

1 **Quantifying the checks and balances of decentralised governance systems**
2 **for adaptive carnivore management**

3

4 Jeremy J. Cusack^{1,2*}, Erlend B. Nilsen³, Markus F. Israelsen³, Henrik Andrén⁴, Matthew Grainger³,
5 John D. C. Linnell³, John Odden⁵, & Nils Bunnefeld¹

6

7 ¹Biological and Environmental Sciences, University of Stirling, UK

8 ²Centro de Modelación y Monitoreo de Ecosistemas, Universidad Mayor, Chile

9 ³Norwegian Institute for Nature Research, NO-7485 Trondheim, Norway

10 ⁴Grimso Wildlife Research Station, Department of Ecology, Swedish University of Agricultural
11 Sciences, SE-730 91 Riddarhyttan, Sweden

12 ⁵Norwegian Institute for Nature Research, Sognsveien 68, NO-0855 Oslo, Norway

13

14 *Corresponding author

15 **Email:** jeremy.cusack@umayor.cl

16

17

18

19

20

21

22

23

24

25

26

27

28

29 **Abstract**

- 30 1. Recovering or threatened carnivore populations are often harvested to minimise their impact
31 on human activities, such as livestock farming or game hunting. Increasingly, harvest quota
32 decisions involve a set of scientific, administrative and political institutions operating at national
33 and sub-national levels whose interactions and collective decision-making aim to increase the
34 legitimacy of management and ensure population targets are met. In practice, however,
35 assessments of how quota decisions change between these different actors and what
36 consequences these changes have on population trends are rare.
- 37 2. We combine a state-space population modelling approach with an analysis of quota decisions
38 taken at both regional and national levels between 2007 and 2018 to build a set of decision-
39 making models that together predict annual harvest quota values for Eurasian lynx (*Lynx lynx*)
40 in Norway.
- 41 3. We reveal a tendency for administrative decision-makers to compensate for consistent quota
42 increases by political actors, particularly when the lynx population size estimate is above the
43 regional target. Using population forecasts based on the ensemble of decision-making models,
44 we show that such buffering of political biases ensures lynx population size remains close to
45 regional and national targets in the long-term.
- 46 4. Our results go beyond the usual qualitative assessment of decentralised governance systems
47 for carnivore management, revealing a system of checks and balances that, in the case of lynx
48 in Norway, ensures both multi-stakeholder participation and sustainable harvest quotas.
- 49 5. Our work provides a predictive framework to evaluate co-participatory decision-making
50 processes in wildlife management, paving the way for scientists and decision-makers to
51 collaborate more widely in identifying where decision biases might lie and how institutional
52 arrangements can be optimised to minimise them. We emphasise, however, that this is only
53 possible if wildlife management decisions are documented and transparent.

54

55 **Keywords:** conflict; decision-making; harvest; lynx; Norway; population forecast; quota;
56 stakeholder

57 **Introduction**

58 The adaptive management of wildlife populations is an essential component of the interaction
59 between biodiversity and human societies. Management can promote the conservation of
60 threatened species in human-dominated landscapes (Karanth & DeFries, 2010; Chapron et al.,
61 2014), sustain economic, cultural and recreational human activities that rely on the extractive use
62 of wild populations (Fischer et al., 2013; Di Minin et al., 2019), or minimise negative interactions
63 that arise when wildlife affects, or is perceived to affect, human livelihoods (Redpath et al., 2013;
64 Raithel et al., 2017). In theory, decisions taken in the context of wildlife management aim to achieve
65 one or more stated goal, such as protect threatened species, ensure the sustainable use of
66 harvested populations, or reduce negative interactions between wildlife and humans. In many
67 cases, poor management decisions can lead to the over-exploitation or over-abundance of wildlife
68 populations (Bulte, 2001; Fryxell et al., 2010), either of which can affect human livelihoods and well-
69 being both locally and globally (Díaz et al., 2019). Assessing and understanding the factors that
70 can influence the robustness of decision-making is therefore of vital importance to ensuring
71 effective and sustainable wildlife management and species survival (Polasky et al., 2011).

72 Management decisions relating to the harvest of large carnivore species pose a particular
73 challenge owing to their economic and political significance (Artelle et al., 2018; Darimont et al.,
74 2018; van Eeden et al., 2018), and the need to balance the interests of those promoting the harvest
75 versus the protection of wild populations (Lute et al., 2020). This is especially the case when harvest
76 is used as a tool to mitigate the negative impacts that a carnivore species of conservation concern
77 can have on local human livelihoods, such as livestock depredation or competition with recreational
78 hunting (van Eeden et al., 2018). Indeed, such scenarios often elicit strong responses from
79 stakeholder groups with differing views on the value of lethal control, including, for example, wildlife
80 conservationists, local communities, and their political representatives (Redpath et al., 2013; 2017).
81 In response to this, stakeholder co-participation in management decisions – such as those
82 surrounding quota values – is often promoted as a means to minimise conflict and increase both
83 the effectiveness and acceptability of population control measures (Pellikka & Sandström, 2011;
84 Sandström & Lundmark, 2016; Mitchel et al., 2018; Cusack et al., 2020).

85 Decentralised governance systems, whereby a range of actors at local, regional and
86 national levels participate in decision-making (Sandström et al., 2009; Hansson-Forman et al.,
87 2018), is becoming increasingly common in the management of large carnivore populations (Treves
88 et al., 2009; Redpath et al., 2017; Sandström et al., 2018; Curveira-Santos et al., 2020; Lute et al.,
89 2020). Such a governance system is typically characterised by a set of administrative and political
90 institutions whose interactions and collective decision-making aim to increase the legitimacy of
91 management and ensure population targets are met (Pellikka & Sandström, 2011; Risvoll et al.,
92 2016; Sandström & Lundmark, 2016). Inherent to the functioning of decentralised governance is a
93 careful balance between political pressures and the decision-making process of specialised
94 administrative entities whose role it is to evaluate and implement management actions based on
95 scientific evidence (Lute et al., 2014). However, the dynamic nature of this balancing act, as well
96 as the relationship between complex decision-making processes and their consequences for large
97 carnivore management outcomes, is very rarely quantified, with the vast majority of assessments
98 of decentralised governance systems relying on qualitative evaluations of stakeholder perceptions
99 (Jacobsen & Linnell, 2016; Sjölander-Lindqvist et al., 2020).

100 In this study, we quantify the set of decision-making processes that lead to annual harvest
101 quota values for Eurasian lynx (*Lynx lynx*; hereafter, lynx) in Norway. The management of lynx
102 populations through harvesting in Norway dates back to at least the mid-19th century when state-
103 financed bounty payments were used to incentivise hunting of all carnivore species due to their
104 depredation on both livestock and wild ungulates (Linnell et al., 2010; Jacobsen & Linnell, 2016).
105 Since then, the goals of lynx management have changed from extermination to sustainable harvest
106 (Linnell et al., 2010). In 1994, lynx harvesting in Norway adopted a quota-regulated approach with
107 a goal to maintain the population at a stable level (Nilsen et al., 2012). The current national
108 management goal of 65 lynx family groups (i.e. annual reproductions) was politically set by
109 parliament in 2004, to be divided between eight management regions, each with a specific goal
110 representing a proportion of the overall national target. Under this approach, regional decisions
111 regarding lynx harvest quotas consist of a multi-step process, starting with an initial proposal by the
112 regional Secretariat hosted by the Office of the County Governor (hereafter, Secretariat), followed

113 by a revision by a politically appointed Regional Carnivore Management Board (RCMB), a
114 stakeholder appeal process, and a final decision by the Ministry of Climate and Environment (MCE;
115 Sandström et al., 2009; Risvoll et al., 2016; Andrén et al., 2020; Sjölander-Lindqvist et al., 2020).
116 Like in many large carnivore management systems, however, assessments of lynx harvesting in
117 Norway have so far largely focused on the relationship between population predictions and final
118 quota decisions (Bischof et al., 2012; Nilsen et al., 2012; Andrén et al., 2020). Consequently, the
119 influence of the different decision-making stages and the key interaction between administrative
120 and political actors in shaping quota outcomes has not been analysed in detail.

