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3	The structure of evolutionary theory: Beyond Neo-Darwinism,
4	Neo-Lamarckism and biased historical narratives about the
5	Modern Synthesis
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### 20 Abstract

The last decades have seen frequent calls for a more extended evolutionary synthesis (EES) that 21 22 will supposedly overcome the limitations in the current evolutionary framework with its 23 intellectual roots in the Modern Synthesis (MS). Some radical critics even want to entirely abandon the current evolutionary framework, claiming that the MS (often erroneously labelled 24 "Neo-Darwinism") is outdated, and will soon be replaced by an entirely new framework, such 25 26 as the Third Way of Evolution (TWE). Such criticisms are not new, but have repeatedly resurfaced every decade since the formation of the MS, and they were particularly articulated by 27 developmental biologist Conrad Waddington and paleontologist Stephen Jay Gould. 28 29 Waddington, Gould and later critics argued that the MS was too narrowly focused on genes and natural selection, and that it ignored developmental processes, epigenetics, paleontology and 30 macroevolutionary phenomena. More recent critics partly recycle these old arguments and 31 argue that non-genetic inheritance, niche construction, phenotypic plasticity and developmental 32 bias necessitate major revision of evolutionary theory. Here I discuss these supposed 33 34 challenges, taking a historical perspective and tracing the arguments by critics back to Waddington and Gould. I dissect the old claims by Waddington, Gould and more recent critics 35 that the MS was excessively gene centric and became increasingly "hardened" over time and 36 37 narrowly focused on natural selection. Recent critics have consciously or unconsciously exaggerated the long-lasting influence of the MS on contemporary evolutionary biology and 38 have underestimated many post-Synthesis developments, particularly Neutral Theory, 39 evolutionary quantitative genetics and the power and generality of the Price Equation. Critics 40 have also painted a biased picture of the MS as a more monolithic research tradition than it ever 41 42 was, and have downplayed the pluralistic nature of contemporary evolutionary biology, particularly the long-lasting influence of Sewall Wright with his emphasis on gene interactions 43 and stochasticity. I argue that some of the criticisms of the MS and contemporary evolutionary 44

biology are primarily meta-scientific, revealing the underlying identity politics of critics when pushing their alternative research agendas. It is still unclear what their proposed alternative research frameworks would entail and why the existing theoretical framework is insufficient. Finally, I outline and visualize the conceptually split landscape of contemporary evolutionary biology, with four different stably coexisting analytical frameworks: adaptationism, mutationism, neutralism and selectionism. I suggest that the field can accommodate the challenges raised by critics, although structuralism ("Evo Devo") and macroevolution remain to be conceptually integrated within mainstream evolutionary theory. 

54 Keywords Developmental bias · Extended Evolutionary Synthesis · Modern Synthesis ·

55 Macroevolution · Mutationism · Neo-Darwinism · Niche construction · Non-genetic

56 inheritance · Population Genetics · Phenotypic Plasticity · Quantitative Genetics · Third Way

57 of Evolution

# 67 **5.1 Introduction**

The Modern Synthesis (MS) of evolutionary biology was one of the most important scientific 68 achievements in evolutionary biology during the twentieth century (Mayr 1993; Mayr and 69 70 Provine 1998; Cain 2009). The MS formed gradually, through a series of influential research books and articles by Dobzhansky, Huxley, Mayr, Rensch, Simpson and several other biologists 71 (Mayr and Provine 1998; Reif et al. 2000). An important early achievement was the formation 72 of the Society for the Study of Evolution (SSE) in March 1946 and the establishment of its 73 scientific journal Evolution. Cain (2009) has described the MS as a shift away from object-74 based (i. e. organisms) natural history to process-based (selection, gene flow, genetic drift) 75 76 natural history. Biologists and natural historians from the MS and onwards sought to explain patterns within and among populations and species with current and past evolutionary 77 processes. The shift towards process-based natural history was stimulated by the developments 78 of mathematical population genetics in the decades before the MS, particularly contributions 79 by Fisher (Fisher 1930), Haldane (Haldane 1932) and Sewall Wright (Wright 1931, 1932). 80

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It is important to emphasize that the architects of the MS aimed to be synthetic. Accordingly 82 the results of their efforts has sometimes been termed "the synthetic theory of evolution" (Reif 83 et al. 2000). Specifically, Mayr and others repeatedly emphasized that the MS incorporated 84 insights from several different fields, including genetics, systematics, paleontology and natural 85 history (Haffer 2007). This synthetic goal became evident in the famous debate between Mayr 86 and Haldane about the utility and limitations of so-called "bean bag genetics" (Mayr 1959; 87 Haldane 1964; Crow 2008; Dronamraju 2011). Mayr strongly criticized the mathematical 88 population geneticists Fisher, Wright and Haldane for ignoring gene interactions in their 89 theoretical models (Mayr 1959). Mayr instead emphasized what he felt was the more important 90 contributions by himself, Dobzhansky and other empiricists and naturalists in the formation of 91

the MS (Dronamraju 2011). In this famous debate with Haldane, Mayr clearly revealed that he 92 erroneously thought that the mathematical population geneticists were not aware of gene 93 interaction (epistasis), in spite of epistasis being central in Sewall Wright's Shifting Balance 94 Theory of evolution (Provine 1986; Wade and Goodnight 1998; Steffes 2007). In fact, Wright's 95 interest in genetic interactions, rather than simply additive effects of genes, is an example of 96 early system-level thinking that could be viewed as a predecessor to systems biology today. 97 Moreover, the fact that Wright, one of the founding fathers of modern population genetics, was 98 interested in such interactions and system-level phenomena of organisms establishes a forgotten 99 link between the organicist school (Peterson 2017) and early population genetics (Steffes 2007). 100 101 This forgotten historical link contradicts Mayr's claim and sweeping characterization of Wright as a simple "bean bag geneticist" who was not aware of epistasis (Mayr 1959). 102

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In retrospect, it is of course easy to point to many limitations of the MS, such that neither 104 105 developmental biology nor ecology had any central roles (Antonovics 1987; Endler and 106 McLellan 1988). This should not divert us from realizing that the aim of the MS architects was - indeed - a synthetic one (Reif et al. 2000) and the MS has clearly served its purpose, at least 107 for sexually reproducing organisms (Novick and Doolittle 2019). Recent critics often describe 108 109 the MS as more simplistic and monolithic than it ever was, and have frequently exaggerated the role of population genetics in the synthesis formation (Pigliucci 2007; Laland et al. 2014, 2015; 110 Noble 2015; Müller 2017). These biased narratives about the MS have plagued many 111 discussions about the state of contemporary evolutionary biology, which I discuss in this 112 chapter. 113

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### 115 **5.2** What the Modern Synthesis was (and was not)

A frequent claim made by critics of the MS is to equate it with "Neo-Darwinism" (Koonin 116 2009; Noble 2015, 2021). Ironically, this conflation is sometimes also made by defenders of 117 the MS (Charlesworth et al. 1982, 2017; Hancock et al. 2021). This conflation between the MS 118 and Neo-Darwinism is historically inaccurate (Reif et al. 2000; Chen et al. 2021) and can be 119 traced to the late developmental biologist Conrad Waddington (Waddington 1957; Wilkins 120 2008; Peterson 2017) and the late paleontologist Stephen Jay Gould (Gould 2002). Waddington 121 122 and Gould used the label Neo-Darwinism in a negative and condescending fashion when they talked about the MS. However, Neo-Darwinism is a historical term that was coined several 123 decades before the MS by Romanes (Gould 2002) and was closely linked to August 124 Weissmann's doctrine about separation of the germ line and the soma, i. e. the rejection of 125 Lamarckian inheritance of acquired characters (Jablonka and Lamb 2007; Pigliucci 2009). As 126 the name Neo-Darwinism implies, only one evolutionary force was recognized prior to 127 128 emergence of mathematical population genetics: Natural selection (sexual selection was still not fully accepted). As emphasized by Lynch and other researchers, modern evolutionary 129 biology and its predecessor MS, clearly allows for multiple evolutionary processes in addition 130 to selection, specifically genetic drift, mutation and recombination (Charlesworth et al. 1982; 131 Lynch 2007; Svensson and Berger 2019), contrary to claims by some molecular biologists like 132 Eugene Koonin who incorrectly characterize the MS as just recognizing natural selection 133 (Koonin 2009). While Neo-Darwinism only recognized the single evolutionary force (natural 134 selection) that was discovered by Darwin and Wallace, the MS and evolutionary biology 135 developed in to a pluralistic field that incorporated multiple evolutionary processes that were 136 formalized by mathematical population genetics (Svensson and Berger 2019). Moreover, Mayr 137 himself explicitly clarified that the MS was distinct from Neo-Darwinism (Haffer 2007; 138 Pigliucci 2009) as did the paleontologist George Gaylord Simpson, another leading architect of 139 the MS (Simpson 1949). 140

Nevertheless, the conflation of the MS and Neo-Darwinism is still commonly made by some 142 critics of contemporary evolutionary biology. For instance, the physiologist Dennis Noble 143 (Noble 2013) claims that "The 'Modern Synthesis' (Neo-Darwinism) is a mid-20<sup>th</sup> century 144 gene-centric view of evolution based on random mutations accumulating to produce gradual 145 change through natural selection". Noble further claims that "all the central assumptions of 146 147 the Modern Synthesis (often also called Neo-Darwinism) have been disproved" (Noble 2013). Noble further argues that an extended "Integrative Synthesis" - an entirely "new conceptual 148 framework" - will "replace" the MS (Noble 2015). Similar confident claims have been put 149 150 forward by the molecular microbiologist James Shapiro (Shapiro 2011). Shapiro and Noble "The Third Way of Evolution" (TWE) initiative a few years ago launched 151 (https://www.thethirdwayofevolution.com/) that claims to provide a middle path ("Third 152 *Way*") between creationism and Neo-Darwinism. The enthusiasm for their project has – mildly 153 put - not been overwhelming among evolutionary biologists (Charlesworth et al. 2017). To 154 155 date, there are few leading evolutionary biologists who have openly embraced the TWE. TWE has generated more enthusiasm among a vocal minority of philosophers, such as Dennis Walsh 156 and John Dupré, the latter who in 2012 characterized evolution as "a theory in crisis" (Dupre 157 158 2012). The sheer confidence by which some philosophers and critics of contemporary evolutionary biology predict that contemporary evolutionary biology will soon be replaced by 159 an entirely new framework (details of which are very unclear) is remarkable, particularly as the 160 majority of evolutionary biologists are not even aware of the existence of TWE and carry on 161 162 their research as usual. Those who doubt this should join any of the regular evolutionary biology 163 congresses organized by the societies ESEB (European Society for Evolution) and SSE (Society for the Study of Evolution) where little of this forthcoming paradigm shift announced by Noble, 164 Shapiro, Walsh and Dupré has been visible during the past decade. The impression one gets 165

from the efforts by these biologists and philosophers is that they are trying to launch a culture 166 war against contemporary evolutionary biology, by erroneously claiming that not much has 167 happened since the MS and by repeatedly equating the latter with Neo-Darwinism. The MS is 168 portrayed by these critics as a dogmatic monolith, and some of their criticisms are more meta-169 scientific than scientific. The poor historical scholarship among some of these critics and their 170 inaccurate and biased characterizations of the MS suggests to me that the TWE is largely an 171 identity political project rather than presenting any serious challenge to the current theoretical 172 framework. 173

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175 The main problem with Noble's and other similar criticisms of contemporary evolutionary biology are the biased and historically misleading characterizations of the MS (see e.g. (Noble 176 2013, 2015, 2017, 2021)), where the MS is not only conflated with Neo-Darwinism but also 177 178 with the much later developments, such as Richard Dawkin's theory of the selfish gene (Dawkins 1976). Any serious student of the history of evolutionary biology should know that 179 180 the MS emphasized evolving populations of organisms, or "population thinking" in Mayr's terminology (Haffer 2007). The integrative nature of the MS with its emphasis on evolving 181 populations is therefore radically different from the more reductionistic perspective with 182 emphasis on individual genes, developed by Williams (Williams 1966) and Dawkins (Dawkins 183 1976). The more reductionistic genic perspective, in turn, is closely associated with 184 sociobiology and modern behavioural ecology that took place decades after the formation of 185 the MS (Ågren 2016). Lumping these later scientific and conceptual developments together 186 with the earlier MS neglects substantial differences between radically different research 187 traditions. 188

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The conflation of the MS, Neo-Darwinism and later schools of thought is by no means restricted 190 191 to Noble and colleagues, but can sometimes also be seen in the writing of orthodox population geneticists and theoretical evolutionary biologists, suh as Brian Charlesworth and colleagues 192 (Charlesworth et al. 2017). One recent example is provided by Stoltzfus (2019), who in 193 discussing the pioneering statistical approach to studying selection that was developed by Lande 194 and Arnold (Lande and Arnold 1983) argues that "quantitative genetics is the branch of 195 mathematical theory that most closely follows neo-Darwinian assumptions" (Stoltzfus 2019; p. 196 57). This is an interesting statement, considering that neither population nor quantitative 197 genetics existed as scientific fields more than 150 years ago when the term Neo-Darwinism was 198 first coined by Romanes. Today, the term Neo-Darwinism seems mainly to be used as a 199 pejorative label of the MS by those who would like to see radical conceptual change in 200 evolutionary biology (see e. g. (Koonin 2009; Noble 2015, 2021)), rather than as a descriptive 201 202 term for a specific historical school of thought.

