

1 Do forest fuel reduction treatments confer resistance to beetle infestation and drought mortality?

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3 Steel, Z.L.^{1,2*}, M.J. Goodwin³, M.D. Meyer⁴, G.A. Fricker⁵, H.S.J. Zald⁶, M.D. Hurteau³, and

4 M.P. North^{1,7}

5

6 ¹John Muir Institute, University of California, Davis, CA 95616

7 ²Department of Environmental Science, Policy and Management, University of California,

8 Berkeley, CA 94720

9 ³Department of Biology, University of New Mexico, Albuquerque, NM 87131

10 ⁴USDA Forest Service, Pacific Southwest Region, Southern Sierra Province, Bishop, CA 93514

11 ⁵Social Sciences Department, California Polytechnic University, San Luis Obispo, CA 93407

12 ⁶Department of Forestry and Wildland Resources, Humboldt State University, Arcata, CA

13 95521

14 ⁷USDA Forest Service, Pacific Southwest Research Station, Mammoth Lakes, CA 93546

15 * E-mail: zsteel@berkeley.edu

16

17 **Abstract**

18 Climate change is amplifying the frequency and severity of droughts and wildfires in many
19 forests. In the western U.S., fuels reduction treatments, both mechanical and prescribed fire, are
20 widely used to increase resilience to wildfire but their effect on resistance to drought and beetle
21 mortality is not as well understood. We followed more than 10,000 mapped and tagged trees in a
22 mixed-conifer forest following mechanical thinning and/or prescribed burning treatments in 2001
23 through the extreme 2012-2016 drought in California. Mortality varied by tree species from 3%
24 of incense-cedar to 38% of red fir with proportionally higher mortality rates in the larger size
25 classes for sugar pine, red fir and white fir. Treatment reductions in stem density were associated
26 with increased diameter growth and rapidly growing trees had lower rates of mortality. However,
27 the ultimate effects of treatment on drought-related mortality varied greatly by treatment type.
28 All species had neutral to reduced mortality rates following mechanical thinning alone, but
29 treatments that included prescribed burning increased beetle infestation rates and increased
30 mortality of red fir and sugar pine. Fuels reduction treatments appear to benefit some species
31 such as Jeffrey pine, but can reduce resistance to extreme drought and beetle outbreaks in other
32 species when treatments include prescribed burning. In a non-analog future, fuels reduction
33 treatments may require modification to provide resistance to beetle infestation and severe
34 droughts.

35 **Keywords: bark beetle, climate change, drought, fire suppression, forest restoration,**
36 **resilience**

37

38 **Introduction**

39

40 The frequency and severity of forest disturbances are intensifying globally due to a combination
41 of climate change, fire suppression, and past forest management (Millar et al. 2007, Flannigan et
42 al. 2009, Allen et al. 2010, Abatzoglou and Williams 2016, Steel et al. 2018). Where such shifts
43 result in tree mortality beyond historic norms, these changes will have important implications for
44 ecosystem persistence, provisioning of ecosystem services, and biodiversity (Millar et al. 2007).
45 Intensification of drought and accompanying beetle infestations are increasing in severity and
46 extent in many western U.S. forests, and can change affected forests from carbon sinks to
47 sources (Kurz et al. 2008, Hicke et al. 2012). In dry forests, drought stress is often exacerbated
48 by past fire exclusion which has significantly increased tree density and competition for
49 seasonally scarce soil moisture (Safford and Stevens 2017, Young et al. 2017). The recent 2012-
50 2016 California drought, by some measures the most severe in the last 1000 years (Griffin and
51 Anchukaitis 2015), may provide a harbinger of the stress and mortality that many dry forests are
52 likely to experience in coming decades. Over 150 million trees are estimated to have died during
53 or shortly following this drought, most of them in the drier conditions of the southern Sierra
54 Nevada (Asner et al. 2016, Stephens et al. 2018, USDA 2020).

55

56 At broad scales, forest density and climatic water deficit (Young et al. 2017) have been
57 suggested as important influences on mortality. Forest density may have two potential pathways
58 for affecting mortality: higher density can lead to greater water competition and drought stress
59 (Fettig et al. 2019), and higher density of conspecific trees can lead to greater beetle infestation
60 (Smith et al. 2005). In many western U.S. forests, density reduction often occurs through
61 mechanical thinning and/or prescribed burning treatments designed to reduce potential wildfire
62 severity by removing ladder and surface fuels. These treatments may improve survival of some

63 conifer species at least during the early years of prolonged droughts (van Mantgem et al. 2016,
64 Restaino et al. 2019). However, we still lack a mechanistic understanding of how specific
65 treatments indirectly influence conifer mortality as mediated by competition, pre-drought vigor,
66 and beetle infestation. Fully understanding the influence of these factors on tree resilience or
67 susceptibility to drought requires manipulative experiments accompanied by detailed
68 physiographic information and longer-term sampling.

69
70 Drought mortality may result from a complex interaction of tree species, size, beetle infestation,
71 and growth over time. To evaluate the effects of these factors and their interactions, we used data
72 from an ongoing long-term study that manipulated forest density of an old-growth, mixed-
73 conifer forest through replicated prescribed burning and thinning treatments 12 years prior to the
74 2012-2016 drought. This provided a rare opportunity to monitor stand conditions and drought
75 effects on more than 10,000 individual trees, to experimentally evaluate the ecological drivers of
76 forest density and disturbance history on drought mortality, as well as efficacy of commonly
77 applied fuel reduction and restoration treatments on drought mitigation. In particular we tested
78 the following proximate relationships: 1) How do fuels treatments influence forest density? 2)
79 Does forest density and tree size affect tree growth (a surrogate for vigor)? 3) What are the
80 drivers of beetle infestation? 4) What are the drivers of tree mortality during drought? Gaining a
81 mechanistic understanding of the direct and indirect drivers of conifer mortality during droughts
82 may aid efforts to maintain resilient forests in an age of increasingly severe disturbances.

83

84 **Methods**

85

86 *Study area*

87

88 The Teakettle Experimental Forest (36°58'N, 119°2'W) is located in the High Sierra Ranger
89 District of Sierra National Forest, in California's Sierra Nevada. Elevation of the forest ranges
90 from 1,880 to 2,485 m. Soils are predominantly poorly developed and granite-based Inceptisols
91 and Entisols with a coarse sandy loam texture and very low clay content. The climate is typical
92 of the southern Sierra Nevada with hot, dry summers and cool, moist winters. Precipitation
93 averages 1,250 mm per year and falls mostly as snow between the months of November and
94 April. Air temperatures range from a summer mean of 17.1°C to a winter mean of 1.2°C (North
95 et al. 2002). The forest is composed of old-growth mixed conifer dominated by white fir (*Abies*
96 *concolor*), red fir (*A. magnifica*), incense-cedar (*Calocedrus decurrens*), Jeffrey pine (*Pinus*
97 *jeffreyi*), and sugar pine (*Pinus lambertiana*). Hardwood species are primarily found in the
98 understory and account for less than 1% of the total basal area of the forest (North et al. 2002).
99 Prior to experimental treatments, white and red fir combined totaled about 85% of the total basal
100 area (North et al. 2002). Historically, fires occurred approximately every 17 years within the
101 study area, but wildfire has been largely excluded since 1865 (North et al. 2005). There is no
102 history of significant logging prior to the initiation of experimental thinning treatments, with the
103 exception of limited hazard tree removal and some sugar pine removal as part of early white pine
104 blister rust control efforts (North et al. 2002, Smith et al. 2005). As measured by the Palmer
105 Drought Severity Index (PDSI), Teakettle and California more generally experienced drought
106 conditions (negative PDSI values) starting in water year 2012 and ending in 2016
107 (<https://www.ncdc.noaa.gov>).

108

109 *Treatments and field measurements*

110

111 Eighteen experimental plots were established in 1998 representing six burning and thinning
112 treatments, each with three replicates. Thinning treatments were a no thin, a removal of most
113 trees between 25 and 75 cm diameter at breast height (DBH) treatment (hereafter referred to as
114 an understory thin), and a heavier thinning treatment, cutting all trees >25 cm DBH but leaving
115 20 large (>75 cm) evenly spaced trees per hectare (hereafter “overstory thin”). Thinning
116 treatments were crossed with a binary unburned or prescribed burn treatment for a full factorial
117 design. Plots were 200 x 200 m squares and included similar species compositions, densities and
118 patch types (e.g. closed canopy vs. open canopy) prior to treatment. Burn treatments were
119 thinned in 2000 and burned in October of 2001, and unburned treatments were thinned in 2001.
120 Full treatment details can be found in North et al. (2002).

121

122 Comprehensive plot surveys were conducted before and after thinning and burning treatments.
123 Plots were subsequently revisited in 2011 and 2012, prior to the recent drought and again
124 following the drought in 2017 and 2018. A second prescribed burn treatment was applied
125 following the drought (Goodwin et al. Accepted), but all burn plot survey data used here
126 preceded that treatment. As part of the initial survey, each tree and snag larger than 5 cm DBH
127 was identified to species, mapped using a surveyor’s total station (accuracy \pm 35 cm) and tagged.
128 In subsequent surveys, as new individuals grew to at least 5 cm DBH they were likewise mapped
129 and tagged. Among other metrics, the status (live or dead), and DBH were assessed during each
130 survey. Beetle sign was assessed (presence of pitch tubes, boring dust, and frass on tree bole) for
131 each plot at the end of the drought. For example, red turpentine beetle was distinguished from

132 mountain pine beetle or Jeffrey pine beetle based on the location of the attack on the bole (< 2-3
133 m base of trees) and the size of pitch tubes (2-5 cm diameter for red turpentine beetle; 0.5-1.8 cm
134 in diameter for mountain and Jeffrey pine beetles). Only fresh pitch tubes and frass were
135 recorded to avoid accidentally capturing old beetle sign. While all field technicians were trained
136 and checked in their beetle detection abilities, these tallies were made with visual estimates of
137 bole damage irrespective of the level of beetle damage. This sampling limitation likely resulted
138 in some false-absences where beetle infestation was missed especially where infestation levels
139 were low. For a small subset of dead trees with bark beetle sign, a section of bark ~2500 cm²
140 was removed with a hatchet at ~2 m in height to examine the shape, orientation, and size of bark
141 beetle galleries for confirming the accuracy of species identification based on bole surface
142 characteristics. Mean annual solar radiation and topographic water index were calculated within
143 a 10 m-radius circle surrounding each tree using a lidar-derived digital surface model with a
144 resolution of 1 m (Fricker et al. 2019).

