

Anticipatory responses to drought by plants: What are the environmental cues?

Running title:

Anticipating drought

Highlight:

Environmental correlations carry information that plants acquire
through sensing.

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1 **Abstract** (213 words)

2 This study is an attempt to reconcile the physics-driven variation in reference
3 evapotranspiration (ET_0) and possible sensory-driven anticipatory acclimation
4 that contributes to tolerance of dry weather spells and drought by plants
5 growing in open fields. We use an original data set measured at high temporal
6 resolution. These data include the standard meteorological observations plus
7 detailed observations of different bands of sunlight: UV-B, UV-A,
8 photosynthetically active and global down-welling short-wave radiation, blue,
9 red and far-red light from two growth seasons at Helsinki, Finland. We also
10 report ET_0 computed with the FAO formulation of the Penman-Monteith
11 equation. We assessed the correlations among variables at different time scales
12 and their performance as predictors of ET_0 . We conclude that all studied bands
13 of sunlight are consistently good predictors of ET_0 . UV radiation is a specially
14 good predictor of the daily course of ET_0 while longer wavelengths function
15 better in the prediction of day to day variation in ET_0 . In most cases sunlight
16 bands that plants are known to sense through specific photoreceptors can
17 explain more than 95% of the variation in ET_0 , making them as cues carrying
18 information on the demand side of the water budget of vegetation. Sunlight as
19 sensed by plants is consequently a good candidate as driver of anticipatory
20 acclimation to likely future drought events.

21 **Keywords:** drought, sunlight, anticipation, acclimation, cue, signal, plants,
22 transpiration, evaporation, weather.

23 **Abbreviations:** PAR = photosynthetically active radiation, $400\text{ nm} < \lambda < 700\text{ nm}$;
24 R = red light, $655\text{ nm} < \lambda < 665\text{ nm}$; FR = far-red light, $730\text{ nm} < \lambda < 740\text{ nm}$; UV
25 radiation, $280\text{ nm} < \lambda < 400\text{ nm}$; UV-B radiation, $280\text{ nm} < \lambda < 315\text{ nm}$; UV-A 2
26 radiation, $315\text{ nm} < \lambda < 340\text{ nm}$; UV-A 1 radiation, $340\text{ nm} < \lambda < 400\text{ nm}$; ET =
27 evapotranspiration, evaporation + transpiration, ET_0 = potential or reference
28 evapotranspiration.

29 1. Introduction

30 This study is an attempt to reconcile the physics-driven variation in reference
31 evapotranspiration (ET_0) and possible sensory-driven anticipatory acclimation
32 that contributing to tolerance of dry weather spells and drought by plants
33 growing in open fields.

34 The scaling of water fluxes from plants to fields and regions was a subject of
35 intense research in the 1980s. It was then concluded that over large land
36 surfaces the main driver of evapotranspiration (ET) is available energy when
37 surface resistance is low and water supply unrestricted. These are conditions
38 assumed for the calculation of potential- or reference evapotranspiration (ET_0),
39 as discussed by McNaughton (1989). In contrast actual evapotranspiration (ET) is
40 not restricted to these idealized conditions, and usually less than ET_0 .

41 The Penman-Monteith equation, (1), is an accepted method for estimation of ET
42 based on the mechanisms of energy and matter exchange,

$$ET = \frac{\Delta(R_n - G) + \rho_a c_p (e_a^* - e_a) / r_a}{(\Delta + \gamma(1 + \frac{r_s}{r_a})) \rho_w \lambda} \quad (1)$$

43 where ET is the evapotranspiration flux, Δ the slope of the saturation vapor
44 pressure vs. temperature curve, R_n the net radiation flux density, G the sensible
45 heat flux density into the soil, ρ_a the air density, c_p the specific heat of moist air
46 at constant pressure, e_a^* the saturation water vapor pressure at air temperature,
47 e_a the actual water vapor pressure of the air, r_a the aerodynamic resistance to
48 turbulent transfer from the surface to some z height above the surface, γ the
49 psychrometric constant, r_s the bulk surface resistance to flow of water vapor
50 from inside the leaf, vegetation canopy or soil to outside the surface, ρ_w the
51 density of liquid water, and λ is the latent heat of vaporization.

52 The simplified formulation from FAO's publication No. 56 and its revisions
53 combines equation (1) with those for r_a , ρ_a and λ (Allen et al., 2006),

$$ET_0 = \frac{k_e \Delta (R_n - G) + \gamma \frac{k_n}{T_a + 273} u_2 (e_a^* - e_a)}{\Delta + \gamma(1 + k_d u_2)} \quad (2)$$

54 where k_i are numeric constants with values that depend on the time step of the

55 computations and the units of the input data, u_2 wind speed at 2 m, T_a air
56 temperature at 2 m ($^{\circ}\text{C}$), and all other symbols as in equation (1).

57 As a result of validation studies, ASCE-EWRI updated equation (2) by adjusting
58 the numerical values of the k_i constants, both for the same hypothetical grass
59 sward canopy as used for ET_0 according to FAO56 and for a 0.5 m-tall canopy
60 similar to a field of alfalfa (Allen et al., 2006). In this updated version the value
61 of k_d is different for day and night. Although the formulation is fixed, how
62 calculations are done depends on the available data. There are procedures
63 specified for the estimation of missing data for some of the inputs.

64 Equations (1) and (2) are mechanistically based on the energy balance of the
65 foliage, transfer resistances and concentration gradients. Use of equation (2) is
66 restricted to large areas of uniform vegetation while equation (1) is not, as long
67 as values for s and a are available. The values used for the numeric constants k_i
68 are based on various assumptions about the vegetation and as well as that g_1^w is
69 high and that soil water is not limiting. It treats the canopy as an imaginary “big
70 leaf” representing the foliage as a whole. The resistance to the flow of water
71 vapour is described by two resistances in series, the surface resistance of the
72 canopy (r_s) and the aerodynamic resistance (r_a) affect water vapour transport
73 into the air above the canopy’s boundary layer. r_a is a function of the wind speed
74 and roughness of the canopy. In the case of ET_0 , r_a is computed assuming a
75 uniform grass sward 0.12 m tall and r_s assumed to be low during daytime
76 reflecting high g_1^w .

77 Even when considering non-idealized canopies and conditions, available energy
78 remains a key determinant of ET. This is in contrast to the central role of
79 stomata in the regulation of transpiration of an isolated plant under controlled
80 conditions (Jarvis and McNaughton, 1986). So, even though ET_0 and ET are
81 calculated using a “big leaf” approximation involving similar terms as the
82 calculation of transpiration from an individual leaf, the main variables governing
83 water flux are depend on the spatial scale (Jarvis, 1985; Jarvis and McNaughton,
84 1986; Campbell and Norman, 1998). In brief, assuming that only a single leaf
85 responds to its environment leads to different conclusions than assuming that
86 all leaves in a field or forest respond concurrently to an external change in the
87 environment. The process of estimating ET from responses of leaf conductance
88 (g_1^w) or of transpiration by individual leaves (E) is an scaling-up problem rather

89 than a simple summation problem because of feedback loops. What is different
90 for ET and E is the boundary of what we consider the system of interest and
91 consequently the height z where we can assume that conditions remain
92 unaffected by changes in the water vapour flux rate we are studying or
93 estimating: outside the leaf boundary layer for E vs. above the regional
94 boundary layer for ET.

95 With a focus on an individual plant or leaf, stomatal conductance is critical to
96 the regulation of water use, but for a field of short vegetation not limited by
97 water supply, differences in stomatal conductance among individuals mainly
98 affect how these individuals share the total water flux, which is mainly
99 dependent on the energy input (Aphalo, 1991). In the case of ET, g_1^w is only one
100 component of r_s as evaporation may also take place at the soil surface or on wet
101 plant surfaces (usually $r_a > r_s$); r_s depends in addition on the leaf area index
102 (LAI) because it is expressed per unit ground area as is ET, while g_1^w is expressed
103 per unit leaf area. The interception of radiation by the foliage depends on the
104 spatial distribution of leaves and their positions, as well as on LAI. However, the
105 assumption for ET_0 is that all incoming radiation is intercepted by foliage and
106 that the albedo is 0.23, i.e., that 23% of incoming solar radiation ($\lambda < 4000$ nm)
107 radiation is reflected back and 77% absorbed .

108 Drought as a meteorological phenomenon of abnormally low water availability is
109 in most cases a regional phenomenon, dictated by the spatial distribution of
110 rainfall. From a plant physiology perspective the water budget is dependent on
111 the soil characteristics, topography, timing and previous environmental
112 conditions experienced. In general we need to distinguish between drought and
113 stress, as stress is related to each individual plant. Acclimation, the adjustment
114 of physiology, morphology and development to the circumstances can delay or
115 mitigate stress during a drought spell. Definitions of acclimation vary to some
116 extent, but in all cases plastic responses are assumed to take time and to be
117 mostly irreversible or only very slowly reversible. These features of acclimation
118 indicate that to be effective, acclimation needs to be anticipatory (Novoplansky,
119 2016). Anticipation implies that plants perceive the environmental conditions
120 they are likely to experience in the near future (Aphalo and Sadras, 2021).

121 **1.1. Daylight carries information**

122 Mechanisms for the acquisition of information are characteristic of all
123 organisms, from bacteria to humans, including plants (Capra and Luisi, 2014).
124 These mechanisms contribute to fitness because they allow anticipatory
125 behaviour (Novoplansky, 2016). An important question from the perspective of
126 sensory ecology is what are the available sources of information an organism
127 has access to and that could guide a given anticipatory response.