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204 Another common but misleading characterization of the MS is to label it "the Modern Synthesis theory" (Müller 2017) or "Standard Evolutionary Theory" (SET) (Laland et al. 2015), 205 implying a closed and rigid system and a formal theory, against which challengers revolt. To 206 be fair, I have used the term SET myself when critically evaluating such claims (Svensson 207 2018). However, this was in response to the prior establishment of the term SET by Laland and 208 colleagues (Laland et al. 2015). Labelling the MS as a "theory" is, however, misleading, as it 209 was rather a loose conceptual framework of how to do science than a formal theory (Cain 2009). 210 211 Specifically, the establishment of the MS reflected a change in conceptual focus among biologists towards evolutionary processes operating within populations, away from the previous 212 focus on object-based natural history and individual organisms (Cain 2009). It is quite telling 213 that there are very few mathematical equations produced by any the leading architects of the 214

MS or something that could be called theory in any meaningful or substantial way. To be sure, 215 the MS relied on mathematical population genetics theory as one of several underlying 216 frameworks (among other influences), however it was not equivalent to population genetics but 217 went far beyond it (contra Müller 2017). In fact, the main architects behind the MS were 218 organismal biologists and systematists like Dobzhansky, Mayr and Rensch and the 219 paleontologist Simpson (Mayr 1993; Mayr and Provine 1998). Since the MS is a research 220 framework of how to do science and a perspective rather than a formal theory, it follows that it 221 cannot be replaced by any new theory let alone a new paradigm, which has even been admitted 222 by one of the leading critics of contemporary evolutionary biology; Massimo Pigliucci 223 224 (Pigliucci and Finkelman 2014).

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Another frequent characterization of the MS is that it is "gene centred" (Dupré 2021), implying 226 227 that it exclusively focusses on allele frequency changes in a shared gene pool, ignoring organismal evolution (Laland et al. 2015). Although Mayr is sometimes claimed to have held 228 229 this narrow gene-centric view, in his later writings he clearly distanced himself from the narrow view that evolution could solely be reduced to allele frequency changes (Haffer 2007). In fact, 230 one could probably argue the exact opposite: Mayr was sometimes not gene centric enough, 231 and frequently revealed his remarkable weak knowledge about population genetics theory, as 232 in the debate about bean bag genetics (Dronamraju 2011). The beanbag genetics debate showed 233 that Mayr did not seem to understand the finer details of mathematical population genetics 234 theory (Haldane 1964; Crow 2008) and revealed his lack of understanding that epistasis was 235 central to Sewall Wright's thinking and his population genetic framework (Steffes 2007). 236 Provine noted that something similar could be said about Dobzhansky's lack of understanding 237 of the details of mathematical population genetics in his collaboration with Sewall Wright 238 (Provine 1986). 239

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241 Recently, Huneman (2019) reminded us that the MS was hardly as monolithic as critics like Pigliucci, Laland, Noble and others have claimed it to be. One could even question the unity 242 243 and coherence between quite disparate research traditions within the MS (Svensson 2018; Huneman 2019). The MS can be characterized as containing two rather distinct research 244 traditions: one adaptationist school focused on natural selection, primarily in the UK (Lewens 245 246 2019) exemplified by the collaboration between Ford and Fisher, and a more pluralistic school in North America, exemplified by the collaboration between Sewall Wright and Dobzhansky 247 (Huneman 2019). In addition, we should not forget the German contribution to the MS with its 248 249 more structuralistic focus on development (Reif et al. 2000). The Israeli philosopher Ehud Lamm notes in a critical book review that the MS was a complex evolutionary process that is 250 now well behind us (Lamm 2018), and similar views have been expressed by some science 251 252 historians (Reif et al. 2000; Cain 2009). Today, the MS mainly serves as a rhetorical figure and an argument by those calling for radical conceptual change in evolutionary biology (Buskell 253 254 and Currie 2017; Lamm 2018). Clearly, much of the debate about the MS has less to do with the synthesis per se and instead reveals that some reformers and critics are mainly engaged in 255 an identity political culture war, where the MS is portrayed as more rigid and dogmatic than it 256 ever was. The limitations of the MS are thus often used as an excuse to criticize contemporary 257 evolutionary biology, including (real or perceived) gene centrism or reductionism (Pigliucci 258 2007; Noble 2013, 2015, 2017; Laland et al. 2014, 2015; Müller 2017). 259

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# 261 5.3 Gould's mixed legacy: strawman arguments and myths about the MS

Here, I will focus on two common strawman arguments against the MS that were raised repeatedly by Gould and – in spite of being refuted many times – continue to live on in ongoing debates. These two arguments are, first the so-called "isotropy assumption" about variation (Pigliucci 2019) and second, the claim that the synthesis "hardened" over time and became
narrowly focused on natural selection, ignoring other evolutionary processes such as genetic
drift (Gould 2002).

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The isotropy assumption is the claim that the MS assumes that variation is equally likely in all 269 directions (Pigliucci 2019). Taken to its logical extreme, the isotropy assumption would say 270 271 that all variation is free, and that characters do not covary (Fig. 5.1A,B). In the more technical language of evolutionary quantitative genetics, it would be equivalent to claim that all the off-272 diagonal elements in the genetic variance-covariance matrix (G)(Steppan et al. 2002) are zero, 273 i. e. a very strong claim that traits are genetically uncorrelated with each other (Fig. 5.1B). One 274 could visualize this supposed isotropy assumption as the off-diagonal elements of G being 275 276 spherical, rather than ellipses (cf. Fig. 5.1B vs. 1D). Such an extreme view is obviously a 277 caricature of both the MS and contemporary evolutionary biology. No leading evolutionary 278 biologist has such a naive view of unconstrained variation, to my knowledge. On the contrary, 279 it is clear that both Darwin and researchers working in the MS tradition were well aware of genetic correlations, correlated growth and and correlated responses to selection (Charlesworth 280 et al. 1982; Svensson and Berger 2019; Svensson 2020), revealed, for instance, by the rich 281 282 litterature on the evolution of allometric relationships (Bolstad et al. 2015; Tsuboi et al. 2018; Svensson et al. 2021). Yet, Pigliucci (2019) claim that this isotropy assumption of 283 developmental processes and variation is a key feature of the MS, essentially re-iterating 284 previous older arguments by Gould (2002). One wonders how Pigliucci deals with the fact that 285 Julian Huxley - one of the architects of the MS - coined the term "allometry", which is a prime 286 287 example of correlated variation and non-linear scaling relationships between traits (Huxley and Teissier 1936)? Did Huxley really assume isotropic variation? Indeed, the evolution of 288 allometric relationships is a a popular theme in contemporary evolutionary biology research 289

(Bolstad et al. 2015; Tsuboi et al. 2018). If the isotropy assumption is so widespread as Pigliucci 290 291 (2019) claims: why have then genetic correlations, correlated responses to natural selection and the evolution of genetic variance-covariance structures been the focus of so much evolutionary 292 293 biology research for decades (Lande 1979, 1980; Lande and Arnold 1983; Zeng 1988; Schluter 1996; Steppan et al. 2002)? The inevitable conclusion here is that the isotropy assumption is 294 neither an accurate characterization nor a strong argument against the MS or contemporary 295 296 evolutionary biology. See Salazar-Ciudad (2021) and Svensson and Berger (2019) for further critique of the isotropy claim. 297

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### **INSERT FIGURE 5.1 ABOUT HERE**

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Gould further claimed that the MS hardened, meaning that it became increasingly narrow and 301 exclusively focused on natural selection and that other evolutionary processes and stochasticity 302 303 thus were downplayed over time (Gould 1983, 2002). This so-called "hardening of the Modern Synthesis"-argument is a popular and widespread myth that has frequently been re-iterated by 304 several later authors (Pigliucci and Müller 2010a; Huneman 2019). In fact, this so-called 305 306 hardening argument has seldom been questioned, but it appears to be accepted at face value among some biologists and philosophers who otherwise seem to maintain a critical distance 307 308 from Gould (Huneman 2019). Here, I question Gould's claim that the MS hardened, in line with previous authors who have also critically dissected his highly biased historical narratives 309 (Orzack 1981; Sepkoski 2012; Svensson 2020). I argue that the hardening myth of the MS was 310 a deliberate exaggeration promoted by Gould to justify his own scientific project, aiming for 311 an expansion and radical revision of evolutionary theory (Gould 1980). To secure his place in 312 history, Gould pushed the hardening myth and other strawman arguments to paint a highly 313

biased view of the MS as excessively adaptationist and dogmatic, while brushing under the 314 315 carpet facts that ran counter to his views (Orzack 1981; Sepkoski 2012; Svensson 2020). An uncomfortable fact that does not fit Gould's narrative include the tension between "Wrightian" 316 317 and "Fisherian" population genetics that was present from the beginning of the MS, and which has shaped evolutionary biology ever since, contributing to its pluralism (Orzack 1981; Provine 318 1986; Coyne et al. 1997; Wade and Goodnight 1998; Goodnight and Wade 2000; Svensson 319 320 2018; Huneman 2019). Clearly, the Wrightian tradition with its emphasis on stochasticity, genetic drift and gene flow has been a key part of the MS (Provine 1986), especially in North 321 America (Huneman 2019), where Gould spent his entire academic career. The existence of the 322 323 Wrightian tradition therefore partly refutes Gould's claim about the excessive adaptationism of the MS (Orzack 1981). Moreover, the examples Gould used as evidence for his claim that the 324 MS hardened from its early formative years in the 1940s to later decades (Gould 2002) do not 325 326 hold up, upon critical inspection.

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328 Gould re-read both original and updated versions of Dobzhansky's and Mayr's early synthesisbooks (Dobzhansky 1937; Mayr 1942). He argued that there was a much stronger emphasis on 329 selection in explaining patterns of genetic variation, polymorphisms and clines in nature in the 330 331 later editions (Gould 2002). This stronger emphasis on selection was interpreted by Gould as an increasingly dogmatic attitude of these two major architects of the MS (Gould 1983, 2002). 332 An alternative, but more plausible interpretation is that both Dobzhansky and Mayr changed 333 their views in the face of new empirical evidence, rather than changing views for ideological 334 reasons or because they became more narrow minded. Dobzhansky, for instance, studied the 335 336 dynamics of chromosomal inversion polymorphisms in natural populations of Drosophila (Dobzhansky 1970). The reason for studying these chromosomal inversion polymorphisms was 337 that Dobzhansky collaborated with Sewall Wright and was interested in studying genetic drift 338

and testing aspects of the Shifting Balance Theory (Provine 1986). Dobzhansky therefore 339 340 picked (putatively) neutral markers like chromosomal inversions with the aim to study genetic drift. Dobzhansky and many others should be excused in that they could not see how this 341 342 seemingly arbitrary chromosomal character could be important to fitness or affect an organism's phenotype in the pre-DNA era. Dobzhansky thus started with a neutral expectation, 343 but soon - to his surprise and disappointment (!) - he found out that these chromosomal 344 inversion polymorphisms fluctuated predictably with season and changing temperatures 345 (Dobzhansky 1970; Provine 1986). These fluctuations implied that these chromosomal 346 inversion polymorphisms were not entirely selectively neutral and did not change in frequencies 347 348 solely by genetic drift, as he had hoped (Provine 1986). Thus, Dobzhansky's empirical insight that selection was operating on these chromosomal inversion polymorphisms can hardly be 349 characterized as a "hardening" or reflecting more dogmatic attitude where selection became 350 351 overemphasized. Instead it was rather the opposite: Dobzhansky clearly and at first underestimated the importance of selection. To the extent Dobzhansky updated his view and 352 353 increasingly recognized the importance of natural selection, it was a hardwon empirical insight, in striking contrast to Gould who never did any field work himself on extant organisms in 354 natural populations. Later work – on both *Drosophila* and many other organisms – has revealed 355 that chromosomal inversion polymorphisms are often targets of strong natural and sexual 356 selection with pronounced effects on organismal fitness (Noor et al. 2001; Kirkpatrick and 357 Barton 2006; Kupper et al. 2016; Hooper and Price 2017; Faria et al. 2019). Thus, the late 358 Dobzhansky was correct in upgrading the importance of selection, whereas the early 359 360 Dobzhansky clearly overestimated the importance of genetic drift.

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Gould (2002) further argued that another sign of the hardening of the synthesis was how Mayrchanged his view of polymorphisms from being described as selectively neutral in his early

book Systematics and the Origin of Species (Mayr 1942) to being mainly interpreted in adaptive 364 terms in his later book Animal Species and Evolution (Mayr 1963). In Mayr's 1963-book such 365 polymorphisms (e. g. colour polymorphisms) were characterized in adaptive terms and as being 366 367 the target of selection. However, Mayr's changed opinion hardly represents any hardening view, as claimed by Gould, but rather his increasing insights about the importance of selection 368 that the early Mayr clearly underestimated in 1942. Later empirical work on some of the 369 classical colour polymorphisms that were considered neutral characters by Wright and 370 contemporaries have revealed that they are more often than not targets of selection (Schemske 371 and Bierzychudek 2001; Turelli et al. 2001). More generally, recent research on colour 372 373 polymorphisms have revealed that such polymorphisms are often targets of natural or sexual selection and upon closer inspection are seldom neutral (Wellenreuther et al. 2014; Svensson 374 2017). The fact that both Gould and the early Mayr in 1942 assumed *a priori* that seemingly 375 376 meaningless polymorphisms would be selectively neutral might reflect a lack of understanding of population genetic theory by both of them. In all populations of limited size, genetic drift 377 378 will operate to a greater or lesser extent. The maintenance of polymorphisms and genetic variation therefore requires the operation of some selective mechanism, like overdominant 379 selection or negative frequency-dependent selection (Svensson 2017). Thus, it is the 380 381 maintenance of variation (i. e. polymorphisms) that requires a selective explanation, not the lack of variation (Svensson 2017). In contrast, lack of variation and the attainment of 382 monomorphism is the default expectation in all populations of limited size, an important aspect 383 of population genetic theory that neither Gould nor the early Mayr seemed to have fully 384 understood. 385

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A third example of how Gould's claim about the hardening of the MS reflects increasing
empirical insights and not just a dogmatic change in mindset by the synthesis architects is the

study on Darwin's finches by the British ornithologist David Lack (Lack 1945, 1947). There 389 390 were two versions of Lack's book: one monograph published in the series Occasional papers of the California Academy of Sciences in 1945 (Lack 1945) and another one only two years 391 392 later, published by Cambridge University Press (Lack 1947), but with very different messages. In the 1945-version, Lack interpreted interspecific differences in bill size and bill shape mainly 393 in non-adaptive terms, such as bills being selectively neutral and primarily functioning as 394 species isolation mechanisms (Lack 1945). In contrast, in the 1947-version, Lack instead 395 interpreted the same bill differences in ecological terms, as reflecting interspecific niche 396 differentiation in terms of food resources (Lack 1947). The 1947-version was received and 397 reviewed enthusiastically by Mayr, who held Lack in high regard and who emphasized his 398 contribution to bring in ecology to the MS (Haffer 2007). Gould (1983) interpreted this shift in 399 interpretation by Lack as another example of the hardening of the MS, presumably caused by 400 401 Lack's strong personal connection with Mayr (Haffer 2007). However, it seems much more likely that Lack's changed view reflected a genuine change of mindset, from a non-adaptive a 402 403 priori assumption that interspecific phenotypic differences are largely neutral and of little significance, to a more realistic ecological view where they at least partly contribute to enhance 404 survival and reproduction in a species niche (Lack 1947). In retrospect, we know now, thanks 405 to the impressive later empirical field work by Peter and Rosemary Grant, that the interspecific 406 bill differences in Darwin's Finches are indeed targets of natural selection and affect inter- and 407 intraspecific competition (Grant and Grant 2014). Therefore, the later 1947-version of Lack 408 turned out to largely be correct (Lack 1947). In Lack's pioneering work we therefore rather see 409 410 a careful and thoughtful naturalist who changed his opinion and adopted a more realistic view of phenotypic characters, away from an initially questionable assumption that these traits were 411 simply neutral and without any ecological importance to survival and reproduction. Gould -412 unlike Lack - was a paleontologist and not a field biologist. Gould's lack of appreciation of 413

414 ecology could explain why he did not understand and appreciate the importance of subtle and415 small phenotypic differences to organism's survival and reproduction.

