

1 **Primate malarias as a model for cross-species parasite transmission**

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11 **Abstract**

12 Parasites regularly switch into new host species, representing a disease burden and conservation risk  
13 to the hosts. The distribution of these parasites also gives insight into characteristics of ecological  
14 networks and genetic mechanisms of host-parasite interactions. Some parasites are shared across  
15 many species, whereas others tend to be restricted to hosts from a single species. Understanding the  
16 mechanisms producing this distribution of host specificity can enable more effective interventions  
17 and potentially identify genetic targets for vaccines or therapies. As ecological connections between  
18 human and local animal populations increase, the risk to human and wildlife health from novel  
19 parasites also increases. Which of these parasites will fizzle out and which have potential to become  
20 widespread in humans? We consider the case of primate malarias, caused by *Plasmodium* parasites, to  
21 investigate the interacting ecological and evolutionary mechanisms that put human and non-human  
22 primates at risk for infection. *Plasmodium* host switching from non-human primates to humans led to  
23 ancient introductions of the most common malaria-causing agents in humans today, and new  
24 parasite switching is a growing threat, especially in Asia and South America. Based on a wild host-  
25 *Plasmodium* occurrence database, we highlight geographic areas of concern and potential areas to  
26 target further sampling. We also discuss methodological developments that will facilitate clinical and  
27 field-based interventions to improve human and wildlife health based on this eco-evolutionary  
28 perspective.

## 31 1. Introduction

32 Animals host an incredible diversity of parasites, here defined as organisms that live in or on another  
33 organism (the host) at some cost to the host, including microparasites (viruses, bacteria, fungi and  
34 protozoa) and macroparasites (helminths and arthropods). Science is only just starting to understand  
35 this diversity of parasites, with the vast majority of parasites yet to be documented (Dobson et al.  
36 2008, Poulin et al. 2016, Carlson et al. 2019). Some parasites are highly host specific, meaning that  
37 they are found only on a single host species, while others are generalists that are able to infect  
38 multiple hosts. Hence, these symbiotic associations and their transmission represent a vast web of  
39 connections that can be mapped among host species (Poulin 2010; Gomez et al. 2013). These  
40 associations vary over time as parasites go extinct, speciate, and transmit across host species, with  
41 these processes influenced by evolutionary dynamics and geographic movements of the host species  
42 themselves (Combes 2001). Parasites also drive coevolutionary dynamics involving reciprocal  
43 selective pressures favoring host defenses and parasite adaptations to overcome those defenses.  
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45 The factors that drive the connections between hosts and parasites are central to major research  
46 programs in ecology and evolution. These associations, and changes to them, also impact human  
47 health. In particular, parasites and pathogens can shift to human populations (a zoonosis) and adapt  
48 to humans, in some cases evolving to become specialists on humans (Wolfe et al. 2007), as seen with  
49 HIV-AIDS, measles virus, and the malaria parasite *Plasmodium falciparum*. Given the massive and  
50 global extent of anthropogenic change and its impacts on disease-carrying hosts (Gibb et al. 2020),  
51 such events are likely to occur increasingly often. Cross-species transmission events are also  
52 important to animal health and conservation, with parasites having negative fitness consequences for  
53 animal hosts and contributing to extinctions (de Castro & Bolker 2005). Many of these negative  
54 outcomes result from cross-species transmissions from domesticated animals, invasive species, or  
55 humans (known as anthrozooses in the latter case). Finally, the loss of a host causes loss of  
56 parasites (Koh et al. 2004, Dobson et al. 2008, Dunn et al. 2009, Herrera et al. 2021). Given the  
57 important role of parasites in ecosystems, the loss of hosts can have cascading effects, with some  
58 authors proposing using naturally-occurring parasites as a marker of a healthy ecosystem (Hudson et  
59 al. 2006).  
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61 A phenomenon of particular importance for global health is parasite sharing, which refers to the  
62 occurrence of a parasite in multiple host species. The distribution of parasites across hosts is  
63 influenced by three mechanisms. The first of these is co-speciation, with the diversification of the  
64 host resulting in diversification of the parasite. This scenario results in congruent host and parasite  
65 phylogenies, as found in primates and their pinworms (Hugot 1999). Co-speciation is expected to  
66 result in parasites specializing on particular hosts (or sets of closely related hosts). A second  
67 mechanism involves opportunistic transmissions from one host species to a new species, broadly  
68 known as a host shift. Once successfully infecting a new host, the parasite lineage may specialize on  
69 it. Finally, a generalist parasite may infect multiple hosts. The majority of parasites may fall into this  
70 category, with sharing either limited to a few hosts – as is the case of Ebola virus infecting bats,  
71 great apes and duikers – or to a wide range of hosts – as in the case of *Giardia* infecting many  
72 phylogenetically diverse species. In primates, for example, one study found that approximately 70%  
73 of known parasites are documented to infect more than one host (Pedersen et al. 2005).  
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75 Here, we review parasite sharing between humans and our close primate relatives for a group of  
76 protozoan parasites that cause malaria. We discuss how evolutionary and ecological perspectives can  
77 inform the origin and virulence of emerging zoonoses, as well as pathways for vaccine or therapeutic  
78 targets. Malaria parasites range from single-host specialists to wide generalists, with different malaria

79 parasites infecting a broad range of animals, including birds, bats, primates, lizards, ungulates, and  
80 rodents (Galen et al. 2018). Host sharing of malaria parasites is driven by a mix of ecological and  
81 genetic factors, and our understanding of the process is biased by sampling of some hosts more than  
82 others (Garamszegi 2009, Faust & Dobson 2015). For example, among avian malaria species,  
83 previous studies revealed that malaria species tend to be generalists that infect a wide range of host  
84 species, allowing them to invade new ecosystems (Ricklefs & Fallon 2002, Gupta et al. 2019, Ewen  
85 et al. 2012, Galen et al. 2018), though the full diversity and ecology of these species is only starting  
86 to be appreciated.

87  
88 Human-infecting malaria parasites are part of the genus *Plasmodium* (Galen et al. 2018; Sharp et al.  
89 2020). These protozoan parasites have an obligate *Anopheles* mosquito vector stage for sexual  
90 reproduction and transmission between hosts. Of the roughly 30 known primate malaria parasites,  
91 currently a handful are known to naturally infect humans regularly: *P. falciparum*, *P. vivax*, *P. malariae*,  
92 *P. ovale wallikeri*, and *P. ovale curtisi*, with growing evidence that *P. knowlesi* is also a natural parasite of  
93 humans (Singh et al. 2004, Sharp et al. 2020). Indeed, all human malaria parasites have a zoonotic  
94 origin from our nonhuman primate (NHP) relatives. Ancient host switching includes two of the  
95 most common human malaria parasites, *P. falciparum* and *P. vivax*, which are now endemic in  
96 humans, and rare in NHPs. Ongoing and emerging zoonoses include *P. knowlesi*, *P. simium*, and  
97 perhaps *P. brasilianum* (Antinori et al. 2021, Faust and Dobson 2015).

98  
99 Figure 1 summarizes the host-parasite relationships between the major clades of primate malaria  
100 parasites and the primates that they infect. The lack of high-quality whole-genome data for some  
101 primate malarias makes the phylogenetic relationships between certain parasites unclear and open to  
102 change (Pacheco et al. 2013; Arisue et al. 2019; Gallen et al. 2018; Loy et al. 2018; Daron et al. 2020).  
103 Notably, *Plasmodium* is a paraphyletic genus name; *P. vivax* is more closely related to rodent malarias,  
104 such as *P. berghei* and *P. chabaudi*, and to *Hepaticocystis* spp., than it is to *P. falciparum* (Galen et al. 2018;  
105 Sharp et al. 2020). Hence, the historical naming of *Plasmodium* should be supported by more  
106 taxonomically consistent subgeneric taxonomic definitions based on the main clades (Figure 1).  
107 Therefore, we focus on this higher taxonomic level. The subgenus naming convention we use, along  
108 with the species within each subgenus, are listed in Figure 1. When referring to single *Plasmodium*  
109 species, we will use the species name, e.g. *P. vivax*; when referring to the broader clade, we will use  
110 the term ‘relatives,’ e.g. *P. vivax* relatives or *P. vivax*-related, except for *Laverania*, which is already  
111 widely used to describe the subgenus including *P. falciparum* and other parasites that infect great apes.

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113 We focus on primate malarias because of NHPs’ close evolutionary relationship to humans and  
114 known parasite sharing with humans that produces disease. Therefore, primate-*Plasmodium*  
115 relationships provide an important system to demonstrate the links between ecological and  
116 evolutionary perspectives with direct medical relevance. To better predict future zoonoses or to  
117 build interventions for ongoing zoonoses, the drivers of NHP to human host switching has been a  
118 focus of empirical and mathematical modeling studies (Abdullahi et al. 2013; Imai et al. 2014; Yacob  
119 et al. 2017, Medeiros-Sousa et al. 2021). Starting with *P. knowlesi* in the 1930s, controlled  
120 experimental studies have confirmed a variety of NHP malaria parasites can infect human hosts, and  
121 epidemiological and genetic studies have confirmed a subset cause infection in natural settings  
122 (Knowles & Das Gupta 1932, Eyles et al. 1960, Coatney et al. 1961, Schmidt et al. 1961, Chin et al.  
123 1963, Contacos et al. 1963, Deane et al. 1966, Coatney et al. 1966, Contacos et al. 1969). Yet, we are  
124 only beginning to understand the extent of zoonotic malaria cases, the rate of human-to-human  
125 transmission, and the ecological and evolutionary factors that underlie the origin and spread of  
126 *Plasmodium* across primate hosts.

