

1 **Title:** Hardwood content impacts the parasitoid community associated with Eastern
2 spruce budworm (Lepidoptera: Tortricidae)

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40 **Abstract**

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42 A major pest of eastern North American forests is spruce budworm, *Choristoneura*
43 *fumiferana* Clemens (Lepidoptera: Tortricidae), which outbreaks every 30–40 years and
44 causes large scale tree mortality. Researchers have established that hardwood content
45 reduces the defoliation and mortality of balsam fir and spruces during spruce budworm
46 outbreaks. One mechanism posited to explain these patterns is that hardwood content
47 positively impacts the parasitoids of spruce budworm. Researchers have found that
48 parasitism of spruce budworm by individual parasitoids is impacted by hardwood
49 content. Yet, more research is needed to understand how hardwood content impacts
50 the parasitoid community as a whole. In this study, we used DNA barcoding and stable
51 isotope analysis of Malaise trap sampled parasitoids to examine how hardwood content
52 influenced parasitoid community composition, structure, and trophic interactions. We
53 found that although composition did not significantly differ along a hardwood content
54 gradient, phylogenetic community structure did differ. Furthermore, the trophic
55 relationships between several parasitoids and caterpillars on balsam fir or hardwood
56 trees changed over time. Our study highlights the importance of hardwood trees for
57 spruce budworm dynamics through influencing the parasitoid community.

58

59 **Keywords**

60 *Choristoneura fumiferana*, *Abies balsamea*, hardwood, parasitoids, trophic
61 relationships, food webs, community, stable isotopes, forest management

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76 **Introduction**

77 Every 30–40 years, Spruce budworm, *Choristoneura fumiferana* Clemens (Lepidoptera:
78 Tortricidae), have massive outbreaks in eastern North American forests (Royama et al.
79 2017). These outbreaks last about 5-15 years, severely defoliating balsam fir and
80 spruce trees and causing high growth loss and tree mortality (Hennigar et al. 2008).
81 Spruce budworm outbreaks have been known to damage millions of hectares of
82 Canadian forests per outbreak and have large impacts on the forestry sector (Chang et
83 al. 2012). Consequently, finding methods to reduce the severity of spruce budworm
84 outbreaks is important to maximize forestry economic activity while minimizing losses of
85 balsam fir and species of spruce.

86

87 Hardwood trees have long been thought to reduce the severity of spruce budworm
88 outbreaks. Since the 1920s, the importance of tree diversity to spruce budworm control
89 has been periodically brought up, unfortunately with little empirical testing (Miller and
90 Rusnock 1993). More recently, researchers have evaluated the effectiveness of
91 hardwood content on the growth, defoliation, and mortality of balsam fir and spruces.
92 Spruce budworm-caused growth reductions of balsam fir during the 1972–1992
93 outbreak was significantly related to hardwood content (Campbell et al. 2008). Balsam
94 fir defoliation was lower in mixed forest stands containing hardwood trees compared to
95 balsam fir dominated stands during spruce budworm outbreaks (Su et al. 1996; Zhang
96 et al. 2018, 2020). In contrast MacKinnon and MacLean (2003) found no effect of
97 surrounding forest type on spruce budworm defoliation of balsam fir. Instead,
98 MacKinnon and MacLean (2003) found that spruce budworm defoliation of white spruce
99 was reduced in stands surrounded by mixed wood forest. Balsam fir mortality due to
100 spruce budworm defoliation was greater in extensive conifer stands than fir stands
101 surrounded by deciduous forest or on islands in the middle of a lake (Cappuccino et al.
102 1998). Researchers have also tested the effect of hardwood content on spruce
103 budworm abundances and densities. Quayle et al. (2003) found that relative basal area
104 of non-host tree species had a significant negative effect on the abundance of spruce
105 budworm and Eveleigh et al. (2007) found lower peak spruce budworm densities in
106 heterogeneous plots compared to homogeneous plots. Overall, the evidence points to a
107 complicated yet important impact of hardwood content on spruce budworm outbreaks.

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109 One proposed mechanism behind hardwood content impacting spruce budworm
110 outbreaks is the insects that parasitize and then kill spruce budworm caterpillars
111 (parasitoids). Among the natural enemies of spruce budworm, parasitoids have
112 arguably the strongest impact on spruce budworm mortality causing between 30-90%
113 mortality depending on the surrounding forest composition and the point in the spruce
114 budworm cycle (Cappuccino et al. 1998; Royama et al. 2017). Several researchers

115 have examined how hardwood content impacts the parasitism of spruce budworm by
116 individual parasitoid species finding that depending on the parasitoid species there was
117 either no effect of tree composition or an increase in parasitism with higher diversity of
118 trees (Simmons et al. 1975; Kemp and Simmons 1978; Quayle et al. 2003). However,
119 these studies have examined parasitoid species individually. An important further
120 research direction is how hardwood content influences the parasitoid community as a
121 whole because we know the parasitoid community responds strongly to spruce
122 budworm density with increases in diversity cascading up parasitoid trophic levels (the
123 bird feeder effect) (Eveleigh et al. 2007) and the parasitoid community responds largely
124 indiscriminately to changing spruce budworm and other caterpillar abundances on
125 balsam fir (Greyson-Gaito et al. 2021). Indeed in an initial survey, Eveleigh et al. (2007)
126 did find increased diversity and abundance of primary parasitoids in plots with greater
127 proportions of hardwood trees. Marrec et al. (2018) also found that variation in spruce
128 budworm parasitoid community structure was mostly explained by surrounding forest
129 structure. Eveleigh et al.'s (2007) and Marrec et al.'s (2018) research show that
130 examining how hardwood content influences the parasitoid community as a whole is a
131 useful endeavour.

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133 Two methods lend themselves well to examining how hardwood content impacts the
134 parasitoid community associated with spruce budworm. The first method is DNA
135 barcoding where a region of an organism's DNA is sequenced and compared to the
136 same region in other organisms (Ratnasingham and Hebert 2007). One advantage of
137 using DNA barcoding compared to exclusively morphological identification is that cryptic
138 species can be identified, increasing the resolution of the community (Smith et al. 2011).
139 Another advantage is that researchers can compare the phylogenetic structure of
140 different communities which can illuminate processes that structure the community
141 including environmental filtering and competition (Kembel and Hubbell 2006; Ricklefs
142 2006). The second method is stable isotope analysis which aims to deduce diets and
143 identify trophic relationships (Boecklen et al. 2011). This method involves measuring the
144 ratio of heavy to light isotopes of different chemical elements (often carbon and
145 nitrogen). In fact, the ratio of heavy to light carbon isotopes in a consumer will be similar
146 to that of the consumer's diet and the ratio of heavy to light nitrogen isotopes increases
147 at each level of a trophic food chain. From this information, a food web of the different
148 organisms measured can be elucidated. Importantly for this study, the ratio of heavy to
149 light carbon isotopes differs between balsam fir and hardwood trees (Risk et al. 2009).
150 Thus, we can use these techniques to examine how hardwood content influences the
151 phylogenetic structure of the parasitoids and how parasitoids utilize caterpillars on
152 balsam fir versus hardwoods.

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154 In this study, we examined how the parasitoid community associated with spruce
155 budworm differed along a hardwood gradient and how trophic relationships changed
156 over time. First, using DNA barcoding of Malaise caught parasitoids in plots where
157 spruce budworm were implanted, we tested whether the parasitoid community
158 composition and phylogenetic community structure differed along a hardwood gradient.
159 Second, using stable isotope analysis of Malaise caught parasitoids sampled
160 immediately prior to and after a local spruce budworm peak, we identified how trophic
161 relationships between different parasitoids and spruce budworm on balsam fir or other
162 caterpillar species on hardwood trees changed within and between years. We found
163 that hardwood content did impact the parasitoid community structure. We also found
164 that the utilization of caterpillars on balsam fir or hardwood trees changed, depending
165 on the type of parasitoid, within and between years.