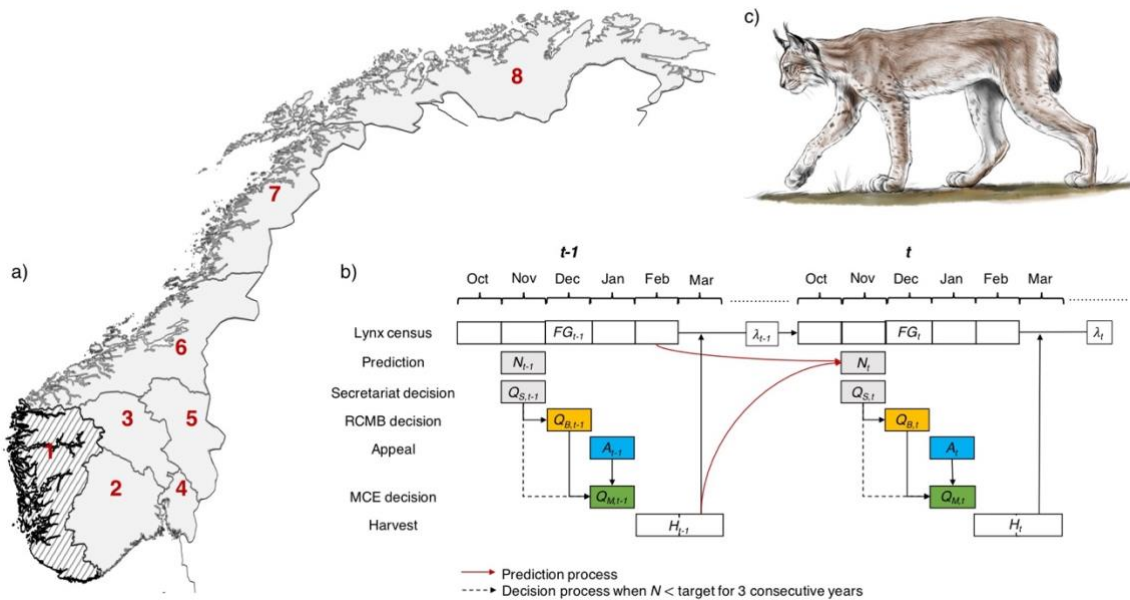
121 To address this gap, we combine a unique dataset of lynx quota decisions collected over
122 the period from 2007 to 2018 for seven of the eight carnivore management regions with theoretically
123 derived optimal quota decisions, to evaluate inherent biases at each stage of the decision-making
124 process. We then build an ensemble of models that relate successive changes in quota by the
125 Secretariat, RCMB and the MCE, as well as the number of appeals, to a measure of management
126 effectiveness that reflects how far the lynx population prediction for the current year is from the
127 regional target. Using this model ensemble, we assess the ability of the quota decision-making
128 process to stabilise lynx population dynamics and achieve regional as well as national population
129 targets in the long-term.

130

131 **Materials and Methods**

132 Study area

133 The study area encompasses seven of the eight carnivore management regions in Norway (Fig.
134 1a), which together cover approximately 273,000 km². Management region 1 was excluded from
135 the study since it has a population target of zero lynx family groups. Regions 2-8 are composed of
136 alpine and boreal vegetation zones (Esseen et al., 1997), the former dominated by mountain birch
137 (*Betula pubescens*) forests and the latter by Norway spruce (*Picea abies*) and Scots pine (*Pinus*
138 *sylvestris*). Most parts of the boreal forest are intensively managed for pulp and timber, which
139 creates a mosaic of even-aged forest stands. The proportion of agricultural land is generally low
140 within the study area but increases toward the south.



141

142 **Figure 1.** Map of carnivore management regions (a) and relative timings of census estimates (FG),
 143 population predictions (M), quota decisions (Q), appeal (A) and harvesting (H) processes (b) for
 144 Eurasian lynx in Norway (c). λ represents the growth of the lynx population between time steps
 145 after the effect of the harvest on lynx abundance. The quota decision steps include an initial
 146 suggestion by the regional Secretariat (Q_S) based on lynx abundance at $t-1$, followed by revision
 147 by the Regional Carnivore Management Board (RCMB; Q_B). An appeal process takes place before
 148 the final quota decision is taken by the Norwegian Ministry for Climate and Environment (MCE;
 149 Q_M). Note that decision power is removed from the RCMB if the estimated size of the lynx population
 150 is below target for three consecutive years. The shaded area in (a) represents management region
 151 1, which is not included in the study area because the regional target is zero. Lynx illustration by
 152 Mattis Jayme van Dalum.
 153

154 Lynx in Norway occur in a multi-use landscape alongside a variety of different human
 155 activities (Swenson & Andrén, 2005). In particular, management regions 7 and 8, as well as the
 156 northern and eastern parts of region 6, correspond to the reindeer husbandry area, in which the
 157 indigenous Sámi herd semi-domestic, free-ranging reindeer (*Rangifer tarandus*). The latter are the
 158 primary prey of lynx in these regions, an impact that continues to sustain a significant conflict
 159 between lynx conservation and reindeer husbandry practices by the Sámi (Mattisson et al., 2011).
 160 Lynx predation on sheep occurs throughout the study area (Odden et al., 2008), whilst in the
 161 southern management regions, lynx predation on roe deer (*Capreolus capreolus*) is also a source
 162 of conflict between lynx conservation and local hunting activities (Odden et al., 2006).

163

164

165 Lynx population model

166 Lynx monitoring in Norway follows a common methodology across all carnivore management
167 regions based on non-replicated counts of annual reproductions, which since 2002 has been
168 coordinated at a national level by the National Large Predator Monitoring Program (Nilsen et al.,
169 2012; Andrén et al., 2020). Lynx census efforts are carried out every winter between the months of
170 November and February. Importantly, lynx quotas for a given winter t are set before estimates of
171 lynx population size are available for that same winter (N_t). Prior to 2012, quota decisions were
172 based on the lynx count recorded for the previous winter (N_{t-1}) (i.e. count-based strategy). Since
173 2012, a state-space population model has been made available to the regional Secretariats
174 (Buckland et al., 2004; Nilsen et al., 2011), which enables estimation of lynx population size at t
175 based on the time series of observed number of reproductive females (hereafter, family groups)
176 and harvest bags collected up until $t-1$. Using this model, we generated predictions of the true, pre-
177 harvest lynx population size for each region and year t between 2012 and 2018, representing the
178 period during which the model was available to the regional Secretariats (i.e. model-based
179 strategy). Details of model structure, fitting and evaluation are provided in Appendix S1.