128 Sensing of light through wavelength-selective photoreceptors allows acquisition
129 of information (Smith, 1981b; Novoplansky et al., 1990; Aphalo and Ballaré,
130 1995). Daylight carries information through changes in its spectrum and in its
131 irradiance (Aphalo and Ballaré, 1995; Casal, 2013) as well as the seasonality of
132 the photoperiod, sensed by plants as the length of the night through
133 photoreceptors (Song et al., 2015). Variables in the environment carry a wealth
134 of information as a result of temporal and spatial auto-correlations and
135 cross-correlations (Aphalo and Sadras, 2021).

136 The spectral composition of sunlight varies with sun elevation, as the shorter
137 wavelengths of UV are depleted when the sun is low in the sky (Aphalo et al.,
138 2012). The photon ratio between Q_{UV-B} and Q_{PAR} varies strongly during the
139 course of the day and with time of the year, specially at high latitudes
140 (Kotilainen et al., 2020) but is only moderately affected by clouds (Lindfors and
141 Arola, 2008). Within canopies, a situation not considered in detail here, the R:FR
142 photon ratio depends very strongly on the leaf area overhead and to a lesser
143 extent on the plant species imposing shade (Holmes, 1981; Hartikainen et al.,
144 2020; Durand et al., 2021). The R:FR is also affected by differential reflection of
145 FR by green vegetation, so it changes before shading by neighbours starts
146 (Ballaré et al., 1990). In addition, the R:FR depends weakly on the solar elevation
147 angle and the water column in the atmosphere (Kotilainen et al., 2020). This
148 causes some variation during the course of the day, through the seasons of the
149 year and with latitude even at the top of a canopy (Smith and Morgan, 1981;
150 Kotilainen et al., 2020).

151 The shorter wavelengths are more scattered in the atmosphere than the longer
152 wavelengths of the spectrum (Lindfors and Ylianttila, 2016), so UV radiation
153 penetrates more readily into vegetation canopies (Durand et al., 2021) than blue

154 and red light, although they are all three strongly absorbed by the leaves of most
155 plants.

156 We hypothesise that plants can anticipate and acclimate to drought by sensing
157 evaporative demand (measurable as ET_0) or a variable correlated with it. This
158 hypothesis is justified by the fact that in the absence of restrictions to water
159 supply, the rate at which the water stored in the soil is being depleted is
160 proportional to ET_0 . For vegetation ET_0 represents a ceiling for actual ET
161 (Campbell and Norman, 1998). As far as we know plants cannot directly sense
162 ET_0 or water loss at field scale. However, plants can sense different wavelengths
163 of sunlight, temperature and $e^* - e$. We may then ask if any of these variables
164 can function as proxies for ET_0 and at which temporal scales.

165 **1.2. Variables sensed by plants**

166 Plants have multiple photoreceptors that allow them to separately sense
167 different wavebands of the solar spectrum (Paik and Huq, 2019). Furthermore,
168 signalling downstream of these photoreceptors is interconnected leading to
169 complex interactions (Moriconi et al., 2018; Rai et al., 2021). The wavebands
170 considered most important are UV-B (280–315 nm), UV-A (315–400 nm), blue
171 (400–500 nm), red (655–665 nm) and far-red (730–740 nm).

172 Temperature responses in plants are not only the result of the effect of
173 temperature on overall metabolic- and biochemical-reaction rates, but
174 temperature is like light sensed and used as a source of information that
175 triggers specific responses (Hayes et al., 2021). The R and FR photoreceptor
176 phytochrome B and the UV-A/B photoreceptor phototropin are among plants'
177 direct temperature sensors (Casal and Qüesta, 2018; Hayes et al., 2021).

178 Stomata are sensitive to water vapour, probably through multiple mechanisms,
179 including the bulk rate of transpiration (Monteith and Unsworth, 2008) and
180 sensing of VPD, possibly through localized evaporation and long distance
181 signalling (Aphalo and Jarvis, 1991; Mott and Parkhurst, 1991; Monteith, 1995;
182 Buckley, 2005; Peak and Mott, 2011).

183 Plants can also perceive mechanical stimuli including wind, touch and vibrations
184 (Telewski, 2006). Repeated exposure to wind can result in shorter plants while

185 touching leaves or shaking them can induce stomatal closure.

186 On the other hand, there is evidence that at least under some conditions,
187 pre-exposure to solar UV-B and/or UV-A radiation can trigger acclimation
188 leading to enhanced tolerance and even stress avoidance during a subsequent
189 drought event (Gitz and Liu-Gitz, 2003; Robson et al., 2016). This has been
190 frequently attributed to stress from UV exposure enhancing tolerance to
191 drought stress (e.g. Bandurska et al., 2013). Two non-mutually exclusive
192 mechanisms can underly this response: UV as a stress factor, and UV as a cue.
193 Current consensus is that exposure to solar UV radiation only exceptionally
194 induces stress in plants growing in the field (Robson et al., 2019). Furthermore,
195 recent results support the idea proposed by Gitz and Liu-Gitz (2003) that
196 induction of stress by UV radiation is not necessary for preemptive acclimation
197 that protects from drought induced stress (Yan, 2021). Solar UV-B and/or UV-A
198 2 radiation can function as a source of information, sensed by plants through
199 the UVR8 photoreceptor (Rai et al., 2021) and induces acclimation that delays or
200 moderates the development of stress under water restriction (Yan, 2021).
201 Furthermore, responses mediated by UVR8 are negatively modulated by UV-A 1
202 and blue radiation sensed through the cryptochrome photoreceptors (Rai et al.,
203 2020; Tissot and Ulm, 2020; Rai et al., 2021). However, the common proposition
204 that UV-B radiation is a good predictor of drought remains speculative. In
205 particular, no previous study has considered this problem in the light of the
206 sensory capabilities of plants or at multiple time scales.

207 **1.3. Aims of the study**

208 Given that available energy is the main driver of ET_0 , we hypothesized that UV-B
209 radiation and/or some other components of solar irradiance are good sources of
210 information about current ET_0 and accessible to plants. However, as plants can
211 sense also temperature, wind and air humidity, we also included these variables
212 in the study given that they are mechanistically linked to ET_0 .

213 Our aim was to compare the effectiveness of these variables in their hypothetical
214 role as predictors of ET_0 by assessing regressions and cross-correlations.

215 **2. Methods**

216 **2.1. Data and its acquisition**

217 Original data with high temporal resolution and discriminating different bands
218 of the solar spectrum were collected at a research-oriented weather station
219 located in the experimental field at the Viikki campus of the University of
220 Helsinki, Finland (25.01673 E, 60.2253 N, 8 m a.s.l.). These data describe the
221 daily course as well as seasonal variation in the environmental conditions during
222 the growing season in two consecutive years.

223 The data were acquired with a datalogger (CR6, Campbell Scientific, Logan, UT,
224 USA) expanded with an analogue input module (CDM-A116, Campbell Scientific),
225 powered by a battery charged in parallel from mains power and solar panels.
226 Except for those in the soil, sensors are mounted onto a 3-m tall galvanized-steel
227 instrument tripod (CMxxx, Campbell Scientific). The data reported are for the
228 period 7 May 2020 to 28 September 2021, excluding data from 1 November 2020
229 to 31 March 2021, the winter period. Data were collected also through the winter
230 but radiation data are suspect for this time of the year due to the intermittent
231 accumulation of snow on the broadband sensors. The sensors in the station are
232 listed in Table 1. Most measurements were acquired once every 5 s and means of
233 12 values logged at 1 min intervals. The exception are data from the soil at
234 0.05 m and deeper depths that were acquired and logged once per hour (data not
235 shown). Wind speed and direction, air temperature, air humidity, precipitation
236 and atmospheric pressure were measured at a height of 2 ± 0.3 m.

237 All visible and UV radiation sensors were calibrated simultaneously while
238 deployed on site by comparison to a recently calibrated array spectrometer
239 (Maya 2000 Pro, Ocean Optics, now Ocean Insight, Orlando, FL, USA). Spectral
240 data were acquired with R (R Core Team, 2021) and package ‘ooacquire’ (Aphalo
241 and Ylianttila, 2021) using an improved version of the protocol described in
242 (Ylianttila et al., 2005) (method "ylianttila.mthd" in ‘ooacquire’). The integration
243 time was optimized to attain at the peak $95 \pm 3\%$ of the maximum detector
244 counts and the number of integrations adjusted to maintain a constant
245 combined integration time of at least 10 s. Integration time was “bracketed” to
246 improve the dynamic range and the resulting spectra spliced, with the low signal

Table 1: Variables in the high temporal resolution data set and their origin. *n.a.* indicates not applicable. The WXT-520 sensor was replaced by a WXT-530 in April 2021. Acq. = data acquisition frequency; Logg. = data logging frequency; Q = photon irradiance, I = energy irradiance, T = temperature, e = water vapour pressure; u = horizontal wind speed; \vec{u} = wind direction; P_{atm} = atmospheric pressure; p_{rain} = rain precipitation; w = volumetric water content; ET_0 = reference evapotranspiration. Subscripts: UV-B = ultraviolet B radiation (250–315 nm); UV-A = ultraviolet A radiation (315–400 nm); B = blue light (400–500 nm); R = red light (655–664 nm); FR = far-red light (730–740 nm); PAR = photosynthetically active radiation (400–700 nm); SW = short-wave radiation (280–4000 nm).

