest. Threshold  
389 harvest strategies have often been proposed as a way to counterbalance risk of harvest,  
390 especially when the exploited population occur at low densities (Eriksen, Moa & Nilsen  
391 2018), as it only permits harvest above a certain population threshold (Lande, Sæther &  
392 Engen 1997). Although, it does imply no harvest in the years where the population size is  
393 below this threshold (Lande, Sæther & Engen 1997).

394

### 395 **Conclusion**

396 The high temporal resolution of this study allowed us to accurately estimate willow ptarmigan  
397 annual and seasonal survival, as well as cause-specific mortality risks. Our results provide  
398 insights into the relative importance of harvest and natural mortality for overall survival  
399 probability. By identifying demographic differences in mortality risk throughout the year, our  
400 results are applicable for highlighting areas where conservationists or small game area  
401 managers should focus their efforts.

402

### 403 **Acknowledgements**

404 We want to thank our field personnel for all effort through vast amounts of monitoring  
405 sessions, as well as local hunting area managers (in particular Fjellstyra i Lierne led by Nils  
406 Vidar Bratlandsmo) and mountain rangers for access to the area and invaluable assistance in  
407 the field. The project was funded by the Norwegian Environment Agency (Funding reference  
408 number: 17010522 and 19047014).

409

### 410 **Conflict of interest**

411 None declared.

412

### 413 **Author contributions**

414 M. F. Israelsen conducted the data analysis, supervised by E. B. Nilsen. E.B. Nilsen conveyed  
415 the main research question. All authors contributed to the data acquisition, data interpretation  
416 and preparation of the manuscript. All authors approved the submitted version of the  
417 manuscript.

418

## 419 **Data availability statement**

420 Temporary location of raw data used in the analyses:

421 <https://github.com/markusfisra/WillowPtarmiganSurvival/blob/master/rawdataAnalysis.csv>

422 Temporary location of scripts developed to conduct analyses and produce figure illustrations:

423 <https://github.com/markusfisra/WillowPtarmiganSurvival/blob/master/Willow%20ptarmigan%20survival.Rmd>

425 Upon acceptance, both data and analysis scripts will be archived in a stable repository with a  
426 citable DOI.

427

## 428 **References**

- 429 Aanes, S., Engen, S., Sæther, B.-E., Willebrand, T. & Marcström, V. (2002) Sustainable harvesting  
430 strategies of willow ptarmigan in a fluctuating environment. *Ecological applications*, **12**, 281-  
431 290.
- 432 Appelhans, T., Detsch, F., Reudenbach, C. & Woellauer, S. (2019) mapview: Interactive Viewing of  
433 Spatial Data in R. R package version 2.7.0.
- 434 Asmyhr, L., Willebrand, T. & Hörnell-Willebrand, M. (2012) Successful adult willow grouse are  
435 exposed to increased harvest risk. *Journal of Wildlife Management*, **76**, 940-943.
- 436 Barichello, N. & Mossop, D. (2011) The overwhelming influence of ptarmigan abundance on gyrfalcon  
437 reproductive success in the central Yukon, Canada. *Gyrfalcons and ptarmigan in a changing*  
438 *world, Volume I* (eds R.T. Watson, T.J. Cade, M. Fuller, G. Hunt & E. Potapov), pp. 307-322.  
439 The Peregrine Fund, Boise, Idaho, USA.
- 440 Beauplet, G., Barbraud, C., Dabin, W., Küssener, C. & Guinet, C. (2006) Age-specific survival and  
441 reproductive performances in fur seals: evidence of senescence and individual quality. *Oikos*,  
442 **112**, 430-441.
- 443 Bergerud, A.T., Peters, S.S. & McGrath, R. (1963) Determining sex and age of willow ptarmigan in  
444 Newfoundland. *Journal of Wildlife Management*, **27**, 700-711.
- 445 Bielby, J., Mace, G.M., Bininda-Emonds, O.R., Cardillo, M., Gittleman, J.L., Jones, K.E., Orme, C.D.L. &  
446 Purvis, A. (2007) The fast-slow continuum in mammalian life history: an empirical  
447 reevaluation. *The American Naturalist*, **169**, 748-757.
- 448 Breisjøberget, J.I., Odden, M., Storaas, T., Nilsen, E.B. & Kvasnes, M.A. (2018) Harvesting a red-listed  
449 species: determinant factors for willow ptarmigan harvest rates, bag sizes, and hunting  
450 efforts in Norway. *European journal of wildlife research*, **64**, 54 (2018).
- 451 Brøseth, H. & Pedersen, H.C. (2000) Hunting effort and game vulnerability studies on a small scale: a  
452 new technique combining radio-telemetry, GPS and GIS. *Journal of Applied Ecology*, **37**, 182-  
453 190.
- 454 Brøseth, H., Tufto, J., Pedersen, H.C., Steen, H. & Kastdalen, L. (2005) Dispersal patterns in a  
455 harvested willow ptarmigan population. *Journal of Applied Ecology*, **42**, 453-459.
- 456 Bunnefeld, N., Baines, D., Newborn, D. & Milner-Gulland, E.J. (2009) Factors affecting unintentional  
457 harvesting selectivity in a monomorphic species. *Journal of Animal Ecology*, **78**, 485-492.
- 458 Caizergues, A. & Ellison, L.N. (1997) Survival of black grouse *Tetrao tetrix* in the French Alps. *Wildlife*  
459 *Biology*, **3**, 177-187.
- 460 Cheng, J., Karambelkar, B. & Xie, Y. (2018) leaflet: Create Interactive Web Maps with the JavaScript  
461 'Leaflet' Library. R package version 2.0.2.
- 462 Chilvers, B.L. & MacKenzie, D.I. (2010) Age- and sex-specific survival estimates incorporating tag loss  
463 for New Zealand sea lions, *Phocarctos hookeri*. *Journal of Mammalogy*, **91**, 758-767.

