

1 **Title:** Contribution of hardwood trees to budworm – parasitoid food web dynamics

2

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41 **Abstract**

42

43 A major pest of Atlantic forests is the spruce budworm caterpillar which outbreaks every  
44 35 years and causes large scale tree mortality. Historically, budworm management has  
45 largely ignored other species in the food web. Broadening the focus could reduce  
46 budworm outbreaks while balancing the multiple demands on our forests. However, the  
47 food web surrounding budworm including other caterpillar species that are attacked by  
48 budworm parasitoids has been relatively undersampled and under-researched.

49 Therefore, we tested two hypotheses: the **alternating hardwood-softwood**  
50 **parasitoids hypothesis** where parasitoids attack other caterpillars on hardwoods when  
51 budworm are rare and attack budworm on balsam fir or other softwoods when budworm  
52 are plentiful, and the **mixed stands natural enemies hypothesis** where stands with a  
53 mixture of softwood and hardwood trees harbour greater abundances and diversity of  
54 budworm parasitoids. We tested these hypotheses using stable isotope analysis of  
55 budworm parasitoids and through community analyses of parasitoids sampled along a  
56 hardwood gradient. We found indications that parasitoids do attack caterpillars on  
57 hardwoods and budworm on balsam fir, but found mixed results for the natural enemies  
58 hypothesis. Our study highlights the importance for budworm management of  
59 understanding the dynamics of the food web surrounding budworm.

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62 **Keywords**

63 *Choristonuera fumiferana*, *Abies balsamea*, hardwood, parasitoids, trophic  
64 relationships, natural enemies hypothesis

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82 **Introduction**

83 Spruce budworm (*Choristoneura fumiferana*) in eastern North American forests have  
84 massive outbreaks every 35 years (Royama et al. 2017). These outbreaks last about 5-  
85 15 years, severely defoliating balsam fir trees and causing high growth loss and tree  
86 mortality (Hennigar et al. 2008). Budworm outbreaks have been known to damage 50  
87 million hectares of eastern Canadian forests and have large impacts on the forestry  
88 sector (Chang et al. 2012). Consequently, finding methods to reduce budworm  
89 outbreaks is important to maximize forestry economic activity while minimizing losses of  
90 balsam fir and species of spruce.

91  
92 Many methods to reduce budworm outbreaks focus on budworm and neglect other  
93 species and downstream consequences. Chemical insecticides, including tebufenozide,  
94 are regularly used to control budworm outbreaks with mixed results (Holmes and  
95 MacQuarrie 2016). These chemical insecticides often kill or impair other caterpillars,  
96 natural enemies, and local wildlife (McCravy et al. 2001; Holmes and MacQuarrie 2016).  
97 Specifically, when chemical insecticides kill caterpillars, including budworm, any  
98 internally feeding parasitoids are also killed affecting subsequent generations of  
99 budworm's natural enemies (Brown 1994). Similarly, spraying forests with *Bacillus*  
100 *thuringiensis* (B.t.), a bacterium that produces a spore toxic to lepidoptera (butterflies  
101 and moths, including budworm), can have detrimental effects on the natural enemies of  
102 budworm by killing any internally feeding parasitoids (Nealis and van Frankenhuyzen  
103 1990). Furthermore, B.t. kills other lepidoptera which can have downstream  
104 consequences on local populations of birds that consume lepidoptera (Sopuck et al.  
105 2002). Another method is to pre-emptively cut down spruce and balsam fir trees, thus  
106 reducing the food source of budworm (Crook et al. 1979; Bause 1996). Thinning has  
107 variable effectiveness depending on the stage of the budworm cycle and on the  
108 hardwood content (Crook et al. 1979; Bause 1996). All of the above methods have been  
109 incorporated into the more holistic management approaches of integrated pest  
110 management (IPM) and ecosystem management (EM), where the focus of management  
111 has been expanded to the whole forest ecosystem and multiple techniques are used  
112 that balance pest management, economic outcomes, and human and environmental  
113 health (Alfaro and Langor 2016). These two approaches highlight the importance of  
114 understanding the whole food web surrounding the pest species to augment natural  
115 mechanisms that can reduce the severity of pest outbreaks and sustainably manage the  
116 multiple demands on eastern North American forests.

117  
118 When considering the whole food web, a promising alternative to reduce the severity of  
119 budworm outbreaks is to use insects that parasitize and then kill a caterpillar host  
120 (parasitoids). The budworm population fluctuations are generally considered to be a  
121 predator - prey cycle, but in this cycle, the predator is actually a collection of natural  
122 enemies including invertebrate predators, birds and parasitoids (Royama et al. 2017).

123 Within this collection of natural enemies, parasitoids have arguably the strongest impact  
124 on budworm mortality causing between 30-90% mortality depending on the surrounding  
125 forest composition and the point in the budworm cycle (Cappuccino et al. 1998; Royama  
126 et al. 2017). Furthermore, the parasitoid community responds strongly to budworm  
127 density with increases in diversity cascading up parasitoid trophic levels (the bird feeder  
128 effect) (Eveleigh et al. 2007). With such strong mortality effects and community  
129 responses, parasitoids are a promising natural mechanism for reducing the severity of  
130 budworm outbreaks.

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132 Hardwood trees may support larger populations of budworm parasitoids, and thus have  
133 the potential to mute budworm outbreaks. Mixed forest stands containing hardwood  
134 trees have been found to have lower balsam fir defoliation compared to balsam fir  
135 dominated stands during budworm outbreaks (Su et al. 1996; Zhang et al. 2018, 2020).  
136 Furthermore, Eveleigh et al. (2007) found lower peak budworm densities in  
137 heterogeneous plots compared to homogeneous plots. One reason for defoliation and  
138 density differences is greater dispersal loss of early instar budworm larvae in hardwood  
139 dominated plots (Zhang et al. 2020). Another mechanism suggested for these patterns  
140 is that hardwood trees maintain a steady variety of other caterpillars which harbour a  
141 greater diversity and abundance of parasitoids overall (Eveleigh et al. 2007). Indeed in  
142 an initial survey, Eveleigh et al. (2007) did find increased diversity and abundance of  
143 parasitoids in plots with greater proportions of hardwood trees. Essentially this is the  
144 natural enemies hypothesis which predicts that in agroecosystems, there should be  
145 increased abundances of natural enemies in species rich plant assemblages compared  
146 to species poor assemblages (Letourneau 1987). Even with some important differences  
147 between agroecosystems and forest plantations (see Koricheva et al. (2006)), forest  
148 plantations could be argued as similar in the sense that monocultures of plants or crops  
149 are planted and harvested. Consequently, testing the natural enemies hypothesis in the  
150 budworm food web is a worthwhile pursuit because supporting natural enemy  
151 populations has the potential to reduce the severity of budworm outbreaks.