166

167 **Methods**

168 **Study sites**

169 All sampling was done in the Acadia Research Forest (ARF) near Fredericton (66°25'W,
170 46°00'N). The ARF is a 9,000 ha (22,230 ac) experimental forest with a mixture of
171 softwood, hardwood, and mixed wood stands (Figure 1). Spruce (*Picea* spp.) and
172 balsam fir (*Abies balsamea* (L.) Mill.) are the most abundant trees (Swift et al. 2006). All
173 plots sampled in this study were outside areas of aerial application of insecticides for
174 spruce budworm control.

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176 **Parasitoid community differences along a hardwood gradient**

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178 **Sampling**

179 In 2014, nine 150 metre by 120 metre plots were selected, where three were balsam fir
180 dominated (70% balsam fir), three were hardwood tree dominated (75% hardwood), and
181 three had an even mixture of balsam fir and hardwood trees (40-60% balsam fir) (Figure
182 1). The nine plots were chosen using a forest cover map provided by the ARF, lidar
183 maps, and ground truthing. In 2016 five balsam fir trees, at least 20 metres apart and
184 with healthy crowns, were chosen within each plot in the ARF (45 trees total). In April of
185 2016, 2,000 2nd instar spruce budworm individuals were placed onto each of the 45
186 trees. Spruce budworm individuals were reared by Insect Production Services (IPS) at
187 the Great Lakes Forestry Centre in Sault St Marie, Ontario on a bed of gauze, which
188 were cut up into squares of about 250 caterpillars (Roe et al. 2018). We placed a total of
189 eight squares on each of the 45 trees, with each square being pinned to the underside
190 of single branch in the mid-crown layer that had new growth. Then, to examine the
191 parasitoid community associated with spruce budworm between these three types of
192 stands, on May 19th 2016 we placed a Malaise trap in every plot chosen above close to

193 one of the trees where spruce budworm individuals were seeded. The Malaise traps
194 were taken down on August 11th 2016. The flying insects from the Malaise traps were
195 sampled once a week during May and June, and once a month during July and August.
196 We separated out individuals belonging to insect families that we knew contained
197 species that attack spruce budworm. These families included Tachinidae,
198 Sarcophagidae, Braconidae, and Ichneumonidae. We stored the collected parasitoids in
199 70% ethanol and in a refrigerator at 4°C, until they were barcoded.
200

201 **DNA Barcoding**

202 To quantify parasitoid diversity and phylogenetic structure, we used DNA barcoding.
203 Tissue samples were taken using 1-6 legs and placed in 30 µL of 95% ethanol and
204 stored at -20°C. Mitochondrial DNA from the cytochrome c oxidase I (COI) region (the
205 standard animal DNA barcode locus) was amplified and sequenced at the Biodiversity
206 Institute of Ontario (BIO; University of Guelph, Ontario). High resolution photographs
207 were taken of wet specimens under a dissecting microscope using Leica Application
208 Software V4.9. Sequences and photographs were uploaded to the Barcode of Life Data
209 System (Ratnasingham and Hebert 2007). For diversity measurements, we used
210 Barcode Index Numbers (BINs), a DNA-based delineation of species based on patterns
211 of intra and interspecies variations outlined by Ratnasingham & Hebert (2013). We
212 constructed a single-representative maximum likelihood tree in MEGA6 based on
213 estimation of the best substitution models in MEGA6 (Nei and Kumar 2000; Tamura et
214 al. 2013).
215

216 **Statistical Analyses**

217 *Parasitoid community composition*

218 To test whether the parasitoid community composition differed along the hardwood
219 gradient, we ran an nMDS analysis using the Bray-Curtis dissimilarity measure (function
220 metaMDS, R package vegan, version 2.5.2, (Oksanen et al. 2018)). We ran a
221 perMANOVA between the balsam fir dominated plots, the mixed wood plots, and the
222 hardwood dominated plots (function adonis, R package vegan version 2.5-6). In this
223 perMANOVA, we used the Bray-Curtis dissimilarity measure
224

225 *Phylogenetic community structure*

226 To examine how hardwood content affected the phylogenetic community structure of
227 spruce budworm parasitoids, we calculated the mean nearest taxon distance (MNTD)
228 using maximum likelihood trees between the three forest types for the Malaise caught
229 parasitoids. Maximum likelihood trees used a general time reversible model with
230 discrete gamma distribution under the assumption that sites were evolutionarily
231 invariable (Nei and Kumar 2000; Tamura et al. 2013). The standard effect size of the

232 MNTD was then calculated and phylogenetic clustering and dispersion assessed by
233 performing 999 random permutations of hardwood content associations to simulate a
234 distribution of MNTD for each community. The significance of the observed MNTD
235 values for each community was examined with a two-tailed test of significance ($p =$
236 0.05) (function `ses.mntd`, R package `Picante`, version 1.7, (Kembel et al. 2010)).
237

238 As a further comparison of phylogenetic clustering in plots differing in hardwood
239 content, we calculated the mean nearest taxon distance (MNTD) and assessed
240 phylogenetic clustering and dispersion (function `ses.mntd`, R package `Picante`, version
241 1.7, (Kembel et al. 2010)) of reared parasitoids collected from the three plots in Eveleigh
242 et al. (2007). These parasitoids were reared from both spruce budworm and other
243 caterpillars found on the study's balsam fir trees. Eveleigh et al. (2007) compared the
244 richness of reared parasitoids between three plots with differing tree compositions (tree
245 basal area, Plot 1: balsam fir 98%, Spruce 1%, Hardwood 1%. Plot 2: balsam fir 77%,
246 spruce 8%, hardwood 14%. Plot 3: balsam fir 50%, spruce 36%, hardwood 14%). Note,
247 these three plots are different from the plots in Figure 1. A subset of these parasitoid
248 species were preserved at -20°C then DNA barcoded to explore how genetic estimates
249 of isolation and species identification changed the estimates of food web connectance
250 (connectance was reduced as the number of nodes increased) (Smith et al. 2011).
251 However, Smith et al. (2011) did not report estimates of phylogenetic community
252 structure for the parasitoids of these three plots, and so in this study we add an
253 examination of phylogenetic community structure of parasitoids sampled in the 1980s
254 and compare with phylogenetic clustering of parasitoids sampled along a hardwood
255 gradient in 2016. For further details of the three plots and all sampling and rearing
256 procedures, see Lucarotti et al. (2004), Eveleigh et al. (2007) (SI Materials and
257 Methods) and Royama et al. (2017).
258

259 **Parasitoid community balsam fir/hardwood trophic relationships**

260

261 **Sampling**

262 All parasitoid sampling was performed in a single balsam fir dominated plot in ARF for
263 the years of 1982, 1984, 1986, and 1987 (in this plot, spruce budworm peaked in 1985).
264 This plot was 98% *Abies balsamea*, 1% *Picea rubens* Sarg., and 1% *Acer rubrum* L. by
265 basal area (Lethiecq and Regniere 1988). Parasitoids were collected using modified 1
266 m^3 Malaise traps (Nyrop and Simmons 1982). A Malaise trap was placed with the open
267 sides perpendicular to the tree trunk at the top, middle, and lower crown levels of three
268 balsam fir trees separated by approximately 100 metres (i.e. 3 traps at each crown
269 level, 9 traps in total). The Malaise traps were placed in the same trees every year
270 beginning in May and ending in September. Flying insects were collected daily,

271 immediately stored in 70% ethanol, and frozen at -7°C until preparation for stable
272 isotope analysis in 2017 (except insects collected in 1982 which were stored without
273 ethanol but still in the freezer).

