- 1 Mexican agricultural frontier communities differ in forest dynamics with consequences for
- 2 conservation and restoration
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- 15 Running head:
- 16 Drivers of forest dynamics in Mexico
- 17

## 18 Abstract

- 19 Forest regrowth is key to achieve restoration commitments, but a general lack of
- 20 understanding when it occurs and how long secondary forests persist, hampers effective
- 21 upscaling. We quantified spatiotemporal forest dynamics in a recently colonized agricultural
- 22 frontier in southern Mexico, and tested how temporal variation in climate, and cross-
- 23 community variation in land ownership, land quality and accessibility affect forest
- 24 disturbance, regrowth and secondary forest persistence.
- 25 We consistently found more forest loss than regrowth, resulting in a net decrease of 45%
- 26 forest cover (1991-2016) in the study region. Secondary forest cover remained relatively
- 27 constant while secondary forest persistence increased, suggesting that farmers are moving
- 28 away from shifting cultivation. Temporal variation in disturbance was explained by annual
- 29 variation in climatic variables and key policy and market interventions.
- 30 We found large differences in forest characteristics across communities, and these were
- 31 explained by differences in land ownership and soil quality. Forests were better conserved
- 32 on communal land, while secondary forest was more persistent when farms were larger and

- 33 soil quality is better. At the pixel-level both old forest and secondary forests were better
- 34 represented on low-quality lands indicating agricultural concentration on productive land.
- 35 Both old forest and secondary forest were less common close to the main road, where
- 36 secondary forests were also less persistent.
- 37 We demonstrate the suitability of timeseries analyses to quantify forest disturbance and
- regrowth and we analyse drivers across time and space. Communities differ in forest
- 39 dynamics, indicating different possibilities, needs and interests. We warrant that stimulating
- 40 private land ownership may cause remaining forest patches to be lost and that conservation
- 41 initiatives should benefit the whole community. Forest regrowth competes with agricultural
- 42 production and ensuring farmers have access to restoration benefits is key to restoration
- 43 success.
- 44
- 45 keywords
- 46 secondary succession, Landsat, Marqués de Comillas, Mexico, Chiapas, natural regeneration,
- 47 soil quality
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- 50

#### 51 Introduction

52 Increasing forest cover is central to achieving restoration commitments during the 2021-53 2030 decade of ecosystem restoration. The extent to which forest gains contribute to 54 restoration depend on the characteristics of these new forests. Forests are often replaced 55 by monoculture plantations (Rudel et al. 2016, Sloan et al. 2019) with limited restoration 56 benefits, while secondary forest could make substantial contributions (Chazdon and 57 Guariguata 2016). Secondary forests, or natural regeneration, is less costly and more 58 effective than tree planting (Chazdon and Uriarte 2016, Crouzeilles et al. 2017). Secondary 59 forests are resilient, capture large amounts of carbon (Chazdon et al. 2016, Poorter et al. 60 2016, Schwartz et al. 2017), host many species (Dent and Wright 2009, Rozendaal et al. 61 2019) and provide multiple ecosystem services (Zeng et al. 2019). However, the extent to 62 which secondary forests provide ecological and societal benefits depend on their 63 persistence. Secondary forests are commonly ephemeral (van Breugel et al. 2013) like in the 64 Brazilian Amazon where median persistence is about 5 years (Jakovac et al. 2017). Instead in Costa Rica median persistence was 20 years (Reid et al. 2018), allowing substantial benefits 65 66 for restoration and conservation. To make use of natural regeneration for restoration we 67 need to understand under what conditions regrowth occurs and how long secondary forests 68 persist. Recent developments in remote sensing allow us to track continuous disturbance-69 regrowth dynamics using satellite image timeseries (Verbesselt et al. 2010, DeVries et al. 70 2015a) which enables to quantify the spatiotemporal forest dynamics and identify forest 71 ages (George-Chacón et al. 2021).

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In addition, little is known about the drivers of forest dynamics (but see Carreiras et al. 73 74 2014, Schwartz et al. 2017). In this study we propose that forest conservation, forest 75 regrowth and secondary forest persistence across communities are influenced by variation 76 in three key variables: land ownership (average farm size and the proportion of communally 77 owned land), land quality (quality of the soil and hydrological properties) and accessibility 78 (access to infrastructure and markets) that were shown to have a close connection to 79 colonisation frontier development and forest transition theory (Richards 1996, Mather and 80 Needle 1998). The early pioneer stage is characterized by rapid forest clearance for 81 subsistence agriculture and where forest regrowth takes place as fallows in shifting 82 cultivation systems. In the second stage agricultural concentration on high-quality land may

give rise to forest regrowth on marginal lands, allowing for more persistent secondary
forests (Mather and Needle 1998, Smith et al. 2001). During the third stage the market
develops which increases accessibility, and may further enforce agricultural concentration
on high quality lands (Mather and Needle 1998) or decouple productivity from land quality
because farmers get access to external inputs. During the fourth closing frontier stage no
land is left to colonise and is characterized by urbanisation, land concentration and social
differentiation (Richards 1996).