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Summing up this section: Gould's characterization of the hardening of the MS can be turned 417 entirely upside down: it was a healthy shift away from the unfounded assumption that most 418 characters were strictly neutral and without any importance to fitness to a more ecologically 419 420 realistic view that even small phenotypic differences could be important. The changing perspectives of Dobzhansky, Mayr and Lack reflect a healthy updating of their views in the 421 face of new empirical evidence more so than any dogmatic stance. In fact, one can equally well 422 criticize the MS from the opposite perspective: the architects of the MS did not pay enough 423 attention to ecology and might have underestimated the importance of studying natural selection 424 425 directly in the field (Antonovics 1987; Endler and McLellan 1988). Antonovics (1987) pointed out that the architects of the MS typically did not bother to even measure natural selection in 426 427 natural populations. Similarly, Endler and McLellan (1988) emphasized that few of the leading 428 figures behind the MS worked in natural populations of non-model organisms. It was not until several decades after the MS that evolutionary biologists started to quantify natural and sexual 429 selection in the field to fill in this missing gap (Lande and Arnold 1983; Endler 1986). 430 431 Moreover, Mayr clearly underestimated the importance of natural selection and the importance 432 of different environments on islands and mainlands in his now largely discredited theory of effect speciation through genetic revolutions (Barton and Charlesworth 1984; Haffer 2007). 433 The founder effect speciation model – which Mayr was very proud of – is a strictly neutral 434 model with little or no role for natural selection. The founder effect speciaton model clearly 435 436 illustrates that Mayr often rather underestimated the power of natural selection, contra the claims by Gould (1983) and others who in Mayr see a strong and dogmatic adaptationist. The 437 myth that the MS hardened and that it only recognized natural selection is a historically 438

questionable view that Gould promoted, but it continues to be re-iterated by some critics who
argue that we need radical conceptual change of contemporary evolutionary biology (Laland et
al. 2014, 2015; Müller 2017).

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# 443 5.4 Extrascientific criticisms of the MS: Adaptation without natural selection?

Some past and recent criticism against the MS might not have only been scientifically 444 motivated, but extrascientific motives could also partly have played some role (Futuyma 2017). 445 Such extrascentific motives could be based on either ideology or religion, but they are often 446 dressed up as criticism of reductionism, or decrying the lack of any room for purpose in 447 evolution and in the MS, as exemplified by the writings by Noble (Noble 2013, 2015, 2017, 448 2021). Left-leaning biologists like Waddington and Gould were often critical of what they 449 450 perceived as genetic reductionism, and many times they had some good points in raising these criticisms (York and Clark 2011; Peterson 2017). However, perhaps they went too far and were 451 452 also for some ideological reasons opposed to population genetics? Waddington and Gould 453 might for partly ideological reasons have exaggerated their critique of population genetics and downplayed its huge importance for the development and progress of modern evolutionary 454 biology. Many public intellectuals and authors like Arthur Koestler openly flirted with 455 Lamarckism during the 20<sup>th</sup> century (Koestler 1971) because they felt that a Lamarckian world 456 with acquired inheritance would be more progressive and more hopeful than the cold Darwinian 457 world with no obvious room for any higher purpose (Futuyma 2017). The increased interest in 458 transgenerational epigenetic inheritance and the possibility that such epigenetic inheritance 459 might turn out to be adaptive can partly be explained by ideological leanings towards the 460 461 Lamarckian temptation (Haig 2007). This Lamarckian temptation still exist in the general public and even in a small minority of some vocal biologists. For instance Eva Jablonka - a 462 leading critic of the MS and a strong proponent of the EES - insists in using the term 463

Lamarckian for phenomena like epigenetic inheritance (Jablonka and Lamb 2007). Jablonka 464 465 was criticized for this by the philosopher Peter Godfrey-Smith and the biologists Mary Jane West-Eberhard and David Haig (Haig 2007; West-Eberhard 2007; Godfrey-Smith 2007). The 466 insistence that some variation – including mutations – are "directed" rather than random with 467 respect to the organism's current needs (Godfrey-Smith 2007) is an old but discredited idea that 468 never seems to go away, in spite of being firmly refuted in many experimental studies (Lenski 469 and Mittler 1993; Futuyma 2017; Svensson and Berger 2019). Recent attempts to associate 470 transgenerational epigenetic inheritance to Lamarckism does not hold upon closer critical 471 scrutiny (Deichmann 2016; Loison 2018, 2021). Some of these molecular mechanisms are 472 likely to have evolved by a standard process of natural selection and are therefore evolutionary 473 outcomes, rather than evolutionary drivers (Loison 2018). 474

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In light of the many failures during the 20<sup>th</sup> century to prove a central role for adaptive 476 477 Lamarckian inheritance in evolution, time now seems overdue to bury both Lamarckism and 478 Neo-Lamarckism (as well as Neo-Darwinism). Jablonka, Noble and others calling for an extension or expansion of evolutionary biology have certainly not helped their own cause by 479 flirting with Lamarckism and directed variation. The (provocative) rhetoric by some critics of 480 481 contemporary evolutionary biology and their insistence on pushing the Lamarckian angle is presumably the main reason why EES and TWE are still viewed with skepticism in large parts 482 of the evolutionary biology community (Welch 2016; Charlesworth et al. 2017; Futuyma 2017; 483 Svensson 2018). Noble's claim that conscious processes and other clearly adaptive features in 484 organisms evolved because they serve a purpose (Noble 2021) deliberately avoids referring to 485 486 natural selection, the only scientifically known evolutionary process that can systematically increase organismal adaptation across generations and which can explain adaptive organismal 487 design (Gardner 2013, 2017). Ironically, Noble's obsession with purpose puts him conceptually 488

somewhat close to the ultra-adaptationists and Darwinists Grafen and Gardner in the so-called 489 "Formal Darwinism" project (Grafen 2014; Lewens 2019a). The main but crucial difference is 490 that Noble denies a central role of natural selection in explaining (apparent) design and purpose 491 492 of organisms. Noble seems to search for some other explanation than natural selection of organismal adaptation and it is unclear if it is even a scientific one (Noble 2021). Similarly, 493 some critics of the MS and contemporary evolutionary more or less openly admit that they aim 494 495 to re-introduce metaphysical principles in biology by highlighting organismal "agency" (Walsh 2015; Buskell and Currie 2017; Dupré 2021), which the majority of evolutionary biologists, 496 including the present author, firmly reject, unless such perceived agency is described as an 497 outcome of natural selection. There are, however, many conceptual and philosophical problems 498 associated with introducing agency in to evolutionary thinking (Okasha 2018). 499

500

To the extent (apparent) purposeful organisms exist, evolutionary biologists explain their 501 502 currently adaptive traits by the standard process of natural selection that have operated on these 503 traits in the past and which still operate to maintain current function. This has implications for the odd idea of "adaptation without natural selection" or "adaptive evolution without natural 504 selection" (Pigliucci and Kaplan 2000; Kull 2014), which is the claim that some adaptive traits 505 506 that increase organismal survival and/or success in a given environment are not products of, or can not be explained by past or current natural selection. But adaptation without natural 507 selection is an oxymoron, at least if we measure adaptation in terms of fitness or organismal 508 performance. Any trait that enhances organismal fitness in a given environment relative to other 509 510 trait variants will (per definition) be target of selection, and once the trait has reached its 511 adaptive optimum, it will subsequently be maintained by stabilizing selection for its current utility (Reeve and Sherman 1993; Hansen 1997). Such traits might (or might not) have evolved 512 for their current function, but current utility implies ongoing stabilizing selection (Hansen 513

1997). Hence, currently adaptive traits are (per definition) targets of selection, illustrating that 514 515 adaptation without natural selection is a meaningless phrase. In addition, such traits could also have an evolutionary history of directional selection where they spread because of the 516 517 advantages they confer today, and only such traits would count as "true" adaptations, according to Gould and Vrba (Gould and Vrba 1982). Traits for which current utility differ from the 518 selective benefits that drove their original spread were labelled "exaptations" by Gould and 519 520 Vrba (1982) and exaptations were claimed to be qualitatively different from "true" adaptations. However, the term exaptation is problematic, as it sets up an arbitrary one-generation distinction 521 between the past and the present, as ultimately every trait must have evolved from another trait 522 523 in the first place. Moreover, exaptation is a one-generation term only, as a trait that is maintained by selection for only one generation for its current function will (per definition) become an 524 adapation (J. A. Endler, personal communication). Some authors insisting on using the term 525 526 adaptation without natural selection point to adaptive phenotypic plasticity as an example, which can generate a fit between organism and environment within a single generation 527 528 (Pigliucci and Kaplan 2000) or they argue for plasticity-led evolution as an alternative to adaptive evolution by natural selection (Kull 2014). The latter idea is often termed "plasticity 529 first" or described as "genes as followers, not leaders", referring to Mary Jane West-Eberhard's 530 original suggestions (West-Eberhard 2003). 531

532

533 Upon critical scrutiny, the superficial arguments above do not provide any evidence at all 534 against adaptive evolution being driven by natural selection. First, theory and empirical 535 evidence have clearly shown that adaptive phenotypic plasticity and phenomena such as genetic 536 assimilation can and are often targets of natural or sexual selection, show heritable variation 537 and can evolve by the standard process of selection (Lande 2009; Chevin et al. 2010; Svensson 538 et al. 2020). Second, the plasticity-first hypothesis and the idea of genetic assimilation of

originally plastic changes is (as indicated by the term "genetic" before assimilation) is not an 539 540 alternative to evolution by natural selection, but rather points to the possibility that the initial adaptive change can be achieved by a plastic adjustment of the organism followed by natural 541 selection on genetic variation that stabilizes the trait (Price et al. 2003; Lande 2009). West-542 Eberhard (2003) herself has been quite clear that her idea about plasticity-led evolution was not 543 mean to be an alternative to evolution by natural selection and genetic evolution, but rather an 544 545 *initiator* of subsequent evolutionary change, where phenotypes played a major role ("leaders") to the genetic change that followed ("genes as followers"). Specifically, she explicitly defines 546 evolution by stating (P. 32): 547

548

549 "Strictly speaking, the units that replicate themselves most precisely, and therefore have cross-generational effects
550 that both reflect past differential reproduction and affect future reproduction, are genes. So genes are the most
551 appropriate units of evolution."