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## 2. Database

To examine the ecological, evolutionary, and sampling processes that underlie the host specificity of primate malaria parasites, we collated an occurrence database of published records of the location and species involved in wild primate infections. We also collated a database of NHP *Plasmodium* species occurring in humans. Evidence for malaria in lemurs is limited, with no whole genome sequences available, so we focus on Central/South American monkeys, Asian & African monkeys, and apes.

The nonhuman primate malaria database. We build on the database published in Faust and Dobson (2015) and the Global Mammal Parasite Database (Nunn and Altizer 2005, Stephens et al. 2017). We combined the two databases and updated them with new publications from January 2015 to August 2020. Following the methods of Faust and Dobson (2015), we searched the terms “*Plasmodium*” followed by each “genus of primates” in PubMed and Web of Science between January 2015 and August 2020. For each publication, we recorded the name of the host species, the location of sampling, the number of individuals sampled, the sampling method (fecal or blood), the number of individuals infected, and the *Plasmodium* species found. We followed the phylogenetic naming used by Faust and Dobson (2015) for the database and for search terms.

The human zoonotic malaria database. We next built a database of NHP *Plasmodium* species sampled from humans; that is, zoonotic malaria occurrences. We followed a similar approach, searching PubMed and Web of Science for studies published between January 2015 to August 2020 with the search terms “name of each *Plasmodium* species naturally found in primate populations” followed by “human.” For each publication, we recorded the location of infection when indicated (or the location where the blood sample was taken if unavailable), the number of individuals infected, and the *Plasmodium* species. We focus on this time period because molecular methods have dramatically changed the taxonomy and identification of zoonotic malaria, and we aim to avoid misclassification from early studies. Additionally, given the recent rise in sampling, we expect that this time period captures the vast majority of zoonoses.

## 3. The origin of human-infecting malaria: zoonotic malaria is a major human health burden across timescales

Here we review the origin and current status of the *Plasmodium* parasites that regularly infect humans in natural settings, discussing the importance of transmission from NHPs to humans across timescales.

### 3A. Ancient zoonoses maintained today by human-to-human transmission

*P. falciparum* and *P. vivax* are responsible for approximately 95% of all malaria infections in human populations today (WHO report 2017). Substantial progress in the last decade has filled out the *Plasmodium* phylogeny and informed the timing and host origin of these two species. Yet, large questions about parasite origins remain; the direction of host switching based on modern sample diversity can be unclear given a lack of model-based inference, and sampling is still limited for wild, often endangered, NHPs. Both parasites have likely been circulating in human populations for thousands of years. *P. falciparum* is inferred to have switched into humans ~10,000 to 50,000 years ago (Prugnolle et al. 2011, Otto et al. 2018), and the higher diversity in *P. vivax* supports an older host switch (Neafsey et al. 2012). Despite their zoonotic origin, today, these parasites are maintained

174 by human-to-human transmission, likely with little input from original reservoirs and substantial  
175 evolution since the host switch occurred (Pearson et al. 2016, Loy 2017, Sharp et al. 2020).

176  
177 Given the close phylogenetic relationship between *P. vivax* and multiple macaque malaria parasites,  
178 the primary hypothesis for many years was that *P. vivax* emerged in ancient human populations from  
179 macaques in southeastern Asia (Escalante et al. 2005; Neafsey et al. 2012). Consistent with an out of  
180 Southeast Asia serial founder effect, recent analyses of genome-wide variation in global isolates of  
181 human *P. vivax* show increasing linkage disequilibrium and decreasing diversity with distance from  
182 Asia (Daron et al. 2020). Recent findings of *P. vivax*-like parasite in wild African chimpanzee  
183 populations have questioned this long-standing hypothesis, proposing an African ape origin for *P.*  
184 *vivax* (Liu et al. 2010, 2014; Loy et al. 2017). Incomplete lineage sorting, and perhaps sampling  
185 biases, have made phylogenetic inference difficult, with support for contrasting placement of *P. vivax*  
186 as both a sister group to ape *P. vivax* and for it as a subset of ape parasite radiation (Daron et al.  
187 2020; Sharp et al. 2020). A high frequency of the Duffy-negative allele in Africa, which is highly  
188 protective against *P. vivax* infection, may support an African origin. However, interpreting host  
189 adaptations as evidence of parasite origin is complicated because the occurrence and distribution of  
190 adaptive variants are limited by multifaceted pressures such as population size, available genetic  
191 variation, and random mutation. Models of the origin of Duffy-negative in sub-Saharan Africa  
192 suggest it rose in frequency only ~42,000 years ago (McManus et al. 2017), perhaps weakening the  
193 evidence for long-term co-evolution in the region. Both Asian and African origin hypotheses  
194 remain plausible, and more geographic sampling, higher quality genomes, and clearer analytical  
195 inference will be needed to differentiate between these alternative scenarios.

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197 *P. falciparum* was historically thought to be inherited from a common ancestor of humans and  
198 chimpanzees, which then co-evolved with their respective hosts into human *P. falciparum* and  
199 chimpanzee *P. reichenowi* (Escalante and Ayala 1995; Loy et al. 2017). Extensive sampling of great ape  
200 parasites using non-invasive fecal sampling has demonstrated the deep and previously unappreciated  
201 diversity of ape parasites in the subgenus *Laverania*, the closest relatives to *P. falciparum*. The huge  
202 radiation of human *P. falciparum* is currently inferred to completely fall within the tree of the gorilla  
203 *P. praefalciparum*, interpreted as a recent African-ape-origin of the deadliest human malaria parasite,  
204 perhaps in the last ~10,000 to 50,000 years (Liu et al. 2010, Prugnolle et al. 2011, Otto et al. 2018,  
205 Sharp et al. 2020). A recent origin is also supported by the low levels of genetic diversity observed in  
206 global isolates of human *P. falciparum* compared to other *Laverania* species and to human *P. vivax*  
207 isolates. Other *Laverania* species have not been found in human populations, even in populations  
208 that overlap geographically with ape hosts (Sundararaman et al. 2013, Delicat-Loembet et al. 2015).

209  
210 The other two parasites that historically and commonly cause malaria in humans, *P. malariae* and *P.*  
211 *ovale*, are less studied, cause fewer overall infections and less severe disease (Rutledge et al. 2017). *P.*  
212 *malariae* has close relatives in both African apes (*P. rodhaini*) and South American monkeys (*P.*  
213 *brasilianum*) (Collins & Jeffery 2007). The direction of host switching remains unclear, and only  
214 limited whole genome sequence data is available (Sharp et al. 2020). However, the high diversity and  
215 presence of other divergent lineages related to *P. malariae* within African apes supports an ancient  
216 African origin followed by a recent switch from humans to South American monkeys, perhaps  
217 during the Transatlantic slave trade (Rayner 2015; Rutledge et al. 2017). Relatives of *P. malariae* seem  
218 to readily infect a variety of primate hosts (Figure 1), and may represent a single species.

219  
220 The human parasite *P. ovale* consists of two subspecies *P. ovale curtisi* and *P. ovale wallikeri*, and is  
221 mostly found in Malaysia and Africa (Duval et al. 2010; Rutledge et al. 2017). African apes harbor

222 nearly identical *P. ovale curtisi*-like and *P. ovale wallikeri*-like populations, suggesting that the two  
223 human *P. ovale* species may have diverged in apes before their spread into human populations.  
224 However, these *P. ovale* parasites seem to occur very infrequently in apes in the wild, and limited  
225 genomic data is available making the direction and timing of host transfer unclear.

### 226 **3B. Ongoing zoonoses, with unknown human-to-human capability**

228 In addition to the ancient zoonoses that founded modern human-infecting malaria species, multiple  
229 NHP malaria parasites are currently infecting humans, particularly in Southeast Asia and South  
230 America. Evidence of ape to human transmission of *Laverania* in Africa is rare, but has been  
231 documented (Ngoubangoye, et al., 2016).

232  
233 Today, zoonotic *P. knowlesi* is the most frequent malaria-causing agent in Malaysia and is widespread  
234 in Southeast Asia from its macaque origin (Figure 2b) (Rajahram et al. 2019, WHO report 2014,  
235 WHO report 2019). Despite its high incidence, evidence for human cycles of transmission has not  
236 been clearly demonstrated, but is presumed. Genetic data suggests divergent populations of *P.*  
237 *knowlesi* circulating amongst different macaque species (Divis et al. 2015). Previously misdiagnosed as  
238 both *P. vivax* and *P. malariae*, the timing of *P. knowlesi* first shifting into humans is unclear (Singh et  
239 al. 2004). Though less common and well-understood, occasional natural infections of another  
240 macaque parasite, *P. cynomolgi*, have also been observed in Southeast Asia (Ta et al. 2014). The high  
241 rates of *P. cynomolgi* in the wild suggest undiagnosed current or future risk of zoonosis is possible  
242 (Zhang et al. 2016).

243  
244 South America is a newly identified hotspot for emerging zoonotic malarias, though the timeline and  
245 extent of zoonoses remain unknown because of a lack of historical or archival sampling. Early  
246 experimental studies confirmed the possibility of human infection of NHP malaria parasites *P.*  
247 *brasiliense* and *P. simium* (Contacos et al. 1963, Deane et al. 1966). The first natural infections in  
248 humans were only described in 2015 and 2017, for *P. brasiliense* and *P. simium*, respectively  
249 (Lalremruata et al. 2015; Brasil et al. 2017). Because of their close morphological and genetic  
250 relationships to common human-infecting malaria parasites, previous zoonotic infections of *P.*  
251 *brasiliense* and *P. simium* have been misclassified as *P. malariae* and *P. vivax*, respectively. Indeed  
252 because of their great genetic, morphological, and immunological similarity, it is unclear that the  
253 pairs *P. brasiliense* and *P. malariae*, or *P. simium* and *P. vivax*, should be classified as different species.  
254 Therefore, these cases may be either true zoonoses or examples of the host generalism of *P. malariae*  
255 relatives and *P. vivax* relatives.