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153 To date, the quantification of the feeding relationships of parasitoids on balsam fir and  
154 hardwoods has been sparsely researched, nor has the natural enemies hypothesis  
155 been explicitly tested for budworm. First, we need to establish whether parasitoid  
156 communities actually attack caterpillars on hardwoods and balsam fir. This has been  
157 anecdotally done (Krombein et al. 1979) and there has been quantification of  
158 parasitoids attacking non-budworm caterpillars on balsam fir (Eveleigh et al. 2007;  
159 Smith et al. 2011; Greyson-Gaito et al. 2021) . These non-budworm caterpillars are  
160 regularly found on hardwood trees. Yet, due to the understandable focus on pest  
161 species by the forestry industry, eastern North American hardwood non-pest caterpillar  
162 populations have rarely been sampled, limiting our understanding of the feeding  
163 relationships of budworm parasitoids. Second, if parasitoids do attack caterpillars on

164 hardwoods, we need to establish if the natural enemies hypothesis can be applied to  
165 balsam fir - hardwood forest stands. Although the importance of tree diversity to  
166 budworm control has been periodically brought up since the 1920s (Miller and Rusnock  
167 1993), tests of tree diversity have rarely examined budworm parasitoid diversity and  
168 abundance, and instead have mostly examined budworm defoliation (Su et al. 1996;  
169 Zhang et al. 2018) or quantified parasitism rates (Legault and James 2018; Zhang et al.  
170 2020). Overall, a comprehensive examination of the whole budworm food web including  
171 caterpillars and parasitoids on hardwoods is required.

172  
173 We endeavoured to facilitate this whole budworm food web examination using a variety  
174 of methods spanning multiple years and stands with varying hardwood content. We  
175 hypothesized that parasitoids attack other caterpillars on hardwoods when budworm are  
176 rare and attack budworm on balsam fir or other softwoods when budworm are plentiful  
177 (**alternating hardwood-softwood parasitoids hypothesis**). Using stable isotope  
178 analysis, a common tool for examining feeding relationships, we predicted that  
179 parasitoids collected in years with low budworm density would have similar stable  
180 isotope signatures to hardwood signatures, and parasitoids collected in years with high  
181 budworm density would have similar signatures to balsam fir signatures. We also  
182 hypothesized that the stands with a mixture of softwood and hardwood trees would  
183 harbour greater abundances and diversity of budworm parasitoids (**mixed stands**  
184 **natural enemies hypothesis**). Using a variety of budworm reared and Malaise caught  
185 parasitoids, we tested whether parasitoid abundances, richness, and phylogenetic  
186 community structure differed along a hardwood gradient. Importantly, we found  
187 indications that parasitoids do attack caterpillars on hardwoods and budworm on  
188 balsam fir, but found mixed results for the natural enemies hypothesis, with trends for  
189 lowered parasitoid abundances and consistent phylogenetic clustering in balsam fir  
190 dominated stands.

## 191 192 193 **Methods**

### 194 **Study sites**

195 All sampling was done in the Acadia Research Forest (ARF) near Fredericton (66°25'W,  
196 46°00'N). The ARF is a 9,000 ha (22,230 ac) experimental forest with a mixture of  
197 softwood, hardwood, and mixed wood stands. Spruce (*Picea* spp.) and balsam fir  
198 (*Abies balsamea* (L.) Mill.) are the most abundant trees (Swift et al. 2006). All plots were  
199 outside areas of aerial application of insecticides for budworm control.

### 200 201 **Alternating hardwood-softwood parasitoids hypothesis**

### 202 203 **Sampling**

204 All sampling was performed in the same balsam fir dominated plot in ARF for the years

205 of 1982, 1984, 1986, and 1987. Parasitoids were collected using modified 1 m<sup>3</sup> Malaise  
206 traps (Nyrop and Simmons 1982). A Malaise trap was placed with the open sides  
207 perpendicular to the tree trunk at the top, middle, and lower crown levels of three  
208 balsam fir trees separated by approximately 100 metres (i.e. 3 traps at each crown  
209 level, 9 traps in total). The Malaise traps were placed in the same trees every year  
210 beginning in May and ending in September. Flying insects were collected daily,  
211 immediately stored in 70% ethanol, and frozen at -7°C until preparation for stable  
212 isotope analysis in 2017 (except insects collected in 1982 which were stored without  
213 ethanol but still in the freezer). In 2017, we separated fourteen Dipteran species and  
214 three species of Ichneumonids and Braconids that were sampled in the Malaise traps  
215 into three functional groups (see Table S1): Group 1, parasitoids that attack budworm  
216 larvae before budworm diapause, and parasitoids that attack post-diapause budworm  
217 but do not require alternate caterpillars to overwinter; Group 2, Diptera that attack post-  
218 diapause budworm but where it is unknown whether the Diptera need an alternate  
219 caterpillar to overwinter; Group 3, any parasitoid species that attacks post-diapause  
220 budworm and require an alternate caterpillar in which to overwinter. These three groups  
221 were then further split into three periods to capture the phenology of the parasitoid  
222 emergences from budworm and other caterpillars: May/June, July, and  
223 August/September. When there were fewer than 50 total individuals in a group and time  
224 period, all individuals were used for stable isotope analysis. When there were more than  
225 50 total individuals in a group and time period, we randomly selected 50 individuals and  
226 ensured the proportions of selected individuals of each species matched the proportions  
227 of total number of individuals for each species (within the group and time period). We  
228 removed legs and wings from all individuals, keeping the mass of legs and wings  
229 approximately constant between individuals and species. Legs and wings were  
230 combined for each group and time period and were dried at 60°C for at least 48 hours.  
231 We used legs and wings because many parasitoids as adults consume non-host  
232 nutrient sources, and legs and wings have a slower turnover rate compared to other  
233 body parts (Gratton and Forbes 2006; Benelli et al. 2017)

234

235 Baselines for the stable isotope analysis consisted of balsam fir and hardwood foliage,  
236 and caterpillars from these sampled foliage in 2017. Beginning on May 30th and ending  
237 on June 27th, we sampled a metre long branch from the mid canopies of 45 balsam fir  
238 trees from 9 plots in ARF once a week (see **Mixed stands natural enemies**  
239 **hypothesis** for the selection of these balsam fir trees). Each week, we also sampled a  
240 metre long branch from the most abundant hardwood tree species in each plot. On the  
241 17th July and on the 4th August, we randomly sampled a single balsam fir branch from  
242 each plot, and we sampled branches from the same hardwood species as we sampled  
243 in June (a branch per species in each plot). We sampled foliage without any noticeable  
244 herbivory damage from all branches. This foliage was rinsed with distilled water and  
245 dried at 60°C for at least 48 hours. We ground the foliage and ensured that the

246 combination of different hardwood species in each plot's ground sample matched the  
247 proportions of hardwood trees found in each plot. This was repeated for June, July and  
248 August. From the balsam fir branches and the hardwood branches, we collected all  
249 caterpillar individuals and separated them into caterpillars from balsam fir or hardwoods  
250 and by plot and by time period. The caterpillar samples were dried at 60°C for at least  
251 48 hours. All parasitoid, caterpillar and foliage samples were analysed for carbon and  
252 nitrogen isotope ratios at the University of Windsor GLIER (Windsor, ON, Canada)  
253 laboratories.

254

### 255 **Statistical Analyses**

256 The  $\delta^{13}\text{C}$  of the parasitoid samples were enriched by 16% compared to the baselines  
257 probably because the parasitoid samples were stored in ethanol for about 30 years  
258 (Jesus et al. 2015). Therefore, we were not able to use any standard mixing model  
259 analyses. Instead, we decided to compare the  $\delta^{13}\text{C}$  between years, time periods  
260 (budworm larvae present or absent), and functional groups because we knew that there  
261 were consistent differences in  $\delta^{13}\text{C}$  between hardwood and softwoods which were  
262 transferred to the caterpillars (Balsam fir and hardwood Welch t-test:  $t = 2.813$ ,  $df =$   
263  $40.219$ ,  $P = 0.00756$ . Balsam fir caterpillars and hardwood caterpillars Welch t-test:  $t =$   
264  $3.161$ ,  $df = 39.161$ ,  $P = 0.00303$ ). Note, from the three sampling periods above  
265 (May/June, July, August/September), we simplified the periods into two time periods of  
266 budworm larvae present (May/June) and budworm larvae absent (July and  
267 August/September) by averaging the  $\delta^{13}\text{C}$  values of the July and August/September  
268 periods. We ran a generalized least squares regression to test the effects of year, time  
269 period (budworm larvae present or absent), parasitoid group, and all interactions on the  
270  $\delta^{13}\text{C}$  of sampled parasitoid legs and wings (function gls, R package nlme, version 3.1-  
271 137, (Pinheiro et al. 2018)). We added a varIdent structure to account for heterogeneity  
272 in variation between the time periods. We fitted the full model using maximum likelihood  
273 estimation and then used backwards selection with log likelihood ratio tests to select the  
274 final fixed effects. We refitted the final model using restricted maximum likelihood  
275 estimation to give unbiased maximum likelihood predictors (Zuur et al. 2009) .