274

275 In 2017, as an initial attempt to understand how parasitoids with different life cycles
276 utilize caterpillars on balsam fir and hardwood trees, we separated the 1980s Malaise
277 caught parasitoids into three groups (see Table S1): Group 1, univoltine parasitoid
278 species that attack one type of caterpillar within a year and do not require an alternate
279 caterpillar (to spruce budworm) in which to overwinter (Elliott et al. 1987; O'Hara 2005);
280 Group 2, parasitoid species that attack spruce budworm and likely other caterpillars on
281 hardwoods within a year; and Group 3, multivoltine parasitoid species that require an
282 alternate caterpillar (to spruce budworm) in which to overwinter (Thireau and Régnière
283 1995; O'Hara 2005). These three groups were then further split into three periods to
284 capture the phenology of the parasitoid emergences from spruce budworm and other
285 caterpillars: May/June, July, and August/September. When there were fewer than 50
286 total individuals in a group and sampling period, all individuals were used for stable
287 isotope analysis. When there were more than 50 total individuals in a group and
288 sampling period, we randomly selected 50 individuals and ensured the proportions of
289 selected individuals of each species matched the proportions of total number of
290 individuals for each species (within the group and sampling period). We removed legs
291 and wings from all individuals, keeping the mass of legs and wings approximately
292 constant between individuals and species. Legs and wings were combined for each
293 group and sampling period and were dried at 60°C for at least 48 hours. We used legs
294 and wings because many parasitoids as adults consume non-host nutrient sources, and
295 legs and wings have a slower turnover rate compared to other body parts (Gratton and
296 Forbes 2006; Benelli et al. 2017)

297

298 In stable isotope analysis, carbon and nitrogen stable isotopes are measured in
299 samples from basal resources plus any intermediate consumers of each resource
300 compartment (food chain). From these measurements, called baselines, researchers
301 can deduce the trophic relationships of the focal organisms. Our baselines consisted of
302 balsam fir and hardwood foliage, and caterpillars from these sampled foliage. In 2017
303 beginning on May 30th and ending on June 27th, once a week we sampled one metre
304 long, mid-canopy branch from 5 balsam fir trees in each of the nine plots studied in
305 **Parasitoid community along a hardwood gradient** (one branch per tree, five trees
306 per plot, 45 branches per week). Each week, we also sampled one metre long branch
307 from multiple hardwood tree species in each plot. These multiple hardwood species
308 were the most abundant in each plot as found by the original plot ground truthing. On
309 the 17th July and on the 4th August, we randomly sampled a single balsam fir branch

310 from each plot, and we sampled branches from the same hardwood species as we
311 sampled in June (a branch per species in each plot). We sampled foliage without any
312 noticeable herbivory damage from all branches. This foliage was rinsed with distilled
313 water and dried at 60°C for at least 48 hours. We ground the foliage and ensured that
314 the combination of different hardwood species in each plot's ground sample matched
315 the proportions of hardwood trees found in each plot. This was repeated for June, July
316 and August. From the balsam fir branches and the hardwood branches, we collected all
317 caterpillar individuals and separated them into caterpillars from balsam fir or hardwoods
318 and by plot and by sampling period. The caterpillar samples were dried at 60°C for at
319 least 48 hours. All parasitoid, caterpillar and foliage samples were analyzed for carbon
320 and nitrogen isotope ratios at the University of Windsor GLIER (Windsor, ON, Canada)
321 laboratories.

322

323 **Statistical Analyses**

324 Normal practice when using stable isotopes is to use mixing models, where both $\delta^{13}\text{C}$
325 and $\delta^{15}\text{N}$ are included to establish the trophic levels and percentage of diet from
326 multiple resource pathways (Phillips et al. 2014). However, the $\delta^{13}\text{C}$ of the parasitoid
327 samples were enriched by 16% compared to the foliage and caterpillar baselines
328 probably because the parasitoid samples were stored in ethanol and frozen for about 30
329 years whereas the foliage and caterpillars were sampled in 2017 (Jesus et al. 2015).
330 Because mixing models are unable to account for this enrichment, we were not able to
331 use mixing model analyses with both $\delta^{13}\text{C}$ and $\delta^{15}\text{N}$. Instead, we used $\delta^{13}\text{C}$ only by
332 comparing $\delta^{13}\text{C}$ between years, sampling periods, and groups because we knew that
333 there were consistent differences in $\delta^{13}\text{C}$ between hardwood and softwoods which
334 were transferred to the caterpillars (Balsam fir and hardwood foliage Welch t-test: $t =$
335 2.813 , $df = 40.219$, $P = 0.00756$. Balsam fir caterpillars and hardwood caterpillars Welch
336 t-test: $t = 3.161$, $df = 39.161$, $P = 0.00303$). Note, from the three sampling periods above
337 (May/June, July, August/September), we simplified the periods into two sampling
338 periods, May/June and July/August/September, by averaging the $\delta^{13}\text{C}$ values of the
339 July and August/September periods. We ran a generalized least squares regression to
340 test the effects of year, sampling period (May/June or July/August/September),
341 parasitoid group, and all interactions on the $\delta^{13}\text{C}$ of sampled parasitoid legs and wings
342 (function gls, R package nlme, version 3.1-137, (Pinheiro et al. 2018)). We added a
343 varIdent variance structure to account for the different variation in the residuals between
344 the sampling periods. We fitted the full model using maximum likelihood estimation and
345 then used backwards selection with log likelihood ratio tests to select the final fixed
346 effects. We refitted the final model using restricted maximum likelihood estimation to
347 give unbiased maximum likelihood predictors (Zuur et al. 2009) .

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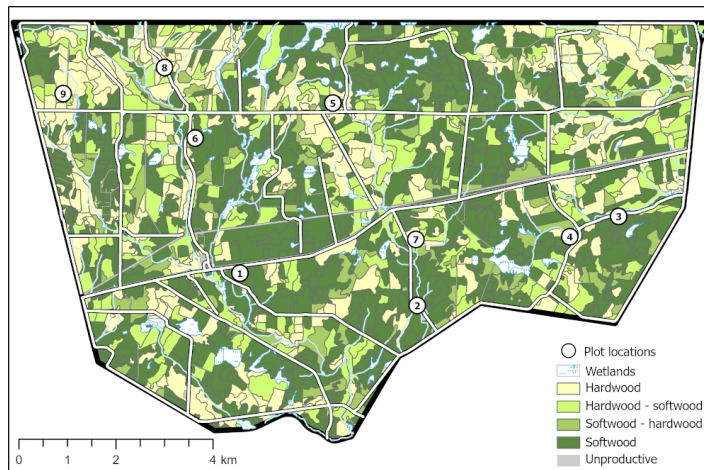


Figure 1 Map of the Acadia Research Forest of plots used in the 2016 Malaise trapping of parasitoids. Plots 1,2,3 are balsam fir dominated, plots 4,5,6 are mixed wood plots, and plots 7,8,9 are hardwood dominated. Hardwood areas have greater than or equal to 70% of hardwood trees by species %. Softwood areas have greater than or equal to 70% of softwood trees by species %. Hardwood – softwood areas have greater percentage of hardwood trees than softwood trees but both make up less than 70% individually. Softwood – hardwood areas have greater percentage of softwood trees than hardwood trees but both make up less than 70% individually.