Variable	Acq.	Logg.	Technique	Sensor type	Make
$Q_{\text{UV-B}}$	5 s	\bar{x} , 1 min	SiC photodiode	SEN2-UVB-Cosine	sglux
$Q_{\text{UV-A}}$	5 s	\bar{x} , 1 min	SiC photodiode	SEN2-UVA-Cosine	sglux
Q_{B}	5 s	\bar{x} , 1 min	GaP photodiode	SEN2-Blue-Cosine	sglux
Q_{R}	5 s	\bar{x} , 1 min	Si photodiode	SKR-110	Skye
Q_{FR}	5 s	\bar{x} , 1 min	Si photodiode	SKR-110	Skye
Q_{PAR}	5 s	\bar{x} , 1 min	Si photodiode	LI-190	LI-COR
$Q_{\text{PAR,diff.tot.}}$	5 s	\bar{x} , 1 min	Si	BF5	Delta-T
I_{sw}	5 s	\bar{x} , 1 min	thermopile	SMP3	Kipp
T_{air}	< 5 s	\bar{x} , 1 min	PT100	WXT-520/536	Vaisala
e_{air}	< 5 s	\bar{x} , 1 min	HUMICAP	WXT-520/536	Vaisala
u_2	0.25 s	\bar{x} , 1 min	2D sonic	WXT-520/536	Vaisala
\vec{u}_2	0.25 s	\bar{x} , 1 min	2D sonic	WXT-520/536	Vaisala
P_{atm}	< 5 s	\bar{x} , 1 min	BAROCAP	WXT-520/536	Vaisala
p_{rain}	1 min	$\sum x$, 1 min	sonic	WXT-520/536	Vaisala
T_{surface}	5 s	x , 1 min	IR 8–12 μm	OPT-CSMV-LT02	Optris
$T_{\text{soil,z}}$	1 h	x , 1 h	thermistors	SoilVue	Campbell
$w_{\text{soil,z}}$	1 h	x , 1 h	TDR	SoilVue	Campbell
ET_0	1 min	<i>n.a.</i>	calc.	Penman-Monteith	<i>n.a.</i>
solar time	1 min	<i>n.a.</i>	calc.	Meeus	<i>n.a.</i>

247 regions coming from spectra measured using $\times 10$ the base integration time. For
248 each spectrum, measurements under three conditions were taken within not
249 more than 2 min: a light measurement, a light measurement with a filter
250 blocking UV radiation ($\lambda \leq 400$ nm), and a dark measurement. This protocol
251 together with a special calibration protocol and matching algorithm allow
252 correction for stray light, slit function and increase the dynamic range. This
253 makes it possible to measure UV-B radiation in sunlight reliably. As entrance
254 optics a high performance cosine diffuser (D7-H-SMA, Bentham, Reading, U.K.)
255 connected with an optical fibre (xxxx, Ocean Optics) and mounted on a
256 custom-made levelling base were used. The distance between this entrance
257 optics and the broadband sensors calibrated was less than 10 m. During
258 measurements the operator ducked down and remained at least 5 m away, on
259 the side opposite to the sun. Other sensors were factory calibrated.

260 The observed data were used to estimate ET_0 for a short (0.12 m-tall) vegetation
261 canopy at 1 min intervals. We used the FAO56 formulation of the
262 Penman-Montieth equation, as modified by ASCE (ASCE-PM short canopy) (Allen
263 et al., 2006). Given the availability of measured atmospheric pressure (P_{atm}) the
264 psychrometric constant (γ) was computed from it instead of assumed constant.
265 Soil heat flow was assumed to be negligible. Functions `ET_ref()`, `ET_ref_day()`,
266 `water_vp_sat()`, `water_vp_sat_slope()`, `psychrometric_constant()` and
267 `net_radiation()` from R package ‘photobiology’ version 0.10.7 (Aphalo, 2015)
268 were used to compute ET_0 .

269 Local solar time, the position of the sun in the sky and day length were also
270 computed using a re-implementation in R package ‘photobiology’ (Aphalo, 2015)
271 of Meeus’ equations (Meeus, 1998) as used in NOAA’s on-line web calculator.

272 All calculations of solar radiation summaries were done in R 4.1.0 or 4.1.1 (R
273 Core Team, 2021) with packages ‘photobiology’ (Aphalo, 2015), ‘dplyr’ (Wickham
274 et al., 2021) and ‘lubridate’ (Grolemund and Wickham, 2011). The data from the
275 logger were imported into R with the help of R package ‘photobiologyInOut’
276 (Aphalo, 2015). Plots and the model fits they contain were created in R with
277 packages ‘ggplot2’ (Wickham, 2016) and ‘ggpmisc’ (Aphalo, 2021).

278 2.2. Data analysis

279 The relative importance of the different variables entering the ET_0 calculation
280 was assessed by the "lgm" method as implemented in R package 'relaimpo'
281 (Grömping, 2006) in a linear model with ET_0 as response variable and I_{sw} , T_{air} ,
282 $e_{air}^* - e_{air}$ and u as explanatory variables. The lgm approach is based on R^2
283 partitioned by averaging over orders and computed by numerical approximation.
284 We did quantile regression fits with R package 'quantreg'. We made also use of R
285 packages 'nlme' (Pinheiro et al., 2021), 'tibble' (Müller and Wickham, 2021),
286 'dplyr' (Wickham et al., 2021) and 'tidyr' (Wickham, 2021).

287 We built correlation matrices for these variables together with solar radiation in
288 those bands known to be perceived through plant photoreceptors, to not only
289 detect possible proxies for potential evapotranspiration rate, but also assess the
290 collinearity among variables entering the ET_0 calculations. The matrices were
291 plotted with variables grouped according to hierarchical clustering using R
292 package 'ggcorrplot' (Kassambara, 2019).

293 Given that the main focus of the study was to assess what sources of
294 information plants could use to "forecast" future drought and/or heat stress
295 events, we assessed the goodness of different variables as predictors of ET_0 . We
296 used polynomial regression fitted by ordinary least squares (OLS) and compared
297 the adjusted coefficients of determination (R_{adj}^2). We used R_{adj}^2 instead of R^2
298 because the number of parameters was not the same in all the fitted models. In
299 spite of this, small differences in R_{adj}^2 must be interpreted with caution.

300 Given the high frequency of data acquisition over two whole growing seasons, by
301 doing the analyses described above on the original observations and on their
302 averages when grouped by calendar day or by time of day we assessed the
303 correlations at different time scales.

304 **3. Results**

305 **3.1. Drivers of evapotranspiration**

306 The Penman-Monteith's (PM) equation we used to compute ET_0 is an
307 approximation based on the Physics processes regulating the water flux. This
308 equation takes as input radiative energy, air temperature and water vapour
309 pressure deficit as well as wind speed and atmospheric pressure. Atmospheric
310 pressure plays a minor role in the calculations and is frequently ignored.

311 An initial question before considering proxies, is to analyse how much reference
312 evapotranspiration depends on each of the different variables used as input in
313 its estimation. The importance of the variables depends on their variation and
314 correlations, so we used for this analysis the same data we later used to the
315 search for proxies of ET_0 that plants could sense and use as sources of
316 information. The apparent importance of the variables also depends on the
317 model fitted. We selected a simple model that is able to give a very good fit to
318 the data.

$$\widehat{ET}_0 = b_0 + b_1 \cdot I_{sw} + b_2 \cdot T_a + b_3 \cdot (e_a^* - e_a) + b_4 \cdot u_2 + \epsilon_{ij} \quad (3)$$

319 We considered correlation corresponding to three different types of temporal
320 variation: those revealed by 1) the original observations at a 1 min time step, 2)
321 daily summaries, and 3) monthly means for each hour of the photoperiod. Our
322 aim was to investigate whether short-term and long-term correlations are
323 consistent.

324 In all analyses we used data for the period from April to September, as at our
325 location, at other times of the year snowfall and snow on the ground may be
326 present. Except for daily totals, we consider only data for sun elevation angles of
327 5 degrees or more as the rate of evapotranspiration is much lower at night.

328 For the original observations at 1 min time step, the energy input is most
329 important towards explaining variation in evapotranspiration, contributing
330 between 82% and 71% of the R^2 . Depending on the month of the year, wind
331 speed and vapour pressure deficit alternate as second in importance, while in
332 most cases temperature makes only a small contribution (Fig. 1).

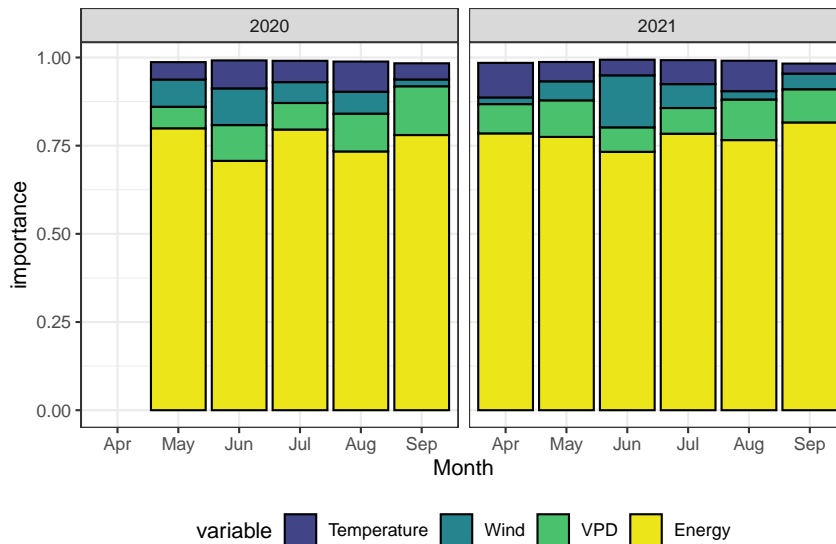


Figure 1: Relative importance of variables in the computation of ET_0 on a 1 min time step. The total R^2 of the fitted linear model is partitioned based on the contribution of different variables. The stacked bar displays the R^2 for each fit, with in all cases $R^2 > 0.98$. $n = 274075$.

333 For daily totals, energy and vapour pressure deficit remain as main drivers of
 334 evapotranspiration. Month to month variation in the relative importance is,
 335 however, larger than for the original observations (Fig. 2).