180

181 Lynx quota decision-making process and data

182 The timeline for lynx monitoring, quota-setting and quota implementation in Norway is shown in Fig.
183 1b (Risvoll et al., 2016; Andrén et al., 2020). In this study, we focus on three key stages of decision-
184 making. The first stage relates to the initial quota decision in November of winter t by the
185 professional administration in the Secretariat (hereafter, Secretariat quota) based on lynx
186 monitoring results from winter $t-1$ or a model prediction for t (Nilsen et al., 2011; Andrén et al.,
187 2020). This initial quota suggestion is passed on in December of winter t to a Regional Carnivore
188 Management Board (RCMB), which is made up of local level politicians appointed by the ministry
189 at the national level. The RCMB can revise the quota depending on the input of board members
190 and the interests they represent (hereafter, RCMB quota; Risvoll et al., 2016). The resulting quota
191 then undergoes an appeal process, whereby groups with stakes in lynx management (e.g. reindeer
192 herders, sheep farmers, hunters, and conservationists) can seek changes to the decision. The

193 quota proposed by the RCMB and the corresponding appeals are reviewed by the Ministry of
 194 Climate and Environment (MCE), which decides in January on a final quota to be implemented
 195 during the months of February and March of winter t (hereafter, MCE quota). Importantly, if the
 196 predicted lynx population size is below the regional target for three consecutive years, the quota
 197 decision power of the RCMB is removed until the population increases above the target. In all
 198 cases, the MCE has authority on the final quota decision.

199 We extracted quota values resulting from each of the decision-making stages (i.e.
 200 Secretariat, RCMB and MCE) as well as the number of appeals made from both regional and
 201 national sources. The quota suggestion by the Secretariat and the decision made by RCMB were
 202 both extracted from publicly available meeting documents uploaded to the respective websites of
 203 each region. The number of appeals and final quota decision made by the MCE were extracted
 204 from documents made available publicly on the Norwegian government homepage
 205 (<https://miljovedtak.no/>). For years for which online documents were not available, the County
 206 Governor of each management region was contacted to obtain meeting documents relating to
 207 appeals and MCE decisions.

208

209 Optimal quota decisions

210 To serve as a general evaluation of observed quota decisions, we derived, for each region k and
 211 winter t , the optimal decision $Q(opt)_{t,k}$ that should have been taken to maximise chances of reaching
 212 the regional target (L_k) at $t+1$. For a given region, this objective is expressed as:

$$213 \quad N_{t+1,k} = L_k \quad [1],$$

214 in which $N_{t+1,k}$ represents the lynx population size in region k at $t+1$. Following Andrén et al. (2020),
 215 we assume that:

$$216 \quad N_{t+1,k} = (N_{t,k} - Q_{t,k}) * \bar{\lambda}_k \quad [2],$$

217 in which $N_{t,k}$ and $Q_{t,k}$ represent the estimated lynx population size and harvest quota for region k at
 218 winter t , respectively, and $\bar{\lambda}_k$ denotes the region-specific mean population growth rate. Combining
 219 equations [7] and [8] yields:

$$220 \quad L_k = (N_{t,k} - Q(opt)_{t,k}) * \bar{\lambda}_k \quad [3].$$

221 Re-arranging, we obtain a model for the optimal quota decision (optimal quota model):

222
$$Q(opt)_{t,k} = N_{t,k} - \frac{L_k}{\lambda_k} \quad [4].$$

223 Values of $Q(opt)_{t,k}$ that were < 0 were set to 0.

224

225 Comparison of observed and optimal quota values

226 We modelled observed quota as a function of the interaction between decision stage (Secretariat,
227 RCMB and MCE) and region using a generalised linear mixed effect model (GLMM) with a negative
228 binomial error structure, year as a random intercept and $\log(N_t)$ as an offset. The latter was included
229 to correct for varying lynx population size, in effect converting the response variable into a quota
230 rate, which can be compared across management regions. In this model, factor levels representing
231 the Secretariat decision and Region 2 were included as reference values against which the effects
232 of other factor levels were evaluated. We then fit a second GLMM, which this time included the
233 optimal quota decision as reference level for the decision stage factor (i.e. Optimal, Secretariat,
234 RCMB and MCE), to evaluate the extent to which observed quota decisions deviated from the
235 corresponding optimal decision.

236 In the case of the Secretariat decision, we further assessed how the difference between
237 observed and optimal quotas for each region k varied as a function of a measure of management
238 effectiveness defined as the population-target ratio (PTR) = $\frac{N_t}{L_k}$. This measure is equal to 1 when N_t
239 = L_k , < 1 when $N_t < L_k$, and > 1 when $N_t > L_k$. We expected the Secretariat quota decision to deviate
240 as little as possible from optimal and for the difference between observed and optimal values to
241 remain constant across values of PTR.

242

243 Modelling changes in quota across decision-making stages

244 We used a combination of linear regression models to model successive changes in quota value
245 between the initial Secretariat decision and the final MCE decision as a function of the PTR. We
246 used the latter value as a measure of management effectiveness that we assumed was understood
247 and considered at all stages of decision making.

248 In a first instance, we modelled the initial Secretariat decision at time t as a function of the
249 interaction between the PTR and a categorical variable reflecting the management region. This
250 model assumes that the manager adjusts quota decisions based on how far the predicted lynx
251 population size at t is from the regional target, but that this process varies predictably depending
252 on the region (Andrén et al., 2020). We chose to implement an linear mixed model (LMM) as
253 preliminary analyses indicated that treating the Secretariat quota as a count and fitting a GLMM
254 with a negative binomial error structure would result in strictly positive intercept values, reflecting
255 the unrealistic setting of positive quota values at a value of N_t equal to 0.

256 Decision stages relating to the RCMB, the appeal process and the MCE were each
257 modelled using a two-step approach akin to a hurdle model. The first step consisted of a binomial
258 GLMM for which the response was a binary variable reflecting the presence/absence of a change
259 of quota in the case of the RCMB and MCE stages, or the presence/absence of at least one appeal.
260 Predictor variables for the RCMB and appeal stage models consisted of the interaction between
261 the PTR and region, whilst for the MCE stage, the number of appeals, the PTR and region were
262 included as additive effects. The second step in our approach considered only instances in which
263 a quota change or at least one appeal was recorded. For the RCMB and appeal stages, this took
264 the form of a negative binomial GLMM for which the response variable was quota increase (only
265 positive changes were recorded) and number of appeals, respectively, and the predictor variables
266 were the interacting effects of the PTR and region. For the MCE stage, we modelled quota change
267 as a function of the number of appeals and the PTR, both of which interacted independently with
268 region, using an LMM to account for both negative and positive changes in quota.

269 In all models, year was included as a random intercept to account for the temporal
270 dependency between quota decisions and appeals carried out in consecutive years. Model
271 selection was carried out by ranking candidate models based on the AICc value. Although we
272 present all models within 2 delta AICc, we focus inferences and predictions on the top model (i.e.
273 with the lowest AICc value). All analyses were carried out R using packages lme4 (Bates et al.,
274 2015) and glmmTMB (Brooks et al., 2017).

275

276 Population forecasting
277 We used the ensemble of decision-making models selected in the previous section to predict, for
278 each management region, lynx population dynamics for the years 2019 to 2030. Stochasticity was
279 included in each of 1000 iterations by sampling the annual growth rate from a normal distribution
280 with mean $\bar{\lambda}_k$ and associated standard deviation $sd(\bar{\lambda}_k)$. Here, $\bar{\lambda}_k$ is the mean growth rate over the
281 period 1996 to 2018, as would have been estimated by regional Secretariats in 2018 (Appendix
282 S2, Table S2-2). All other component parameters of decision stage models were represented by
283 their estimated mean value. Importantly, our forecasts assume that the harvest quota is
284 implemented perfectly, enabling us to assess the effect of decision-processes without the
285 confounding effect of implementation uncertainty. We summed predictions across regions to obtain
286 a forecasted trend at the national level.