464 Crespin, L., Verhagen, R., Stenseth, N.C., Yoccoz, N.G., Prévot-Julliard, A.C. & Lebreton, J.D. (2002)  
465 Survival in fluctuating bank vole populations: seasonal and yearly variations. *Oikos*, **98**, 467-  
466 479.

467 Delgiudice, G.D., Fieberg, J., Riggs, M.R., Powell, M.C. & Pan, W. (2006) A long-term age-specific  
468 survival analysis of female white-tailed deer. *Journal of Wildlife Management*, **70**, 1556-1568.

469 DelGiudice, G.D., Riggs, M.R., Joly, P. & Pan, W. (2002) Winter severity, survival, and cause-specific  
470 mortality of female white-tailed deer in north-central Minnesota. *Journal of Wildlife*  
471 *Management*, 698-717.

472 Eason, P.K. & Hannon, S.J. (2003) Effect of pairing status on use of space by territorial willow  
473 ptarmigan (*Lagopus lagopus*): bachelor males choose life on the edge. *The Auk*, **120**, 497-  
474 504.

475 Eriksen, L.F., Moa, P.F. & Nilsen, E.B. (2018) Quantifying risk of overharvest when implementation is  
476 uncertain. *Journal of Applied Ecology*, **55**, 482-493.

477 Gauthier, G., Pradel, R., Menu, S. & Lebreton, J.-D. (2001) Seasonal survival of greater snow geese  
478 and effect of hunting under dependence in sighting probability. *Ecology*, **82**, 3105-3119.

479 Gu, C. (2014) Smoothing Spline ANOVA Models: R Package gss. *Journal of Statistical Software*, **58**, 1-  
480 25.

481 Guillemain, M., Fox, A.D., Pöysä, H., Väänänen, V.-M., Christensen, T.K., Triplet, P., Schricke, V. &  
482 Korner-Nievergelt, F. (2013) Autumn survival inferred from wing age ratios: Wigeon juvenile  
483 survival half that of adults at best? *Journal of Ornithology*, **154**, 351-358.

484 Haftorn, S. & Reinertsen, R.E. (1985) The effect of temperature and clutch size on the energetic cost  
485 of incubation in a free-living blue tit (*Parus caeruleus*). *The Auk*, **102**, 470-478.