336 For monthly means for each hour of the photoperiod (based on local solar time),
 337 relative importances are rather different than on a 1 min or an daily time steps,
 338 with the importance of wind speed increasing and that of global radiation
 339 decreasing. The four variables taken together still explain almost all variation in
 340 ET_0 with $R^2 > 0.99$ for all months (Fig. 3).

341 3.2. Correlations

342 The input variables to the Penman-Monteith equation can be strongly
 343 cross-correlated as for example, water vapour pressure tends to vary little
 344 through the course of a day and consequently changes in the vapour pressure
 345 deficit are dependent on air temperature. As a result, $e^* - e$ is at its maximum in
 346 the early afternoon when air temperature is highest. Correlations for the original
 347 observations logged at 1 min interval are all positive and very strong among all

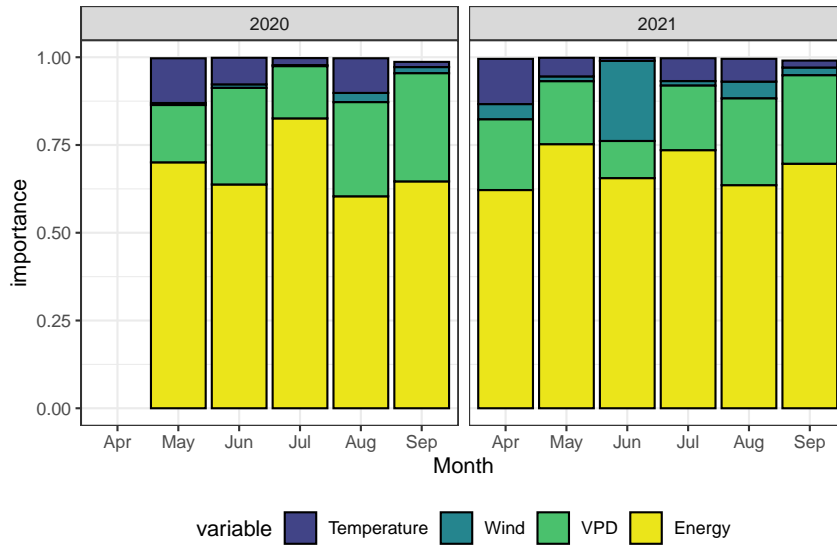


Figure 2: Relative importance of variables in the computation of ET_0 on a daily time step. The total R^2 of the fitted linear model is partitioned based on the contribution of different variables. The stacked bar displays the R^2 for each fit, with in all cases $R^2 > 0.99$. $n = 317$.

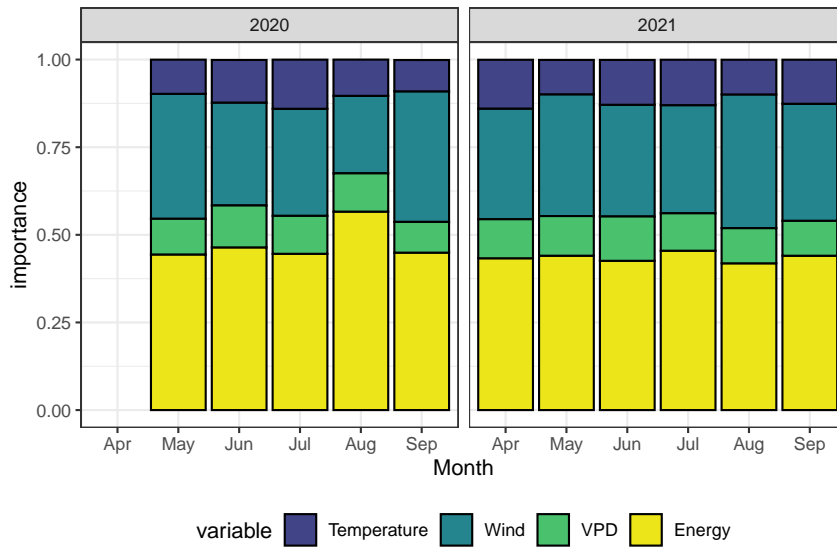


Figure 3: Relative importance of variables in the computation of hourly ET_0 on an hourly time step. The total R^2 of the fitted linear model is partitioned based on the contribution of different variables. The stacked bar displays the R^2 for each fit, with in all cases $R^2 > 0.99$. $n = 155$.

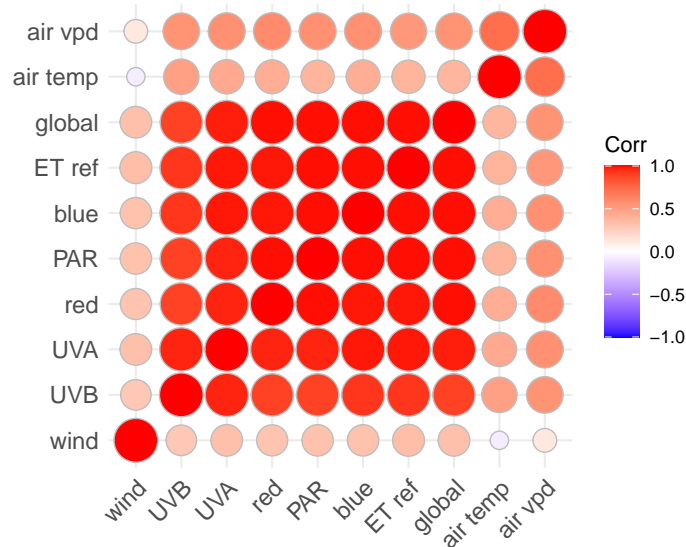


Figure 4: Correlation matrix for observations logged at 1 min intervals for sun elevations equal or higher than 5 degrees. $n = 274072$. Variables are clustered based on the similarity of the correlation patterns.

348 pairs of sunlight wavebands and for ET_0 against each of them (Fig. 4).
 349 Correlations involving wind speed, air temperature or $e^* - e$ are much weaker.

350 Correlations in day to day variation are shown as a correlation matrix for daily
 351 means (Fig. 5). In this case wind speed is very weakly and negatively correlated
 352 with ET_0 and solar radiation bands, while air temperature and $e^* - e$ are
 353 positively with each other and with solar radiation. As for observations at 1 min
 354 time step, the different bands of sunlight and ET_0 cluster together.

355 Correlations within the average daily course are shown as a correlation matrix
 356 for monthly means for each hour of the day (Fig. 6). The clustering suggests that
 357 the correlations during the daily course of the photoperiod are slightly different,
 358 with a larger importance of wind speed than for day to day variation.

359 At all three time scales the different bands of sunlight and ET_0 cluster together,
 360 and consistently global radiation is an immediate neighbour of ET_0 . The
 361 ordering based on R^2 within this cluster varies, but given that correlation
 362 coefficients are consistently very high among this group of variables, this
 363 variation is mostly inconsequential.

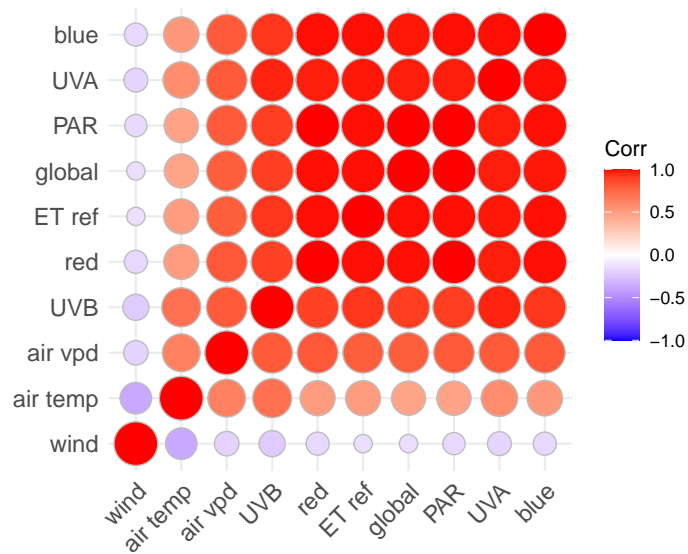


Figure 5: Correlation matrix for daily means from observations logged at 1 min intervals through 24 h. $n = 317$. Variables are clustered based on the similarity of the correlation patterns.

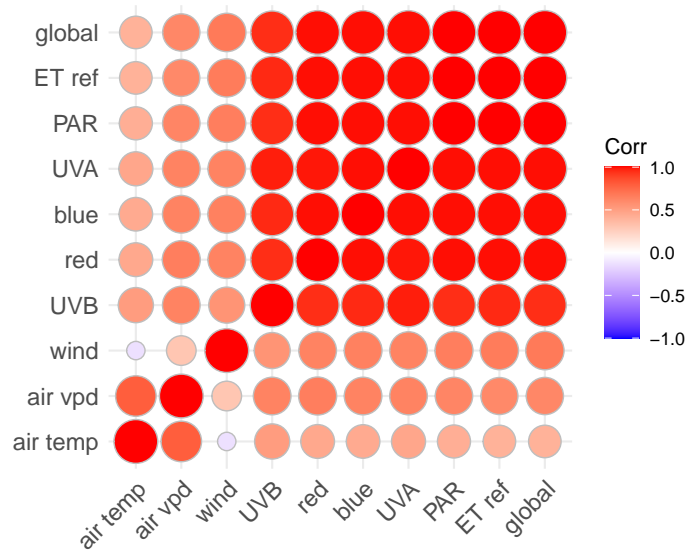


Figure 6: Correlation matrix for monthly means for each hour of the day, from observations logged at 1 min intervals through 24 h. $n = 155$. Variables are clustered based on the similarity of the correlation patterns.