287

288 **Results**

289 Lynx population size estimates

290 We predicted values of N_t for each year between 2007 and 2018, using the count-based strategy
291 prior to 2012 and the model-based strategy from 2012. Comparison of predicted and observed
292 values of N_t indicated good predictive power for both count and model-based approaches (see
293 Appendix S2, Figs S2-1 and S2-2).

294

295 Lynx quota decisions

296 We analysed a total of 84 quota decision processes – each combining successive Secretariat,
297 RCMB and MCE decisions – collected between 2007 and 2018 across the seven management
298 regions (Appendix S2, Fig. S2-3). Data from 2007 and 2008 in Region 4 were excluded from our
299 analysis due to missing quota values for two of the decision-making stages. Of the remaining 82
300 decision processes, 19 reflected processes in which decision-making power was removed from the
301 RCMB following three consecutive years below the management target (i.e. 23.2% of all decision
302 processes with only two decisions instead of three), resulting in a total of 227 decisions analysed.

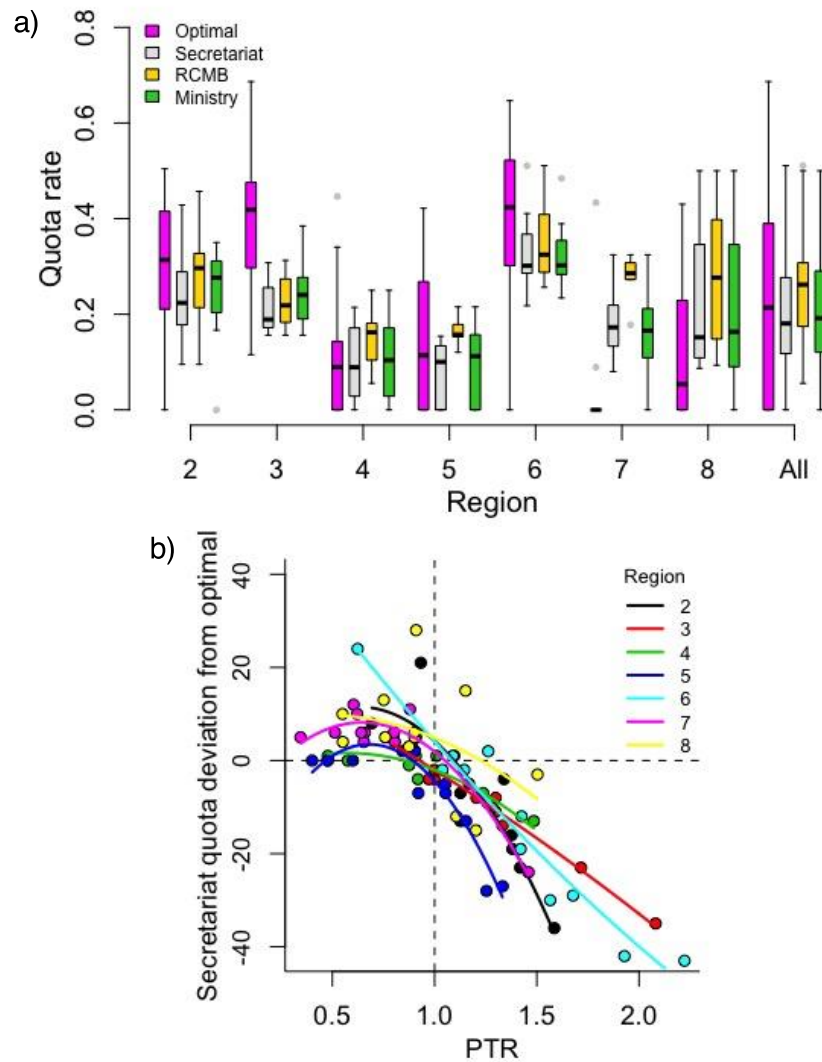
303 Observed quota rates varied significantly across regions (likelihood-ratio test based on
304 nested negative binomial Generalised Linear Mixed Models with year as random effect: $\chi^2 = 156.0$,
305 $df = 6$, $P < 0.001$), with regions 6 and 4 showing the highest and lowest values on average (Fig.
306 2a). Differences in quota rate were also significant across decision stages ($\chi^2 = 7.1$, $df = 2$, $P <$
307 0.05), with quota rates resulting from the RCMB tending to be higher than those from either the
308 Secretariat or MCE in all regions except region 3, where the MCE quota rate was highest on
309 average. The percentages of Secretariat decisions that were decreased, unchanged or increased
310 by the RCMB were 0, 50.8 and 49.2 % ($n = 63$), respectively, whereas the percentages of either
311 Secretariat or RCMB decisions that were decreased, unchanged or increased by the MCE were
312 11.0, 81.7 and 7.3 % ($n = 82$), respectively.

313 In contrast to differences amongst observed quota rates, the difference amongst optimal
314 and observed quota rates varied depending on the interaction between decision stage and region
315 ($\chi^2 = 62.2$, $df = 18$, $P < 0.001$). More specifically, the Secretariat quota rate tended to be lower than
316 optimal in regions 2 to 6 and higher in regions 7 and 8 (Fig. 2a). This was reflected in the relationship
317 between Secretariat quota deviation from optimal and the PTR, which was best modelled as an
318 interaction between region and the quadratic term PTR^2 . According to this model, the Secretariat
319 quota decision tended to be closer to optimal when N_t was equal to or below the regional target
320 (i.e. $PTR \leq 1$) and below when N_t was above the regional target (Fig. 2b).

321

322 Modelling changes in quota

323 Model selection outputs revealed that the Secretariat quota decision was positively influenced by
324 the PTR and that the slope of this effect varied significantly across regions (Fig. 3a; Appendix S2,
325 Table S2-1). The probability that the RCMB would seek a quota change following the initial proposal
326 by the Secretariat depended on the region (Fig. 3b), with regions 5 and 8 showing the highest
327 (predicted probability of 1) and lowest probability (predicted probability of 0.22 [95% CIs 0.06 –
328 0.58]), respectively. When a change did occur, its magnitude was positively related to the PTR, a
329 relationship that was common to all management regions (Fig. 3c).

