- 1 Machine learning pipeline extracts biologically significant data automatically from avian monitoring
- 2 videos
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## 9 Abstract

10 Measuring parental care behaviour in the wild is central to the study of animal life-history trade-offs, 11 but is often labour and time-intensive. More efficient machine learning-based video processing tools 12 have recently emerged that allow parental nest visit rates to be measured using video cameras and 13 computer processing. Here, we used open-source software to detect movement events from videos 14 taken at the nest box of a wild passerine bird population. We show that visit numbers from our 15 automatic data collection pipeline strongly correlate with human observations and predicts an 16 increase in brood fitness. Using a machine learning-assisted annotation approach on a subset of 18 17 videos, we show that the accuracy largely increased and cut annotation time by an average of 5.5x 18 compared to that of a cohort of undergraduate students. Since our automatic pipeline collected 19 biological-meaningful data that would have taken approximately 800 days by human observers, we 20 encourage more researchers to apply existing open-source tools to assist data collection in animal 21 behaviour studies.

- 22 Keywords: Parental Care, Computer Vision, Deep Meerkat, House Sparrow, Machine Learning,
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#### 25 Introduction

26 Parental care behaviour is a life-history trait that is commonly studied in a wide range of animals (Royle 27 et al., 2012). Parental care is defined as any behaviour that increases the fitness of offspring (Clutton-28 Brock, 1991; Royle et al., 2012), but often decreases the survival probability of parents (Trivers, 1972) 29 presenting as a life history trade-off (Stearns, 1992). While there are many forms of parental care (e.g., 30 nest building, predator defence, incubation, and feeding; see Royle et al., 2012), parental care in the 31 form of feeding of the young is traditionally considered as very costly for parents (Owens and Bennett, 32 1994; Winkler and Wilkinson, 1988). As such, a large body of literature focuses on how nest visit 33 frequency with dependent young is associated with aspects of an animal's life history, with a focus on 34 birds, where 90% of species engage in parental care (Cockburn, 2006). For example, work on life 35 history trade-off in terms of parent and offspring fitness (Schroeder et al., 2013), parent coordination 36 (see Ihle et al., 2019; Wojczulanis-Jakubas et al., 2018), parent-offspring conflict (Estramil et al., 2013), 37 or ageing (Wilcoxen et al., 2010) all used the frequency of parental visits to nests (or provisioning) as 38 a proxy of parental investment.

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40 However, measuring parental visitation rates in the wild is labour intensive and time-consuming. Data 41 is traditionally collected by direct observations (e.g. Dunn and Cockburn, 1996), which can be invasive 42 by disturbing animals in the vicinity of their nest (Rose, 2009). Less invasive methods include video 43 recording (e.g., García-Navas and Sanz, 2010; Nakagawa et al., 2007), radio tracking (see Mitchell et 44 al., 2012), and the use of radio-frequency tags and antennas at the nest (RFID; Mariette et al., 2011; 45 Ringsby et al., 2009). While automatic methods allow visitation rates to be quantified over long 46 periods of time, the technology is prone to error (up to 20%; see Mariette et al., 2011). On the other 47 hand, video analysis is more flexible and allows for other behaviours to be quantified, like nest defence, 48 copulations or feeding load (Lendvai et al., 2015). Maybe most importantly, using video cameras also 49 allows for visual confirmation of individual identity if colour rings are used. However, manual

annotation of video data is time-consuming and error-prone (Tuyttens et al., 2014), calling for more
effective ways to extract data from videos (Conway et al., 2021; Weinstein, 2018a).