276

### 277 **Mixed stands natural enemies hypothesis**

278

#### 279 **Sampling – reared parasitoids**

280 To understand the response of parasitoid communities to seeding of budworm  
281 caterpillars along a hardwood gradient, in 2014, nine 150 metre by 120 metre plots were  
282 selected, where three were balsam fir dominated (70% balsam fir – BFBF), three were  
283 hardwood tree dominated (75% hardwood – HWBF), and three had an even mixture of  
284 balsam fir and hardwood trees (40-60% balsam fir – BFMX). The nine plots were  
285 chosen using a forest cover map provided by the ARF, lidar maps, and ground truthing.  
286 In 2015, 2016, and 2017 five balsam fir trees, at least 20 metres apart and with healthy

287 crowns, were chosen within each plot in the ARF (45 total). Different trees were  
288 selected in each year. In April of 2015, 2016, and 2017, 2,000 2nd instar budworm  
289 individuals were placed onto each of the 45 trees. Budworm individuals were reared by  
290 Insect Production Services (IPS) at the Great Lakes Forestry Centre in Sault St Marie,  
291 Ontario on a bed of gauze, which were cut up into squares of about 250 caterpillars. We  
292 placed a total of eight squares on each of the 45 trees, with each square being pinned  
293 to the underside of single branch in the mid-crown layer that had new growth. While  
294 budworm were active in the summers of 2015-2017, one foliated balsam fir branch from  
295 each of the 45 trees was sampled at least once a week. We sampled those branches  
296 that had a gauze pinned to them to maximise the collection of budworm larvae.  
297 Budworm were collected from these branches and placed in vials with artificial diet  
298 made at IPS (McMorran 1965). From these sampled budworm, we counted the number  
299 of budworm and the number of parasitoids that emerged.

300

### 301 **Sampling – Malaise caught parasitoids**

302 To passively examine the abundance and diversity of budworm parasitoids along a  
303 hardwood gradient, we placed a Malaise trap in every plot chosen above, close to one  
304 of the trees where budworm individuals were seeded, between May 19<sup>th</sup> 2016 and  
305 August 11<sup>th</sup> 2016. The flying insects from the Malaise traps were sampled once a week  
306 when budworm larvae were present, and once a month when budworm larvae were  
307 absent. We separated out individuals belonging to insect families that we knew  
308 contained species that attack budworm. These families included Tachinidae,  
309 Sarcophagidae, and Ichneumonidae. We stored the collected parasitoids in 70%  
310 ethanol and in a refrigerator at 4°C, until they were barcoded.

311

### 312 **DNA Barcoding**

313 To quantify parasitoid diversity and phylogenetic structure, we used DNA barcodes.  
314 Tissue samples were taken using 1-6 legs and placed in 30 µL of 95% ethanol and  
315 stored at -20°C. Mitochondrial DNA from the cytochrome c oxidase I (COI) region (the  
316 standard animal DNA barcode locus) was amplified and sequenced at the Biodiversity  
317 Institute of Ontario (BIO; University of Guelph, Ontario). High resolution photographs  
318 were taken of wet specimens under a dissecting microscope using Leica Application  
319 Software V4.9. Sequences and photographs were uploaded to the Barcode of Life Data  
320 System (Ratnasingham and Hebert 2007). For diversity measurements, we used  
321 Barcode Index Numbers (BINs), a DNA-based delineation of species based on patterns  
322 of intra and interspecies variations outlined by Ratnasingham & Hebert (2013). We  
323 constructed a single-representative maximum likelihood tree in MEGA6 based on  
324 estimation of the best substitution models in MEGA6 (Nei and Kumar 2000; Tamura et  
325 al. 2013).

326

327



## 328 **Statistical Analyses**

### 329 *Parasitoid abundances*

330 For the reared parasitoids, we performed an ANOVA with per capita emergences  
331 (reared parasitoid abundances divided by number of budworm reared in each plot) as  
332 the response variable and forest type, year and their interaction as the explanatory  
333 variables. We used per capita emergences instead of abundances to account for  
334 potentially different abundances of budworm sampled between forest types and years.  
335 For the Malaise caught parasitoids, we performed an ANOVA with abundances as the  
336 response variable and forest type as the explanatory variable.

337

### 338 *Parasitoid richness*

339 We ran an ANOVA on the log<sub>10</sub> of the Chao1 estimation of species richness in each  
340 plot (function ChaoSpecies, R package SpadeR, version 0.1.1, (Chao et al. 2016)) with  
341 forest type as the explanatory variable for the Malaise caught parasitoids.

342

### 343 *Phylogenetic community structure*

344 To examine how hardwood content affects phylogenetic community structure of  
345 budworm parasitoids, we calculated the mean nearest taxon distance (MNTD) using  
346 maximum likelihood trees between the three forest types for the Malaise caught  
347 parasitoids. Maximum likelihood trees used a general time reversible model with  
348 discrete gamma distribution and under the assumption that sites are evolutionarily  
349 invariable (Nei and Kumar 2000; Tamura et al. 2013). The standard effect size of the  
350 MNTD was then calculated and phylogenetic clustering and dispersion assessed by  
351 performing 1000 random permutations of hardwood content associations to simulate a  
352 distribution of MNTD for each community. The significance of the observed MNTD  
353 values for each community was examined with a two-tailed test of significance ( $p =$   
354 0.05) (function ses.mntd, R package Picante, version 1.7, (Kembel et al. 2010)).

355

356 As a further comparison of phylogenetic clustering in plots differing in hardwood  
357 content, we calculated the mean nearest taxon distance (MNTD) and assessed  
358 phylogenetic clustering and dispersion (function ses.mntd, R package Picante, version  
359 1.7, (Kembel et al. 2010)) of reared parasitoids collected from the three plots in Eveleigh  
360 et al. (2007). Note, these parasitoids were reared from both budworm and other  
361 caterpillars found on the study's balsam fir trees. Eveleigh et al. (2007) compared the  
362 richness of reared parasitoids between three plots with differing tree compositions (tree  
363 basal area, Plot 1: balsam fir 98%, Spruce 1%, Hardwood 1%. Plot 2: balsam fir 77%,  
364 spruce 8%, hardwood 14%. Plot 3: balsam fir 50%, spruce 36%, hardwood 14%). A  
365 subset of these parasitoid species were preserved at -20°C then DNA barcoded to  
366 explore how genetic estimates of isolation and species identification changed the  
367 estimates of food web connectance (connectance was reduced as the number of nodes  
368 increased) (Smith et al. 2011). However, Smith et al. (2011) did not report estimates of

369 phylogenetic community structure for the parasitoids of these three plots, and so in this  
370 study we add an examination of phylogenetic community structure of parasitoids  
371 sampled in the 1980s and compare with phylogenetic clustering of parasitoids sampled  
372 along a hardwood gradient in 2016. For further details of the three plots and all  
373 sampling and rearing procedures, see Lucarotti et al. (2004), Eveleigh et al. (2007) (SI  
374 Materials and Methods) and Royama et al. (2017).

