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**Day and night camera trap video is effective for identifying wild Asian elephants**

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27 **Abstract**

28 Camera traps provide a virtual window into the natural world of wild animals, as they provide a  
29 noninvasive way to capture anatomical and behavioral information. Regular monitoring of wild  
30 populations through the collection of behavioral and demographic data is critical for the conservation  
31 of endangered species like the Asian elephant (*Elephas maximus*). Identifying individual elephants  
32 can contribute to our understanding of social dynamics and foraging behavior in this species. Wild  
33 elephants can be distinguished using a variety of different morphological traits: variations in ear and  
34 tail morphology, body scars and tumors, and tusk presence, shape, and length. However, to our  
35 knowledge, there is little explanation in the literature about how remote camera trapping can be used  
36 to systematically identify elephants. Thus, this study set out to provide a template for how to provide  
37 this information using physical characteristics identified from day and night video footage collected  
38 remotely in the Salakpra Wildlife Sanctuary in Thailand between February 2019 and January 2020.  
39 We identified 24 morphological characteristics that can be used to identify individual Asian  
40 elephants. Using 33 camera traps spread across the protected area within the sanctuary as well as crop  
41 fields along its periphery, 107 Asian elephants were identified, for the first time in Thailand, using  
42 475 total day and night videos. In the identified population, there were 72 adults, 11 sub-adults, 20  
43 juveniles, and 4 infants. We predicted that the morphological traits would aid in reliably identifying  
44 these individuals with a low probability of misidentification. The results indicated that there were  
45 low probabilities of misidentification between elephants in the population using camera traps, and  
46 that the elephants in this study were reliably identified. These low probabilities of misidentification  
47 are comparable to a previous study using photographic data that were collected through direct  
48 observations of wild Asian elephants. This study suggests that the use of day and night video camera  
49 trapping can be an important tool for the long-term monitoring of wild Asian elephant behavior,  
50 especially in habitats where direct observations may be difficult. This work has important  
51 implications for the study of wildlife behavior using remote methods, as well as for endangered  
52 species conservation.

53 **Keywords:** *Elephas maximus*<sup>1</sup>, camera traps<sup>2</sup>, remote sensing<sup>3</sup>, elephant behavior<sup>4</sup>, human-  
54 elephant conflict<sup>5</sup>.

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### 62 1 Introduction

63 In the past several decades, camera trapping (using remote motion-activated cameras to  
64 collect photos and videos) has been a popular technique to study elusive and rare species with direct  
65 implications for conservation (Griffiths & van Schaik, 1993a; Foster & Harmsen, 2011; Mohd-  
66 Azalan & Lading, 2006). Camera traps are beneficial because they are able to capture image  
67 snapshots and video recordings while being minimally invasive and without the need for a human  
68 operator (Griffiths & van Schaik, 1993a; Swinnen et al., 2014). These remote video recordings are  
69 essential because they can capture animal movement, activity patterns, and behaviors (Swinnen et al.,  
70 2014; Caravaggi et al, 2017; Hegglin et al., 2004; Stevens & Serfass, 2005; MacCarthy et al, 2006)  
71 that may not otherwise be observable due to the species living in dense habitats (Griffiths & van  
72 Schaik, 1993a; Foster & Harmsen, 2011). The use of remote camera traps is a great option for  
73 observing wild Asian elephants (*Elephas maximus*) that may otherwise be impossible to observe  
74 within a dense forested habitat. The camera trap as a remote data collection tool enables us to better  
75 understand relationships between individuals and to assess environmental factors that may impact  
76 animal behavior (Caravaggi et al., 2017; Sanderson & Trolle, 2005; Mohd-Azalan & Lading, 2006;  
77 Tobler et al., 2008).

78 Camera trap technology has improved to a level where high-quality videos can be recorded  
79 remotely that capture significant information about animal movement and activity patterns over long  
80 periods of time (Hegglin et al., 2004; Stevens & Serfass, 2005; MacCarthy et al, 2006). While  
81 camera traps can be very useful at the population-level for documenting the occurrence of particular  
82 species (Silveira et al., 2003; Trolle, 2003), and to quantify activity patterns (van Schaik & Griffiths,  
83 1996; Gómez et al., 2005), in order to use them to study behavior across landscapes or assess  
84 individual variation in risk-taking behavior in human-wildlife conflict, a method of tracking and  
85 identifying individual animals is crucial. This involves determining what key features and  
86 characteristics make an individual unique when comparing it to others within a population. The

87 present study uses existing animal identification methodologies to identify physical and behavioral  
88 characteristics that are unique to individual elephants and to use this information to create an  
89 identification database for one landscape in Thailand. Previous studies on the identification of  
90 individual animals using camera-trap photography have mainly focused on spotted and striped  
91 carnivores with naturally-occurring markings (Karanth, 1995; Karanth & Nichols, 1998; Kelly,  
92 2003). These markings are a well-recognized tool in the field for identifying animals due to  
93 individual variation in physically unique features like stripes, or unusual markings like scars  
94 (Pennycuick, 1978; Lehner, 1996; Harrison, 2016). However, looking at natural markings in animals  
95 that do not have distinct coat patterns is more labor intensive and problematic in terms of reliability  
96 (Goswami et al., 2012). For species without coat patterns, researchers have looked at a combination  
97 of characteristics such as skin folds, the presence of scars, ear nicks, horn length and shape, tubercles  
98 on the rump, and tail length (Laurie, 1978; Morgan-Davies, 1996).

99       Elephants, for example, are typically distinguished using a variety of different morphological  
100 features such as variations in their ear and tail morphology, body scars and tumors, spine shape, cuts  
101 and bumps, and tusk shape and size when present (Douglas-Hamilton & Douglas-Hamilton, 1975;  
102 Sukumar, 1989; Moss, 1996; Goswami et al., 2007; Fernando et al., 2011; Goswami et al., 2012; de  
103 Silva et al., 2013; Vidya et al., 2014). Goswami and colleagues (2007) identified male Asian  
104 elephants in India using a system of identifiable characteristics. For this first study in a male elephant  
105 population, the authors found that they could use a combination of 16 different traits to reliably  
106 identify individual elephants (Goswami et al., 2007). Goswami and colleagues (2012) later assessed  
107 different groupings of these traits to determine that “fixed morphological traits” (those which were  
108 unlikely to change over the course of a few years) were the most reliable for identification and in  
109 estimating population size. In another study, Vidya and colleagues (2014) used a combination of 22  
110 traits to identify 223 individual elephants, including females. Some of the traits they highlighted were  
111 ear top fold, nicks and tears, tusk traits and warts/wounds in males, and tail traits in females. This

112 study demonstrated the success of identifying individuals of both sexes through a combination of  
113 physical traits alone and that these traits could be used for capture-recapture methods over time  
114 (Vidya et al., 2014). Capture-recapture uses a primary and secondary sampling period at different  
115 points in time to estimate a local population's size and demographics (Karanth & Nichols, 1998;  
116 Foster & Harmsen, 2012; Chaiyarat et al., 2015). This previous work to identify individual  
117 elephants, however, used photographs taken at multiple angles from research vehicles, and thus  
118 whether remote-sensing photography or videography can be as useful for similar identification of  
119 elephants is not yet known.