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We assess how societal and biophysical characteristics have shaped forest dynamics in
agricultural frontier communities. We studied Marqués de Comillas region (MdC), a dynamic
agricultural frontier located in the Mesoamerican biodiversity hotspot in the humid tropics
of Mexico. MdC provides a suitable natural experiment of landscape change in a
colonization context because colonization was recent (1970's-1980's), rapid, had big
consequences for forest cover, and the region is representative of many such frontier areas
in the tropics (Lepers et al. 2005).

Specifically, we ask 1) how land ownership, land quality, and accessibility affect the extentof conserved forest, the extent and persistence of secondary forest across communities,

and 2) how annual changes in climate have shaped forest dynamics.

101 We hypothesized that: Land ownership, and specifically farm size, positively influences 102 forest conservation and regrowth because land is only spared when basic food production 103 needs are met. Land quality positively influences forest conservation because higher quality 104 lands have a large productive potential which allows to produce more efficiently. Regrowth 105 extent and persistence may be either decreased with land quality because of shorter fallow 106 cycles, or it may be increased because agricultural concentration leads to land 107 abandonment on marginal lands. Accessibility decreases forest cover as the pressure on 108 land increases with market access. In addition, we expected a negative interaction between 109 land quality and farm size because when land quality is high, less land is needed to meet 110 livelihood needs. Finally, we expected that with accessibility, farmers may get access to offfarm income and external inputs, decreasing effects of farm size and land quality. We 111 112 further expect that climatic variation may alter the developments predicted by colonisation 113 theory. The results are discussed in the light of key policy interventions which may

114 accelerate or slow down these transitions.

#### 116 Methods

117 Study region

118 The study took place in the Marqués de Comillas region (about 2000 km<sup>2</sup>) in Chiapas,

119 Mexico (Fig. 1). It consists of two municipalities: Marqués de Comillas and Benemérito de las

120 Américas and one community from the municipality of Ocosingo, and is enclosed by

121 Guatemala and the Montes Azules Biosphere Reserve on the north-western side. The

122 original vegetation is tropical rainforest. Close to 40 settler communities colonized the

region from 1972 to 1986 rapidly converting forest into agricultural landscapes (de Vos

124 2003). Deforestation was significantly increased by settlement of Central American refugees

in the 1980s (de Jong et al. 2000). Communities were organized in *ejidos*, which is a term for

126 the agrarian collective use of the land. Farmers vary from subsistence smallholders to those

127 that depend partly on markets (Montes de Oca et al. 2015) and poverty levels are high

128 (CONEVAL 2015). The region is characterized by complex human-modified landscapes

129 consisting of crop fields (mainly maize, beans), cattle ranches, forests and plantations

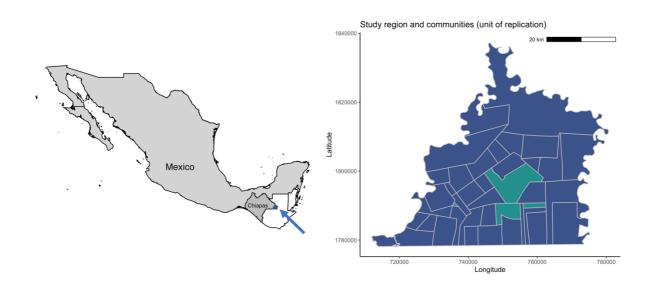
- 130 (Martínez-Ramos et al. 2016).
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132 For the spatial analysis the community is the unit of replication (n = 41), which is justified by

the relatively unified colonisation history in which initial settlers usually arrived together

and from the same region of origin (de Vos 2003). Most communities (n = 37) are indeed

135 formally recognized as *ejidos*, four units are not (see Fig. 1).



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Figure 1. The 41 communities considered in this study. The dark blue units are formally
recognized as *ejidos*, the green units are not. For the spatial analyses across communities
only the dark blue communities (*ejidos*) were used.

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#### 143 Forest dynamics trajectories

144 To quantify forest landscape characteristics per community, we first characterized pixel-145 level forest dynamics trajectories using Landsat time series (1984-2016). An NDMI 146 (Normalized Difference Moisture Index) raster stack was constructed and forest dynamics 147 trajectories were created using on a mix of methods (detailed methods presented in Supplementary materials). A baseline was set in 1991, for which we produced a forest non-148 149 forest map using a maximum likelihood classifier applied in ArcGIS (ESRI 2012), which 150 ensured sufficient data (1984-1991) as a historical reference. For pixels that were not 151 forested in the baseline we instead used a spatial reference (DeVries et al. 2015a). To 152 characterize disturbance-regrowth trajectories, we applied three sets of disturbance and 153 regrowth algorithms to the monitoring period (1991-2017). To start, a harmonic seasonal 154 model was fitted to the reference pixels (historical or spatial) which served as a reference (Verbesselt et al. 2012). Forest disturbance (forest to non-forest) was detected when first, a 155 pixel deviated significantly from the reference model (cf. Verbesselt et al. 2012) and second, 156 157 the median residual within the one year following, is less than -0.02, with the residual being