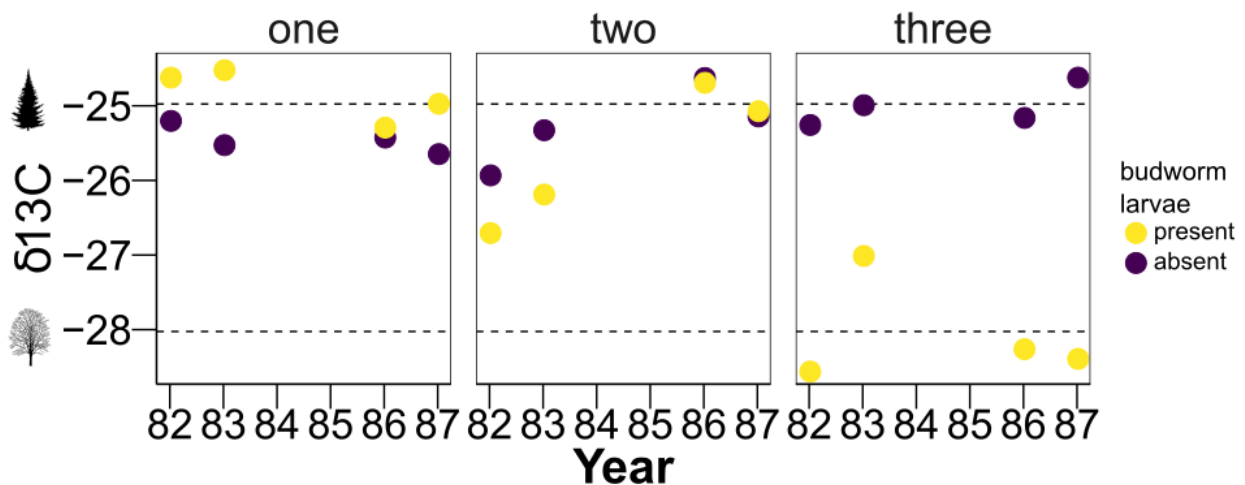
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## 376 **Results**

377

### 378 **Alternating hardwood-softwood parasitoids hypothesis**

379 The final model explaining  $\delta^{13}\text{C}$  included year, group, time period (budworm larvae  
380 present or absent), and the interactions of year with time period (year:time period  
381 interaction,  $L = 13.230$ ,  $P = 0.0013$ ,  $df = 1$ , log likelihood ratio test, Figure 1) and group  
382 with time period (group:time period interaction,  $L = 28.900$ ,  $P < 0.0001$ ,  $df = 1$ , log  
383 likelihood ratio test, Figure 1). Group one parasitoids became slightly more negative by  
384 approximately 0.5% each year, and group one parasitoids caught when budworm were  
385 absent had more negative  $\delta^{13}\text{C}$  values by 2.4% compared to group one parasitoids  
386 caught when budworm were present.  $\delta^{13}\text{C}$  values for group two parasitoids became  
387 less negative overtime by approximately 1.6% each year. Group three parasitoids  
388 showed a difference of 12.2% in  $\delta^{13}\text{C}$  between when budworm larvae were present  
389 and absent. When budworm larvae were present, group three parasitoids had more  
390 negative  $\delta^{13}\text{C}$  values similar to hardwood trees (average  $\delta^{13}\text{C}$  of hardwood trees in  
391 2017 =  $-30.222$ ). When budworm larvae were absent, group three parasitoids had less  
392 negative  $\delta^{13}\text{C}$  values similar to balsam fir trees (average  $\delta^{13}\text{C}$  of balsam fir trees in  
393 2017 =  $-29.521$ ). In comparison to the difference in  $\delta^{13}\text{C}$  between when budworm were  
394 present or absent,  $\delta^{13}\text{C}$  for group three parasitoids changed little with no noticeable  
395 trend between years.



396 Figure 1 Several parasitoid species attacked budworm when budworm larvae were

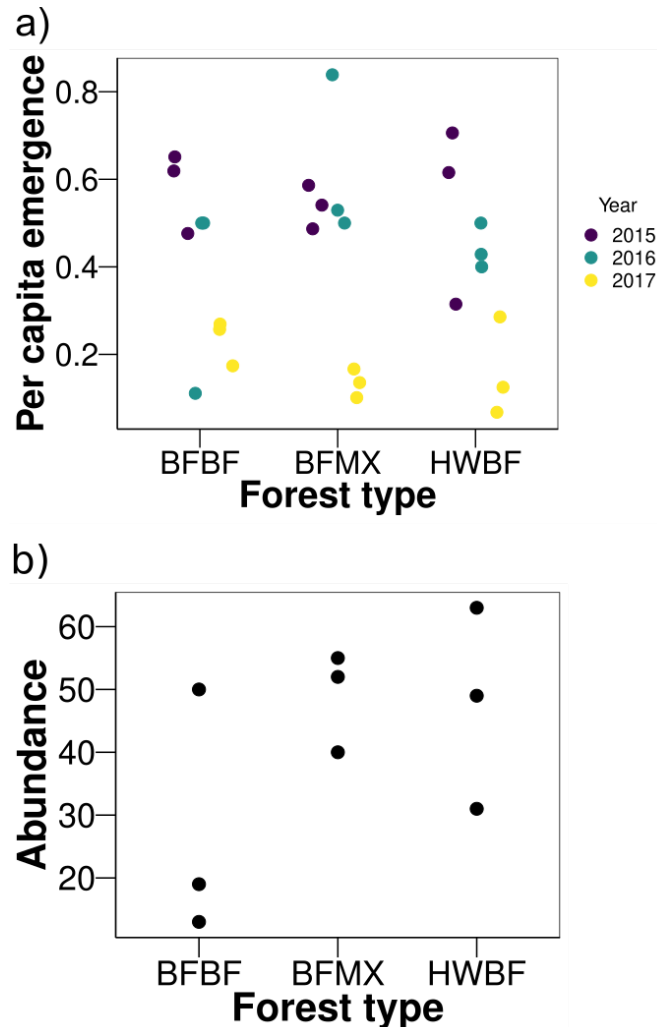
397 plentiful and other caterpillars on hardwoods when budworm larvae were rare.  $\delta^{13}\text{C}$  for  
398 three groups of parasitoid species: group one attack only budworm within a year (left  
399 plot), group two attack budworm and likely caterpillars on hardwoods within a year  
400 (centre plot), and group three alternate between attacking budworm and caterpillars on  
401 hardwoods within a single year (right plot). Budworm populations peaked in 1985.  $\delta^{13}\text{C}$   
402 was measured on parasitoids captured in May and June when budworm larvae were  
403 present and in July, August, and September when budworm larvae were absent.  
404 Dashed lines depict the average  $\delta^{13}\text{C}$  value for the group three parasitoids when  
405 budworm were present and absent (used as estimates for the balsam fir and hardwood  
406 foliage  $\delta^{13}\text{C}$  values). See Figures S1, S2, S3 for time series of the abundances of the  
407 parasitoids in each group. Balsam fir and red maple images shown on the y-axis are  
408 publicly available from Natural Resources Canada, Canadian Forest Service.  
409

