

1 **Abstract**

2 There is increasing interest in the role that evolution may play in current and future
3 pandemics, but there is often also considerable confusion about the actual evolutionary
4 predictions. This may be, in part, due to a historical separation of evolutionary and medical fields,
5 but there is a large, somewhat nuanced body of evidence-supported theory on the evolution of
6 infectious disease. In this review, we synthesize this evolutionary theory in order to provide
7 framework for clearer understanding of the key principles. Specifically, we discuss the selection
8 acting on zoonotic pathogens' transmission rates and virulence at spillover and during
9 emergence. We explain how the direction and strength of selection during epidemics of emerging
10 zoonotic disease can be understood by a three Ts framework: trade-offs, transmission, and time
11 scales. Virulence and transmission rate may trade-off, but transmission rate is likely to be favored
12 by selection early in emergence, particularly if maladapted zoonotic pathogens have 'no-cost'
13 transmission rate improving mutations available to them. Additionally, the optimal virulence and
14 transmission rates can shift with the time scale of the epidemic. Predicting pathogen evolution
15 therefore depends on understanding both the trade-offs of transmission-improving mutations and
16 the time scales of selection. (194/200)

17

18 **Keywords:** Trade-offs, Virulence, Transmission, Emerging Zoonotic Disease, Evolution

19

20 **Introduction**

21 Throughout the current global pandemic of Sars-CoV-2, we have seen a growing public
22 fascination with the role of pathogen evolution during disease emergence. In May 2020, reports
23 of a mutational variant (D614G) increasing in frequency sparked concern about virus evolution
24 [1–3] and more potentially adaptive variants have since been reported [4–6]. These experiences
25 with SARS-CoV-2 and with previous epidemics of other zoonotic diseases have clearly
26 demonstrated the potential for pathogens to evolve during disease emergence [7]. Despite this
27 importance, public conversations around pathogen evolution are often fraught with
28 misunderstandings. To some extent, this is likely reflective of the historical separation of
29 evolutionary and medical disciplines [8,9]. Beyond that, however, scientific communication around
30 pathogen evolution is particularly tricky because the science to be communicated provides no
31 clear answers to be packaged into simple explanations.

32 Experts studying infectious disease evolution understand that pathogens have the
33 potential to rapidly adapt due to high population sizes, short generation times, and relatively high
34 mutation rates [10] and recognize that human populations impose novel, although often
35 understood, selection pressures [11]. At the same time, however, many experts are sometimes
36 quick to express skepticism when public conversation is dominated by concern over pathogen
37 evolution. This is partially because pathogen evolution is just one factor of many that collectively
38 influence epidemic progression, so communication around its importance sits on a teeter totter of
39 balancing a concern and attentiveness against a blinded focus on potential evolution over other
40 factors shaping the epidemic [12,13].

41 Additionally, many experts studying infectious disease evolution are often quick to
42 emphasize that we cannot predict how a specific pathogen will evolve [14]. However, this does
43 not mean that we have absolutely no idea of how pathogens generally may evolve. We expect
44 that pathogens will evolve in response to selection in human populations, but the speed at which
45 they do depends critically on the availability of adaptive variation and the relative strength of
46 selection compared to stochasticity, both of which relate to the number of infected individuals [15].
47 Theory predicts that pathogens may evolve towards optimal virulence and transmission rates due
48 to underlying constraints, but these predictions depend on nuances of pathogen biology, epidemic
49 stage, and host population structure [16,17]. It can, understandably, be frustrating when asking
50 how a pathogen will evolve to hear predictions that sound like contradictions and non-answers,
51 but this reflects the complicated realities of pathogen evolution. However, this real uncertainty
52 also seems to have created an environment where hope for simple answers means that
53 misinformation can spread.

54 On top of the inherent challenges of communicating complex scientific concepts,
55 researchers studying pathogen evolution must also play 'whack-a-mole' against a variety of
56 misconceptions that are wrong in different ways. Public concern sometimes skews towards
57 pathogens evolving to be hyper-virulent, hyper-transmissible superbugs [18]. Alternatively,
58 historical theories of evolution towards avirulence still pervade the public consciousness and
59 sometimes lead to the prediction that pathogens universally evolve to become less dangerous
60 [19]. In both directions, these misconceptions can lead to inappropriate public health policies.
61 However, the disjointed nature of combatting misconceptions as they arise has led to much of the
62 conversation on pathogen evolution in emerging zoonotic diseases being scattered across the
63 scientific literature and media. This can be compounded by the fact that researchers studying

64 pathogen evolution come from a variety of sub-disciplines and their work is often not well
65 integrated [20].