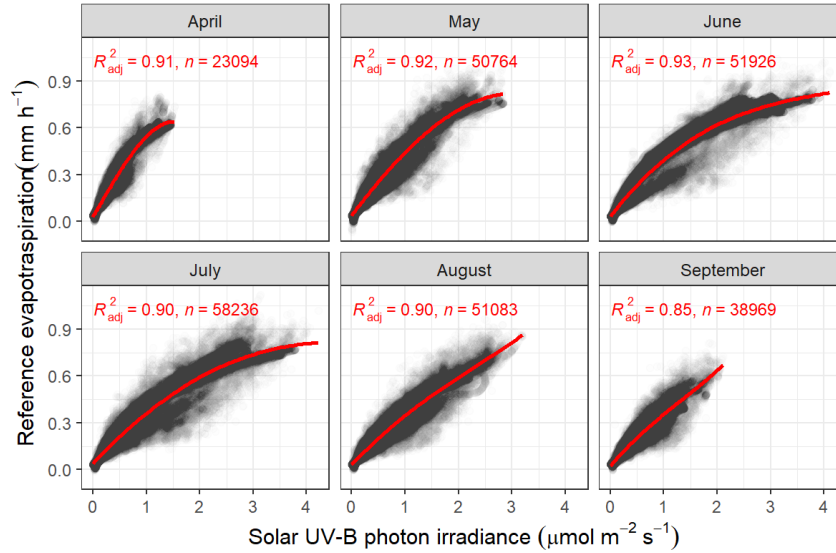


Figure 7: Relationship between reference evapotranspiration for a short vegetation cover (ET_0) vs. UV-B photon irradiance (Q_{UV-B}). Observations are means logged at 1 min interval. In red fitted 3rd degree polynomial. Observations are plotted as semi-transparent dots (black corresponds to 125 or more overlapping points).

364 3.3. Proxies for ET_0

365 Until now we have considered linear correlation and linear fits. These yielded
 366 slightly smaller estimates of correlation coefficients between UV-B radiation and
 367 ET_0 than for other bands of the solar spectrum. We need however to consider
 368 that within the photoperiod the relationship between global irradiance and UV-B
 369 irradiance is not linear while the relationship for UV-A 1 and longer wavelengths
 370 is almost perfectly linear (cf. Figs. 24 and 25). This results in a curvilinear
 371 relationship between ET_0 and UV-B irradiance for the original observations that
 372 can be well described by a 3rd degree polynomial (Fig. 7) and in a linear
 373 relationship between ET_0 and irradiance for longer wavelengths such as for blue
 374 light (Fig. 8).

375 To individually assess the performance of each variable as predictor of ET_0 we
 376 fitted first or third degree polynomials. Fig. 9 shows the adjusted R^2 from these
 377 fits, done separately for each month using data at 1 min intervals for sun
 378 elevation equal or more than five degrees. This shows, in agreement with the
 379 analysis in Fig. 2 that the best predictors are the various bands of sunlight, with

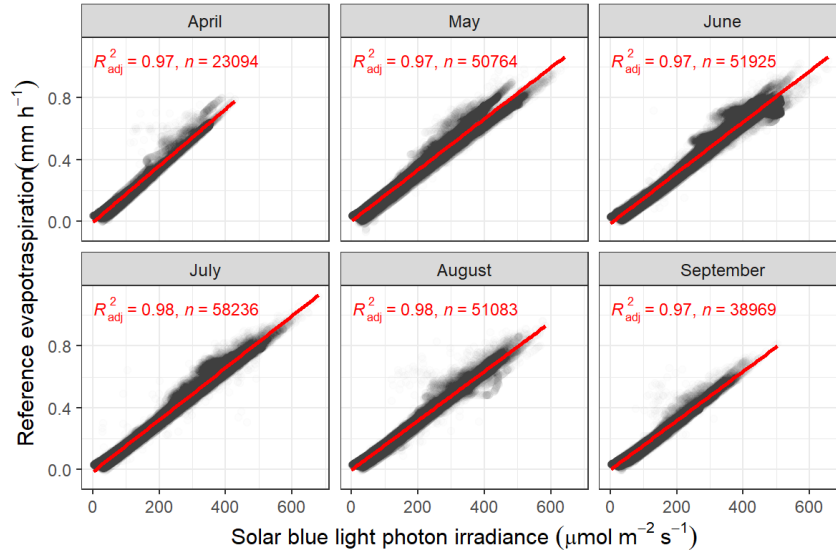


Figure 8: Relationship between reference evapotranspiration for a short vegetation cover (ET_0) vs. solar blue photon irradiance (Q_{UV-A}). Hourly averages computed from 60 values logged at 1 min interval. In red fitted linear regression. Observations are plotted as semi-transparent dots (black corresponds to 125 or more overlapping points). $n = 274071$.

380 PAR performing slightly better than UV radiation. Non-the-less even for UV-B
 381 radiation $R^2 > 0.85$ in all months (Fig. 7). In contrast, for VPD, the best
 382 performing of the variables not directly related to solar radiation,
 383 $0.24 > R^2 > 0.31$, i.e., $e^* - e$ explained in every case less than one third of the
 384 variation in ET_0 (Fig. 22).

385 A similar analysis for variation within the course of the photoperiod shows very
 386 high estimates of R^2 for all sunlight bands ($R^2 \geq 0.99$; Fig. 10). Of the remaining
 387 variables, wind is a good predictor of ET_0 during the photoperiod
 388 ($0.63 \leq R^2 \leq 0.91$). UV-B irradiance is a very good predictor of ET_0 even though
 389 the relationship is curvilinear (Fig. 20).

390 4. Discussion

391 Our analysis of the data highlights the importance of energy as the main driver
 392 of ET_0 , and demonstrates that all bands of the solar spectrum are much better
 393 predictors of ET_0 than VPD, wind speed or T_{air} . The relationship between

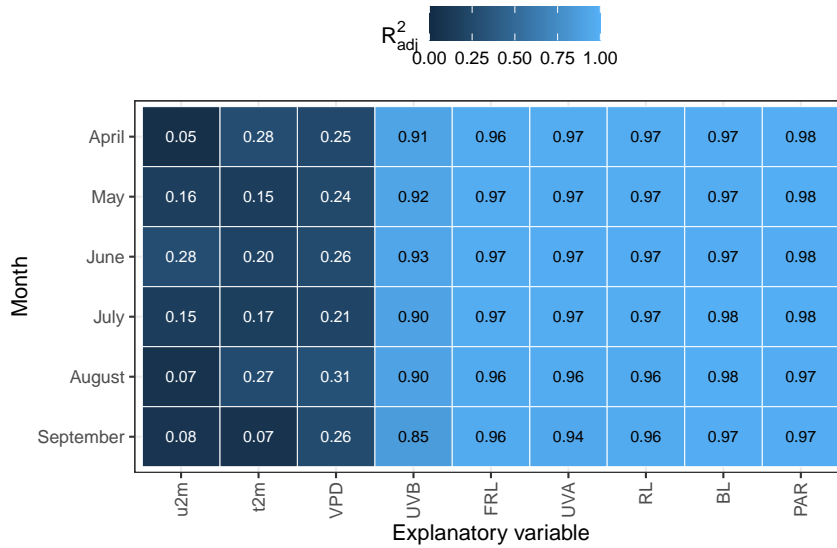


Figure 9: Adjusted R^2 for regressions of ET_0 on each of the variables individually, using observations and estimates for each minute. Data for sun elevation of 5 degrees or more during April to September. Explanatory variables are ordered along the x -axis according to their median R^2 . The labels of the files are R^2 values. $n = 274071$.

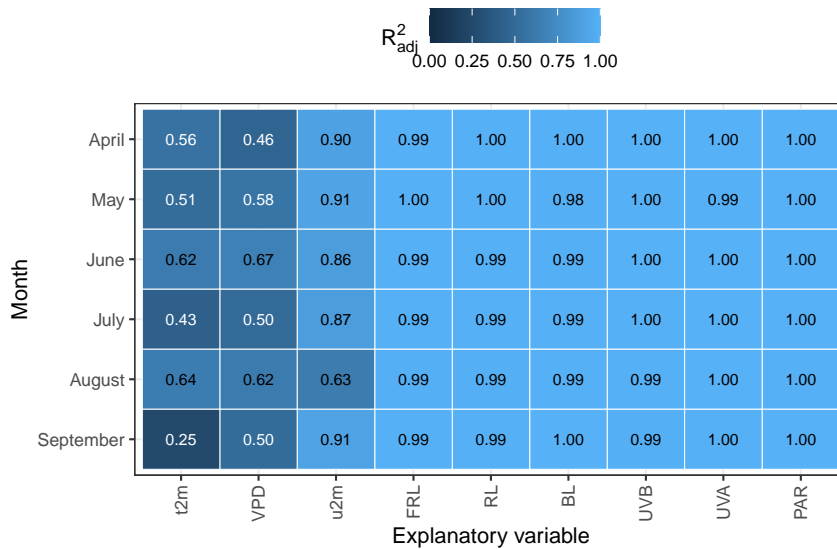


Figure 10: Adjusted R^2 for regressions of ET_0 on each of the variables individually, using monthly means for each hour of the photoperiod computed from observations and estimates for each minute. Data for sun elevation of 5 degrees or more during April to September. Explanatory variables are ordered along the x -axis according to their median R^2 . The labels of the files are R^2 values. $n = 275$.