486 Hannon, S.J., Gruys, R.C. & Schieck, J.O. (2003) Differential seasonal mortality of the sexes in willow  
487 ptarmigan *Lagopus lagopus* in northern British Columbia, Canada. *Wildlife Biology*, **9**, 317-  
488 327.

489 Heisey, D.M. & Patterson, B.R. (2006) A review of methods to estimate cause-specific mortality in  
490 presence of competing risks. *Journal of Wildlife Management*, **70**, 1544-1555.

491 Henriksen, S. & Hilmo, O. (2015) Norwegian red list of species 2015. (eds S. Henriksen & O. Hilmo).  
492 Norwegian Biodiversity Information Centre, Norway.

493 Kaplan, E.L. & Meier, P. (1958) Nonparametric estimation from incomplete observations. *Journal of*  
494 *the American statistical association*, **53**, 457-481.

495 Lande, R., Sæther, B.-E. & Engen, S. (1997) Threshold harvesting for sustainability of fluctuating  
496 resources. *Ecology*, **78**, 1341-1350.

497 Martin, K. (1984) Reproductive defence priorities of male willow ptarmigan (*Lagopus lagopus*):  
498 enhancing mate survival or extending paternity options? *Behavioral Ecology and*  
499 *Sociobiology*, **16**, 57-63.

500 Martin, K., Hannon, S. & Rockwell, R. (1989) Clutch size variation and patterns of attrition in fecundity  
501 of Willow Ptarmigan. *Ecology*, **70**, 1788-1799.

502 Martin, K. & Horn, A.G. (1993) Clutch defense by male and female willow ptarmigan *Lagopus*  
503 *lagopus*. *Ornis Scandinavica*, 261-266.

504 Murray, D.L. (2006) On improving telemetry-based survival estimation. *Journal of Wildlife*  
505 *Management*, **70**, 1530-1543.

506 Myrberget, S. (1975) Aldersbestemmelse av ryper. *Naturen*, **3**, 99-103.

507 Nilsen, E.B., Gaillard, J.M., Andersen, R., Odden, J., Delorme, D., Van Laere, G. & Linnell, J.D. (2009) A  
508 slow life in hell or a fast life in heaven: demographic analyses of contrasting roe deer  
509 populations. *Journal of Animal Ecology*, **78**, 585-594.

510 Nilsen, E.B., Moa, P.F., Brøseth, H., Pedersen, H.C. & Hagen, B.R. (2020) Survival and Migration of  
511 Rock Ptarmigan in Central Scandinavia. *Frontiers in Ecology and Evolution*, **8:34**.

512 Nilsson, J.-Å. & Råberg, L. (2001) The resting metabolic cost of egg laying and nestling feeding in great  
513 tits. *Oecologia*, **128**, 187-192.

514 Pedersen, H.C., Steen, H., Kastdalen, L., Brøseth, H., Ims, R., Svendsen, W. & Yoccoz, N. (2004) Weak  
515 compensation of harvest despite strong density-dependent growth in willow ptarmigan.  
516 *Proceedings of the Royal Society of London. Series B: Biological Sciences*, **271**, 381-385.

517 Pedersen, H.C., Steen, J.B. & Andersen, R. (1983) Social organization and territorial behaviour in a  
518 willow ptarmigan population. *Ornis Scandinavica*, **14**, 263-272.

519 Pollock, K.H., Winterstein, S.R., Bunck, C.M. & Curtis, P.D. (1989) Survival analysis in telemetry  
520 studies: the staggered entry design. *Journal of Wildlife Management*, **53**, 7-15.

521 R Core Team (2019) R: A language and environment for statistical computing. R Foundation for  
522 Statistical Computing, Vienna, Austria.

523 Rørvik, K.-A., Pedersen, H.C. & Steen, J.B. (1998) Dispersal in willow ptarmigan *Lagopus lagopus* -  
524 who is dispersing and why? *Wildlife Biology*, **4**, 91-96.

525 Sandercock, B.K., Martin, K. & Hannon, S.J. (2005) Life history strategies in extreme environments:  
526 comparative demography of arctic and alpine ptarmigan. *Ecology*, **86**, 2176-2186.