256  
257 Genomic data provides some support for differentiation between *P. simium* and *P. vivax* (Mourier et  
258 al. 2021, de Oliveira et al. 2021), but is weaker for *P. brasiliense* and *P. malariae* comparisons, which  
259 lack whole-genome data. Currently most South American *P. malariae* cases are linked to international  
260 travel, but NHPs may serve as a reservoir for disease (Figure 2). Similarly, extra-Amazonian cases of  
261 *P. vivax* have been rising in Brazil (Brasil et al. 2017), and further testing will be required to  
262 determine if some of these are misdiagnosed zoonotic *P. simium* infections. Further genetic and  
263 epidemiological studies are needed to clarify the extent of natural human infection, the taxonomic  
264 relationships, and determine if their immediate origin is transmission from NHPs or from other  
265 humans. For example, widespread genotyping of malaria cases presumed to be *P. vivax* in humans  
266 can establish the geographic range and frequency of *P. simium* infection, and a combination of  
267 mathematical modeling and contact tracing or other public health surveys would inform the  
268 likelihood of human-to-human transmission versus recurrent monkey-to-human transmission.  
269 Additionally, recent identification of *P. simium* in other regions shows the importance of further

270 geographic sampling to understand the origin of *P. simium*, including the timeline, location, and  
271 number of introductions (Rondon et al. 2019).

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#### 274 **4. Increasing threat of human malarias infecting NHPs with subsequent risk for humans**

275 Malaria parasite sharing is not unidirectional; increasing human pressures on local NHP populations  
276 are reintroducing human *Plasmodium* species into other primates, putting often endangered species at  
277 further health and conservation risk. Additionally, these hosts may become reservoirs for human  
278 malaria, with these parasites later transmitting into human populations or other NHPs.

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280 Because the great apes have close relatives of human-infecting *Plasmodium* circulating in their  
281 populations, it is hard to identify anthroponotic infections (i.e., transmission of human endemic  
282 parasites to other species). However, the presence of drug-resistant mutations can be used to predict  
283 the direction of transfer as human to ape. Using this evidence, the human *P. falciparum* has been  
284 found recurrently in primate populations living near humans (Ngoubangoye et al. 2016, Prugnolle et  
285 al. 2013, Loy et al. 2017). *P. vivax*, *P. malariae*, and *P. ovale* have also been occasionally, but rarely,  
286 described in African apes (Kaiser et al. 2010; Duval et al. 2010; Hayakawa et al. 2009; Rayner et al.  
287 2011; Sharp et al. 2020). Further whole-genome sequencing or typing of known diagnostic regions  
288 will be able to differentiate between these close parasite relatives and inform the risk level of  
289 anthroponoses.

290

291 South American malaria parasites demonstrate the risk to humans of anthroponoses from wild NHPs.  
292 Now considered a zoonotic malaria parasite, *P. simium* is proposed to have originated as a human-to-  
293 howler monkey switch of *P. vivax* during European colonization and the Transatlantic slave trade in  
294 Brazil. An early hypothesis suggested that *P. vivax* come from a pre-Columbian introduction 15,000-  
295 30,000 years ago as humans first arrived. But new methodologies and a better sampling have supported  
296 a recent introduction, ~500 years ago with European colonization (Culleton et al. 2011, Van Dorp et  
297 al. 2020, Taylor et al. 2013, Hupalo et al. 2016, Rodrigues et al. 2018). Historical DNA from pre-  
298 eradication Spain suggest a recent introduction and close relationship between historical Southern  
299 European *P. vivax* and modern South American *P. vivax* (Van Dorp et al. 2020). This is consistent with  
300 a host switch of historical *P. vivax* into howler monkeys to become what is today known as *P. simium*,  
301 supported by the low genetic diversity of *P. simium* and high similarity morphologically and genetically  
302 to *P. vivax* (Escalante and Ayala 1995, Lim et al. 2005, Mourier et al. 2021, de Oliveira et al. 2021).  
303 Since then, *P. simium* has built up a handful of genetic differences from *P. vivax*, perhaps through drift  
304 or adaptations to a new host (Brasil et al. 2017, Mourier et al. 2021, de Oliveira et al. 2021). Occasional  
305 cases of *P. falciparum* have also been reported in South American monkeys, though these cases are rare  
306 and largely unconfirmed (Duarte et al. 2008; Yamasaki et al. 2011).

307

308 The history of *P. malariae*/*P. brasilianum* in the Americas is similarly complicated by sharing across  
309 multiple primate hosts. Today, the classification of these two parasite species seems to follow the  
310 host in which they are found—*P. malariae* for humans and *P. brasilianum* for NHPs—rather than  
311 parasite characteristics (Lalremruata et al. 2015). *P. malariae*/*brasilianum* is incredibly widespread in  
312 South American monkeys, and appears to circulate freely between primate and human populations  
313 and be a single anthroponotic species in South America. Similar to *P. simium*, the presence of *P.*  
314 *malariae*/*P. brasilianum* in American NHPs likely originated with a human to primate transmission  
315 associated with the Transatlantic slave trade, from African *P. malariae* (Collins & Jeffery 2007;  
316 Rutledge et al. 2017; Lalremruata et al. 2015). *P. malariae* is now rare in humans in South America,

317 with most cases either introduced by international travel or potentially through new zoonoses from  
318 primate reservoirs.

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## 320 **5. Investigating distributions of parasite sharing among hosts**

321 A variety of methods have been used to investigate the distribution of parasites among hosts. One  
322 starting point is to produce a matrix of hosts and the parasites (Cooper et al. 2012), which is known  
323 as an incidence matrix in ecology. Another approach maps the occurrence of a parasite onto a  
324 phylogeny (Cooper et al. 2012), or compares host and parasite phylogenies, aiming to identify and  
325 visualize host shifts along with parasite duplications (i.e. within host speciation) and parasite  
326 extinctions (Charleston 1998, Huelsenbeck et al. 2000, Ricklefs and Fallon 2002, Garamszegi 2009).  
327 Phylogeny is often a strong predictor of parasite sharing because of shared physiological, genetic,  
328 and environmental factors. Finally, a number of authors represent host-parasite incidence data as a  
329 bipartite network (Poulin 2010). As its name suggests, a bipartite network has two parts: one for  
330 hosts and another for parasites (Figure 1). Edges are placed between organisms in each part (but not  
331 within) based on the occurrence of a parasite in a host. One can then generate a unipartite projection  
332 of this bipartite network showing how hosts are connected through the parasites they share (or  
333 parasites are connected through the hosts that they share) (Gomez et al. 2013). A major risk in all of  
334 these approaches is that we rarely know all of the parasites in a collection of hosts, with some  
335 parasites or hosts studied better than others (Walther et al. 1995, Stephens et al. 2016). For example,  
336 terrestrial primate species are more likely to be sampled for parasites than arboreal primate species  
337 (Cooper and Nunn 2013, Poulin et al. 2016). Thus, a variety of approaches have been developed to  
338 deal with variation in sampling effort (Nunn et al. 2003, Elmasri et al. 2020, Teitelbaum et al. 2020,  
339 Amoroso and Nunn 2021).

340

341 The range of hosts that a parasite infects can also be quantified using measures of phylogenetic host  
342 specificity (Poulin et al. 2010, Cooper et al. 2012). This concept is important in the context of  
343 human and animal health because it determines the potential for cross-species transmission, with  
344 phylogenetic host specialists generally only crossing narrow phylogenetic distances, while  
345 phylogenetic host generalists can cross a wider phylogenetic range of hosts. Thus, for emerging  
346 zoonoses in humans, we should be concerned about phylogenetic host specialists arising from great  
347 apes and other NHPs and phylogenetic host generalists in other mammals. In one recent study, Park  
348 et al. (2018) used a database of >1400 parasite species and 404 mammal host species to quantify  
349 phylogenetic host specificity and its correlates. They found that arthropods and bacteria are the most  
350 generalist, viruses and helminths are intermediate in generalism, and protozoa are the most specialist  
351 of the parasites in this database. Park et al. (2018) also found that close-contact transmission is most  
352 associated with specialization on fewer hosts. These analyses also revealed a pattern consistent with  
353 a “leaps and creeps” strategy by parasites, with some parasites mostly infecting closely related hosts,  
354 but occasionally taking a “leap” to less related hosts, where the parasite circulates again amongst  
355 close relatives. For primate malarias, cross-species transmission, and therefore parasite sharing  
356 among hosts, is a confluence of factors in the parasite, vector, and multi-host system, and are a  
357 product of both their geography and phylogeny.

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### 359 ***5A. The geographic distribution of natural and experimental zoonotic malaria***

360 Figure 2 plots the primate and human presence of zoonotic malarias *P. simium*, *P. knowlesi*, and *P.*  
361 *cynomolgi*. Publications where samples were taken from NHPs in zoos, breeding farms, or otherwise  
362 with no known origins were excluded from the figures.