120         The use of camera traps can contribute positively to our growing knowledge about human-  
121 elephant conflict (HEC), an increasing threat to the conservation of Asian elephants. HEC occurs as  
122 available habitat for elephants becomes more fragmented, bringing elephants into closer proximity to  
123 human development. One frequent result is conflict caused by elephants foraging on high-quality  
124 foods grown in agricultural areas, or “crop raiding” (Menon & Tiwari, 2019). Research that identifies  
125 the individuals that frequently enter crop fields and how they behave in human-dominated landscapes  
126 could inform HEC mitigation and elephant conservation in the future (Mumby & Plotnik, 2018). The  
127 first step towards this goal is to identify individuals within a population to then understand their  
128 behavior and movement through the landscape. The current study focuses on identifying individual  
129 Asian elephants within and around the Salakpra Wildlife Sanctuary in Kanchanaburi, Thailand. This  
130 sanctuary is just one part of a larger protected area called the Western Forest Complex (WEFCOM).  
131 Salakpra is an integral part of this large complex as it supports about 17.5% of WEFCOM's elephant  
132 population (Mitchell et al., 2013). Previous research has estimated the population in Salakpra to be  
133 between 180-200 using camera trap photos (Chaiyarat et al., 2015) and genetic analysis (Siripunkaw  
134 and Kongrit, 2005), but the population is now estimated to range from 250-300 (DNP, 2017). As one  
135 of the primary breeding populations in Thailand (Mitchell et al., 2013), it is important to identify  
136 individuals within the sanctuary to better understand the demographic composition of the population

137 and as an initial step in beginning to study individual variation in behavior and between-group social  
138 dynamics. The area surrounding Salakpra also experiences frequent and intense crop raiding (van de  
139 Water & Matteson, 2018), thus it is also important to identify how and whether elephants move  
140 between the sanctuary and the bordering villages.

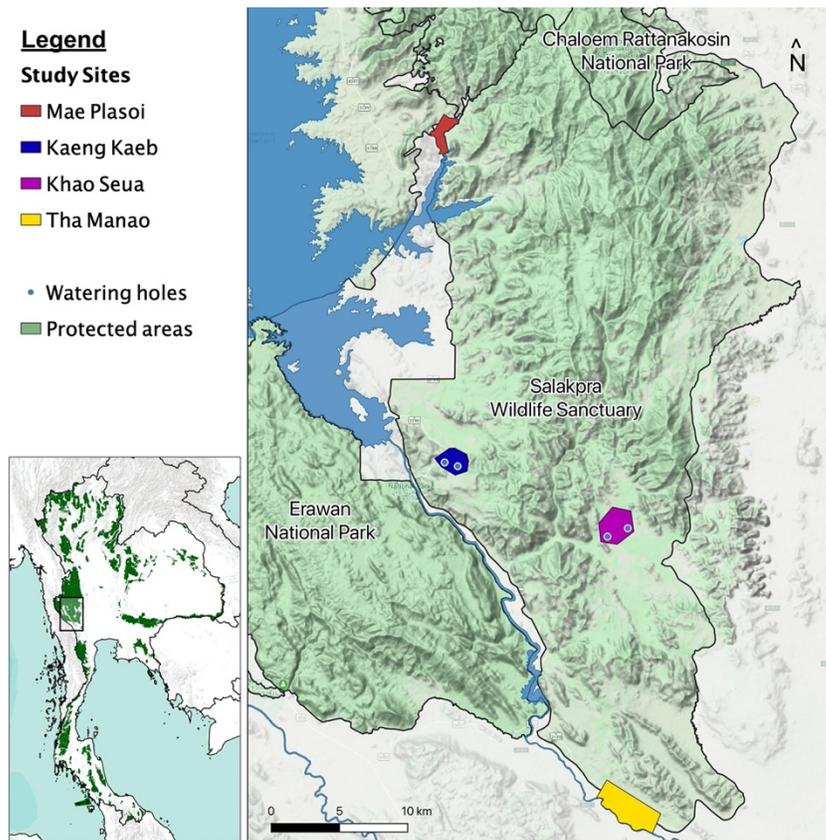
141 Our primary objective in this study was to determine the effectiveness of camera trapping to  
142 identify individual Asian elephants in the Salakpra Wildlife Sanctuary, in Kanchanaburi, Thailand. In  
143 addition to building on previous studies that have used a variety of methodologies to identify  
144 elephants from photographs, our research team's interest in collecting behavioral data on wild  
145 elephants presented a unique opportunity to assess the effectiveness of the video function on camera  
146 traps to identify individual animals. In the present study, we used a list of 24 physical characteristics  
147 adapted from Goswami et al. (2007), Vidya et al. (2014), and de Silva et al. (2013) to test whether  
148 remote camera trap footage collected during the day and at night can be used to identify Asian  
149 elephants across a diverse landscape. This identification methodology is an important step towards  
150 understanding wild Asian elephant behavior at both individual and group levels by using efficient  
151 and non-invasive camera trapping technology. Identifying individual elephants can assist in better  
152 understanding social demographics in herds (Vidya & Sukumar, 2005; de Silva et al., 2011),  
153 facilitating behavioral observations to understand foraging behavior (Clapham et al., 2012), and  
154 contributing to our overall understanding of the individual elephant's impact on human-elephant  
155 conflict (Mumby & Plotnik, 2018).

## 156 **2 Methods**

### 157 **2.1 Study Area**

158 We began studying elephant behavior in January, 2019 in the Salakpra Wildlife Sanctuary, a  
159 protected area in Kanchanaburi, Thailand, in collaboration with the Thai Department of National  
160 Parks, Wildlife and Plant Conservation (DNP), which manages it. Salakpra is approximately 970 km<sup>2</sup>  
161 and is located within the 18,000 km<sup>2</sup> Western Forest Complex (WEFCOM - Mitchell et al., 2013). It

162 is a unique protected area in that it is completely closed to tourists and permission is required to enter  
 163 the Sanctuary. Data were collected from four different locations: Kaeng Kaeb (KK) and Khao Seua  
 164 (KS) located within the protected area, and Tha Manao (TMN) and Mae Plasoi (MPS) located along  
 165 the periphery of the protected area near crop fields (Figure 1). KK and KS are identified as ranger  
 166 stations within the protected area of Salakpra where, except for park ranger patrols, human activity is  
 167 at a minimum. TMN and MPS are villages (specifically, crop fields along the Sanctuary's outside  
 168 border) where chances of human-elephant interactions are high. The Sanctuary contains areas of  
 169 mixed deciduous forests (60%), dry dipterocarp forest (30%), and disturbed land (10%) (Chaiyarat et  
 170 al., 2015). Crop fields mainly consist of corn, pumpkin, sugar cane and cassava.