158 the difference between the observed NDMI value and the reference model (Verbesselt et al. 2012, DeVries et al. 2015b). A magnitude threshold of -0.02 was taken from a similar study 159 160 in Southern Peru (DeVries et al. 2015b) and was considered appropriate for this study region 161 based on qualitative and quantitative accuracy assessments. Regrowth (non-forest to forest) 162 was detected using the rgrowth R package (DeVries 2015) and records the date at which a 163 pixel with a previous disturbance becomes statistically comparable in temporal structure to 164 the historical reference, for at least one year, and is based on a time series test (DeVries et al 2015a). Each method records the date at which a pixel undergoes the event, and this 165 166 iterative process results in six rasters representing the dates of first, second and third 167 disturbance and regrowth dates for each pixel. The date of regrowth represents the year in 168 which the timeseries reaches values comparable in magnitude and seasonality to the 169 reference, and occurs several years after the start of regrowth. Overall accuracies were 0.77 170 for disturbance (0.04 standard error) and 0.72 for regrowth (0.07 standard error).

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172 Based on the baseline forest map (1991) and the pixel-level forest dynamics trajectories, we 173 identified the state of each pixel. Old forest was forest in the baseline and no disturbance 174 was identified during the monitoring period. This implies that old forest has been 175 undisturbed for at least 26 years, and is not the same as old-growth forest. Secondary forest 176 was not forested at some point in time, after which regrowth was detected and persisted 177 until the year of interest. For pixels identified as regrowth we calculated the age (year of 178 interest - year of regrowth detection), as the year of regrowth detection occurs some years 179 after the start of regrowth, presents an underestimation of the actual age. Since our 180 monitoring period starts in 1991, the oldest secondary forest that could be identified was 26 181 years (1991-2017). Secondary forest in this region rarely reach 26 years (van Breugel et al. 2006) so for our study this method is appropriate. Our method was not designed to 182 distinguish regrowth by secondary forest from plantations. Recent maps of oil palm were 183 developed using Sentinel-2 imagery and an object-based image segmentation (SAGA-GIS) 184 185 classification method (Fig. S2, and detailed methods in Supplementary Materials) and masked from the secondary forest and old forest maps. 186

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188 Forest landscape characteristics

189 From the current (2017) state of each pixel, we calculated the four forest landscape 190 characteristics at the level of the community. 1) Forest cover is the proportion of the land 191 covered with forest. 2) Old forest cover is the proportion of the land covered with old 192 forest. 3) Secondary forest is the proportion of the land covered with secondary forest. 4) 193 Secondary forest age, estimated as the time (years) at which half of the forests survived 194 (median survival) was calculated based on Kaplan-Meier survival analyses using the R-195 package *survival* (Therneau 2015). As one pixel may exhibit a maximum of three cycles of 196 disturbance and regrowth, we only included the first cycle for any single pixel. Survival 197 analyses were carried out for each community, and for the entire region. For five out of the 198 41 communities this value could not be estimated because the probability of survival 199 remained higher than 0.5, we then used 25 years as the median survival. We also split the 200 dataset into two equal 10-year time periods (1994-2003 and 2004-2013) to evaluate shifts in 201 median survival over time (cf. Jakovac et al. 2017).

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## 203 Community-level spatial drivers of forest landscape characteristics

We used six community-level indicators to quantify the drivers land ownership, land quality and accessibility. *Land ownership* reflects the land available for each farmer to produce food and the land that is communally owned. For this we assessed the proportion of privately owned and communally owned land at the *ejido*-level (Registro Agrario Nacional 2020). Average farm size (ha/ farmer) was quantified by dividing privately owned land by the number of landowners in the village (RAN; datos.gob.mx). Communally owned land is the proportion of *ejido* land that is communally owned.

Land quality is the quality of the land and soil and determines the land's agricultural 211 212 potential. For land quality we used two indicators, one based on soil quality (see detailed 213 methods in Supplementary materials), important for crop production, and one based on 214 hydrological properties, important for cattle ranching. We calculated the mean topsoil 215 carbon based on the soil organic carbon contents (%) across each community and the 216 proportion of the land covered with high productive soils (Fluvial terrace, Alluvial plain and 217 the Karst Range of Limestone-Claystone; see Fig. S3). Hydrological properties were indicated 218 by calculating the internal river length density (km of river length / km<sup>2</sup> of land area) for 219 each community (INEGI 2010b) (see Fig. S4). Accessibility is whether communities have 220 access to infrastructure. With the opening of the road in 1994, the region was connected

with nearby cities and markets, but left some communities better connected than others.
Accessibility of each *ejido* was included as the proportion of the land that falls within 1 km
from the main road (see Fig. S5).