552

This quote by West-Eberhard is interesting insofar it reveals she adopts a fairly traditional view 553 554 on the definition of evolution, and she does clearly not see plasticity and genetic assimilation as alternatives to adaptive evolution by natural selection. Following the definitions by leading 555 EES proponents (Laland et al. 2014, 2015), West-Eberhard, a leading proponent of plasticity's 556 role in evolution, could thus be classified as "gene centric". Interestingly, West-Eberhard 557 refused to co-author the papers by Laland et al. (2014; 2015) in their call for an EES. Her main 558 559 objection was that these authors did not explicitly define evolution in terms of genetic change (Kevin Laland, personal communication). The quote above by West-Eberhard also reveals the 560 problems of using the term "gene centric" for those being critical of the EES, as it is obviously 561 possible to emphasize plasticity and phenotypes in evolution, but still be labelled as a gene 562 centrist. 563

The objections above against adaptive plasticity and associated phenomena such as genetic 565 566 assimilation exemplify adaptive evolution without natural selection also apply to other within-567 generation phenomena that increase an organism's fitness in a given environment. Such withingeneration phenomena include thermoregulation and other regulatory behaviours, acclimation, 568 various physiological responses, dispersal, habitat selection etc. (Huey et al. 2003; Edelaar et 569 570 al. 2008; Edelaar and Bolnick 2019). Such adaptive within-generation modifications that increase an individual organism's fitness or even mean population fitness are ecologically 571 important, but should be viewed as adaptive outcomes of evolution by natural selection (Fig. 572 573 5.2), rather than adaptations that formed without natural selection (cf. (Pigliucci and Kaplan 2000; Kull 2014)). Once again, there is an important distinction between evolutionary processes 574 leading to between-generation changes and evolutionary outcomes, as revealed in various 575 adaptation expressed during the life-time of individual organisms (Lynch 2007; Gardner 2013). 576

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578

#### **INSERT FIG. 5.2 ABOUT HERE**

579

Finally, another class of extrascientific motives behind recent criticisms against the MS and 580 contemporary evolutionary biology could be boredom. All evolutionary biologists are (luckily) 581 582 not interested in the same things, and not everyone appreciates population and quantitative genetics. It is most likely one of the motivations behind Pigliucci's push for the EES, as 583 exemplified in a critical dissection of Brian Charlesworth's views, who had expressed the 584 585 opinion that most of the problems in evolutionary genetics had been solved: "Well, perhaps, but some of us are not ready for retirement just yet" (sic! P. 2744; (Pigliucci 2007). The author 586 of the present chapter has, in some discussions with leading proponents of the EES, 587

encountered similar attitudes, for instance that "reaction norms and population genetics are 588 boring". Similar views were expressed by the evolutionary developmental biologist Sean 589 Carroll in his book "Endless Forms Most Beautiful: The New Science of Evo Devo", where he 590 declared that the development of form in butterflies and zebras was a more inspiring story to 591 tell about evolution than changes in gene frequencies (Carroll 2006). Population geneticist 592 Michael Lynch was, however, rather blunt in his dismissal of this criticism of population 593 genetics and stated: "Evolutionary biology is not a story-telling exercise, and the goal of 594 population genetics is not to be inspiring, but to be explanatory." (Lynch 2007). He further 595 developed his criticism of Carroll and evolutionary developmental biology and the frequent 596 597 claim that this new field would supposedly overturn evolutionary biology by stating that "No principle of population genetics has been overturned by any observation in molecular, cellular, 598 or developmental biology, nor has any novel mechanism of evolution been revealed by such 599 600 *fields.* " (Lynch 2007).

601

Although I am not a population geneticist, I strongly agree with Lynch that *the primary goal of evolutionary biology is not to inspire but to explain*. For any theory that aims to be connected to the real world, we should prioritize explanatory power over beauty. I strongly suspect that my view is shared by most of my empirically oriented evolutionary biologist colleagues. The fact that not everyone gets inspired by population and quantitative genetics theory is not a strong or compelling argument that we need major conceptual change in our field.

608

### **5.5 Scientific criticisms of the MS: from Waddington and Gould to the EES**

610 It did not take a long time after the formation of the MS for the developmental biologist Conrad611 Waddington to express his discontent and bitterness against what he called *COWDUNG*, or

"Conventional Wisdom of the Dominant Group" (Peterson 2017). Waddington even negatively 612 labelled his former collaborator and co-author Haldane as a simple "Neo-Darwinist" (Peterson 613 2017). Waddington's decision to distance himself from Mayr, Dobzhansky, Haldane and other 614 615 leading architects of the MS probably contributed to make his influence on modern evolutionary biology much less than it could potentially have been (Peterson 2017). Waddington was 616 617 therefore not able to incorporate his views about epigenetics in to the mainstream of the MS 618 (Wilkins 2008). It is still an open question whether this was mainly the fault of Waddington himself – deliberately distancing himself from the mainstream – or due to attitudes from Ernst 619 Mayr and the other synthesis architects (Peterson 2017). 620

621

In a similar vein, the late paleontologist Stephen Jay Gould rather decisively and aggressively 622 distanced himself from the MS and Neo-Darwinism (Sepkoski 2012). Interestingly, Gould 623 started his career as a fairly mainstream evolutionary biologist, building upon Ernst Mayr's 624 625 rather orthodox theory of allopatric speciation (Mayr 1942). Gould and his collaborator Niles 626 Eldredge incorporated this allopatric theory in to their own theory of "punctuated equilibrium" (Eldredge and Gould 1972; Sepkoski 2012). After successfully establishing 627 himself as a major player in the new and growing field of paleobiology and mathematical 628 629 models in macroevolution, Gould devoted a large part of his late career to popular science columns in the journal Natural History (Sepkoski 2012). Gould also developed his criticisms 630 of the MS in many articles (Gould and Lewontin 1979; Gould 1980; Gould and Vrba 1982) 631 as well as in his late magnum opus The Structure of Evolutionary Theory (Gould 2002), 632 published in 2002, the same year as his death. In his critique of the MS and Neo-Darwinism, 633 634 Gould made several strong claims that received strong criticisms from other evolutionary biologists (Orzack 1981). Some of Gould's critics argued that he used extensive strawman 635 arguments against the MS (see section "5.3 Gould's mixed legacy: strawman arguments and 636

myths about the MS" to justify his own scientific agenda aiming for a major paradigm shift in 637 evolutionary biology (Sepkoski 2012; Svensson 2020). While few would question Gould's 638 scientific contributions to paleobiology, his popular outreach and his admirable fight against 639 creationism, a common (and still valid) criticism of his work is that he strongly exaggerated 640 the rigidity of the MS (Sepkoski 2012). For instance, Gould's biased characterization of the 641 MS as excessively deterministic and adaptationist and his claim that it ignored random factors 642 and stochasticity (Gould 1980, 1981) received strong criticism by Orzack, Charlesoworth, 643 Lande and Slatkin who also pointed to the influence of Sewall Wright on the development of 644 the MS (Orzack 1981; Charlesworth et al. 1982). 645

646

Some of the arguments used by Gould – despite being repeatedly countered and in many cases 647 648 refuted -have survived also after Gould's death, and they regularly resurface in ongoing calls about the necessity to extend the MS (Pigliucci 2007, 2009; Laland et al. 2015) as well as in 649 650 more radical calls for the entire replacement of MS (Noble 2013, 2015, 2017; Müller 2017). 651 Many critics re-iterate Waddington's and Gould's arguments in their calls for an entirely new 652 synthesis, and argue that insights from developmental biology and epigenetic mechanisms (Table 5.1) in themselves necessitate a major revision, extension or replacement of the MS. 653 654 While it is quite clear that we now live in a post-Synthesis period, it is striking how the same old tired arguments by Waddington and Gould resurface at regular intervals. In contrast, other 655 relevant criticisms against the limited scope of the MS, such as its relative neglect of ecology 656 (Antonovics 1987; Endler and McLellan 1988), are more seldom discussed. 657

658

659 5.6 Recent challenges to the MS

In the previous sections, I have shown how Gould systematically mis-characterized the MS for several decades, making highly exaggerated claims about its strict focus on natural selection and downplaying its pluralistic nature. However, discontent with the MS was present from its early days, and a number of extensions, expansions and various "add-ons" have been suggested (Table 5.1). Some molecular, developmental and theoretical biologists even argue that the MS should be abandoned entirely or replaced, as it can no longer be fruitfully extended (Koonin 2009; Stoltzfus 2017; Müller 2017).

667

Closer inspection of Table 5.1 lead me to two conclusions. First, calling for a new synthesis by 668 pointing to limitations of the MS has been a popular and widely used tactic by critics for 669 decades to express strong discontent and push for new ideas, as already noted and discussed by 670 some philosophers and historians (Buskell and Currie 2017; Lamm 2018). In this context, the 671 MS has mainly served as a justification for other grand projects, often also labelled "syntheses" 672 673 of various kind. Second, the sheer number of phenomena that have been claimed to be missing 674 from the MS is rather bewildering, and it often difficult to see what these different factors have in common (Table 5.1). For instance, in an early call for an Extended Evolutionary Synthesis 675 (EES), Pigliucci listed "evolvability, phenotypic plasticity, epigenetic inheritance, complexity 676 677 theory and high-dimensional adaptive landscapes" as phenomena largely unexplained by the MS (Pigliucci 2007). The last of these phenomena – high-dimensional adaptive landscapes – is 678 odd, as this is a rather orthodox theoretical evolutionary genetic concept, developed by Sergey 679 Gavrilets (Gavrilets 2004), who has clearly distanced himself from the EES (Gavrilets 2010). 680 A few years later, Dennis Noble added "replicator theory, genomic evolution and multi-level 681 selection" to his version of the "Integrated Synthesis" (Noble 2015). About the same time as 682 Noble, Kevin Laland and colleagues restricted themselves to four phenomena in their version 683 of the EES: Developmental bias, plasticity, non-genetic inheritance and niche construction 684

(Laland et al. 2015). Before these recent initiatives, we saw Gould called for incorporation of *species selection, hierarchical theory* and *macroevolution* in his proposed expanded version of
evolutionary theory (Gould 1982). Already in the 1950s Waddington highlighted *genetic assimilation, epigenetics* and *gene interaction* that he felt were missing from the MS
(Waddington 1957; Wilkins 2008; Peterson 2017).

690

The sheer variety of disparate phenomena listed as challenges to the MS (Table 5.1) therefore 691 easily gives the impression that various critics have compiled their own "laundry lists" of 692 favourite topics that they feel have been duly neglected (Welch 2016). Or - to put it bluntly -693 many of these phenomena have little to do with each other, and more reflect the personal 694 interests of critics and what they consider to be important. However, as material for a new 695 696 synthesis, it is obviously not enough to list a number of interesting phenomena, but there must also be some common thread connecting them together in a convincing conceptual or 697 698 theoretical framework. Otherwise, biology risks becoming what the physicist Ernst Rutherford 699 dismissed as the mere "stamp collecting" of various facts, but with no theoretical coherence. It 700 is therefore not entirely unexpected that the novelty and theoretical coherence of the EES has been questioned by some philosophers (Lewens 2019b; Buskell 2019, 2020; dos Reis and 701 702 Araújo 2020) and evolutionary biologists (Welch 2016; Charlesworth et al. 2017; Futuyma 2017; Svensson 2018). A rather blunt recent criticism of the EES is that it is neither an extension 703 nor a proper synthesis (dos Reis and Araújo 2020). 704

705

What should we make of all this? On the one hand, proponents of an EES have clearly highlighted some interesting phenomena that deserve to be studied more in depth, such as plasticity and non-genetic inheritance (Laland et al. 2015). On the other hand, skepticism

towards a new synthesis based on these and other phenomena prevail in the evolutionary 709 710 biology community and the EES is far from being embraced by the mainstream. One impression one gets from Table 5.1 is that advocates of various extensions of the MS are conceptually split 711 among themselves and have difficulties in finding common ground. In particular, while some 712 EES-proponents strive for a simple extension (Pigliucci 2007, 2009; Laland et al. 2015) more 713 radical critics instead strive for "replacement", or something we might consider a true paradigm 714 715 shift (Noble 2013, 2015, 2017). Whereas the former camp can be viewed as "reformers", the latter are better labelled as "revolutionaries". A second impression from Table 5.1 is that it is 716 unclear why particular phenomena are highlighted as arguments for an extended synthesis (e. 717 718 g. plasticity, developmental bias, niche construction and non-genetic inheritance; (Laland et al. 2015)), whereas other interesting topics like the link between microevolutionary processes and 719 macroevolutionary patterns (Uyeda et al. 2011; Bell 2012; Hansen 2012; Svensson and 720 721 Calsbeek 2012a; Arnold 2014) are not included. The link between micro- and macroevolution is even outrightly dismissed by some EES-proponents (Müller 2017): 722

723

"A subtler version of the this-has-been-said-before argument used to deflect any challenges to the received view 724 725 is to pull the issue into the never ending micro-versus-macroevolution debate. Whereas 'microevolution' is 726 regarded as the continuous change of allele frequencies within a species or population ..., the ill-defined 727 macroevolution concept ..., amalgamates the issue of speciation and the origin of 'higher taxa' with so-called 728 'major phenotypic change' or new constructional types. Usually, a cursory acknowledgement of the problem of 729 the origin of phenotypic characters quickly becomes a discussion of population genetic arguments about 730 speciation, often linked to the maligned punctuated equilibria concept..., in order to finally dismiss any necessity 731 for theory change. The problem of phenotypic complexity thus becomes (in)elegantly bypassed. Inevitably, the 732 conclusion is reached that microevolutionary mechanisms are consistent with macroevolutionary phenomena ..., 733 even though this has very little to do with the structure and predictions of the EES. The real issue is that genetic 734 evolution alone has been found insufficient for an adequate causal explanation of all forms of phenotypic complexity, not only of something vaguely termed 'macroevolution'. Hence, the micro-macro distinction only 735

r36 serves to obscure the important issues that emerge from the current challenges to the standard theory. It should
r37 not be used in discussion of the EES, which rarely makes any allusions to macroevolution, although it is sometimes
r38 forced to do so."

739

This rather blunt dismissal of macroevolution by Müller (2017) is certainly not a view shared 740 by many evolutionary biologists, including myself. Interestingly, this outright dismissal of 741 macroevolution by Müller above reveals a view and a lasting legacy that the EES seems to have 742 inherited from the MS, where macroevolution was sometimes simply viewed only as 743 744 "microevolution writ large" (Sepkoski 2012), although it is important to emphasize that at least some representatives of the MS accepted macroevolution as an autonomous field of research, 745 distinct from microevolution (Stebbins and Ayala 1981) The comment is also interesting as it 746 reveals what Müller thinks is the challenging and interesting problem: the evolution of 747 organismal complexity. For Müller and other critics of the MS and contemporary evolutionary 748 749 biology, complexity is *the* big problem that we should focus on in explaining, rather than the micro- and macroevolution link. 750

751

For several reasons, I think Müller's viewpoint is mistaken and a dead end. First, complexity is 752 by no means easily defined, let alone explained. In fact, some complexity at the molecular level 753 might have little if anything to do with adaptive processes such as natural selection, but can 754 arise through neutral evolution alone. For instance, the theory of Constructive Neutral Evolution 755 (CNE) postulates that the accumulation of neutral mutation could build up considerable 756 complexity at the molecular level without any need for directional natural selection (Stoltzfus 757 1999; Muñoz-Gómez et al. 2021). There is some recent experimental evidence for CNE from a 758 study of long-term evolution of vertebrate steroid receptors that have increased in complexity 759 simply through neutral evolution (Hochberg et al. 2020). Such neutral evolution acted in a 760

31

ratchet-like fashion, leading to a state where current complexity is maintained by purifying 761 762 selection (Hochberg et al. 2020). I strongly suspect that Müller and other EES-proponents with their strong focus on organismal phenomena are not very interested in such neutral evolution at 763 764 the molecular level and its consequences. Müller (2017) and others seem to implicitly assume that complexity per se always needs some non-neutral explanation. That is not necessarily the 765 766 case. Null models of evolution can successfully explain the evolutionary increase in both 767 complexity and diversity (McShea and Brandon 2010). In contrast, natural selection is sofar the only known evolutionary force that can systematically increase fitness across generations and 768 that can convincingly explain the evolution of (apparent) purpose and adaptive features of 769 770 organisms (Gardner 2017). Null models like the Neutral Theory do not seem to be held in high regard in the EES community and it is hardly mentioned in their writings (see e. g. (Pigliucci 771 2007, 2009; Laland et al. 2015)). This striking neglect in the EES community contrasts with 772 773 much of mainstream contemporary evolutionary biology and the population genetics community where Neutral Theory holds a central position (Kern and Hahn 2018; Jensen et al. 774 775 2019).