171  
 172 **Figure 1.** Map of the study areas inside the Salakpra Wildlife Sanctuary.

173 **2.2 Permission**

174 This study was approved by the Hunter College Institutional Animal Care and Use Committee (JP-  
 175 Elephant Behavior 5/21), and permission was granted to collect data in Salakpra Wildlife Sanctuary

176 by the National Research Council of Thailand and the Thai Department of National Parks, Wildlife  
177 and Plant Conservation.

### 178 **2.3 Camera Traps**

179         The videos analyzed in this study were recorded between February, 2019 and January, 2020.  
180 There were a total of 34 Browning Spec Ops Advantage remote-sensing cameras set-up throughout  
181 the four sites: eight in KK, 11 in KS, six in TMN, and eight in MPS. One camera went missing from  
182 the MPS area in September, 2019 resulting in a final total of 33 camera traps. In the protected area,  
183 cameras were installed around watering holes and salt licks; on the periphery of the protected area,  
184 they were installed around crop fields and on pathways frequented by elephants. Camera traps were  
185 motion activated and set with a fast trigger (0.4 seconds) to capture 20-second high resolution video  
186 (30 frames/second) from up to ~25 m away when triggered. Videos were taken using natural light  
187 during the day and built-in infrared light at night. The cameras recorded the time, date and  
188 temperature during each recorded clip, which was automatically saved to SD cards collected  
189 periodically.

### 190 **2.4 Identifying Individual Elephants**

191         In this study, there were 24 physical characteristics (Supplementary Table 1) chosen to  
192 identify individual elephants adapted from Vidya et al. (2014), de Silva et al. (2013), and Goswami et  
193 al. (2007), and re-defined to our specifications (see the ‘characteristics’ section below). Video clips  
194 of 20-sec duration from all four sites were first scanned and flagged for further investigation using  
195 VLC media player (version 3.0.10). In order for videos to be flagged, elephants must have been  
196 visible and identifiable, meaning more than two characteristics were distinguishable (i.e., ear folds,  
197 tears, tail length, etc.). During a second round of investigation, flagged videos were opened and  
198 stopped at the point where the elephant features were most clearly visible.

199         Once an elephant was chosen, another video with the same elephant was found, primarily  
200 using videos from the same location (sanctuary or crop fields). However, in some rare instances,

201 elephants were found to have traveled between locations (e.g., KK to KS). These videos were used to  
202 match the same characteristics, on a different date to qualify the viewed elephant as a unique  
203 individual (Figure 2). This process used the flagged videos first to see if any matched to the  
204 individual in question or not. If not, we investigated videos that were previously not flagged to find a  
205 match. This method was similar to capture-recapture methods used to identify individual elephants in  
206 previous studies (Goswami et al. 2007, 2019), with a significant deviation. Because our study is an  
207 ongoing, long-term project focused on elephant behavior, we did not employ common capture-  
208 recapture methods nor did we go through all of the footage at this initial stage. Instead, since the  
209 purpose of the current study was to conduct an analysis of the effectiveness of video camera trap data  
210 for identifying individual elephants, we selected footage where elephants were easily observable. In  
211 the near future, we will identify as many of the elephants in the landscape as possible using these  
212 video data.



222 **Figure 2.** Day and night snapshots of three elephants showing the variable quality of video. Figure  
223 2A was an adult male that was distinguished by the one grown out tusk and tears on the bottom of the  
224 right ear. In the night shot of the same elephant (Figure 2B), we were able to make out the top ear  
225 folds more clearly. Figure 2C shows an adult female with two offspring behind her, visible with her

226 *at night as well (Figure 2D). This female had an especially large tear on the right ear which is*  
227 *distinguishable in both shots. Pigmentation on the ears and body was sometimes visible in night*  
228 *videos, as can be seen with this elephant.*

229         Once an elephant was identified, he or she was then entered into an AirTable cloud-based  
230 database (San Francisco, USA) with a unique ID, screenshots, and associated characteristics.  
231 Characteristics were used to specify each area of an elephants' body that could be described with  
232 different trait state options or specific features of that characteristic. For example, a characteristic  
233 such as *back shape* might have a trait state option such as *humped* to describe the characteristic. *N/A*  
234 was used when these areas of the body were not visible due to video quality or elephant body  
235 position. Video clips were continuously associated with each elephant in the database to record  
236 additional individual characteristics or previously unobservable trait states, as well as to monitor its  
237 movement patterns between study areas. When a new individual was found in different video files,  
238 we repeated this process to document it. Male and female adult and sub-adult elephants were  
239 identified. If a female that was identified was observed with juveniles or infants in two separate  
240 instances, the offspring were characterized and linked to the accompanying female(s).

## 241 **2.5 Characteristics**

### 242 **2.5.1 Age**

243         During this study, we categorized elephants into four age classes (represented by letters A-D).  
244 All relative height differences and estimated age ranges were adapted from de Silva et al. (2011).  
245 When solitary bulls were observed, they were coded as adults (A), as they tend to leave their natal  
246 herd once mature (Sukumar, 1989; Fernando & Lande, 2000). In social groups, adult females were  
247 distinguished by enlarged breasts, if they were observable, or the presence of calves with them (de  
248 Silva et al., 2011) (Supplementary Table 2). Although the present study utilized age as a  
249 characteristic, the age classes mentioned are only estimates based on the trait state definitions; we  
250 were not able to determine the exact age of individuals.

251 **2.5.2 Body Condition**

252 To determine the body conditions of each individual, we assessed the pelvic, shoulder, and back  
 253 bones as elephants moved in a video. Body condition definitions were adapted from Fernando et al.  
 254 (2009) and simplified to three categories (Supplementary Table 3). The backbone was also used as an  
 255 indicator of body condition (Wemmer et al., 2006).

256 **2.5.3 Tusks/ Tushes**

257 We categorized whether the individual had either tusks or tushes (incisors that are much  
 258 smaller and thinner than tusks) (Kurt et al., 1995). In Asian elephants, only males have tusks –  
 259 although not all do – while both males and females can have tushes (i.e., short tusk-like protrusions  
 260 from the top of the mouth), but again, not all do (Sukumar, 1989; Kurt et al., 1995; Chelliah &  
 261 Sukumar, 2013). When tusks were present, tusk symmetry, arrangement, and angle were recorded  
 262 accordingly (Table 1). Tusk symmetry was categorized based on whether the tusk length was  
 263 symmetrical. Tusk arrangement was categorized based on the tusk growth direction of both tusks  
 264 compared to each other. Tusk angle was categorized as the direction of the tusks in reference to a  
 265 horizontal plane. Tusk angle was best determined with side views of the elephant, with the trunk’s  
 266 position used to help guide the decision (Figure 3).