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53 Recent advances in deep learning (Borowiec et al., 2021) and computer vision (Weinstein, 2018a) 54 allow quick and reliable information to be extracted from field data (Valletta et al., 2017). For example, 55 machine learning methods have been successfully applied to solve problems with species 56 identification (see Wäldchen and Mäder, 2018), bird song complexity measurement (see Pearse et al., 57 2018; Priyadarshani et al., 2018), social behaviour measurement (see Robie et al., 2017), and individual 58 identification (Bogucki et al., 2019; Ferreira et al., 2020; Körschens et al., 2018; Schofield et al., 2019). 59 Since computing resources are much cheaper than human-labour, such approaches have immense 60 potential in reducing the financial and time costs of data collection, evidenced by a recent increase in 61 popularity for ecological applications (Borowiec et al., 2021; Tuia et al., 2022).

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63 Simultaneously, open-source tools were developed to aid data collection from video data. Examples 64 include software on tracking animals and behaviours in captive settings (Harmer and Thomas, 2019; 65 Pennington et al., 2019; Sridhar et al., 2019; Walter and Couzin, 2021), pose estimation (Graving et al., 66 2019; Lauer et al., 2021; Pereira et al., 2019), or species identification (Falzon et al., 2020; Van Horn 67 et al., 2015). Particularly, Weinstein (2018b) developed an open-source tool named Deep Meerkat 68 which uses convolutional neural networks (CNNs) to capture movement events from wildlife 69 monitoring videos. Despite the name, the software was initially designed for use with a hummingbird 70 population (Marcot et al., 2019; Weinstein, 2018b), but the software has been adapted for use in 71 marine (Sheehan et al., 2020) and insect (Mertens et al., 2021; Pegoraro et al., 2020) systems. To the 72 best of our knowledge, no literature exists that documents the use of the software in avian systems 73 other than the original hummingbird population.

75 Here, we applied Deep Meerkat (Weinstein, 2018b) to automatically process and extract visitation 76 rates from parental provisioning videos collected in the house sparrow (Passer domesticus) population 77 on Lundy Island, UK since 2004 (see Nakagawa et al., 2007). We first compared the results to manually-78 collected data by trained individuals. Next, we compared the outputs from the automatic processing 79 with alternative methods of annotation, including a machine learning-assisted approach and 80 crowdsourced annotation by a cohort of undergraduate students. Lastly, we determined the biological 81 relevance of the automatic data by testing the hypothesis that increased rates of feeding would lead 82 to increased annual reproductive fitness of the parents – a classic life-history theory hypothesis from 83 behavioural ecology (Trivers, 1972).

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#### 86 Materials and Methods

### 87 Study System

88 Data were collected from a population of house sparrows (Passer domesticus) on Lundy Island (51° 10' N, 4°40' W) located on the Bristol Channel, UK. The population is part of a long-term study and is 89 90 monitored systematically since 2000, with >99% of individuals marked with a unique combination of 91 coloured metal rings by the British Trust for Ornithology (Cleasby et al., 2011), and unique passive-92 integrated transponders (Schroeder et al., 2011). Since house sparrows rarely fly over large bodies of 93 water (Magnussen and Jensen, 2017) very little immigration or emigration has taken place in the 94 population (Schroeder et al., 2015). As a result, the population has high recapture rates with no 95 trapping bias (Simons et al. 2015) and reliable life history data for every individual (Schroeder et al., 96 2015).

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### 98 Parental provisioning videos

The Lundy sparrow population is situated within a 0.2km<sup>2</sup> area around a small village, since that is the only viable habitat on the island (Schroeder et al., 2011). Nest boxes were put up for the sparrows, and every box is checked systematically to detect all breeding attempts throughout the summer breeding season (Cleasby et al., 2011). After eggs were found and the identity of the parents confirmed by their colour ring combination, 90-minute videos were recorded on the 7<sup>th</sup> and 11<sup>th</sup> day after egg hatching, with a video camera 2-5m away from the nest box and a field of view of 30cm radius (see Nakagawa et al., 2007a for detailed procedure) to measure parental visitations.