776

# 5.7 The re-emergence of mutation-driven evolution and directed variation?

778 The architects of the MS correctly dismissed several alternative but now firmly discredited evolutionary processes, such as the inheritance of acquired characters (i. e. Lamarckism), 779 780 orthogenesis (i. e. the innate tendency of organisms to evolve in certain directions towards a "goal"), saltationism (evolution by large mutations) and the idea that mutations were the main 781 drivers of evolution rather than natural selection ("mutationism")(Gould 2002). The idea that 782 783 mutations were the main drivers of evolution was championed by early Mendelians like Hugo de Vries, Gregory Bateson and Thomas Hunt Morgan. These geneticists focused on mutations 784 of large visible effects, such as eye colour and wing mutants in Drosophila, often with abnormal 785

phenotypic effects (Gould 2002) but of questionable ecological importance for adaptive 786 evolution in natural populations. These laboratory-based geneticists did apparently not 787 understand or appreciate the importance of natural selection, as they studied organisms in strict 788 laboratory settings and they had little direct research experience from natural populations. It 789 was only when Dobzhansky entered the laboratory of Thomas Hunt Morgan that this 790 experimental genetic laboratory tradition in the US was merged with studies of natural 791 populations that he was trained in from his early educational years in Russia and the Soviet 792 Union (Gould 2002). As a result, Dobzhansky had a deep understanding of both genetics and 793 natural history and he realized that although mutations were an important part of the 794 795 evolutionary process, in themselves they could not achieve much without the aid of natural selection (Dobzhansky 1970; Provine 1986). Both Dobzhansky and other contemporary 796 evolutionary biologists like Haldane understood that mutations were the ultimate source of 797 novel genetic variation and they both wrote about the mutational process in the years 798 799 immediately preceeding the MS (Dobzhansky 1933; Haldane 1933). But these evolutionary 800 biologists concluded - correctly as it later turned out - that mutations alone were unlikely to explain long-term directional evolution at the phenotypic level, in contrast to the claims of the 801 early mutationists. 802

803

Given the strong experimental and empirical evidence against directed mutations (Lenski and Mittler 1993; Futuyma 2017; Svensson and Berger 2019) and the failure of the early mutationists to appreciate the power of natural selection, it is interesting that some contemporary evolutionary biologists insist in pushing for a revival of mutationism or mutationdriven evolution (Stoltzfus 2006; Nei 2013; Stoltzfus and Cable 2014). Mutationism was closely connected to the theory of orthogenesis – the idea that internal factors were primarily responsible for evolutionary change and that the external environmental factors (*aka* natural

selection) played only a minor role (Gould 2002; Stoltzfus 2006; Stoltzfus and Cable 2014). 811 According to the early Mendelians and mutationists, large-effect visible mutations were 812 important, and the role of natural selection was mainly to sort out the unfit variants. The 813 814 mutationists contrasted such a negative role of selection with the mutational process that they felt was the real driver of evolutionary change. For good reasons, this view was firmly rejected 815 by the development of quantative genetics theory and empirical insights from plant and animal 816 817 breeding (Fisher 1918). The early mutationists clearly overestimated the importance of mutations and underappreciated standing genetic variation and the creative role of natural 818 selection, and mainly saw selection as a "sieve" that could only sort out the unfit (Beatty 2016, 819 820 2019). However, the sieve-analogy underestimates the importance of standing genetic variation for adaptation (Barrett and Schluter 2008) and modern views of natural selection emphasize its 821 multivariate nature, and its more creative role in shaping the genetic and phenotypic correlation 822 823 structure of organisms (Sinervo and Svensson 2002; Svensson et al. 2021).

824

825 Those who try re-instate mutationism and mutation as the main driver of evolutionary change are therefore likely to face strong resistance, for good historical and scientific reasons. No 826 serious evolutionary biologist today would question that mutations is the ultimate source of 827 828 novel genetic variation, and in neutral evolution (where selection is per definition is absent), such neutral mutation pressure can lead to directional evolutionary trends (Sueoka 1988; 829 Svensson and Berger 2019). Moreover, mutation-driven neutral evolution can potentially result 830 in increased molecular complexity, as emphasized in Constructive Neutral Evolution (CNE), as 831 discussed in the previous section (Stoltzfus 1999; Hochberg et al. 2020; Muñoz-Gómez et al. 832 2021). There is clearly a potential role for CNE at the molecular level. However, it is important 833 to underscore that even if the initial buildup of such molecular complexity would be entirely 834 neutral and mutation-driven and with no role for natural selection, as soon as these molecular 835

complexes are affecting aspects of the organismal phenotype and thereby likely its fitness (e.
g. cell physiology and other aspects of cellular performance), they would immediately and (per
definition) become targets of purifying selection (Hochberg et al. 2020; Brunet et al. 2021).

839

Likewise, few evolutionary biologists deny that genetic effective population size (Ne) 840 determines the efficacy of natural selection, which becomes weaker and less powerful when Ne 841 842 is low, i. e. approaching neutrality (Lynch 2007, 2010; Jensen et al. 2019; Svensson and Berger 2019). It is uncontroversial to say that the likelihood of mutation bias leading to a fixation bias 843 increases with the inverse of Ne, as selection then becomes weaker relative to genetic drift 844 (Lynch 2007). These insights from standard population genetic theory are far away from the 845 original claims by the early mutationists. Mutation bias is, however, unlikely to play an 846 847 independent role in adaptive evolution, unless it is aided by genetic drift and/or selection (Lynch 2007; Svensson and Berger 2019). Recently, Gomez et al. (2020) claimed, based on two-locus 848 849 population genetic models, that mutation bias can play an important role in adaptive evolution 850 provided that differences in mutation rates between loci are large relative to differences in selection coefficients and assuming that beneficial mutation rates were similar in magnitude to 851 deleterious mutation rates. However, these asexual models in Gomez et al. (2020) assumed 852 853 strong linkage disequilibrium and seem mainly suited to describe the evolutionary dynamics of microbes rather than outbred sexual organisms without such strong linkage disequilibrium. 854 These and several other models of how mutation bias can result in mutation-biased adaptation 855 are mainly one or two-locus population genetic models (Yampolsky and Stoltzfus 2001; Lynch 856 2007; Stoltzfus and Yampolsky 2009; Svensson and Berger 2019). To my knowledge there is 857 858 not yet any quantitative genetic model of how mutation bias can influence adaptive evolution of continuous traits. These population genetic models with their underlying assumptions of 859 relatively simple genetic architectures have shown that mutation bias can indeed result in 860

mutation-biased adaptation under some rather strict conditions, such as in the presence of sign 861 862 epistasis, fitness neutrality, small effective population size and/or when beneficial mutation rates are large relative to selection coefficients ((Yampolsky and Stoltzfus 2001; Lynch 2007; 863 Stoltzfus and Yampolsky 2009; Svensson and Berger 2019). The assumptions of relatively high 864 beneficial mutation rates relative to selection coefficients are entirely consistent with Haldane's 865 866 and Fisher's early "opposing pressure" argument of why mutation rates need to be very high to 867 overcome selection coefficients, i. e. mutation-selection balance (Fisher 1930; Haldane 1927; 1932; 1933). Thus, while mutation bias can theoretically indeed result in mutation-biased 868 adaptation, it is mainly an empirical question how often and to what extent these rather stringent 869 870 conditions for this to happen are fulfilled in natural populations, particularly for outbred sexual organisms and in populations with low mutation rates. When mutation-biased adaptation does 871 872 occur, mutation bias does not operate in isolation from natural selection, although it leaves a 873 molecular signature of unique mutational events that evolutionary biologists traditionally have conceptualized as historical contingencies, and which are easily accommodated within the 874 875 contemporary evolutionary theoretical framework (Svensson and Berger 2019).

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Most evolutionary biologists today view mutations as a stochastic evolutionary process with no 877 878 directionality or purpose, with no foresight or any tendency for mutations to systematically increase organismal fitness across generations (Svensson and Berger 2019). This view has 879 strong empirical support (Svensson and Berger 2019), although the representatives from the 880 fringe movement TWE (James Shapiro and Dennis Noble) question this and claim a role for 881 adaptive directionality, purpose and functionality of novel mutations (Shapiro 2011; Noble 882 883 2013, 2017). These authors claim that various aspects of genome organization and gene expression in organisms are clearly functional and that these functional aspects of the genome 884 contradicts the traditional view of mutations as random (with respect to current utility and future 885

adaptation; (Svensson and Berger 2019)). For instance, Noble (2017) argues that the existence 886 of functionally significant targeted somatic hypermutations during the lifetime of individual 887 organisms contradict the standard assumption in evolutionary theory that mutations are random 888 889 with respect to fitness. Noble points to somatic mutations in the vertebrate immune system as an example of such adaptive design, where mutations seem to have purpose, indicating adaptive 890 foresight (Noble 2017). However, both Noble and Shapiro conflate somatic mutations and 891 changes within the lifetimes of individual organisms with germline mutations and evolutionary 892 change across generations (Gardner 2013). Noble and Shapiro also overlook fundamental 893 differences between somatic mutations and germline mutations, such as that the former are 894 higher (Moore et al. 2021), and are also targets of selection due to their strong link to lifespan 895 and other life-history characteristics (Cagan et al. 2021). The existence of highly sophisticated 896 molecular repair mechanisms, patterns of adaptive gene expression, methylation and other 897 898 epigenetic mechanisms that Noble and Shapiro highlight is no evidence at all against natural 899 selection operating on random mutational input (Gardner 2013). Instead, and much more likely, 900 natural selection has operated on and shaped these molecular mechanisms and other aspects of genomic architecture (Sinervo and Svensson 2002; Svensson et al. 2021), including both 901 somatic and germline mutation rates (Lynch 2010; Cagan et al. 2021; Moore et al. 2021). 902 903 Molecular features at the genomic level are therefore shaped by selection, drift, mutation and recombination (Lynch 2007; Gardner 2013; Svensson et al. 2021), and should be viewed as 904 evolutionary outcomes rather than as evolutionary processes in their own right (Loison 2018). 905 Again, we are reminded that a common mistake made by some critics of contemporary 906 907 evolutionary biology – not only Noble and Shapiro – is to conflate evolutionary processes with the products of evolution (Lynch 2007). 908

In contemporary evolutionary biology, the stochastic nature of mutations is often 910 conceptualized as historical contingency and the role of chance (Losos et al. 1998; Blount et al. 911 2018; Svensson and Berger 2019). Thus, adaptive evolution reflects the balance between the 912 913 deterministic role of natural selection that systematically increases organismal adaptation across generations ("survival of the fittest"), and the nature and arrival order of novel mutations 914 that selection can act upon, the latter often called "arrival of the fittest" (Wagner 2015). All else 915 916 being equal, if selection operates on a character governed my multiple loci, those loci with the highest mutation rates are more likely to produce novel adaptive mutations that can be "seen" 917 by selection and which subsequently will increase in frequency and become fixed through 918 919 successive selective sweeps (Xie et al. 2019). A case in point is the adaptive evolution of pelvic reduction in stickleback fish (Gasterosteus aculeatus) after colonization and adaptation to 920 freshwater habitats in postglacial lakes (Xie et al. 2019). Molecular studies have revealed that 921 922 such pelvic reductions are achieved by recurrent deletions which are produced by *Pitx1* enhancer sequences that increase double-strand breaks (Xie et al. 2019). As a result, elevated 923 924 mutation rates at this locus contribute to make genomic evolution highly parallel and more predictable, through repeated and adaptive phenotypic changes. However, the spread and 925 fixation of these novel mutations are still driven by natural selection in the new freshwater 926 habitat (Xie et al. 2019). This example illustrates that elevated mutation rates alone are not 927 sufficient to drive adaptive and parallel evolution, but natural selection plays a crucial role in 928 the spread and fixation of novel variants. More generally, the role of mutational stochasticity, 929 including the arrival order of novel mutations has been recognized in contemporary 930 931 evolutionary biology, both theoretically and empirically, e. g. in mutation-order speciation (Schluter 2009) and in research on historical contingencies (Blount et al. 2018). Those arguing 932 for mutation bias as an entirely novel evolutionary principle (Yampolsky and Stoltzfus 2001; 933 Stoltzfus and Yampolsky 2009; Gomez et al. 2020) might therefore have somewhat exaggerated 934

their cause. It is currently difficult to see that mutation-driven evolution or mutation bias (Nei
2013; Stoltzfus and Cable 2014) would require a major revision of the current already quite
pluralistic theoretical framework of evolutionary biology.