145
146 Pre-drought density of live trees within 10 m of every live tree was calculated from the 2011-
147 2012 survey data, both in terms of the number of neighboring trees, and neighborhood tree basal
148 area. The number of neighboring trees was split into small (< 25 cm DBH) and moderate-large
149 (\geq 25 cm DBH) size classes. Bark beetles are host specific, with fir engraver (*Scolytus ventralis*)
150 attacking red and white fir, Jeffrey pine beetle (*D. jeffreyi*) attacking Jeffrey pines, mountain pine
151 beetle (*D. ponderosae*) attacking sugar pine, and red turpentine beetle (*Dendroctonus valens*)
152 attacking Jeffrey and sugar pine. Thus, for each tree the neighboring basal area of each beetle's
153 host species and non-host species were calculated. The 10 m radius was selected based on
154 analyses of local density effects on tree growth conducted in Sierra Nevada mixed-conifer forests

155 (Das et al. 2008, 2011). The mean annual growth rate of an individual tree was calculated as the
156 difference in diameter from the post-treatment measurement (2003 or 2004) and the pre-drought
157 measurement (2011 or 2012) divided by the number of years between measurements. Growth
158 was standardized by species and tree size and should be interpreted as growth anomaly where
159 negative values represent below average and positive values above average growth respectively.
160 Whether a tree died during the drought was determined by a change in live to dead status
161 between the pre- and post-drought (2016 or 2017) survey. We only evaluated trees recorded as
162 alive in 2011.

163

164 *Statistical analysis*

165

166 To evaluate the direct and indirect drivers of tree mortality during drought we built a Bayesian
167 multi-level and multivariate model. The multi-part structure of the model follows our four
168 primary questions with 1) density, 2) growth, 3) beetle infestation, and 4) mortality submodels
169 (Figure 1).

170

171 [1]

$$172 \text{density}_{i,k} = \alpha_{\text{treatment}[i]} + \alpha_{\text{plot}[i]}$$

173

174 We modeled neighborhood density around tree i as a function of the six-level burning and
175 thinning treatments. $\text{density}_{i,k}$ is a multivariate response with k variables: number of small trees
176 (< 25 cm DBH), medium-large trees (≥ 25 cm DBH), as well as basal area (BA) of fir engraver
177 hosts, red turpentine beetle hosts, mountain pine beetle hosts, Jeffrey pine beetle hosts, and BA

178 of non-host species for each beetle. Basal area was used as a measure of density as it relates to
179 beetle infestation rates as we hypothesized the amount of beetle habitat to be more important
180 than the number of neighboring trees. On the other hand, we expected the number of individuals
181 of different size classes to be better indicators of competitive pressure since mature trees and
182 saplings draw water from different soil depths (Plamboeck et al. 2008). A varying intercept for
183 plot ID was included here and in subsequent sub-models to account for spatial non-independence
184 of trees within plots. The log of all density metrics was used along with a gaussian error
185 structure. We hypothesized treatments negatively affect stand density (Figure 1).

186

187 [2]

$$188 \quad growth_i = (\alpha_0 + \beta_1 * density.sm_i + \beta_2 * density.sm_i * dbh_i + \\ \beta_3 * density.lg_i + \beta_4 * density.lg_i * dbh_i) * \beta_{species}[i] + \beta_{plot}[i]$$

189

190 We modeled growth of tree i as a function of neighborhood density of small ($density.sm$) and
191 medium-large ($density.lg$) trees, and the interaction of each trees' diameter (dbh_i) and
192 neighborhood density. The model intercept α_0 and slope parameters β were allowed to vary by
193 species as random effects. We tested different metrics of local density and found that the number
194 of neighboring trees outperformed models using basal area when evaluating growth. Growth was
195 modeled using a Gaussian error structure. We hypothesized greater neighborhood density
196 decreases tree growth but that this affect is dependent on species and tree size (Figure 1).

197

198 [3]

$$199 \quad \text{logit}(\text{beetle}_{i,j}) = \alpha_0 + (\beta_1 * \text{Density.Host}_{i,j} + \beta_2 * \text{Density.Other}_{i,j} + \\ 200 \quad \beta_3 * \text{dbh}_i + \beta_4 * \text{growth}_i + \beta_5 * \text{burn}_i) * \beta_{\text{species}[i,j]} + \beta_{\text{plot}[i]}$$

200

201 The likelihood of infestation by beetle j at tree i was modeled as a function of the log basal area

202 of a beetle's host species ($\text{Density.Host}_{i,j}$), log basal area of non-host species

203 ($\text{Density.Other}_{i,j}$), diameter of the focal tree (dbh_i), the pre-drought growth (growth_i) of the

204 focal tree, and whether the tree experienced prescribed burning (burn_i). Where a beetle species

205 has multiple conifer hosts (i.e. fir engraver infests both white and red fir, and red turpentine

206 beetle infests both sugar and Jeffrey pines), the slope parameters were allowed to vary by species

207 as random effects. The likelihood of infestation was modeled using a binomial error structure

208 with a logit link. We hypothesized greater host density to increase the probability of infestation,

209 non-host density to have no effect, and larger trees to be infested at greater rates. We also

210 hypothesized trees previously exposed to prescribed fire may be infested more often because

211 fire-damage can facilitate beetle attack, although such effects are typically observed within 5

212 years of treatment (Schwilk et al. 2006, Youngblood et al. 2009; Figure 1).

213

214 [4]

$$215 \quad \text{logit}(\text{mortality}_i) = (\alpha_0 + \beta_1 * \text{growth}_i + \beta_2 * \text{burn}_i + \\ \beta_3 * \text{density.sm}_i + \beta_4 * \text{density.sm}_i * \text{dbh}_i + \\ \beta_5 * \text{density.lg}_i + \beta_6 * \text{density.lg}_i * \text{dbh}_i + \\ \beta_7 * \text{solar}_i + \beta_8 * \text{TWI}_i) * \beta_{\text{species}[i]} + \\ 216 \quad \beta_{\text{beetle}[j]} * \beta_{\text{species}[i,j]} + \beta_{\text{plot}[i]}$$

217 The likelihood of mortality of tree i was modeled as a function of a tree's pre-drought growth
218 rate ($growth_i$), density of small ($density.sm$) and medium-large competitors ($density.lg$), the
219 interaction with density and focal tree diameter (dbh_i), whether the tree experienced prescribed
220 burning ($burn_i$), mean annual solar radiation ($solar_i$), topographic wetness index (TWI_i), and
221 whether beetle infestation was noted during 2017-2018 surveys. $\beta_{beetle[j]} * \beta_{species[i,j]}$
222 represented an additive vector of beetle-conifer pairs where the effect of a beetle species is
223 evaluated only for host conifer species. For example, the likelihood of Jeffrey pine mortality
224 included effects of red turpentine and Jeffrey pine beetles but not fir engraver or mountain pine
225 beetles. We included $burn_i$ as a predictor of drought mortality to account for any non-beetle
226 related effects not accounted for elsewhere in our model, particularly for incense-cedar which
227 exhibited little evidence of important drought-related beetles such as cedar bark beetles
228 (*Phloeosinus* spp.). Likewise, we included a direct effect of neighborhood density as a predictor
229 of mortality to account for any effects not captured by pre-drought growth anomaly. For
230 example, at some densities competition for water may not result in reduced growth in average
231 years but becomes limiting under extreme drought conditions. The intercept α_0 and slope effects
232 β were allowed to vary by species as random effects. The likelihood of mortality was modeled
233 using a binomial error structure with a logit link. We hypothesized faster growing, un-infested
234 and unburned trees with fewer neighbors are less likely to die during a drought. Further, we
235 expected trees located in areas with lower solar radiation and higher values of TWI to die less
236 often (Figure 1).

237 In addition to evaluating each hypothesized cause and effect relationship (Figure 1), we used the
238 full model to simulate the indirect effects of thinning and prescribed burning on drought-related
239 mortality. This was analyzed by fitting the model 1000 times for each combination of stand

240 treatment, conifer species, and two tree sizes (25 and 75 cm DBH). Uncertainty associated with
241 each model parameter and sub-model was propagated through the hypothesized chain of
242 causation to avoid under-estimating the total uncertainty between treatment and mortality. The
243 result of these simulations are posterior prediction distributions of the probability of mortality for
244 each scenario. For each species and size, the effect of treatment is expressed as the difference in
245 these distributions from the control scenario.

246

247 We report mortality rates of all monitored trees (14,764) below but for modeling we omitted
248 trees along plot edges (i.e. within 10m) where neighborhood density could not be calculated
249 fully. This reduced our sample size to a total of 10,510 trees (Table 1). Probabilistic statements
250 in the results are calculated using model posterior distributions. For example, contrasts between
251 categories (e.g. density within untreated vs. overstory thinned plots) were calculated as the
252 difference between category posteriors. The probability an effect was positive (or negative) was
253 calculated as the proportion of the parameter posterior distribution above (or below) zero. The
254 model was fit using the brms and rstan packages (Bürkner 2017, Stan Development Team 2018)
255 in the R statistical environment (R Core Team 2019). The full joint model was run with 3 chains,
256 each for 3000 samples with a warmup of 1500 samples and 4500 total post-warmup samples.
257 Traceplots and R-hat values were assessed for proper mixing and model convergence. Full model
258 code and data can be found in the supplementary material.

