

1 **Understanding plant microbiomes requires a G x E framework**

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11 microbiota

12 Plant microbiomes have become one of the hottest topics in plant biology. Driven by the  
13 increased availability of metagenome sequencing methods, analyses of plant-associated  
14 microbiomes have been skyrocketing during the last decade. They have generally taken one of  
15 two main perspectives: (1) a focus on the microbiome itself, where researchers describe  
16 microbiome diversity and attempt to understand its drivers (Fig. 1A), or (2) a focus on the  
17 consequences of microbiomes, where researchers analyse effects of microbiomes on plants (Fig.  
18 1B). Below, we briefly discuss these two perspectives, and we argue that for both a genotype-by-  
19 environment (G x E) framework will be key for achieving a deeper and more general  
20 understanding of plant microbiomes.

21

## 22 **Two perspectives in plant microbiome research**

23 Studies with a microbiome focus typically describe the diversity and composition of the root or  
24 leaf microbiomes of plants, and they often test influences of plant characteristics or  
25 environmental conditions on the microbiomes (Fig. 1A). For instance, previous studies show that  
26 microbiome composition varies within and among plant species, with significant influences of  
27 plant genotype (Agler et al., 2016; Wagner et al., 2016; Bowen et al., 2017; Bergelson et al.,  
28 2019) and phylogeny (Fitzpatrick et al., 2018), and that plant tissue but also plant age and  
29 developmental stage (Chaparro et al., 2014; Wagner et al., 2016) influence plant microbiomes. In  
30 addition to plant characteristics, environmental conditions also play a role in microbiome  
31 development. For instance, soil conditions and root exudates strongly influence root  
32 microbiomes (Fitzpatrick et al., 2018; Hu et al., 2018; Sasse et al., 2018), and leaf microbiomes  
33 vary predictably among different habitats (Agler et al., 2016; Wagner et al., 2016).

34 Microbiome studies with a plant focus, in contrast, are interested in how the microbiome of

35 a plant affects its growth or environmental tolerances. They test - through experiments or  
36 association patterns - how plant performance is influenced by the presence or composition of  
37 microbiota, sometimes also taking plant or environmental characteristics into account (Fig. 1B).  
38 Studies with individual microbe taxa have demonstrated that these can promote growth and  
39 stress tolerance of plants (Rodriguez et al., 2008; Lugtenberg and Kamilova, 2009) and influence  
40 pathogen and herbivore resistance (Pieterse et al., 2014; Hu et al., 2018). More recent studies  
41 with complex microbiomes have confirmed these effects: inoculation with diverse microbiota  
42 altered plant growth and physiology (Fitzpatrick et al., 2019; Belimov et al., 2020), phenology  
43 (Panke-Buisse et al., 2015) and pathogen resistance (Berendsen et al., 2018; Berg and Koskella,  
44 2018), sometimes in a genotype- or environment-dependent fashion (Berg and Koskella, 2018;  
45 Belimov et al., 2020).

46 In summary, recent research has demonstrated the ubiquity and importance of plant  
47 microbiomes, but it has also shown that microbiomes are complex, and influenced by a range of  
48 plant and environmental factors. Another challenge is that drivers of microbiome variation often  
49 interact. For instance, Wagner et al. (2016) carried out a multi-site field experiment with  
50 different genotypes of *Boechera stricta* and found that genotype- and age-effects on bacterial  
51 microbiomes were often site-specific. In an experiment with natural ecotypes of *Arabidopsis*  
52 *thaliana*, Fitzpatrick et al. (2019) found that the effects of a natural soil microbiome on plant  
53 fitness depended on the plant genotype but also the ecological conditions under which they were  
54 tested.

55 In spite of the many and often interacting drivers of microbiome diversity and microbiome  
56 effects, the vast majority of previous studies focused on only one or few drivers, and there have  
57 been very few solid multifactorial studies to date that allowed to test for interactions between

58 different factors. As a result, the generality of many previous results remains uncertain, and we  
59 are still far from understanding natural plant microbiomes. A significant step forward could  
60 therefore be to embrace an important conceptual framework from evolutionary ecology: that of  
61 genotype-by-environment interactions.