527 Sandercock, B.K., Nilsen, E.B., Brøseth, H. & Pedersen, H.C. (2011) Is hunting mortality additive or  
528 compensatory to natural mortality? Effects of experimental harvest on the survival and  
529 cause-specific mortality of willow ptarmigan. *Journal of Animal Ecology*, **80**, 244-258.

530 Shackell, N.L., Shelton, P.A., Hoenig, J.M. & Carscadden, J.E. (1994) Age- and sex-specific survival of  
531 northern Grand Bank capelin (*Mallotus villosus*). *Canadian Journal of Fisheries and Aquatic  
532 Sciences*, **51**, 642-649.

533 Smith, A. & Willebrand, T. (1999) Mortality causes and survival rates of hunted and unhunted willow  
534 grouse. *Journal of Wildlife Management*, **63**, 722-730.

535 Stearns, S.C. (1983) The influence of size and phylogeny on patterns of covariation among life-history  
536 traits in the mammals. *Oikos*, **41**, 173-187.

537 Storch, I. (2007) *Grouse: Status Survey and Conservation Action Plan 2006-2010*. Gland, Switzerland:  
538 IUCN and Fordingbridge, UK: World Pheasant Association.

539 Sæther, B.-E. & Bakke, Ø. (2000) Avian life history variation and contribution of demographic traits to  
540 the population growth rate. *Ecology*, **81**, 642-653.

541 Sæther, B.-E., Coulson, T., Grøtan, V., Engen, S., Altwegg, R., Armitage, K.B., Barbraud, C., Becker,  
542 P.H., Blumstein, D.T. & Dobson, F.S. (2013) How life history influences population dynamics in  
543 fluctuating environments. *The American Naturalist*, **182**, 743-759.

544 Sæther, B.-E., Ringsby, T.H., Bakke, Ø. & Solberg, E.J. (1999) Spatial and temporal variation in  
545 demography of a house sparrow metapopulation. *Journal of Animal Ecology*, **68**, 628-637.

546 Terhune, T.M., Sisson, D.C., Grand, J.B. & Stribling, H.L. (2007) Factors influencing survival of  
547 radiotagged and banded northern bobwhites in Georgia. *The Journal of Wildlife  
548 Management*, **71**, 1288-1297.

549 Therneau, T. (2015) A Package for Survival Analysis in S. version 2.38.

550 Thirgood, S.J., Redpath, S.M., Hudson, P.J., Hurley, M.M. & Aebischer, N.J. (1995) Effects of necklace  
551 radio transmitters on survival and breeding success of red grouse *Lagopus lagopus scoticus*.  
552 *Wildlife Biology*, **1**, 121-126.

553 Toïgo, C., Servanty, S., Gaillard, J.M., Brandt, S. & Baubet, E. (2008) Disentangling natural from  
554 hunting mortality in an intensively hunted wild boar population. *The Journal of Wildlife  
555 Management*, **72**, 1532-1539.

556 Wickham, H. (2016) *ggplot2: Elegant Graphics for Data Analysis*. Springer-Verlag, New York.

557 Wiebe, K.L. & Martin, K. (1998) Age-specific patterns of reproduction in White-tailed and Willow  
558 Ptarmigan *Lagopus leucurus* and *L. lagopus*. *Ibis*, **140**, 14-24.

559 Willebrand, T., Hörnell-Willebrand, M. & Asmyhr, L. (2011) Willow grouse bag size is more sensitive  
560 to variation in hunter effort than to variation in willow grouse density. *Oikos*, **120**, 1667-  
561 1673.

562 Winder, V.L., Herse, M.R., Hunt, L.M., Gregory, A.J., McNew, L.B. & Sandercock, B.K. (2016) Patterns  
563 of nest attendance by female Greater Prairie-Chickens (*Tympanuchus cupido*) in northcentral  
564 Kansas. *Journal of Ornithology*, **157**, 733-745.

565 Winder, V.L., McNew, L.B., Gregory, A.J., Hunt, L.M., Wisely, S.M. & Sandercock, B.K. (2014) Effects of  
566 wind energy development on survival of female greater prairie-chickens. *Journal of Applied*  
567 *Ecology*, **51**, 395-405.