66 As pathogen evolution continues to be an important conversation in the current pandemic
67 of SARS-CoV-2 and is likely to again be important during future epidemics of emerging zoonotic
68 disease, this review aims to collect insights from the wealth of research on pathogen evolution to
69 provide a centralizing, conceptual understanding of the factors shaping the evolution of
70 transmission rate and virulence in epidemics of novel zoonotic disease. While we cannot
71 comprehensively discuss this vast literature, our aim is to provide a framework so that readers
72 understand the general principles of pathogen virulence and transmission evolution and can also
73 see how variations in the assumptions of these models based upon nuances of biology and
74 population structure can lead to deviations in their predictions. We will discuss: (1) how a
75 pathogen's evolutionarily stable (long term 'optimal') strategy depends on trade-off shape; (2)
76 what predicts pathogen virulence at the spillover barrier; (3) why selection favors transmission
77 rate improvements in maladapted zoonotic pathogens; and (4) how selection changes over time
78 during epidemics. Through this, we describe predictions for pathogen evolution during epidemics
79 of emerging zoonotic disease and how they can change depending on pathogen biology and host
80 population structure.

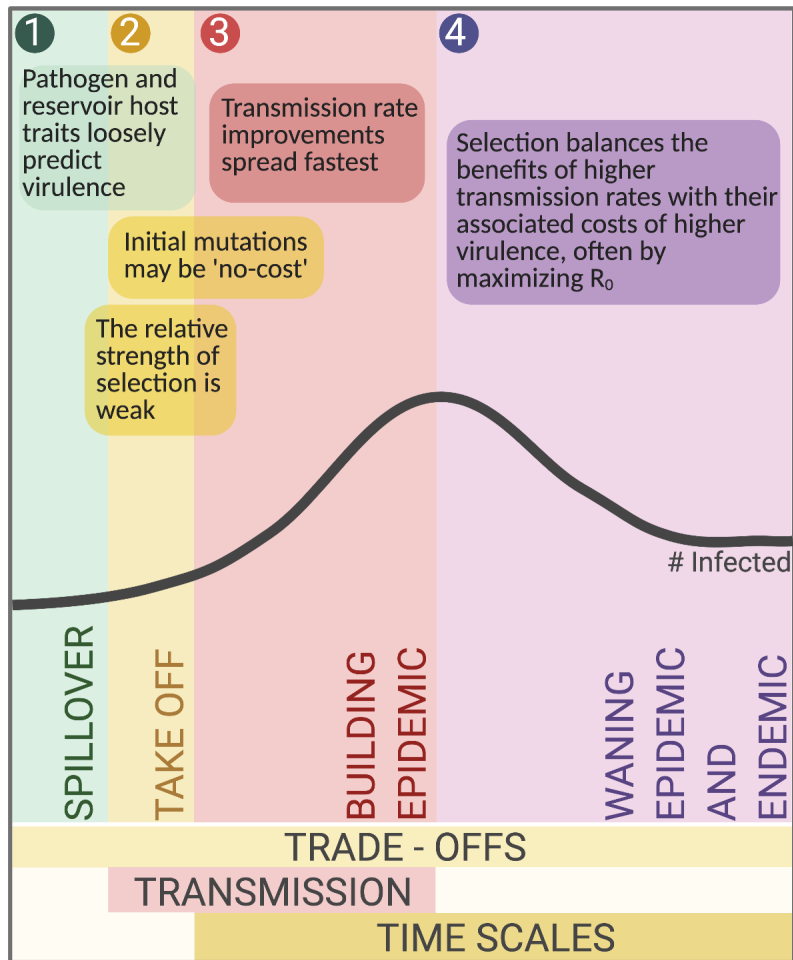
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82 **The Three Ts Framework: Trade-offs, Transmission, and Time Scales**

83 The adaptive evolution of any trait depends on the presence of variation and the ability of
84 selection to act on that variation. It is clear that pathogens, particularly RNA viruses, can quickly
85 generate and maintain large amounts of variation [21]. At the start of an epidemic, selection on
86 these variants is weak compared to stochastic and demographic pressures, but gains strength as
87 the number of infections increase [15]. Selection on virulence during epidemics of emerging
88 zoonotic disease can be understood by considering the 'three Ts': trade-offs, transmission, and
89 time scales [12,22–24]. See Figure 1 for graphical summary.

90 In terms of **trade-offs**, theory has often assumed, and empirical data has increasingly
91 shown us, that many pathogen traits, like transmission rate and virulence, **trade-off** with each
92 other [17,22,25,26] (See Table 1). The **trade-off** theory is important because it explains how
93 different intermediate virulence, transmission, and recovery rates can be optimal for a pathogen
94 due to constraints between these key traits [17,22,26]. In terms of **transmission**, emerging
95 zoonotic pathogens typically do not have histories of selection in human populations and thus are
96 likely to be maladapted for human-to-human transmission [27]. In theory, this maladaptation

97 means that emerging zoonotic pathogens may initially have ‘no-cost’ mutations available that
98 improve transmission rate without impacting traits like virulence [23]. In these cases, emerging
99 diseases can be selected to increase their **transmission rates** with no, or potentially
100 counterintuitive, impacts on virulence [23]. Finally, **time scale** matters since, even with trade-offs
101 between virulence and transmission rate, transmission rate improvements continue to be the most
102 important selection pressure at the start of an epidemic because the relative strength of selection
103 on transmission rate and virulence shifts as the density of susceptible hosts changes during an
104 epidemic [24,28]. Therefore, the pathogen’s optimum strategy changes over **time** during an
105 epidemic. We will discuss each of these in detail below.