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#### 225 Temporal drivers of forest dynamics

226 We tested whether climatic variables explained annual variation in forest disturbance across 227 the region. We did not test the annual variation in forest regrowth because, rather than 228 reflecting the start of regrowth, regrowth dates reflect when regrowing forests become 229 comparable to the reference, making a test for climatic drivers less meaningful. The Oceanic 230 Niño Index (ONI) reflects the El Niño-Southern Oscillation (ENSO). ENSO is a recurring 231 climate pattern involving changes in the temperature of the central and eastern tropical 232 Pacific Ocean where El Niño is a warming of the ocean surface and La Niña is a cooling of the 233 ocean surface (NOAA 2020). This oscillation affects rainfall on land where Mexico receives 234 less rain during El Niño events and more during La Niña events. As indicators of rainfall we 235 used the total annual rainfall and the total rainfall in the dry season (February to April), as 236 derived from the nearby Lacantún meteorological station (conagua.gob.mx).

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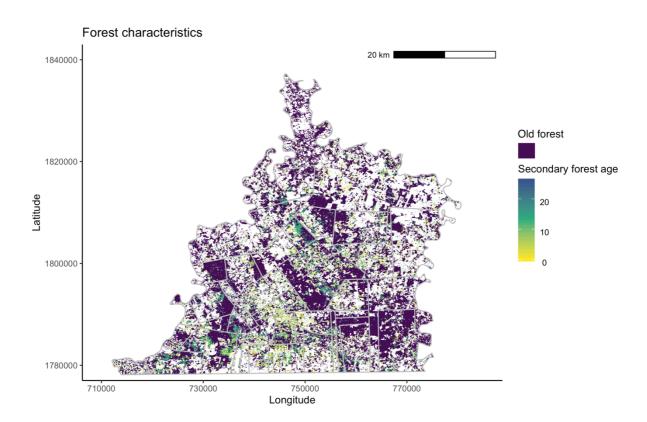
## 238 Statistical analyses

239 For the spatial analyses we tested whether community-level forest landscape characteristics 240 could be explained by drivers. Only communities formally recognized as 'ejidos' could be 241 included, for one *ejido* land ownership could not be estimated because it had no privately owned land, so this analysis relied on 36 communities. To test the most important drivers 242 we used generalised linear models (glm) following a three-step approach. First, we tested a 243 244 simple model without interactions, including all six community-level drivers. Second, we 245 tested a model including all drivers and a two-way interaction between land ownership and 246 land quality. Third, we tested a model including all drivers and a three-way interaction 247 between land ownership, land quality and accessibility. The best model for each of the 248 forest landscape characteristics was selected based on model significance. When multiple models were significant the model with the lowest Aikaike Information Criterion (Burnham 249 250 and Anderson 2002) was selected, choosing the simplest model when  $\Delta AICc < 2$ . We also 251 calculated pixel-level odd-ratios to evaluate the probabilities of different forest types to 252 occur on land characterized by the drivers.

- 253 For the temporal analyses we used the year as the unit of replication (n= 26). We tested
- whether the ONI index, the annual rainfall and the rainfall in the dry season (February to
- 255 April) explained the disturbances in the same year. The best model was selected based on
- the criteria outlined above. Correlations between predictors are presented in Table S4.
- 257 Graphics were made in the *ggplot2* package (Wickham 2016), to estimate marginal effects
- we used the *ggeffects* package (Lüdecke 2018). All statistical analyses were carried out using
- 259 R version 3.5.3 (R Development Core Team 2011).
- 260

# 261 Results

- 262 Forest landscape characteristics
- 263 The proportion of forest in 2017 in MdC was 0.48, of which 0.37 is old forest, 0.11 is
- 264 secondary forest. Forest characteristics differ widely across communities (Figs 2, 3a)
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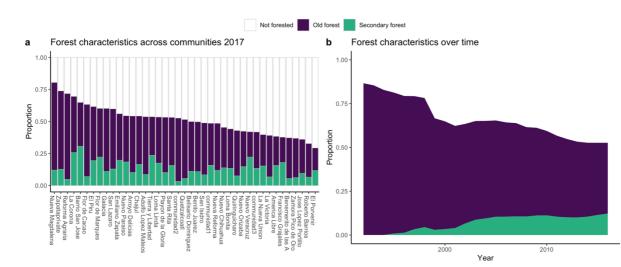
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Figure 2. Map of the current (2017) forest landscape characteristics in Marqués de Comillas
region, Mexico. Old forest is forest conserved for at least 26 years, blues, greens and yellows

are secondary forests specified by their ages, no colour indicates no forest and can be

271 pasture, maize field, oil palm or other land uses.

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275 Figure 3. a) Current (2017) forest characteristics across Marqués de Comillas communities

276 (see also Fig. 2). b) Trend in forest characteristics over time, for the entire study region.

277 Colours indicate the proportion of old forest, secondary forest and not forested.

278

Marqués de Comillas consistently shows more forest loss than regrowth (Fig. 4), resulting in
a net decrease of 45% in forest cover in the period 1991-2016 (Fig. 3b). A remarkable peak
in forest disturbance was found in the year 1998 (Fig. 4) for which we assess its variation
across communities (Fig. S6). Secondary forest cover has remained relatively constant since
2004 (10-11% of land area; Fig. 3b), while secondary forest persistence has increased (Fig.
5b).