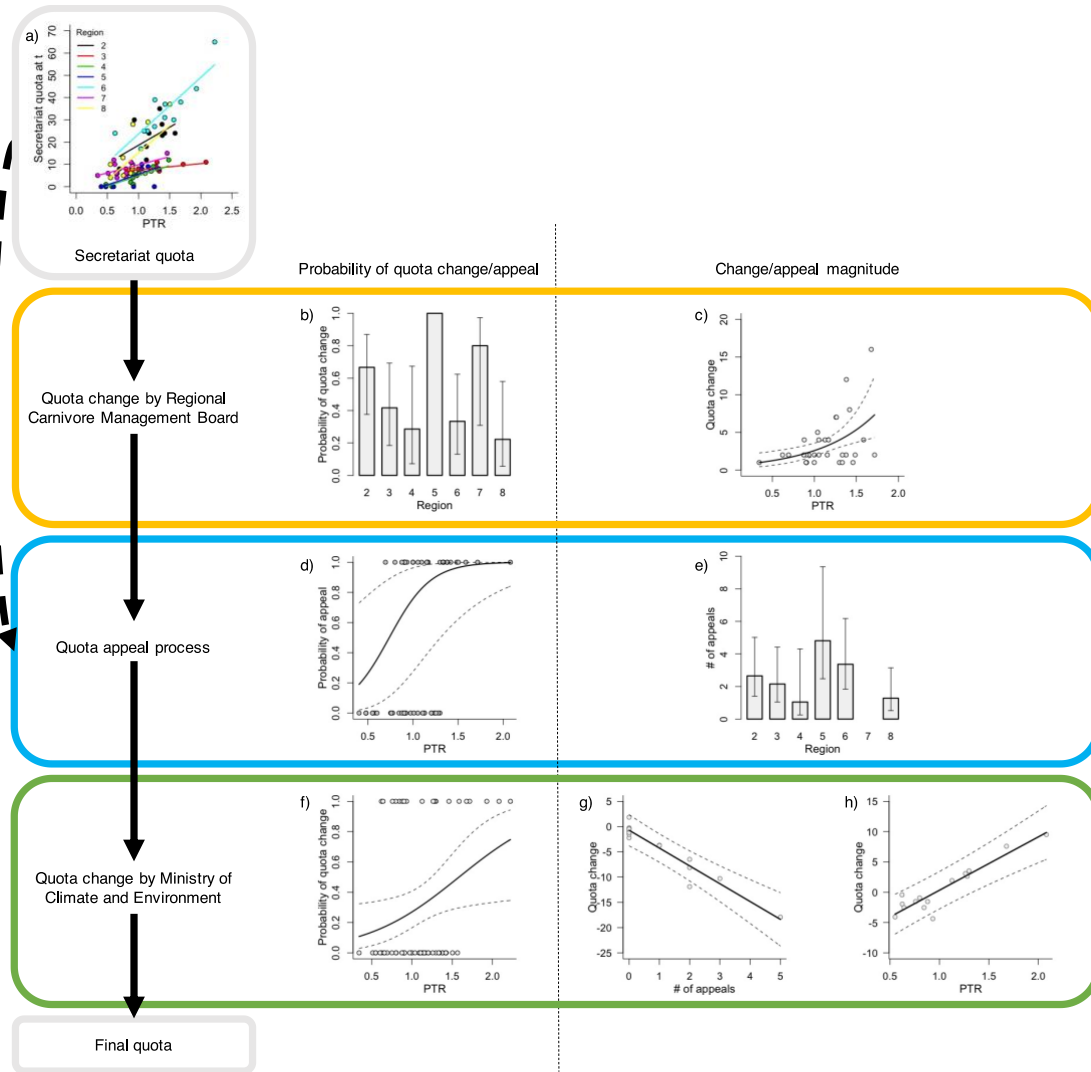


330

331 **Figure 2.** Optimal and observed quota rates for Norwegian lynx management regions 2-8 (a) and
 332 relationship between the Secretariat quota deviation from optimal and a measure of management
 333 effectiveness, the population to target ratio (PTR) (b). The PTR is equal to 1 when lynx population
 334 size at time t is equal to the management target, < 1 when population size is below the target, and $>$
 335 1 when population size is above the target. In (a) the optimal quota rate is based on the theoretical
 336 model defined in the Materials and Methods (see equation [4]) whilst observed values are the result
 337 of decisions taken by the Secretariat, the Regional Carnivore Management Board (RCMB) and the
 338 Norwegian Ministry of Climate and Environment (MCE). Lines in (b) represent predictions from a
 339 fitted linear mixed effects model with PTR^2 and region as interacting effects and year as a random
 340 intercept. Horizontal and vertical dashed lines in (b) denote cases when the secretariat quota
 341 equals the optimal quota and when the estimated lynx population size at t equals the regional
 342 management target, respectively.
 343

344 Overall, appeals were more likely to occur with increasing PTR (Fig. 3d). Appeals were
 345 recorded every year for region 6, resulting in predicted probabilities of 1 (Appendix S2, Fig. S2-4).
 346 In contrast, no appeals were recorded for region 7 leading to predicted probabilities of 0. When

347 appeals did occur, their number was best predicted by the management region (Fig. 3e), with
 348 regions 4 and 5 being characterised by the lowest (1.10, [0.26 – 4.31]) and highest (4.81 [2.48 –
 349 9.35]) predicted number of appeals, respectively. Lastly, the MCE was more likely to modify the
 350 quota received from either the Secretariat or the RCMB at higher values of PTR (Fig. 3f). The
 351 magnitude and direction of the resulting change was negatively influenced by the number of
 352 appeals received (Fig. 3g) and positively related to the PTR (Fig. 3h).



353

354 **Figure 3.** Summary of decision-making processes occurring between the initial lynx quota
 355 suggestion by the regional Secretariat (a) and the final quota, including the revision by the Regional
 356 Carnivore Management Board (b and c), quota appeals (d and e), and the final decision by the
 357 Norwegian Ministry of Climate and Environment (f, g and h). The RCMB, appeal and MCE stages
 358 each consist of a two-step process whereby the probability of quota change or appeal and the
 359 magnitude of quota change or number of appeals are estimated successively. In all cases, bars
 360 and lines with corresponding error brackets and dashed lines represent predictions and associated
 361 confidence intervals from fitted models described in Table S2, respectively. Note that in (g) and (h)

362 grey dots represent partial residuals. The full and dashed arrows linking decision stages represent
363 process in the presence and absence of a decision by the RCMB, respectively. The PTR is equal
364 to 1 when lynx population size at time t is equal to the management target, < 1 when population
365 size is below the target, and > 1 when population size is above the target.
366