106

Figure 1: The Three Ts of Virulence Evolution During Zoonotic Emergence. Trade-offs between virulence and transmission rate determine pathogen fitness at every point during an epidemic, regulating pathogen fitness at the spillover barrier and shaping selection as the epidemic progresses. Early in the epidemic, however, individual transmission rate improving mutations may be 'costless' and not have trade-offs. Improvements in transmission rate are the most important selection pressure during epidemic take-off and building phases, though selection is weak at take-off. Finally, the time scale of the epidemic shifts the pathogen's optimal virulence and transmission rate strategies as the density of susceptible hosts changes. Created with Biorender.com

107 **The Virulence-Transmission Trade-Off Hypothesis**

108 Evolutionary biologists have long been interested in why pathogens harm their hosts, or
 109 cause virulence (See Box 1) [29]. Based on the assumption that host damage was detrimental to
 110 parasite fitness, early ideas predicted that all parasites should evolve towards avirulence [19,30].
 111 This was considered the 'conventional wisdom' until the 1980s, when foundational papers began
 112 to appreciate that virulence might be linked to other parasite traits like transmission or recovery
 113 rates and therefore could have an evolutionary optimum [22]. Trade-offs between these traits
 114 would mean that low virulence would come at a cost of low transmission rate or fast recovery and

115 that avirulence would therefore hinder parasite fitness. This virulence and transmission trade-off
116 is now fundamental to our theories on pathogen evolution.

117 Theory on the virulence and transmission trade-off typically suggests that virulence and
118 transmission rate are both functions of the within-host exploitation or replication rate [17,30].
119 Because faster replicating pathogens generate larger population sizes, they increase their
120 transmission rate while causing more host damage [17,26]. Damage increases host mortality,
121 thereby decreasing the host's infectious period and providing a shorter window for the infected
122 host to contact susceptible hosts [22]. In short, faster within-host replication increases the
123 likelihood of infection upon contact while decreasing the overall duration of infection [22,26].
124 Under the trade-off hypothesis, parasites are therefore selected for exploitation rates that balance
125 virulence and transmission rate [17,22,26].

126 Transmission rate and virulence do not necessarily need to trade off through the within-
127 host exploitation rate for selection to balance the two traits. A virulence-recovery trade-off can
128 occur if low replication rates make pathogens easier to clear such that lower virulence trades off
129 with faster recovery rates [22]. Alternatively, a transmission-recovery trade-off can occur if the
130 immune response is activated in a density dependent manner so that high replication rates have
131 high transmission rates, but fast recovery [31]. A sickness behavior-transmission trade-off may
132 result if faster replication rates make the host feel sick and isolate themselves so that high
133 replication leads to a higher probability of infection upon contact, but fewer contacts [32]. Finally,
134 the virulence and transmission trade-off does not necessarily depend on changes to the within-
135 host replication rate if symptoms themselves are needed for transmission [33].

136 In simple host-parasite models, pathogens are selected to maximize the epidemiological
137 R_0 (i.e. the number of secondary infections that a parasite produces during its infectious period in
138 an entirely susceptible population) [22] (but see [34,35]). The virulence-transmission trade-off
139 predicts that these two traits are positively correlated, but the shape of this relationship is critical
140 to the predictions of evolutionary theory [22,26]. When the trade-off is linear, pathogens evolve
141 maximum virulence; but when the trade-off is saturating (such that virulence is acceleratingly
142 costly in terms of transmission rate), pathogens will evolve towards an intermediate virulence
143 [22,30]. Given the centrality of the trade-off hypothesis to our understanding of virulence, it is
144 noticeable that there are an increasing number of empirical studies that have found support for
145 the core idea (See Table 1) [25].

146

Box 1. Defining virulence, transmission rate, and R0

In the virulence and transmission trade-off theory, virulence is strictly defined at the additional rate of mortality due to infection. This notably differs from definitions used in other fields like plant pathology, where virulence refers to the range of host genotypes that a pathogen can infect, or microbiology, where virulence often refers to specific virulence factors [36]. Virulence in the trade-off theory is therefore a product of host, pathogen, and environmental traits that together affect the additional mortality rate of infected individuals (Figure 2).