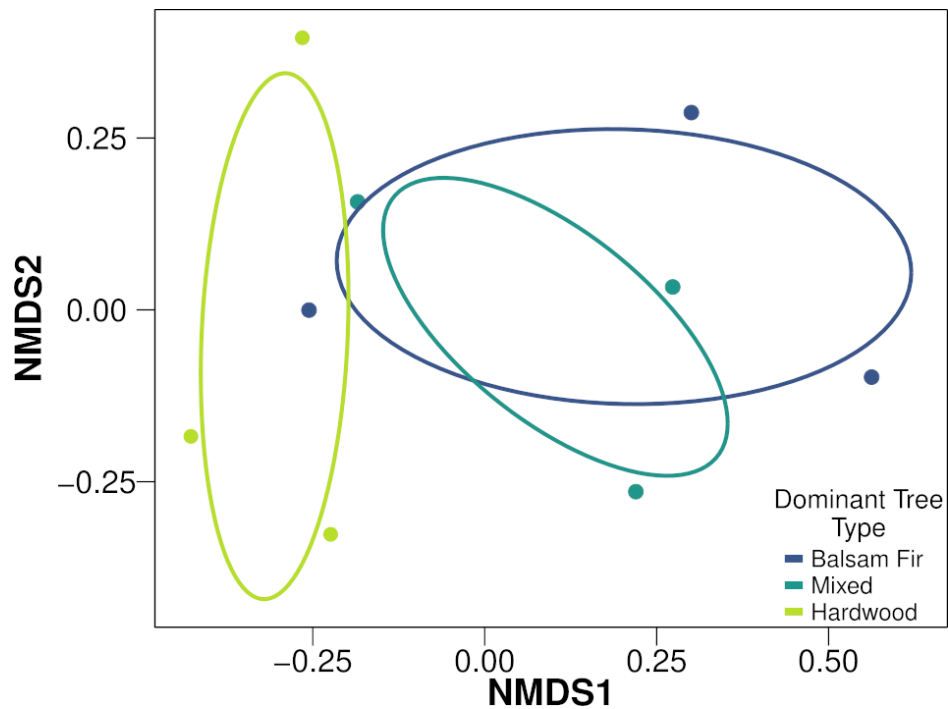
Results

Parasitoid community differences along a hardwood gradient

Parasitoid community composition

Although qualitatively, hardwood dominated plots do appear to harbour different parasitoid communities compared to balsam fir dominated plots and mixed wood plots, there was no significant difference in parasitoid community composition between balsam fir dominated plots, mixed wood plots, and hardwood dominated plots ($F = 1.170$, $P = 0.207$, 999 permutations, perMANOVA, Figure 2).

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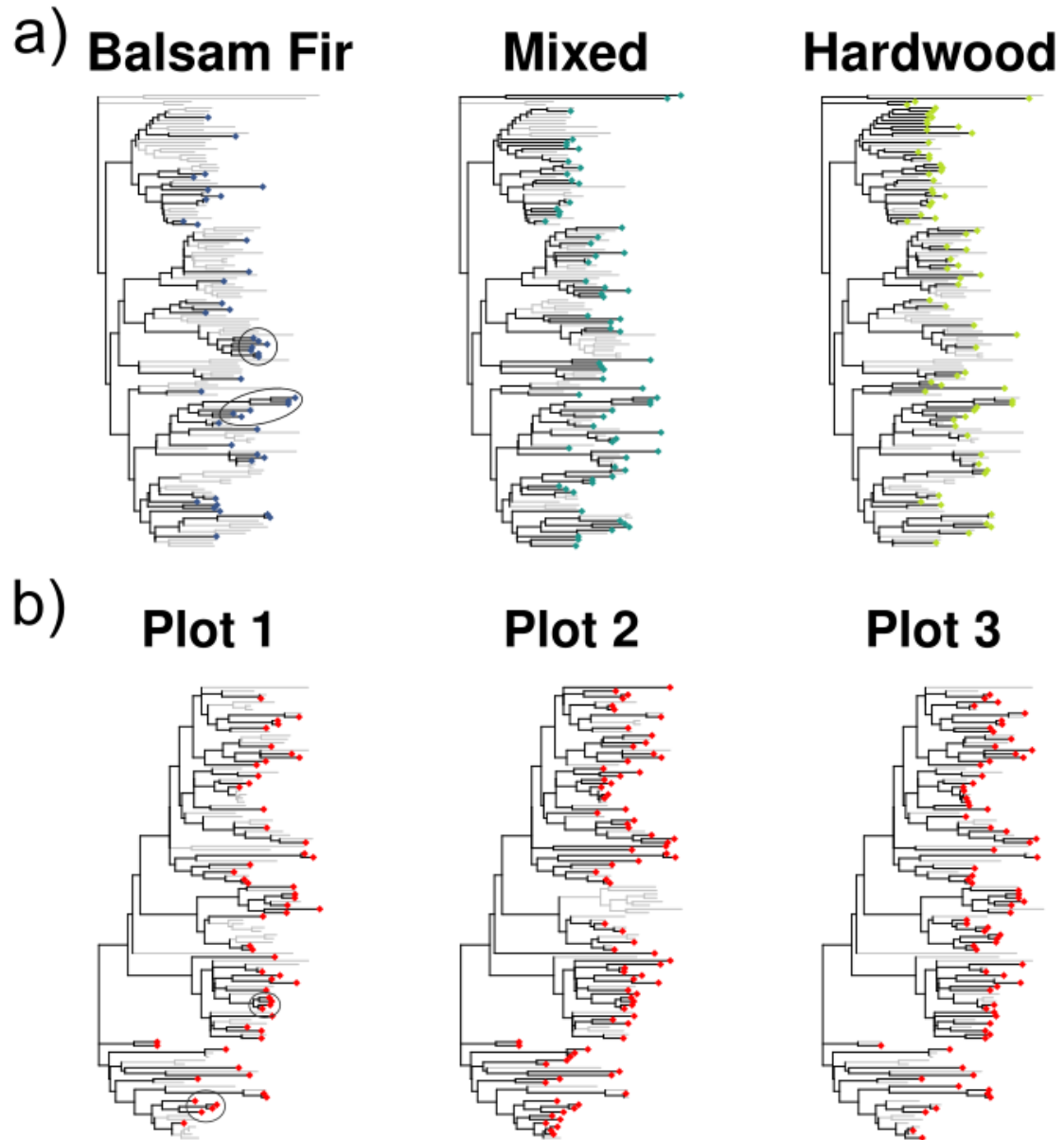
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Figure 2 nMDS of parasitoid community caught in Malaise traps in 2016 in balsam fir dominated, mixed wood, and hardwood dominated plots. Each point is a single plot. Each ellipse is a covariance ellipse for the plot type. 20 iterations. Final stress of 0.191. Instability for preceding 10 iterations was 0.038.

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Phylogenetic clustering

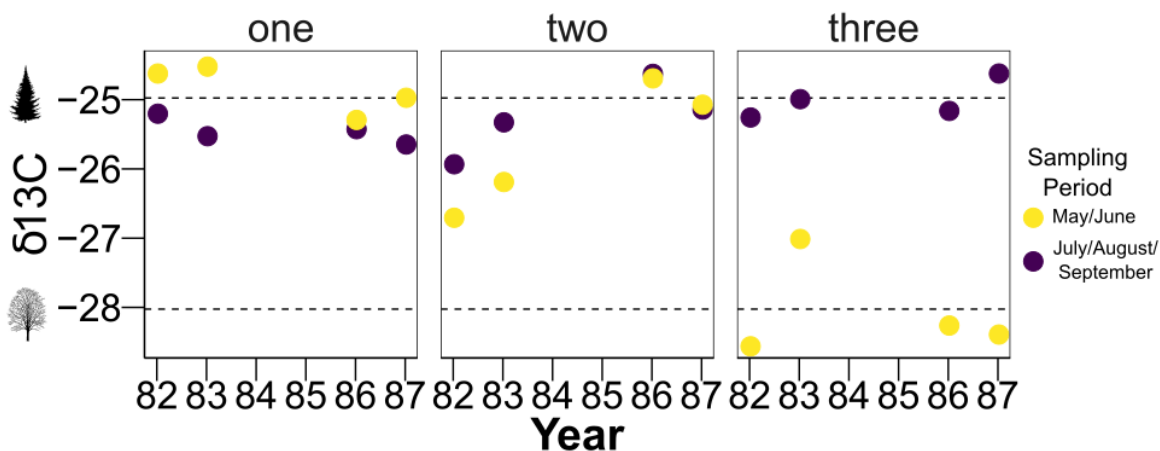
Plots dominated by balsam fir were consistently phylogenetically clustered. Phylogenetic clustering was found in the balsam fir dominated plots with Malaise caught parasitoids from 2016 (Balsam Fir: MNTD $z = -2.502$, $P = 0.009$. Figure 3a). Neither phylogenetic clustering nor dispersion were found in the mixed forest plots and the hardwood dominated plots with Malaise caught parasitoids from 2016 (Mixed: MNTD $z = 1.135$, $P = 0.877$. Hardwood: MNTD $z = -1.368$, $P = 0.087$. Figure 3a). Phylogenetic clustering was tentatively found in Plot 1 from the 1980s (MNTD $z = -1.601$, $p = 0.055$, Figure 3b). Neither phylogenetic clustering nor dispersion were found in the two other plots from the 1980s (Plot 2: MNTD $z = -1.497$, $p = 0.075$. Plot 3: MNTD $z = -0.518$, $p = 0.303$. Figure 3b).