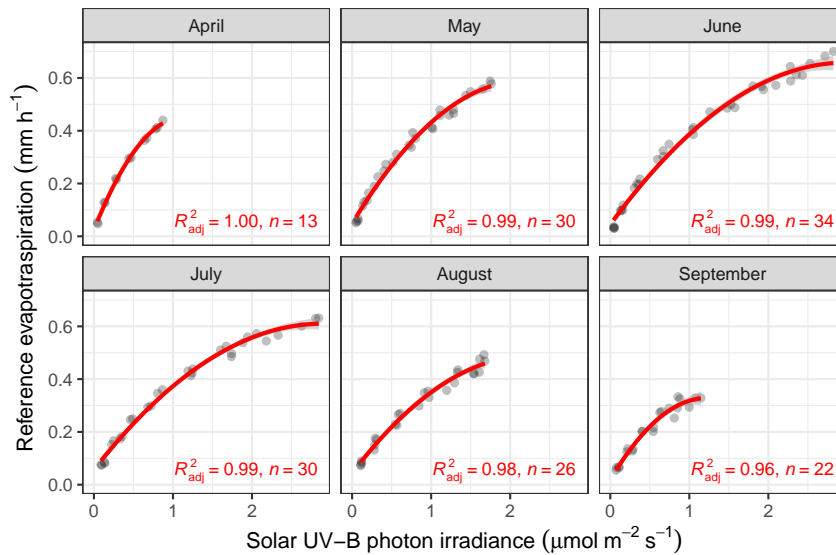


Figure 11: Relationship between reference evapotranspiration for a short vegetation cover (ET_0) vs. UV-B photon irradiance (Q_{UV-B}). Monthly averages computed for each hour of the photoperiod from values logged at 1 min interval. Data from 2020 and 2021 averaged separately. Only values for sun elevation equal or higher to 5 degrees included. In red fitted 2nd degree polynomial.

394 down-welling short-wave radiation (I_{sw}) and irradiance in the blue (Q_B), red (Q_R)
 395 and far-red (Q_{FR}) regions is linear and very tight. In contrast, as Q_{UV-B} has
 396 stronger dependency on solar elevation than I_{sw} , the relationship between them
 397 is curvilinear. They are also differently affected by clouds (Lindfors and Arola,
 398 2008), possibly the reason for a relationship that is not as tight when
 399 considering day to day variation. In contrast, within the photoperiod there is
 400 little difference among wavebands in their performance as predictors.

401 Horizontal cosine corrected diffusers, as normally used, measure the radiation
 402 flux received on a horizontal surface (irradiance: I or Q). However, a horizontal
 403 plane is far from representing the true position of most plant leaves in a canopy.
 404 Diffusers with hemispherical or cylindrical shape could be used instead of flat
 405 ones and deployed both within and above canopies. So, an important *caveat* is
 406 that the irradiances we report here are not exactly the same as what individual
 407 plants and leaves are exposed when growing either in a canopy or isolated
 408 (Chelle, 2005).

409 Reference evapotranspiration, ET_0 , is an abstraction that even if useful does not

410 describe the actual water loss from the soil (Campbell and Norman, 1998; Allen
411 et al., 2006). Future studies assessing actual soil drying and water recharge, e.g.,
412 by measuring evapotranspiration with lysimeters, or by estimating it from soil
413 volumetric water content and precipitation will allow to more precisely assess
414 the information carried by cues. Measurements of the surface temperature of
415 the canopy and long wave radiation would allow the use of equation (1) to
416 validate estimates of ET.

417 There is evidence for a role of pre-exposure to UV-B and/or UV-A in preemptive
418 acclimation to drought (e.g. Robson et al., 2016; Yan, 2021), but the usual
419 explanation does not seem to hold, at least for our data set, as all wavebands of
420 sunlight seem to function as cues carrying information about ET_0 . This raises
421 the question of why plants use UV exposure as a proxy informing about the
422 likelihood of future drought. We propose three possible explanations as
423 hypotheses for future studies: 1) UV exposure is a comparatively better
424 predictor of ET_0 for plants growing within a canopy than when considering
425 sunlight above a canopy; 2) irradiance measured on a horizontal plane may not
426 represent what a plant senses; and 3) UV exposure in itself is a better predictor
427 of future drought than ET_0 .

428 Disentangling these questions has practical implications for crop breeding as it
429 will explain under which conditions reductions in g_1^W contribute to population
430 level water-use efficiency and under which conditions it does not. The albedo,
431 LAI and timing of soil-water use can all affect canopy evapotranspiration,
432 possibly as much or more than a decrease in g_1^W , while imposing different
433 constraints and trade-offs on production. As far as we know, data like we are
434 collecting at our station are not available anywhere else with a similarly high
435 temporal resolution. A network of similar stations deployed worldwide would be
436 very valuable for the development and deployment of precision agriculture.
437 Thus, this report provides a starting point with respect to instrumentation and
438 data analysis, in addition to answers to scientific questions.

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439 **A. Supplementary Material**

440 **A.1. Daily totals and means**

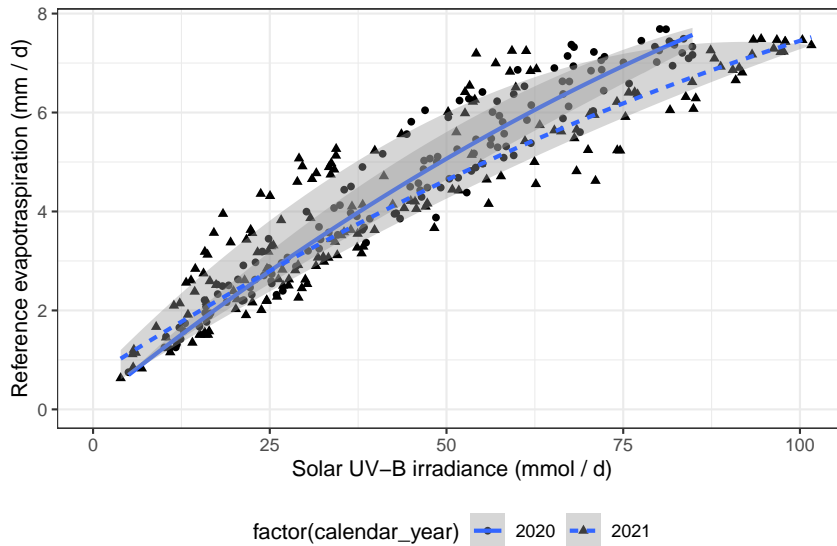


Figure 12: Relationship between reference evapotranspiration for a short vegetation cover (ET_0) and UV-B photon irradiance (Q_{UV-B}). Monthly averages computed for each hour of the photoperiod from values logged at 1min interval. Median regression (line) and quartile regressions (band), second degree polynomials.

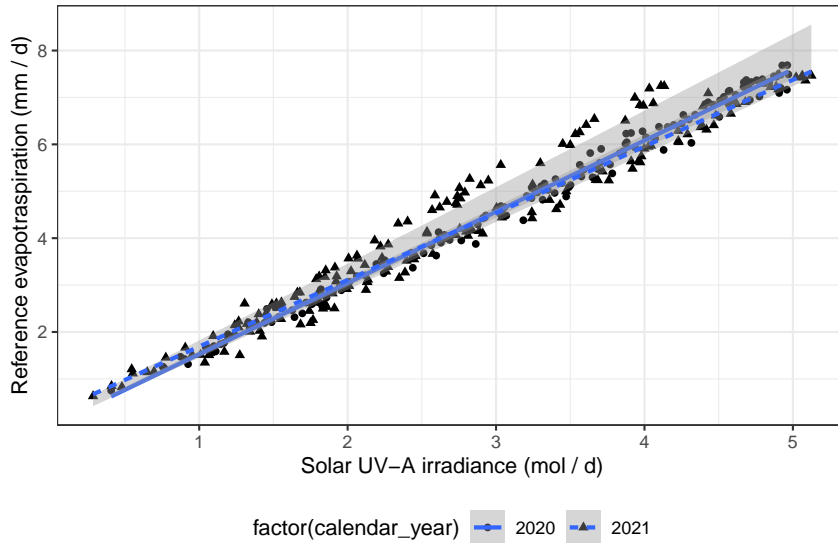


Figure 13: Relationship between reference evapotranspiration for a short vegetation cover (ET_0) and UV-A photon irradiance (Q_{UV-A}). Monthly averages computed for each hour of the photoperiod from values logged at 1 min interval. Median regression (line) and quartile regressions (band), first degree polynomial.

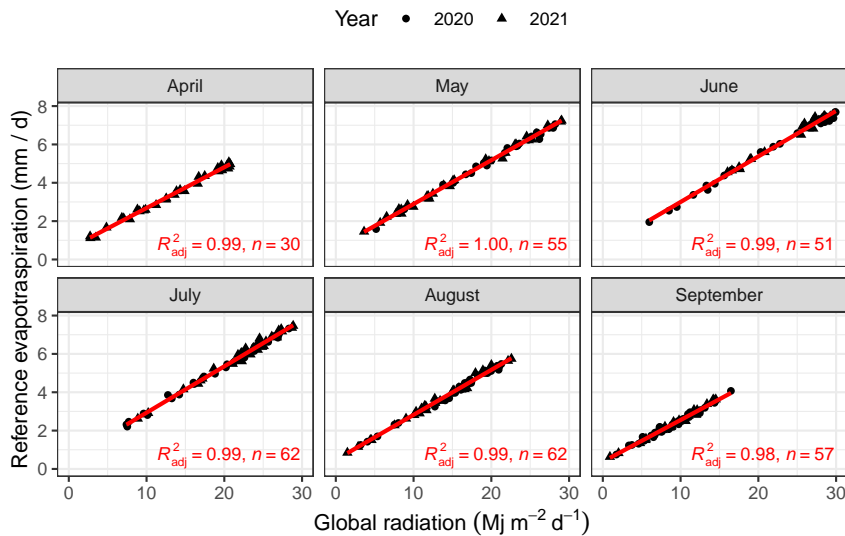


Figure 14: Relationship between reference evapotranspiration for a short vegetation cover (ET_0) vs. global radiation (H_{SW}). Daily sums computed from 1 440 values logged at 1 min interval. In red fitted 2nd degree polynomial.