938

### 939 **5.8** Developmental bias, niche construction, non-genetic inheritance and plasticity

The most recent challenge to contemporary evolutionary biology is the push for an EES (Table 940 941 5.1), as exemplified by the writings by Kevin Laland and colleagues (Laland et al. 2014, 2015). The EES group argues that the current theoretical framework with only four main evolutionary 942 943 processes (selection, drift, recombination and mutation) based on population genetic theory (Lynch 2007; Svensson and Berger 2019) is incomplete, and fails to fully explain phenotypic 944 evolution and organismal adaptation (Laland et al. 2014, 2015). Specifically, they argue that 945 946 evolutionary theory needs to take in to account four additional processes that they claim have been neglected: phenotypic plasticity, developmental bias, niche construction and nongenetic 947 inheritance (Laland et al. 2015). Although many evolutionary biologists agree with Laland and 948 colleagues that these are important and interesting topics, it has been questioned if these 949 phenomena are really they game changers have been portrayed to be, and they do not 950 necessarily require a novel conceptual framework (Welch 2016; Charlesworth et al. 2017; 951 Gupta et al. 2017; Futuyma 2017; Svensson 2018). A major criticism is that these four factors 952 are all possible to incorporate in the current theoretical framework. I will not re-iterate these 953 954 criticisms in detail here, but briefly discuss why these four factors are not evolutionary processes of the same kind as the evolutionary forces in population genetic theory (Lynch 2007; 955 Svensson and Berger 2019). 956

I suggest we can view these four factors as either evolutionary outcomes or source laws, and 958 959 sometimes both, when there exist feedbacks between evolutionary outcomes and selection (Fig. 5.2). However, I argue that these four factors are not *consequence laws* like the traditional 960 961 evolutionary processes of genetic drift, mutation, recombination and selection (Sober 1984; Endler and McLellan 1988)(Fig. 5.2). Here, I define source laws, following the definitions by 962 Sober (1984), as the underlying causes of fitness differences, selection and mutation rates etc. 963 964 Examples of source laws are temperature, radiation, predation, climate and most aspects of the external or internal abiotic or biotic environment organisms experience (Fig. 5.2). The source 965 laws influence the consequence laws, which directly change the heritable composition of 966 populations. Source laws, therefore, only indirectly influence the heritable composition of 967 populations, but they are important as they are the ultimate factors causing fitness differences 968 between phenotypes or genotypes (Sober 1984). Source laws therefore arise from ecological 969 970 and physical conditions, morphology and physiology of organisms, whereas consequence laws are thus the evolutionary effects of these fitness differences (Sober 1984; Endler and McLellan 971 972 1988). Population genetic theory is a theoretical framework mainly focused on evolutionary forces, such as the consequence laws of selection, drift, mutation and recombination and how 973 these consequence laws change the heritable compositions of populations (Sober 1984). In 974 contrast, the source laws deal with how variation in fitness arises and how fitness-trait 975 covariance relationships change due to changes in the biotic and abiotic environment (Endler 976 and McLellan 1988; Wade and Kalisz 1990). Source laws are typically studied within the 977 domain of ecology, rather than belonging to population genetics (Brandon 1990; Wade and 978 979 Kalisz 1990; Svensson and Sinervo 2000; Siepielski et al. 2017). Needless to say: a full understanding of evolution will require a deep understanding of both source laws and 980 consequence laws, i. e. both of the ecological agents of selection and the evolutionary changes 981

that follow from how selection and the other evolutionary forces operate on populations (Endlerand McLellan 1988; Wade and Kalisz 1990).

984

The four factors highlighted by Laland and colleagues can therefore be viewed as source laws 985 that influence the strength, direction or mode of natural selection (Fig. 5.2). For instance, 986 phenotypic plasticity and various forms of habitat selection of organisms can counteract natural 987 988 selection, as exemplified by adaptive thermoregulatory behaviours in reptiles and the so-called "Bogert effect" (Huey et al. 2003). In addition, but not mutually exclusive, these four factors 989 can also be viewed as evolutionary outcomes, or products of selection (Fig. 5.2). Under this 990 alternative perspective, these four factors are adaptive traits that are shaped by current and past 991 natural selection, but such traits can also shape future evolution on themselves. For instance, 992 993 there exists a well-developed quantitative genetic theory of the evolution of phenotypic plasticity and reaction norms (Lande 2009; Chevin et al. 2010; Chevin and Lande 2011) that 994 995 has also inspired empirical research in natural populations (Svensson et al. 2020). Under this 996 view, phenotypic plastic traits are modelled and conceptualized as function-valued traits (Kingsolver et al. 2001), where trait values are not fixed but change with the environment 997 (Stinchcombe and Kirkpatrick 2012). In this framework, reaction norms are viewed as 998 999 composite phenotypes, and their intercepts and slopes can be treated as traits that are targets of selection (Lande 2009; Chevin et al. 2010; Svensson et al. 2020). The highly successful 1000 1001 quantitative genetic research program on phenotypic plasticity therefore partly contradicts the claims by Laland and colleagues that phenotypic plasticity is neglected in contemporary 1002 evolutionary biology. On the contrary, phenotypic plasticity has been a major research theme 1003 1004 for decades, starting already in the 1980s (Via and Lande 1985).

1006 Similarly, the argument that contemporary evolutionary biology neglects developmental bias and naively assumes isotropic variation, i. e. lack of correlations between traits (Gould 2002; 1007 Pigliucci 2019) is obviously incorrect (Fig. 5.1; see also section "5.3 Gould's mixed legacy: 1008 strawman arguments and myths about the MS" for more detailed critique). As a counter 1009 argument to this claim, one can point to an extensive body of population and quantitative 1010 genetic research exploring mutational pleiotropy (Lande 1980), correlational selection and its 1011 1012 consequences for genetic correlations (Cheverud 1984; Phillips and Arnold 1989; Sinervo and Svensson 2002; Svensson et al. 2021) and the evolution of genetic covariance structures in 1013 general (Steppan et al. 2002). The term developmental bias does also have some inherent 1014 1015 problems as development will nearly always be non-isotropic (Salazar-Ciudad 2021). In a quantitative genetic context, developmental bias may not even be meaningful or informative, 1016 as it adds very little to our current understanding (Svensson and Berger 2019). Insightsful 1017 1018 quantitative geneticists pointed out several decades ago that genetic variances and covariances estimated at the population level do not only reflect genetics alone, but also epigenetic and 1019 1020 developmental effects as well as revealing the history of past ecology and selection (Cheverud 1984). Interest in developmental bias has its intellectual roots in structural explanations of 1021 animal form, based on physical principles, development, and ideas about self-organization, as 1022 exemplified in the work by the pioneering work by D'Arcy Thompson book "On growth and 1023 form" (Thompson 2014), in the anti-selectionist views expressed by Goodwin in "How the 1024 leopard changed its spots" (Goodwin 2001), Lima-De-Faria in "Evolution without selection" 1025 (Lima-De-Faria 1990) and in Rupert Sheldrake's ideas about "morphogenetic fields" 1026 1027 (Sheldrake 1995). The ideas in these and similar books are popular outside evolutionary biology circles, but are based on misunderstandings and are sometimes grounded in metaphysical 1028 arguments. It is a common misunderstanding by these and other anti-selectionists that the 1029 physical principles behind morphological development contradict or can replace adaptive 1030

explanations of traits based on natural selection. Indeed, structuralists and other critics have 1031 failed to understand the crucial distinction between proximate explanations of phenotype 1032 formation and ultimate explanations for the evolution of adaptive complexity, as originally 1033 1034 explained by (Mayr 1961). Mayr's key insight was that proximate and ultimate causes were conceptually different but complementary questions, rather than being mutually exclusive. 1035 Mayr's distinction firmly established evolutionary biology as a legitimate research field, 1036 independent of functional biology, developmental biology and molecular biology (Dickins and 1037 Barton 2013; Conley 2019; Svensson 2020). Some advocates of the EES have questioned the 1038 proximate-ultimate distinction as a valid explanatory framework in evolutionary biology 1039 1040 (Laland et al. 2011), but this has understandably encountered strong resistance from those who insist that this is still a useful conceptualization (Dickins and Barton 2013; Conley 2019). In 1041 contemporary evolutionary biology, internal factors like developmental bias (or developmental 1042 1043 constraints) serve as a dispositional property of populations alongside with other dispositional factors like evolvability (Love 2003). Dispositional factors set the outer limits of the space 1044 1045 within which selection operates (Maynard Smith et al. 1985). Viewed this way, developmental bias can also interact with selection to influence evolutionary trajectories (Schluter 1996). But 1046 developmental bias or developmental constraints, whether arising from principles of physics, 1047 1048 genetics or development, is not an evolutionary force that can change the heritable composition of populations by itself (Maynard Smith et al. 1985), unlike the consequence laws of selection, 1049 drift, mutation and recombination (Sober 1984). Developmental bias is sometimes put on an 1050 equal footing and portrayed as an alternative to evolution by natural selection in explaining 1051 1052 adaptive radiations (Brakefield 2006), but this is misleading. Developmental bias is not an evolutionary process that operates in isolation but rather this dispositional factor *interacts* with 1053 natural selection (Maynard Smith et al. 1985). For instance, genetic covariances can bias the 1054 evolutionary trajectory of a populations and delay the time until it reaches an adaptive peak 1055

1056 (Schluter 1996)(Fig. 5.1A,C). However, in this scenario it is selection that drives the
1057 evolutionary change, not developmental bias or genetic covariances, which are not evolutionary
1058 forces, following Sober's definition (Sober 1984)(Fig. 5.2).

1059

The third factor highlighted by Laland and colleagues is niche construction (Laland et al. 2015). 1060 This is the phenomenon by which organisms modify their local selective environments, such as 1061 earthworms modifying the surrounding soil structure or the classic example of the beaver 1062 building its dam (Odling-Smee et al. 2003). Odling-Smee et al. (2003) argued that such niche 1063 1064 construction is a neglected evolutionary process and claimed that it deserved status as an alternative evolutionary route to organismal adaptation, on equal footing and as important as 1065 natural selection. While few evolutionary biologists would deny that organisms modify their 1066 1067 local environments and many times in an adaptive fashion, only a minority view such niche construction as an evolutionary process of equal importance as natural selection. Accordingly, 1068 1069 the claim that niche construction is neglected has been questioned, and it has been pointed out 1070 that niche construction is neither neglected nor is it an evolutionary process (Dawkins 2004; Brodie 2005; Scott-Phillips et al. 2014; Gupta et al. 2017; Svensson 2018). Another frequent 1071 criticism is that niche construction is too broad a term that encompasses too many phenomena, 1072 1073 including both adaptive modifications by organisms such as the beaver dam, but also nonadaptive effects, such as the creation of toxic waste products under crowded conditions 1074 (Dawkins 2004; Gupta et al. 2017; Svensson 2020). That organisms modify their selective 1075 environments and that they therefore are active evolutionary agents and not solely passive 1076 1077 objects of selection is interesting, but this has also been recognized by many other evolutionary 1078 biologists outside the core niche construction literature (Levins and Lewontin 1985; Huey et al. 2003; Brodie 2005; Edelaar et al. 2008; Edelaar and Bolnick 2012, 2019; Svensson 2018). 1079 Niche construction is probably best viewed as a healthy reminder about the ecological context 1080

of evolution (Dickins 2020) and that organisms partly shape the adaptive landscape and the
selection pressures they experience (Huey et al. 2003; Tanaka et al. 2020). Niche construction
also reminds us that both source laws such as the ecological causes of selection (Endler and
McLellan 1988; Wade and Kalisz 1990) and the consequence laws of population genetics
(Sober 1984) are equally important parts of evolutionary research.

1086

1087 From an empirical viewpoint, niche construction could be incorporated as phenotypic intermediate traits in causal graphs (Fig. 5.3). Traits can influence fitness both directly by being 1088 targets of selection, but also indirectly, by influencing other traits (i. e. niche construction 1089 activities)(Otsuka 2019)(Fig. 5.3). Niche construction can therefore readily be incorporated in 1090 the contemporary theoretical evolutionary framework (Otsuka 2019). Powerful tools in the 1091 1092 form of causal graphs, path analysis and structural equation modelling have been available for a long time, whereby information about both traits and selective environments can be 1093 1094 incorporated in the same analysis (Kingsolver and Schemske 1991; Svensson et al. 2002; 1095 Morrissey 2014; Otsuka 2019). I suspect, however, that advocates of niche construction theory will not be entirely satisfied with these pragmatic empirical solutions to incorporate niche 1096 construction into evolutionary research. 1097

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#### **INSERT FIG. 5.3 ABOUT HERE**

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Finally, the fourth factor highlighted by Laland and colleagues is non-genetic inheritance,
sometimes called extra-genetic inheritance or extended inheritance (Laland et al. 2015;
Bonduriansky and Day 2018). This includes a broad range of inheritance channels outside
DNA, such as various forms of transgenerational epigenetic inheritance (e. g. methylation and

histone modifications), social learning, maternal effects etc. (Bonduriansky and Day 2018). 1105 1106 This rapidly developing field cannot be covered in full detail here (see Bonduriansky and Day (2018) for an excellent overview). Opinions about non-genetic inheritance range from it being 1107 1108 viewed a major game changer that will require a substantial revision of evolutionary theory and an abandonment of the MS (Jablonka and Lamb 2005, 2007; Jablonka 2017) to those who 1109 consider it as an "add-on" that can easily be incorporated in the existing evolutionary 1110 framework as a proximate mechanism (Dickins and Rahman 2012), or viewed as an 1111 evolutionary outcome of selection (Loison 2018). It is important to emphasize that the analytical 1112 framework of population genetics can be readily modified to model and analyze selection on 1113 1114 other heritable units than genetic alleles, including epialleles (Lu and Bourrat 2018). The quantitative genetic approach in the Price Equation can statistically capture effects of 1115 nongenetic inheritance on the resemblance between relatives (Frank 1995, 1997; Rice 2004) 1116 1117 and can also be generalized to other inheritance systems (Luque 2017; Luque and Baravalle 2021). One strength of quantitative genetics is that is agnostic with respect to the heritable basis 1118 of traits (i. e. DNA vs. other mechanisms of inheritance) as it ignores genetic details (Steppan 1119 et al. 2002; Queller 2017). But it is worth emphasizing that also the theoretical machinery of 1120 population genetics originated well before our understanding of the structure of DNA 1121 1122 (Charlesworth et al. 2017), meaning that the population genetic analytical framework can be applied to non-genetic inheritance through other heritable channels, including epialleles (Lu 1123 and Bourrat 2018). 1124