#### 410 **Mixed stands natural enemies hypothesis**

##### 411 *Parasitoid abundances*

412 Generally, hardwood content did not appear to affect parasitoid per capita emergence  
413 and abundance, but the year of 2016 exhibited correspondence of lowered per capita  
414 emergence and abundance in balsam fir dominated plots. The per capita emergences  
415 of reared parasitoids were significantly different between years but not between forest  
416 types (two-way ANOVA, Year:  $F = 27.254$ ,  $df = 1$ ,  $P < 0.001$ , Cohen's  $f = 1.14$ , Power =  
417 1. Forest type:  $F = 0.247$ ,  $df = 2$ ,  $P = 0.784$ , Cohen's  $f = 0.15$ , Power = 0.202, Figure  
418 2a). Although the mean abundance of Malaise-caught parasitoids in mixed wood plots  
419 (BFMX) and hardwood dominated plots (HWBF) forest types was 77% larger than the  
420 mean abundance of Malaise-caught parasitoids in balsam fir dominated plots (BFBF),  
421 the abundance of Malaise-caught parasitoids did not significantly differ between forest  
422 types (ANOVA,  $F_{2,6} = 1.857$ ,  $P = 0.236$ , Cohen's  $f = 0.787$ , Power = 0.360, Figure 2b).  
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450 Figure 2 Generally, hardwood content did not appear to affect per capita emergence  
451 and abundance, but the year of 2016 exhibited correspondence of lowered per capita  
452 emergence and abundance in balsam fir dominated plots. a) Per capita emergences of  
453 reared parasitoids from budworm in 2015, 2016 and 2017 and b) abundance of Malaise-  
454 caught parasitoids in May through to September of 2016 from three plots each of three  
455 forest types in the Acadia Research Forest. BFBF is balsam fir dominated, BFMX is a  
456 mixture of balsam fir and hardwood trees, and HWBF is hardwood dominated.

457  
458 *Parasitoid richness*

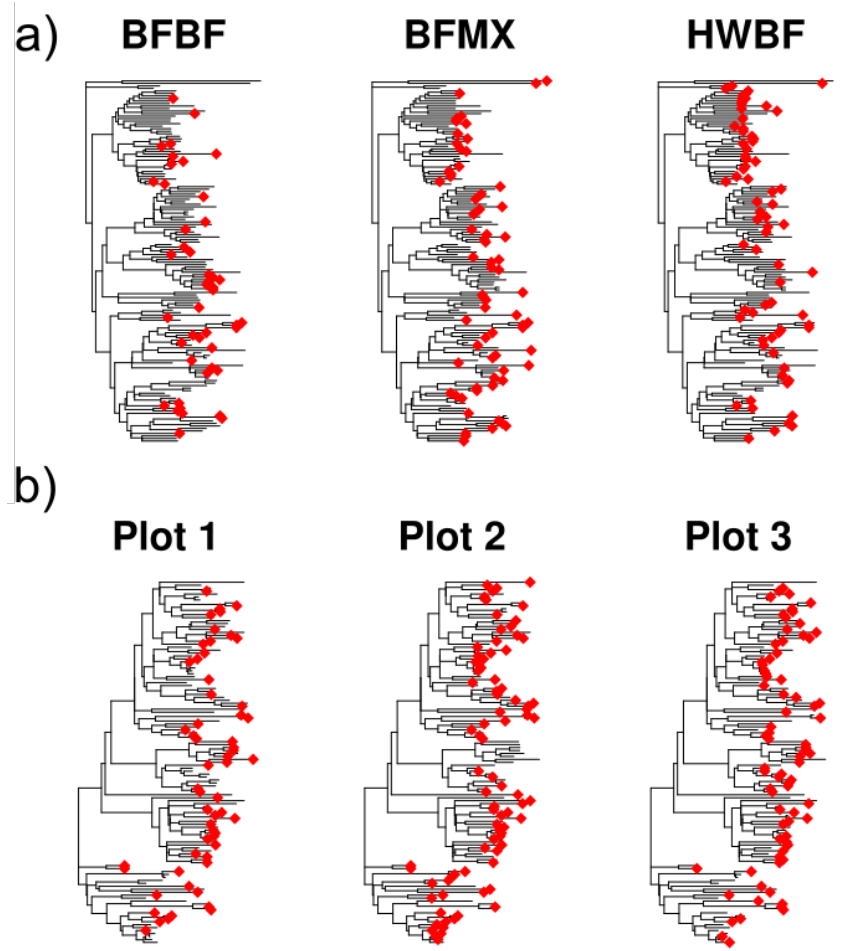
459 The Chao1 number of species of Malaise caught ( $F_{2,6} = 0.546$ ,  $P = 0.605$ , Cohen's  $f =$   
460  $0.43$ , Power =  $0.136$ ) parasitoids did not differ between forest types.

461  
462 *Phylogenetic clustering*

463 Plots dominated by balsam fir were consistently phylogenetically clustered.

464 Phylogenetic clustering was found in the balsam fir dominated plots with Malaise caught  
465 parasitoids from 2016 (BFBF MNTD  $z = -2.375$ ,  $P = 0.005$ . Figure 3a). Neither

466 phylogenetic clustering nor dispersion were found in the mixed forest plots and the  
467 hardwood dominated plots with Malaise caught parasitoids from 2016 (BFMX: MNTD  $z$   
468 = 1.191,  $P$  = 0.888. HWBF: MNTD  $z$  = -1.303,  $P$  = 0.096. Figure 3a). Phylogenetic  
469 clustering was tentatively found in Plot 1 from the 1980s (Balsam fir dominated MNTD  $z$   
470 = -1.639,  $p$  = 0.053, Figure 3b). Neither phylogenetic clustering nor dispersion were  
471 found in the two other plots from the 1980s (Plot 2: MNTD  $z$  = -1.509,  $p$  = 0.066. Plot 3:  
472 MNTD  $z$  = -0.546,  $p$  = 0.0.296. Figure 3b).  
473



501 Figure 3: Phylogenetic clustering was consistently found in balsam fir dominated plots.  
502 a) Phylogenies of Malaise caught parasitoid communities with presence denoted by  
503 diamonds in three balsam fir dominated plots (BFBF), three mixed wood plots (BFMX),  
504 and three hardwood dominated plots (HWBF) in Acadia Research Forest in 2016. b)  
505 Phylogenies of parasitoid communities with presence denoted by diamonds for Plots 1,  
506 2 (Acadia Research Forest), and 3 (Saint-Quentin) for all years sampled (1983-1995).

507 Tree basal area, Plot 1: balsam fir 98%, Spruce 1%, Hardwood 1%. Plot 2: balsam fir  
508 77%, spruce 8%, hardwood 14%. Plot 3: balsam fir 50%, spruce 36%, hardwood 14%.  
509

## 510 Discussion

511

512 Our study has shown that the budworm parasitoid community was trophically linked to  
513 hardwood trees, and hardwood content likely affects budworm community structure.  
514 From comparing the stable isotopes of parasitoids during a budworm outbreak, we  
515 found that several parasitoids attacked budworm when budworm were plentiful and  
516 attacked other caterpillars on hardwood trees when budworm were rare. We also  
517 sampled parasitoids along a hardwood gradient by two methods: budworm rearing and  
518 Malaise trapping. Although individual analyses of parasitoid abundance and richness  
519 did not find differences along the hardwood gradient, phylogenetic community structure  
520 was consistently clustered in balsam fir dominated plots. Taken together, our study  
521 highlights the need to include hardwood trees when examining budworm dynamics and  
522 the need to carefully consider the scale of hardwood tree placement when attempting to  
523 reduce budworm outbreaks.