568

569

570

571 **Table 1.** Number of radio tagged birds and mortalities for each calendar year of the study.  
 572 Also shown is the total number of birds used in the analysis and the number of these that died  
 573 or survived until the end of the study.

Year	2015	2016	2017	2018	2019	Total	Total in analysis	Prop. Mort.	Prop. Surv.
<b>Tagged birds</b>	32	38	40	38	40	188	182	(124 / 182)	(58 / 182)
<b>Mortalities</b>	19	21	34	30	20	124	124	0.68	0.32

574  
 575  
 576  
 577  
 578  
 579  
 580  
 581  
 582  
 583  
 584  
 585  
 586  
 587  
 588  
 589  
 590  
 591  
 592  
 593  
 594  
 595  
 596  
 597  
 598  
 599  
 600  
 601  
 602

603 **Figure captions**

604 **Figure 1.** Study area (outlined box) showing all marking locations (red triangles) and  
605 telemetry positions (blue dots) of the marked birds. The northern cluster of red triangles  
606 represent the Lifjellet location and the southern cluster Gusvatnet.

607

608 **Figure 2.** (a) Survival of willow ptarmigan 1 August – 31 July (vertical lines represents  
609 censoring events). (b) Annual survival for each sex and (c) annual survival for complete  
610 willow ptarmigan years.

611

612 **Figure 3.** Seasonal survival in (a) autumn for males and females, (b) spring for juvenile and  
613 adults and (c) summer for males and females. Vertical lines represent censoring events. Note  
614 that the probabilities on the y-axis ranges from 0.5 to 1.

615

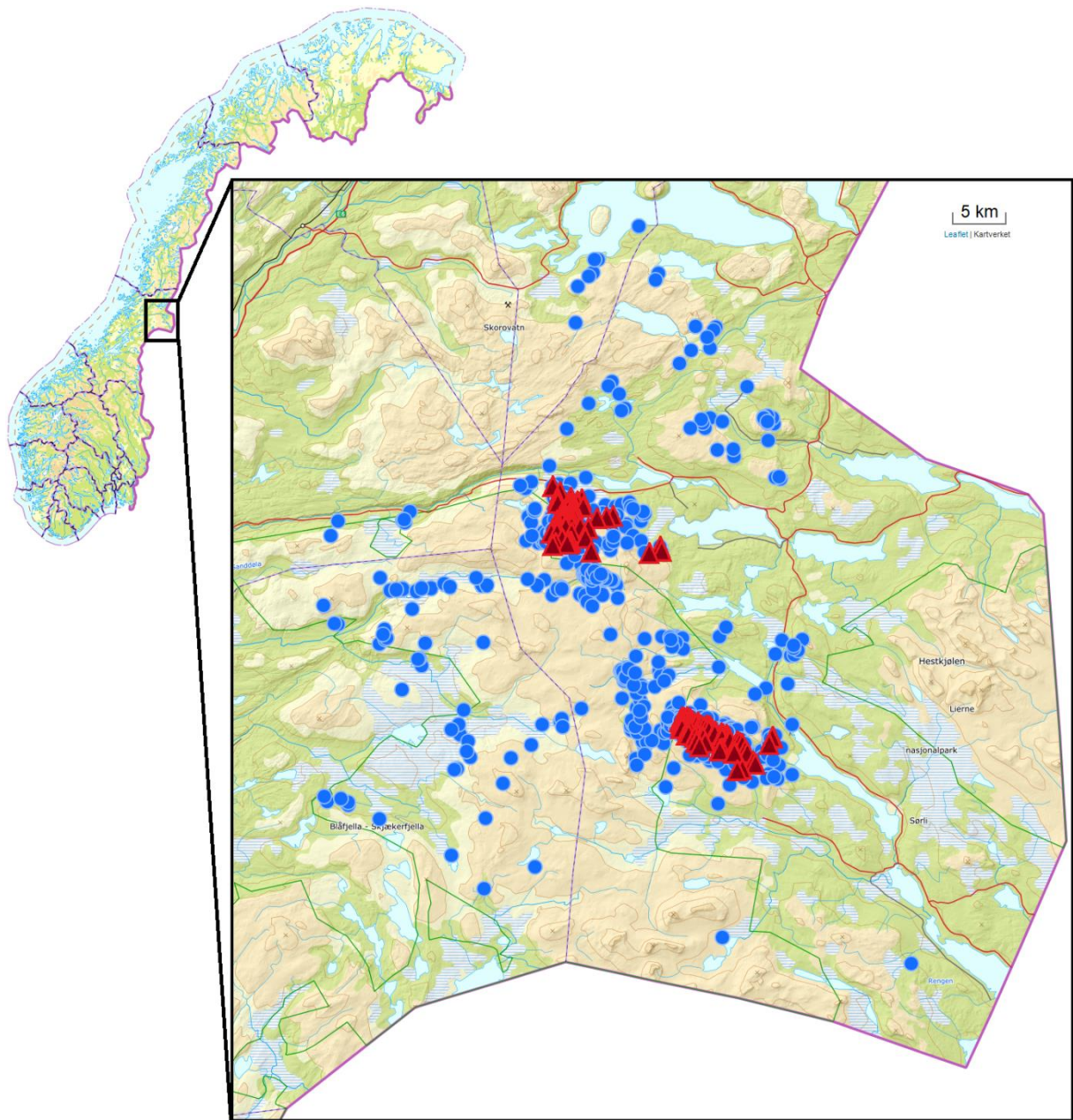
616 **Figure 4.** (a) Annual mortality probability due to natural causes and harvest. (b) Autumn  
617 mortality probability due to natural causes and harvest. Note that the range of probabilities on  
618 the y-axis goes from 0 to 0.40 for (a) and (b). (c) Smoothed instantaneous hazard function  
619 showing daily hazard risk for total, harvest and natural mortality.