363



364 Figures 2a and 2c show the distribution of *P. knowlesi* and *P. cynomolgi* cases, respectively, in both  
365 humans and NHPs. Reported cases of *P. knowlesi* in humans have occurred throughout Southeast  
366 Asia, including Malaysia, Lao, Myanmar, Indonesia, Cambodia and Thailand, whereas primate  
367 infections have primarily been documented in Malaysia. The risk of zoonosis throughout Southeast  
368 Asia is variable owing to both a lack of sampling (Figure 2) and potential misdiagnosis as common  
369 human-infecting malarias (Shearer et al. 2016, Zhang et al. 2016). Given primate and vector ranges  
370 throughout the region, the lack of *P. knowlesi* is more likely due to under sampling of primate  
371 parasites than their absence. *P. cynomolgi* is present in primates throughout South Asia, though the  
372 range often does not overlap with known human infections (Figure 2c) (Zhang et al. 2016, Imwong  
373 et al. 2019, Grignard et al. 2019, Hartmeyer et al. 2019, Raja et al. 2020). Similar to *P. knowlesi*, this  
374 suggests that variation in sampling effort drives the estimated species distributions, especially outside  
375 Malaysia, which has invested heavily in sampling efforts because of its high *P. knowlesi* burden.  
376 Other *Plasmodium* species naturally found in primate populations in Southeast Asia have been  
377 experimentally transmitted to humans, such as *P. inui* (Coatney et al. 1966), but have not been  
378 observed naturally. Such cases are worth monitoring for potential parasite genetic mutations or  
379 environmental changes that facilitate new zoonoses. *P. inui* is a strong candidate for future zoonotic  
380 transmission because it shares a host, some potential vectors, and environment with the known  
381 zoonotic parasites *P. cynomolgi* and *P. knowlesi* (Baird 2009, Coatney et al. 1966, Maeno et al. 2015).

382  
383 In South America, *P. malariae* and *P. brasilianum* are found throughout the continent and are able to  
384 infect a large number of primate species, whereas *P. simium* is known primarily from the Atlantic  
385 Forest region in southeastern Brazil (though there have been recent reports in Colombia as well),  
386 infecting only a few American NHPs (Figures 1 and 2b) (de Alveranga et al. 2015, Brasil et al. 2017,  
387 Rondon et al. 2019). Currently, known outbreaks of primate *Plasmodium* in human populations are  
388 from a limited number of locations; however, the presence of *P. malariae*/*P. brasilianum* throughout  
389 much of South America suggests a wider distribution of human infections is possible, and may  
390 already be occurring unreported since these parasites produce less severe disease (Lalremruata et al.  
391 2015, Brasil et al. 2017).

392  
393 More generally, the geographic distribution of zoonotic malaria is influenced by the distributions of  
394 NHP, human, and mosquito ranges. Figure 2 Supplement 1 plots the ranges of both hosts and  
395 vector to inform areas that may be at increased risk of future zoonoses—those with overlap in the  
396 range of NHPs, humans, and mosquito vector. Comparing Figure 2 and Figure 2 supplement 1, we  
397 see multiple regions with zoonotic malaria transmission despite low human population densities.  
398 That is, zoonotic malaria emergence may be more closely linked to the overlapping presence of  
399 NHP and vector populations. Additionally, many regions of overlap have not been thoroughly  
400 sampled; therefore, the amount of cross-species transmission may be underestimated.

#### 401 402 **5B. Phylogenetic distributions of *Plasmodium* sharing among primates**

403 Using the databases of the occurrence of *Plasmodium* species in primates presented here, Figure 1  
404 shows a bipartite plot to visualize malaria parasite sharing among primate hosts with host and  
405 parasite phylogenies at the genus and subgenus level, respectively. We include scenarios of natural  
406 infection observed in the wild.

407  
408 At the species level, *Laverania* species are primarily primate genus-specific, with *P. reichenowi*, *P.*  
409 *billcollinsi*, *P. billbrayi*, and *P. gaboni* present in chimpanzees, and *P. praefalciparum*, *P. blacklocki*, and *P.*  
410 *adleri* present in gorillas. In contrast, *P. malariae*-related and *P. vivax*-related species often infect many  
411 NHP where they occur. At the subgenus level, most parasites appear to be generalists, infecting

412 diverse primate families, except for *P. ovale* relatives who are found only in great apes. The difference  
413 in specialization observed at different taxonomic levels is partially driven by recent human to NHP  
414 parasite sharing of parasites, notably of *P. falciparum* in South America.

415  
416 Consistent with the pattern of specialist *Laverania* and generalist *P. vivax* relatives, human *P. falciparum*  
417 infects fewer NHPs than *P. vivax* relatives, and few *Laverania* species have been observed in humans  
418 today (but see Ngoubangoye et al. 2016). The reason for *Laverania* specialization is unclear, however,  
419 because *Laverania* ancestral species switched to become the most widespread human-infecting  
420 malaria historically, *P. falciparum*. The consequence of host specialism for human infection rates is  
421 not necessarily directly related to the frequency at which parasite species switch hosts. Even  
422 potentially rare host switching of *Laverania* has led to dramatic consequences for humans, as seen  
423 with the evolutionary success of *P. falciparum*. In contrast, multiple *P. vivax* relatives have been found  
424 to naturally infect humans, including *P. knowlesi*, *P. cynomolgi*, and *P. simium*, yet only *P. vivax* itself is  
425 widespread (with potentially increasing infection of *P. knowlesi*).

426  
427 Overall, the few numbers of subgenera make it difficult to draw strong conclusions about the  
428 relationship between phylogeny and host specificity, particularly at different taxonomic scales. For  
429 example, while *P. vivax* relatives are generalists infecting all primate families considered here,  
430 substantial variation within lower taxonomic levels is observed; *P. simium* and *P. vivax* have only been  
431 found in a handful of South American monkey genera despite extensive sampling (Figure 2), and *P.*  
432 *vivax* is rarely observed in African monkeys, though its relative within the same subgenus relative *P.*  
433 *gonderi* infects them. Notably, parasites from across the *Plasmodium* phylogeny regularly infect human  
434 populations. In particular, the two most common human-infecting malaria parasites, *P. falciparum* and  
435 *P. vivax*, are from highly divergent parts of the *Plasmodium* phylogeny and are thought to represent  
436 independent zoonoses.

437  
438 Though host specificity appears correlated with phylogenetic relationships, the difference in host  
439 specificity may derive from ecological causes instead of or in addition to phylogenetics. The  
440 phylogeny of *Plasmodium* largely corresponds to geographic regions where the parasites are found—  
441 with *P. vivax*-related more common in Asia and South America, and *P. falciparum* and *Laverania* spp.  
442 in Africa—making the effects of phylogeny and geography difficult to disentangle. This geographic  
443 division between parasites may also have differed historically given recent findings of *P. vivax*-like  
444 parasites in African apes (Prugnolle et al. 2013, Liu et al. 2014). Further sampling and population  
445 genomic studies should aim to clarify the timing of these relationships. Faust & Dobson (2015)  
446 identified species and regions that are likely under sampled with respect to parasite diversity,  
447 suggesting new primate malaria parasites may occur in African monkeys and lemurs. Updating their  
448 database here, we see that even areas that are predicted to be unlikely to introduce new parasite  
449 species, such as Southeast Asia and South America, still have substantial under sampling of known  
450 parasite diversity and range (Figure 2).

### 451 452 **5C. Quantifying host-parasite co-occurrence**

453 Previous work has quantitatively interpreted visualizations of parasite sharing among hosts,  
454 attempting to control for geography. For example, Garamszegi (2009) tested whether malaria  
455 parasites of the same taxonomic group tend to infect primate hosts of similar taxonomic group or  
456 geographic region. Co-occurrence between host and parasite genera suggest a history of co-  
457 divergence and host specialization, whereas large differences may suggest recent host switching or  
458 host generalism. They found an association between host and parasite phylogenies consistent with  
459 co-divergence at the family level, though the result was not statistically significant at the genus level;

460 parasites tended to infect closely related hosts. Following the methods of Garamszegi's (2009)  
461 equations 1-2 (originally from Ricklefs and Fallons 2002) and using our database of parasite  
462 occurrence in primate hosts presented here, for the 8 human-infecting *Plasmodium* species, we  
463 calculate a probability of drawing two hosts from the same genus and continent as  $H = 0.06$ , with  
464  $p = 0.07$  (significance from binomial probability). This is qualitatively similar to the result of  
465 Garamszegi (2009), who found  $H = 0.11$ , with  $p = 0.19$  considering both human and non-human  
466 primate malarias and a slightly different taxonomy.

467  
468 Quantitative analyses such as these will be important to understand the drivers of parasite  
469 distributions among hosts moving forward. However, major barriers exist to application and  
470 interpretation of these methods directly to the currently available data. These barriers include  
471 uncertainty in parasite phylogenetic relationships, incomplete host sampling in the wild, and perhaps  
472 most critically, inconsistencies in parasite species naming that are often confounded or defined by  
473 the host in which they are sampled. Additionally, primate phylogeny is strongly related to geography  
474 and comparisons within a geographic region substantially reduce sample size.

475  
476 **6. Factors driving host sharing and specificity**  
477 The observed patterns of *Plasmodium* parasite sharing among primate hosts—described above—are  
478 shaped by a variety of ecological and evolutionary factors impacting the parasite, host, and vector.  
479 Understanding the primary mechanisms behind these parasite distributions will be important for  
480 predicting parasite emergence in human populations and designing effective interventions.

481  
482 For many parasites, phylogeny is a major predictor of host sharing: more closely related species are  
483 expected to harbor the same parasite because they share underlying physiology, immune defenses,  
484 behavior, and in the case of intracellular parasites such as viruses or some protozoa, these hosts  
485 share similar cellular phenotypes, such as viral or parasite entry receptors (Woolhouse 2002,  
486 Longdon et al. 2014). This is expected to generate phylogenetic signal in host and parasite infection  
487 patterns and to influence probabilities for shifts among different hosts. The effect of phylogeny has  
488 been documented in primates, with research revealing that more closely related primate hosts have  
489 more similar parasite communities (Davies and Pedersen 2008b, Cooper et al. 2012). Similarly, a  
490 study of rabies virus among North American bat species also found support for higher probability  
491 of host shifts among more closely related species of bats (Streicker et al. 2010), while an  
492 experimental study demonstrated an effect of phylogeny on viral titers for three viruses in *Drosophila*  
493 (Longdon et al. 2011). This phylogenetic relationship has been observed in avian and primate  
494 malarias (Ricklefs and Fallon 2002, Garamszegi 2009), and we consider support for it in Section 5.