524

525 Considering the small sample sizes, we still found support for the alternating hardwood-  
526 softwood parasitoid hypothesis. Group three parasitoids provide us with the clearest  
527 comparison of balsam fir and hardwood usage because they attack budworm in the  
528 summer every year but then must overwinter in other caterpillar species that are often  
529 on hardwoods (Maltais et al. 1989; Cusson et al. 1998; O'Hara 2005). The difference in  
530 group three parasitoid  $\delta^{13}\text{C}$  between when budworm were present (group three  
531 parasitoids emerging from other caterpillar species to attack budworm) and absent  
532 (group three parasitoids emerging from budworm to attack other caterpillars) matches  
533 what we know of the life history of group three parasitoids (Figure 1). Therefore, we can  
534 be confident that any comparable changes in  $\delta^{13}\text{C}$  for the other groups should be due  
535 to the parasitoids changing their attack rates on budworm on balsam fir and other  
536 caterpillar species on hardwoods. Group one parasitoids seemingly did not attack other  
537 caterpillars on hardwoods as budworm densities declined (Figure 1), consistent with  
538 other studies that concluded that these parasitoids attack budworm more than other  
539 caterpillar species (O'Hara 2005; Cossentine et al. 2007). Another possibility is that the  
540 populations of these parasitoids are supported by other caterpillar species that feed on  
541 balsam fir as suggested by *Apanteles fumiferana* and *Glypta fumiferana* attacking other  
542 caterpillar species on balsam fir (Greyson-Gaito et al. 2021) . Consequently, sampling  
543 and rearing of caterpillars on balsam fir and hardwoods when budworm are rare is  
544 required to establish how group one parasitoid populations are maintained. In contrast  
545 to group one parasitoids, group two parasitoids exhibited greater change in  $\delta^{13}\text{C}$  over  
546 time suggesting that these parasitoids likely attacked other caterpillars on hardwoods  
547 and then attacked budworm on balsam fir (Figure 1). Again sampling and rearing of

548 caterpillars on balsam fir and hardwoods when budworm are rare is crucial to  
549 understanding the contributions of caterpillars on hardwoods to the population dynamics  
550 of group two parasitoids.  
551

552 Interestingly, the pattern that groups two and three parasitoids exhibited could be  
553 classed as coupling, an important stabilizing ecological mechanism (McCann et al.  
554 2005). Coupling usually occurs when a generalist consumer attacks prey from two or  
555 more spatially separate subgroups of a larger food web (resource compartments)  
556 (McCann et al. 2005). In the budworm food web, the parasitoids may be coupling the  
557 balsam fir and hardwood resource compartments over time which, if fostered, could  
558 mute the budworm oscillations, leading to less severe outbreaks. Overall, there is a  
559 strong suggestion that several parasitoids do attack other caterpillars on hardwoods  
560 when budworm are rare and attack budworm on balsam fir when budworm are plentiful,  
561 thus coupling the softwood and hardwood food webs. A promising method for  
562 evaluating this softwood/hardwood coupling is by using qPCR approach to determine  
563 whether and by what a budworm larvae has been parasitized (Nisole et al. 2020). This  
564 method promises to significantly reduce sorting time and costs. However, this method  
565 requires *a priori* knowledge of what species to include in the qPCR assay. To ensure  
566 that the coupled effects we suggest here are indeed measurable in future qPCR assays,  
567 we suggest that DNA libraries of budworm parasitoids and parasites be expanded to  
568 include representation from hardwood forest parasitoid communities. Overall, sampling  
569 of parasitoids and caterpillars on softwoods and hardwoods throughout the budworm  
570 cycle is required to ensure adequate DNA libraries for the qPCR method and in turn to  
571 evaluate the contribution of hardwoods to parasitoid population maintenance and  
572 softwood/hardwood coupling.  
573

574 Evidence for the natural enemies hypothesis comes from the corroboration of similar  
575 trends between our different analyses. Parasitoid per capita emergences and  
576 abundances were not significantly different along the hardwood gradient (Figure 2 a &  
577 b). Nor was parasitoid Chao1 richness different along the hardwood gradient.  
578 Nevertheless, there was correspondence of lowered per capita emergence and  
579 abundance in the balsam fir dominated plots in 2016 compared to the other forest types  
580 (Figure 2 a & b). Furthermore, the balsam fir dominated plots exhibited phylogenetic  
581 clustering in 2016 and in the 1980s. Because sister parasitoid species are more likely to  
582 share host species or search within the same plant species than distantly related  
583 parasitoid species (Ives and Godfray 2006), the observed phylogenetic clustering  
584 suggests that environmental filtering dominates over competition (Webb et al. 2002). In  
585 this case, the environmental filtering likely occurs due to the differences in caterpillar  
586 composition maintained by balsam fir dominated stands compared to stands with  
587 greater hardwood content. Consequently, our study provides further hints of the natural  
588 enemies hypothesis within the budworm food web.

589

590 A full reckoning of the natural enemies hypothesis in the budworm food web requires  
591 careful consideration of scale. Our study examined a relatively small scale (plots were  
592 150m by 120m and the Acadia Research Forest is 90km<sup>2</sup>) compared to the large  
593 distribution of budworm outbreaks. Furthermore, hosts and parasitoids disperse,  
594 aggregate, and are influenced by landscape structure at different scales often larger  
595 than a few hundred metres (Cronin and Reeve 2005). Therefore, our study may not be  
596 able to discern a natural enemies hypothesis signal because the diversity of trees may  
597 not influence parasitoid abundance and richness at the scale of less than a kilometre.  
598 Indeed, Legault and James (2018) found that the parasitism rate of budworm by  
599 *Apanteles fumiferana* was positively correlated with tree diversity at 3km, and the  
600 parasitism rate of budworm by *Glypta fumiferana* was negatively correlated with non-  
601 host tree density at 15km. Legault and James (2018) suggest that the different dispersal  
602 abilities of parasitoids underly how parasitoids respond to landscape structure pattern.  
603 *A. fumiferana* is smaller than *G. fumiferana* (~3.5mm compared to ~8.0mm in length)  
604 and likely disperse less than *G. fumiferana*. Thus, *A. fumiferana* would be affected by  
605 tree composition at smaller scales than *G. fumiferana* (Legault and James 2018).  
606 Similar to our study, Zhang et al. (2020) did not find any difference in parasitism rate of  
607 budworm across a hardwood gradient. Again, Zhang et al.'s (2020) plots were 500m<sup>2</sup>,  
608 much smaller than the determining scale found in Legault and James (2018).  
609 Interestingly, although the aggregate measures of parasitism rate, abundance, and  
610 richness were not found to be different in our study and Zhang et al.'s (2020) study,  
611 phylogenetic clustering in balsam fir dominated plots was consistently found even with  
612 small plot scales and two different methods of parasitism sampling (Malaise caught and  
613 reared). Therefore, the scale of our plots do seem to be affecting phylogenetic  
614 community structure. The aggregate measures may not be affected because either too  
615 few replicates were done or compensatory dynamics may be obscuring any effects of  
616 local hardwood content on aggregate measures especially parasitism rates as  
617 suggested by Royama et al. (2017) and Bouchard et al. (2018). In all likelihood, a  
618 mixture of scale dependency, replication, and compensatory dynamics is affecting our  
619 ability to find the natural enemies hypothesis, if it exists. Future research will require  
620 greater replication over a variety of spatial and temporal scales to understand the  
621 parasitoid community's response to hardwood content.