620

621 **Figure 5.** Annual survival estimates for this study (Lierne 2015-2019, harvested area, in  
622 orange) in comparison to what was found in Sandercock *et al.* (2011; Meråker-Selbu in  
623 central Norway, non-harvested area, 15% and 30% harvest rate) and Smith and Willebrand  
624 (1999; central Sweden harvested area and central Sweden non-harvested area).

625



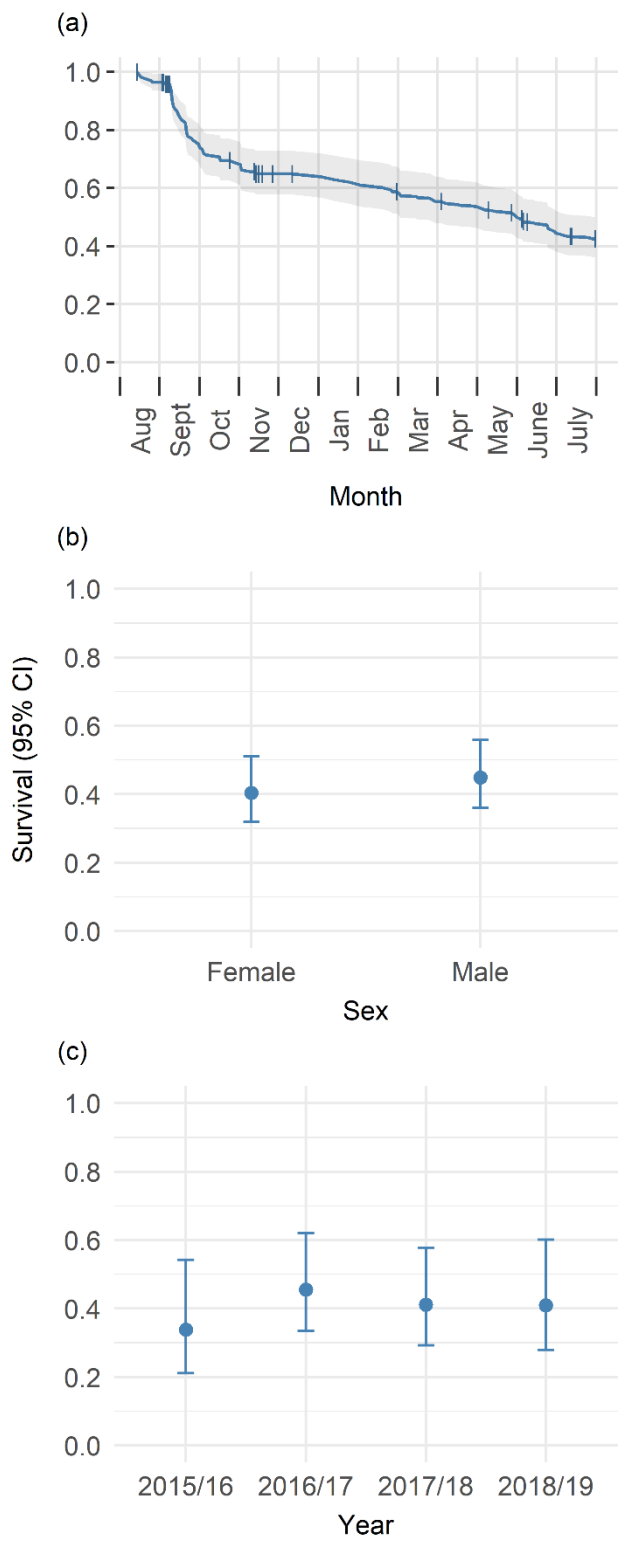


627

628 **Figure 1**

629

630



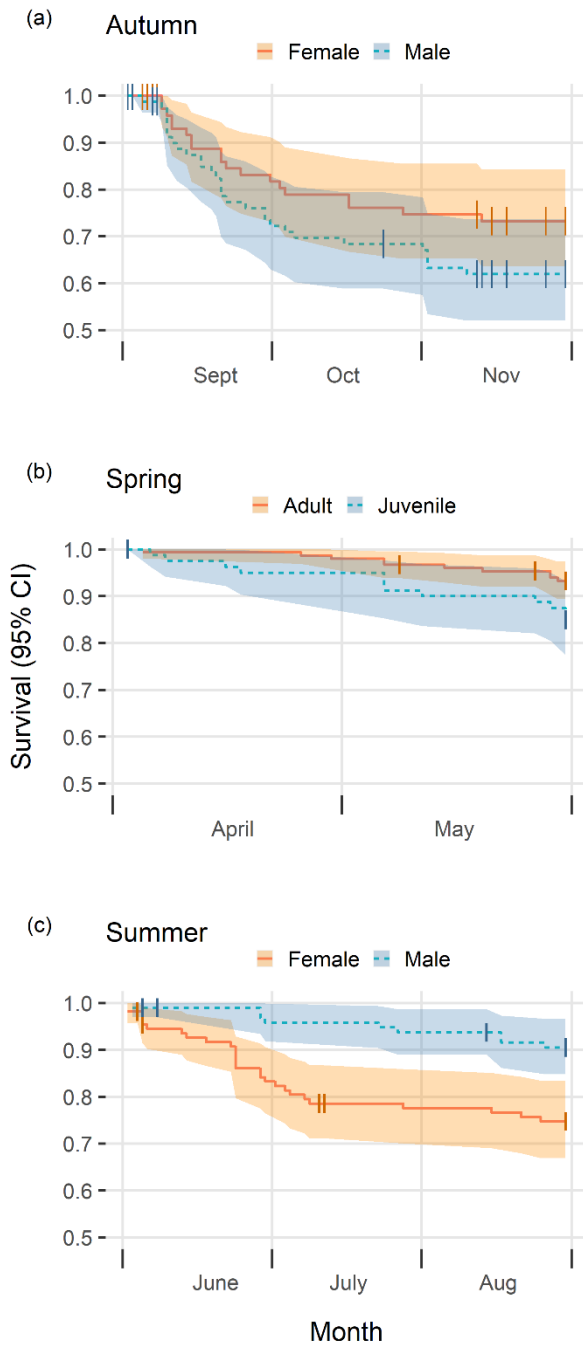