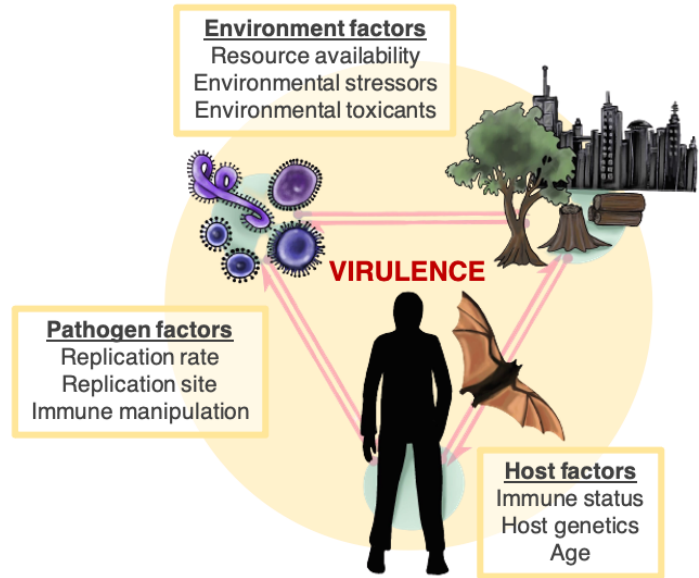


Figure 2: Disease Triangle of Virulence

In the virulence and transmission trade-off theory, virulence trades off with the transmission rate (or β), which is a product of the probability of infection upon contact and the contact rate between individuals in the population.

Together, the transmission rate and the duration of infectiousness (the inverse of virulence) determine the pathogen’s R_0 , or the number of secondary infections that a parasite produces during its infectious period in an entirely susceptible population. R_0 is therefore a metric of parasite fitness that is analogous to the lifetime reproductive success of the infection.

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Table 1. Empirical tests of virulence evolution theory	
System	Results
The virulence and transmission trade-off	
<i>Oryctolagus cuniculus</i> / Myxoma virus [22]	R_0 was maximized at an intermediate virulence that had slower recovery and mortality rates
<i>Mus musculus</i> / <i>Plasmodium chabaudi</i> [37]	Virulence and transmission stage density are both positively correlated with replication rate
<i>Homo sapiens</i> / <i>Plasmodium falciparum</i> [38]	Parasite fitness peaks at intermediate virulence values with higher parasite replication and lower mortality
<i>Daphnia magna</i> / <i>Pasteuria ramosa</i> [39]	Transmission stage production peaked at intermediate virulence
<i>Homo sapiens</i> / HIV-1 [40]	R_0 peaks at intermediate viral set point load and virulence

<i>Danaus plexippus</i> / <i>Ophryocystis elektroscirrha</i> [41]	Parasite lifetime fitness peaks at intermediate replication rates
<i>Gallus gallus domesticus</i> / Marek's disease virus [42]	R ₀ peaks at intermediate virulence
<i>Brassica rapa</i> / Cauliflower mosaic virus [43]	Virulence and transmission rate show a positive, saturating relationship, but not replication rate
<i>Haemorrhous mexicanu</i> / <i>Mycoplasma gallisepticum</i> [44]	Virulence increases with parasite replication rate in isolates before, but not after host resistance evolution
<i>Haemorrhous mexicanu</i> / <i>Mycoplasma gallisepticum</i> [33]	R ₀ peaks at intermediate virulence, even when the relationship between transmission rate and virulence is not dependent on replication rate
Meta-analysis of multiple systems [25]	Strong evidence of increasing relationships between virulence and replication and between transmission rate and replication
Transient virulence evolution depending on susceptible host density	
<i>Escherichia coli</i> / bacteriophage lambda [45]	Virulent, lytic phage is strongly favored by competition at the start of an epidemic, but latent virus outcompetes it as the epidemic progresses
Virulence evolution in spatially structured populations	
<i>Escherichia coli</i> / T4 coliphage [46]	Prudent strategies dominate with spatially restricted migration, while virulent dominate with global migration
<i>Plodia interpunctella</i> / granulosis virus [47]	Spatial structure selects for less infective, more prudent virus
<i>Escherichia coli</i> / bacteriophage lambda [48]	Latent, prudent virus outcompetes lytic, virulent virus in spatially structured populations
Virulence evolution with environmental transmission	
HeLa cells / vesicular stomatitis virus [49]	There is a trade-off between transmission rate and the formation of environmentally persistent particles
BHK cells / vesicular stomatitis virus [50]	There is a trade-off between viral fecundity and the formation of environmentally persistent particles
<i>Homo sapiens</i> / respiratory tract pathogens [51]	Respiratory pathogens that survive longer in the environment are more virulent

149

150 **Virulence and transmission trade-offs acting at spillover**

151 As we have outlined, theory on the virulence and transmission trade-off is based upon the
152 idea that pathogens will be selected towards an optimal level of virulence within the host
153 populations to which they are adapted [17]. Recently emerged zoonotic diseases do not have this
154 evolutionary history with human populations and are therefore highly unlikely to be at their
155 evolutionary optimum when they first emerge [27,52]. However, emerging pathogens may still be
156 regulated by an underlying virulence and transmission trade-off. In meta-analyses of recently
157 emerged viral zoonoses, excessively high virulence is associated with a lower R₀ [27,53,54] and
158 this negative association supports the theoretical prediction that high virulence impedes pathogen

159 fitness. Theory also predicts a cost to excessively low virulence, an effect that is not supported
160 in these analyses [22,27]. However, this could easily result from discovery bias because we are
161 unlikely to notice low- R_0 zoonoses that cause only a few infections and have low virulence [16].
162 As such, there is little evidence to not expect emerging diseases to be governed by trade-offs
163 once they emerge into human populations.