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107 When annotating the videos, birds were considered as feeding the young when entering and exiting 108 the nest box, and when feeding behaviour could be seen through the nest box hole without entering 109 the nest box. We recorded when birds are perching outside the nest box but as a separate behaviour 110 such that it did not count towards feeding rate estimates. Feeding rates were then calculated from 111 the first visit of either parent until the end of the video, or until 90 minutes had elapsed from the first visit, whichever came first. We started counting from the first visit, and not the beginning of the video, to allow time for the birds to adjust to the disturbance possibly caused by the camera setup. The resulting time during which visits were scored was termed the effective observational time (Nakagawa et al., 2007a). The total number of visits by both parents was divided by the effective observational time to obtain the manual feed rate (feeds per hour) as a measure of parental provisioning.

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Between 2004 and 2015, videos (N=2112) were manually annotated by postgraduate students and
researchers, which contributed to multiple publications (see Ihle et al., 2019; Nakagawa et al., 2007;
Schroeder et al., 2019, 2016, 2013, 2012). We used these data as a baseline – the 'manual feed rate'
to be compared against the automatically-collected data ('automatic feed rate') outlined below.

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#### 123 Automatic Video Processing Pipeline

124 We processed videos collected between 2011 and 2019 (N=2629) through the open-source 125 programme Deep Meerkat, which uses convolution neural networks (CNNs) to classify moving frames 126 and identify moving objects in wildlife monitoring videos (Weinstein, 2018b). Since the performance 127 of the default model was satisfactory from initial tests, we did not further fine-tune the model using 128 video frames from our study. We processed the output from Deep Meerkat to merge movement 129 events detected fewer than 40 frames apart and more than 2 frames in length into the same event. 130 We then further grouped the events into 7-second video clips, to allow effective post-processing 131 annotation and matching with manual data. We then tallied the number of events for each video, then 132 divided the tally by the effective observational time (see above) to obtain the automatic feed rate (in events per hour; Figure 1). Since most of the feeding events on Lundy sparrows were done by parents 133 134 entering the nest box, we assume each feeding event broadly corresponds to 2 detected movement 135 events by the pipeline (entering and exiting the nest box), hence the automatic feed rate was further 136 divided by 2. Finally, since certain videos produced massively over-inflated feed rate measures due to 137 filming environment (e.g camera shaking, continuous background movement), we removed any videos that has an automatic feed rate of 72.7 events/hour or more (1.31% videos removed), since
that is the max feed rate we have ever recorded from previous manual annotation, assuming any rate
more extreme will be an anomaly.

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### 143 Figure 1: Automatic data collection pipeline for processing parental provisioning videos of house

144 sparrows on Lundy Island, UK. Videos were processed using open source software Deep Meerkat

145 (Weinstein, 2018b), outputting frames with movement detected. Events were then defined by

146 grouping movement frames that were less than 40 frames apart and at least 2 frames long. Lastly,

147 events for each provisioning video were tallied to obtain an automatic feed rate

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#### 149 Validation

150 After processing all videos using the automatic pipeline, we first tested the association between the 151 automatic and manual feed rates using Pearson's correlation test. We then chose 18 random videos to be used as a validation dataset, to compare the performance of three different methods of data 152 153 collection. The first was the automatic feed rate as described above, where videos were processed 154 through the pipeline (Figure 1) without human intervention. The second was a machine learning (ML)-155 assisted approach, where video outputs of events from the pipeline were further annotated by hand 156 to filter out non-feeding visits. The third was a crowd-sourcing approach, where a cohort of 36 undergraduate students were given full-length videos to annotate from scratch. To compare the 157 158 annotation time between the latter two methods, we also measured the annotation time per video 159 for the ML-assisted and crowd-sourcing approaches.