62

### 63 **Adopting a G x E framework**

64 Genotype-by-environment (G x E) interactions are statistical interactions between the effects of  
65 genotypes and environment on phenotypes, i.e. when phenotypic differences among genotypes  
66 depend on the environment in which they are tested, or *vice versa* phenotypic responses to  
67 environment depend on the genotype. The G x E concept has long been central to plant  
68 evolutionary ecology (Sultan, 2000), and a large body of research has often found strong G x E  
69 interactions in many plant species - to the extent that genotype effects may be strong in some but  
70 completely absent in other environments, and phenotypic responses to environment are  
71 sometimes opposite for different genotypes. Similar results in animal research confirmed that G  
72 x E interactions are the rule in natural populations, and that one therefore needs to be cautious  
73 with generalisation from single-factor studies.

74 The classic experimental approach to testing G x E interactions is a common garden  
75 experiment where multiple genotypes are replicated across different environments in a multi-  
76 factorial design, so that the generality of both genotype and environment effects, as well as their  
77 interactions, can be statistically tested. The results of such experiments are often visualized  
78 through reaction norm plots that show genotype-specific responses to environment, or other  
79 relevant interactions (Fig. 1C,D). We can easily apply these concepts and experimental  
80 approaches to a multi-factorial study of plant microbiomes, both for the microbiome and the

81 plant perspective.

82         Microbiome-focused studies with a G x E character will essentially treat the microbiome as  
83 an ‘extended phenotype’ of the plant that is subject to the same complex influences as other plant  
84 phenotypes. Such studies will e.g. test the influence of plant genotype on plant microbiomes  
85 under different environmental conditions, or *vice versa* environmental effects on plant  
86 microbiomes across multiple plant genotypes (Fig. 1C). For instance, field experiments can  
87 transplant multiple plant genotypes into different habitats and test the interactive effects of  
88 genotype and habitat on spontaneous microbiome development (Wagner et al., 2016). Lab  
89 experiments can inoculate different plant genotypes with identical microbial communities and  
90 follow their dynamics under different growth conditions. With a generous interpretation of the G  
91 x E concept, these studies may also include plant factors other than genotype, such as plant  
92 tissue, plant age, or even plant species. More complex studies may include several environmental  
93 factors and/or additional microbial drivers of microbiome composition (Fig. 1A).

94         Plant-focused studies with a G x E framework generally test microbiome effects on plant  
95 performance or stress tolerance not only for one narrow type of experimental set-up but across a  
96 range of different environments and/or multiple plant genotypes (Fig. 1B). For instance, lab  
97 experiments can study the beneficial or pathogenic effects of different microbial inoculates under  
98 several, controlled levels of resource availability or abiotic stress (Fitzpatrick et al., 2019). For  
99 more realistic tests, field experiments can plant seedlings inoculated with different microbial  
100 communities into a range of natural habitats. Depending on one’s perspective and strategy of  
101 data analysis, these approaches will examine how microbiome effects on plants are modulated by  
102 environmental influences or – an equally important perspective – how plant responses to the  
103 environment (phenotypic plasticity; environmental tolerances) are modulated by microbes (Fig.

104 1D). Finally, the ‘environment’ component in such experiments may also include additional  
105 biotic factors, such as competitors (Fitzpatrick et al., 2019), herbivores or other (background)  
106 microbiota, which will allow for testing microbe-microbe interactions or other complex biotic  
107 interactions.

108

## 109 **Conclusions**

110 The study of plant microbiomes is an important research frontier in current plant biology, with  
111 many open questions, particularly from an ecological-evolutionary (Koskella et al., 2017;  
112 Fitzpatrick et al., 2020) and agricultural perspective (Toju et al., 2018). Irrespective of whether  
113 their focus is on the plant or the microbiome, plant microbiome studies are challenged by the  
114 complexity of their subject. Plant microbiome studies that are too simple may therefore overlook  
115 important interactions between different factors, and they run the risk of overestimating or  
116 overgeneralizing their results. A more thorough understanding of plant microbiomes will require  
117 not only working with a broader range of plant genotypes and non-model species, but also to  
118 take a G x E perspective and explicitly test the generality of plant-microbiome interactions across  
119 multiple, and interacting, drivers.