423 Figure 3: a) Phylogenies of Malaise caught parasitoid communities with presence
 424 denoted by diamonds and black branches in three balsam fir dominated plots, three
 425 mixed wood plots, and three hardwood dominated plots in Acadia Research Forest in
 426 2016. b) Phylogenies of reared parasitoid communities with presence denoted by
 427 diamonds for Plots 1, 2 (Acadia Research Forest), and 3 (Saint-Quentin) for all years
 428 sampled (1983-1995). Tree basal area, Plot 1: balsam fir 98%, Spruce 1%, Hardwood
 429 1%. Plot 2: balsam fir 77%, spruce 8%, hardwood 14%. Plot 3: balsam fir 50%, spruce
 430 36%, hardwood 14%. Examples of clusters of species are indicated with ellipses.
 431 Absence of parasitoid taxa are denoted by grey branches.

432 **Parasitoid community balsam fir/hardwood trophic relationships**

433 The final model explaining $\delta^{13}\text{C}$ included year, group, sampling period (May/June or
434 July/August/September), and the interactions of year with group (year: group
435 interaction, $L = 13.230$, $P = 0.0013$, $df = 1$, log likelihood ratio test, Figure 4) and group
436 with sampling period (group: sampling period interaction, $L = 28.900$, $P < 0.0001$, $df = 1$,
437 log likelihood ratio test, Figure 4, see Table 1 for ANOVA output of model). Group one
438 parasitoids became slightly more negative by approximately 0.5% each year, and group
439 one parasitoids caught when spruce budworm were absent had more negative $\delta^{13}\text{C}$
440 values by 2.4% compared to group one parasitoids caught when in May/June. $\delta^{13}\text{C}$
441 values for group two parasitoids became less negative overtime by approximately 1.6%
442 each year. Group three parasitoids showed a difference of 12.2% in $\delta^{13}\text{C}$ between
443 May/June and July/August/September. In May/June, group three parasitoids had more
444 negative $\delta^{13}\text{C}$ values. In July/August/September, group three parasitoids had less
445 negative $\delta^{13}\text{C}$ values. In comparison to the difference in $\delta^{13}\text{C}$ between May/June and
446 July/August/September, $\delta^{13}\text{C}$ for group three parasitoids changed little with no
447 noticeable trend between years.



448 Figure 4 $\delta^{13}\text{C}$ for three groups of parasitoid species: group one parasitoids are
449 univoltine species that attack one type of caterpillar within a year (left plot); group two
450 parasitoids attack spruce budworm and likely other caterpillars on hardwoods within a
451 year (centre plot); and group three parasitoids are multivoltine species that require an
452 alternate caterpillar in which to overwinter (right plot). Spruce budworm populations
453 peaked in 1985. $\delta^{13}\text{C}$ was measured on parasitoids captured in the sampling periods of
454 May/June and July/August/September. Dashed lines depict the average $\delta^{13}\text{C}$ value for
455 the group three parasitoids in May/June and July/August/September (used as estimates
456 for the balsam fir and hardwood foliage $\delta^{13}\text{C}$ values). See Figures S1, S2, S3 for time
457 series of the proportions of the parasitoids in each group. Balsam fir and red maple
458 images shown on the y-axis are publicly available from Natural Resources Canada,
459 Canadian Forest Service.

460 Table 1 ANOVA output for model with $\delta^{13}\text{C}$ from 1980s Malaise caught budworm
461 parasitoids as the response variable and Year, Sampling Period, Parasitoid Group,
462 Year:Parasitoid Group, Group:Sampling Period as explanatory variables.

| Predictor variables | df | F value | P value |
|-----------------------|----|-----------|---------|
| Intercept | 1 | 115952.08 | <0.0001 |
| Year | 1 | 3.15 | 0.0964 |
| Sampling Period | 1 | 28.14 | 0.0001 |
| Parasitoid Group | 2 | 2.50 | 0.1159 |
| Year:Parasitoid Group | 2 | 5.50 | 0.0162 |
| Group:Sampling Period | 2 | 36.67 | <0.001 |

463

464

465 **Discussion**

466

467 Our study has shown that the spruce budworm-associated parasitoid community was
468 impacted by hardwood trees. Using Malaise caught parasitoids, we found that although
469 the parasitoid community composition was not significantly different along the hardwood
470 gradient, the phylogenetic community structure of the parasitoid community was
471 consistently clustered in balsam fir dominated plots. From comparing the stable
472 isotopes of parasitoids during a spruce budworm outbreak, we found that the trophic
473 relationships between several parasitoids and caterpillars on balsam fir or hardwood
474 trees changed over time. Taken together, our study highlights the need to include
475 hardwood trees when examining spruce budworm dynamics and the need to carefully
476 consider the scale of hardwood tree placement when attempting to reduce spruce
477 budworm outbreaks.

478

479 The hardwood content of the stands did appear to impact the spruce budworm-
480 associated parasitoid community. Although using our three plots per stand type did not
481 identify any statistical difference in parasitoid community composition differences along
482 the hardwood gradient, qualitatively hardwood dominated stands did appear different
483 from mixed and balsam fir dominated stands. We suspect that increasing the replication
484 would find a statistical difference, and we encourage future researchers to continue this
485 examination of parasitoid community composition along the hardwood gradient. In terms
486 of how hardwood content influenced phylogenetic structure of the parasitoid community,
487 we found that the balsam fir dominated plots exhibited phylogenetic clustering in 2016
488 and in the 1980s. When examining phylogenetic structure, researchers test for either
489 phylogenetic clustering, where communities are made up of closely related species, or
490 overdispersion, where communities are made up of distantly related species (Webb et

491 al. 2002). Identifying clustering or overdispersion can illuminate processes including
492 filtering and competition that establish these communities. We know that closely related
493 parasitoid species are more likely to share host species or search within the same plant
494 species than distantly related parasitoid species (Ives and Godfray 2006), thus in our
495 study, the observed phylogenetic clustering suggests that environmental filtering is
496 more important than competition (Webb et al. 2002). We speculate that the
497 environmental filtering is likely due to the differences in caterpillar composition
498 maintained by balsam fir dominated stands compared to stands with greater hardwood
499 content. Potentially, balsam fir dominated plots host a subset of caterpillar species thus
500 filtering closely related parasitoid species. Similarly, Marrec et al. (2018) found
501 environmental filtering to be important in shaping spruce budworm parasitoid
502 communities. One caveat to our environmental filtering pattern is that our sampling does
503 not differentiate between primary parasitoids and hyperparasitoids. Because
504 hyperparasitoids may be key in driving spruce budworm outbreaks (Nenzén et al. 2018),
505 examining the differential impacts of hardwood content on primary parasitoids and
506 hyperparasitoids is critical. Overall, hardwood content impacts the spruce budworm-
507 associated parasitoid community likely through influencing the caterpillar communities.
508 Further research should extensively sample caterpillar communities on all tree types
509 along a hardwood gradient as well as sample and differentiate between primary
510 parasitoids and hyperparasitoids.