161 To compare the methods quantitatively, we calculated three return rates corresponding to the three 162 methods - the automatic pipeline, machine learning-assisted, and undergraduate return rates. We 163 took the manual feed rate as a baseline value, then calculated return rates by dividing the number of 164 events detected using each method over the manual feed rate for each video (e.g. for a given video, 165 if manual feed rate has 10 feeding events and undergraduates found 7, the undergraduate return rate 166 is 0.7). Since a return rate of 1 shows that a method detected the exact number of events as the 167 manual feed rate baseline, we did one sampled t-tests to see whether each return rate was 168 significantly different from this baseline (by setting the theoretical mean ( $\mu$ ) to 1). Lastly, we also 169 compared all methods using pairwise t-tests between the return rates for each annotation method.

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#### 171 Case study

Finally, we used the automatic feed rate in a case study to test for the first part of the life-history trade-off predicted by Trivers (1972). We tested whether broods whose parents visited the nest more often had a higher fledgling and recruitment success, using the automatic feed rate. Since each brood was measured on the 7<sup>th</sup> and 11<sup>th</sup> day after hatching, we averaged both values to obtain an average feed rate for each brood. However, since feeding rates increases as a brood age (see Schroeder et al., 2019), we also ran the models separately using Day 7 and 11 rates to ensure results are consistent.

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To test the prediction that increased automatic feed rate was associated with higher chick survival in a brood, we fitted generalised linear mixed models with the number of fledglings and the number of recruits for each brood as respective response variables, against automatic feed rates (events per hour) as explanatory variables, using a Poisson link function. A fledgling was defined as a sparrow chick that successfully fledged; recruits were defined as fledglings that produced at least one genetic offspring in their lifetime. To allow effect sizes to be compared, we z-transformed the feed rates. To control for other effects that might affect the fitness metrics, we added the age of the mother and father (see

186 Wiebe, 2018), and hatch date (Days after April 1<sup>st</sup>) as fixed effects. Since breeding success usually 187 corresponds to peak food abundance (see Cresswell and Mccleery, 2003; Lack, 1968), we also added 188 a quadratic fixed-effect term for hatch date. Next, the population has undergone routine crossfostering, which is associated with increased survival (see Winney et al., 2015), hence we added a fixed 189 190 factor for fostered status (yes/no) in all models, however, note that the feed rate we used were always 191 from the parents that did the actual feeding, which are not always the genetic parents of the young. 192 We added the social parent IDs and the year as random effects to control for environmental effects 193 (see Rose et al., 1998) and repeatable feeding rates by individual parents (Nakagawa et al., 2007). The 194 location of the nest box was added as a random effect to control for known environmental effects 195 (Schroeder et al., 2012).

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We ran all models using the R packages MCMCglmm (Hadfield, 2010) on R version 3.6.1 (R Core Team, 2013). The posterior distributions and autocorrelations were checked following Hadfield (2014) to ensure all fixed and random effects converged without violating any model assumptions. We defined a parameter estimate as statistically significant if the 95% credible interval did not overlap with zero.

### 202 Results

#### 203 Automatic Video Processing Pipeline

Using the automatic pipeline, we processed a total of 2629 videos and so approximately 3900 hours
(160 days) of recordings. We found a significant positive correlation between automatic and manual
feed rates (r=0.62, 95%CI: 0.58-0.66, p < 0.001; Figure 2A).</li>

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### 208 Validation

From the 18 validation videos, the automatic pipeline return rate obtained without human intervention was significantly larger than 1 (Table 1), showing that the measure was inflated compared to the baseline set by manual feed rates (Figure 2B). However, the ML-assisted return rate showed that once the pipeline outputs were manually annotated to eliminate non-feeding events, the rate dropped and became not significantly different from 1 and comparable to the baseline (Table 1). The undergraduates performed similarly well, with return rates not significantly different from 1 (Table 1) and not significantly different from the ML-assisted return rate (Figure 2B).

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217 On average, undergraduate students took 65.4 minutes (min 25 minutes, max 100 minutes) to 218 annotate each video, whereas the ML-assisted approach took an average of 12.0 minutes per video 219 (min 4.6 minutes, max 31.0 minutes), equating to an average of 53.4 minutes saved per video by first 220 processing the videos using the pipeline.