622

623 Hardwood trees in forest stands have long been thought to be important to reducing the  
624 severity of budworm outbreaks. Rarely, have studies examined the trophic relationships  
625 between budworm parasitoids and caterpillars on hardwood trees. In our study, we  
626 hope to spark this important research direction by finding that several parasitoids do  
627 attack caterpillars on hardwood trees when budworm are rare and budworm on balsam  
628 fir when budworm are plentiful. This pattern could be classed as coupling. Because  
629 coupling is thought to mute variability, hardwoods trees may be integral to reducing the



630 severity of budworm outbreaks. Further testing of the coupling of softwood and  
631 hardwood food webs could use fatty acid analysis because the fatty acid compositions  
632 differ between softwoods and hardwoods more than  $\delta^{13}\text{C}$  (Mueller et al. 2012).  
633 Furthermore, comprehensive sampling of caterpillars on softwood and hardwood trees  
634 combined with qPCR to cheaply quantify the caterpillar's parasitoids could be another  
635 effective approach to test the coupling of softwood and hardwood food webs. In theory,  
636 hardwood trees maintaining parasitoids populations should translate to finding greater  
637 parasitoid abundance and richness in plots with a mixture of softwood and hardwood.  
638 We found hints of hardwood content affecting parasitoid communities, but careful  
639 testing of the scale of hardwood trees is required. Overall, alongside the knowledge that  
640 hardwood dominated stands increases dispersal loss of early instar budworm larvae  
641 (Zhang et al. 2020), we have provided further evidence that hardwoods are important  
642 for reducing the severity of budworm outbreaks.

643

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659

#### 660 **Author contributions**

661 ESE designed the initial studies. ESE, WM, GF, RL, CJGG, and SJD did the field and  
662 laboratory work. CJGG did the statistical analyses with assistance from ESE, MAS,  
663 SJD, and KSM. CJGG wrote the first draft and all authors contributed to editing the  
664 manuscript.

665

#### 666 **Data accessibility**

667 All sequences and photographs are publically available at [http://dx.doi.org/10.5883/DS-](http://dx.doi.org/10.5883/DS-ASNBP)  
668 ASNBP. All data and code (v1.0) to reproduce the reported results are publicly  
669 available on GitHub

670 ([https://github.com/cgreysongaito/SpruceBudworm\\_Parasitoids\\_Hardwood](https://github.com/cgreysongaito/SpruceBudworm_Parasitoids_Hardwood))

671 and have been archived on Zenodo (<https://doi.org/10.5281/zenodo.4432484>).

672

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836 **Supporting Information**

837  
838 **For “Contribution of hardwood trees to budworm – parasitoid food web**  
839 **dynamics”**

840  
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861  
862 Table 1: List of Malaise caught parasitoid species in each group.

863 Group 1

864 *Apanteles fumiferanae*

865 *Glypta fumiferanae*

866 *Smidtia fumiferanae* (*Winthemia fumiferanae*)

867 *Lypha fumipennis* (*Lypha setifacies*)

868  
869 Group 2

870 *Actia interrupta*

871 *Eumea caesar*

872 *Sarcophaga aldrichi*

873 *Nilea erecta* (*Pseudoperichaeta erecta*)

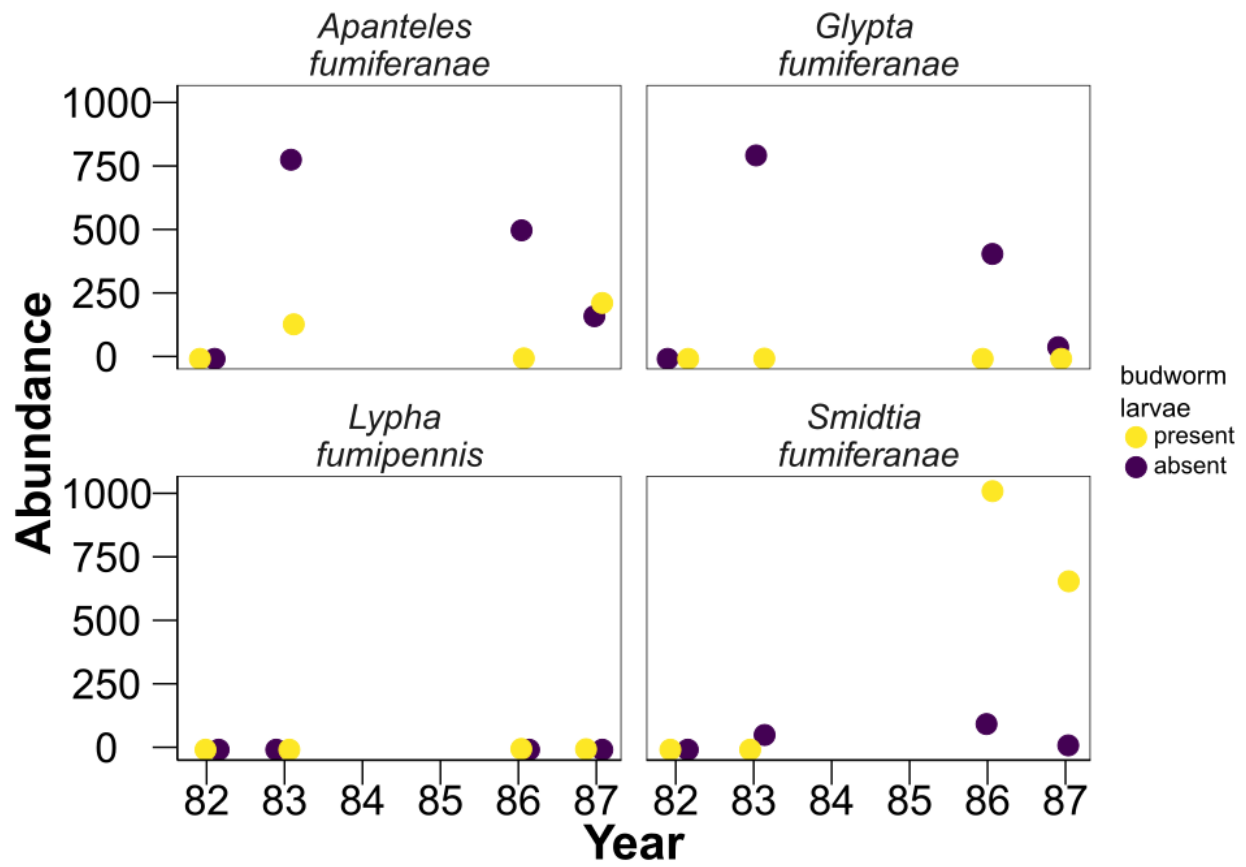
874 *Hemisturmia parva* (*Hemisturmia tortricis*)

875 *Agria affinis* (*Pseudosarcophaga affinis*)