267 Table 1| *Tusk characteristics and trait state definitions*

Tusks/Tushes	Trait State Definitions	Examples
<b>Presence of Tusks</b>	<i>Both</i> : when an elephant had both of their tusks or tushes	Figures 3B-D
	<i>Right only</i> : when an elephant only had a right tusk or tush	Figure 3A
	<i>Left only</i> : when an elephant only had a left tusk or tush	
	<i>None</i> : when there were no tusks or tushes present for males or tushes present for females. If <i>none</i> was provided, the other tusk characteristics did not apply	
<b>Tusk Symmetry</b>	<i>Even</i> : when tusks were growing at an even rate	Figure 3D
	<i>Uneven</i> : when tusks were growing at an uneven rate or when one was broken (one tusk may be longer or shorter than the other)	Figure 3A, 3C
<b>Tusk Arrangement</b>	<i>Parallel</i> : tusks growing at the same angle, straight out, and pointing forward.	
	<i>Splayed</i> : tusks pointed outward (not parallel) from each other	Figure 3D
	<i>Convergent</i> : tusks growing out but inward, potentially resulting in tusks crossing over each other	Figure 3C
<b>Tusk Angle</b>	<i>Straight ahead</i> : tusks growing out parallel to a horizontal plane	Figure 3C, 3E

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*Intermediate*: tusks directed diagonally and not straight (parallel) or down (perpendicular)

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*Pointed down*: tusks growing downward, perpendicular to the horizontal plane Figure 3B

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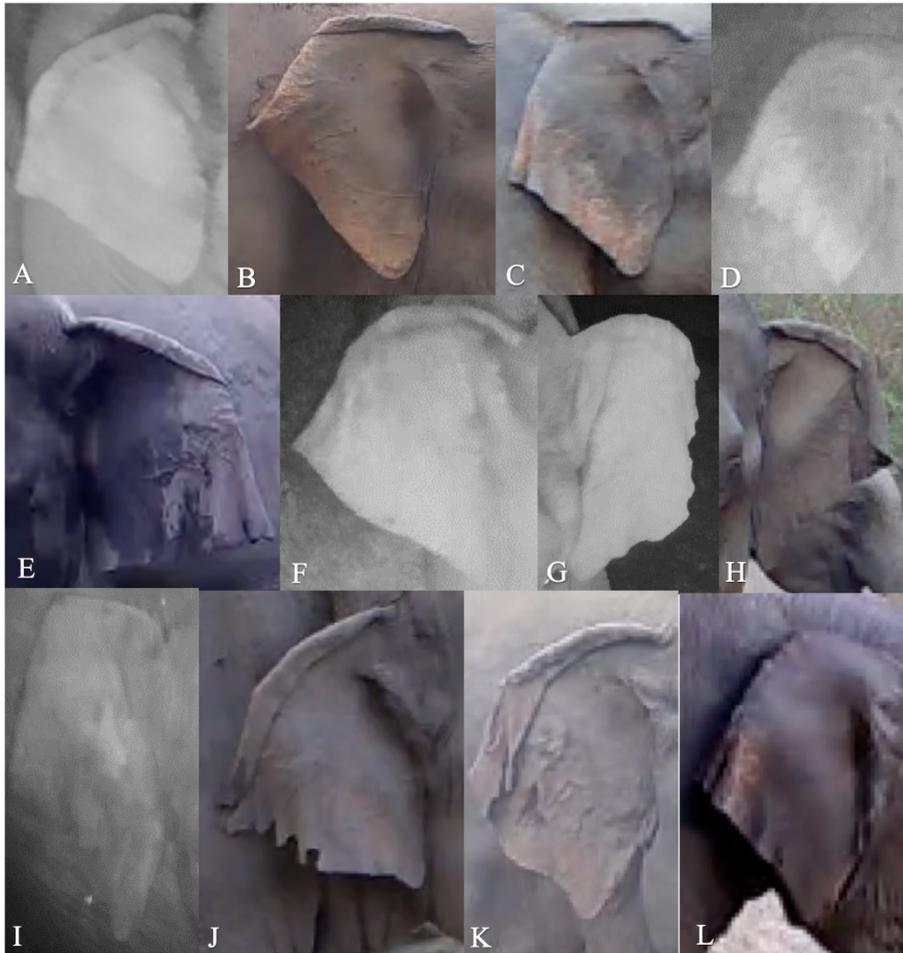
269

270 **Figure 3.** *Visual representation of some of the tusk arrangements (Table 1 for detailed*  
 271 *definitions/descriptions of each type of arrangement).*

272 **2.5.4 Ear Characteristics**

273 Characteristics of the ear were categorized for the right and left ear separately. Also, top folds  
 274 and side folds (labeled as primary and secondary fold in de Silva et al. (2013), respectively) were  
 275 considered separate characteristics in this study. The top ear fold was determined by what degree the  
 276 top ear was folded for both sides. The side folds of each ear were categorized by the way each side  
 277 fold lays, with only 2 options. The bottom of the ear or the ear lobes were described by their angular  
 278 shape (Figure 4). Other characteristics of the ears (ear tears, holes and depigmentation) were also  
 279 categorized when possible (Table 2). The presence and location of ear tears and holes were  
 280 categorized from the top to bottom of the ear, based on the area with the most tears or holes. If there  
 281 were any other tears or holes along the ear, they were added as a note in the database.

282



283

284 **Figure 4.** Visual representation of some of the ear characteristics seen during the day and night, see

285 Table 2 for trait state descriptions for A-L

286 Table 2| Ear characteristics and trait state definitions

Ear Characteristics	Trait State Definitions	Examples
<b>Ear top fold</b>	<i>None</i> : when there was no true curve (fold) visible	Figure 4I
	<i>Forward slightly</i> : where the top of the ear was folded at an almost 90-degree angle	Figure 4C
	<i>Forward rolling fold</i> : where the top of the ear was folded like a “wave” and we were able to still see the ear under the fold	Figures 4A, 4E, 4F
	<i>Forward flat fold</i> : where the top of the ear was folded so you cannot see under the fold for the majority of the ear	Figures 4B, 4G, 4H, 4J, 4K
	<i>Backward</i> : where the ear curved back at any angle	Figure 4L
<b>Ear side fold</b>	<i>Forward</i> : where the side of the ear was folded forward at any angle and degree	Figures 4A, 4F, 4H, 4J, 4K
	<i>Backward</i> : where the side of the ear was folded backward at any angle and degree	Figures 4B-E, 4I, 4L
<b>Ear lobe shape</b>	<i>L-angular</i> : where the ear lobe blended in with the ear and created a wide angle	Figure 4E
	<i>V-acute</i> : where the ear lobe was pointed at the bottom, to form an acute angle	Figures 4C, 4D, 4H-L