222 Figure 2: Validation results of automatic video processing pipeline and alternative annotation 223 methods for data collection on house sparrow (Passer domesticus) parental provisioning videos on 224 Lundy Island UK. A) Positive correlation between the automatic feed rate collected using the pipeline 225 and manual feed rate collected manually. The red line shows a significant positive correlation, and the 226 dotted line shows a 1:1 line if both rates were equal. B) Comparison of return rates for three separate 227 data collection methods using return rate measures of 18 validation videos. 1) Automatic pipeline: 228 derived from automatic feed rate 2) Machine learning-assisted: further manual annotation of output 229 clips from the pipeline 3) Undergraduate annotation: annotation by a cohort of undergraduate 230 students. The red dotted line represents the reference level of 1, brackets and labels represent the 231 significance of t-test between all groups (N.S: not significant, \*: p<0.05, \*\*: p<0.01, \*\*\*: p<0.001).

Table 1: Comparison of three annotation methods using a validation dataset of 18 provisioning
videos of house sparrows (*Passer domesticus*) on Lundy Island, UK. The return rates were calculated
by dividing the number of detected events using each method by the manual feed rate. Test statistics
were obtained from a one-sampled t-test, with the theoretical mean (μ) set to 1.

| Metrics                           | Mean | 95% Confidence Interval | t     | p-value |
|-----------------------------------|------|-------------------------|-------|---------|
| A) Automatic pipeline return rate | 1.39 | 1.17-1.63               | 3.68  | 0.002   |
| B) Machine learning-assisted      | 0.94 | 0.88 - 1.01             | -1.78 | 0.10    |
| return rate                       |      |                         |       |         |
| C) Undergraduate return rate      | 1.01 | 0.97 – 1.06             | 1.13  | 0.52    |

### 239 Case study

The data that we used contained 658 unique broods, of 2116 individual chicks, of which 728 (34%) fledged and 328 (16%) were recruited. Automatic feed rates significantly predict an increase in the number of fledgelings and recruits for all models fitted (Figure 4; Supporting Information 1). We found a noticeable change in brood survival over the breeding season, with a significant negative quadratic effect for hatch date, showing that fitness is the highest in mid-breeding season (Supporting Table S1).





Figure 4: Increase in the number of (A) fledglings and (B) recruits as average automatic feed rates increase in house sparrows (*Passer domesticus*) on Lundy Island, UK Automatic feed rates were obtained from the pipeline without human intervention. Feed rates were further z\_transformed to allow effect sizes to be comparable, with 0 representing the mean and each unit representing one standard deviation away from the mean.

#### 252 Discussion

253 Using an automatic data collection pipeline based on Deep Meerkat (Weinstein, 2018b), we extracted 254 visitation rates from parental provisioning videos of house sparrows and found that automatic feed 255 rates positively correlated with manual annotation, and can reproduce biological results, equivalent 256 to ~800 hours (~100 8-hour workdays) of human labour work. Even though the computational time 257 for Deep Meerkat is 1:1 (1 hour video takes ~1 hour to process; see Marcot et al., 2019), computing 258 time is much cheaper than human labour time, especially when techniques like parallel computing 259 were used to further speed up processing. As such, we processed a huge backlog of unprocessed 260 videos from the Lundy sparrow system that would have been infeasible without the use of machine 261 learning methods.