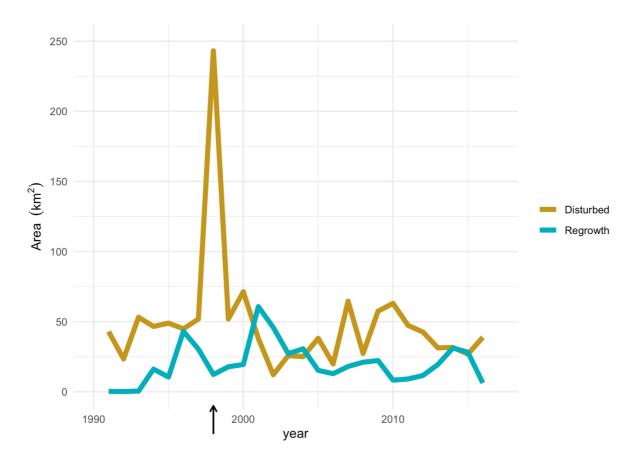


Figure 4. Total area of forest disturbed (pixels that changed from forest to non-forest) and
of forest regrowth (pixels that changed from non-forest to forest) between 1991 and 2017.
The year 1998 shows a remarkable peak in forest disturbance, which is also analysed for its
spatial variation across communities (see Figs S6, 6).

Secondary forest in MdC reached a median age of 7.7 years (Fig. 5a), but values differ widely
among communities (range: 2.7 - 25 years, mean: 9.7). Analysing the probability of surviving
for two decades separately we found that there has been an increase in median secondary
forest survival from 5.1 years in 1994-2003 to 7.9 years in 2004-2013 (Fig. 5b).

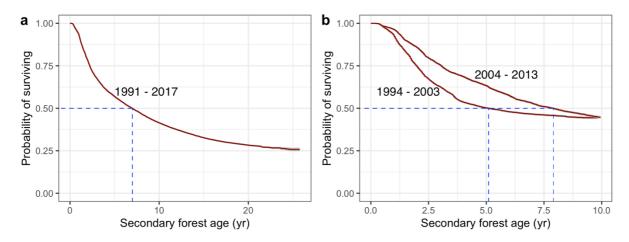


Figure 5. Persistence of secondary forests in MdC based on survival analyses. Dashed lines
indicate the median age (0.5 probability of surviving) of secondary forests. a) Including all
years of study period 1991-2016, b) Separating data in two decades to evaluate changes in
survival over time.

299

## 305 Spatial drivers of forest landscape characteristics

306 From the four forest landscape characteristics plus the variation in area disturbed in 1998, 307 the simple model (without interactions) best explained the data in all cases, only for 308 proportion of secondary forest no model fitted the criteria (Table S2). Communities that had 309 more land that is communally owned tended to have more forest and more old forest (Fig. 310 6a, b), and secondary forest persisted longer (Fig. 6d). Secondary forest also persisted 311 longer when farms are larger (Fig 6c) and topsoil organic carbon was higher (Fig 6e). The 312 peak in disturbances in the year 1998 was particularly pronounced in communities that had less land with high productive potential (Fig. 6f) and had higher soil organic carbon (Fig 6g). 313

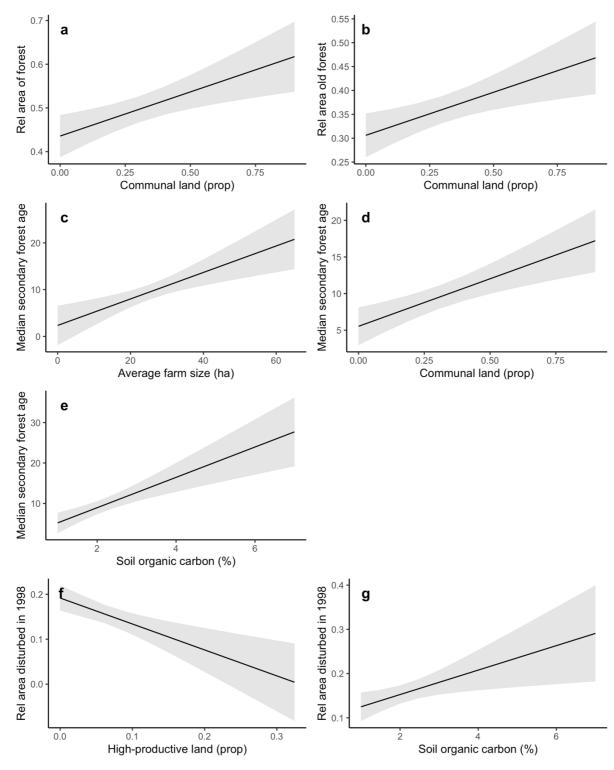


Figure 6. Marginal effects of the significant explanatory variables from the best fitted
generalised linear models explaining forest landscape characteristics across communities.
The area covered by forest (a) and old forest (b) are explained by the proportion of
communal land in the community. Median secondary forest ages are explained by the farm
size (c), the proportion of communal land (d) and the mean topsoil organic carbon (e). The

relative area disturbed in 1998 is explained by the proportion of high-productive land (f) andby topsoil organic carbon (g) (see Table S2 for test results).