	<i>U-rounded</i> : where the ear lobe was more rounded than pointy	Figures 4A-B
<b>Ear tears/holes</b>	<i>None</i> : no visible tear or hole seen	Figures 4A, 4C, 4D
	<i>At side fold</i> : tears or holes were visible on the side folds	Figures 4J, 4K
	<i>Before side fold</i> : tears or holes were visible in between the top and side fold	
	<i>After side fold</i> : tears or holes were visible between the side fold and where the bottom of the ear meets the head	Figures 4G, 4J
<b>Ear Depigmentation</b>	<i>On top fold</i> : tears or holes on the top of the ear	Figure 4J
	<i>Present-slight</i> : where discoloration was seen in less than half of the ear, beginning from the bottom portion of the ear going upwards/inwards, and if little to no depigmentation was seen on the back of the ear	Figure 4L
	<i>Present-prominent</i> : where discoloration was seen in more than half of the ear, beginning from the bottom portion of the ear going inwards and if the majority of the back of the ear was depigmented	Figures 4B, 4C

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289 **2.5.5 Back Characteristics**

290 Back shape of each individual was organized into three categories (Figure 5 & Table 3).  
291 ‘Concave back’ was not observed in this study, but because it was observed in the population studied  
292 by Vidya et al. (2014) in India, it was included as a possible category.

293



294 **Figure 5.** Visual representation of the prominent back shapes, see Table 3 for trait state descriptions  
295 for A, B, & C

296 Table 3. Back shape characteristics and trait state definitions

Back Characteristics	Trait State Definitions	Examples
<b>Back Shape</b>	<i>Flat</i> : where the majority of the back was more or less a straight line	Figure 5B, 5C
	<i>Concave</i> : where the back dipped in the center	
	<i>Humped</i> : where the back was elevated, primarily in the middle, but humps can occur throughout the back as well	Figure 5A

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298 **2.5.6 Tail Characteristics**

299           There were two different tail characteristics used to identify the elephants: tail length and  
 300 brush type (Figure 6 & Table 4). Tail length was categorized based on the length of the tail from the  
 301 rump to the tip of the tail, not including the ‘tail brush’ or hair. The tail-brush type was described  
 302 based on the length and location of the tail hair, with the latter being on the anterior (side closest to  
 303 the body), posterior (side farthest from the body), or both sides of the tail.

304



305 **Figure 6.** Visual representations of tail length and brush type characteristics, see Table 4 for trait  
 306 state descriptions for A-H

307 **Table 4.** Tail characteristics and trait state definitions

Tail Characteristics	Trait State Definitions	Examples
<b>Tail Length</b>	<i>Stump (above abdomen):</i> a short and stubby tail that ended above the abdomen	Figure 6F
	<i>Below genitals: above knee:</i> a tail that extended between the genital area and above the knee	Figure 6D
	<i>Below knee, above ankle:</i> a tail that extended anywhere between the knee and the ankle	Figure 6A, 6G
	<i>At knee:</i> a tail that extended around the back of the knee	Figure 6C
	<i>At ankle:</i> a tail that extended to the ankle (before the leg becomes wider, forming the foot pad)	Figure 6B
<b>Brush Type</b>	<i>No hair:</i> there was no visible hair on the end of the tail	Figure 6C, 6F
	<i>Short anterior:</i> hair stubble, not long enough to naturally curve, on the side closest to the body	
	<i>Short posterior:</i> hair stubble, not long enough to naturally curve, on the side farthest from the body	
	<i>Short both:</i> hair stubble on both sides of the tail	
	<i>Short anterior, normal posterior:</i> hair stubble on the side closest to the body and normal hair length on the side farthest from the body	

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<i>Normal anterior, short posterior:</i> normal hair length on the side closest to the body and short/stubble hair on the side farthest from the body	
<i>Normal anterior:</i> tail hair that is long enough to form its natural curve on the side closest to the body	Figure 6D, 6E, 6H
<i>Normal posterior:</i> tail hair that is long enough to form its natural curve on the side farthest from the body	
<i>Normal both:</i> tail hair that is long enough to form its natural curve on both sides of the tail	Figure 6A, 6B, 6G

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309 **2.5.7 Depigmentation on body**

310 This section only categorized if and where there was depigmentation on other parts of the  
 311 elephant, other than the ears (Supplementary Table 4). Supplementary Figure 1 shows an example of  
 312 an elephant with depigmentation on both the body and trunk.

313 **2.6 Statistical Analysis**

314 All data were recorded and analyzed in Microsoft Excel 2016. Using Goswami and  
 315 colleagues (2012)'s misidentification calculation, the likelihood of the human-run identification  
 316 process resulting in the misidentification of two different individual Asian elephants with similar  
 317 morphological traits was determined by calculating the maximum probability squared ( $p_{\max}^2$ ). It is  
 318 important to note that for the current study, we used this calculation to determine the probability of  
 319 misidentification between easily visible elephants in our subset. It is our understanding that in  
 320 Goswami et al. (2012), each video was treated as a potential new elephant using a capture-recapture  
 321 methodology. In our study, to determine the maximum probability, the sighting frequency of each  
 322 trait state option per characteristic was calculated. For example, the most common trait state for *Left*  
 323 *ear lobe shape* is a *v-acute* ear lobe shape which was observed in 63.89% of all adult elephant  
 324 sightings. Once the most common trait frequencies were calculated, they were ranked from the most  
 325 to least commonly occurring morphological characteristics and trait states. If there was more than one  
 326 characteristic and trait state option that occurred the same number of times in the populations, the  
 327 first occurring characteristic as listed in the ID protocol was put first into the ranking followed by the  
 328 next on the list. For example, if *L ear top fold*, *Body condition*, and *R ear top fold* all had a trait state

329 that occurred 22 times, they would be put into the ranking in this order: *Body condition*, *L ear top*  
 330 *fold*, *R ear top fold*, as this corresponds to their order in the characteristic list. The characteristic list  
 331 order on the datasheet was arranged for capturing information from the front of an elephants' body to  
 332 the back. However, characteristics that were seen from the whole elephant like *sex* and *body*  
 333 *condition* were placed at the front of this order.

334 Exploratory statistical tests were used to determine whether characteristics were independent  
 335 from each other. Independence in this case means that the traits of one characteristic cannot be  
 336 predicted from the traits of another characteristic. Chi-square or Fisher exact tests were used to  
 337 calculate whether the number of individuals with each combination of traits corresponded to the  
 338 assumption of independence between those traits. As was the case in Goswami et al. (2012), many  
 339 pairs of characteristics were not independent from one another. If traits are independent, then the  
 340 probability of a combination of traits would be equal to the product of their individual probabilities.  
 341 However, because of non-independence, a conditional probability calculation is more appropriate as  
 342 it does not assume independence. Therefore, to estimate the probability that an individual possessed  
 343 the most commonly occurring combination of traits ( $p_{max}$ ), conditional probabilities were calculated  
 344 by moving successively down the trait frequency ranking.