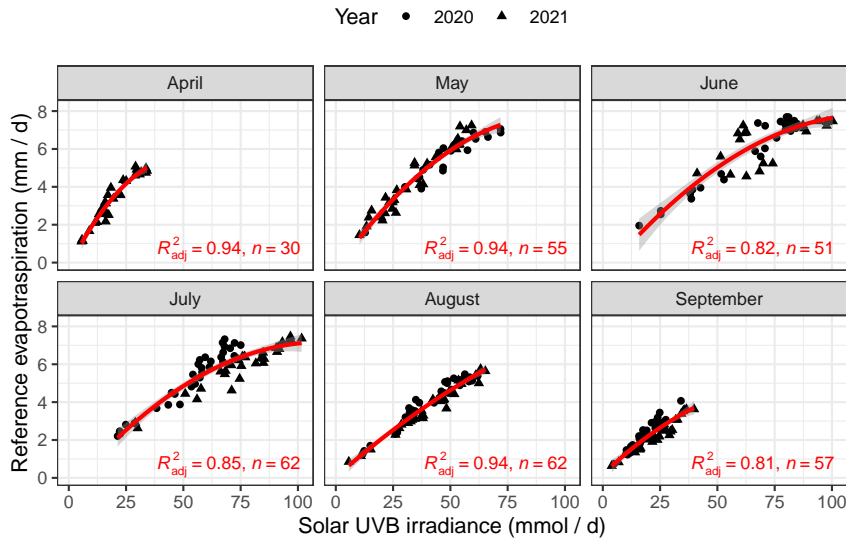


Figure 15: Relationship between reference evapotranspiration for a short vegetation cover (ET_0) vs. UV-B photon exposure (H_{UV-B}). Daily sums computed from 1 440 values logged at 1 min interval. In red fitted 2nd degree polynomial.

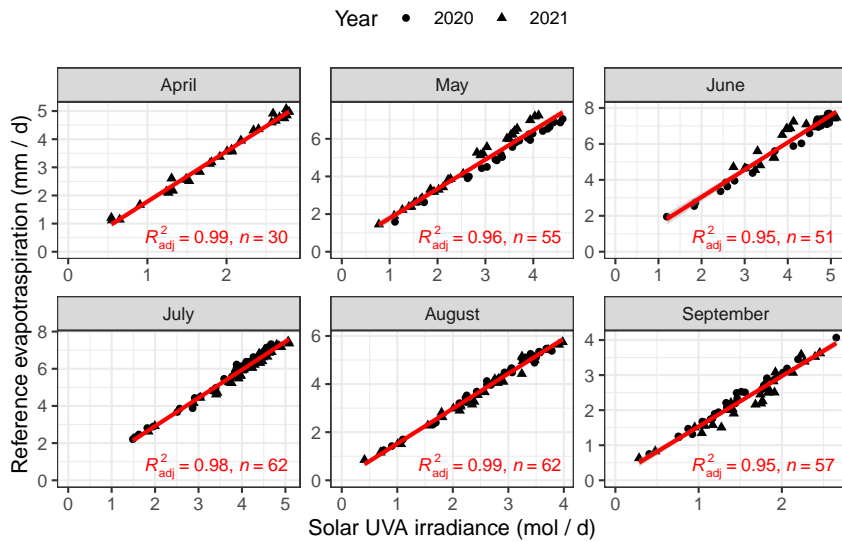


Figure 16: Relationship between reference evapotranspiration for a short vegetation cover (ET_0) vs. UV-A photon exposure (H_{UV-A}). Daily sums computed from 1 440 values logged at 1 min interval. In red fitted 2nd degree polynomial.

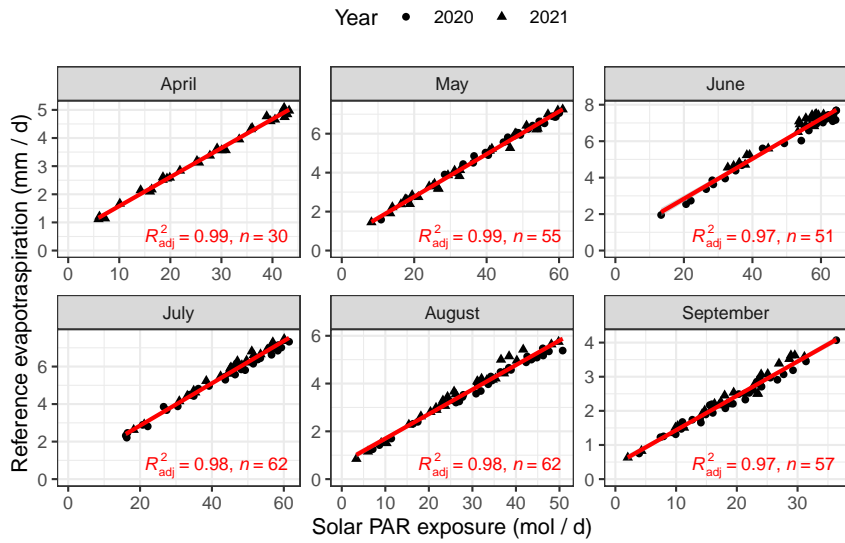


Figure 17: Relationship between reference evapotranspiration for a short vegetation cover (ET_0) vs. PAR photon exposure (H_{UV-A}). Daily sums computed from 1 440 values logged at 1 min interval. In red fitted 2nd degree polynomial.

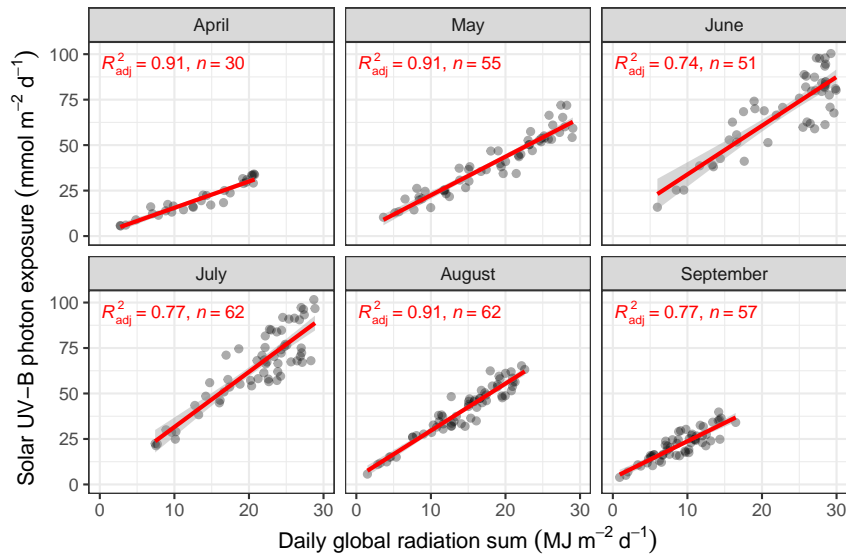


Figure 18: Short-wave radiation (H_{sw}) vs. UV-B photon exposure (H_{UV-B}). Daily sums computed from 1 440 values logged at 1 min interval. In red fitted 1st degree polynomial.

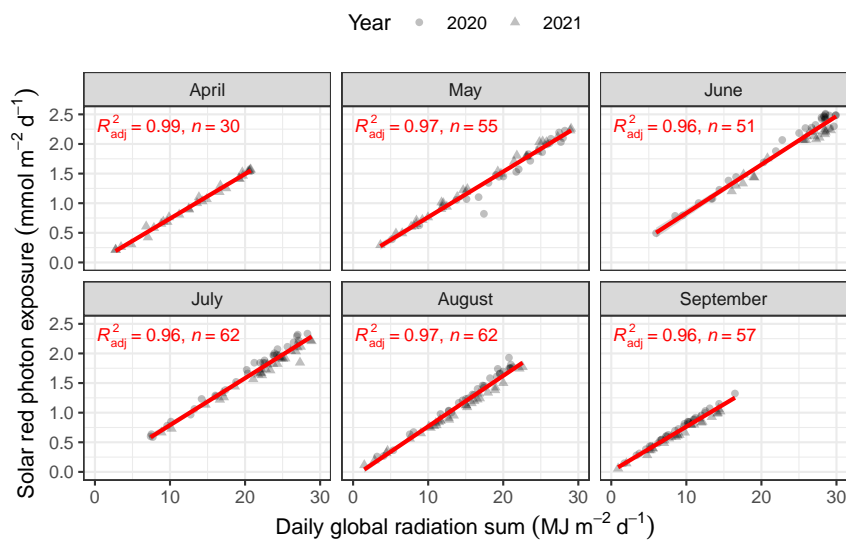


Figure 19: Short-wave radiation (H_{sw}) vs. red photon exposure (H_{R}). Daily sums computed from 1 440 values logged at 1 min interval. In red fitted 1st degree polynomial.