495  
496 In addition to phylogenetic relatedness, geographic overlap and host phenotypic and ecological  
497 characteristics also predict parasite sharing (Clark and Clegg 2017). Hosts that share parasites must  
498 overlap geographically with one another (or with some other host that shares a vector and acts as a  
499 reservoir to infect them both); both geographic and phylogenetic effects have been observed in  
500 primates (Davies and Pedersen 2008, Cooper et al. 2012). Across mammals, Albery et al. (2020)  
501 found that both phylogeny and geographic overlap predicted sharing of viruses. Traits that lead to  
502 direct or indirect interactions between species, such as a shared diet, water source, or sleep site, will  
503 also facilitate parasite sharing. A variety of evidence supports these effects. In a study of primates,  
504 for example, Cooper et al. (2012) found that similarity in body mass predicted the similarity of  
505 parasite communities in pairs of species (together with effects of phylogeny and geography). In a  
506 study of bat viruses, Willoughby et al. (2017) found that cave-roosting increases parasite sharing,

507 along with geographic overlap and phylogenetic distance. Anthropogenic change is influencing many  
508 of these processes, with likely consequences for human disease risk and primate conservation. Also  
509 important, but less well studied in natural systems, are the daily rhythms of hosts, vectors, and the  
510 different life stages of parasites (Owolabi et al. 2021; Prior et al. 2020). Here, we highlight key  
511 ecological and evolutionary factors such as these that drive the distribution of parasites across hosts  
512 for the case of primate malarias.

513

#### 514 **6A. Ecology, environment, and behavior shape the distribution of malaria among primates**

515 An important ecological predictor of cross-species *Plasmodium* transmission is having shared vectors.  
516 This requires that the vector exhibits preferences for both host species and that the hosts overlap  
517 geographically. For example, the parasite with the highest disease burden in humans is spread by a  
518 group of *Anopheles* vectors, *Leucosphyrus*, known to bite both humans and other primates (though  
519 some species do show host preferences) (Galinsky and Barnwell 2009). *Anopheles cracens*, found  
520 mostly in peninsular Malaysia, is known to bite humans and NHPs, with some preference for  
521 humans. It is considered as one of the main vectors of *P. knowlesi* in human populations  
522 (Vythilingam et al. 2008, Jiram et al. 2012, Lau et al. 2016). The vectors of *P. knowlesi* include *A.*  
523 *balabacensis* and *A. latens*, found in Sabah and Sarawak, respectively. All of these vectors are  
524 commonly found in the forest or surrounding peri-domestic areas, implicating certain times and  
525 locations where transmission to humans is more likely to occur (Tan et al. 2008, Manin et al. 2016).

526

527 Multiple aspects of climate, including temperature, precipitation, and humidity, influence the  
528 lifecycle of mosquito vectors and their geographic range, and thus can influence parasite sharing. For  
529 each developmental stage of the mosquitoes—from eggs to adults—the temperature is one of the  
530 most important factors that influence the duration of the passage from one stage to another; climatic  
531 change is likely to alter the distribution of the vector across latitudes and altitudes, and therefore the  
532 disease geography (Shapiro et al. 2017; Depinay et al. 2004; Mordecai et al. 2013; Mordecai et al.  
533 2019). Because the parasite develops inside the mosquito's salivary glands, the temperature also plays  
534 a role in parasite viability (Shapiro et al. 2017). Although the parasites and vectors respond strongly  
535 to temperature changes, the outcomes can be negative or positive (Christiansen-Jucht et al. 2014).  
536 Climate change is often predicted as enhancing the transmission of mosquito-borne disease although  
537 the consequences are often non-linear (Reiter 2001, Mordecai et al. 2013, Franklinos et al. 2019).  
538 The global ecology of mosquito and host can be used to better predict future geographical  
539 distributions of disease risk (Mordecai et al. 2013, Mordecai et al. 2019, Shapiro et al. 2017; Depinay  
540 et al. 2004, Ryan et al. 2019, Carlson et al. 2021).

541

542 Anthropogenic change, including deforestation, urbanization, demographic expansion, and hunting  
543 is also believed to increase frequency of interaction with animal populations and therefore to induce  
544 a higher risk of zoonosis (Murray and Daszak, 2013; Wolfe et al., 2005; Loy et al. 2017; Plowright et  
545 al. 2017; Mwangi et al. 2016). These processes have been linked to increased malaria transmission;  
546 however, urbanization may also decrease malaria incidence by removing mosquito habitats and  
547 increasing health infrastructure (Da Silva et al. 2012; Wang et al. 2020; LaDeau et al. 2015). For  
548 example, increasing demand for palm oil has reshaped land use patterns throughout Southeast Asia,  
549 increasing deforestation and potentially changing the distribution of vectors and hosts for *P. knowlesi*  
550 (Vijay et al. 2016). In Malaysia, deforestation and habitat loss have pushed macaque populations  
551 closer to farms and semi-urban areas, where the vector *Leucosphyrus* occurs, leading to close contact  
552 between humans, NHPs, and vectors (Vythilingam et al. 2016, Sueur et al 2019). Fornace et al.  
553 (2019) found that land use change such as disturbed forests and agriculture are the main factors  
554 associated with zoonotic transmission of *P. knowlesi*. Indeed, the main *P. knowlesi* vectors and NHP

555 host (*Macaca*) occur in disturbed forest where human populations are more likely to be found  
556 (Moyes et al. 2016, Fornace et al. 2019). Forest loss can also lead to other environmental changes,  
557 such as an increase in local temperature or a modification of breeding sites, increasing malaria  
558 transmission (MacDonald and Mordecai, 2019; Yasuoka and Levins, 2007). The relationship  
559 between deforestation and malaria incidence is complicated and multidirectional because increases in  
560 human occupation of forested areas will initially increase exposure, though with time larger  
561 settlements often provide improved access to healthcare and urbanization. MacDonald & Mordecai  
562 (2019) found that deforestation increases malaria prevalence but that increasing malaria prevalence is  
563 associated with reduced forest clearing and economic activity in Brazil; this bidirectional relationship  
564 highlights the need for location-specific modeling to understand the drivers of parasite distributions  
565 and infection rates.

566  
567 Asymptomatic cases, widely documented for human malaria species especially for *P. vivax* and *P.*  
568 *falciparum*, can contribute to the persistence of epidemics and act as a reservoir in human populations  
569 (van Eijk et al. 2019, Cheng et al. 2015, Okell et al. 2009), and likely for *P. knowlesi* (Fornace et al.  
570 2015). The role of asymptomatic cases further human to human transmission of zoonotic malarias is  
571 unclear, and will be important to understand transmission dynamics and ideal control strategies.

572  
573 Ecological competition is also increasingly being appreciated as a driver of malaria parasite case  
574 distributions. As we make needed progress on *P. falciparum* elimination, the potential for zoonotic  
575 malaria to fill open niches must be monitored. This tradeoff may have occurred with elevated rates  
576 of *P. vivax* in regions with declining *P. falciparum*. A similar tradeoff has also been proposed for the  
577 rise of *P. knowlesi* (William et al. 2014; Cooper et al. 2020) as rates of *P. vivax* and *P. falciparum* decline.  
578 Monitoring open ecological niches will be important for preventing new emergences or resurgence  
579 of other malaria parasites.

### 580 581 **6B. Host-parasite genetic interactions shape the distribution of malaria among primates**

582 Potentially underlying the co-divergence patterns observed in phylogenies is the co-evolution of  
583 host-parasite interactions, which determines which parasites may be able to infect which hosts.  
584 Between species variation in malaria susceptibility is seen in NHPs, supporting a role for genetics in  
585 susceptibility. For example, rhesus macaques exhibit more severe disease from *P. knowlesi* than long  
586 tailed macaques. These differences in morbidity may influence the geographic distribution of the  
587 hosts and possibly impact the macaque-to-human transmission (Coatney et al. 1961, Wheatley 1980),  
588 though the genes involved are unknown. Here, we review genes and pathways in primates and in  
589 *Plasmodium* that are hypothesized to play a role in host-parasite interactions, focusing on those most  
590 likely to impact host specificity. The genetic basis of host-parasite interactions for human malarias  
591 has revealed multiple pathways that mediate host susceptibility and suggest co-evolution. Central to  
592 these is protein interactions during invasion of host red blood cells, as well as immune evasion. This  
593 raises the question, are the same genetic pathways important for between-species malaria  
594 transmission?

595  
596 Host red blood cells have a variety of surface proteins that mediate interactions with parasites during  
597 parasite invasion of host red blood cells (Lim et al. 2017; Su et al. 2020; Ebel et al. 2017; Leffler et al.  
598 2017; Kariuki and Williams 2020; Ebel et al. 2020; Band et al. 2021). For example, the Duffy  
599 antigen/chemokine receptor, *DARC* (also known as *ACKR1*), is a gene that encodes a surface  
600 glycoprotein. It is a classic example of adaptation in human evolution, with a single mutation  
601 producing the Duffy-null version of a surface glycoprotein that doesn't bind to *P. vivax*, preventing  
602 parasite invasion of host cells and most disease. Studies from multiple African populations have

603 repeatedly found strong signatures of selection in human genomes at the *DARC* locus, likely  
604 because of its function in preventing *P. vivax* malaria (McManus et al. 2017; Kwiatkowski 2005;  
605 Hamid et al. 2021; Pierron et al. 2018). This gene and its expression levels have also been implicated  
606 in parasite invasion or disease in multiple other primates with *P. vivax* relatives, including South  
607 American and African monkeys (Costa et al. 2015; Tung et al. 2009; McHenry et al. 2010; Gunalan  
608 et al. 2019; Trujillo & Bergey 2020).