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Somewhat paradoxically, therefore, the deliberate neglect of mechanisms and details in the quantitative genetics also makes it extremely powerful and flexible (Steppan et al. 2002; Queller 2017). However, this point does not seem to have been fully appreciated by all advocates of the EES. Proponents of the EES frequently portray contemporary evolutionary biology as being

caught in a narrow tradition of one- or two-locus models of population genetics where the 1130 environment is deliberately excluded (Laland et al. 2015). This narrow portrayal of 1131 contemporary evolutionary biology ignores the many post-Synthesis developments and the 1132 central role quantitative genetics theory and empirical tools have played in evolutionary 1133 research. Work on phenotypic plasticity (Lande 2009; Chevin et al. 2010; Chevin and Lande 1134 2011; Svensson et al. 2020) and the evolutionary consequences of variation in social 1135 environments and Indirect Genetic Effects (IGE:s)(Bailey et al. 2018) exemplify such post-1136 Synthesis quantitative genetic research. Quantitative genetics theory and tools are therefore 1137 extremely flexible and versatile and can be fruitfully adjusted to study many of the problems 1138 1139 that EES advocates have highlighted. Other examples of such research is the relationship between non-genetic and genetic inheritance (Greenspoon and Spencer 2018; Rajon and Charlat 1140 2019), how trait interactions and intermediate traits such as niche construction can affect fitness 1141 1142 (Morrissey 2014; Otsuka 2019) and how feedbacks from social or non-social environments jointly shape evolutionary dynamics (Hendry 2016; Bailey et al. 2018; Svensson 2018). 1143

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#### 1145 **5.9 Where are we?**

Given the frequent calls for an expansion or extension of evolutionary theory (Table 5.1) and 1146 recent strong claims that the current evolutionary framework is incomplete, it might be 1147 worthwhile to step back a little and ask the same question as Ernst Mayr asked on Darwin 1148 1149 Centenial Celebration in 1959 (Mayr 1959): "Where are we?". In this chapter, I have critically reviewed the various attempts aiming to replace or extend the current evolutionary framework 1150 and the MS, which is claimed to still hold a strong influence on contemporary evolutionary 1151 biology (Table 5.1). My overview suggests that some of the more radical critics have failed to 1152 convince the majority of biologists that evolutionary theory is in crisis (Dupre 2012) and that 1153 the field is therefore is in need for major reform, even replacement (Shapiro 2011; Noble 2013, 1154

2015, 2017; Müller 2017). As I have argued here, these claims paint a misleading picture of the 1155 current state of evolutionary biology and have grossly overstated the historical legacy and 1156 lasting influence of the MS. These critics have failed to appreciate the substantial changes to 1157 1158 evolutionary biology that took place long after the MS was finished, such as the incorporation of the Neutral Theory of Molecular Evolution (Kimura 1983; Kern and Hahn 2018; Jensen et 1159 al. 2019) and the growth and development of evolutionary quantitative genetics as a tool to 1160 1161 study phenotypic evolution over both micro- and macroevolutionary time scales (Arnold 2014). The TWE project, in particular, has produced very little constructive contributions to the 1162 development of current evolutionary biology research. I anticipate that the TWE will continue 1163 1164 to be a fringe movement outside mainstream evolutionary biology, for good reasons. TWE proponents have promoted a highly biased and mischaracterized view of the MS that is far from 1165 historical reality and does not paint a fair view of the richness and synthetic ambition of this 1166 1167 historically important attempt to unify biology (Reif et al. 2000; Cain 2009; Lamm 2018). I fully agree with Cain (2009) who argues that we should stop talking about the MS as if it is 1168 equivalent to contemporary evolutionary biology, and instead view it as a historical periodthat 1169 is now firmly behind us. 1170

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#### INSERT TABLE 5.1 ABOUT HERE

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Other critics like the EES proponents are more modest in their ambitions to push for conceptual change in evolutionary biology (Table 5.1)(Laland et al. 2015). The phenomena the EES proponents highlight are clearly worthy of study, although not necessarily the game changers they are sometimes portrayed to be. These phenomena are fully compatible and possible to study within the current flexible and pluralistic evolutionary research framework. I anticipate that evolutionary quantitative genetics will grow in popularity and importance and will become
increasingly and flexibly applied to phenomena like nongenetic inheritance, niche construction,
phenotypic plasticity and developmental bias, often in combination with other tools like causal
graphs and path analysis (Otsuka 2019).

1183

The diverse and somewhat split conceptual landscape of contemporary evolutionary biology 1184 today can be described as a series of partly overlapping research frameworks that coexist stably, 1185 namely neutralism, mutationism, selectionism and adaptationism (Fig. 5.4). These research 1186 currents and traditions are mainly focused on microevolutionary processes within and between 1187 populations, but have not yet fully entered the macroevolutionary domain (Fig. 5.4). However, 1188 neutralist and selectionist perspectives are not restricted to population and quantitative 1189 genetics, but can be applied also to higher-level units as species, e. g. in evolutionary 1190 community ecology (Vellend 2016) and in ideas about species selection and random drift in 1191 1192 macroevolution (Rabosky and Mccune 2010; Chevin 2016)(Fig. 5.4). Similarly to 1193 macroevolution, "Evo Devo" and other structuralist perspectives and research traditions, are still somewhat isolated from these four traditional research currents (Fig. 5.4). Evo Devo should 1194 probably be located close to mutationism, since this field is focused on questions about the 1195 1196 origin of novel heritable variation (Fig. 5.4).

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#### INSERT FIG. 5.4 ABOUT HERE

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Researchers within each of these different traditional domains have partly different interests, and emphasize different evolutionary processes, namely genetic drift, mutation and selection (Fig. 5.4). The difference between selectionism and adaptationism might not be immediately

obvious, but briefly, selectionists are mainly interested in evolution as a process and are 1203 following the tradition by Lande and Arnold (1983), whereas adaptationists are more interested 1204 in adaptation as a state, as exemplified by the work by Gardner, Grafen in the "Formal 1205 1206 Darwinism Project" (Grafen 1988, 2014; Gardner 2017). Adaptationists like Grafen and Gardner are more interested in organismal adaptive design and the products of evolution than 1207 in the evolutionary process behind such adaptations. This adaptationist current has been labelled 1208 "Neo-Paleyan biology", by the philosopher Tim Lewens and it is especially strong in the UK 1209 (Lewens 2019a). "Neo-Paleyan" refers to the Christian William Paley and other natural 1210 theologians in the UK prior to Darwin-era. Paley was made famous by Richard Dawkins in his 1211 1212 popular science book "The Blind Watchmaker" (Dawkins 1986). The difference between adaptationism, selectionism, neutralism and mutationism illustrate the diversity of co-existing 1213 analytical perspectives in contemporary evolutionary biology. This diversity within 1214 1215 contemporary evolutionary biology research contradicts sweeping characterizations of evolutionary biology as only allowing a single Neo-Darwinian perspective (Shapiro 2011; 1216 1217 Noble 2013, 2015, 2017). The future will tell if and how the EES and the TWE will become integrated with one or several of these existing research currents. It seems to me that proponents 1218 of the EES and TWE are mainly focused on adaptationism but have less to say about 1219 1220 evolutionary processes, and neither have they identified any convincing novel evolutionary process. These critics of contemporary evolutionary biology might therefore have more in 1221 common with the Formal Darwinists than they are willing to admit themselves (Fig. 5.4). 1222

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#### 1224 5.10 Looking forward

Evolutionary biology is currently experiencing an exciting period with increasing amounts of large-scale genomic and phenotypic data and increased integration between neontological and paleontological approaches (Losos et al. 2013). Much of the current dramatic transformation of

evolutionary biology is data-driven, whereas the basic theoretical and conceptual framework 1228 was established several decades ago, before, during and after the MS. For instance, adaptive 1229 landscape theory remains as a central organizing concept in contemporary evolutionary biology 1230 1231 (Arnold et al. 2001; Gavrilets 2004; Svensson and Calsbeek 2012a), even though its theoretical foundations were laid out almost a century ago (Wright 1932). Similarly, quantitative genetics 1232 1233 gave us tools like the genetic-variance covariance matrix  $(\mathbf{G})$  that still holds a central place in evolutionary theory and seems to grow in importance and popularity (Steppan et al. 2002; 1234 Queller 2017). Some philosophers and critics of the MS and contemporary evolutionary biology 1235 have rather bluntly dismissed both adaptive landscapes and G-matrices as inadequate or even 1236 1237 misleading (Pigliucci 2006, 2008; Kaplan 2008). However, these critics failed to deliver any constructive alternative analytical approaches to study evolution. Their anticipated coming 1238 demise of the adaptive landscape and G-matrix evolution have accordingly not been fulfilled, 1239 1240 and they clearly underestimated the explanatory power of these tools and approaches (Svensson and Calsbeek 2012b). In contrast to what these philosophers anticipated, adaptive landscape 1241 1242 theory and G-matrix evolution are likely to remain for many years to come, largely because of the power and flexibility of these tools to link phenotypic patterns with underlying evolutionary 1243 processes (Arnold 2005). We see increasing efforts to extend quantitative genetic and 1244 population genetic theory and methodology to incorporate nongenetic inheritance, niche 1245 construction, phenotypic plasticity and other interesting phenomena that have been highlighted 1246 by EES proponents (Laland et al. 2015). These phenomena are increasingly being incorporated 1247 in the current research framework as various "add-ons" and refinements of existing theory (Day 1248 and Bonduriansky 2011; Bonduriansky et al. 2012; Greenspoon and Spencer 2018; 1249 Bonduriansky and Day 2018; Rajon and Charlat 2019). Thus, sofar we have seen little of the 1250 radical conceptual change of evolutionary biology that some critics have claimed would be 1251 necessary (Pigliucci 2007, 2009; Noble 2013, 2015, 2017; Müller 2017). In short: gradual 1252

change has taken place and still happens to evolutionary biology, and there is no sign of major
overhaul or any forthcoming paradigm shift at the horizon, contrary to the claims by some
critics like Noble and Shapiro.

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#### 1257 5.11 Conclusions

In our largely data-driven era, it is important to step back, critically reflect on the historical 1258 1259 roots of our field and think about the bigger conceptual picture. Many of the conceptual tools and theories we use in evolutionary biology have their intellectual roots in the MS-period from 1260 1261 the last century. This does not mean that we still live in the MS era, despite frequent claims by critics of contemporary evolutionary biology (Noble 2015, 2021; Müller 2017). However, it is 1262 probably uncontroversial to state that theory development has not kept up the same pace as 1263 1264 empirical developments during recent decades. The recent discussions and calls for various extensions to the current evolutionary research framework are therefore welcome, although my 1265 overview here suggest that critics have failed to convince the evolutionary biology research 1266 community at large that their proposed additions cannot be handled by the current framework. 1267 The challenge from the EES is a valuable reminder that it is not only is the spread of adaptive 1268 variants by selection that is interesting and important (as already emphasized in the traditional 1269 evolutionary framework), but so is also the origin of heritable variation through developmental 1270 mechanisms and plasticity, as well as source laws (Table 5.1; Fig. 5.2)(Endler and McLellan 1271 1272 1988).

1273

1274 A positive development of the recent discussions about the MS, EES and TWE is that the 1275 relationship between philosophy and evolutionary biology might become strengthened and re-1276 vitalized. Clearly, philosophy of science has an important role to play in the conceptual and theoretical development of evolutionary biology. Early and foundational work by Elliott Sober
clarified the relationship between source laws and consequence laws, and formalized concepts
about evolutionary forces (Sober 1984), as did Dan McShea and Robert Brandon in more recent
work (McShea and Brandon 2010). Likewise, philosophers like Samir Okasha and Peter
Godfrey-Smith clarified issues about origin and consequences of multi-level selection (Okasha
2005; Godfrey-Smith 2009). These philosophers clearly knew both evolutionary biology and
the history of the field, which presumably contributed to the success of their work.

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Not all philosophy of biology has played that positive role, however, especially as some 1285 philosophers have uncritically re-iterated myths about the MS that were initially propagated by 1286 Gould and others, but which have already been refuted. I have critically scrutinized some of 1287 these myths in this chapter. The long-lasting legacy of Gould and some of his more 1288 controversial claims has not always been positive. These claims contributed to establish 1289 1290 misunderstandings about the current state of evolutionary biology, one being that the field is in 1291 deep crisis (Dupre 2012). To avoid repeating such mistakes, philosophers should communicate and collaborate with both historians of science and evolutionary biologists (and vice versa of 1292 course). Otherwise, philosophers risk spending effort on small and insignificant problems that 1293 1294 are of little interest except to other philosophers. Some such problems might even be purely 1295 semantic, such as the odd idea that natural selection is not really an evolutionary process but just a statistical outcome of lower-level phenomena (Walsh et al. 2002; Otsuka 2016). Most 1296 evolutionary biologists probably consider such questions as rather esoteric and of little interest 1297 or relevance to the field. Attempts to re-introduce metaphysics in evolutionary biology (Dupré 1298 1299 2021), for instance, are unlikely to impress the evolutionary biology research community. Those arguing for organismal agency as an evolutionary process (Walsh 2015) but leave out 1300 natural selection as the most obvious explanation for apparent purpose or design (Noble 2021) 1301

are also unlikely to convince the majority of evolutionary biologists. It is worth re-iterating that 1302 1303 the only known evolutionary process that can systematically increase organismal adaptation across generations and lead to (apparent) purpose is natural selection (Gardner 2013, 2017), 1304 1305 although some critics of contemporary evolutionary biologist insist that adaptation can be decoupled from natural selection (Pigliucci and Kaplan 2000; Kull 2014; Noble 2021). 1306 Accepting that natural selection is the only known evolutionary process that can systematically 1307 1308 increase organismal fitness and adaptation across generations does not mean that we could not appreciate within-generation phenomena such as phenotypic plasticity, habitat selection and 1309 adaptive niche construction (Edelaar and Bolnick 2019). Crucially, however, such within-1310 1311 generation phenomena are not evolutionary processes, but evolutionary outcomes (Gardner 2013; Loison 2018, 2021). Furthermore, accepting natural selection as the only known 1312 evolutionary process that can systematically increase organismal adaptation across generations 1313 1314 does not mean that we need to uncritically adopt a pan-adaptationist position where one would claim that most organismal features are adaptive, or that we need embrace the Neo-Paleyan 1315 adaptationist biology tradition (Lewens 2019a). There is still plenty of room for non-adaptative 1316 and maladaptive evolutionary processes like mutation, drift and recombination (Lynch 2007; 1317 Svensson and Berger 2019). The important point, however, is that these other evolutionary 1318 processes cannot systematically increase organismal fitness and adaptations across generations, 1319 like natural selection, although they can of course decrease fitness (Lynch et al. 1995; Svensson 1320 and Berger 2019). Increased understanding of evolution requires both bold new ideas and a 1321 deep and nuanced understanding of the rich history of the MS and how contemporary 1322 1323 evolutionary biology has advanced over the past century.