259

260 **Results**

261

262 *Mortality and infestation rates*

263

264 Overall, the percentage of trees that died during the drought was low for incense-cedar (3%), and

265 Jeffrey pine (8%), and relatively high for sugar pine (24%), white fir (34%), and red fir (38%).

266 Mortality rates were often lowest for both firs and incense-cedar with moderate diameters at

267 breast height (25-50 and 50-75cm DBH). Sugar pines experienced high rates of mortality among

268 larger diameter trees (≥ 50 cm DBH), and Jeffrey pines showed little variation in mortality across

269 size classes (Figure 2; Table A1).

270

271 Jeffrey pine mortality was 7% when no beetle infestation was observed, 10% when only Jeffrey

272 pine beetle was observed, 15% when only red turpentine beetle was observed, and 14% among

273 individuals infested by both beetle species. Un-infested sugar pine mortality was 20%, 25%

274 when only mountain pine beetle was observed, 37% when only red turpentine beetle was

275 observed, and 100% when trees were infested by both beetle species. Observed mortality rates of

276 white fir increased from 25% among un-infested trees to 55% among fir engraver infested trees,

277 while red fir saw a similar but greater increase from 24% to 65% between the un-infested and

278 infested groups, respectively. No important drought-associated insect infestations were observed

279 among incense-cedars.

280

281 *Treatment effects on density*

282

283 Neighborhood density within a 10 m radius a decade following treatments was lowest in thinned

284 and burned plots. The number of small neighboring trees (<25 cm DBH) was highest within the

285 unburned / understory thin with a median (M) of 8 and 50th inter-quantile range (Q50) of 4-14

286 neighbors. We observed median small tree densities of 3 (Q50: 1-7) in the burn / understory thin
287 and 4 (Q50: 2-8) in the unburned / overstory thin plots, both of which were consistently lower
288 than the controls with 98% (burn/understory thin) and 94% (unburned/overstory thin)
289 probabilities (Pr.). Burning and overstory thinning created the lowest small tree density (M: 1,
290 Q50: 0-3) and was statistically lower than the unburned / overstory thin treatment (Pr. 99%;
291 Figure 3a; Table A2). Trees within the control plots had the most medium-large (≥ 25 cm DBH)
292 neighbors (M: 6, Q50: 4-9), while trees within burn / overstory thin plots had the fewest
293 medium-large neighbors (M: 2, Q50: 1-3; Figure 3b). Relative to the control, the model
294 estimated the density of medium to large neighbors decreased for all thinning treatments with a
295 greater than 99% probability, but there was no meaningful difference when a stand was burned
296 but not thinned (Figure 3b; Table A2).

297

298 Treatments reduced neighborhood basal area of fir engraver host species (white and red firs) but
299 had little effect on pine beetle host species (i.e. Jeffrey and sugar pines). Observed neighborhood
300 basal area of fir engraver hosts was highest in the controls with a median of 1.3 m² within 10 m
301 radius (Q50: 0.6-2.2) and lowest in burned / overstory thin treatments (M: 0.1, Q50: 0-0.5; Table
302 A2). Relative to the control, there was little evidence fir engraver host basal area was affected by
303 the burn / no thin treatment, there was a likely negative effect of the unburned / understory thin
304 treatment (Pr. 92%), and clear negative effects of the three more intense treatments (Pr. > 99%;
305 Figure 3c). For red turpentine beetle, basal area was reduced in the burned / understory thin and
306 burned / overstory thin treatments only (Pr. >97%; Figure 3d). Observed neighborhood basal
307 area of Jeffrey pine beetle hosts was low to non-existent (median = 0; Table A2), with no clear
308 effect of any treatment (Pr. < 90%; Figure 3e). Neighborhood basal area of mountain pine beetle

309 hosts (i.e. sugar pines) was reduced by the burned / understory thin and burned / overstory thin
310 treatments (Pr. >98%; Figure 3f). Effects of treatment on non-host basal area of all three pine
311 beetles mirrored those on host species of fir engraver (Figure 3c-f).

312

313 *Density effects on growth*

314

315 The effect of neighborhood density on conifer growth during the drought was dependent on the
316 size of the focal tree as well as the size of nearby competitors. The growth of small sugar pine,
317 incense-cedar, white fir and red fir was lower when surrounded by both small and medium-large
318 neighbors (Pr. > 95%; Figure 4a-b). For these four species, effect sizes of small competitors were
319 most negative when the focal tree was small (Figure 4a) and declined as focal tree diameter
320 increased (i.e. the density:dbh interaction was positive). For larger incense-cedar, sugar pine and
321 red fir the effect on growth became negligible, while the estimated effect on white fir switches
322 sign completely (Figure 4c). Medium-large competitors were estimated to negatively affect
323 growth of large focal trees of all species (Pr. >95%) with the potential exception of large red firs
324 (Figure 4b & d). Neighborhood density of either size class had no discernible effect on small
325 Jeffrey pines but clear negative effects on large Jeffrey pines (Pr. > 95%; Figure 4).

326

327 *Effects on beetle infestation*

328

329 Large sugar pines were infested at greater rates than small trees by both red turpentine and
330 mountain pine beetles (Pr. \geq 99%), although the absolute infestation rate of mountain pine beetle
331 was lower. Large Jeffrey pines were similarly infested at higher rates than small individuals by

332 red turpentine beetles (Pr. 99%) and likely by Jeffrey pine beetles (Pr. 94%). Fir trees showed the
333 opposite trend with smaller individuals being infested by fir engraver at higher rates than large
334 individuals (Pr. $\geq 97\%$; Figure 5a). Tree growth clearly affected infestation probability in two
335 cases with vigorous white fir showing lower rates of infestation of fir engraver, and vigorous
336 sugar pines exhibiting higher rates of mountain pine beetle infestation (Pr. $> 99\%$; Figure 5b).
337 Neighborhood host density increased the likelihood of fir engraver infestation for both fir
338 species, and of red turpentine beetle for Jeffrey pine (Pr. $\geq 98\%$). Being in a burned plot
339 increased the infestation rate of both sugar pine associated beetle species and of fir engraver in
340 the case of red fir (Pr. $\geq 98\%$). White fir was expected to see greater infestation rates of fir
341 engraver in burned plots as well but with greater model uncertainty (Pr. 93%; Figure 5d).
342 Neighborhood density of non-host conifers showed no clear effect on infestation probability
343 (supplemental material).

344

345 *Effects on conifer mortality*

346

347 Pre-drought growth anomaly and whether a tree showed signs of beetle infestation were often
348 strong predictors of tree mortality. The infestation effect of both red turpentine beetle, mountain
349 pine beetle and their interaction on sugar pine mortality were clearly (Pr. $> 99\%$) positive. When
350 other predictors are held at their mean values, our model predicted sugar pines with no sign of
351 infestation to have a median (M) mortality rate of 19% (90% prediction interval: 14-25%). Being
352 infested by only red turpentine beetle increased the probability of mortality to 39% (PI: 22-57%),
353 and only mountain pine beetle increase predicted mortality rate to 27% (PI: 12-40%). When both
354 beetles are observed, mortality is expected for a strong majority of sugar pines (M: 98%; PI: 93-

355 100%). Similarly, being infested by fir engraver increased expected fir mortality rates from a
356 median of 25% (PI: 20-32%) for un-infested white firs to 58% (PI: 50-56%) in infested trees, and
357 from 27% (PI: 19-37%) in un-infested red fir to 74% (PI: 64-82%) for infested trees. There was
358 no apparent effect of infestation on Jeffrey pine mortality, and no important drought-related pests
359 were observed among incense-cedars (Figure 6a).

360

361 All five conifer species assessed showed strongly decreasing rates of mortality with increased
362 pre-drought growth rates (Pr. >99%), although the benefit of pre-drought vigor was markedly
363 lower for sugar pine as compared to other species (Figure 6b). After accounting for density-
364 influenced growth, neighborhood density of medium-large (≥ 25 cm DBH) trees was positively
365 related to mortality for white fir (Pr. > 99%), and Jeffrey Pine (Pr. 98%) with the magnitude of
366 the effect varying little with the size of focal tree (Figure 6c). Conversely, white fir mortality was
367 lower when density of small (< 25 cm DBH) neighbors was high. No other species showed clear
368 direct effects of small tree density on mortality (supplementary material). The topographic
369 variables of solar radiation and topographic water index (TWI) rarely influenced conifer
370 mortality. Incense-cedar were more likely to die at low levels of TWI (Pr. 97%), and white fir
371 were more likely to die in areas with high solar radiation (Pr. 95%). Being in a burned plot may
372 directly increase mortality for incense-cedar (Pr. 94%) and sugar pine (Pr. 92%; supplementary
373 material).

374

375 *Indirect effects of treatment on mortality*

376

377 Model predictions showed the indirect effect of treatments on drought-related mortality varied
378 among species and occasionally by tree size (Figure 7). Our relatively smaller sample sizes for
379 Jeffrey pine and red fir limited the power of some of our analyses when the data was parsed by
380 tree size and treatment type (Table A1). Relative to the controls, mortality of small (25 cm DBH)
381 incense-cedars was reduced when stands had been thinned and increased when burned, although
382 absolute effect sizes are low given low rates of incense-cedar mortality generally. Small incense-
383 cedars were predicted to die 0.4% (90% PI: 0.0, 1.0%) less often on average (μ) with understory
384 thinning and 0.6% (PI: 0.2, 1.3%) when heavily thinned, but are predicted to die 1.4% (PI: 0.0,
385 3.1%) more often when burned only. Mortality differed little from controls when thinning and
386 burning were combined (Figure 7a). Drought mortality of Jeffrey pines of all sizes was predicted
387 to decrease for all treatments with the effect size and model certainty increasing with increasing
388 intensity of treatment. Burned / overstory thin treatments were predicted to produce the greatest
389 decrease in mortality rates for both large (μ : 4.7%; PI: 0.4, 9.9%) and small (μ : 4.5%; PI: 0.2,
390 10.1%) Jeffrey pines (Figure 7b). Sugar pine saw little effect of thinning on drought mortality
391 when unaccompanied by prescribed burning, but showed large increases in mortality within
392 prescribed burn plots. Relative to controls, mortality of large sugar pines was predicted to
393 increase by 11.7% (PI: 2.2, 22.5%) within burned / no thin plots, and somewhat lower and less
394 certain increases for burned / understory thin (μ : 8.4%; PI: -1.3, 18.9%) and burned/overstory
395 thinned plots (μ : 7.0%; PI: -2.2, 18.2%). Predicted increases in mortality due to burning were
396 marginally lower for small sugar pines (Figure 7c). Thinning treatments appear to have reduced
397 drought-related mortality for white fir with the greatest reduction in mortality rate for large trees
398 occurring in understory thin treatments (μ : 7.0%; PI: 2.4, 11.8%), and in overstory treatments for
399 small trees (μ : 9.4%; PI: 6.6, 12.7%; Figure 7d). Thinning may have reduced and burning may

400 have increased drought mortality of red fir, although model uncertainty was high. Relative to
401 controls, mortality of large red firs was predicted to decrease the most in unburned / overstory
402 thinned stands by 4.2% on average (PI: -3.2, 11.9%). Burned / no thin treatments were predicted
403 to increase mortality of large red firs by 10.7% on average but with a wide prediction interval
404 (PI: -7.6, 30.7%; Figure 7e).