609  
610 Another classic example of human adaptation involves resistance to *P. falciparum* malaria also  
611 through changes to red blood cell morphology, such as hemoglobin S (HbS), decreasing parasite  
612 invasion and in some cases causing sickle cell disease and other hemoglobin disorders (Kwiatkowski  
613 2005, Ha et al. 2019; De Sanctis et al. 2017, Luzatto, 2012; Kuesap et al. 2015). Additionally,  
614 transferrin receptor proteins are well-studied for their role in iron uptake by cells, but their role in  
615 parasite interactions is also increasingly being appreciated (Chan et al. 2020; Galinski et al. 1992;  
616 Gruszczyk et al. 2018). More generally, Ebel et al (2017) found widespread phylogenetic signatures  
617 of adaptation in hundreds of genes that interact with malaria parasites.

618  
619 Together, these results suggest that gene families important for host-parasite interaction may be  
620 shared across primates, with important insights gained from differences in species-specific variation,  
621 including a role for regulatory variation. With increasing sequencing of NHP genomes, an important  
622 next step will be to understand within or between species variation in these pathways across  
623 primates that may underlie host specificity and switching.

624  
625 Parasites have complementary proteins that bind receptors on the surface of host red blood cells in  
626 order to enter host cells. These notably include Duffy binding proteins (DBPs) and reticulocyte  
627 binding proteins (RBPs) (Adams & Mueller 2017; Lim et al 2017; Su et al. 2020; VanBuskirk et al.  
628 2004; Carlton et al. 2008). *In vitro* studies of *P. knowlesi* show that a junction does not form between  
629 host cell proteins and parasite when DBP is deleted (Singh et al. 2005). The DBP system in *P. vivax*  
630 shows incredible genetic diversity, including duplication events. However, *P. vivax* has recently been  
631 found to infect red blood cells of Duffy-negative people in Ethiopia and Madagascar, suggesting that  
632 alternative pathways to invasion exist (Ménard et al. 2010). Similarly, within-species genetic diversity  
633 across 11 genes in the *P. vivax* RBP family is hypothesized to mediate parasite binding affinity (Lim  
634 et al. 2016), perhaps suggesting a role for between-species variation in host interactions.

635  
636 Despite the importance of DBPs and RBPs in parasite invasion of host red blood cells, less is  
637 known about the role of these pathways in shaping host specificity and risk of host switching. Early  
638 genomic resources that derive from passage through monkeys are missing common RBP genes  
639 found in human field isolates (Hester et al. 2013), suggesting potential for host specificity. The first  
640 whole genomes from *P. simium* support a role for DBPs and RBPs in parasite evolution to new hosts  
641 or host specificity (Mourier et al. 2021, de Oliveira et al. 2021). Without experimental studies to  
642 confirm function, caution is warranted. For example, population-genetic studies identified variants in  
643 RBPs that differed between human infections and those found in great apes; however, no significant  
644 difference in binding affinity was identified for parasites to great ape versus human red blood cells,  
645 suggesting these RBP differences are not functionally critical for host specificity (Loy et al. 2018).

646  
647 Multiple genes in red blood cell invasion pathways have also been implicated in the host specificity  
648 of *Laverania* parasites, and host switching of *P. falciparum* based on comparative genomic approaches  
649 (Martin et al. 2005; Rich et al. 2009; Rayner et al. 2011; Otto et al. 2018; Galaway et al. 2019;  
650 Plenderleith et al. 2019; Proto et al. 2019). Galaway et al. (2019) used ancestral sequence

651 reconstruction for reticulocyte-binding-like homologous protein 5 (RH5) and quantified differences  
652 in binding affinity for human, gorilla, and chimpanzee cells. They found that the inferred ancestral  
653 protein had similar binding affinity for gorillas and humans, perhaps aiding in early transfer of the  
654 population that became modern *P. falciparum* in humans. This is in contrast with the modern *P.*  
655 *falciparum* version of RH5, which is highly human-specific (Wanaguru et al. 2013). Changes in the  
656 protein binding systems for red blood cell invasion have also been implicated in *P. knowlesi* host  
657 switching (Moon et al. 2016; Dankwa et al. 2016).

658  
659 In addition to red blood cell invasion, immune response is central to host-parasite interactions and  
660 co-evolution. These incredibly diverse genes, such as AMA1, MSP1, CSP, and the *var* and *pir* gene  
661 family variants, bind to host receptors, are involved in immune evasion, and have been associated  
662 with disease severity (Tetteh et al. 2009; Amambua-Ngwa et al. 2019; Conway 2015; Neafsey et al.  
663 2021). *var* genes have been identified in ape *Laverania* species, but their variation and impact on  
664 disease progression outside of humans is unclear, particularly because the corresponding ape  
665 immune response and genetic variation at immune genes is difficult to study in natural populations.  
666 Understanding the strain-specific immune responses and range of natural genetic variation is  
667 particularly important because *var* genes such as PfEMP1 have been proposed as vaccine targets, and  
668 expression of *var* genes has been shown to change in response to treatments (Bachmann et al. 2019;  
669 Jensen et al. 2020). Similarly, vaccines developed against *P. falciparum* have had mixed success based  
670 on the genetic diversity of parasites (Thera et al. 2011; Neafsey et al. 2015; Ouattara et al. 2015;  
671 Graves et al. 2016; Laurens et al. 2017; Bailey et al. 2020; Bell et al. 2021). Su et al. (2020) further  
672 discuss the role of these gene families in host-parasite interaction, and future work expanding to  
673 non-human malarias will be important to understand the role of these genes in between-species  
674 susceptibility and host switching.

675  
676 Further sampling to understand host and parasite ranges and natural genetic variation, population-  
677 genetic studies of adaptation, and experimental work on the mechanisms of host specificity will  
678 inform whether natural parasite variation is able to bind with common host genes necessary for  
679 invasion and, therefore, predict primate malarias with the highest potential for establishment of  
680 disease in other species (Barber et al. 2017; Brasil et al. 2017; Assefa et al. 2015; Sharp et al. 2020;  
681 Faust & Dobson 2015). Host and parasite variants in the associate proteins that interact on red  
682 blood cells determines the immunity induced; therefore, an understanding of natural variation across  
683 primates will inform future vaccine design (Chen et al. 2016; Su et al. 2020). Indeed, the high levels  
684 of genetic variation in *P. vivax* have been proposed as a barrier to an effective vaccine (Lim et al.  
685 2017), and DBPs and RH5 have been proposed as vaccine targets for *P. vivax* and *P. falciparum*,  
686 respectively (Bustamante et al. 2013; Douglas et al. 2011; Costa et al. 2015; Chitnis & Sharma 2008;  
687 Mueller et al. 2015; Chen et al. 2016; Su et al. 2020).

## 688 689 **7. Moving forward: emerging areas for research in primate malaria**

690 Parasite transmission studies are often human-centric, focusing on animal to human transmission.  
691 Here, we emphasize a broader perspective that between-animal transmission also has direct medical  
692 and conservation impacts, and monitoring of NHP diseases should be a priority. This is particularly  
693 timely given recent conversations about long-term creation of reservoirs of SARS-CoV-2 in new  
694 animal populations, which could then serve as a new source for future evolution and spillover into  
695 humans.

696  
697 A broader perspective on the role of humans in disturbing environments, changing climates, and  
698 altering species interaction patterns will work synergistically with genetic and epidemiological

699 research. Studies have increasingly demonstrated the importance of climatic and anthropogenic  
700 change on parasite and vector life-cycles, but the ecology of the hosts is generally understudied. For  
701 example, mathematical modeling suggests group size plays an important role in disease transmission  
702 dynamics and infection rates (Caillaud et al. 2013; Nunn et al. 2015), though these questions have  
703 generally not been explored for multi-host systems. Similarly, more attention is needed on how the  
704 timing of activity patterns of hosts, vectors, and parasites influences infection risk within and across  
705 species, including in relation to drug performance (Owolabi et al. 2021). Multi-host mathematical  
706 models can be a key tool in understanding the factors driving host sharing and infection  
707 distributions, especially with changes to local environments through climatic change and human  
708 influence. Better feedback or integration across disciplines, including mathematics, social sciences,  
709 and different fields of biology will be an important step, and builds on similar interdisciplinarity in  
710 other areas of evolutionary medicine.

711  
712 Disease burdens, as well as resources, are not equally distributed between or within countries.  
713 Within-country capacity building is particularly important for monitoring primate and zoonotic  
714 malaria. It is especially important to provide that capacity building for new genomic technologies are  
715 facilitating non-invasive sampling and field-based sequencing. Improving the ability of rapid  
716 diagnostic tests or designing capture methods to differentiate between closely related parasite species  
717 from different hosts—such as *P. vivax* versus *P. simium* or *P. falciparum* from other *Laverania*  
718 species—will be an important next step to stop human-NHP transmission pathways.