323

324 At the pixel-level all drivers contributed to explaining the probability of being covered with 325 forest, old forest or secondary forest as well as the secondary forest ages. In terms of land 326 ownership, it is more likely to find forest and old forest on communal land compared to 327 private land and secondary forests tend to be older. In terms of land quality we found more 328 forest and more secondary forest on low quality land, while no differences were found for 329 old forest occurrence or for secondary forest ages. We found that all forest types were less 330 common inside the 1km buffer from the main road, and secondary forests tended to be 331 younger (see Table 1).

332

333 Table 1. Odds ratios to evaluate the effect of land ownership, land quality and accessibility 334 on forest characteristics at the pixel-level. Given are the number of pixels covered with 335 forest, old forest and secondary forest in given categories of land ownership (on private or 336 communal land), land quality (on high or low quality soil) and accessibility (within or outside 337 the 1km-buffer of the road), the proportion of the forest type within each of the categories, 338 and the odds ratio which indicates the ratio of the proportions of the forest type in the two 339 categories. Odds ratios around 1 indicate the forest type is as likely to occur across the categories. Odds that differ from 1 indicate that the probability of that forest type to occur 340 341 is different for the two categories, presented in bold. The last row gives the median forest secondary forest age in each category, noteworthy differences presented in bold. 342

		Land ownership			Land quality			Accessibility		
# pixels	total	private land	communal land	odds ratio	high quality soil	low quality soil	odds ratio	within 1 km buffer of road	outside buffer of road	odds ratio
total	2215790	1348171	677927		384495	1831295		381720	1834070	
forest	1065633	544851	406491		165526	900107		141325	924308	
proportion	0.481	0.404	0.600	0.674	0.431	0.492	0.876	0.370	0.504	0.735
old forest	828305	433854	345182		139477	688828		110377	717928	
proportion	0.374	0.322	0.509	0.632	0.363	0.376	0.964	0.289	0.391	0.739

secondary forest	237328	144192	71624		26049	211279		30948	206380	
proportion	0.107	0.107	0.106	1.012	0.068	0.115	0.587	0.081	0.113	0.721
median secondary forest age	10.67	9.01	12.95		10.59	10.67		9.84	10.81	

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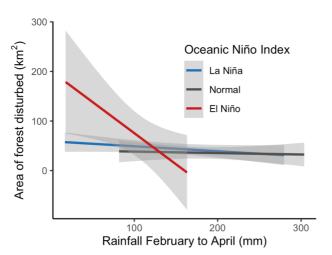
## 345 Temporal drivers of forest dynamics

346 Forest disturbance was best explained by an interaction between the rainfall in the dry

347 season and the Oceanic Niño Index; El Niño years combined with lowered rainfall in the dry

348 season led to peaks in forest clearance (Fig. 7).

349



351 Figure 7. Forest disturbance explained by the interactive effects of Oceanic Niño Index (La

352 Niña, El Niño and normal years) and the rainfall in the dry season between February and

353 April (see Table S3 for test results).

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## 355 Discussion

356 We quantified almost three decades of forest dynamics across a recently colonized

357 agricultural frontier in Mexico. Results show consistently more disturbance than regrowth;

- 358 forest cover has continued to decline despite efforts to revert this. Secondary forest area
- has remained constant over the last decade though secondary forest persistence is
- 360 increasing. We found large differences in forest characteristics among communities, and
- 361 these were explained by differences in land ownership and soil quality. When assessing

impacts at the pixel-level, also accessibility contributed to explaining forest characteristics.
Forest dynamics was further associated to annual variation in climate. Our results show that
forest dynamics can be explained by a complex interplay of drivers across time, space and
scale (cf. Berget et al. 2021). Results give insights into agricultural frontier development and
have consequences for conservation and restoration.

367

#### 368 *Continuous decline in forest cover*

We found that forest disturbance consistently exceeds forest regrowth, resulting in a 45% 369 370 decline in forest cover during our monitoring period (1991-2016)(Figs 3b, 4). This confirms 371 Fernández-Montes de Oca et al. (2015) demonstrating that deforestation in the region was 372 continuously high from 2000 to 2012, and Vaca et al (2012) who showed forest cover to 373 decline from 1990-2006. An older study covering 1970-1990's already reported this decline 374 and attributed it to policy support for agricultural expansion (de Jong et al. 2000). Although 375 policy support for agriculture continues up to today, there seems to have been a shift from 376 support for agricultural expansion (PROCAMPO since 1993, payments for arable fields on 377 area basis) towards agricultural intensification (support for oil palm since 2007 and PROGAN 378 support for cattle ranching on per-capita basis since 2008). The latter programmes, 379 combined with those that aim to protect remaining forests, such as the payments for 380 ecosystem services programme (Costedoat et al. 2015), highlight efforts to intensify agricultural production and halt deforestation. This shift came into effect after international 381 382 pressure, notably during the UN Summit of 1992, and coincided with signing the North Atlantic Free Trade Agreement (Tello et al. 2020). However, at least for MdC, these efforts 383 have not halted or reverted deforestation. 384