164

165 **What predicts the virulence and transmission of zoonotic pathogens when they first infect** 166 **humans?**

167 Emerging zoonoses vary widely in their virulence and transmission rates, but there are
168 key reservoir host characteristics that are associated with the pathogen's phenotype in humans
169 [27,53,55]. In particular, meta-analyses of recently emerged viral zoonoses have supported
170 phylogenetic trends in zoonotic potential [27]. The phylogenetic distance between a pathogen's
171 reservoir host and novel host predicts the pathogen's probability of being zoonotic [55], virulence
172 [27,56], and R_0 [27,53]. Mammalian hosts closely related to humans (e.g. primates) harbor
173 zoonoses associated with lower human mortality and higher R_0 , while more distantly related hosts
174 (most notably, bats) harbor highly virulent zoonoses that appear to be relatively maladapted for
175 human-to-human transmission [27,57]. These phylogenetic trends can be understood if
176 pathogens from distantly related reservoir hosts have evolved replication strategies adapted to
177 their reservoir host's more dissimilar immunology, physiology, and ecology [27,52].

178 Importantly, these variations in pathogen virulence upon emergence reflect evolutionary
179 histories within non-human reservoir hosts and demonstrate that emerging zoonotic diseases are
180 not likely to be well adapted to human populations [27,52]. Reservoir host and pathogen traits can
181 suggest what phenotypes a pathogen may have upon emergence, but do not tell us where these
182 starting point phenotypes are relative to a pathogen's 'ideal' phenotypes in humans, since each
183 pathogen will have a different evolutionary optimum depending on the nuances of its biology in
184 the new host [14].

185

186 **Do we expect to see adaptive evolution of transmission and virulence in recently** 187 **emerged diseases?**

188 Because emerging zoonotic diseases are maladapted to human populations, we certainly
189 expect for there to be selection for improved pathogen fitness. However, this does not necessarily
190 mean that there will be adaptive evolution [15,18]. A key tenant of evolutionary theory is that
191 selection must act through a background of stochasticity and drift to result in adaptive evolution

192 [58]. Small population sizes mean that both stochasticity and drift are relatively strong, and
193 therefore the inevitably small population of infected individuals at the start of an epidemic means
194 that stochasticity and drift are likely to overwhelm selection and determine the spread of mutants
195 [59]. Additionally, the existence of founder effects during epidemic range expansions results in
196 spatial stochasticity analogous to genetic drift [60]. Thus, founder effects and variation in
197 transmission due to host behavior and stochasticity likely determine the fate of mutants at the
198 start of epidemics [15].

199 Additionally, adaptive evolution in acute, respiratory pathogens may be constrained by the
200 small bottleneck sizes of transmission events [61,62]. Short infectious periods and small
201 bottlenecks mean that it is less likely for a pathogen to have enough time within a host to generate
202 adaptive mutations and select on those variants strongly enough for them to reach the high
203 frequencies needed to transmit through tight bottlenecks [61]. This can impede adaptive evolution
204 at the population level [63]. All of these stochastic factors can overwhelm selection, especially at
205 the start of an epidemic. However, as the population size of infected individuals increases or if
206 there are mutations of large enough effect size, the balance between selection and stochasticity
207 may shift towards selection and result in adaptive evolution.