120

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125

## 126 **Author contributions**

127 All authors jointly developed the ideas in this essay and contributed to writing the manuscript.

128

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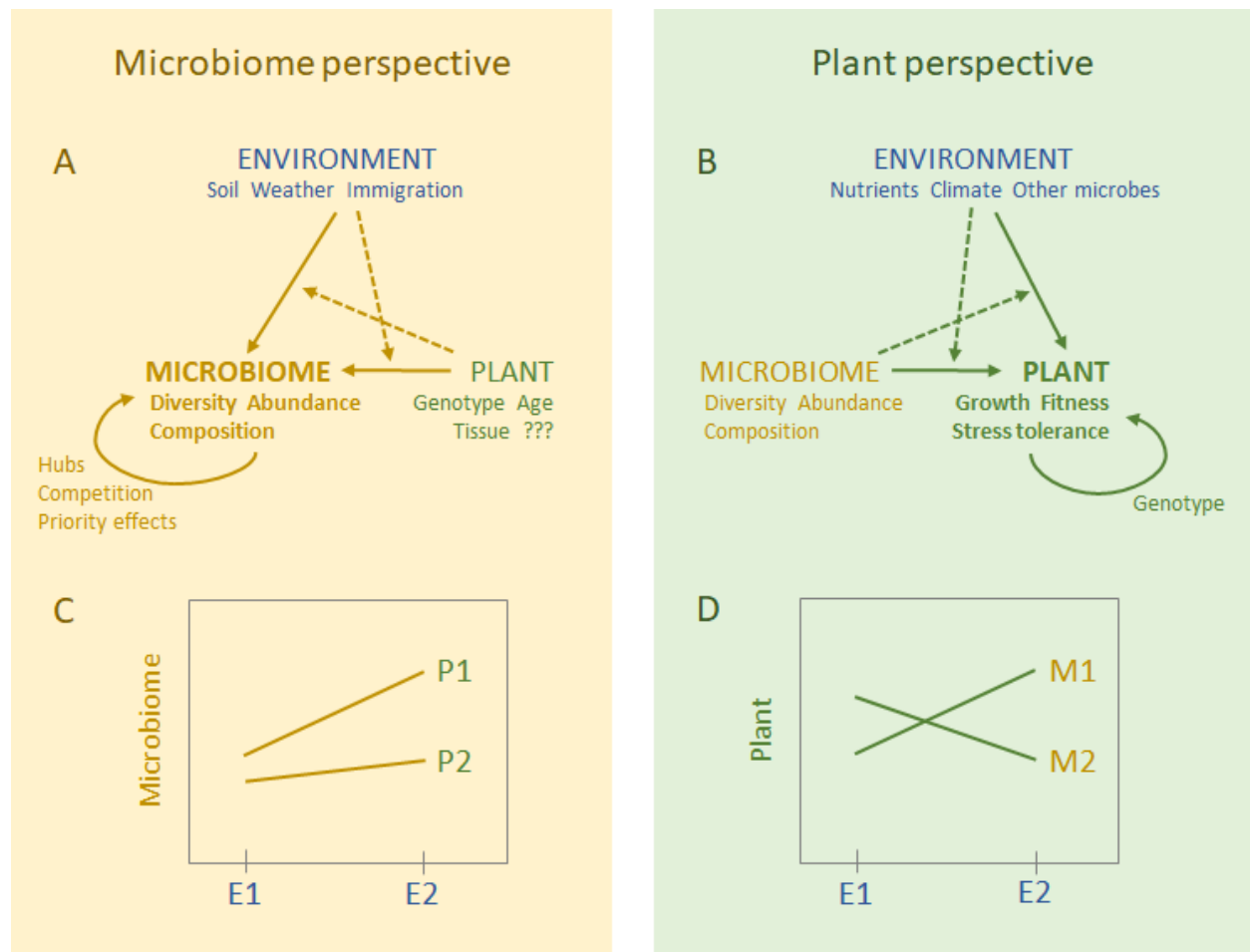
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185 **Figure 1.** Two main perspectives in plant microbiome research, and reaction norm plots  
186 illustrating possible G x E interactions tested in each. With a microbiome perspective,  
187 researchers usually study community-level characteristics of the microbiome, and test effects of  
188 plant genotype or other plant characteristics (P1/P2) and environmental conditions (E1/E2). With  
189 a plant perspective, the dependent variables are measures of plant performance, and experiments  
190 test influences of microbiomes (M1/M2), environmental conditions, and their interactions. The  
191 dashed lines in the upper graphs indicate indirect effects where some drivers of plant or  
192 microbiome variation alter plant or microbiome responses to others. Note that while the bottom  
193 graphs display only categorical variables, the G x E framework can be equally applied to  
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