385

#### 386 Forest conservation

We found more forest and more old forest in communities that had more communally owned land (Fig. 6a, b), and this was confirmed by the odds ratio analyses where old forest is 1.5 times more likely to be present on communal land than it is on private land (Table 1). This goes against the conception that resources managed under the commons will eventually be overexploited, known as the tragedy of the commons (Hardin 1968). However this theory has been disputed by many studies (e.g. Feeny et al. 1990), also in Mexico where communally owned coniferous forest had lower deforestation rates (Barsimantov and 394 Kendall 2012). Other studies instead found no difference between communally and 395 privately owned lands in Mexico, which was attributed to differences in community 396 organisation and marginalisation (Bunge-Vivier and Martínez-Ballesté 2017, Ellis et al. 2017). 397 These results warrant that the Neoliberal discourse stimulating private ownership may 398 accelerate forest loss in this region, as recently demonstrated for Mexico (Lazos-Chavero et 399 al. 2021), as well as globally (Davis et al. 2020). Results suggests that conservation 400 programmes should ensure benefits for the community and not only target individuals. 401 Although accessibility of communities did not explain forest cover, at the pixel-level we 402 found that 35% more old forest occurs outside the buffer of the main road. This confirms 403 that infrastructure determines the extent and ease in which farmers access markets, which 404 increases land value and adversely affects forest cover (Putz and Romero 2014, Alamgir et 405 al. 2017, Vaca et al. 2019).

406

#### 407 *Restoration: Forest regrowth and secondary forest persistence*

408 Secondary forest covered 11% of the land (Fig. 1), its cover remained relatively constant 409 while median secondary forest ages increased over time (Figs 3b, 5b). This suggest a change 410 from shifting cultivation to permanent cultivation, in line with forest transition theory and 411 colonisation frontier development (Richards 1996, Mather and Needle 1998). Shifting 412 cultivation was the main livelihood practice in the early pioneer stage (de Vos 2003), where secondary forests occur as part of fallows. De Jong et al (2000) estimated that secondary 413 414 forests covered 17% in 1996. As agricultural frontiers increase access to markets, during the second and third stages of colonization development (Richards 1996), communities move 415 towards more intensive land uses with cattle production and cash crops (van Vliet et al. 416 417 2012). Often this is characterized by agricultural concentration on high-quality lands 418 allowing secondary forests to persist on marginal land (Richards 1996, Mather and Needle 419 1998, Smith et al. 2001). We found that secondary forests were more persistent in 420 communities with larger farms, more communal land and higher soil organic carbon (Fig. 6c, 421 d, e), suggesting that farm size and soil quality impose important conditions for agricultural 422 concentration to take place. Indeed secondary forests are 70% more frequent on poor soils, 423 though, surprisingly, soil did not explain differences in secondary forest ages (Table 1). 424 Forest regrowth was also associated with poor soils in Costa Rica (Arroyo-Mora et al. 2005), 425 though other studies found no link with soil quality (Sloan et al. 2016). Van Vliet et al (2012)

426 report market development, population growth, policies and economic structures, 427 increased land tenure security, government support for cash crops and/or cattle as drivers 428 of the transition from shifting cultivation to permanent agriculture. In MdC similar 429 developments have occurred: land could be owned individually since 1992 (Assies and 430 Duhau 2009), government support shifted focus from agricultural expansion to agricultural 431 intensification (Tello et al. 2020), and NAFTA marked the start of a neoliberal discourse 432 (Klepeis and Vance 2003) which caused farmers to change from crops (often in shifting cultivation) to (more permanent) cattle production (Speelman et al. 2014). The proportion 433 434 of communally owned land increasing secondary forest ages across communities, as well as 435 secondary forests being more persistent on communal land, seems to be a result of the 436 better protection of forest on communal land, as discussed previously, rather than a result 437 of agricultural intensification. Secondary forest was 30% less likely to be present within 1 km 438 of the road where it was also less persistent, similar to findings from Peru (Schwartz et al. 439 2017).

Results show that restoration through forest regrowth is limited in communities with
smaller farms and with relatively infertile lands. This suggests that incentives may be
needed to compensate farmers for losses in agricultural production to further increase the
restoration potential of secondary forests (Rudel et al. 2016, Chazdon et al. 2020). Payments
for Ecosystem Services does not currently fulfill this role because the programme's minimal
area requirements exclude most secondary forests. Alleviating the minimum area
requirement can be an important step forward.