441 **A.2. Variation within the photoperiod**

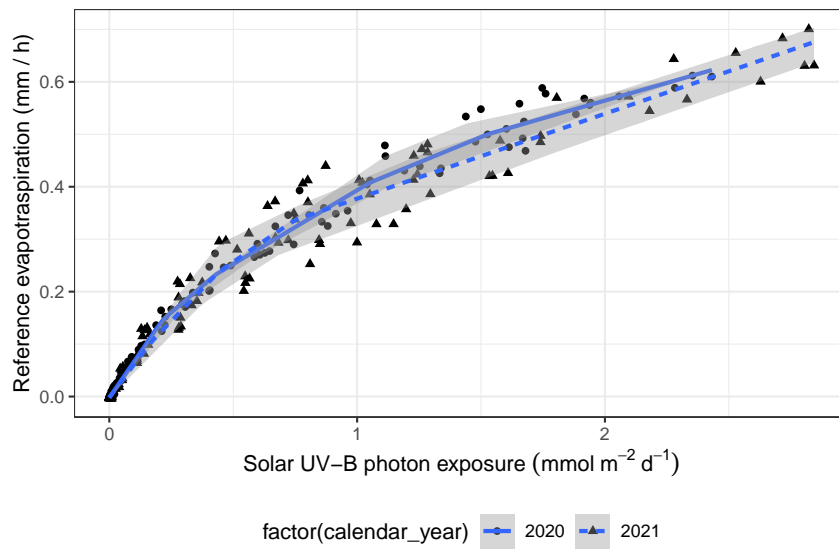


Figure 20: Relationship between reference evapotranspiration for a short vegetation cover (ET_0) and UV-B photon irradiance (Q_{UV-B}). Monthly averages computed for each hour of the photoperiod from values logged at 1 min interval. Median regression (line) and quartile regressions (band) using a spline.

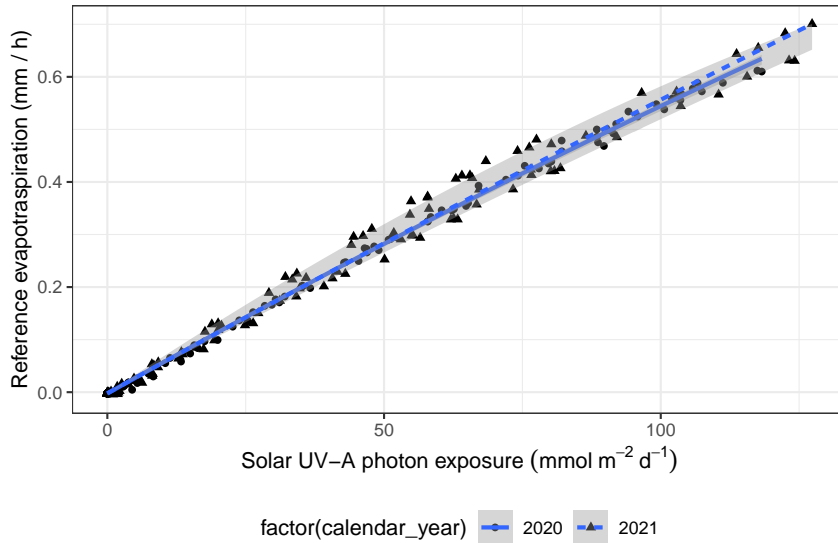


Figure 21: Relationship between reference evapotranspiration for a short vegetation cover (ET_0) and UV-A photon irradiance (Q_{UV-A}). Monthly averages computed for each hour of the photoperiod from values logged at 1 min interval. Median regression (line) and quartile regressions (band), second degree polynomial.

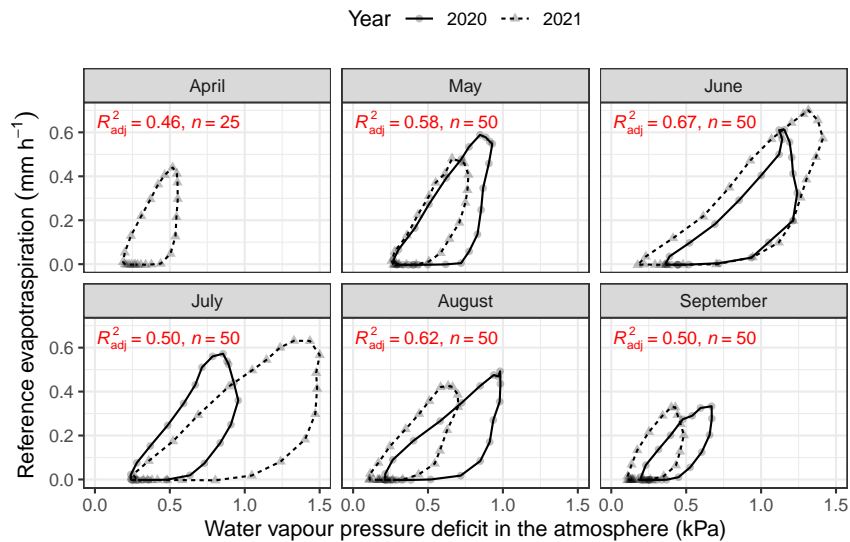


Figure 22: Average daily path of the relationship between reference evapotranspiration for a short vegetation cover (ET_0) vs. water vapour pressure deficit ($e^* - e$). Monthly averages computed for each hour of the photoperiod from values logged at 1 min interval.

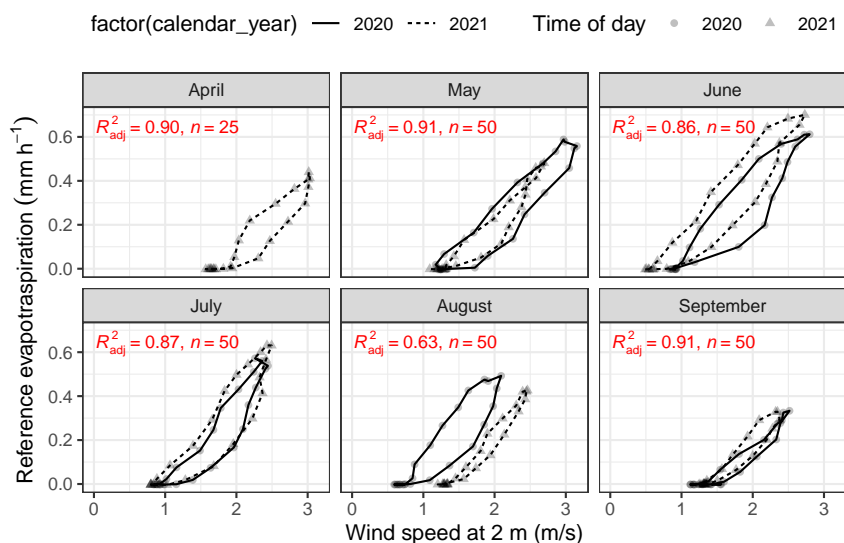


Figure 23: Average daily path of the relationship between reference evapotranspiration for a short vegetation cover (ET_0) vs. water vapour pressure deficit ($e^* - e$). Monthly averages computed for each hour of the photoperiod from values logged at 1 min interval.

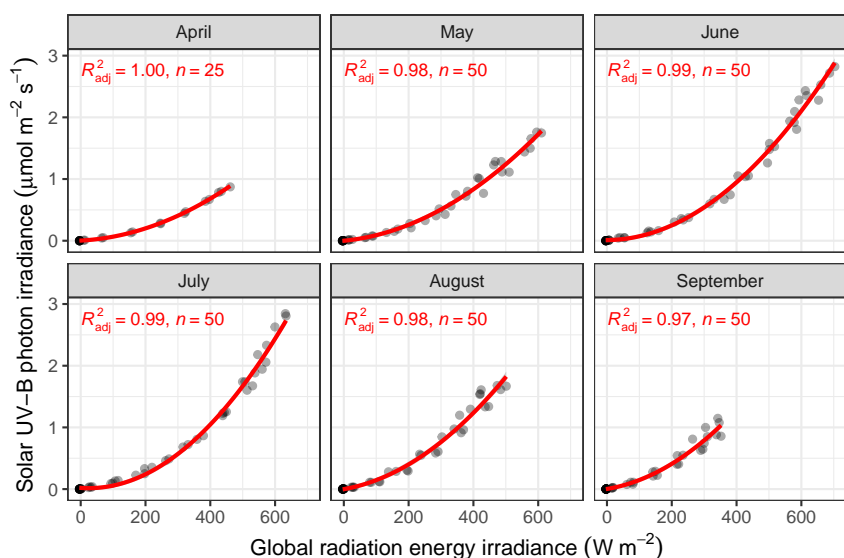


Figure 24: Short-wave global energy irradiance (I_{sw}) vs. UV-B photon irradiance (Q_{UV-B}). Monthly averages computed for each hour of the photoperiod from values logged at 1 min interval. In red fitted 2nd degree polynomial.

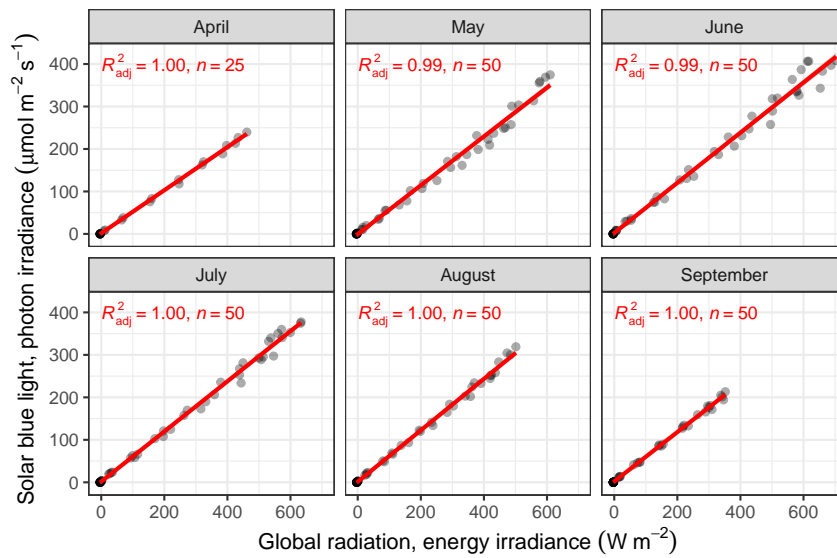


Figure 25: Short-wave global energy irradiance (I_{sw}) vs. R photon irradiance (Q_R). Monthly averages computed for each hour of the photoperiod from values logged at 1 min interval. In red fitted linear regression.