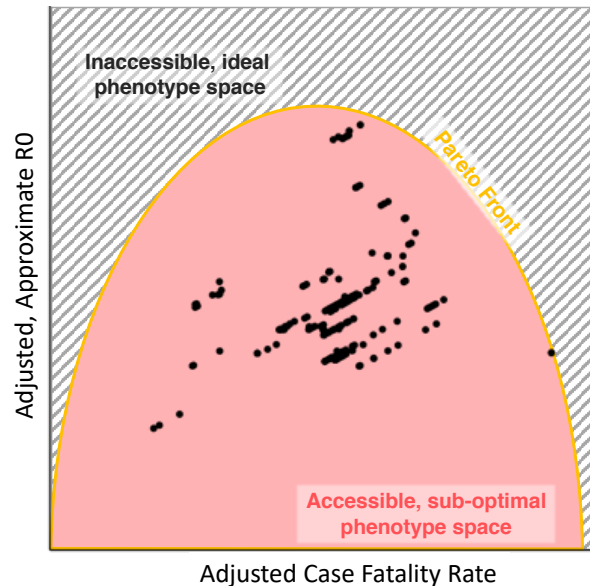
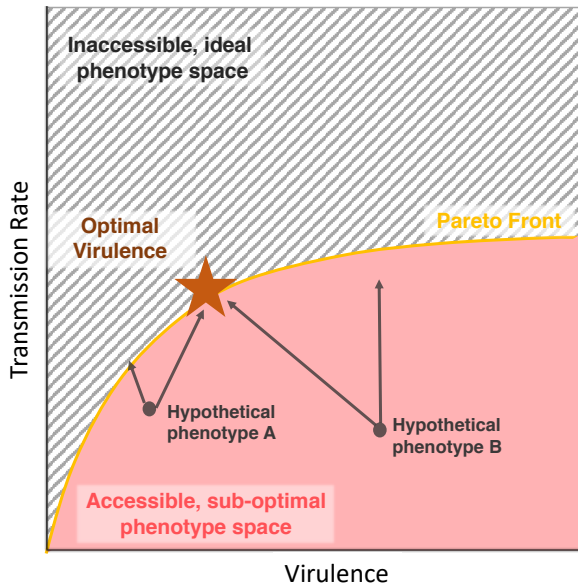
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209 **Maladapted emerging zoonotic pathogens can evolve in unexpected ways**

210 There are many ways that emerging zoonotic pathogens can adapt to human hosts and
211 the foremost is to improve their R_0 [64]. Classic trade-off theory assumes that R_0 should be
212 maximized at intermediate virulence and transmission rates if these traits have tight, positive, and
213 saturating correlations. However, these tight correlations assume that the pathogen is already
214 relatively adapted to its host such that all potential adaptive mutations (for higher transmission
215 rate or lower virulence) have costs (of higher virulence or lower transmission rate, respectively).
216 This is unlikely to be the case for emerging zoonotic pathogens [27].

217 The concept of Pareto fronts describes scenarios where phenotypes can be in the region
218 of sub-optimal phenotype space below the trade-off front (See Figure 3) [65]. The trade-off front
219 (or Pareto front) separates these accessible, maladapted phenotype combinations from
220 impossible, ideal phenotypes [65,66]. At the Pareto front, the two phenotypes trade-off with each
221 other. Below the Pareto front, however, improvements in one trait may not affect the other trait as
222 simple adaptations can be made before costs are incurred. Because they lack any evolutionary
223 history with humans, emerging zoonotic diseases are unlikely have fixed all available ‘no-cost’
224 adaptations and thus likely have phenotypes below Pareto fronts. Applied to virulence evolution,

225 this means that recently emerged diseases, even if broadly regulated by trade-offs, may select
 226 for no-cost improvements in transmission rate that do not affect their virulence (See Figure 3a)
 227 [23]. This means that we cannot predict how any individual mutation improving transmission rate
 228 will affect virulence in a maladapted pathogen that starts below the Pareto front.
 229



230
 231
Figure 3a. Conceptual Diagram of the Pareto front between virulence and transmission rate. Possible phenotypes can be selected to improve the transmission rate along any pathway within the accessible phenotype space. Since each pathogen’s function determining their virulence and transmission rate trade-off varies, we cannot know where a hypothetical phenotype sits below the Pareto front. Selection for improved transmission rate can therefore involve decreases, no changes, or increases in virulence depending on the pathogen’s starting point and mutational availability.

Figure 3b. Recently emerged viral zoonoses follow a Pareto front of virulence and R0 where R0 seems to be maximized at intermediate case fatality rates within viral families. Data is from a published dataset of recently emerged viral zoonoses from mammalian hosts [27]. Each dot represents an individual epidemic of a viral zoonosis. Approximate R0 is classified from 1 (no human-to-human transmission) to 4 (endemic transmission) [64]. Dots represent potted residuals from linear models of CFR and approximate R0 including virus family and citation count as factors. Plots were made with ‘ggplot2’. See supplement for code.

232 **Selection on virulence and transmission rate during epidemics**

233 While early adaptations may be costless, trade-offs between pathogen traits are likely to
 234 regulate evolution once these initial ‘no-cost’ mutations have been exhausted. We’ve discussed
 235 how variations in trade-off shape can lead to different optimal transmission rates and virulence
 236 for different pathogens [17,22,26], but the optimal values of these rates can also depend on host
 237 and parasite epidemiological characteristics [17,30]. Classic models examine the long term
 238 evolutionary outcome at equilibrium [67]. Selection on virulence and transmission rates during the

239 start of an epidemic can be explored by using models that do not assume equilibrium
240 [23,24,28,68]. These models allow for the existence of multiple simultaneous mutants so that the
241 competitive fitness of each can be assessed over shifting epidemiological conditions in time. They
242 show that strategies with higher transmission rates and virulence can be selected during epidemic
243 growth stages, despite R_0 optimized (intermediate virulence) strategies dominating at endemic
244 equilibrium [24,28]. This is because strategies with higher transmission rates spread fastest at
245 the start of the epidemic when the density of susceptible hosts is high [24,28].