447

## 448 Temporal drivers of forest dynamics

449 Our method allows a unique and detailed historical trajectory of forest disturbance and 450 regrowth, which is valuable to analyse drivers in space and time. We found that annual 451 variation in climate explained the variation in disturbance over time. More forest is 452 disturbed in El Niño years (Fig. 7), which is driven particularly by the year 1998 that showed 453 a four-fold increase in disturbance (Fig. 4). The extreme drought in 1998 enabled the rapid 454 (unintentional) spread of intentional fires. Additionally, fire burned forest, which had higher 455 flammability than in normal years (Román-Cuesta et al. 2003, 2004). As the disturbance-456 peak in 1998 was not followed by a regrowth peak, we expect that farmers replaced much 457 of the burned forest by agriculture. The price-changes resulting from NAFTA (Speelman et

458 al. 2014), which increased the popularity of extensive cattle ranching, may have paved the 459 way for this expansion. Indeed more forest was disturbed in communities that had less land 460 suitable for permanent cultivation (Fig. 6f), and that had more relatively fertile lands, as 461 indicated by a higher soil organic carbon (Fig 6g). This suggest that farmers took advantage 462 of the drought to expand extensive cattle ranching, which is suitable on the relatively fertile 463 lands that cannot support permanent crop cultivation. Land use is the result of a complex 464 interplay of drivers across scales (cf. Sendzimir et al. 2011), as illustrated by the 1998 465 disturbance peak which coincided with an El Niño event and followed changes in tenure 466 security, government support programmes, and changing commodity prices.

467

#### 468 *Limitations of this study*

469 Our method on pixel-level forest dynamics yielded good overall accuracies but probably 470 overestimated the current amount of old forest and underestimated the area not forested 471 and under secondary forest. While we found 37% old forest and 11% secondary forest, 472 INEGI 2011 estimated 42% of forest cover, including both old growth and secondary forest 473 (INEGI 2010a). Estimates based on plot-data in the southwestern part of the region 474 estimated 33% old-growth forest and 17% secondary forest (Zermeño-Hernández et al. 475 2016). Although definitions of old forest and of secondary forest may partially underlie this 476 (in our case old is older than 26 years), we expect that model assumptions also played a 477 role. Our method took a conservative approach to detecting disturbance or regrowth with 478 thresholds that reduce commission errors but may increase omission errors. As a consequence some disturbances will go undetected (increasing old forest cover), and that 479 480 some regrowth will go undetected (decreasing secondary forest cover). This may apply 481 particularly to regrowth which remains hard to identify due to its gradual nature (DeVries et 482 al. 2015a). In addition, forest regrowth was detected when NDMI values are similar in 483 magnitude and seasonality to the reference, and occurs several years after the start of 484 regrowth. This has the consequence that very young secondary forests may go undetected 485 thus underestimating area under secondary forest. Although we recognize this bias, such 486 errors will apply homogenously to the whole region, and thus not affect our results in terms 487 of the drivers across time and space.

488

489 *Recommendations and conclusions* 

490 We demonstrate the suitability of timeseries analyses to quantify forest disturbance and 491 regrowth and we analyse drivers across time and space. This is urgently needed to design 492 better policies to stimulate forest conservation and restoration. Communities differ in forest 493 dynamics, indicating different possibilities, needs and interests. Policies that acknowledge 494 this diversity and allow for bottom-up initiatives are more likely to be effective (cf. 495 Pingarroni et al. 2022). We warrant that further stimulating private land ownership will lead 496 remaining forest patches on communal land to be lost and that initiatives geared towards 497 enhancing forest conservation should benefit the community. To ensure that secondary 498 forests contribute to restoration targets, forest regrowth and secondary forest persistence 499 should be stimulated which requires incentivising farmers to set aside land for restoration 500 (cf. Chazdon et al. 2020).

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512

514 Authors' Contribution Statement

515 ML conceived the idea, carried out the statistical analyses and led the writing. MD and BdV

516 performed the remote sensing analyses. ANS and CS have collected data for the soil

517 properties and created the map on geomorphical units, SN and GW have created the oil

palm map. All authors contributed to the writing and have approved the final version of this

519 work.

520 The authors declare no conflict of interest

## 522 Please refer to the supplementary materials for:

- 523 Detailed methods on forest disturbance and regrowth identification
- 524 Detailed methods on oil palm classification
- 525 Detailed methods on soil properties across the geomorphic units
- 526 Figure S1. Illustrating the forest dynamics trajectory method and validation
- 527 Figure S2. Map of oil palm plantations in Marqués de Comillas, Mexico
- 528 Figure S3. Geomorphic land units across Marqués de Comillas and their values for high-
- 529 productive potential and soil organic carbon
- 530 Figure S4. Internal rivers in Marqués de Comillas region
- 531 Figure S5. The main road (built in 1994) that connects communities in Marqués de Comillas
- 532 region
- 533 Figure S6. Forest disturbance in year 1998 in Marqués de Comillas region
- 534 Figure S7. Map with formally registered lands under private and communal ownership
- 535 across Marqués de Comillas region
- 536 Figure S8. The main rivers that border Marqués de Comillas region
- 537 Table S1. Soil sampling across the six main geomorphic units and across land uses
- 538 Table S2. Test statistics for the drivers of forest landscape characteristics across
- 539 communities
- 540 Table S3. Test statistics for the drivers of dynamics over time
- 541 Table S4. Correlations between predictor variables
- 542

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