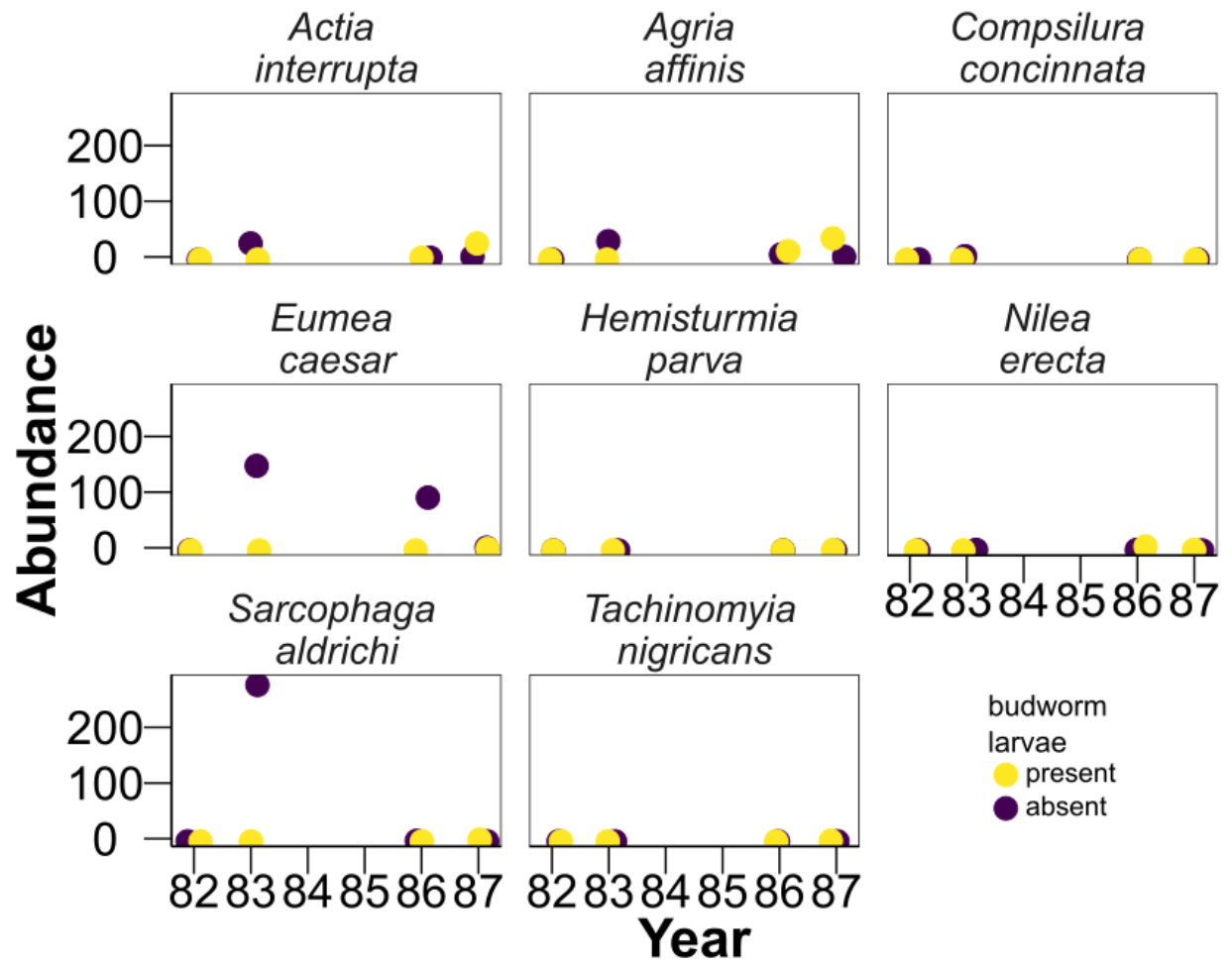
876 *Compsilura concinnata*

877 *Tachinomyia nigricans*

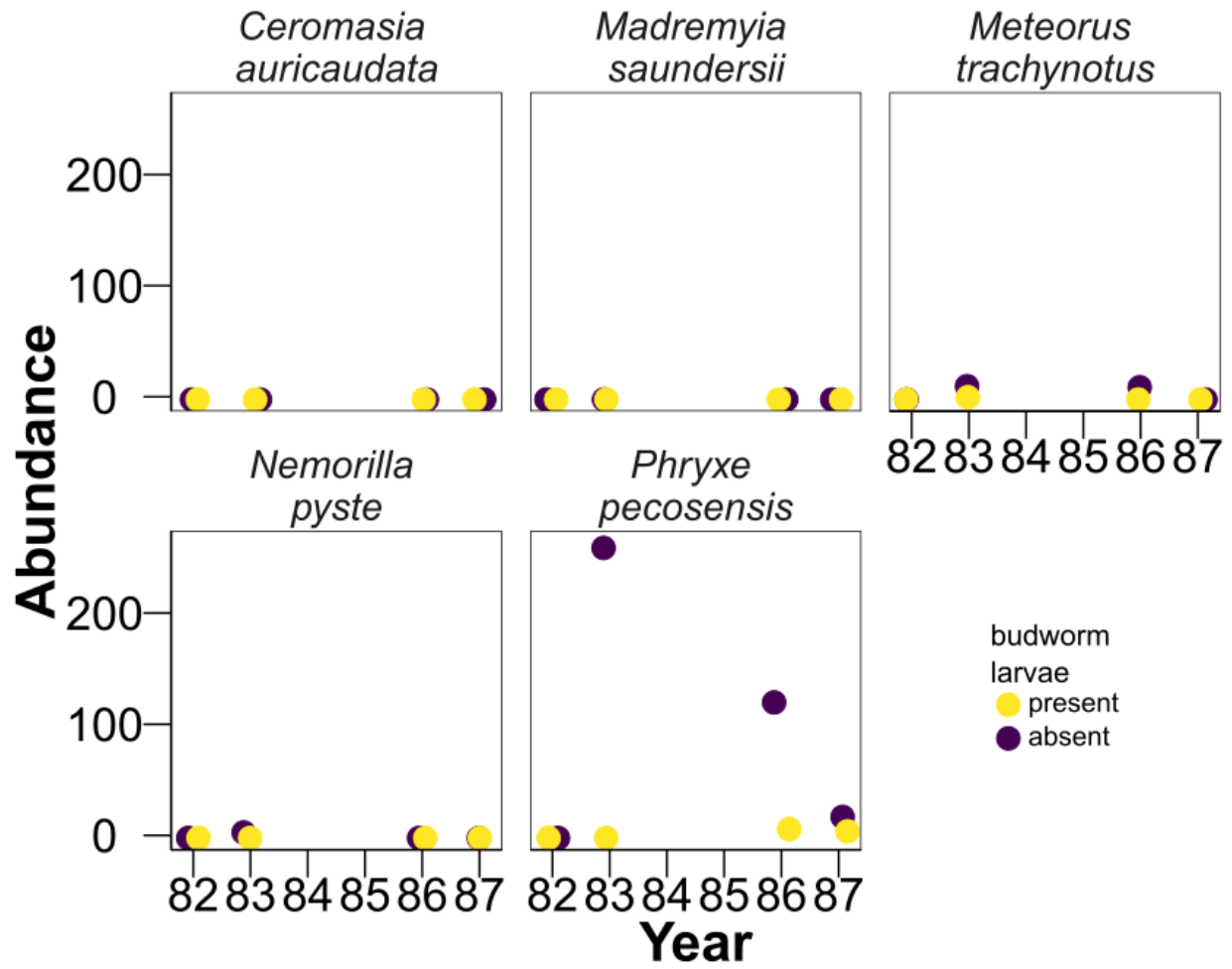
878  
 879 Group 3  
 880 Meteorus trachynotus  
 881 Ceromasia auricaudata (Ceromasia aurifrons)  
 882 Nemorilla psyte  
 883 Phryxe pecosensis  
 884 Madremyia saundersii  
 885  
 886  
 887



889 Figure S1 Time series of Malaise caught parasitoid species abundances in group 1.  
 890



891 Figure S2 Time series of Malaise caught parasitoid species abundances in group 2.  
 892



893 Figure S3 Time series of Malaise caught parasitoid species abundances in group 3.  
894