511

512 From our study, our three groups of parasitoids differed in how they utilized caterpillars
513 on balsam fir and hardwood trees over time. The parasitoids that must alternate
514 between attacking spruce budworm on softwoods and caterpillars usually on hardwoods
515 within a single year (group three) provide us with the clearest comparison of trophic
516 relationships between balsam fir and hardwood. The $\delta^{13}\text{C}$ of group three parasitoids
517 sampled in May/June was more negative than the $\delta^{13}\text{C}$ of group three parasitoids
518 sampled in July/August/September. Our sampled hardwood foliage was similarly more
519 negative in $\delta^{13}\text{C}$ compared to our sampled balsam fir foliage (hardwood foliage = -
520 30.222 $\delta^{13}\text{C}$, balsam fir foliage = -29.521 $\delta^{13}\text{C}$). This correspondence of the
521 differences between group three in the two sampling periods and the differences in
522 balsam fir and hardwood $\delta^{13}\text{C}$ matches what we know of the life history of group three
523 parasitoids because, in May/June, group three parasitoids emerge from other caterpillar
524 species often on hardwood trees to attack spruce budworm, and in
525 July/August/September, group three parasitoids emerge from spruce budworm to attack
526 other caterpillars. Therefore, we suggest any comparable changes in $\delta^{13}\text{C}$ for the other
527 groups should be due to the parasitoids changing their attack rates on spruce budworm
528 on balsam fir and other caterpillar species on hardwoods.

529

530 The parasitoids that attack only spruce budworm within a year (group one) seemingly
531 did not change their relative utilization of spruce budworm and other caterpillars on
532 hardwoods within a year nor between years. Group one parasitoids not changing
533 relative utilization within a year is unsurprising because these parasitoids are univoltine.
534 Group one parasitoids not changing utilization between years as spruce budworm
535 densities change is consistent with other studies that concluded that these parasitoids
536 attack spruce budworm more than other caterpillar species (O'Hara 2005; Cossentine et
537 al. 2007). Furthermore, the populations of group one parasitoids are supported by other
538 caterpillar species that feed on balsam fir as suggested by *Apanteles fumiferana* Vier.
539 (Hymenoptera: Braconidae) and *Glypta fumiferana* Vier. (Hymenoptera:
540 Ichneumonidae) attacking other caterpillar species on balsam fir (Greyson-Gaito et al.
541 2021). In contrast to group one parasitoids, the parasitoids that likely attack both spruce
542 budworm and other caterpillars within a year (group two) exhibited greater change in
543 $\delta^{13}\text{C}$ between years, from more to less negative, suggesting that these parasitoids
544 likely attacked other caterpillars on hardwoods when spruce budworm had lower
545 densities and then attacked spruce budworm on balsam fir when spruce budworm had
546 higher densities. With these findings in mind, we hope that future researchers measure
547 the stable isotopes of individual parasitoid species again within a year and between
548 years to increase the resolution of how parasitoids utilize spruce budworm on softwoods
549 and other caterpillars on hardwoods. We also recommend that researchers include
550 understory plants as stable isotope baselines because parasitoids gain nutrients from
551 non-host sources including nectar from understory plants (Benelli et al. 2017).

552

553 Interestingly, the pattern that groups two and three parasitoids exhibited could be
554 classed as coupling, an important stabilizing ecological mechanism (McCann et al.
555 2005). Coupling usually occurs when a generalist consumer attacks prey from two or
556 more spatially separate subgroups of a larger food web (resource compartments)
557 (McCann et al. 2005). In the spruce budworm food web, the parasitoids collectively may
558 be attacking other caterpillars on hardwoods when spruce budworm are rare and
559 attacking spruce budworm on balsam fir when spruce budworm are plentiful, thus
560 coupling the softwood and hardwood resource compartments. The lack of information
561 on hardwood feeding alternative hosts for budworm parasitoids limits our future ability to
562 assess this coupling pattern as well as restricts our fundamental understanding of the
563 spruce budworm system. While this lack of information exists, we argue that further use
564 of stable isotope analysis in spruce budworm research is beneficial. We also
565 recommend the use of fatty acid analysis because the fatty acid compositions differ
566 between softwoods and hardwoods more than $\delta^{13}\text{C}$ (Mueller et al. 2012). Another
567 promising method for evaluating this softwood/hardwood coupling pattern is by using
568 the qPCR approach to determine whether and by what a spruce budworm larvae has

569 been parasitized (Nisole et al. 2020). So far this method is limited to 20 common natural
570 enemies of spruce budworm as a compromise between time/costs and broad
571 applicability. To examine the coupling pattern, we suggest that DNA libraries of spruce
572 budworm parasitoids be expanded to include representation from hardwood forest
573 parasitoid communities. Overall, comprehensive sampling of parasitoids and caterpillars
574 on softwoods and hardwoods throughout the spruce budworm cycle is required to
575 evaluate the contribution of hardwoods to parasitoid population maintenance and
576 softwood/hardwood coupling. Stable isotope analysis, fatty acid analysis and qPCR
577 would all be highly complementary techniques.

578

579 A full reckoning of how hardwood content influences the spruce budworm-associated
580 parasitoid community requires careful consideration of both spatial and temporal scale.
581 Hosts and parasitoids disperse, aggregate, and are influenced by landscape structure at
582 different spatial scales often larger than a few hundred metres (Cronin and Reeve
583 2005). Indeed, Legault and James (2018) found that the parasitism rate of spruce
584 budworm by *Apanteles fumiferana* was positively correlated with tree diversity at 3km,
585 and the parasitism rate of spruce budworm by *Glypta fumiferana* was negatively
586 correlated with non-host tree density at 15km. Legault and James (2018) suggest that
587 the different dispersal abilities of parasitoids impact how parasitoids respond to forest
588 diversity at the landscape scale; *A. fumiferana* would be affected by tree composition at
589 smaller scales than *G. fumiferana* because *A. fumiferana* is smaller than *G. fumiferana*
590 (~3.5mm compared to ~8.0mm in length) and likely disperses smaller distances than *G.*
591 *fumiferana*. Interestingly, Zhang et al. (2020) did not find any difference in parasitism
592 rate of spruce budworm across a hardwood gradient, but Zhang et al.'s (2020) plots
593 were 500m², much smaller than the determining scale found in Legault and James
594 (2018). Our study similarly examined a relatively small scale (plots were 150m by 120m
595 and the ARF is 90km², Figure 1) compared to the large distribution of spruce budworm
596 outbreaks. Yet, phylogenetic clustering in balsam fir dominated plots was consistently
597 found even with small plot scales. A complication to our phylogenetic structure findings
598 is that communities were sampled while overall spruce budworm densities were
599 relatively low even with spruce budworm implanting and were combined for all seasons
600 within a year. In contrast, Marrec et al. (2018) compared spruce budworm parasitoid
601 communities between seasons within a year while spruce budworm were at outbreak
602 densities. Marrec et al. (2018) found that dispersal limitation was likely most important in
603 spruce budworm's early larvae and pupae stages, and environmental filtering was likely
604 most important in the late larvae stage. We agree with Marrec et al.'s (2018)
605 assessment that the dominance of different processes structuring the parasitoid
606 community will change over time as spruce budworm develop and as spruce budworm
607 densities fluctuate, an assessment similar to the birdfeeder pattern outlined in Eveleigh