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263 When applying machine learning methods, there is often a trade-off between the variance and bias of 264 a trained model (Geman et al., 1992; but see Neal, 2019). Here, the 18 test videos showed that the 265 pipeline produced biased results, since the obtained automatic feed rate produced overinflated 266 estimates compared to manual feed rates, likely due to over-detection of non-feeding events when 267 birds are still present within the video frame. However, the bias was complemented with low variance 268 (or high consistency), with automatic feed rate correlating well with manual feed rates and predicting 269 an increase in fledgelings and recruits within broods in our case study. Although not comparable with 270 manual feed rates, the automatic feed rate can hence be considered as another proxy of parental 271 investment (see Schroeder et al., 2013; Trivers, 1972) allowing more biological hypotheses to be 272 tested with increased sample size and statistical power. Moreover, the motion detection algorithm of 273 Deep Meerkat is not species-specific, allowing the same method to be applied to other study systems 274 or species, opening a new avenue of research for newly established systems or large video datasets.

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276 In this study, we also tested other methods for data collection, including crowdsourcing
277 undergraduate students and adopting a ML-assisted approach. Manual annotation by undergraduate

278 students was accurate, with mean event return rates slightly higher than the manual feed rates, 279 possibly due to the multiple observer effect (e.g. Guay et al., 2013), but can also be due to inflation in 280 detected events due to misidentifications. We also showed that there were no significant differences 281 between the undergraduate and ML-assisted return rates, highlighting the value of using an ML-282 assisted approach, which was 5.5x faster. Given sex-specific hypotheses on parental investment are 283 often tested in the population (e.g. Schroeder et al., 2016), adopting a ML-assisted approach can be 284 useful for collecting additional sex or behavioural data within the feeding clips. Further development 285 using deep learning and computer vision techniques can also classify the sex (see Ferreira et al., 2020) 286 and behaviour (see Conway et al., 2021; Ditria et al., 2021) automatically, which has potential to fully 287 replace human annotation in the future.

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289 Machine learning approaches are becoming widely used in ecology in recent years (Borowiec et al., 290 2021). Here, we presented an excellent case study of machine learning assisted data collection that 291 can obtain biologically meaningful results in existing datasets without further manual intervention. 292 With the increase in open-source tools that are being developed in the field to reduce manual 293 annotation efforts (e.g. Van Horn et al., 2015; Walter and Couzin, 2021; Weinstein, 2018b), and the 294 increase in computing literacy of ecology graduates (Farrell and Carey, 2018), we encourage 295 researchers to make use of such tools to be adapted to their own existing datasets. This would not 296 only unlock the bottleneck of unanalysed data that would otherwise go to waste, but also allow more 297 interesting hypotheses to be tested.

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#### 299 Conclusion

In this study, we used a data collection pipeline based on Deep Meerkat to automatically process parental provisioning videos on Lundy sparrows. Automatic feed rates collected from the machine learning pipeline correlated well with manual annotation, as well as predicting recruitment and fledging success in broods. The pipeline also acts as an effective pre-processing step to allow

304 annotation time to be largely reduced, as we encourage researchers to adopt similar methods in their 305 study systems. Machine learning techniques and open-source tools are becoming widespread in 306 ecology, we provide here a successful case study of the use of such tools to eliminate the bottleneck 307 of laborious manual data collection. 308 309 **Significance Statement** 310 Collecting data on avian parental provisioning rates are often time and labour intensive. Recent 311 advancements in open-source machine learning software allow such data to be effectively collected 312 without human annotation. This paper provides a case-study of the validity and potential of such 313 software to easily collect parental provisioning data in the wild. 314 315 **Conflict of Interest Statement** 316 None 317 318 **Ethics Statement** 319 The study complied with all relevant legislation and guidelines, and had the appropriate ethical 320 approval. 321 322 **Funding Statement** 323 This research was supported by Imperial College, a fellowship from the Volkswagen Foundation (JS), 324 a grant from the German Research Foundation: Deutsche Forschungsgemeinschaft (JS), CIG PCIG12-325 GA-2012-333096 from the European Research Council (JS), and by grant NE/J024597/1 (TB). WDP and the Pearse lab are also funded by NSF. Grant ABI-1759965 and UKRI/NERC NE/V009710/1. 326 327

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# 332 Author's Contributions

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