405

406 **Discussion**

407

408 Trees can die during drought through water stress alone or through a combination of water stress
409 and infestation of drought-associated beetle pests (Stephenson et al. 2019). The long-term study
410 at Teakettle Experimental Forest and California's historic 2012-2016 drought provided an
411 opportunity to test these two inter-related pathways by manipulating stand densities and
412 compositions through mechanical thinning and prescribed burning. Generally, we found that
413 trees with a less dense competitive environment (i.e. fewer neighbors) were more vigorous prior
414 to the drought, which translated to lower probability of mortality. Trees with greater
415 neighborhood basal area of conifers that host the same beetle species were often infested at
416 greater rates than relatively isolated individuals and were more likely to die during the drought.
417 Surprisingly for some species, having experienced a prescribed burn more than a decade prior to
418 the drought increased the likelihood of beetle infestation and ultimately the probability of
419 mortality. This effect was especially strong for large sugar pines. Jeffrey pines appear to benefit
420 most consistently from both thinning and prescribed burning treatments designed to reduce stand
421 density and increase forest resilience to disturbance.

422

423 Forest thinning treatments a decade before the drought provided some decrease in drought
424 mortality (Figure 7). There could be several reasons for this modest response, but three in
425 particular may be influential. First, fuel reduction treatments designed to mitigate wildfire hazard
426 by reducing crown density and increasing height to live crown may not alter forest structure in a
427 way that reduces drought-related conifer mortality. Treatments to increase resilience to beetle
428 infestation focus on reducing host tree density and increasing residual tree spacing, improving
429 tree vigor by reducing stand basal area, selective removal of low vigor trees, and increasing
430 stand-level heterogeneity (Fettig et al. 2007, North 2012, Gillette et al. 2014). The unburned
431 overstory treatment tested here most resembles this approach and our results suggest this would
432 most benefit Jeffrey pines and small diameter white fir (Figure 7). Second, modest mortality
433 reductions may be attributable to the relatively small size of the plots (4 ha) and the fact that they
434 were imbedded in a larger, fire-suppressed landscape with high beetle populations. Specifically,
435 the 18 experimental plots assessed encompassed a total of 60 ha, which equates to just 0.05% of
436 the 1300 ha Teakettle Experimental Forest. Beetle outbreak severity in the Sierra Nevada varied
437 with latitude and elevation (Fettig et al. 2019), and these broad-scale differences can overwhelm
438 local factors such as reduced neighborhood competition and low conspecific density that
439 otherwise increase tree resistance to beetle mortality. Third, the magnitude and duration of the
440 unprecedented 2012-2016 drought (Griffin and Anchukaitis 2015) may have exhausted tree
441 defenses against beetles (i.e. nonstructural carbohydrate storage; He et al. 2020) to an extent that
442 overrode treatment benefits. One element of California's drought that may have made its impact
443 so severe was the timing of warm temperatures and water scarcity during winter and early spring
444 when substantial growth occurs in Mediterranean forests (Earles et al. 2018). Ultimately, the
445 compounding effects of prescribed fire, followed by drought and beetle attack may have depleted

446 tree defenses (Piper and Paula 2020), a potential harbinger of many forest's limited resilience to
447 multiple stresses in a non-analog future.

448

449 *Pathways to persistence or mortality*

450

451 A reduction in stand density and the competitive environment often results in increased vigor
452 among surviving trees. One exception to this generalization was a tendency of large white firs to
453 grow relatively rapidly among higher density of small trees (< 25 cm DBH; Figure 4c). Previous
454 Teakettle research found white fir basal area was positively associated with thicker soils (Meyer
455 et al. 2007) which hold more water. This suggests that at least for large white fir, increased
456 competition from small neighbors may be offset by higher productivity sites on which this
457 greater density occurs. Pre-drought growth also affected beetle infestation rates in two instances
458 with vigorous white firs infested by fir engraver at lower rates and vigorous sugar pines infested
459 by mountain pine beetle at higher rates (Figure 5b). The white fir-fir engraver relationship is
460 consistent with much of the literature (Ferrell et al. 1994, Hood and Sala 2015), but the sugar
461 pine-mountain pine beetle result is surprising. Two potential explanations for this unexpected
462 finding are that there exists a trade-off between growth and defense in sugar pines (Mata et al.
463 2017), while the greater phloem thickness associated with pines released from competition
464 constitutes a more attractive resource, which could be overwhelmed during outbreak conditions
465 (Lahr and Sala 2014, Bentz et al. 2015).

466

467 Fuels treatments also likely affected beetle infestation in two ways. First, the direct effect of
468 prescribed burning was positively associated with beetle infestation in sugar pines, and red firs

469 (Figure 8), consistent with previous Teakettle research which found bark beetle attack was higher
470 in burned than unburned plots three years after treatment (Maloney et al. 2008). Research in
471 other mixed-conifer and ponderosa pine forests have also found higher rates of bark beetle attack
472 following damage from prescribed fire (McHugh et al. 2003, Breece et al. 2008, Fettig et al.
473 2010, Collins et al. 2014). Subsequently, fire induced damage may facilitate future beetle attack
474 among trees whose defenses were weakened by past prescribed fire (Parker et al. 2006).
475 However, increased infestation rates following prescribed burning are typically short lived (i.e.
476 within the first 5 years) and result in limited tree mortality (Stephens et al. 2012, Fettig and
477 McKelvey 2014). Such strong effects a decade following burning are surprising and perhaps
478 another result of the unprecedented severity of the 2012-2016 drought. Second, neighborhood
479 density of beetle host trees was positively associated with beetle infestation rates in the case of
480 fir engraver for both white and red firs (Smith et al. 2005), and red turpentine beetle in the case
481 of Jeffrey pine (Egan et al. 2016). Non-host density did not affect beetle infestation. Fir engraver
482 was observed more often in small firs, while red turpentine beetle infested large pines, and
483 mountain pine beetle infested large sugar pines at higher rates. Thus, treatments that reduce stand
484 density can indirectly reduce the likelihood of beetle infestation in some cases, but how the
485 treatments affect stand composition and demography is also important. For example, removal of
486 pines would not alter fir engraver infestation rates but reducing the density of white or red firs
487 would.

488

489 Both pre-drought tree growth and observed beetle infestation during the drought were strong
490 predictors of tree mortality. For all species, slowly growing trees were more likely to die during
491 the drought while rapidly growing trees frequently survived (Figure 8). Beyond these generalized

492 responses, there were substantial differences by species. Jeffrey pine did not show increased
493 mortality rates even when infested by red turpentine and Jeffrey pine beetle (Figure 8b). This
494 resistance to beetle infestation could be related to Jeffrey pine being less drought stressed
495 because their roots can access deep (>4 m) water in fissures within the granitic bedrock (Hubbert
496 et al. 2001, Hurteau et al. 2007). The reduction in drought mortality attributable to pre-drought
497 growth was weaker for sugar pine than other species (Figure 6b) and more vigorous individuals
498 were more likely to be infested by mountain pine beetle (Figure 5b). Although incense-cedar can
499 potentially be colonized and killed by cedar bark beetles (*Phloeosinus* spp.), these species were
500 generally not considered an important causal agent of tree mortality during prior droughts in the
501 Sierra Nevada (Fettig and Mortenson 2018). Cedar mortality was mostly driven by dry sites and
502 fire (Figure 8a).

503

504

505 Variation in the micro-climate has also been proposed as an important driver of drought-
506 mortality (Restaino et al. 2019). While we did not test the influence of edaphic factors such as
507 soil depth or texture, we tested the effects of topographic water index and solar radiation on tree
508 mortality and found no consistent effects. The largely weak effects of these micro-climate
509 variables suggests that variation in climate may be more important at broad-scales where
510 variation in moisture availability and drought stress is greater (Young et al. 2017). At fine scales
511 topography may influence baseline species composition and density, while local stand density
512 and burn history are the primary indirect drivers of drought mortality.

513

514 *Management challenges*

515 Density reduction treatments that rely on mechanical thinning alone had neutral to positive
516 effects on conifer survival during the 2012-2016 drought (Figure 7 & 8). The overstory treatment
517 that removed medium to large trees (e.g. $\geq 25\text{cm}$) was most beneficial to residual individuals,
518 suggesting such a strategy could be used broadly to increase drought resilience for some species
519 (i.e. Jeffrey pine and white fir). While removal of smaller trees (e.g. $\leq 25\text{cm}$) may be less
520 effective at mitigating drought mortality, treatments focused on ladder and surface fuels may still
521 be preferred when considering non-drought objectives such as reducing fire hazard or
522 maintaining wildlife habitat (Stephens et al. 2012).