719  
720 Recent studies have produced hundreds to thousands of *Plasmodium* genome sequences from around  
721 the world, particularly *P. falciparum* samples from Africa and Asia, and to a lesser extent *P. vivax*  
722 (Hupalo et al. 2016; Pearson et al. 2016; Amambua-Ngwa et al. 2019). Robust population-genetic  
723 methods designed to analyze the plethora of parasite samples are limited, however. Over the past  
724 few years, newer work has developed identity-by-descent and linkage-based summaries of genetic  
725 variation in *Plasmodium* species more suited to study recent population history (Schaffner et al. 2018;  
726 Taylor et al. 2020; Oliveira et al. 2020; Neafsey et al. 2021). Despite these advances, a major  
727 challenge remains: the expected neutral genetic diversity in malaria parasites is unclear, limiting  
728 population-genetic inference. *Plasmodium* parasites undergo a complex lifecycle through host and  
729 vector stages. Multiple steps involve processes expected to dramatically influence genetic variation,  
730 such as bottlenecks with transmission, rapid asexual population growth in primate hosts, and sexual  
731 recombination in vectors. The combination of these stages makes genetic variation difficult to  
732 predict or fit to common models, such as Wright Fisher (Parobek et al. 2016; Chang and Hartl 2015;  
733 Chang et al. 2013). In turn, common outlier approaches to detect loci of importance (e.g. for drug  
734 resistance or adaptation to new hosts), such as  $F_{ST}$  scans, are difficult to interpret and may produce  
735 spurious results.

736  
737 A handful of studies have begun to address the challenge of understanding genetic variation by using  
738 simulation approaches, making substantial progress linking epidemiological and population-genetic  
739 models (Chang and Hartl 2015; Chang et al. 2013; Hendry et al. 2020; Watson et al. 2021). These  
740 studies represent an important first step, but progress is needed to incorporate realistic population  
741 and genome sizes in computationally tractable ways. Beyond model building for an intuition of the  
742 population genetics of malaria infection dynamics, simulation-based studies also open the door for  
743 statistical inference of complex processes (Beaumont et al. 2010). With this model-based inference,  
744 we can more confidently differentiate between transmission networks or characterize regions of  
745 parasite genomes with patterns outside those expected from demography alone.

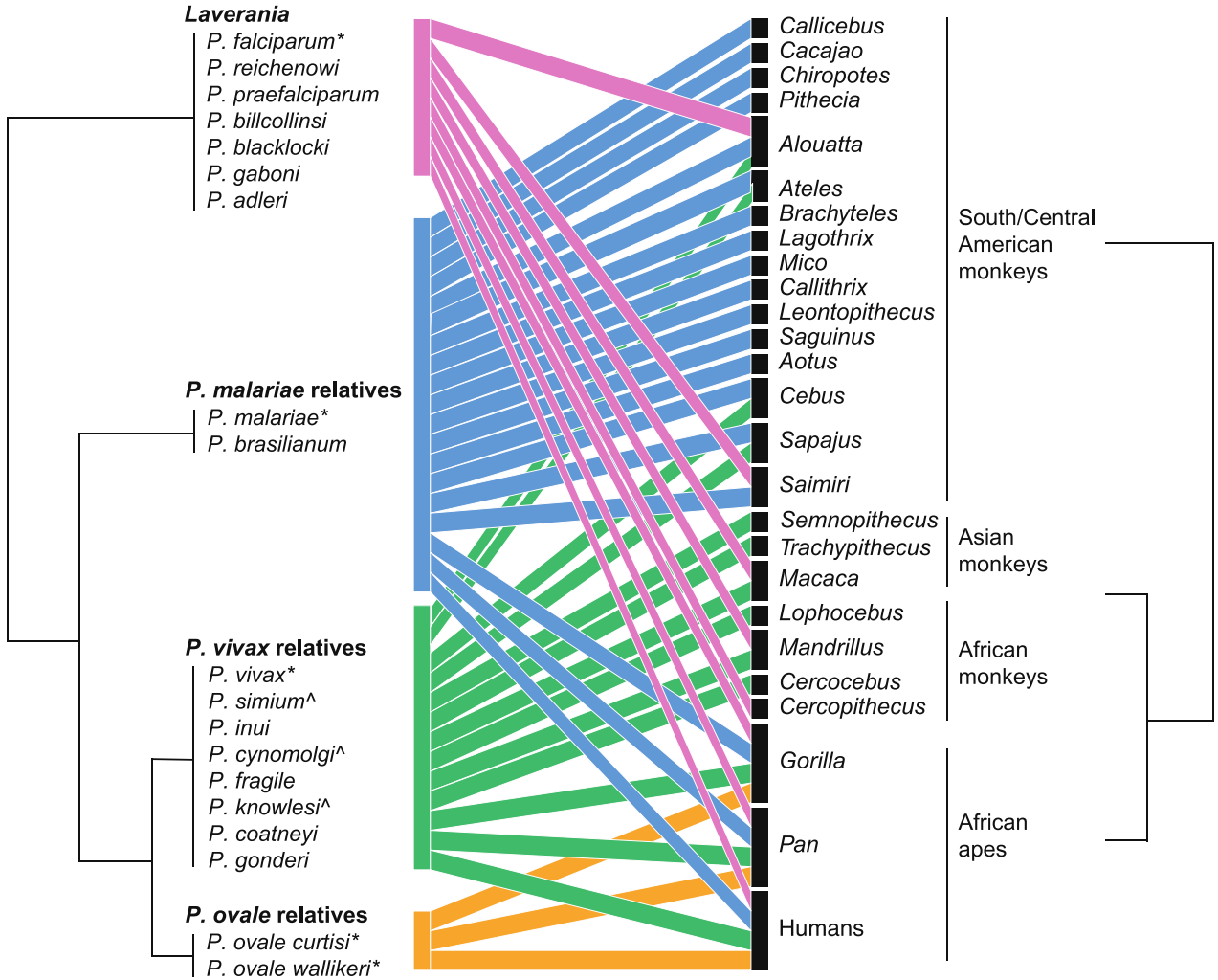
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747 As genomic data for a wider variety of parasites becomes available, inference from population-  
748 genetic methods will instead be limited by our ecological and biological understanding of the  
749 systems. For example, more accurate estimates of mutation rates, the complexities of generation  
750 times, recombination rates, mosquito birth and death rates, and co-infection probability are needed  
751 to parameterize the models. An important next step will be to explore the phenotypic consequences  
752 and origins of the observed genetic variants hypothesized to play a role in host switching or  
753 transmission. To achieve this goal, functional tests are needed, together with population-genetic  
754 inference of adaptive versus neutral processes. Experimental studies have identified key pathways in  
755 *P. falciparum* that likely played a role in host switching from its putative ancestors in gorillas (Proto et  
756 al. 2019; Galaway et al. 2019). *P. vivax*, however, is not amenable to long-term lab culture, so  
757 functional experiments have been limited and population-genetic data will play a central role (Luo et  
758 al. 2015; Udomsangpetch et al. 2008; Noulin et al. 2013). Combining genomic information with  
759 temporally and geographically fine-scale epidemiological and ecological data will better enable  
760 scientists to link human activity to vector-borne disease prevalence, and aid in predicting and  
761 prioritizing emerging zoonoses. Archived or historical samples from humans and primates are rich  
762 resources that is only beginning to be utilized (Van Dorp et al. 2020). Connecting diverse  
763 information types will need to move beyond simple correlations to model-based inference and a  
764 deeper understanding of the mechanisms behind human-environment-disease interactions.