345 In the present study, when computing  $p_{max}$ , we first calculated the probability,  $p(A)$ , of the  
 346 most frequent trait state for *presence of tusks/tushes*. Next, we looked at the probability of *back*  
 347 *shape's*  $p(B)$  most frequent trait state occurring, when *presence of tusks/tushes* most frequent trait  
 348 state occurred. Moving down the ranking, the next characteristic (*L ear hole*) and its most frequent  
 349 trait state option were put into the calculation for the probability of the *L ear hole's*  $p(C)$  most  
 350 frequent trait state taking place, given the *presence of tusk/tushes* most frequent trait and *back*  
 351 *shape's* most frequent trait. This process continued until the number of elephants with the  
 352 combination of characteristics reached one (Table 5). The probability values were then squared to

353 obtain the value for the probability of any two individuals showing the exact combination of  
 354 morphological features ( $p_{\max}^2$ ) (Goswami et al., 2012).

355 **3 Results**

356 From the videos collected between February 2019 and January 2020, there were a total of 107  
 357 elephants identified using 24 physical characteristics and their trait state options in both day and  
 358 night camera trap videos. These elephants were identified across a total of 475 videos. Of those  
 359 elephants, 72 were identified as adults, 11 were identified as sub-adults, 20 were identified as  
 360 juveniles, and four were identified as infants. For this study, only the 72 adults in 363 videos were  
 361 used for the calculation of  $p_{\max}^2$ , because determining elephant sex is more definitive when the  
 362 elephants are sexually mature (Sukumar, 1989; Fernando & Lande, 2000). Therefore, the age class  
 363 characteristic was excluded from calculations. In the calculation of  $p_{\max}^2$  the number of elephants  
 364 included in the conditional probabilities decreased to zero on the 20<sup>th</sup> characteristic (Table 5). With  
 365 the inclusion of 19 characteristics and their most frequent trait,  $p_{\max}^2 = .006$  for this sample (Table  
 366 5).

367 Table 5| *Elephant count and calculation results for  $p_{\max}^2$  for all adult elephants (n=72), including*  
 368 *most to least common characteristic and trait state option*

<b>Ranked Characteristics</b>	<b>Majority Trait State</b>	<b>Number of elephants with trait</b>	<b>Proportion</b>	<b>Number of elephants with combination</b>	$p_{\max}$	$p_{\max}^2$
<b>Presence of tusks/tushes</b>	None	63	0.875	63		
<b>Back shape</b>	Humped	63	0.875	54	0.857	0.735
<b>L ear hole</b>	None	61	0.847	46	0.745	0.556
<b>Tail length</b>	Below knee, above ankle	58	0.8556	35	0.652	0.425
<b>L ear side fold</b>	Backward	56	0.778	29	0.618	0.381
<b>R ear hole</b>	None	56	0.778	23	0.517	0.268
<b>R ear side fold</b>	Backward	55	0.764	23	0.618	0.381
<b>R ear depigmentation</b>	Present-Prominent	53	0.736	17	0.382	0.146
<b>Sex</b>	Male	52	0.722	11	0.400	0.160

<b>Depigmentation on body</b>	Both	52	0.722	10	0.348	0.121
<b>Body Condition</b>	1	49	0.681	8	0.320	0.102
<b>L ear depigmentation</b>	Present-Prominent	48	0.66	8	0.348	0.121
<b>R ear lobe shape</b>	V-acute	47	0.653	5	0.200	0.040
<b>L ear lobe shape</b>	V-acute	46	0.639	5	0.348	0.121
<b>Brush type</b>	Normal both	42	0.583	4	0.160	0.026
<b>R ear tear</b>	At side fold	37	0.514	2	0.174	0.030
<b>L ear top fold</b>	Forward rolling fold	31	0.431	2	0.160	0.026
<b>R ear top fold</b>	Forward rolling fold	31	0.431	2	0.174	0.030
<b>L ear tear</b>	At side fold	29	0.403	1	0.080	0.006
<b>Tusk Symmetry</b>	Uneven	5	0.069	0	0	0
<b>R tusk angle</b>	Straight ahead	5	0.069	-	-	-
<b>L tusk angle</b>	Straight ahead	4	0.054	-	-	-
<b>Tusk arrangement</b>	N/A	3	0.042	-	-	-

369

370           A similar calculation for  $p_{\max}^2$  was conducted for the sample consisting of only male (N=52),  
371 and then only female elephants (N=20). However, in contrast to the previous calculation for the  
372 entire sample, when calculating  $p_{\max}^2$  for males, only 22 characteristics were included in the  
373 calculation (the characteristic of sex was excluded). When performing the conditional probability  
374 calculation for males, characteristics and their most frequent trait states were established in most  
375 common to least common order. After including 18 characteristics, the number of elephants with the  
376 same combination reached one (Table 6), signaling that no elephants remained, and thus no  
377 additional calculations were needed. With the inclusion of 18 characteristics and their most frequent  
378 trait,  $p_{\max}^2 = .011$  for the sample of male elephants.

379  
380  
381

382 Table 6| *Elephant count and calculation results for  $p_{max}^2$  for adult male elephants (n=52), including*  
 383 *most to least common characteristic and trait state option*

<b>Ranked Characteristics</b>	<b>Majority Trait State</b>	<b>Number of elephants with trait</b>	<b>Proportion</b>	<b>Number of elephants with combination</b>	$p_{max}$	$p_{max}^2$
<b>Back shape</b>	Humped	46	0.885	46		
<b>Presence of tusks</b>	None	43	0.827	37	0.804	0.647
<b>L ear hold</b>	None	42	0.808	30	0.717	0.514
<b>Tail length</b>	Below knee, above ankle	42	0.808	23	0.617	0.380
<b>Depigmentation on body</b>	Both	41	0.788	18	0.561	0.315
<b>L ear side fold</b>	Backward	40	0.769	16	0.548	0.300
<b>R ear depigmentation</b>	Present-Prominent	38	0.731	12	0.421	0.177
<b>R ear side fold</b>	Backward	37	0.712	12	0.548	0.300
<b>R ear hole</b>	None	37	0.712	10	0.351	0.123
<b>L ear depigmentation</b>	Present-Prominent	33	0.635	10	0.548	0.300
<b>Body Condition</b>	1	31	0.596	8	0.281	0.079
<b>R ear lobe shape</b>	V-acute	31	0.596	5	0.343	0.117
<b>L ear lobe shape</b>	V-acute	29	0.558	5	0.281	0.079
<b>R ear tear</b>	At side fold	29	0.558	3	0.206	0.042
<b>Brush type</b>	Normal both	28	0.538	2	0.187	0.035
<b>R ear top fold</b>	Forward rolling fold	24	0.462	2	0.206	0.042
<b>L ear top fold</b>	Forward rolling fold	23	0.442	2	0.187	0.035
<b>L ear tear</b>	At side fold	23	0.442	1	0.103	0.011
<b>Tusk Symmetry</b>	Uneven	5	0.096	0	0	0
<b>R tusk angle</b>	Straight ahead	5	0.096	-	-	-
<b>L tusk angle</b>	Straight ahead	4	0.077	-	-	-
<b>Tusk arrangement</b>	N/A	3	0.058	-	-	-