523

524 Prescribed burning appears less effective than mechanical thinning at reducing drought mortality
525 and in some cases can lead to higher beetle infestation and mortality rates (Figure 8). This is
526 most striking in the case of large sugar pines which died at much higher rates in prescribed burn
527 plots during the drought. The negative effect of burning on tree survival is somewhat surprising
528 given that the fire regime under which these forests developed was characterized by frequent
529 (i.e., 11-17 years) low- to moderate-severity fire (North et al. 2005, Safford and Stevens 2017),
530 and that the prescribed burn occurred approximately a decade prior to the drought. Further, van
531 Mantgem et al. (2016) observed decreased tree mortality associated with prescribed fire
532 elsewhere in the Sierra Nevada following the initial two years of California's drought, and
533 Meyer et al. (2019) found no difference in mortality between paired burned and unburned plots
534 in red fir forests during the middle and late periods of the drought. The forests Meyer et al.
535 (2019) sampled were at higher elevations than Teakettle where soil moisture is substantially
536 higher and temperatures lower. The results presented here could be unique to the Teakettle
537 Experimental Forest, but we suspect they are more likely attributable to the historic severity of

538 the 2012-2016 drought. When beetle populations are less than epidemic such as at higher
539 elevations, during moderate droughts, or early in severe droughts, previous fire and its associated
540 reduced density may be neutral or ameliorating for conifer mortality. Our sugar pine results may
541 indicate a tipping point beyond which the combination of extreme water stress from drought,
542 bark beetle outbreaks, and fire result in increasingly high rates of tree mortality (Nesmith et al.
543 2015), and subsequent forest structural changes outside the natural range of variation (Young et
544 al. 2020). These results suggest cautious low-intensity and small (i.e., stand) scale prescribed
545 burning, as it is often applied by managers, may only benefit forests under short duration drought
546 stress while contributing to higher mortality in red fir and sugar pine during prolonged and
547 exceptional droughts. High mortality rates of large sugar pines may be related to prescribed fires
548 consumption of deep litter and duff layers that have accumulated around the base of pine species
549 under fire suppression, suggesting removal of litter and duff through raking could protect
550 individual trees. Nesmith et al. (2010) found raking increased survival and reduced bark beetle
551 activity when fire intensity was moderate ($< 80\%$ crown scorch) and when fuel depth was ≥ 30
552 cm. Thus, protecting individual trees of high ecological value may be possible prior to prescribed
553 burns. However, such targeted measures are infeasible at broad scales in fire-prone landscapes of
554 the Sierra Nevada. In the long run, retaining sugar pine in these pyrogenic landscapes may hinge
555 on fostering sunny, bare mineral soil conditions favorable for sugar pine regeneration and in the
556 future reducing surface fuels on a regular basis.

557

558 Treatment effects on large diameter trees are often the focus of management restoration efforts
559 since these structures have been reduced from past logging, take a long time to develop, and are
560 associated with important ecosystem services (e.g., sensitive species habitat and carbon storage).

561 Treatments using only thinning consistently reduced mortality of large (> 75 cm DBH) trees
562 across species, albeit with different effect sizes. For incense-cedar and especially white fir there
563 was a greater reduction in mortality for small versus large trees, which are often the target of
564 fuels reduction treatments. Prescribed fire has mixed effects, reducing mortality of large Jeffrey
565 pine and slightly reducing small white fir mortality when combined with thinning, but increasing
566 mortality of large red fir, incense-cedar, and significantly increasing large sugar pine mortality.
567 While prescribed burning is an important tool for increasing resistance to wildfire (Stephens and
568 Moghaddas 2005, Prichard et al. 2010), our results suggest such fuel treatments do not
569 necessarily also instill drought resistance. There is general benefit to all species in reducing
570 density, but the means (i.e., mechanical vs. prescribed fire) of treatment matters, suggesting
571 caution in widespread use of fire in drought-prone areas where managers want to retain large
572 sugar pines and red fir.

573

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580

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754

755

756 **Tables**

757

758

759 **Table 1.** Summary statistics of trees used in statistical modeling. The median (50% inter-quantile range) of diameter at breast height,

760 pre-drought growth rate, neighborhood basal area within a 10 m radius, percent of trees infested, topographic wetness index, solar

761 radiation, and number of trees analyzed are reported for the five common conifer species assessed.

	Incense-cedar	Jeffrey pine	sugar pine	white fir	red fir
Diameter at Breast Height (cm)	24 (15, 41)	50 (20, 94)	31 (18, 92)	23 (13, 39)	21 (12, 47)
Growth (cm ² /yr)	14 (4, 34)	18 (6, 43)	25 (8, 76)	14 (5, 32)	12 (4, 35)
# neighboring trees	10 (6, 16)	6 (2, 10)	9 (5, 15)	12 (7, 19)	12 (8, 17)
Neighborhood basal area (m ²)	1.3 (0.5, 2.3)	0.7 (0.2, 1.5)	1.2 (0.4, 2.3)	1.6 (0.8, 2.7)	1.9 (1.0, 2.9)
Topographic water index	3.6 (3.3, 3.9)	3.4 (3.1, 3.7)	3.5 (3.3, 3.8)	3.5 (3.3, 3.8)	3.7 (3.4, 4.0)
Annual Solar Radiation (MWH/m ²)	1.67 (1.63, 1.70)	1.68 (1.61, 1.72)	1.66 (1.60, 1.69)	1.66 (1.59, 1.69)	1.62 (1.54, 1.69)
Observed beetle infestation (%)	11.7	15.6	11.4	25.3	35.0
# Trees	1736	467	901	6892	514

762

763

764 **Figures**

765

766 **Figure 1.** Hypothesized causal model of drought mortality. Direct positive (+) and negative (-)
767 effects are hypothesized for all five dominant conifer species at the Teakettle Experimental
768 Forest. Many effects are allowed to vary by species and tree diameter (Equations 2-4).
769 Topographic wetness index is abbreviated as TWI.

770

771 **Figure 2.** Mortality of five conifer species during the 2012-2016 drought, summarized by
772 diameter at breast height size class. Total number of monitored trees for each species is printed
773 to the right of the bars. Tabulated mortality rates by species, size class, and treatment can be
774 found in Table S1.

775

776 **Figure 3:** Estimated neighborhood density within 10 m under different forestry treatments.
777 Density is measured as the number of A) small (< 25 cm diameter at breast height [DBH]) and
778 B) medium-large (≥ 25 cm DBH) trees, and C-F) basal area (m^2) of beetle host species.

779

780 **Figure 4.** Effects of neighborhood density on individual tree growth. The number of competing
781 neighbors of two size classes (columns) interacts with the diameter at breast height (DBH) of the
782 focal tree. DBH of the focal tree is modeled as a continuous variable but are fixed at 25 cm DBH
783 and 75 cm DBH to illustrate this interaction. Thick lines show mean effects estimates with solid
784 lines representing relationships where the 90% credible interval of the effect estimate does not
785 include zero. To illustrate the spread of credible effects, 30 model posterior draws are also shown

786 as faint lines. Neighborhood density was calculated as the number of small (<25 cm DBH) and
787 medium-large (≥ 25 cm DBH) trees within a 10 m radius of a focal tree.

788 **Figure 5.** Marginal effects of beetle infestation. A) Tree diameter at breast height (DBH), B) pre-
789 drought tree growth relative to an individual's size and species average, C) host species basal
790 area within a 10 m radius, and D) whether a tree experienced a prescribed burn treatment. Beetle
791 and tree species abbreviations: jpb = Jeffrey pine beetle, rtb = red turpentine beetle, mpb =
792 mountain pine beetle, eng = fir engraver, pije = *Pinus jeffreyi* (Jeffrey pine), pila = *Pinus*
793 *lambertiana* (sugar pine), abco = *Abies concolor* (white fir), abma = *Abies magnifica* (red fir).
794 For A)-C), thick lines show mean effects estimates with labeled solid lines represent
795 relationships where the 90% credible interval does not include zero. To illustrate the spread of
796 credible effects, 30 model posterior draws are also drawn as faint lines. Note the y-axis scale
797 differs for D).

798

799 **Figure 6.** Direct drivers of mortality. Marginal effects of A) beetle infestation, B) pre-drought
800 tree growth relative to an individual's size and species average, and C) density of medium-large
801 neighbors on an average sized focal tree. Beetle species abbreviations: jpb = Jeffrey pine beetle,
802 rtb = red turpentine beetle, mpb = mountain pine beetle, eng = fir engraver. For B) and C), thick
803 lines show mean effects estimates with solid lines representing relationships where the 90%
804 credible interval does not include zero. To illustrate the spread of credible effects, 30 model
805 posterior draws are also drawn as faint lines.