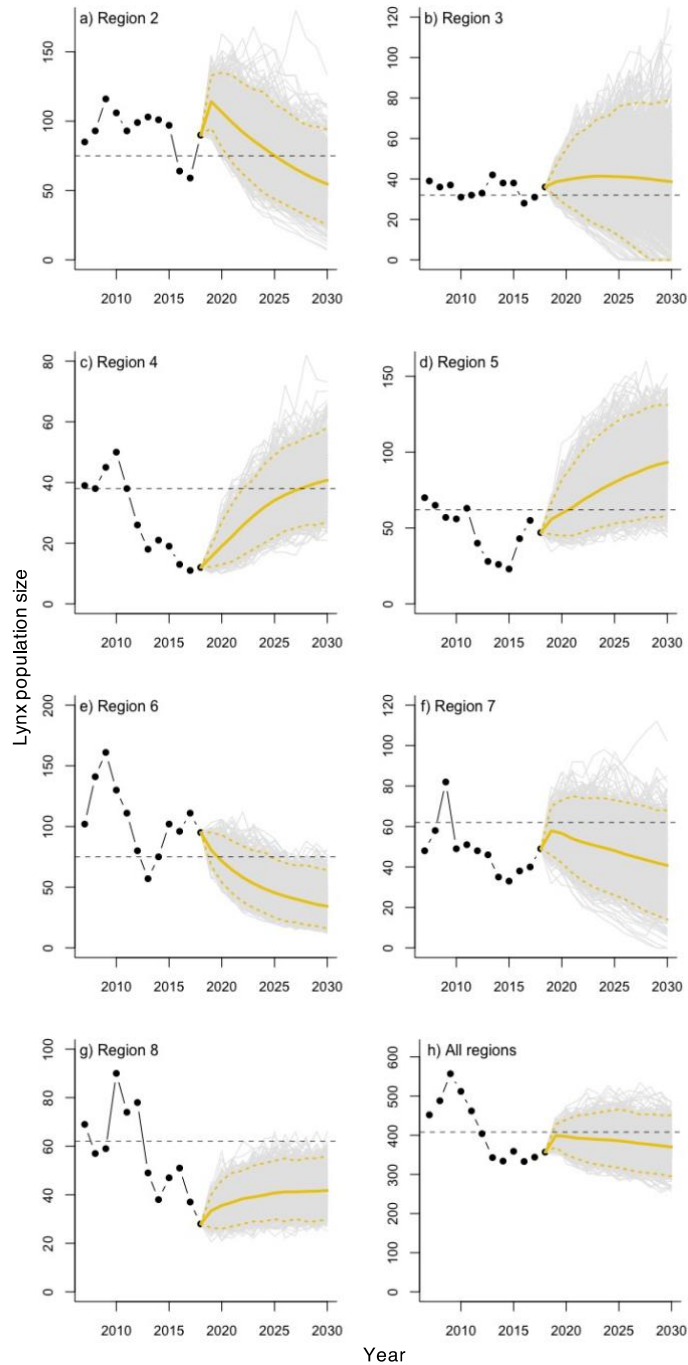
367 Population forecasting

368 We used the ensemble of decision-making models governing quota setting by the Secretariat,
369 quota changes by the RCMB and MCE, and the number of appeals made to predict, for each region
370 and for Norway as a whole, lynx population dynamics for the years 2019 to 2030. Such a forecast
371 acts as a valuable evaluation of the ability of the entire decision-making process to maintain lynx
372 population size on target. In particular, it revealed a contrast between southern and northern
373 management regions, with regions 2, 3, 4 and 5 showing population predictions that generally
374 overlapped with the regional target (Figure 4a-d), whilst regions 6, 7 and 8 exhibited predictions
375 that, although not always reflective of a decreasing population trend, tended to be below the
376 regional target (Fig. 4e-g). This heterogeneity in population forecasts relative to the management
377 target resulted in predictions at a national level that were stable and overlapped with the population
378 target (Fig. 4h).

379

380 **Discussion**

381 Our analysis of lynx quota decisions by administrative and political entities in Norway and
382 associated population forecasts reveal a system of checks and balances that, overall, successfully
383 maintains lynx population size close to regional and national targets despite strong opposing
384 pressures from conservation, farming and hunting interests (Linnell et al., 2010; Jacobsen & Linnell,
385 2016). These pressures manifest themselves at key stages in the decision-making process, namely
386 the quota revision by the politically appointed RCMB and the appeal process occurring prior to the
387 final decision by the MCE. RCMBs, in particular, are often highly biased in their representativeness
388 towards the interests of farmers and hunters (Risvoll et al., 2016), resulting in a quota revision that
389 is consistently upwards when it occurs. This is especially the case when the lynx population in the
390 previous year is estimated to be above the regional target, reflecting a strong motivation to keep
391 lynx numbers under control.



392

393 **Figure 4.** Lynx population forecasts for the years 2019 to 2030 based on the ensemble of decision-
 394 making models characterising quota decisions, including the initial proposal by the Secretariat, the
 395 revision by the Regional Carnivore Management Board, the appeal process, and the final decision
 396 by the Ministry of Climate and Environment. Black dots represent estimated lynx population sizes
 397 for the years 2007 to 2018 as derived from a state-space population model applied to lynx census
 398 and harvest data collected between 1996 and 2018. The full yellow line represents the mean
 399 population trend across 1000 iterations and the dashed lines denote the associated 95%
 400 confidence intervals. The horizontal dashed line marks the population target.

401 This tendency for the RCMB to increase quota values appears to be anticipated for by the
402 regional Secretariats, which we find were more likely to bias their quota proposals downward from
403 the theoretically optimal value when the lynx population estimates were above the regional target.
404 This pro-conservative behaviour did not occur when the lynx population estimates were below or
405 equal to the regional targets, in which case the Secretariats' quotas tended to be closer to optimal.
406 It is unlikely, however, that suboptimal decision-making by the Secretariats aimed to compensate
407 for a potential increase by the MCE, which also tended to occur at higher population to target ratios.
408 This is because, in a first instance, quota changes by the MCE were relatively rare, only occurring
409 for one in five decisions. Moreover, the MCE decisions to increase or decrease a quota were also
410 mostly negatively influenced by the number of appeals received following the RCMB decision.

411 Our analysis highlights regional differences in quota decision processes and their ability to
412 maintain lynx populations on target. In particular, population forecasts for regions 6, 7 and 8 led to
413 population trends that were generally below the management target. These northern regions are
414 characterised by high numbers of lynx relative to southern regions (with the exception of region 2),
415 which could result in a tendency to over-compensate even when numbers decrease below the
416 management target (Fryxell et al., 2010). This could be exacerbated by the ongoing conflict
417 between lynx conservation and reindeer husbandry conducted by the indigenous Sámi in these
418 regions (Mattisson et al., 2011; Tveraa et al., 2014), which may lead to stronger control of lynx
419 populations. Achieving lynx population targets in these regions will therefore require a better
420 understanding of how specific stakeholder interests influence decision-making.

421 Our work provides important insights into how interactions between the different actors
422 involved in decentralised governance systems can buffer political influences on wildlife
423 management decisions and lead to stable wildlife population trends (Darimont et al., 2014). In
424 particular, our findings echo of the “tug of war” concept used by Orach et al. (2020) to characterise
425 the feedback mechanism between stakeholder decisions that they find stabilises European Union
426 fisheries quotas by counterbalancing the influence of opposing interests. Importantly, they observe
427 that such a mechanism can be beneficial to natural resource management, sometimes delaying or
428 preventing stock collapse. In a similar way, buffering of the political influence of the RCMB and

429 MCE by the Secretariat and the appeal process in the case of Norwegian lynx quotas may ensure
430 population viability in the long-term despite competing interests.

431 Quantitative assessments of decision-making at the heart of large carnivore management
432 are only possible when decisions at each stage of the process are transparent (Artelle et al., 2018;
433 Fuller et al., 2020). As shown by the present study, such data transparency enables evaluations of
434 management effectiveness to go beyond their usual focus on monitoring biases to encompass
435 relations between stakeholder interests, including the consequences of individual decision
436 strategies. In the case of Norwegian lynx, the effect of these decision biases on population
437 management are at least partly tempered by the decentralised governance system as a whole. Yet
438 no such quantitative analysis that we are aware of exists for other managed species, and we urge
439 scientists and decision-makers to collaborate more widely in identifying where decision biases
440 might lie and how institutional arrangements can be optimised to minimise them (Redpath et al.,
441 2017; Treves et al., 2017; Hartel et al., 2019). Such approaches may not only be beneficial for
442 species whose populations are harvested to minimise conflict with human activities, but also for
443 those species that are trophy hunted, an activity for which lack of transparency in decision-making
444 has contributed towards fuelling a debate over its value and legitimacy (Treves et al., 2019).