384

385 When performing the conditional probability calculation for females, we only included 18  
 386 characteristics, as opposed to the 22 characteristics for males, because we excluded tusk  
 387 characteristics. Using the same procedure as the previous two calculations, the number of elephants  
 388 decreased to one after including 16 characteristics (Table 7). With the inclusion of 16 characteristics  
 389 and their most frequent trait,  $p_{\max}^2 = .048$  for the sample of female elephants. Table 5, Table 6 and  
 390 Table 7 illustrate how  $p_{\max}$  and  $p_{\max}^2$ , with the addition of each characteristic and their most frequent  
 391 trait state, decreased or stayed the same depending on the number of elephants that showed each  
 392 combination.

393 Table 7| *Elephant count and calculation results for  $p_{\max}^2$  for adult female elephants (n=20), including*  
 394 *most to least most common characteristic and trait state option*

<b>Ranked Characteristics</b>	<b>Majority Trait State</b>	<b>Number of elephants with trait</b>	<b>Proportion</b>	<b>Number of elephants with combination</b>	$p_{\max}$	$p_{\max}^2$
<b>Presence of tusks/tushes</b>	None	20	1.00	20		
<b>L ear hole</b>	None	19	0.95	19	0.950	0.903
<b>R ear hole</b>	None	19	0.95	18	0.947	0.898
<b>Body Condition</b>	1	18	0.90	16	0.844	0.713
<b>R ear side fold</b>	Backward	18	0.90	15	0.888	0.789
<b>Back shape</b>	Humped	17	0.85	13	0.732	0.536
<b>L ear lobe shape</b>	V-acute	17	0.85	10	0.683	0.467
<b>L ear side fold</b>	Backward	16	0.80	9	0.659	0.434
<b>R ear lobe shape</b>	V-acute	16	0.80	8	0.683	0.467
<b>Tail length</b>	Below knee, above ankle	16	0.80	8	0.585	0.343
<b>L ear depigmentation</b>	Present-Prominent	15	0.75	5	0.427	0.182
<b>R ear depigmentation</b>	Present-Prominent	15	0.75	5	0.585	0.343
<b>Brush type</b>	Normal both	14	0.70	4	0.342	0.117
<b>L ear tear</b>	None	11	0.55	2	0.439	0.193
<b>Depigmentation on body</b>	Both	11	0.55	2	0.228	0.052

<b>R ear tear</b>	None	10	0.50	1	0.220	0.048
<b>L ear top fold</b>	Forward rolling fold	8	0.40	0	0	0
<b>R ear top fold</b>	Forward slightly	8	0.40	-	-	-

395

396 **4 Discussion**

397 The current study aimed to determine whether camera trap videos can be used to reliably  
 398 identify individual Asian elephants. We used day and night videos to identify a total of 107  
 399 individual elephants. We calculated the probability of two individuals having the same characteristic  
 400 combinations for 72 identified adult elephants within the population.

401 This study was successful in evaluating whether individual Asian elephants could be reliably  
 402 identified. We used 19 out of 24 morphological characteristics (excluding age and four tusk  
 403 characteristics) to reliably identify 72 elephants. This result was comparable to previous studies that  
 404 systematically identified Asian elephants in photographs taken from research vehicles using similar  
 405 combinations of these characteristics (Goswami et al., 2007; Vidya et al. 2014; de Silva et al., 2013).  
 406 Goswami et al. (2012) used a combination of 20 characteristics, similar to the current study, to obtain  
 407 a  $p_{\max}^2 = .010$  for a sample of only adult male Asian elephants. The results obtained in Goswami et  
 408 al. (2012) were comparable to the results of the current study; we found a  $p_{\max}^2$  of .006 when  
 409 considering all adult elephants, and a value of .011 when only considering adult males. Goswami and  
 410 colleagues (2012) were able to achieve lower probabilities of misidentification for adult males ( $p_{\max}^2$   
 411 = .008 and  $p_{\max}^2 = .005$ ) than we were in our study based on a number of specific traits. These traits  
 412 (termed characteristics in our study) included: presence of tusks, tusk arrangement, angle, length,  
 413 thickness, ear damage, and earlobe shape. For the current study, tusk characteristics are listed  
 414 towards the end of Table 5 and Table 6 specifically because they were the least frequently occurring  
 415 characteristics in our population. However, *presence of tusks/tushes* was usually an important

416 characteristic since most of our study population did not have tusks or tushes. It is likely that  
417 Goswami and colleagues were able to achieve this lower probability using tusk characteristics  
418 because their population had more tusked males. In the present study, we also investigated the  
419 probability of misidentifying adult female elephants ( $p_{\max}^2 = .048$ ). There may be a higher probability  
420 of misidentification for females because there were fewer females in this subset, therefore only  
421 showcasing a small amount of trait combinations within the study population. The calculation could  
422 not go further than the 16<sup>th</sup> characteristic (Table 7).

423 Overall, the  $p_{\max}^2$  results for all adult elephants, only male elephants, and only female  
424 elephants, illustrate that there were low probabilities of misidentification between elephants in the  
425 population and that the elephants in this study were reliably identified. These results were important  
426 because they were very similar to previous studies that used photographs taken during direct  
427 observations to identify individual Asian elephants (Goswami et al., 2007; Goswami et al., 2012).  
428 This suggests that camera trap videos captured during the day and night were successful in capturing  
429 characteristics to reliably identify Asian elephants, even given their limitations as stationary cameras  
430 without the ability to zoom in for detail. This is a promising first step in understanding elephant  
431 behavior in this population and their movement between the Salakpra Wildlife Sanctuary and the  
432 crop fields along its perimeter.

433 Previous studies identified between 150-220 individuals total living in this landscape  
434 (Siripunkaw and Kongrit, 2005; Chaiyarat et al., 2015). Because we have not yet identified the entire  
435 population, a comparison of the saliency of particular characteristics for identification purposes  
436 would be premature. The overall goal of this study was to identify as many elephants as possible and  
437 to determine how many characteristics were needed to reliably do so; thus, we did not randomly  
438 sample from the available footage. In order to compare the efficacy of using particular characteristics  
439 and traits across time of day, sex and location, the majority of the elephants in this landscape will  
440 need to be identified.