806

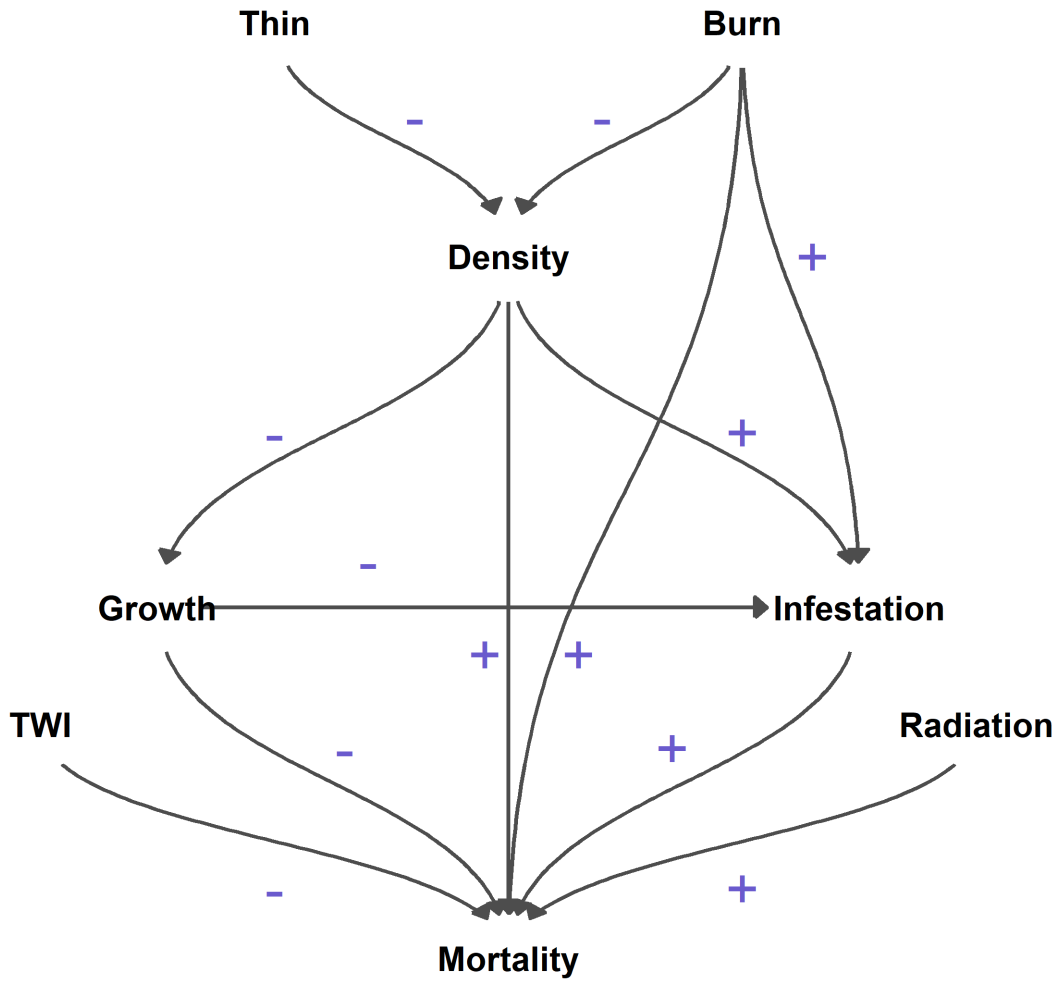
807 **Figure 7.** Indirect effect of forest treatment on drought-mortality. A) incense-cedar, B) Jeffrey
808 pine, C) sugar pine, D) white fir, and E) red fir. Value distributions represent change in

809 probability of mortality relative to controls for two tree sizes. The scale of the x-axis varies
810 among species.

811

812 **Figure 8.** Causal pathways of drought-mortality for A) incense-cedar, B) Jeffrey pine, C) sugar
813 pine, D) white fir, and E) red fir. Only links and effect directions are shown when certainty is
814 high ($\geq 95\%$ probability of an effect).

815 **Figure 1.**

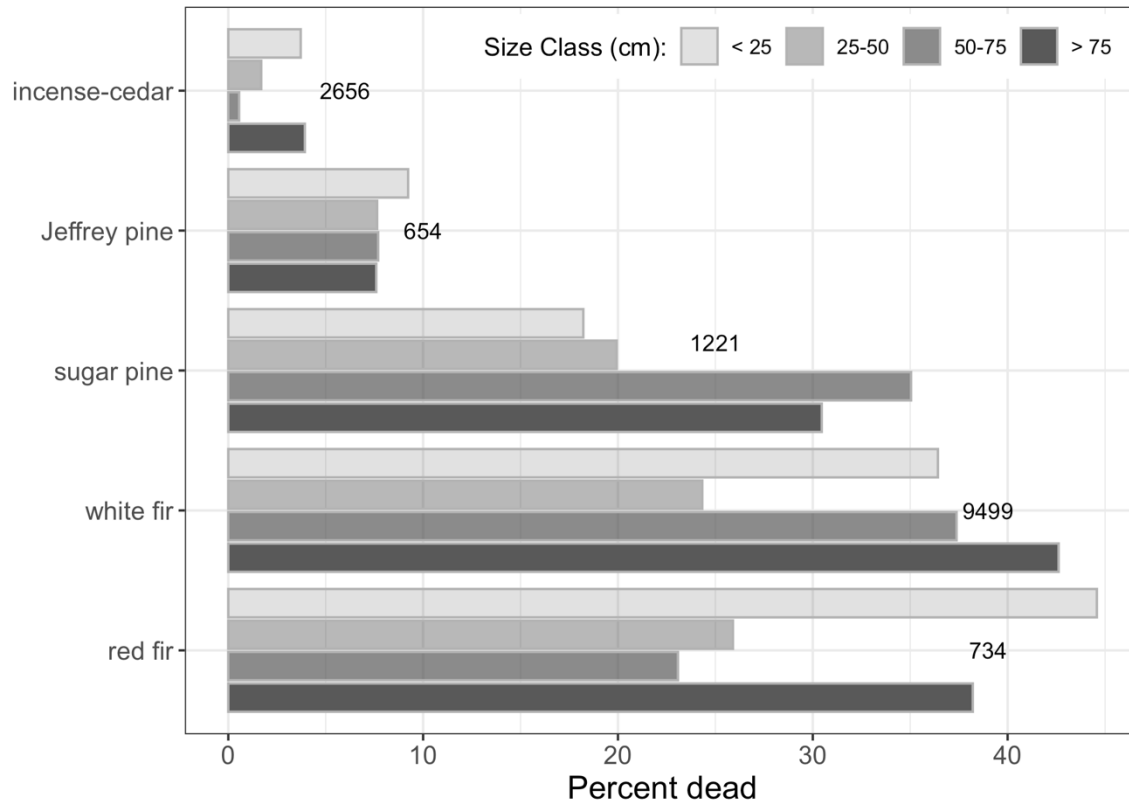


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818 **Figure 2.**

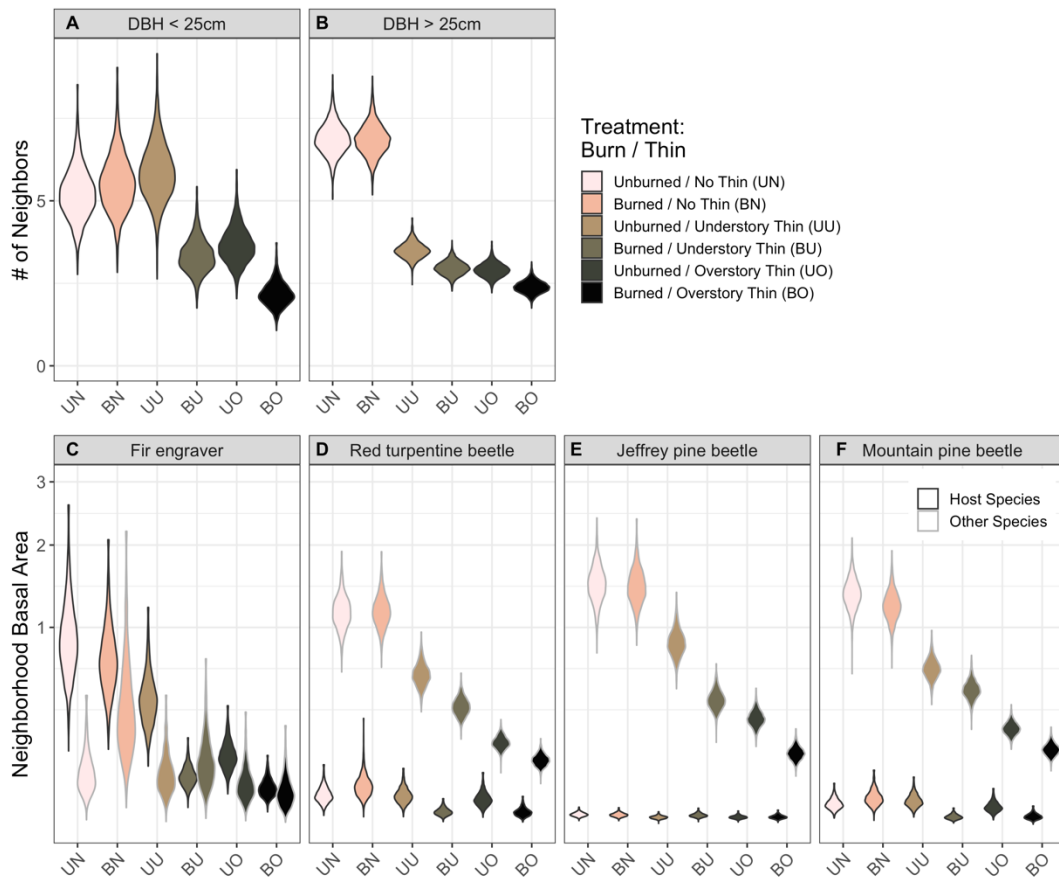
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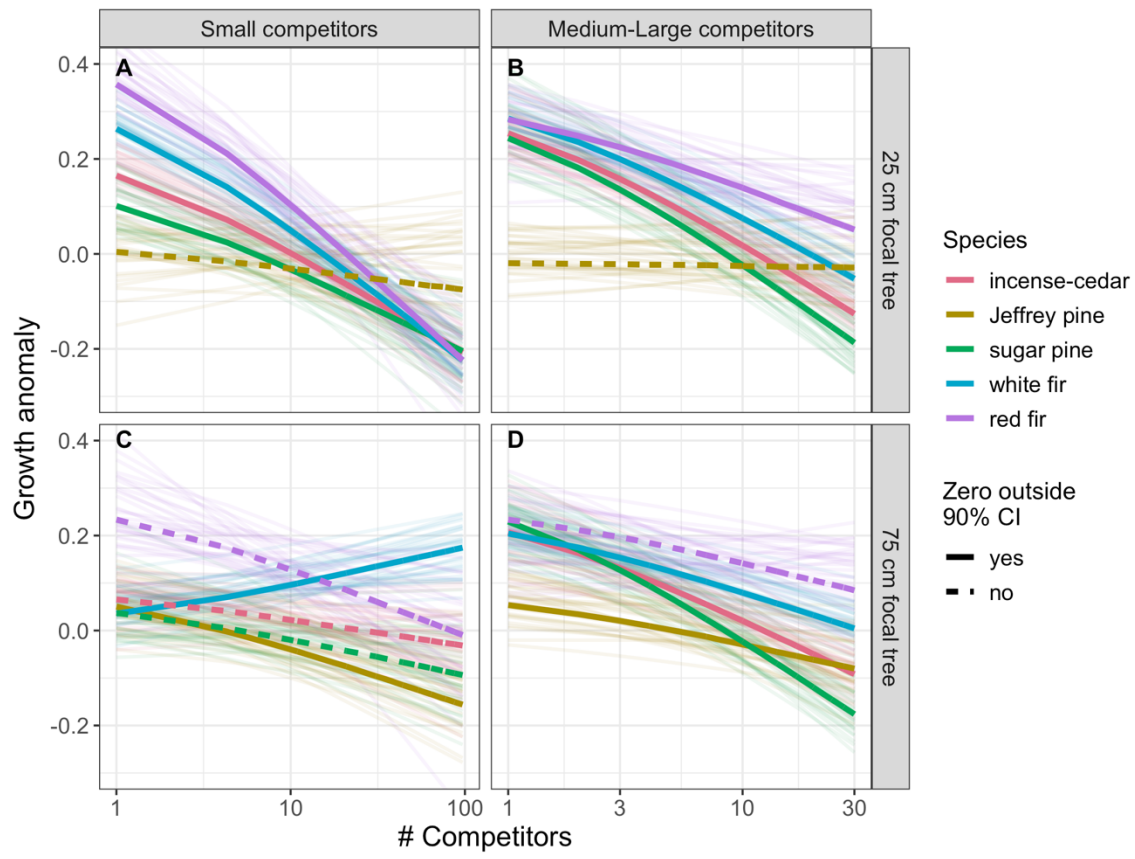
822 **Figure 3.**