631

632

633 **Figure 2**

634

635



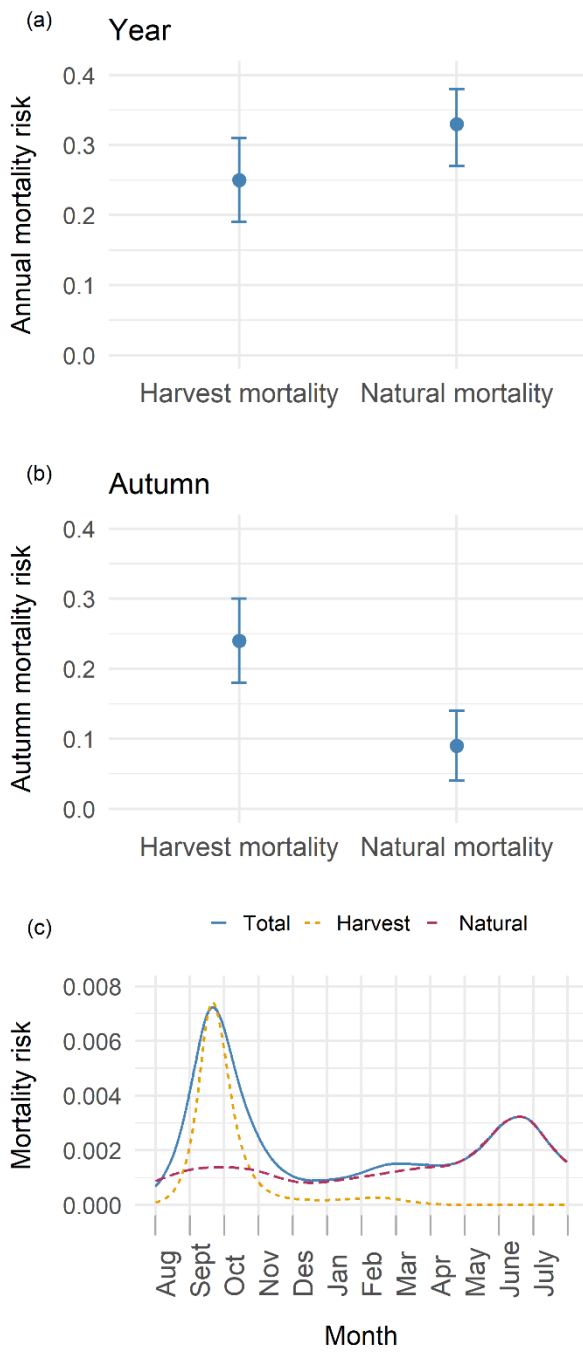
636

637

638 **Figure 3**

639

640



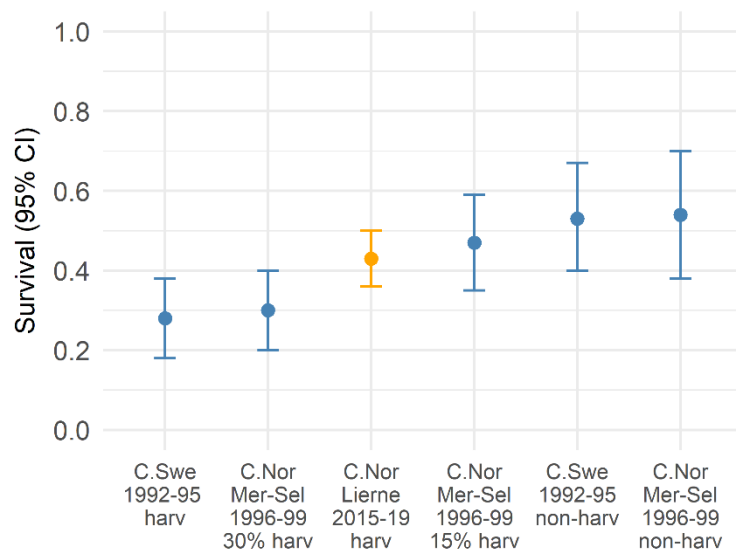
641

642

643 **Figure 4**

644

645



646

647

648 **Figure 5**