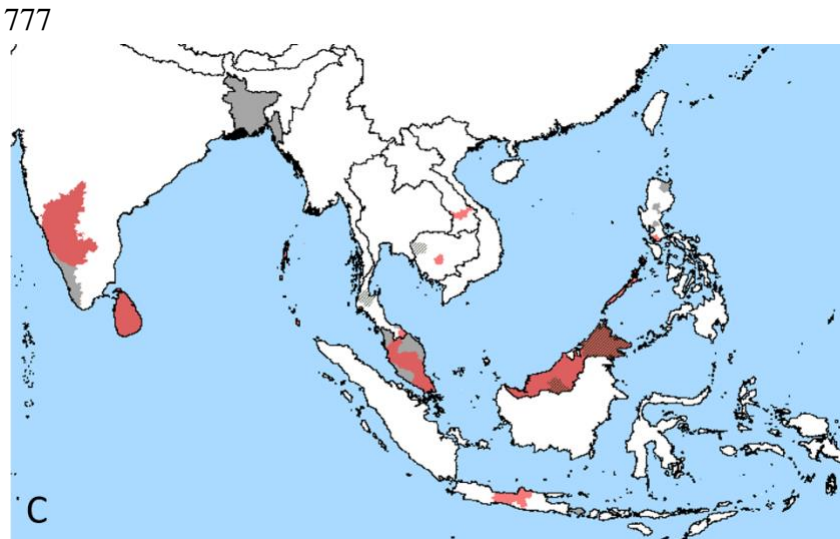
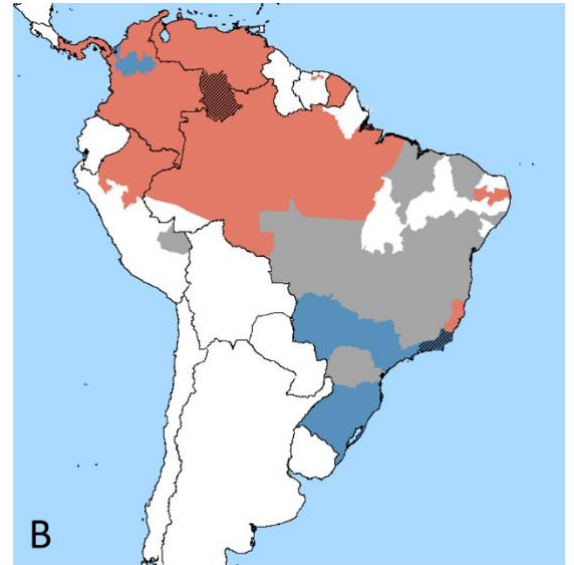
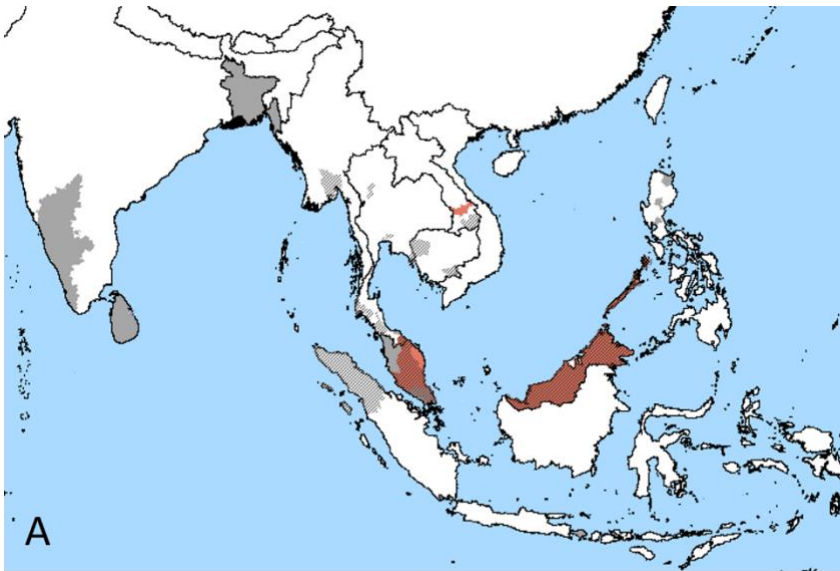
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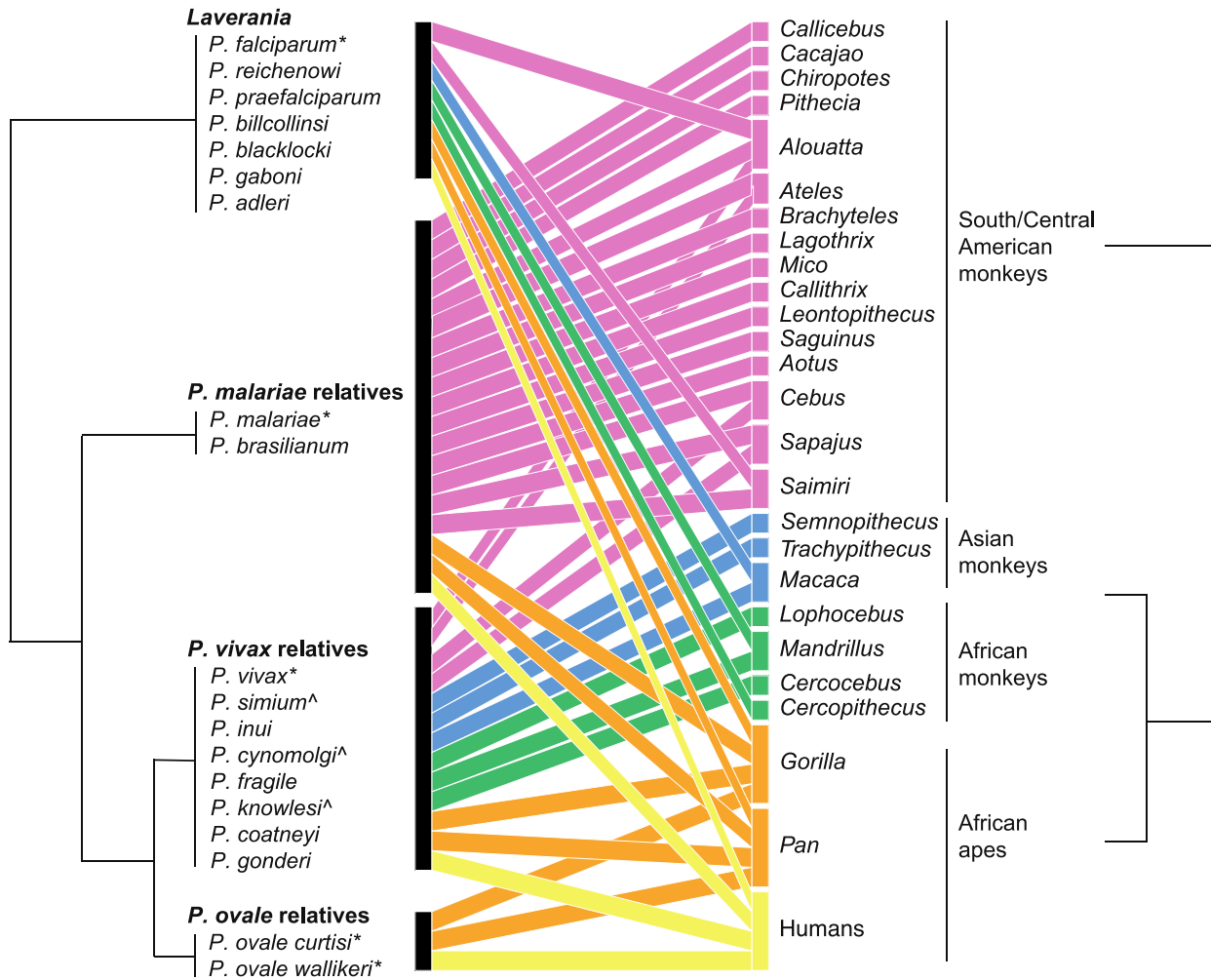
**Figure 1:** Bipartite plot of malaria parasite clade infection in primate genera. Phylogeny of malaria parasites follows Sharp et al. (2020), with parasites grouped by their clade based on the primary human-infecting parasite in that clade; clade names used in main text are above parasite groups. Branch lengths are arbitrary. Colors correspond to the parasite clade. Figure made in R bipartite package (Dormann et al. 2008). \*denotes common human-to-human transmitting parasites, ^denotes NHP parasites that have been found to naturally infect humans.



**Figure 2:** Geographic distribution of emerging zoonotic malaria cases. The solid colors represent cases occurring in NHPs, the grey solid color represents the areas where NHPs have been tested for *Plasmodium* species but none were identified, and black hatching represents cases in human, often overlapping NHP ranges. Presence of (A) *P. knowlesi* (orange) in Southeast Asia, (B) *P. brasilianum* (orange) and *P. simium* and *P. brasilianum* (blue) in South America and (C) *P. cynomolgi* (orange) in South and Southeast Asia. Data available in Supplement, with data collation methods described in Database section. Image made in Google Earth.

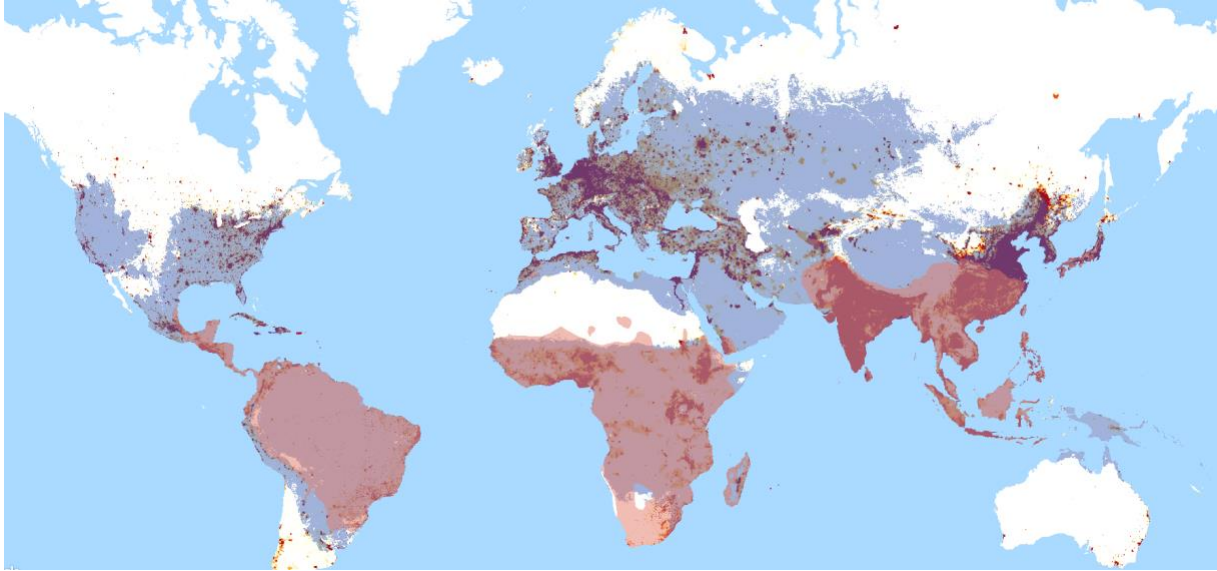
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**Figure 1—supplement 1:** Bipartite plot of malaria parasite clade infection in primate genera. Phylogeny of malaria parasites follows Sharp et al. (2020), with parasites grouped by their clade based on the primary human-infecting parasite in that clade; clade names above parasite groups. Branch lengths are arbitrary. Colors correspond to the primate clade. Figure made in R bipartite package (Dormann et al. 2008). \*denotes common human-to-human transmitting parasites, ^denotes NHP parasites that have been found to naturally infect humans.



795 **Figure 2—supplement 1:** Geographic distributions of hosts and vectors for zoonotic malaria. The regions colored in  
796 blue correspond to the presence of the vector *Anopheles*. The regions colored in pink correspond to the presence of  
797 NHP hosts. The regions overlapping the presence of mosquitoes, NHPs, and humans are potentially areas of  
798 increased concern for cross-species malaria transmission. The human density is from the Center for International  
799 Earth Science Information Network-CIESIN-Columbia University, 2018; NHP ranges are from IUCN, 2020, and  
800 *Anopheles* range is from Sinka, et al., 2012. Image made in Google Earth Engine (Gorelick et al. 2017).  
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