608 et al. (2007). Clearly, scale is important in the spruce budworm system and therefore to
609 better understand the parasitoid community's response to hardwood content, future
610 research will require greater replication over a variety of spatial and temporal scales.
611

612 Hardwood trees in forest stands have long been thought to be important to reducing the
613 severity of spruce budworm outbreaks. Although several studies have examined how
614 hardwood content impacts spruce budworm directly, relatively few studies have
615 examined how hardwood impacts the parasitoids of spruce budworm. In this study, we
616 used DNA barcoding and stable isotope analysis of Malaise trap sampled parasitoids to
617 examine how hardwood content impacts the spruce budworm-associated parasitoid
618 community. We found that hardwood content influenced the phylogenetic structure of
619 parasitoid communities and several parasitoids could be coupling the hardwood and
620 softwood resource compartments. Our results combined with other researcher's results
621 indicate that having hardwoods on the landscape would be beneficial. However, a major
622 obstruction to using hardwood trees to manage spruce budworm outbreaks is that we
623 don't know how much hardwood content would be required nor the spatial arrangement
624 of hardwood trees within host stands or in the surrounding landscape. Further research
625 should examine how the scale of hardwood content influences spruce budworm
626 dynamics in general and the spruce budworm-associated parasitoid communities
627 specifically. With this information, forest managers would have better information on the
628 quantities and placement of hardwood trees. Taken together, we have provided further
629 evidence that hardwood trees are important in spruce budworm dynamics but
630 understanding how scale mediates this hardwood-spruce budworm relationship is
631 critical to effectively reduce the severity of spruce budworm outbreaks.
632

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649

650 **Author contributions**

651 ESE designed the initial studies. ESE, WM, GF, RL, CJGG, and SJD did the field and
652 laboratory work. CJGG did the statistical analyses with assistance from ESE, MAS,
653 SJD, and KSM. CJGG wrote the first draft and all authors contributed to editing the
654 manuscript.

655

656 **Data accessibility**

657 All sequences and photographs are publically available at <http://dx.doi.org/10.5883/DS->
658 [ASNBPAR](http://dx.doi.org/10.5883/DS-ASNBPAR). All data and code (v2.0) to reproduce the reported results are publicly
659 available on GitHub

660 (https://github.com/cgreysongaito/SpruceBudworm_Parasitoids_Hardwood)

661 and have been archived on Zenodo (<https://doi.org/10.5281/zenodo.4432484>).

662

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664 **Supporting Information**

665
666 **For “Hardwood content impacts parasitoid community associated with Eastern**
667 **spruce budworm (Lepidoptera: Tortricidae)”**
668

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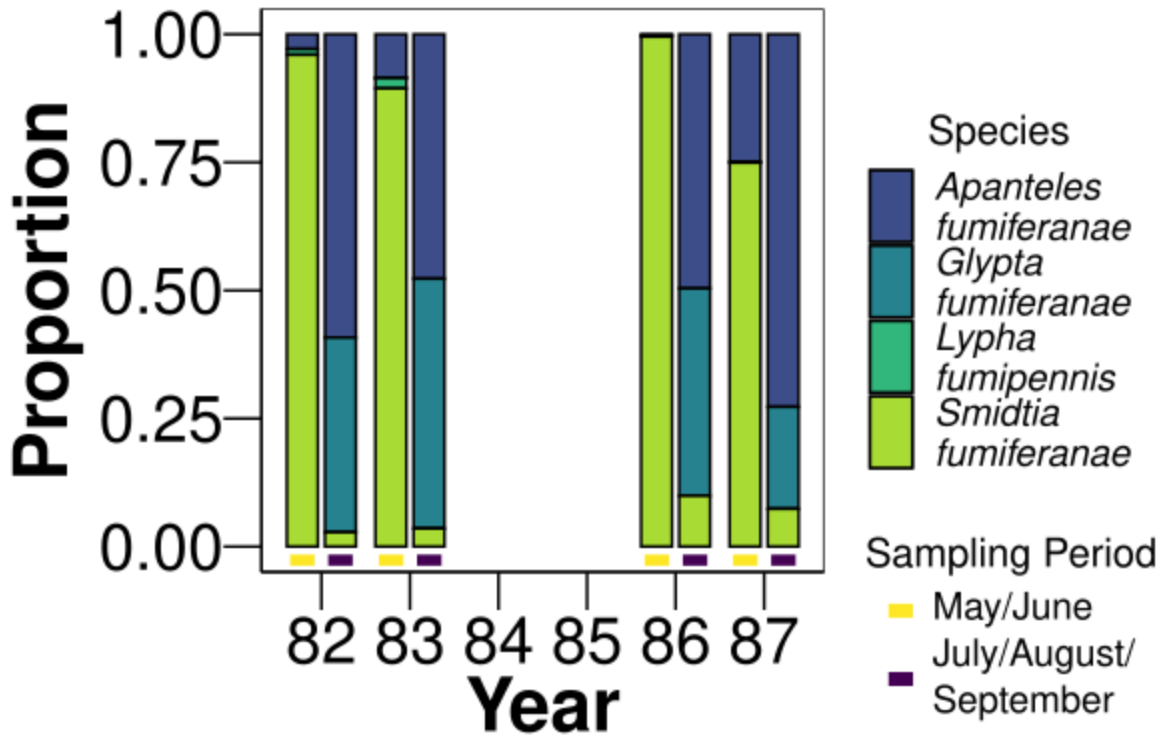
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703 Table 1: List of Malaise caught parasitoid species in each group. This list refers to the
 704 species caught in the 1980s. Previous names of species are provided in brackets if
 705 applicable. Group 1 are univoltine parasitoid species that attack one type of caterpillar
 706 within a year and do not require an alternate caterpillar (to spruce budworm) in which to
 707 overwinter. Group 2 are parasitoid species that attack spruce budworm and likely other
 708 caterpillars on hardwoods within a year. Group 3 are multivoltine parasitoid species that
 709 require an alternate caterpillar in which to overwinter