823

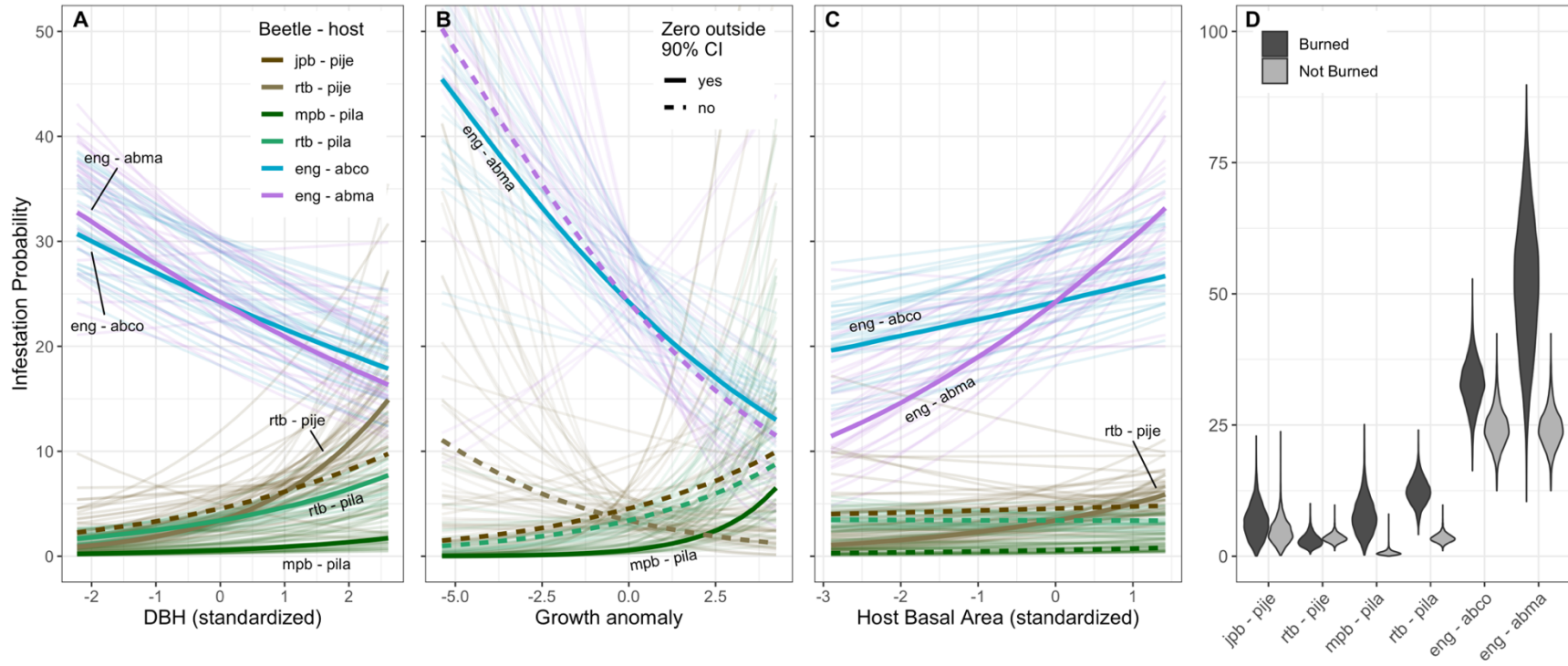
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825 **Figure 4.**



826

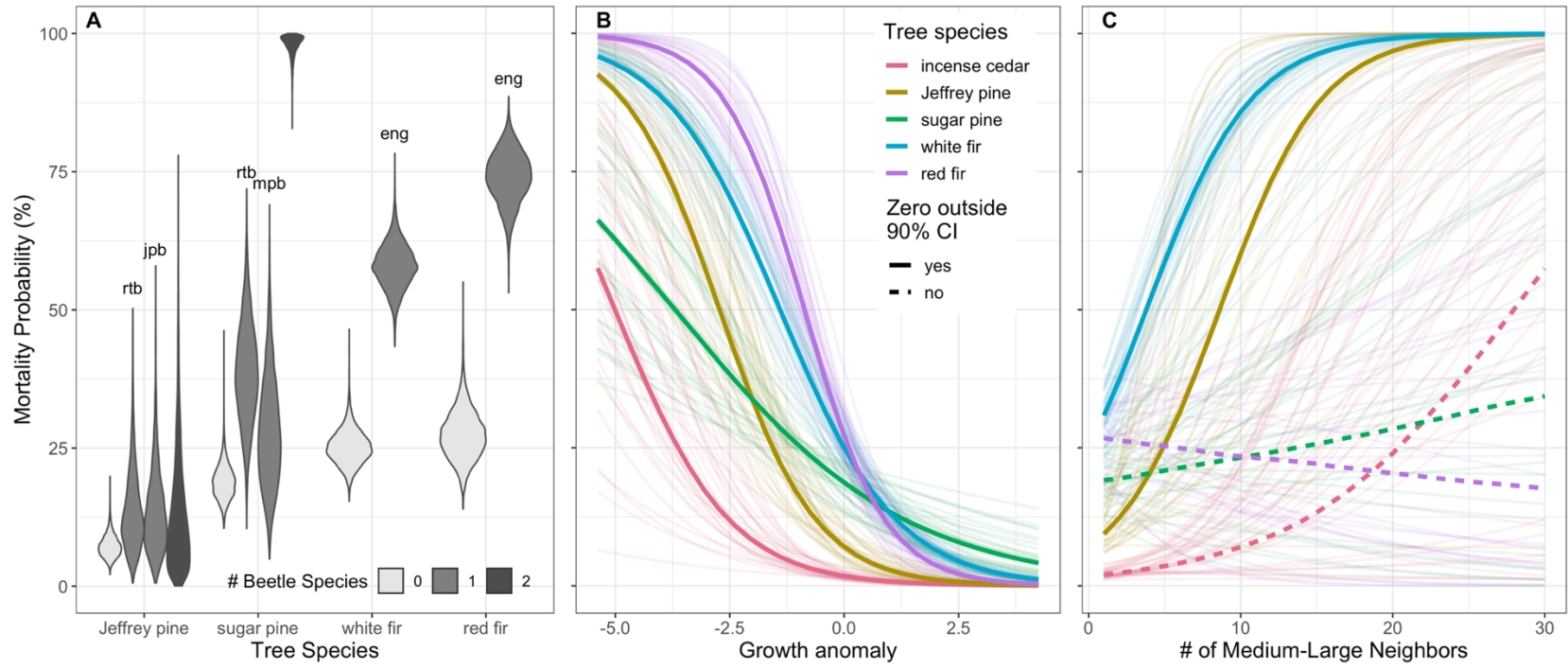
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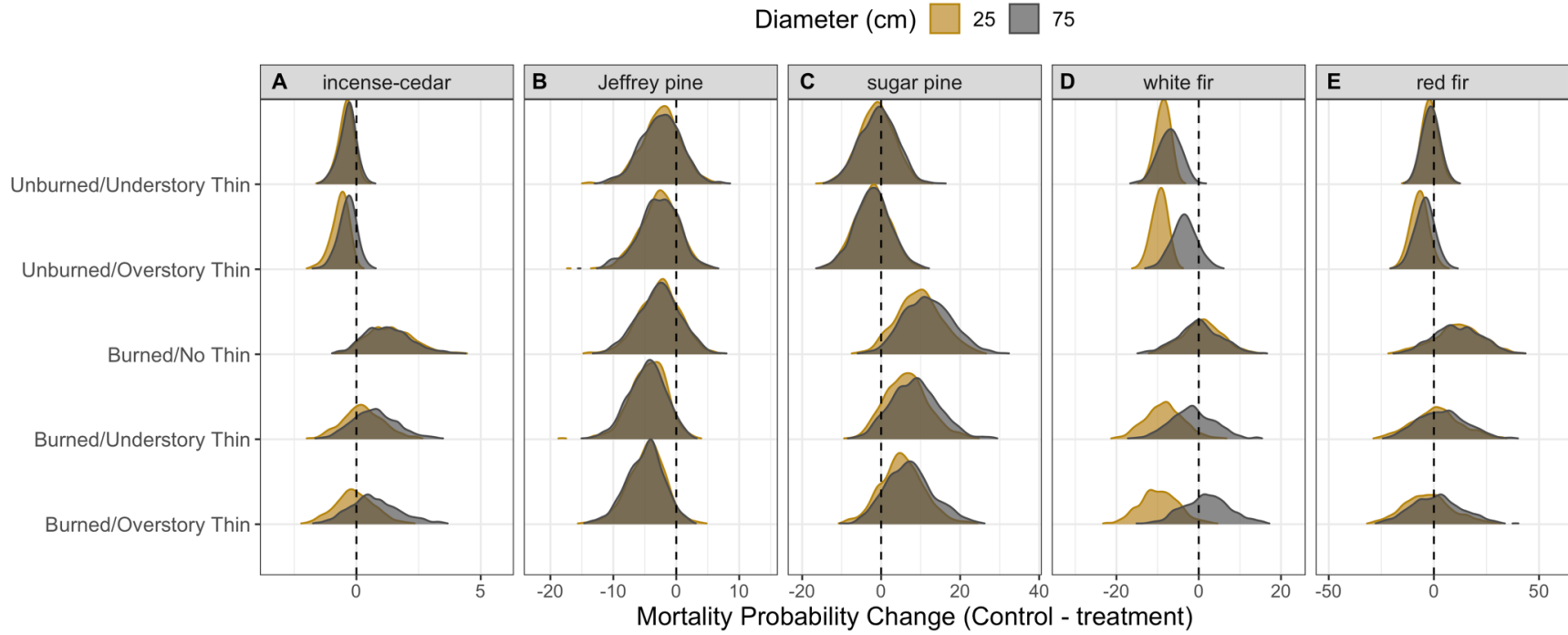
830