246 Intuitively, these results can be explained as: an infected host during the early stages of
247 an epidemic encounters mostly susceptible hosts, so strains with higher transmission rates will
248 have faster population growth rates since they have shorter generation times than strains with
249 higher R_0 (but lower transmission rates) that produce more secondary infections more slowly over
250 a longer infectious period. Therefore, improvements in transmission rate are the most important
251 at the start of an epidemic and can be selected for even if they have shorter infectious periods
252 because of increased virulence. This also demonstrates that the high density of susceptible hosts
253 early in epidemics crucially influences selection [17,23,24,28].

254

255 **Selection on virulence and transmission rate with multiple infection**

256 Classic virulence evolution trade-off theory also assumes that each infection is caused by
257 only one parasite strain so that hosts are not co-infected by different parasites or by multiple
258 genotypes of one parasite. However, multiple infection by different genotypes is likely to be
259 common [69] and can result in altered selection on virulence due to within host competition for
260 resources [70]. Whether multiple infection selects for higher or lower virulence, however, can
261 depend on the specific mechanisms of pathogen competition and virulence [71,72]. Clearly, the
262 probability of being multiply infected will depend on the prevalence of infection and thus vary over
263 the course of the epidemic [73]. Thus, any selection effects on virulence due to multiple infection
264 will be weak at the start of epidemic and increase with the number of infected individuals.

265

266 **Selection on virulence and transmission rate in structured populations**

267 Classic virulence evolution trade-off theory assumes that transmission happens randomly
268 in a homogeneously mixing population [17]. However, natural populations almost always have
269 heterogeneous mixing patterns due to spatial structure and social networks [74,75]. In these
270 structured populations, transmission occurs more often between neighboring individuals and
271 those in social groups. This can lead to 'self-shading' where highly infectious strains rapidly

272 deplete their local susceptible populations and compete for available hosts with related strains
273 [74,76]. Thus, structured host populations select for lower pathogen infectivity and virulence at
274 endemic equilibrium. However, the high availability of susceptible hosts at the start of an epidemic
275 is likely to reduce the impact of self-shading and, moreover, pathogens need to have higher
276 transmission rates to seed an epidemic in a spatially structured population than in a well-mixed
277 one [77]. Before equilibrium, the invasion front of a spatially structured epidemic also has a high
278 local supply of susceptible hosts, which leads to a dynamic where virulent, high transmission rate
279 strains are selected at the invasion front and then are succeeded by more prudent strategies as
280 the local dynamics approach equilibrium [78,79]. Overall, then, it is possible that structure in host
281 populations temporarily selects for higher virulence while the epidemic is spreading through
282 mostly susceptible populations. However, if there are also trade-offs where high virulence
283 impedes host movement, then the spatial front of the epidemic might instead have lower virulence
284 [80]. As such, it is unclear how population structure and movement overall will select emerging
285 pathogens during different parts of the epidemic.

286

287 **Selection on virulence and transmission rate with environmental transmission**

288 Classic virulence evolution trade-off theory assumes that pathogens only transmit by direct
289 contact between hosts. However, many pathogens also transmit through the environment [81–
290 84]. The ‘curse of the pharaoh’ hypothesis suggested that parasites could have higher virulence
291 if they transmitted through the environment because transmission would not be linked to the host’s
292 infectious period [81]. The conditions under which the ‘curse of the pharaoh’ holds can be
293 complex, but models show that environmental transmission can select for higher virulence
294 strategies at equilibrium if hosts can be multiply infected or transmit after death [83,85,86].
295 However, propagule survival in spatially structured populations may actually increase self-
296 shading and select for even lower virulence [84]. During the epidemic stage, however,
297 environmental transmission can select for high virulence during the epidemic stage under broader
298 conditions because environmentally transmitting high virulence strategies have higher population
299 growth rates [24,82]. However, these dynamics shift if there are trade-offs associated with making
300 environmentally persistent particles [87,88] and some empirical studies have shown that
301 adaptations to increase environmental persistence can require more host resources or impede
302 attachment to host cells [49].

303

304 **Selection on virulence and transmission rate with antigenic escape**

305 Finally, classic virulence evolution trade-off theory assumes that recovered hosts are fully
306 immune such that host immunity does not wane and pathogens do not evolve to escape such
307 immunity. However, some, but not all, viral pathogens exhibit antigenic evolution to escape
308 neutralizing antibodies conferred by previous infections or vaccines [89,90]. We will not fully
309 explore selection for antigenic escape here, but note that selection for antigenic or vaccine escape
310 evolution is significantly slower and less efficient than for drug resistance—likely due to
311 differences in the timing and breadth of with-in host selection pressures [63,89]. When antigenic
312 escape occurs, however, it means that recovered individuals are newly susceptible to evolved
313 strains and essentially ‘resets’ the timescale of an epidemic by replenishing the density of
314 susceptible hosts. This effect can select for more acute, highly transmissible and virulent
315 pathogens [23,91]. However, mutations conferring antigenic escape likely trade-off with other
316 pathogen traits like receptor binding avidity and expression, so trade-offs may constrain the
317 possible virulence and transmission rate phenotypes for such mutants [92].