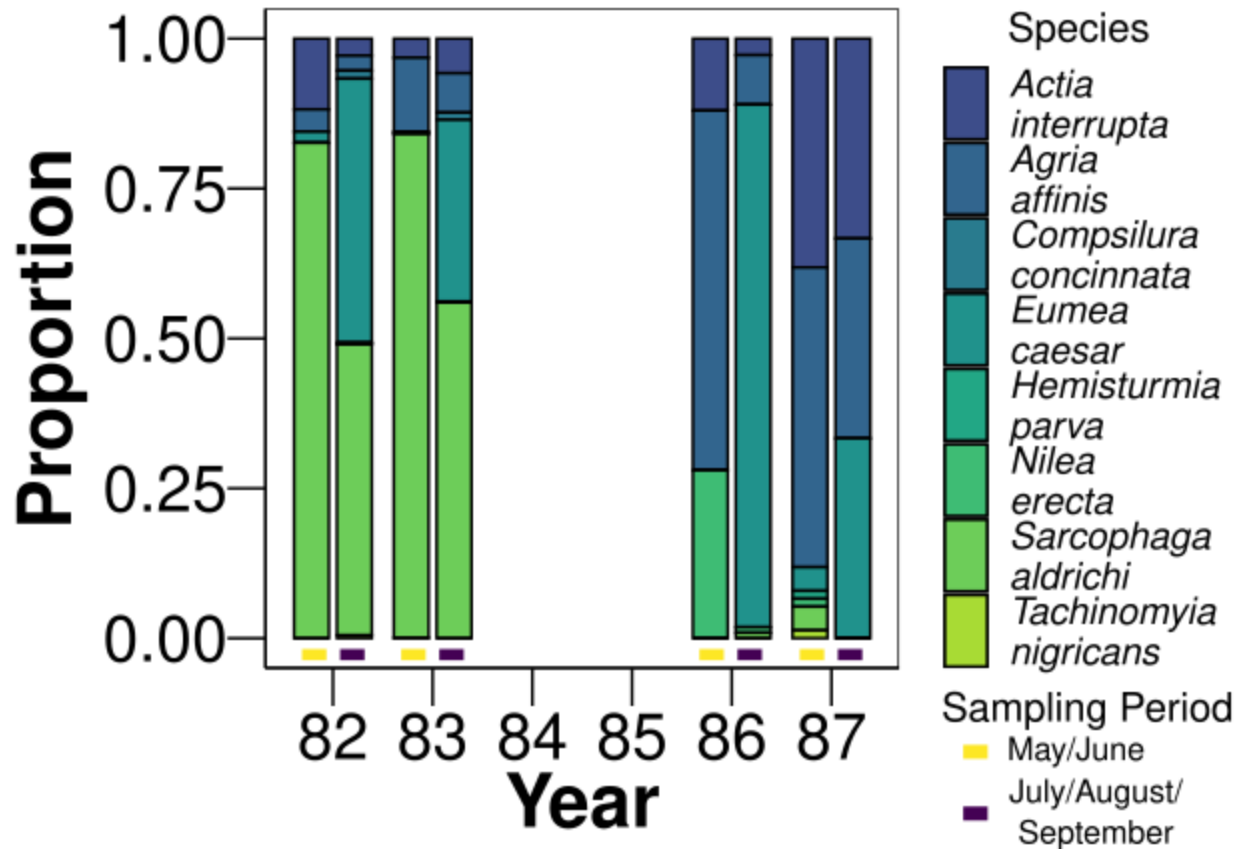
| <u>Group</u> | <u>Species</u> | <u>Spruce Budworm Stage Attacked</u> |
|--------------|--|--------------------------------------|
| 1 | <i>Apanteles fumiferanae</i> Vier. (Hymenoptera: Braconidae) | Early instar larvae |
| 1 | <i>Glypta fumiferanae</i> Vier.(Hymenoptera: Ichneumonidae) | Early instar larvae |
| 1 | <i>Lypha fumipennis</i> (<i>Lypha setifacies</i>) Brooks (Diptera: Tachinidae) | Late instar larvae |
| 1 | <i>Smidtia fumiferanae</i> (<i>Winthemia fumiferanae</i>) Tothill (Diptera: Tachinidae) | Late instar larvae |
| 2 | <i>Actia interrupta</i> Curran.(Diptera: Tachinidae) | Late instar larvae |
| 2 | <i>Agria affinis</i> (<i>Psuedosarcophaga affinis</i>) Fallén (Diptera: Sarcophagidae) | Late instar larvae |
| 2 | <i>Compsilura concinnata</i> Meigen (Diptera: Tachinidae) | Larvae |
| 2 | <i>Eumea caesar</i> Aldrich (Diptera: Tachinidae) | Late instar larvae |
| 2 | <i>Hemisturmia parva</i> (<i>Hemistermia tortricis</i>) Bigot (Diptera: Tachinidae) | Late instar larvae |
| 2 | <i>Nilea erecta</i> (<i>Pseudoperichaeta erecta</i>) Coquillett (Diptera: Tachinidae) | Late instar larvae |
| 2 | <i>Sarcophaga aldrichi</i> Parker (Diptera: Sarcophagidae) | Pupae |
| 2 | <i>Tachinomyia nigricans</i> Webber (Diptera: Tachinidae) | Unknown |
| 3 | <i>Ceromasia auricaudata</i> (<i>Ceromasia aurifrons</i>) Townsend (Diptera: Tachinidae) | Late instar larvae |
| 3 | <i>Madremyia saundersii</i> Williston (Diptera: Tachinidae) | Late instar larvae |
| 3 | <i>Meteorus trachynotus</i> Vier (Hymenoptera: Braconidae) | Late instar larvae |
| 3 | <i>Nemorilla psyte</i> Walker (Diptera: Tachinidae) | Late instar larvae |
| 3 | <i>Phryxe pecosensis</i> Townsend (Diptera: Tachinidae) | Late instar larvae |

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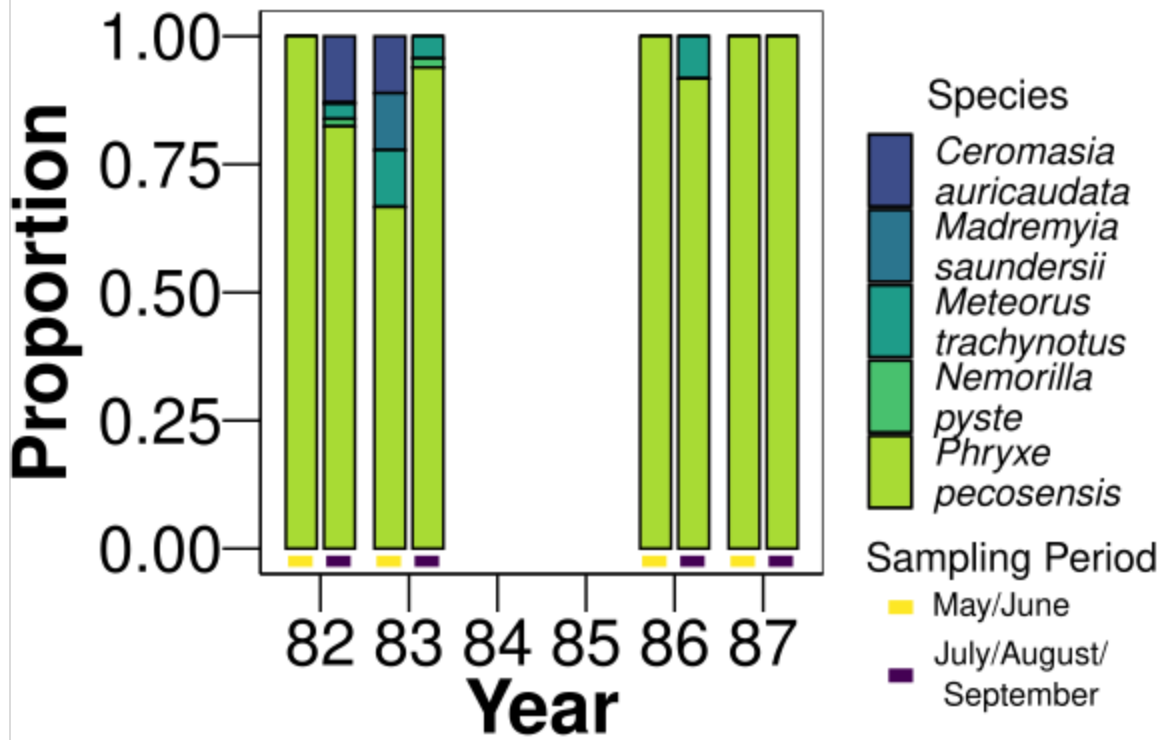
714 Figure S1 Proportion of each parasitoid species within group one that were Malaise
715 caught in May/June or July/August/September for the years 1982, 1983, 1986, and
716 1987. To access the data behind this figure, please contact Eldon Eveleigh
717 (eldon.eveleigh@canada.ca).



718 Figure S2 Proportion of each parasitoid species within group two that were Malaise
 719 caught in May/June or July/August/September for the years 1982, 1983, 1986, and
 720 1987. To access the data behind this figure, please contact Eldon Eveleigh
 721 (eldon.eveleigh@canada.ca).

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739 Figure S3 Proportion of each parasitoid species within group three that were Malaise
740 caught in May/June or July/August/September for the years 1982, 1983, 1986, and
741 1987. To access the data behind this figure, please contact Eldon Eveleigh
742 (eldon.eveleigh@canada.ca).
743