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**Table 5.1.** A non-exhaustive list in chronological order, of different attempts and initiatives to extend, expand or replace the Modern Synthesis, pointing

to new phenomena, discoveries and various "add ons".

1343				
1344	Name (-s)	Term	Phenomena	References
1345 1346 1347 1348	C.H. Waddington	Extended synthesis	Epigenetics Genetic assimilation Gene interactions	Waddington (1957) (Wilkins 2008)
1349 1350 1351 1352 1353	S.J. Gould	Expanded evolutionary theory	Hierarchical theory Species selection Nonadaptation Exaptation	(Gould 1980) (Gould 1982) (Gould and Vrba 1982) (Gould 2002)
1354 1355 1356 1357 1358	J. A. Endler T. McLellan	A newer synthesis	Source laws Ecology of natural selection Origin of variation	(Endler and McLellan 1988)
1358 1359 1360 1361 1362 1363 1364 1365 1366 1367	M. Pigliucci G. Muller	Extended Evolutionary Synthesis	Evolvability Phenotypic plasticity Epigenetic inheritance Complexity theory High-dimensional adaptive landscapes	(Pigliucci 2007) (Pigliucci 2009) (Pigliucci and Müller 2010b)

1368 1369 1370 1371 1372	E. Koonin	"A postmodern state, not sofar a postmodern Synthesis"	Horizontal Gene Transfer (HGT) Gene duplications Gene loss Neutral molecular evolution	(Koonin 2009)
1373 1374	E. Danchin	Inclusive Evolutionary Synthesis or	Nongenetic inheritance	(Jablonka and Lamb 2005)
1375	E. Jablonka	Expanded Evolutionary Synthesis	Cultural evolution	(Jablonka and Lamb 2007)
1376	M. Lamb		Information theory	(Danchin 2013)
1377				
1378	D. Noble	The Third Way of Evolution (TWE) or	Evo-devo theory	(Noble 2013)
1379	J.A. Shapiro	Integrated Synthesis	Plasticity & Accomodation	(Noble 2015)
1380			Epigenetic inheritance	(Noble 2017)
1381			Multilevel selection Genomic evolution	Shapiro (2011)
1382 1383			Niche construction	
1384			Replicator theory	
1385			Evolvability	
1386			y	
1387	K.N. Laland	Extended Evolutionary Synthesis (EES)	Developmental bias	(Laland et al. 2014)
1388	T. Uller		Plasticity	(Laland et al. 2015)
1389	M.W. Feldman		Non-genetic inheritance	
1390	K. Sterelny		Niche construction	
1391	G.B. Muller			
1392	A. Moczek			
1393 1394	E. Jablonka J. Odling-Smee			
1394 1395	J. Outling-Since			
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#### 1925 Legends to figures

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Fig. 5.1. A. When two phenotypic traits (here denoted  $Z_1$  and  $Z_2$ ) are uncorrelated with each 1927 other (as shown by circles) and are evolving on an adaptive landscape with one peak (denoted 1928 "optimum") they will evolve in a straight direction towards the peak, and the evolutionary 1929 1930 response to selection ( $\Delta z$ ) will be aligned with the selection gradient ( $\beta$ ). B. A hypothetical genetic variance-covariance matrix (G: grey) and a mutational variance-covariance matrix (M: black) 1931 of four different traits  $(Z_1 - Z_4)$ . Shown are the standing genetic and mutational variances of 1932 1933 traits (diagonal elements) and the standing genetic and mutational covariances (off-diagonal elements). This hypothetical variance-covariance matrix is *isotropic*, meaning that traits vary 1934 independently of each other and genetic variation is equally abundant in all directions (hence 1935 all elements are circular, and traits are uncorrelated with each other). Some evolutionary 1936 biologists have argued that this isotropy assumption has been the default assumption in the MS 1937 1938 and in evolutionary genetics (Gould 2002; Pigliucci 2019). C, D. In contrast to the isotropy assumption, phenotypic traits in natural populations are often genetically and phenotypically 1939 correlated with each other, shown here as variances and covariances as being elliptically 1940 shaped, rather than circular. In C, we see how such genetic covariance between the same two 1941 traits as in A ( $Z_1$  and  $Z_2$ ) result in a biased and curved evolutionary trajectory that delays the 1942 time needed to evolve to the fitness optimum. D shows a more realistic genetic variance-1943 covariance matrix and a mutational matrix, where traits can be either positively (e. g.  $Z_1$  and  $Z_2$ ) 1944 , negatively (e. g.  $Z_1$  and  $Z_4$ ) or uncorrelated with each other (e. g.  $Z_2$  and  $Z_3$ ). Note that G and 1945 M are aligned in **D**, consistent with theory and empirical evidence suggesting that they are both 1946 shaped by the adaptive surface and correlational selection (Jones et al. 2014; Houle et al. 2017; 1947 Svensson and Berger 2019; Svensson et al. 2021). 1948

Fig. 5.2. Source laws, consequence laws, evolutionary outcomes and feedbacks. Source laws 1950 1951 refer to the factors (intrinsic or extrinsic) behind the consequence laws (e.g. natural selection). Consequence laws have been a major focus of population genetics and evolutionary biology 1952 1953 (Sober 1984; Endler and McLellan 1988). Extrinsic source laws are the abiotic (e. g. climate) and biotic factors (e.g. predation or competition) that generate selection pressures and are thus 1954 agents or causes of selection (Wade and Kalisz 1990). Source laws could also be phenotypic 1955 1956 traits themselves that have ecological consequences (i. e. intrinsic factors). For instance, body size has cascading ecological consequences in terms of population size, starvation endurance 1957 and thermoregulation, and could therefore lead to novel selection pressures. Evolutionary 1958 1959 outcomes are the products of the consequence laws, and such outcomes are adaptations and various phenotypic traits that are shaped by selection, drift and the other consequence laws. A 1960 special class of evolutionary outcomes are the four factors highlighted by the EES: 1961 1962 developmental bias, plasticity, niche construction and non-genetic inheritance (highlighted with "\*" within another box with dashed line). These evolutionary outcomes (but also other 1963 phenotypic traits) can feed back and generate novel selection pressures on organisms. That is, 1964 an evolutionary outcome of selection can thus subsequently also become a source law, through 1965 1966 feedbacks and reciprocal causation between selection and its products (Svensson 2018). For 1967 instance, the beaver dam is an evolutionary outcome or "extended phenotype" that changes the selective environment and influencing selection back on the beaver (Odling-Smee et al. 2003). 1968

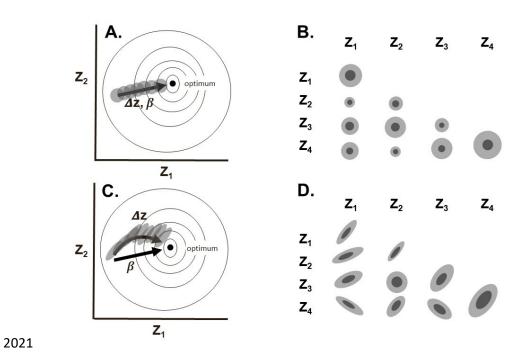
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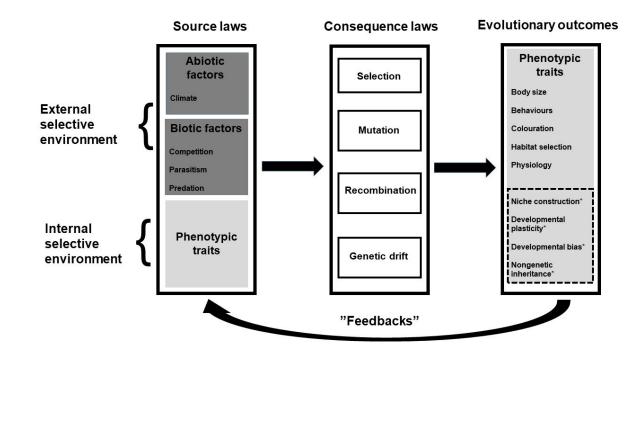
**Fig. 5.3.** Causal model and path diagram of the relationship between a standard phenotypic trait ( $Z_p$ : parental generation;  $Z_p$ : offspring generation), a niche construction phenotype (N<sub>p</sub>: parent generation; N<sub>p</sub><sup>-</sup>: offspring generation), fitness (W) and genetic inheritance (X: parental generation genotype; X': offspring generation genotype). Direction of arrows denote causal relationships. The phenotypic trait influences parental fitness directly ( $\beta_Z$ : direct selection 1975 gradient), but also indirectly, through the niche construction trait that subsequently influences 1976 fitness (i. e. the pathway  $\beta_{Z,N} * \beta_N$ ). In this example, the niche construction trait is not under 1977 direct genetic inheritance, although indirectly, through the genetic basis of  $Z_p$ . However, note 1978 that there is a pathway of non-genetic inheritance of the niche construction trait, since it 1979 influences the offspring environment in the next generation ("ecological inheritance"), e. g. the 1980 case of the beaver dam. Modified from Otsuka (2019).

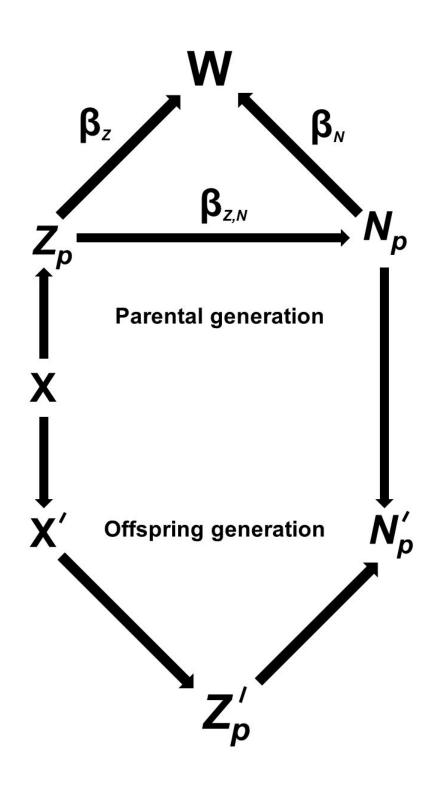
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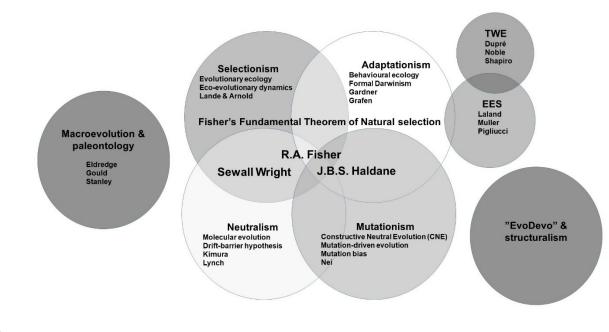
Fig. 5.4. The split conceptual landscape of contemporary evolutionary biology. 1982 Microevolutionary research can be classified in to four different currents or analytical traditions 1983 shown in spheres: adaptationism, selectionism, neutralism and mutationism. These different 1984 currents are broad categorizations of different analytical frameworks and they are not 1985 completely separated, as indicated by the overlap between them. The three founders of 1986 mathematical population genetics (R. A. Fisher, J. B. S. Haldane and Sewall Wright) and their 1987 approximate positions are indicated. Fisher emphasized more strongly selection in large 1988 panmictic populations and adaptation of organisms, hence he overlap adaptationism and 1989 1990 selectionism. Sewall Wright emphasized the interaction between neutral processes such as genetic drift and selection in subdivided population, hence he overlaps between selectionism 1991 1992 and neutralism. Haldane was interested in the role of mutation in the evolutionary process and 1993 hence he can partly be put in the mutationist sphere. The "Formal Darwinism" school and "Neo-Paleyan" biologists like Grafen and Gardner is mainly a school found in the UK with a strong 1994 emphasis on adaptation as a state, rather than the evolution as a process. In contrast, the 1995 selectionist school is stronger in North America, and is more focused on the evolutionary 1996 process and is represented as the "Lande & Arnold"-school of measuring selection in natural 1997 1998 populations. Neutralism is represented by Lynch and Kimura, whereas mutationism is represented by Nei. Finally, the two main challengers of the current evolutionary framework 1999

2000	(the EES and TWE) are probably closer to the adaptationism current than any of the other
2001	schools. Macroevolution, paleontology and "Evo Devo" are still largely separated research
2002	domains from these microevolutionary currents, although their relative positions in the
2003	conceptual landscape are indicated.
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