318

319 **How might public health measures shape selection on virulence and transmission rate?**

320 The question of whether public health measures can purposely or inadvertently drive
321 pathogen evolution naturally arises when discussing virulence evolution. Public health measures
322 intentionally driving the evolution of virulence may be unrealistic in emerging zoonotic diseases
323 because, as we have discussed, virulence evolution is very difficult to fully predict [14]. However,
324 we can gain insight into how public health measures can inadvertently select on virulence. Non-
325 pharmaceutical public health interventions for epidemics primarily aim to decrease transmission
326 and therefore either stop the epidemic or slow it until vaccines and treatments can be developed
327 [93]. This decreases the total number of infected individuals, which will have the greatest impact
328 on the total mortality burden of any epidemic [12]. This also limits the evolutionary potential of the
329 pathogen by limiting the number of cases and therefore the strength of selection and opportunities
330 for mutation [12]. However, some of these interventions may also contribute to the selection acting
331 on the pathogen [12,14]. First, increased environmental sanitation decreases environmental
332 transmission, thus potentially selecting for lower pathogen virulence under the ‘curse of the
333 pharaoh’ hypothesis [82]. Second, decreased travel and extra-household contacts should alter
334 the spatial and social structure of the population to make a more structured transmission network
335 [74]. Third, quarantine of symptomatic individuals may select for decreased or altered symptoms
336 [94]. Finally, vaccines can sometimes create selection pressures on pathogens with potential
337 evolutionary impacts to consider [95].

338 While the most human mortality will be prevented by simply preventing transmission,
339 considering the effects of control measures on pathogen evolution can, in principle, lead to better
340 epidemic management [12]. Understanding host population characteristics creating strong
341 selection for high transmission rate strategies could help distribute public health effort if there are
342 limited resources [12]. However, a key point is that weak epidemic control measures that allow
343 for extended transmission in humans increase the evolutionary potential of zoonotic pathogens
344 because they allow for stronger selection and more mutations [12]. Thus, the best evolutionary
345 management practice for an epidemic of a zoonotic infectious disease would be to suppress
346 transmission using strong, rapid public health interventions.

347

348 **Conclusion**

349 In the face of the extraordinarily stressful circumstances of a global pandemic, we all
350 understandably want simple answers for what will happen next and how the pathogen will evolve.
351 Unfortunately, the simplest answer is that we cannot predict the evolution of any specific novel
352 zoonotic pathogen. Its virulence and transmission rate may trade-off; it may be selected to
353 increase its transmission rate; and the dynamics of selection may change with time.

354 The slightly more complicated answer is that, while we cannot predict how any specific
355 pathogen will evolve, we do know how selection is expected to generally act on emerging zoonotic
356 diseases and how different assumptions affect these predictions. We know that novel zoonotic
357 pathogens emerge into the human population maladapted to human hosts [27,55]. Generally, we
358 expect that virulence and transmission rate trade-off, leading to selection towards intermediate
359 values of both [22]. However, we also know that a maladapted zoonotic pathogen's virulence and
360 transmission phenotypes may start below the Pareto front, so selection for higher transmission
361 rates can have decoupled effects on virulence [23]. Our theory also says that, even with trade-
362 offs, the optimal balance between virulence and transmission rate shifts depending on the time
363 scale of the epidemic and different epidemiological and population characteristics [22,23].

364 All of these uncertainties make virulence evolution an academically interesting topic with
365 a rich body of theory surrounding it, but no universal predictions [14]. Unfortunately, any sort of
366 evolutionary prediction depends on a good understanding of how the phenotypes that the
367 pathogen emerges with compare to their 'optimal' phenotypes in human populations; what fitness
368 improving mutations the pathogen has available to it and what their associated trade-offs are; and
369 how host population structure and epidemiological characteristics will shape the selection
370 pressures on the pathogen. This data is exceptionally difficult to quickly gather. However, despite

371 our inability to conclusively predict how a pathogen will evolve, we do know that we can prevent
372 it from doing so by implementing strong, rapid public health measures that suppress transmission
373 early on since this will decrease the evolutionary potential of such pathogens while also
374 decreasing the total mortality burden by limiting the number of people infected.

375

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380

381 **Author Contributions**

382 All authors researched and edited the paper. EV and MB conceptualized and wrote the paper.

383

384 **Data Availability**

385 No novel data is used in this manuscript; data used is publicly available as online Supplementary
386 Material from [27]. The annotated R script used for analysis is provided in the supplement.

387

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394

395 **Competing Interests**

396 We declare no competing interests.

397

398 **References**

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