831 **Figure 6.**



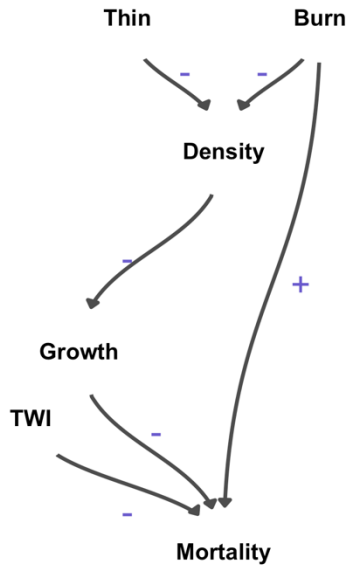
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834 **Figure 7.**

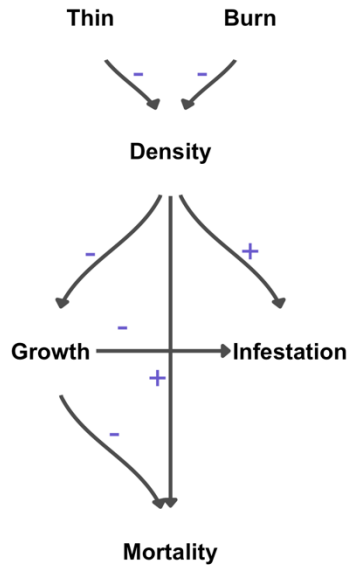


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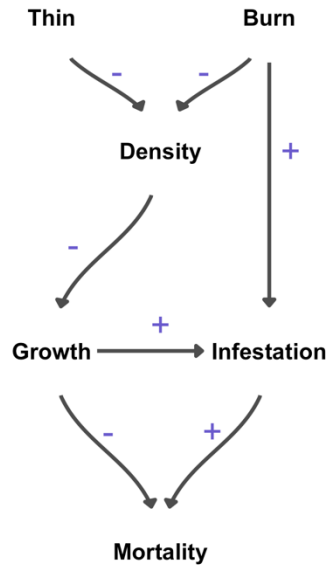
A) incense-cedar



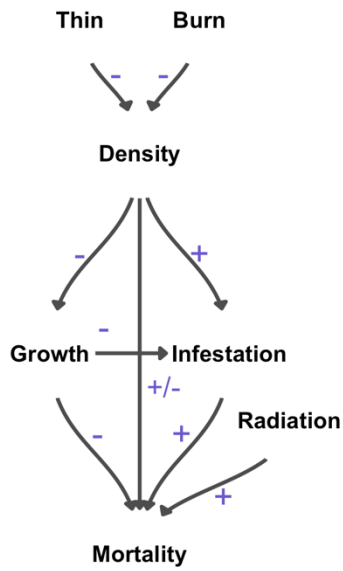
B) Jeffrey pine



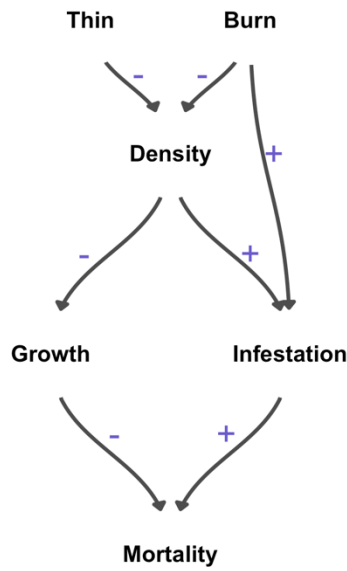
C) sugar pine



D) white fir



E) red fir



839 **Appendices**

840

841 **Table A1.** Percent mortality by species, size class and treatment of all monitored trees. NA indicates no trees are represented in a
 842 given combination of variables.

Species	Size Class	Control	Burned / No Thin	Unburned / Understory Thin	Burned / Understory Thin	Unburned / Overstory Thin	Burned / Overstory Thin
<i>Abies concolor</i>	< 25	48	30	31	40	35	30
<i>Abies concolor</i>	25 - 49	31	25	15	31	20	24
<i>Abies concolor</i>	50 - 75	37	36	38	38	46	46
<i>Abies concolor</i>	> 75	35	44	46	47	41	45
<i>Abies magnifica</i>	< 25	56	NA	38	25	50	0
<i>Abies magnifica</i>	25 - 49	31	NA	13	20	54	NA
<i>Abies magnifica</i>	50 - 75	18	100	0	50	0	NA
<i>Abies magnifica</i>	> 75	38	NA	40	67	0	NA
<i>Calocedrus decurrens</i>	< 25	3	5	2	3	3	5
<i>Calocedrus decurrens</i>	25 - 49	2	1	0	3	0	2
<i>Calocedrus decurrens</i>	50 - 75	0	0	0	0	0	3
<i>Calocedrus decurrens</i>	> 75	5	2	2	7	0	0
<i>Pinus jeffreyi</i>	< 25	22	2	12	0	6	0
<i>Pinus jeffreyi</i>	25 - 49	18	8	4	0	0	0
<i>Pinus jeffreyi</i>	50 - 75	13	10	0	0	0	0
<i>Pinus jeffreyi</i>	> 75	18	10	8	5	2	7
<i>Pinus lambertiana</i>	< 25	30	20	11	0	12	38
<i>Pinus lambertiana</i>	25 - 49	33	30	3	18	14	7
<i>Pinus lambertiana</i>	> 75	18	44	35	37	13	39
<i>Pinus lambertiana</i>	50 - 75	33	40	100	NA	19	75

843

844 **Table A2.** Median and 50th quantile range of neighborhood density. Observed values for number of small (< 25cm diameter at breast
 845 height) and medium-large (> 25cm DBH), as well as basal area of host and non-host trees for major beetle pest species are tabulated.

Density	Control	Burned / No Thin	Unburned / Understory Thin	Burned / Understory Thin	Unburned / Overstory Thin	Burned / Overstory Thin
# Small	7 (4, 12)	7 (4, 13)	8 (4, 14)	3 (1, 7)	4 (2, 8)	1 (0, 3)
# Med-Large Beetle Host	6 (4, 9)	7 (4, 9)	3 (2, 4)	2 (1, 4)	2 (1, 4)	2 (1, 3)
Fir Engraver - Host	1.3 (0.6, 2.2)	1.1 (0.5, 1.8)	0.6 (0.2, 1.2)	0.1 (0, 0.8)	0.2 (0.1, 0.6)	0.1 (0, 0.5)
Fir Engraver - Other	0.2 (0, 0.9)	0.5 (0.1, 1.2)	0.1 (0, 1.4)	0.2 (0, 1.3)	0.1 (0, 0.5)	0.1 (0, 0.3)
Jeffrey Pine - Host	0 (0, 0)	0 (0, 0)	0 (0, 0)	0 (0, 0)	0 (0, 0)	0 (0, 0)
Jeffrey Pine - Other	1.8 (1, 3)	1.8 (1, 2.8)	1.2 (0.4, 2.3)	0.8 (0.2, 1.7)	0.5 (0.2, 0.9)	0.3 (0.1, 0.7)
Mountain Pine - Host	0 (0, 0.2)	0 (0, 0.4)	0 (0, 0.1)	0 (0, 0)	0 (0, 0.2)	0 (0, 0)
Mountain Pine - Other	1.6 (0.9, 2.5)	1.6 (0.9, 2.4)	1 (0.3, 1.8)	0.9 (0.2, 1.8)	0.4 (0.1, 0.7)	0.3 (0.1, 0.7)
Red Turpentine - Host	0 (0, 0.6)	0.1 (0, 0.6)	0 (0, 0.8)	0 (0, 0)	0 (0, 0.3)	0 (0, 0)
Red Turpentine - Other	1.5 (0.8, 2.4)	1.5 (0.8, 2.3)	0.9 (0.3, 1.7)	0.8 (0.2, 1.6)	0.3 (0.1, 0.6)	0.2 (0.1, 0.6)

847 **Supplementary Material**

848

849 **TableS1_modeldata.csv**

850 Model data. Continuous data are standardized with a mean of zero and standard deviation of one.

851

852 **TableS2_parests.csv**

853 Model coefficient estimates. Mean estimates, standard errors, and 90% confidence intervals are
854 tabulated for each submodel.

855

856 **Model.R**

857 Model specification in R. Additional supporting code can be found in two publicly available

858 GitHub repositories: <https://github.com/africker/Topographic-Wetness-Index> and

859 https://github.com/zacksteel/Teakettle_mortality.