445 In summary, our work provides a predictive framework to evaluate participatory decision-
446 making processes in wildlife management (Travers et al., 2019). Key to this is the collection of both
447 long-term ecological and quota decision data, which together enable the parametrisation and
448 integration of population and decision-making models. Not only can this approach reveal the
449 mechanisms underlying quota harvest decision processes, but it can also be used to generate more
450 realistic predictions of wildlife population dynamics that account for biased human decisions. Such
451 knowledge is key to ensuring wildlife population targets are met in the presence of competing
452 stakeholder interests.

453

454 **Author Contributions**

455 JJC carried out the modelling and wrote the manuscript; MFI collected the quota decision data;
456 EBN, HA, JDCL and JO developed the population model; MG and NB assisted with data analysis;
457 all authors contributed towards study conceptualisation and manuscript revision.

458

459 **Acknowledgments**

460 The data on which this analysis has been conducted have accumulated over many years with
461 funding by the Norwegian Environment Agency, the Research Council of Norway (projects 134242,
462 165814, 183176, 212919, 251112), the county administrations of Troms & Finnmark, Nordland,
463 Trøndelag, Viken, Innlandet, Vestfold & Telemark counties, as well as the Reindeer Development
464 Fund. This study also received funding from the European Research Council under the European
465 Union's H2020/ERC grant agreement no. 679651 (ConFooBio) to NB.

466

467 **Data availability statement**

468 The data and R code associated with this study are available from
469 https://osf.io/fz2cv/?view_only=c916cebf2c354b0797d21ffb0ba0ad34.

470 **References**

- 471 Andrén, H., Thompson Hobbs, N., Aronsson, M., Brøseth, H., Chapron, G., Linnell, J. D. C., Odden,
472 J., Persson, J., & Nilsen, E. B. (2020). Harvest models of small populations of a large
473 carnivore using Bayesian forecasting. *Ecological Applications*, 30, e02063.
- 474 Artelle, K. A., Reynolds, J. D., Treves, A., Walsh, J. C., Paquet, P. C., & Darimont, C. T. (2018).
475 Hallmarks of science missing from North American wildlife management. *Science*
476 *Advances*, 4(3), eaao0167.
- 477 Bates, D., Maechler, M., Bolker, B., & Walker, S. (2015). Fitting Linear Mixed-Effects Models
478 Using lme4. *Journal of Statistical Software*, 67, 1–48.
- 479 Bischof, R., Nilsen, E. B., Brøseth, H., Männil, P., Ozolinš, J., & Linnell, J. D. C. (2012).
480 Implementation uncertainty when using recreational hunting to manage carnivores. *Journal*
481 *of Applied Ecology*, 49, 824–832.

482 Brooks, M. E., Kristensen, K., van Benthem, K. J., Magnusson, A., Berg, C. W., Nielsen, A.,
483 Skaug, H. J., Machler, M., & Bolker, B. (2017). glmmTMB Balances Speed and Flexibility
484 Among Packages for Zero-inflated Generalized Linear Mixed Modeling. *The R Journal* 9,
485 378–400.

486 Buckland, S. T., Newman, K. B., Thomas, L., & Koesters, N. B. (2004). State-space models for the
487 dynamics of wild animal populations. *Ecological Modelling*, 171, 157–175.

488 Bulte, E. H. (2001). Minimum viable populations and sluggish management. *Environmental*
489 *Conservation*, 28, 191–193.

490 Chapron, G., Kaczensky, P., Linnell, J. D. C., von Arx, M., Huber, D., Andrén, H., López-Bao, J. V.,
491 Adamec, M., Álvares, F., Anders, O., Balčiauskas, L., Balys, V., Bedö, P., Bego, F., Blanco,
492 J. C., Breitenmoser, U., Brøseth, H., Bufka, L., Bunikyte, R., Ciucci, P. et al. (2014).
493 Recovery of large carnivores in Europe's modern human-dominated
494 landscapes. *Science*, 346, 1517–1519.

495 Curveira-Santos, G., Sutherland, C., Santos-Reis, M., & Swanepoel, L. H. (2020). Responses of
496 carnivore assemblages to decentralized conservation approaches in a South African
497 landscape. *Journal of Applied Ecology* <https://doi.org/10.1111/1365-2664.13726>

498 Cusack, J. J., Duthie, A. B., Minderman, J., Jones, I. L., Pozo, R. A., Rakotonarivo, O. S., Redpath,
499 S., & Bunnefeld, N. (2020). Integrating conflict, lobbying, and compliance to predict the
500 sustainability of natural resource use. *Ecology and Society*, 25(2).

501 Darimont, C. T., Paquet, P. C., Treves, A., Artelle, K. A., Chapron, G. (2018). Political populations
502 of large carnivores. *Conservation Biology*, 32, 747–749.

503 Di Minin, E., Brooks, T. M., Toivonen, T., Butchart, S. H. M., Heikinheimo, V., Watson, J. E. M.,
504 Burgess, N. D., Challender, D. W. S., Goettsch, B., Jenkins, R., & Moilanen, A. (2019).
505 Identifying global centers of unsustainable commercial harvesting of species. *Science*
506 *Advances*, 5, eaau2879.

507 Díaz, S., Settele, J., Brondízio, E. S., Ngo, H. T., Agard, J., Arneith, A., Balvanera, P., Brauman, K.
508 A., Butchart, S. H. M., Chan, K. M. A., Garibaldi, L. A., Ichii, K., Liu, J., Subramanian, S.
509 M., Midgley, G. F., Miloslavich, P., Molnár, Z., Obura, D., Pfaff, A., Polasky, S. (2019).

510 Pervasive human-driven decline of life on Earth points to the need for transformative
511 change. *Science*, 366(6471), eaax3100.

512 Esseen, P. A., Ehnström, B., Ericson, L., & Sjöberg, K. (1997). Boreal forests. *Ecological Bulletin*,
513 16–47.

514 Fischer, A., Kereži, V., Arroyo, B., Mateos-Delibes, M., Tadie, D., Lowassa, A., Krange, O., &
515 Skogen, K. (2013). (De) legitimising hunting—Discourses over the morality of hunting in
516 Europe and eastern Africa. *Land Use Policy*, 32, 261–270.

517 Fryxell, J. M., Packer, C., McCann, K., Solberg, E. J., & Sæther, B. E. (2010). Resource
518 management cycles and the sustainability of harvested wildlife populations. *Science*, 328,
519 903–906.

520 Fuller, A. K., Decker, D. J., Schiavone, M. V., & Forstchen, A. B. (2020). Ratcheting up Rigor in
521 Wildlife Management Decision Making. *Wildlife Society Bulletin*, 44, 29–41.

522 Hansson-Forman, K., Reimerson, E., Sjölander-Lindqvist, A., & Sandström, C. (2018). Governing
523 large carnivores—Comparative insights from three different countries. *Society & Natural
524 Resources*, 31, 837–852.

525 Hartel, T., Scheele, B. C., Vanak, A. T., Rozyłowicz, L., Linnell, J. D. C., & Ritchie, E. G. (2019).
526 Mainstreaming human and large carnivore coexistence through institutional
527 collaboration. *Conservation Biology*, 33, 1256–1265.

528 Jacobsen, K. S., & Linnell, J. D. (2016). Perceptions of environmental justice and the conflict
529 surrounding large carnivore management in Norway—Implications for conflict
530 management. *Biological Conservation*, 203, 197–206.

531 Karanth, K. K., & DeFries, R. (2010). Conservation and management in human-dominated
532 landscapes: case studies from India. *Biological Conservation*, 143, 2865–2964.