### 441 4.1 Implications

442 The current study contributes to our understanding of how different technological methods  
443 can be used to identify individual Asian elephants (Goswami et al., 2007; Vidya et al. 2014; de Silva  
444 et al., 2013; Fernando et al., 2009; Fernando et al., 2011), and provides a guide for identifying them  
445 using day and night camera trap videos. This hopefully can encourage researchers in other Asian  
446 elephant ranges to employ camera trap technology to systematically identify individuals in their local  
447 populations, especially where direct observations in the environment are challenging. As more  
448 researchers conduct research at the individual-, rather than just the population-level, they can better  
449 monitor elephant movement and activity while also characterizing variation in behavior patterns  
450 between elephants (e.g., Rees, 2009; Horback et al., 2012; Sitompul et al., 2013; Horback et al.,  
451 2014).

452 Practically speaking, the database of individual elephants created for the present study can  
453 and will be compiled into a guide and provided to Sanctuary park rangers and local farmers as a  
454 reference for the elephants located in the area. Since frequent crop raiding has been observed around  
455 Salakpra (van de Water & Matteson, 2018), individual identification may provide insight into how  
456 particular elephants are interacting with humans in the area (e.g., Cook et al., 2015; Goswami et al.,  
457 2015). Identifying information about elephants that frequently forage on crops could also aid in  
458 targeting HEC mitigation strategies at the individual level, a potentially more effective strategy that  
459 takes elephant behavior and personality into account (Mumby & Plotnik, 2018). More targeted  
460 strategies may help farmers manage their time as they direct their efforts towards specific individuals  
461 they can identify within their own crop fields.

462 Identifying individuals is also crucially important for understanding individual variation in  
463 elephant behavior more generally. Remarkably, we know very little about wild Asian elephant  
464 behavior and how elephants adapt to rapid, human-generated environmental change (de Silva et al.,

465 2011; Fernando et al., 2009; Fernando et al., 2011; Vidya & Sukumar, 2005; Sukumar, 1990). The  
466 current study helps form a foundation for future research in this area. Our own work aims to use the  
467 individual identification of wild Asian elephants to assess differences in personality and cognition,  
468 not only as a means to help in their conservation, but also as a method for understanding how  
469 flexibility in behavior facilitates adaptations to anthropogenic change (Mumby & Plotnik, 2018).

### 470 **4.2 Limitations**

471 In previous studies on Asian elephant identification, researchers followed the elephants in  
472 vehicles and captured photos from various angles in-person (Goswami et al., 2007; Vidya et al.,  
473 2014). This may have allowed the researchers to obtain a more comprehensive or individually-  
474 directed data set. Camera traps are beneficial for studying animals in the wild because they are a non-  
475 invasive method to observe individuals and their behavior (Swinnen, et al., 2014). However, we were  
476 limited in our ability to collect morphological data using stationary camera traps, as the elephant's  
477 voluntary approach toward and movement around the cameras could be an obstacle for collecting  
478 data on all the characteristics and trait states for each elephant.

479 The camera angle was another limitation for using camera traps in identification. While it  
480 could be beneficial for capturing some characteristics of the elephant in the frame, this benefit was  
481 dependent on the elephant's distance from the camera. Some videos only captured ears, backs, and  
482 tails, while other videos did not capture backs, tail length or ear top folds. Camera traps deployed in  
483 the field were typically put up in a high place and were stationary for a long period of time. The only  
484 way to change the view would be to manually move the direction of the camera, and this was usually  
485 done infrequently due to their installation in remote areas. Overall, these limitations increased the  
486 frequency of data points where the elephant could not be identified due to a lack of observable  
487 characteristics.

488 Collecting identification data from night videos was another challenge. During the night,  
489 when the infrared light was illuminated, characteristics like ear folds would sometimes blend in with  
490 the color of the ear, obscuring the shape and folds, making it difficult to identify the trait state. Even  
491 with some traits obscured, there were typically others visible that allowed for identification of the  
492 elephant. In the future, we would like to determine the traits that are best identified in night videos  
493 compared to videos taken during the day.

494 While there are limitations for using camera traps to identify individual elephants, they did  
495 allow us to take multiple screenshots from video as the elephant moved around the camera (and did  
496 so at a close proximity that would not easily be possible with a handheld personal camera). Thus, the  
497 lack of a human presence during data collection, which certainly would negatively impact the  
498 elephants' behavior, could help offset the limitations camera trapping poses to individual  
499 identification.

### 500 **4.3 Conclusion**

501 In the current study, 72 adult elephants were reliably identified through camera trap videos  
502 based on the misidentification probability calculation ( $p_{max}^2$ ) and using 19 of the 24 possible  
503 morphological characteristics (Supplementary Table 1). While these characteristics were derived  
504 from previous Asian elephant identification studies (Goswami et al., 2007; Vidya et al. 2014; de  
505 Silva et al., 2013), this is the first known study to use these characteristics for elephants in Thailand,  
506 and to do so using remote sensing camera traps. The present study also indicates that camera trap  
507 videos are reliable in capturing characteristics to identify individual Asian elephants. Overall, we  
508 hope these results will help inform the use of camera traps in the wild to study individual elephants,  
509 demographics and population dynamics and behavior. Camera traps, and video data collected from  
510 them in particular, provide a unique opportunity to record animal behavior over a cumulatively long  
511 period of time without the negative impacts posed by human presence or interference while filming.  
512 This is particularly relevant for the relatively new study of conservation behavior (the use of animal

513 behavior research in conservation practice) and the application of animal behavior research to  
514 human-wildlife conflict. For elephants in particular, understanding individual differences in elephant  
515 behavior and how elephants make decisions about risk have important implications for mitigating  
516 human-elephant conflict from the elephants' perspective. This decision-making process and  
517 differences in how elephants behave in and around human-dominated landscapes can best be  
518 observed from a relatively unbiased viewpoint. We believe that remote sensing camera traps present  
519 a unique and exciting avenue for collecting such data, and encourage scientists interested in wildlife  
520 behavior and its application to conservation to consider their use as remote video-recording devices  
521 in their own work.

### 522 **5 Conflict of Interest**

523 The authors declare that the research was conducted in the absence of any commercial or financial  
524 relationships that could be construed as a potential conflict of interest.

### 525 **6 Author Contributions**

526 SM organized the data, created the database for identifying elephants, ran the analyses and drafted  
527 the manuscript. SJ designed the study, collected data, supervised data analysis, trained SM on coding  
528 procedures, reviewed the identification criteria, and revised the manuscript. MY assisted with data  
529 collection and camera trapping in the field, provided research and logistical support in Thailand and  
530 revised the manuscript. JP designed the study, initiated and oversaw data collection, reviewed  
531 identification criteria and data analysis, and revised the manuscript.

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