533 Linnell, J. D., Brøseth, H., Odden, J., & Nilsen, E. B. (2010). Sustainably harvesting a large
534 carnivore? Development of Eurasian lynx populations in Norway during 160 years of
535 shifting policy. *Environmental Management*, 45, 1142–1154.

536 Lute, M. L., Bump, A., & Gore, M. L. (2014). Identity-driven differences in stakeholder concerns
537 about hunting wolves. *PLoS One*, 9, e114460.

538 Lute, M. L., Carter, N. H., López-Bao, J. V., & Linnell, J. D. (2020). Conservation professionals'
539 views on governing for coexistence with large carnivores. *Biology Conservation*, *248*,
540 108668.

541 Mattisson, J., Odden, J., Nilsen, E. B., Linnell, J. D., Persson, J., & Andrén, H. (2011). Factors
542 affecting Eurasian lynx kill rates on semi-domestic reindeer in northern Scandinavia: Can
543 ecological research contribute to the development of a fair compensation
544 system? *Biological Conservation*, *144*, 3009–3017.

545 Mitchel, M. S., Cooley, H., Gude, J. A., Kolbe, J., Nowak, J. J., Proffitt, K., Sells, S. N., & Thompson,
546 M. (2018). Distinguishing values from science in decision making: Setting harvest quotas
547 for mountain lions in Montana. *Wildlife Society Bulletin*, *42*, 13–21.

548 Nilsen, E. B., Brøseth, H., Odden, J., & Linnell, J. D. (2012). Quota hunting of Eurasian lynx in
549 Norway: patterns of hunter selection, hunter efficiency and monitoring accuracy. *European*
550 *Journal of Wildlife Research*, *58*, 325–333.

551 Nilsen, E. B., Brøseth, H., Odden, J., Andrén, H., & Linnell, J. D. (2011). Prognosemodell for
552 bestanden av gaupe i Norge. *NINA Report 774* <http://hdl.handle.net/11250/2375639>

553 Odden, J., Herfindal, I., Linnell, J. D., & Andersen, R. (2008). Vulnerability of domestic sheep to
554 lynx depredation in relation to roe deer density. *Journal of Wildlife Management*, *72*, 276–
555 282.

556 Odden, J., Linnell, J. D., & Andersen, R. (2006). Diet of Eurasian lynx, *Lynx lynx*, in the boreal forest
557 of southeastern Norway: the relative importance of livestock and hares at low roe deer
558 density. *European Journal of Wildlife Research*, *52*, 237–244.

559 Orach, K., Duit, A., & Schlüter, M. (2020). Sustainable natural resource governance under interest
560 group competition in policy-making. *Nature Human Behaviour*, 1–12.

561 Pellikka, J., & Sandström, C. (2011). The role of large carnivore committees in legitimising large
562 carnivore management in Finland and Sweden. *Environmental Management*, *48*, 212.

563 Polasky, S., Carpenter, S. R., Folke, C., & Keeler, B. (2011). Decision-making under great
564 uncertainty: environmental management in an era of global change. *Trends in Ecology &*
565 *Evolution*, *26*, 398–404.

566 Raithel, J. D., Reynolds-Hogland, M. J., Koons, D. N., Carr, P. C., Aubry, L. M. (2017). Recreational
567 harvest and incident-response management reduce human–carnivore conflicts in an
568 anthropogenic landscape. *Journal of Applied Ecology*, *54*, 1552–1562.

569 Redpath, S. M., Linnell, J. D. C., Festa-Bianchet, M., Boitani, L., Bunnefeld, N., Dickman, A.,
570 Gutiérrez, R. J., Irvine, R. J., Johansson, M., Majić, A., McMahon, B. J., Pooley, S.,
571 Sandström, C., Sjölander-Lindqvist, Skogen, K., Swenson, J. E., Trouwborst, A., Young,
572 J., & Milner-Gulland, E. J. (2017). Don't forget to look down—collaborative approaches to
573 predator conservation. *Biological Reviews*, *92*, 2157–2163.

574 Redpath, S. M., Young, J., Evely, A., Admas, W. M., Sutherland, W. J., Whitehouse, A., Amar, A.,
575 Lambert, R. A., Linnell, J. D. C., Watt, A., & Gutiérrez, R. J. (2013). Understanding and
576 managing conservation conflicts. *Trends in Ecology & Evolution*, *28*, 100–109.

577 Risvoll, C., Fedreheim, G. E., & Galafassi, D. (2016). Trade-offs in pastoral governance in Norway:
578 Challenges for biodiversity and adaptation. *Pastoralism*, *6*, 4.

579 Sandström, A., & Lundmark, C. (2016). Network structure and perceived legitimacy in collaborative
580 wildlife management. *Review of Policy Research*, *33*, 442–462.

581 Sandström, C., Pellikka, J., Ratamäki, O., & Sande, A. (2009). Management of large carnivores in
582 Fennoscandia: new patterns of regional participation. *Human Dimensions of Wildlife*, *14*,
583 37–50.

584 Sandström, C., Sjölander-Lindqvist, A., Pellikka, J., Hiedanpää, J., Kränge, O., & Skogen, K.
585 (2018). Between politics and management: Governing large carnivores in Fennoscandia.
586 In T. Hovardas (Ed.), *Large Carnivore Conservation and Management* (pp. 269–290).
587 Routledge.

588 Sjölander-Lindqvist, A., Risvoll, C., Kaarhus, R., Lundberg, A. K., & Sandström, C. (2020).
589 Knowledge claims and struggles in decentralized large carnivore governance: insights from
590 Norway and Sweden. *Frontiers in Ecology & Evolution*, *8*, 120.

591 Swenson, J. E., & Andrén, H. (2005). A tale of two countries: large carnivore depredation and
592 compensation schemes in Sweden and Norway. In R. Woodroffe, S. Thirgood, A.

593 Rabinowitz (Eds.) *People and Wildlife, Conflict or Co-existence?* (pp. 323–339).
594 Cambridge University Press.

595 Travers, H., Selinske, M., Nuno, A., Serban, A., Mancini, F., Barychka, T., Bush, E., Rasolofoson,
596 R. A., Watson, J. E. M., & Milner-Gulland, E. J. (2019). A manifesto for predictive
597 conservation. *Biological Conservation*, 237, 12–18.

598 Treves, A., Chapron, G., López-Bao, J. V., Shoemaker, C., Goeckner, A. R., & Bruskotter, J. T.
599 (2017). Predators and the public trust. *Biological Reviews*, 92, 248–270 (2017).

600 Treves, A., Santiago-Ávila, F. J., Popescu, V. D., Paquet, P. C., Lynn, W. S., Darimont, C. T., &
601 Artelle, K. A. (2019). Trophy hunting: Insufficient evidence. *Science*, 366, 435–435.

602 Treves, A., Wallace, R. B., & White, S. (2009). Participatory planning of interventions to mitigate
603 human–wildlife conflicts. *Conservation Biology*, 23, 1577–1587.

604 Tveraa, T., Stien, A., Brøseth, H., & Yoccoz, N. (2014). The role of predation and food limitation on
605 claims for compensation, reindeer demography and population dynamics. *Journal of*
606 *Applied Ecology*, 51, 1264–1272.

607 van Eeden, L. M., Crowther, M. S., Dickman, C. R., Macdonald, D. W., Ripple, W. J., Ritchie, E. G.,
608 & Newsome, T. M. (2018). Managing conflict between large carnivores and
609 livestock. *Conservation Biology*, 32, 26–34.